

# Introduction to $\infty$ -categories

Talk by Marco Robalo at DAGIT 2017

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## Abstract

These are a copy of my notes on a talk given by Marco Robalo at Derived Algebraic Geometry in Toulouse (DAGIT) 2017: the content is purely his; the mistakes are all mine.

## 1 Motivation

**1.1. Idea.** An  $\infty$ -category consists of

- objects;
- 1-morphisms between objects;
- $n$ -morphisms between  $(n - 1)$ -objects (for  $n \geq 2$ );
- composition laws for  $n$ -morphisms ( $n \geq 1$ ) defined up to higher morphisms;
- associativity of compositions up to homotopy.

**1.2. Proto-example.** (Fundamental  $\infty$ -groupoid) For a CW-complex  $X$  we have

- objects = points;
- 1-morphisms = homotopies;
- 2-morphisms = homotopies of homotopies;
- ... and so on.

**1.3. Problem.** No direct definition that is operational and simultaneously close to our intuition/desire (infinitely many axioms!).

**1.4. Solution.** Find a model category whose objects serve as models for  $\infty$ -categories.

**1.5. Modelling.** Many classical examples:

- homotopy types can be modelled by topological spaces, simplicial sets, categories, etc.;
- homotopy theory of homotopy-commutative  $\mathbb{Q}$ -algebras can be modelled by dg-algebras;
- derived stacks can be modelled by simplicial presheaves.

**1.6. Question.** Why so many models?

**1.7. Answer.** Dwyer-Kan localisation: every model category has an associated  $\infty$ -category that captures all the important information.

**1.8. Question.** If we have models then why care about  $\infty$ -categories?

**1.9. Answer.** Many reasons:

- not all  $\infty$ -categories have a model presentation;
- no ‘good enough’ definition of functors that relate different models (need an  $\infty$ -functor between the associated  $\infty$ -categories);
- models for diagrams are not always given by diagrams of models;
- proofs and statements become ‘simpler’.

## 2 Preliminary definitions

**2.1. Category of simplices.** Write  $\Delta$  to be the **category of simplices**:

- $\text{ob}(\Delta) = \{[n]\}_{n \in \mathbb{N}}$  where  $[n] = \{0 < 1 < \dots < n\}$  is the ordered set of natural numbers up to  $n$ ;
- $\text{Hom}_{\Delta}([m], [n])$  is the set of order-preserving maps from  $[m]$  to  $[n]$ .

**2.2. Simplicial notation.** We use the following notation:

- $\text{sSet} = \text{Set}^{\Delta^{\text{op}}} = \text{Fun}(\Delta^{\text{op}}, \text{Set})$ ;
- $\Delta[n] = \text{Hom}_{\Delta}(-, [n]) \in \text{sSet}$ ;
- $S_n = \text{Hom}_{\text{sSet}}(\Delta[n], S)$  for  $S \in \text{sSet}$ ;
- $\Lambda_n^i = \Delta[n] \setminus \{\text{interior and the face opposite the } j\text{-th vertex}\}$  is the  **$i$ -th horn** (for  $n \geq 2$ ).

$$\Delta[2] = \begin{array}{ccc} & 1 & \\ \nearrow & \Downarrow & \searrow \\ 0 & \longrightarrow & 2 \end{array} \quad \Lambda_2^1 = \begin{array}{ccc} & 1 & \\ \nearrow & & \searrow \\ 0 & & 2 \end{array}$$

**2.3. Nerve.** The **nerve**  $N(\mathcal{C})$  of a category  $\mathcal{C}$  is the simplicial set with

- $n$ -simplices given by  $(x_0 \xrightarrow{f_1} x_1 \xrightarrow{f_2} \dots \xrightarrow{f_n} x_n)$  in  $\mathcal{C}$ ;
- boundary maps given by composition (or forgetting the first/last object and morphism for the two edge cases);
- degeneracy maps given by inserting the identity.

**2.4. Note.** There is a set-bijection

$$\{\text{functors } \mathcal{C} \rightarrow \mathcal{D}\} \simeq \{\text{simplicial maps } N(\mathcal{C}) \rightarrow N(\mathcal{D})\}.$$

**2.5. Lemma.** There is an equivalence of categories  $X \simeq N(\mathcal{C})$  if and only if all *inner* horns lift *uniquely*.

$$\begin{array}{ccc} \Lambda_n^i & \longrightarrow & X \\ \downarrow & \nearrow \exists! & \\ \Delta[n] & & \end{array} \quad \text{for all } i \in \{1, \dots, n-1\}$$

**2.6. Composition.** For example, “ $\Lambda_2^1$  gives composition”.

$$\begin{array}{ccccc} & & x_1 & & \\ f_1 \nearrow & & & \searrow f_2 & \\ x_0 & \xrightarrow{\exists! f_2 \circ f_1} & & & x_2 \end{array}$$

**2.7. Associativity.** As another example, “ $\Lambda_3^1$  gives associativity”:

$$x_0 \xrightarrow{f_1} x_1 \xrightarrow{f_2} x_2 \xrightarrow{f_3} x_3 \text{ in } \mathcal{C}$$

corresponds to

$$\Lambda_2^1 \xrightarrow{(f_2, f_1)} N(\mathcal{C}) \quad \text{and} \quad \Lambda_2^1 \xrightarrow{(f_3, f_2)} N(\mathcal{C})$$

which generate compositions

$$\Delta[2] \xrightarrow{(f_2, f_1)} N(\mathcal{C}) \quad \text{and} \quad \Delta[2] \xrightarrow{(f_3, f_2)} N(\mathcal{C}).$$

So  $(f_3 \circ f_2) \circ f_1 = f_3 \circ (f_2 \circ f_1)$  if and only if we can ‘fill the back face of the tetrahedron with vertices  $x_0, x_1, x_2$ , and  $x_3$ ’, i.e. if and only if we can extend  $\Lambda_3^1 \rightarrow N(\mathcal{C})$  to  $\Delta[3] \rightarrow N(\mathcal{C})$ .

**2.8. Exercise.** What does the lifting of  $\Lambda_3^2$  tell us?

**2.9. Summary.** The lifting property for inner horns ( $0 < i < n$ ) gives composition and associativity laws; for outer horns ( $i = 0, n$ ) it gives inverses.

$$\begin{array}{ccc} & x_0 & \\ \text{id}_{x_0} \nearrow & & \searrow \exists! \\ x_0 & \xrightarrow{f_1} & x_1 \end{array}$$

**2.10. Kan complex.** If  $X \in \mathbf{sSet}$  is such that  $X \simeq \mathbf{Sing}(T)$ , where  $\mathbf{Sing}(T)$  consists of singular simplices in a topological space  $T$  (i.e. continuous maps  $|\Delta^n| \rightarrow T$ ) then we call it a **Kan complex**. Note that  $X$  is a Kan complex if and only if *all* horns lift, but *not necessarily* uniquely.

### 3 Quasi-categories

**3.1. Quasi-category.** A **quasi-category** is a simplicial set  $\mathcal{C}$  such that all *inner* horns lift, but *not necessarily* uniquely. This notion lies in between that of a Kan complex and that of the nerve of a category: we get compositions that aren’t unique, but their non-uniqueness is controlled by higher homotopy data. For example, consider

$$x_0 \xrightarrow{f_1} x_1 \xrightarrow{f_2} x_2 \xrightarrow{\text{id}_{x_2}} x_2$$

which gives us two maps  $u_1, u_2: \Delta[2] \rightarrow \mathcal{C}$ . Similarly, for ‘associativity’ we can use the lifting of  $\Lambda_3^1$  as before, after filling one face with the identity.

**3.2.  $\infty$ -category.** We can use quasi-categories as a model for  $\infty$ -categories: define the objects of a quasi-category  $\mathcal{C}$  to be the 0-simplices, and the  $n$ -morphisms to be the  $n$ -simplices.

**3.3.  $\infty$ -functor.** Since functors are ‘maps that preserve commutative diagrams’, it makes sense to define an  $\infty$ -functor to be a map of simplicial sets between quasi-categories, since these send  $n$ -simplices to  $n$ -simplices and preserve boundaries.

**3.4. Homotopy category.** Given an  $\infty$ -category  $\mathcal{C}$  we define its **homotopy category**  $h\mathcal{C}$  to be the (1-)category with

- $\text{ob}(h\mathcal{C}) = \text{ob}(\mathcal{C})$ ;
- $\text{Hom}_{h\mathcal{C}}(x, y) = \text{Hom}_{\mathcal{C}}(x, y) / \sim$ , where  $f \sim g$  if there exists a 2-morphism  $u: \Delta[2] \rightarrow \mathcal{C}$  with boundary  $(\text{id}_y - g + f)$ .

$$\begin{array}{ccc} & y & \\ f \nearrow & \Downarrow u & \searrow \text{id}_y \\ x & \xrightarrow{g} & y \end{array}$$

Note that compositions are unique (and thus well defined) thanks to the lifting property, i.e.  $u_1, u_2$  are identified in the homotopy category.

**3.5. Subcategory.** An  $\infty$ -subcategory  $\mathcal{C}'$  of an  $\infty$ -category  $\mathcal{C}$  is a sub-simplicial set obtained as a fibre product in  $\text{sSet}$ :

$$\begin{array}{ccc} \mathcal{C}' & \longrightarrow & \mathcal{C} \\ \downarrow & \lrcorner & \downarrow \\ N(\mathcal{D}) & \longrightarrow & N(h\mathcal{C}) \end{array} \quad \text{where } \mathcal{D} \text{ is a subcategory of } h\mathcal{C}.$$

**3.6. Equivalence.** A 1-morphism  $f$  in  $\mathcal{C}$  is called an **equivalence** if  $[f]$  in  $h\mathcal{C}$  is an isomorphism.

**3.7.  $\infty$ -groupoid.** An  $\infty$ -category where *all* 1-morphisms are equivalences is called an  $\infty$ -groupoid.

**3.8. Proposition.** An  $\infty$ -category is an  $\infty$ -groupoid if and only if it is a Kan complex.

**3.9. Example.** For  $T$  a topological space,  $\text{Sing}(T)$  is an  $\infty$ -groupoid

## 4 Simplicial nerve and rectification

**4.1. Mapping space.** Let  $x, y$  be objects in an  $\infty$ -category  $\mathcal{C}$ . We define the **mapping space**  $\text{Map}_{\mathcal{C}}(x, y)$  as the simplicial set obtained as the fibre product

$$\Delta[0] \times_{\mathcal{C}} \text{Fun}(\Delta[1], \mathcal{C}) \times_{\mathcal{C}} \Delta[0]$$

where the maps are given by  $x, y: \Delta[0] \rightarrow \mathcal{C}$  and  $\text{ev}_0, \text{ev}_1: \text{Fun}(\Delta[1], \mathcal{C}) \rightarrow \mathcal{C}$ , where  $\text{ev}_n$  is the evaluation on  $n$ .

**4.2. Note.** Another notation used is  $\mathrm{Hom}_{\mathcal{C}}^{\mathrm{LR}}(x, y)$ , where we write the pullback as

$$\begin{array}{ccc} \mathrm{Hom}_{\mathcal{C}}^{\mathrm{LR}}(x, y) & \longrightarrow & \mathcal{C}^{\Delta[1]} \\ \downarrow & \lrcorner & \downarrow \\ \{x\} \times \{y\} & \longrightarrow & \mathcal{C} \times \mathcal{C} \end{array}$$

**4.3. Proposition.**  $\mathrm{Map}_{\mathcal{C}}(x, y)$  is a Kan complex.

**4.4. Note.**  $\pi_0 \mathrm{Map}_{\mathcal{C}}(x, y) \simeq \mathrm{Hom}_{\mathrm{h}\mathcal{C}}(x, y)$ .

**4.5. Warning.** These is *no* strict manifestation of composition:

$$\mathrm{Map}_{\mathcal{C}}(y, z) \times \mathrm{Map}_{\mathcal{C}}(x, y) \not\rightarrow \mathrm{Map}_{\mathcal{C}}(x, z).$$

**4.6. Rectification.** (Lurie) There exists  $\widetilde{\mathrm{Map}}_{\mathcal{C}}(x, y) \in \mathrm{sSet}$  and *canonical* zig-zags of weak equivalences of simplicial sets

$$\widetilde{\mathrm{Map}}_{\mathcal{C}}(x, y) \xrightarrow{\sim} \{*\} \xleftarrow{\sim} \mathrm{Map}_{\mathcal{C}}(x, y)$$

such that we *do* get strict manifestations of composition maps. We write  $\mathfrak{C}[\mathcal{C}]$  for the **rectified category**, which is simply  $\mathcal{C}$  but with  $\mathrm{Map}$  replacing  $\mathrm{Map}$ . Note that the rectified category is a true simplicial category.

**4.7. Simplicial nerve.** There exists a *non-trivial* extension of the nerve construction to simplicial categories that takes into account the simplicial structure, i.e. the **simplicial nerve**  $N_{\Delta}(\mathcal{E})$  is a simplicial set when  $\mathcal{E}$  is a simplicial category.

**4.8. Application.** We can model a simplicial category  $\mathcal{E}$  by the simplicial set  $N_{\Delta}(\mathcal{E})$ .

**4.9. Theorem.** (Joyal-Lurie) There exists a model structure on  $\mathrm{sSet}$  with

- cofibrant-fibrant objects = quasi-categories;
- weak equivalences = essentially surjective morphisms that induce a weak equivalence on mapping spaces.

**4.10. Theorem.** (Bergner) There exists a model structure on  $\mathrm{Cat}^{\Delta^{\mathrm{op}}}$  with

- cofibrant-fibrant objects = simplicial categories enriched over Kan complexes;
- weak equivalences = essentially surjective morphisms that induce a weak equivalence on mapping spaces.

**4.11. Theorem.** (Lurie) The adjunction  $(\mathfrak{C} \dashv N_{\Delta})$  forms a Quillen equivalence.

**4.12. Example.** Let  $\mathcal{M}$  be a simplicial model category and write  $\mathcal{M}^{\mathrm{cf}}$  to mean the subcategory of cofibrant-fibrant objects. Then  $\mathcal{M}^{\mathrm{cf}}$  is enriched over Kan complexes and so  $N_{\Delta}(\mathcal{M}^{\mathrm{cf}})$  is a quasi-category.

**4.13. Rectification of diagrams.** Let  $\mathcal{D}$  be a category and  $\mathcal{M}$  a combinatorial simplicial model category. Then there is an equivalence of quasi-categories

$$\mathrm{Fun}\left(N(\mathcal{D}), N_{\Delta}(\mathcal{M}^{\mathrm{cf}})\right) \simeq N_{\Delta}\left((\mathcal{M}^{\mathcal{D}})^{\mathrm{cf}}\right)$$

where we endow  $\mathcal{M}^{\mathcal{D}}$  with the projective model structure.

**4.14. Explicit examples.** The following three examples are prototypical.

I. The  $\infty$ -**category  $\mathcal{S}$  of spaces**. This is  $\mathcal{S} = N_{\Delta}(\mathrm{sSet}^{\mathrm{cf}})$  where

- $\mathrm{sSet}$  has the model structure used to study weak homotopy equivalences etc.;
- the cofibrant-fibrant objects are Kan complexes;
- $\mathrm{Map}_{\mathcal{S}}(x, y) \simeq \underline{\mathrm{Hom}}_{\Delta}(x, y)$ .

II. The  $\infty$ -**category  $\mathrm{Cat}_{\infty}$  of  $\infty$ -categories**. This is  $\mathrm{Cat}_{\infty} = N_{\Delta}(\mathrm{sSet})$  where  $\mathrm{sSet}$  has the model structure as in Joyal-Lurie, but modified in some way so as to make it a simplicial model category.

III. The  $\infty$ -**category  $\mathrm{PreSh}(N(\mathcal{D}))$  of presheaves of spaces**. Here  $\mathcal{D}$  is an arbitrary category and  $\mathrm{sSet}$  has the same model structure as in example I. Then

$$\mathrm{PreSh}(N(\mathcal{D})) = \mathrm{Fun}(N(\mathcal{D}^{\mathrm{op}}), \mathcal{S}) \simeq \mathrm{Fun}\left(N(\mathcal{D}^{\mathrm{op}}), N_{\Delta}(\mathrm{sSet}^{\mathrm{cf}})\right) \simeq N_{\Delta}\left((\mathrm{sSet}^{\mathcal{D}^{\mathrm{op}}})^{\mathrm{cf}}\right).$$

## 5 Homotopy colimits

5.1. Initial object.

## 6 Localisation

## 7 Presheaves and $\infty$ -functors

## 8 Presentability

## 9 Symmetric monoidal $\infty$ -categories

## 10 Subtleties