

Chapter 6

Graphs

2024 Spring

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Spanning Trees

Shortest Paths

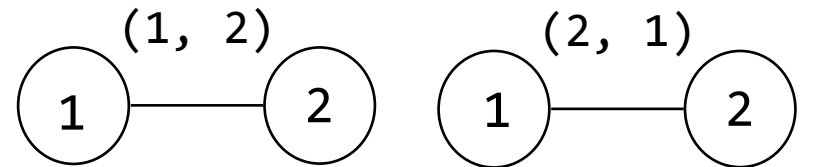
Definitions

❖ $G = (V, E)$, where

- $V(G)$: set of vertices - finite and nonempty (정점)
- $E(G)$: set of edges – finite and possibly empty (간선)
- Restrictions
 - A graph may not have an edge from a vertex, I , back to itself
 - A graph may not have multiple occurrence of the same edge

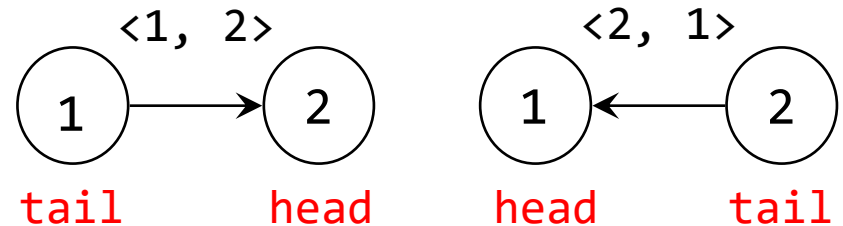
❖ Undirected graph

- unordered: $(u, v) = (v, u)$

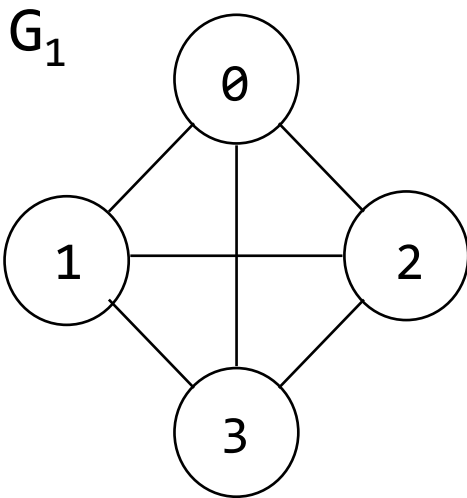


❖ Directed graph (digraph)

- ordered: $\langle u, v \rangle \neq \langle v, u \rangle$



Examples of Graph (1)



$$V(G_1) = \{0, 1, 2, 3\}$$

$$E(G_1) = \{(0,1), (0,2), (0,3), (1,2), (1,3), (2,3)\}$$

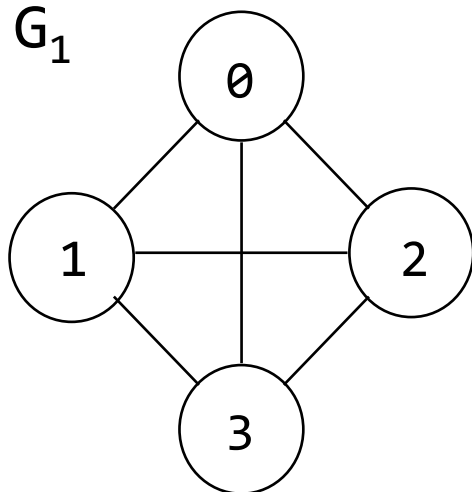
G_2

$$V(G_2) = \{0, 1, 2, 3, 4, 5, 6\}$$

$$E(G_2) = \{(0,1), (0,2), (1,3), (1,4), (2,5), (2,6)\}$$

Examples of Graph (1)

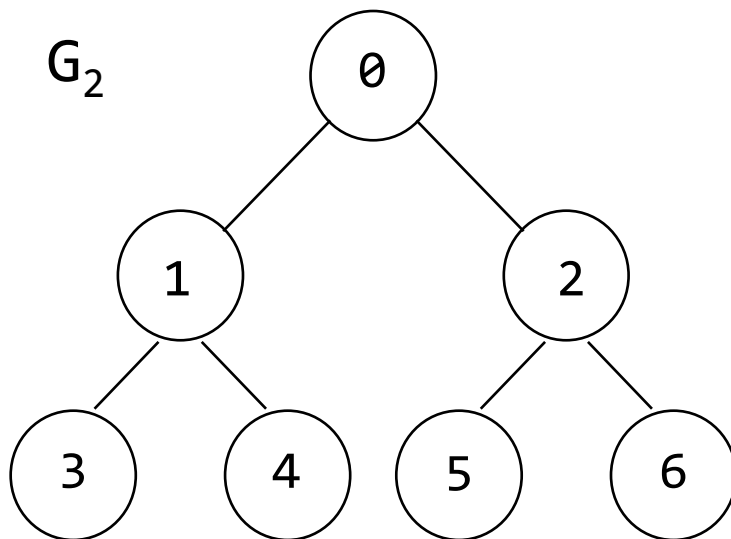
G_1



$$V(G_1) = \{0, 1, 2, 3\}$$

$$E(G_1) = \{(0,1), (0,2), (0,3), (1,2), (1,3), (2,3)\}$$

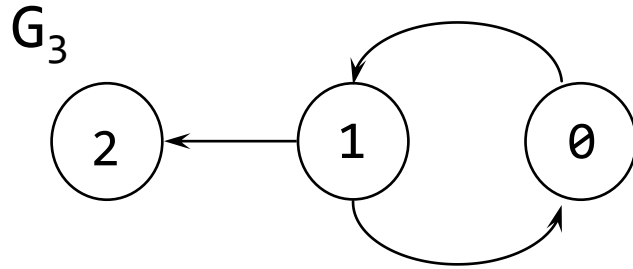
G_2



$$V(G_2) = \{0, 1, 2, 3, 4, 5, 6\}$$

$$E(G_2) = \{(0,1), (0,2), (1,3), (1,4), (2,5), (2,6)\}$$

Examples of Graph (2)



$$V(G_3) = \{0, 1, 2\}$$

$$E(G_3) = \{\langle 0, 1 \rangle, \langle 1, 0 \rangle, \langle 1, 2 \rangle\}$$

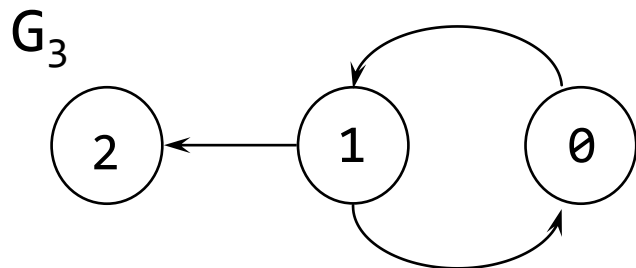
G_4

$$G_5 \quad V(G_5) = \{0, 1, 2, 3\}$$
$$E(G_5) = \{(0, 1), (1, 2), (1, 3), (3, 1), (3, 2), (2, 3), (3, 2)\}$$

$$V(G_4) = \{0, 1, 2\}$$

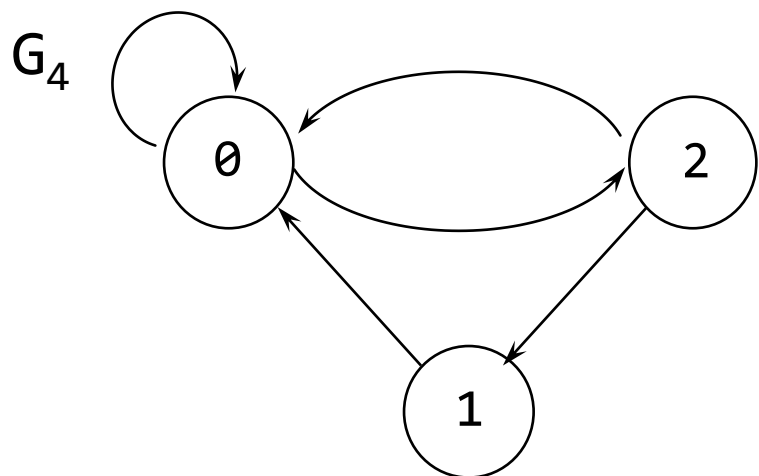
$$E(G_4) = \{\langle 0, 0 \rangle, \langle 0, 2 \rangle, \langle 1, 0 \rangle, \langle 2, 1 \rangle, \langle 2, 0 \rangle\}$$

Examples of Graph (2)



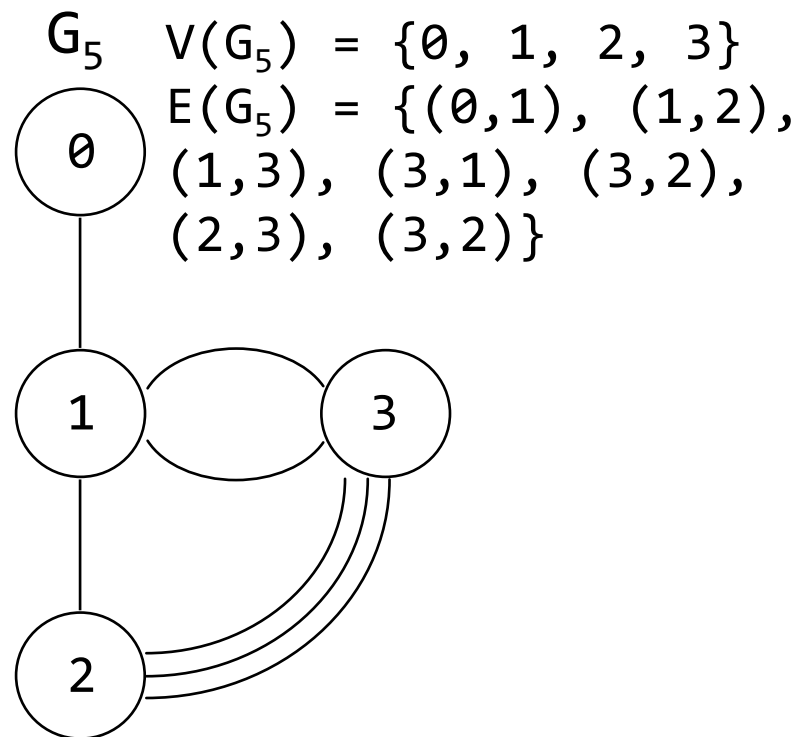
$$V(G_3) = \{0, 1, 2\}$$

$$E(G_3) = \{\langle 0, 1 \rangle, \langle 1, 0 \rangle, \langle 1, 2 \rangle\}$$



$$V(G_4) = \{0, 1, 2\}$$

$$E(G_4) = \{\langle 0, 0 \rangle, \langle 0, 2 \rangle, \langle 1, 0 \rangle, \langle 2, 1 \rangle, \langle 2, 0 \rangle\}$$



$$V(G_5) = \{0, 1, 2, 3\}$$

$$E(G_5) = \{(0, 1), (1, 2), (1, 3), (3, 1), (3, 2), (2, 3), (3, 0)\}$$

Terminology (1)

❖ Complete graph (완전 그래프)

A graph that has the maximum number of edges

→ For an undirected graph with n vertices,

the maximum number of the edges = $n(n-1)/2$

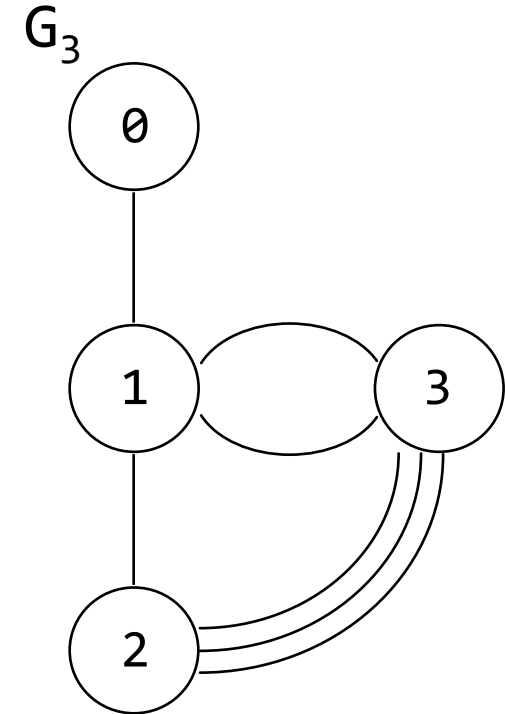
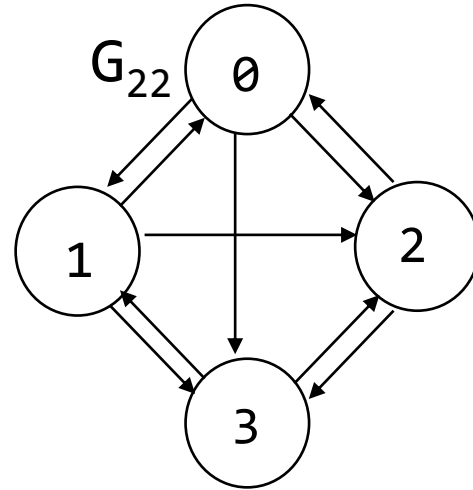
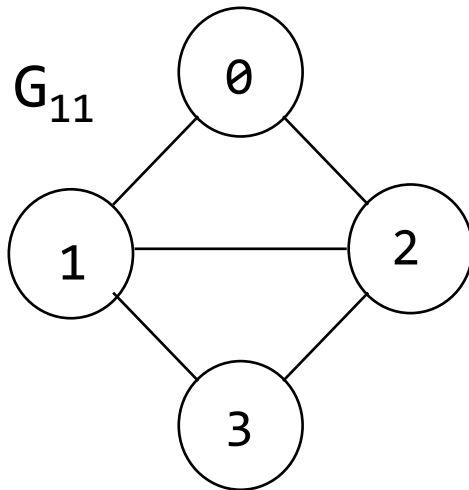
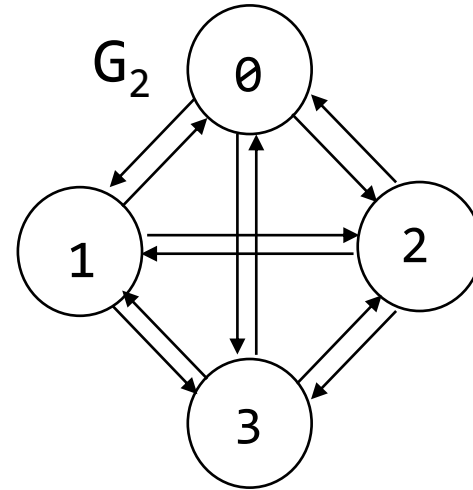
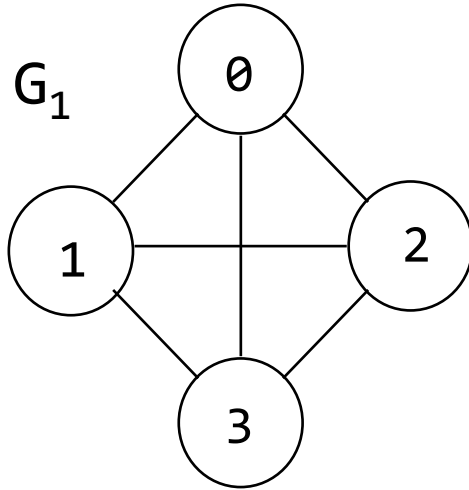
→ For a directed graph with n vertices,

the maximum number of the edges = $n(n-1)$

❖ Multigraph (다중그래프)

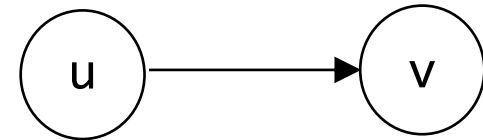
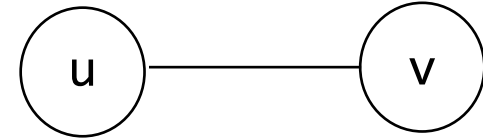
A graph whose edges are unordered pairs of vertexes, and the same pair of vertexes can be connected by multiple edges

Terminology - Example



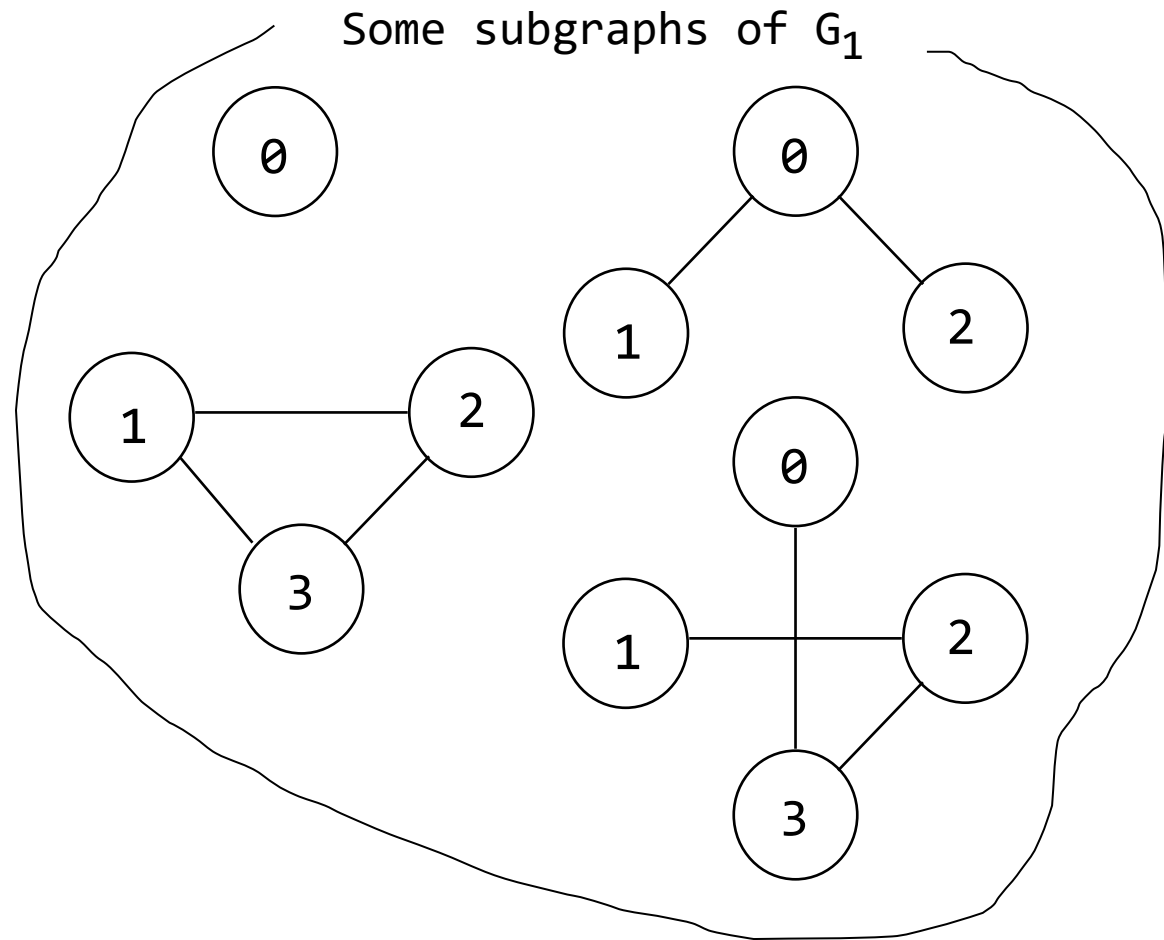
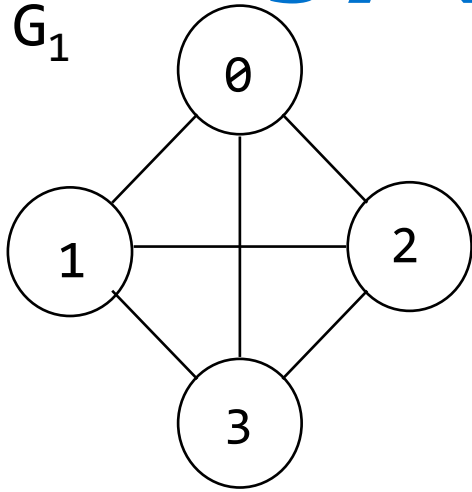
Terminology (2)

- ❖ If (u, v) is an edge of an **undirected graph**,
 - The vertices u and v are **adjacent** (인접한)
 - The edge (u, v) is **incident** (부속된) on u and v
- ❖ If $\langle u, v \rangle$ is a **directed edge**,
 - The vertex u is **adjacent to** v
 - The vertex v is **adjacent from** u
 - The edge $\langle u, v \rangle$ is **incident on** u and v



A **subgraph** of G is a graph G' such that
 $V(G') \subseteq V(G)$ and $E(G') \subseteq E(G)$

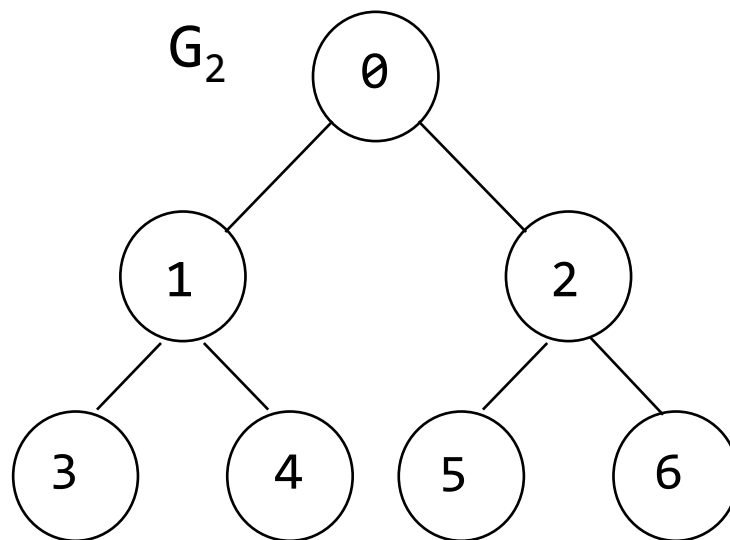
Terminology (3)



Terminology (4)

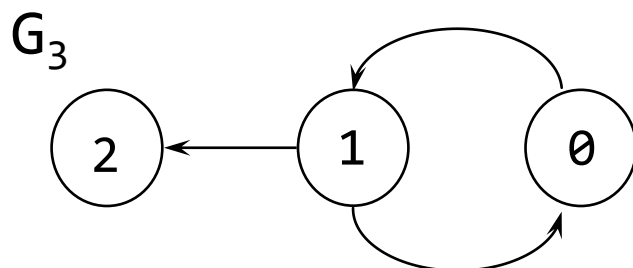
- ❖ A **path** from vertex u to v in graph G is a sequence of vertices, $u, i_1, i_2, \dots, i_k, v$ such that $(u, i_1), (i_1, i_2), \dots, (i_k, v)$ are edges in an undirected graph
 - If G' is a directed graph, the path consists of $\langle u, i_1 \rangle, \langle i_1, i_2 \rangle, \dots, \langle i_k, v \rangle$
 - The length of a path is the number of the edges on it
- ❖ A **simple path** is a path in which all vertices, except possibly the first and the last, are distinct
- ❖ A **cycle** is a simple path in which the first and the last vertices are the same

Terminology (5)



$V(G_2) = \{0, 1, 2, 3, 4, 5, 6\}$
 $E(G_2) = \{(0,1), (0,2), (1,3), (1,4), (2,5), (2,6)\}$

Simple path: 0, 2, 6
0, 1, 4
2, 5
...
0, 2, 6, 2, 6 ??



$V(G_3) = \{0, 1, 2\}$
 $E(G_3) = \{<0,1>, <1,0>, <1,2>\}$

Simple path: 0, 1, 2
1, 0
1, 2

Cycle: 0, 1, 0
0, 1, 0, 1, 2 ??

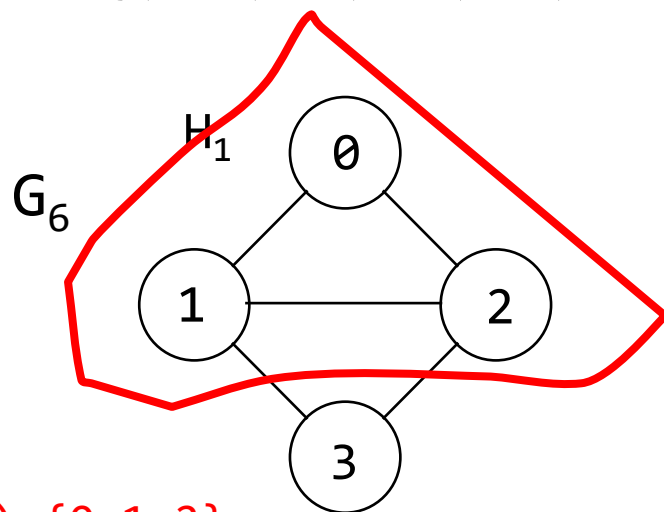
Terminology (6)

- ❖ In an undirected graph G , two vertices u and v are **connected** if there is **a path in G from u and v**
- ❖ **A connected component** or simply **a component** of an undirected graph is a maximal connected subgraph
- ❖ A tree is a graph that is connected and acyclic

Terminology (7)

$$V(G_6) = \{\emptyset, 1, 2, 3, 4, 5, 6, 7\}$$

$$E(G_6) = \{(\emptyset, 1), (\emptyset, 2), (1, 2), (1, 3), (2, 3), (4, 5), (5, 6), (6, 7)\}$$



$$V(H_3) = \{\emptyset, 1, 2\}$$

$$E(H_3) = \{(\emptyset, 1), (\emptyset, 2), (1, 2)\}$$

Connected component?? No

Connected components

$$V(H_1) = \{\emptyset, 1, 2, 3\}$$

$$E(H_1) = \{(\emptyset, 1), (\emptyset, 2), (1, 2), (1, 3), (2, 3)\}$$

$$V(H_2) = \{4, 5, 6, 7\}$$

$$E(H_2) = \{(4, 5), (5, 6), (6, 7)\}$$

Is \emptyset adjacent to 1?

Is \emptyset adjacent to 3?

Is \emptyset connected to 3?

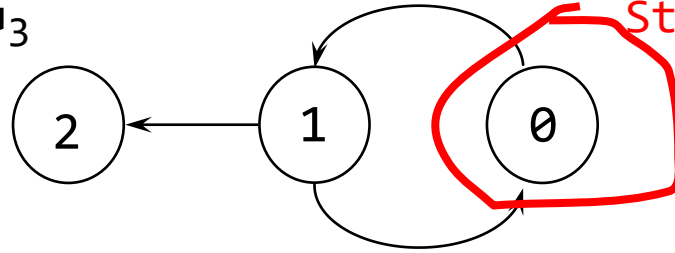
Is 3 connected to 4?

Terminology (8)

- ❖ A directed graph is **strongly connected** if, for every pair of vertices, u and v in $V(G)$, there is a directed path from u and v and also from v to u
- ❖ A **strongly connected component** is a maximal subgraph that is strongly connected

Terminology (9)

G_3



$V(H_5) = \{0\}$, $E(H_5) = \{\}$

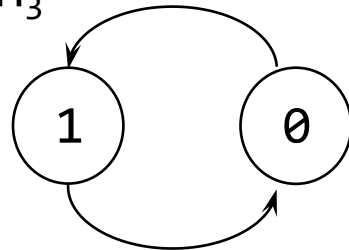
Strongly connected component?? No

$V(G_3) = \{0, 1, 2\}$

$E(G_3) = \{\langle 0, 1 \rangle, \langle 1, 0 \rangle, \langle 1, 2 \rangle\}$

Strongly connected components

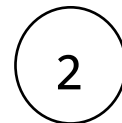
H_3



$V(H_3) = \{0, 1\}$

$E(H_3) = \{\langle 0, 1 \rangle, \langle 1, 0 \rangle\}$

H_4



$V(H_4) = \{2\}$

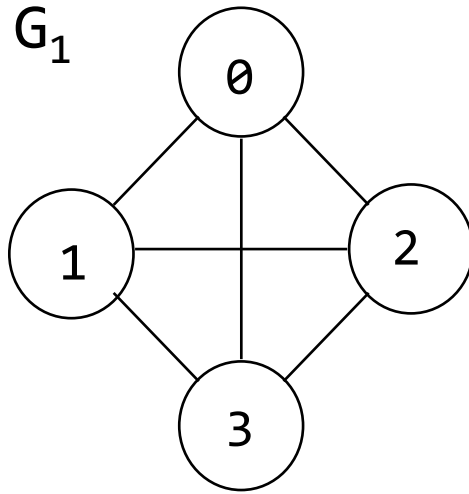
$E(H_4) = \{\}$

Terminology (10)

- ❖ The degree of a vertex is the number of edges incident to that vertex
- ❖ For a directed graph,
 - the **in-degree** of a vertex v is defined as the number of edges that have v as the head
 - the **out-degree** of a vertex v is defined as the number of edges that have v as the tail
- ❖ Property
 - e : the number of edges
 - d_i : the degree of a vertex i in graph G

$$e = \left(\sum_{i=0}^{n-1} d_i \right) / 2$$

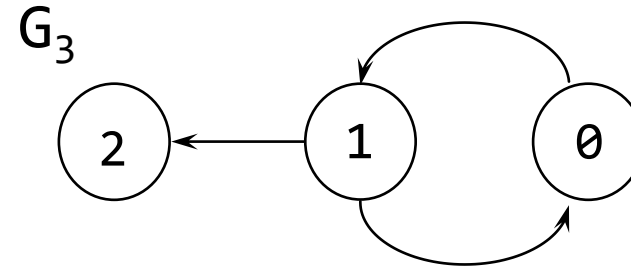
Terminology (11)



Degree of 0

Degree of 1

Degree of 3



in-degree of 1

out-degree of 1

out-degree of 2

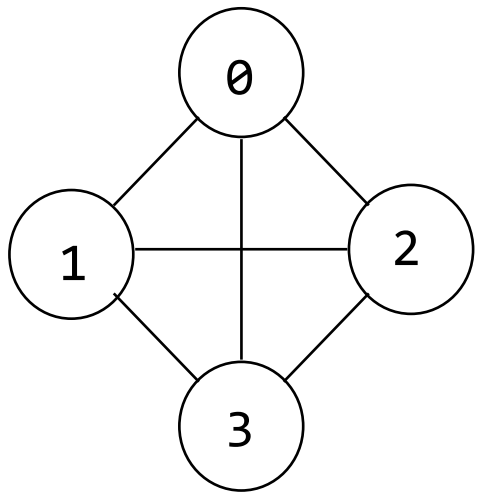
Graph representations

1. Adjacency Matrix
2. Adjacency Lists

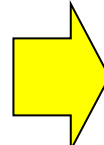
Adjacency Matrix

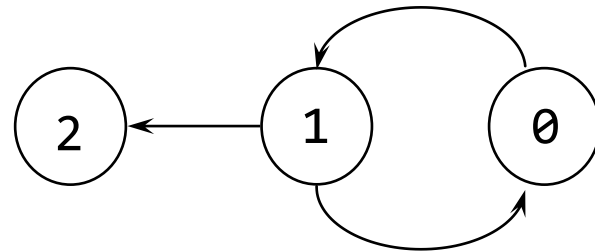
Two-dimensional $n \times n$ array, when the number of nodes is n

$$a[i][j] = \begin{cases} 1, & \text{if } (i,j) \text{ is adjacent} \\ 0, & \text{otherwise} \end{cases}$$

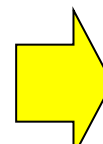


G_1


$$\begin{matrix} & [0] & [1] & [2] & [3] \\ \begin{matrix} [0] \\ [1] \\ [2] \\ [3] \end{matrix} & \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix} \end{matrix}$$



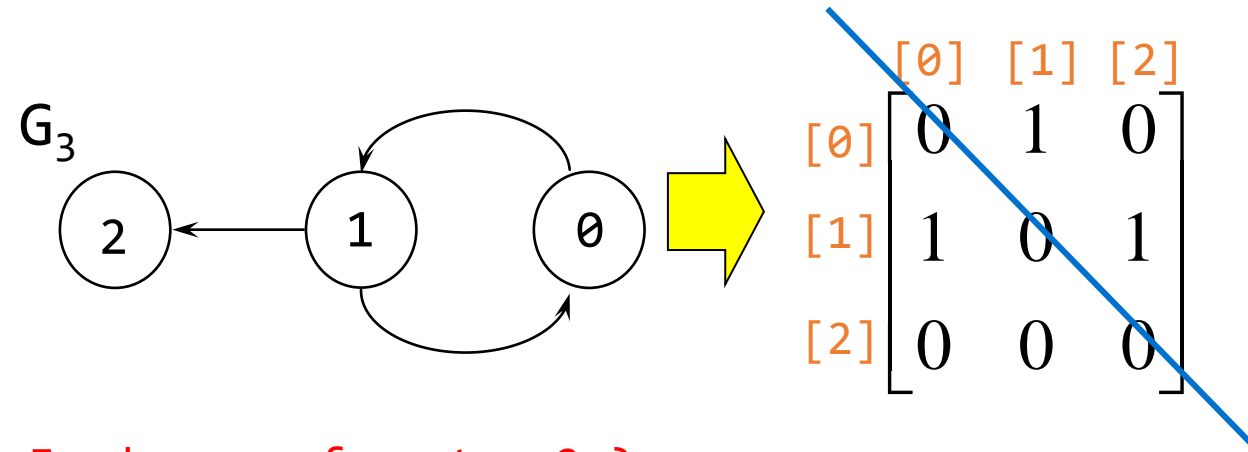
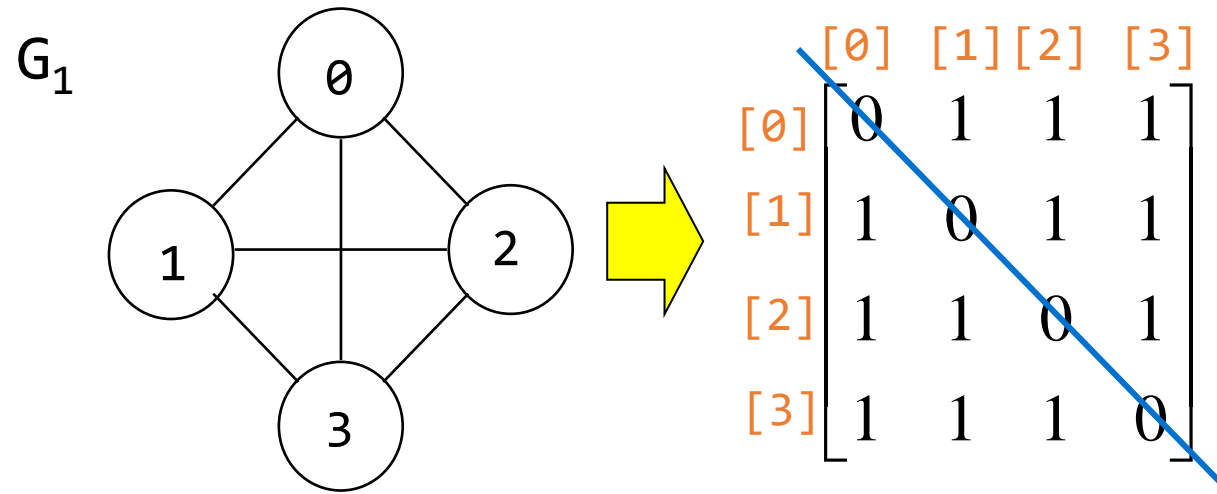
G_3


$$\begin{matrix} & [0] & [1] & [2] \\ \begin{matrix} [0] \\ [1] \\ [2] \end{matrix} & \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix} \end{matrix}$$

Property of Adjacency Matrix

- ❖ The adjacency matrix for an **undirected graph** is **symmetric**
- ❖ The adjacency matrix for a **digraph** need **not be symmetric**
- ❖ For an undirected graph, the degree of any vertex i is its row sum
- ❖ For a directed graph,
the row sum is the out-degree;
the column sum is the in-degree

$\langle i, j \rangle \rightarrow$ row i , column j is set to 1



In-degree of vertex 2 ?
out-degree of vertex 2 ?

| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |

| | |
|-----------|--------|
| (사당, 아주대) | (0, 1) |
| (사당, 강남) | (0, 2) |
| (강남, 의왕) | (2, 3) |
| (평촌, 의왕) | (4, 3) |
| (수지, 강남) | (5, 2) |
| (아주대, 강남) | (1, 2) |
| (명동, 서울역) | (6, 7) |

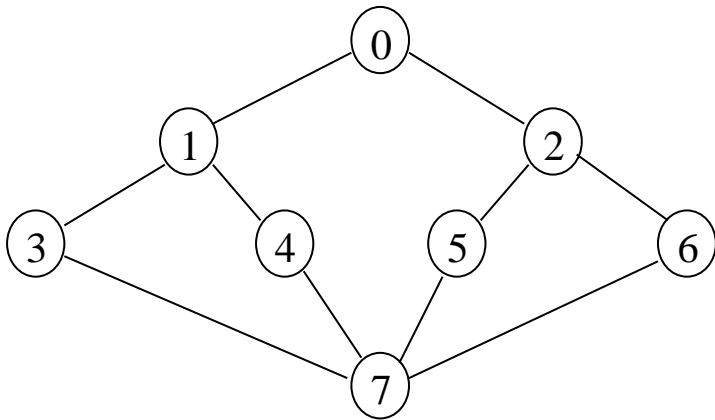
| | [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| [0] | | | | | | | | |
| [1] | | | | | | | | |
| [2] | | | | | | | | |
| [3] | | | | | | | | |
| [4] | | | | | | | | |
| [5] | | | | | | | | |
| [6] | | | | | | | | |
| [7] | | | | | | | | |

| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |

(사당, 아주대) (0, 1)
 (사당, 강남) (0, 2)
 (강남, 의왕) (2, 3)
 (평촌, 의왕) (4, 3)
 (수지, 강남) (5, 2)
 (아주대, 강남) (1, 2)
 (명동, 서울역) (6, 7)

| | [0] | [1] | [2] | [3] | [4] | [5] | [6] | [7] |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| [0] | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| [1] | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| [2] | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 0 |
| [3] | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 |
| [4] | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| [5] | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| [6] | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| [7] | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |

Waste of memory



Utilization = $20/64 = 31(\%)$

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |
| 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 |

Adjacency Lists

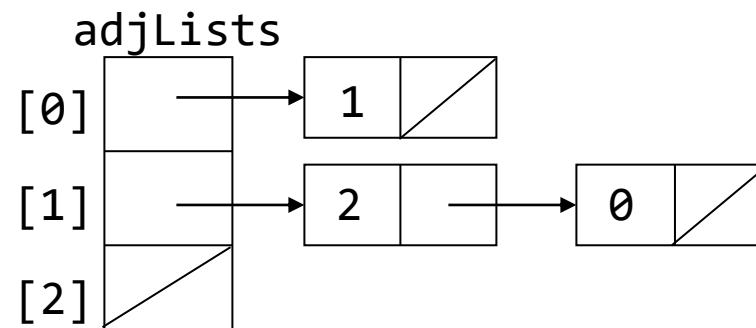
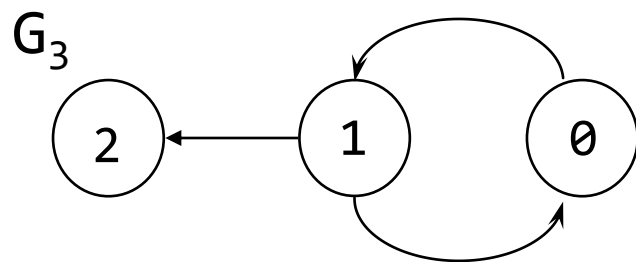
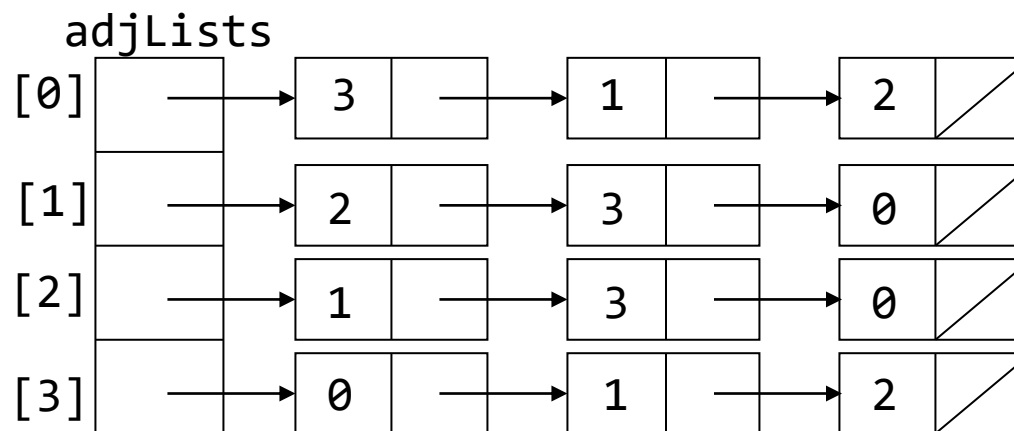
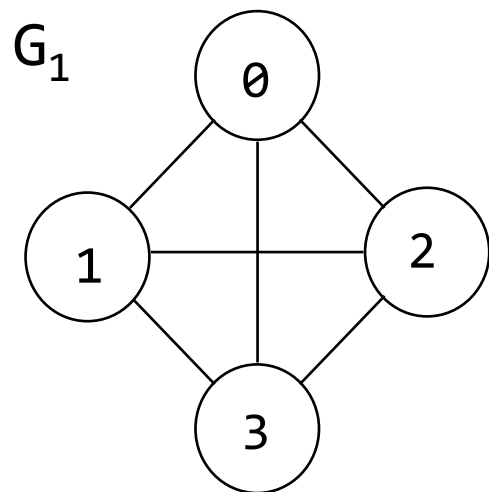
Replace n rows of adjacency matrix with n linked lists

Every vertex i in G has one list

The nodes in chain i represent the vertices that are adjacent from i

The vertices in each chain are not required to be ordered

Adjacency Lists - Examples



Adjacency Lists in C

```
typedef struct node *nodePointer;  
typedef struct node {  
    int vertex;  
    nodePointer link;  
};  
nodePointer adjLists[MAX_NODES];
```

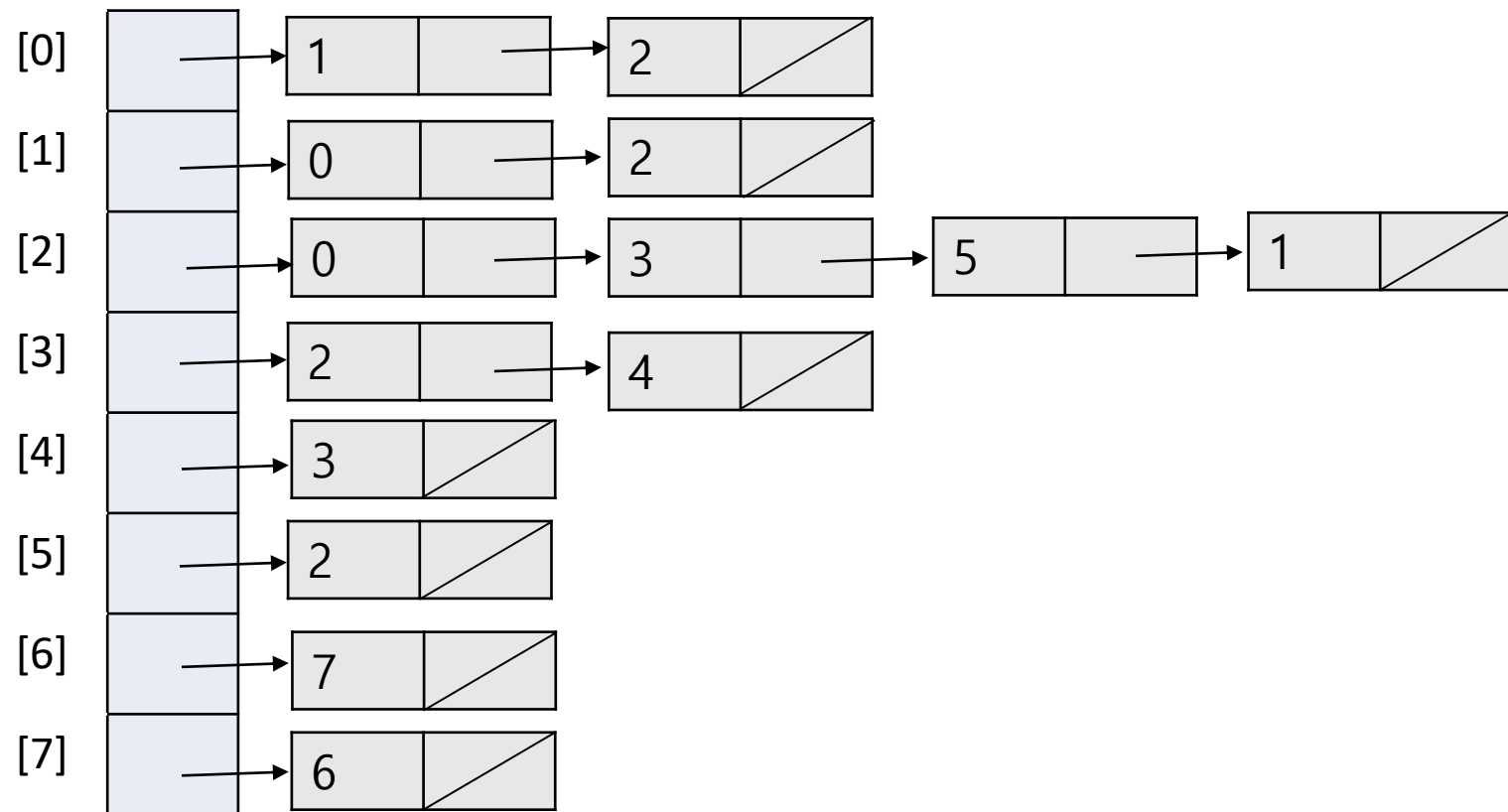
| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |

| | |
|-----|--|
| [0] | |
| [1] | |
| [2] | |
| [3] | |
| [4] | |
| [5] | |
| [6] | |
| [7] | |

| | |
|-----------|--------|
| (사당, 아주대) | (0, 1) |
| (사당, 강남) | (0, 2) |
| (강남, 의왕) | (2, 3) |
| (평촌, 의왕) | (4, 3) |
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| (명동, 서울역) | (6, 7) |

| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |

(사당, 아주대) (0, 1)
(사당, 강남) (0, 2)
(강남, 의왕) (2, 3)
(평촌, 의왕) (4, 3)
(수지, 강남) (5, 2)
(아주대, 강남) (1, 2)
(명동, 서울역) (6, 7)



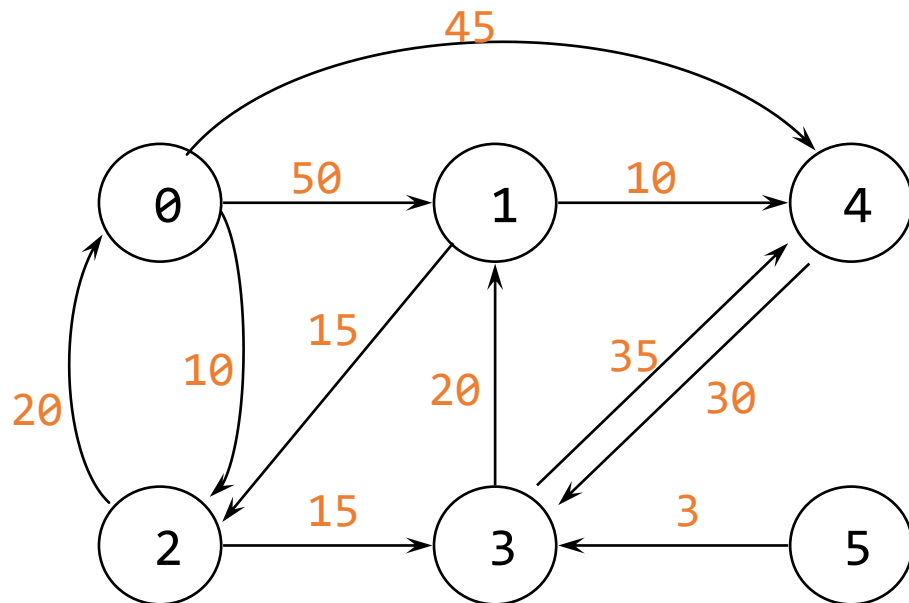
Weighted Edges (가중치 간선)

❖ Assign weights to edges of a graph

- Distance from one vertex to another, or
- Cost of going from one vertex to an adjacent vertex

❖ Modify representation to signify an edge with the weight of the edge

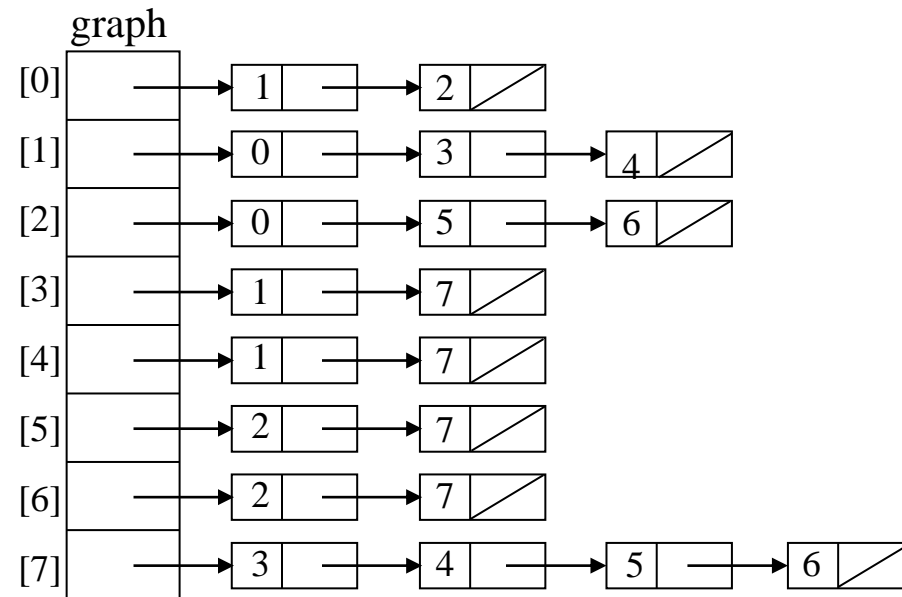
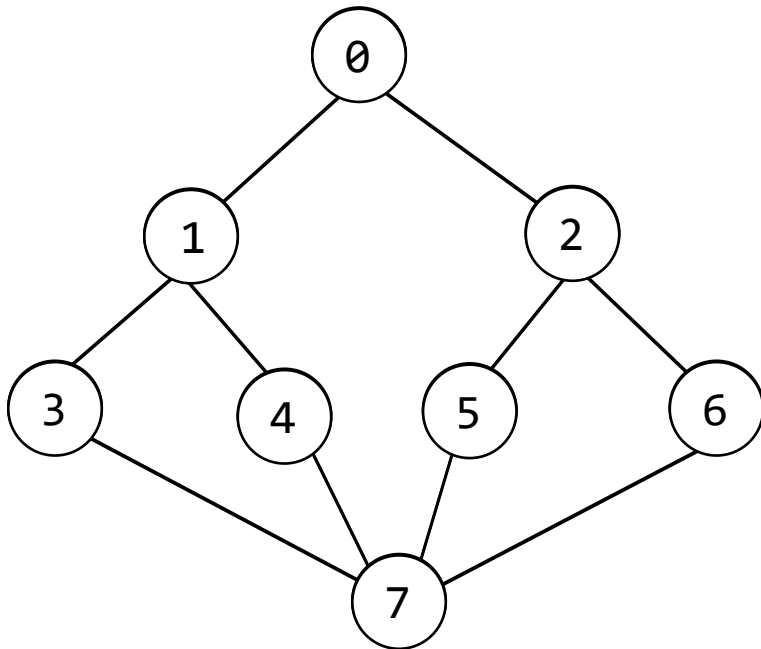
- for adjacency matrix : weight instead of 1
- for adjacency list : add weight field



| | [0] | [1] | [2] | [3] | [4] | [5] |
|-----|----------|----------|----------|----------|----------|----------|
| [0] | 0 | 50 | 10 | ∞ | 45 | ∞ |
| [1] | ∞ | 0 | 15 | ∞ | 10 | ∞ |
| [2] | 20 | ∞ | 0 | 15 | ∞ | ∞ |
| [3] | ∞ | 20 | ∞ | 0 | 35 | ∞ |
| [4] | ∞ | ∞ | ∞ | 30 | 0 | ∞ |
| [5] | ∞ | ∞ | ∞ | 3 | ∞ | 0 |

Graph Traversal

- ❖ Visit every vertex in a graph
 - DFS (Depth First Search) - similar to a preorder tree traversal
 - BFS (Breath First Search) - similar to a level-order tree traversal



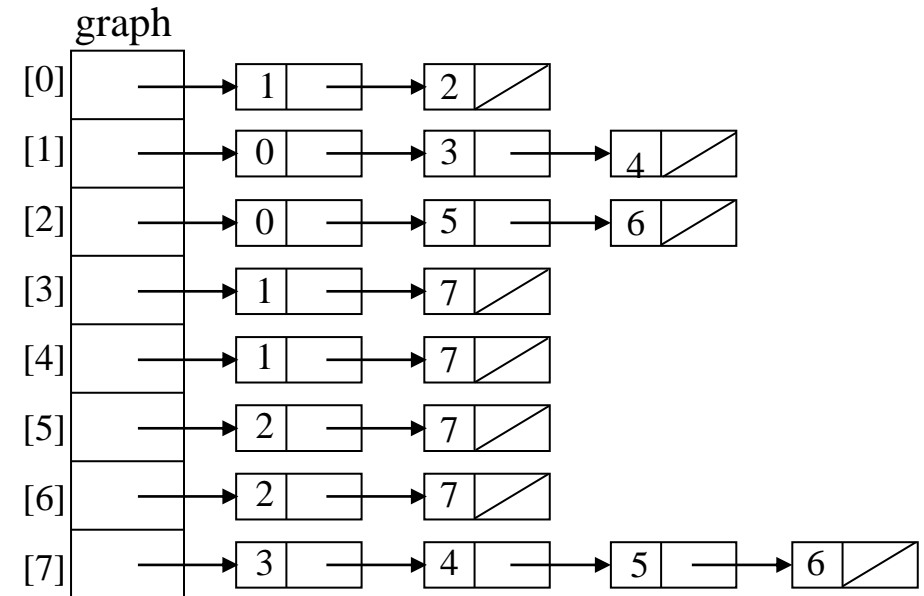
Depth First Search

```
#define FALSE 0
#define TRUE 1

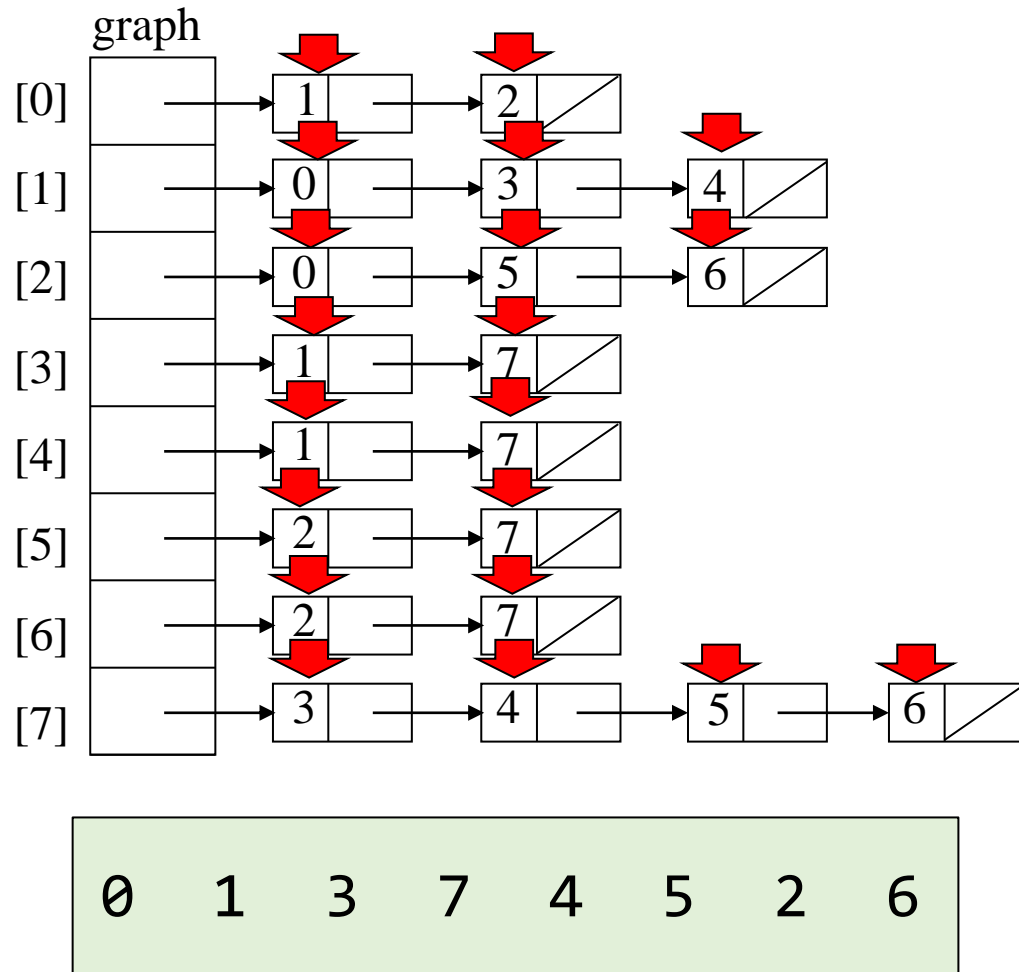
short int visited[MAX_VERTICES];
nodePointer graph[MAX_VERTICES];

void dfs(int v)
{
    /* depth first search of a graph beginning at v */
    nodePointer w;
    visited[v] = TRUE;
    printf("%5d", v);
    for (w = graph[v]; w; w = w->link){
        if (!visited[w->vertex])
            dfs(w->vertex);
    }
}
```

```
typedef struct node *nodePointer;
typedef struct node {
    int vertex;
    nodePointer link;
};
nodePointer adjLists[MAX_NODES];
```



Example of DFS



```
void dfs(int v)
{
    nodePointer w;
    visited[v] = TRUE;
    printf("%5d", v);
    for (w = graph[v]; w; w = w->link){
        if (!visited[w->vertex])
            dfs(w->vertex);
    }
}
```

dfs(0);

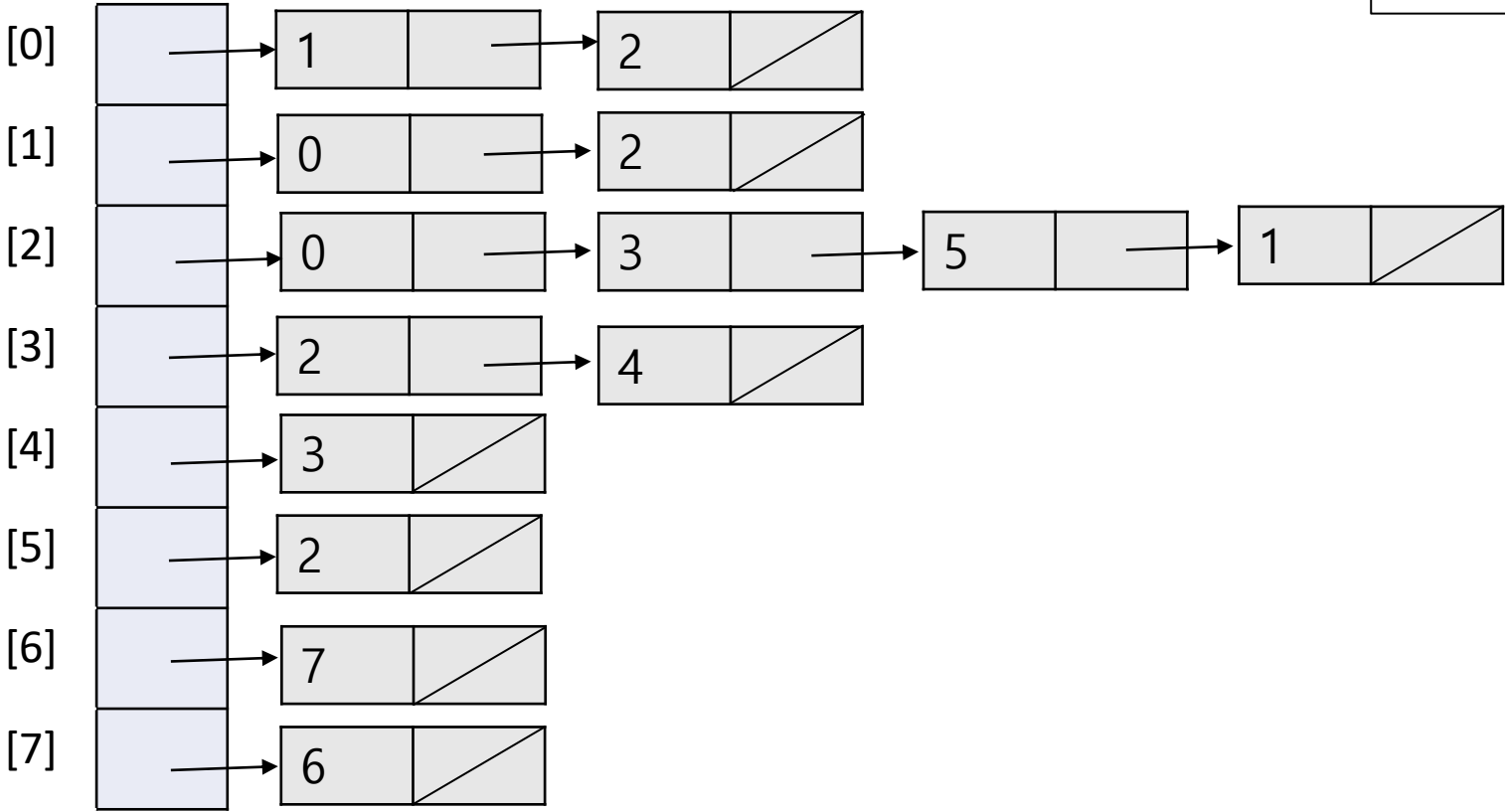
dfs(6)
dfs(2)
dfs(4)
dfs(7)
dfs(3)
dfs(1)
dfs(0)

Function call stack

visited

| | |
|-----|---|
| [0] | 1 |
| [1] | 1 |
| [2] | 1 |
| [3] | 1 |
| [4] | 1 |
| [5] | 1 |
| [6] | 1 |
| [7] | 1 |

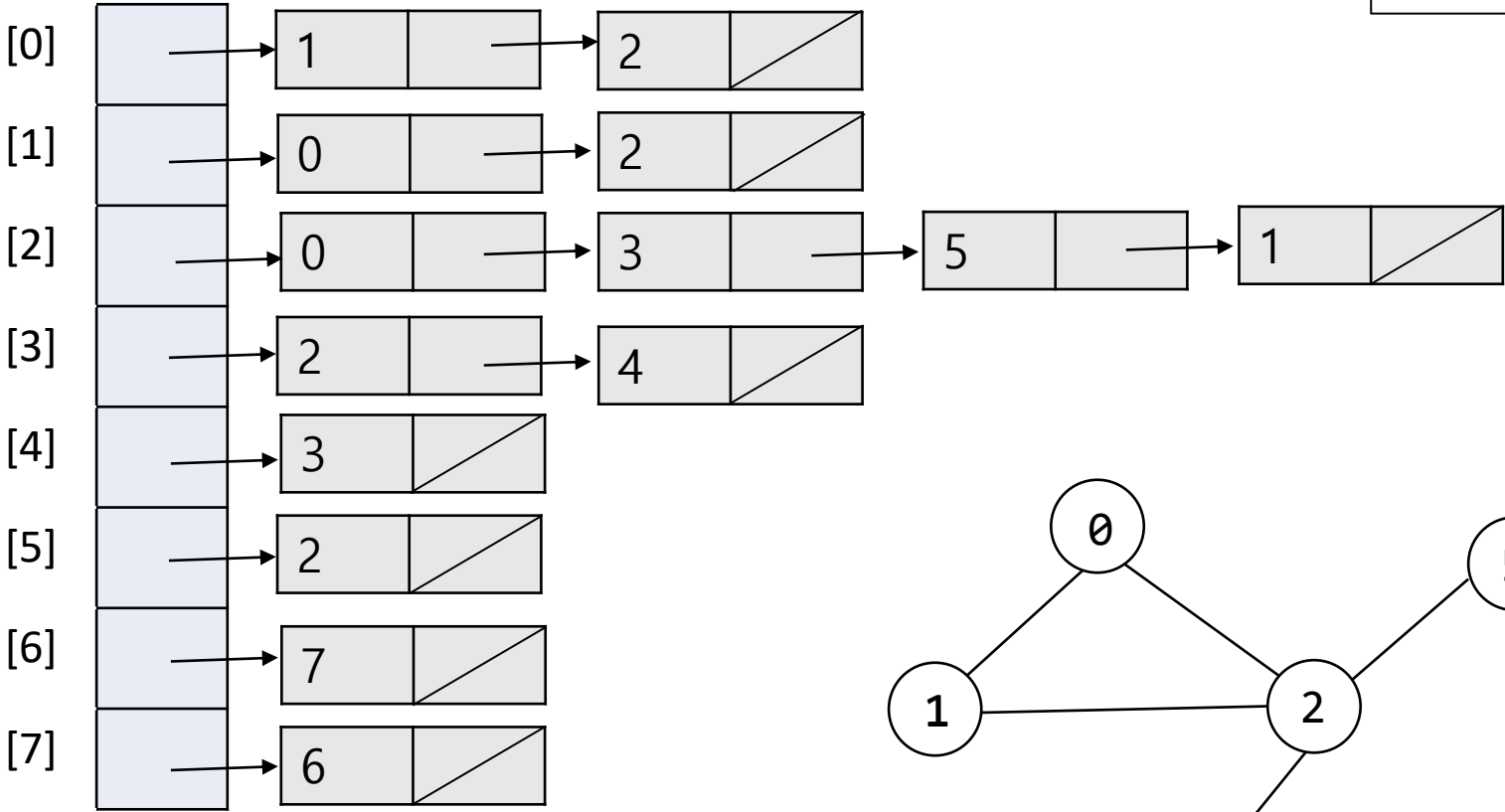
| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |



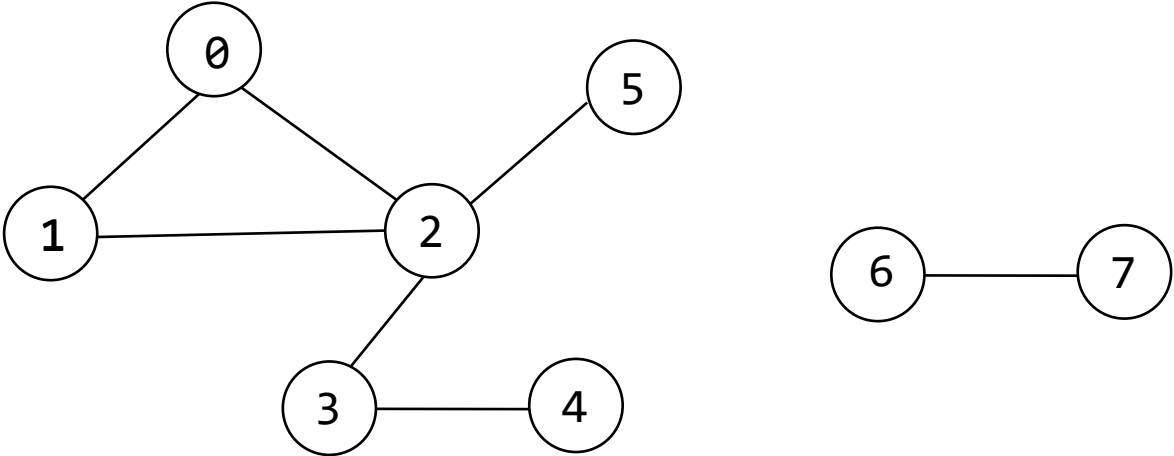
Run dfs(0) and show the result.

```
void dfs(int v)
{
    nodePointer w;
    visited[v] = TRUE;
    printf("%5d", v);
    for (w = graph[v]; w; w = w->link){
        if (!visited[w->vertex])
            dfs(w->vertex);
    }
}
```

| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |



Run dfs(0) and show the result.



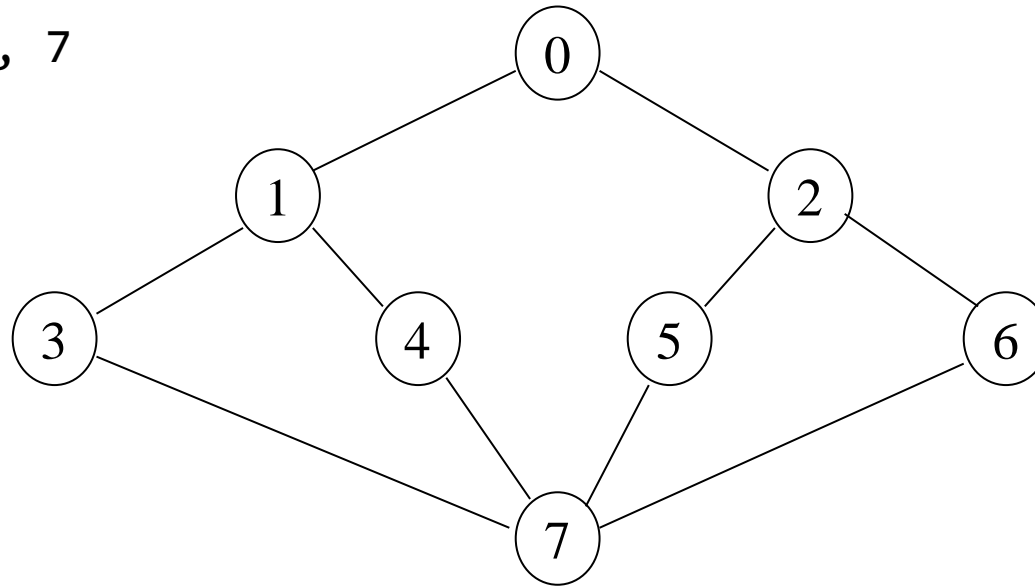
```
void dfs(int v)
{
    nodePointer w;
    visited[v] = TRUE;
    printf("%5d", v);
    for (w = graph[v]; w; w = w->link){
        if (!visited[w->vertex])
            dfs(w->vertex);
    }
}
```

| | | | | | |
|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 |
|---|---|---|---|---|---|

Breadth First Search

- BFS starts at vertex v and marks it as visited
- It then visits each of vertices on v 's adjacency list
- When all the vertices on v 's adjacency list are visited, all the unvisited vertices are visited that are adjacent to the first vertex on v 's adjacency list

0, 1, 2, 3, 4, 5, 6, 7

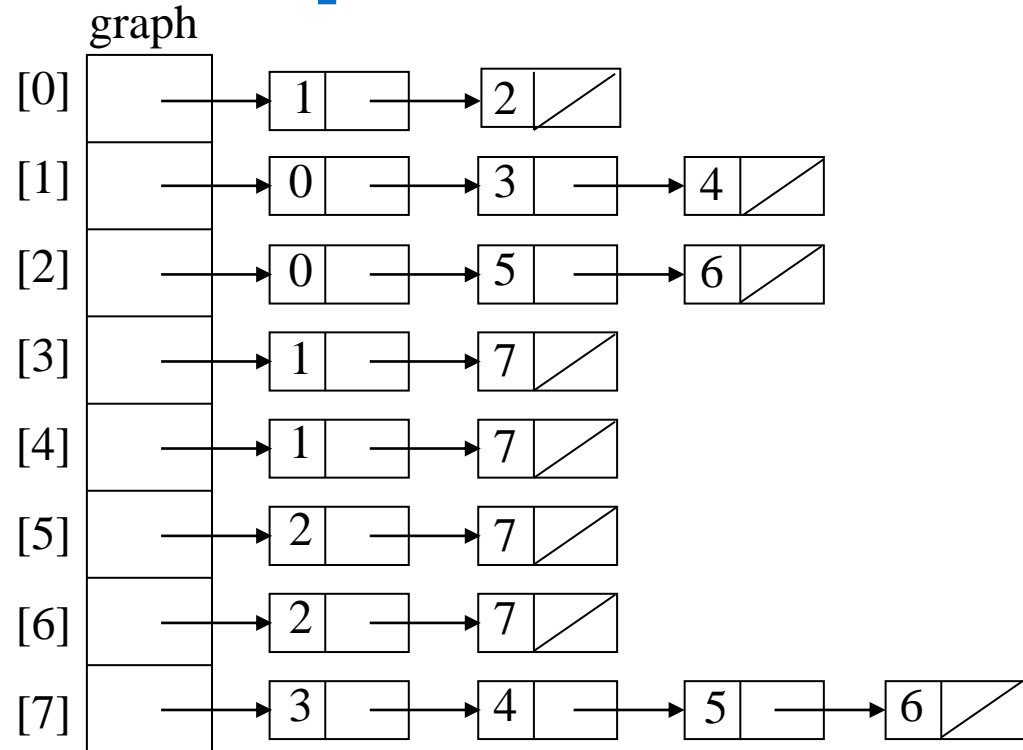


Breadth First Search

```
void bfs(int v)
{
    nodePointer w;
    front = rear = NULL; /* initialize queue */
    printf("%5d", v);
    visited[v] = TRUE;
    addq(v); /* p.159, Chapter 4 */
    while (front) {
        v = deleteq(); /* p.160, Chapter 4 */
        for (w = graph[v]; w; w = w->link)
            if (!visited[w->vertex]) {
                printf("%5d", w->vertex);
                addq(w->vertex);
                visited[w->vertex] = TRUE;
            } /* if */
    } /* while */
}
```

```
typedef struct node *queuePointer;
typedef struct node {
    int vertex;
    queuePointer link;
};
queuePointer front, rear;
```

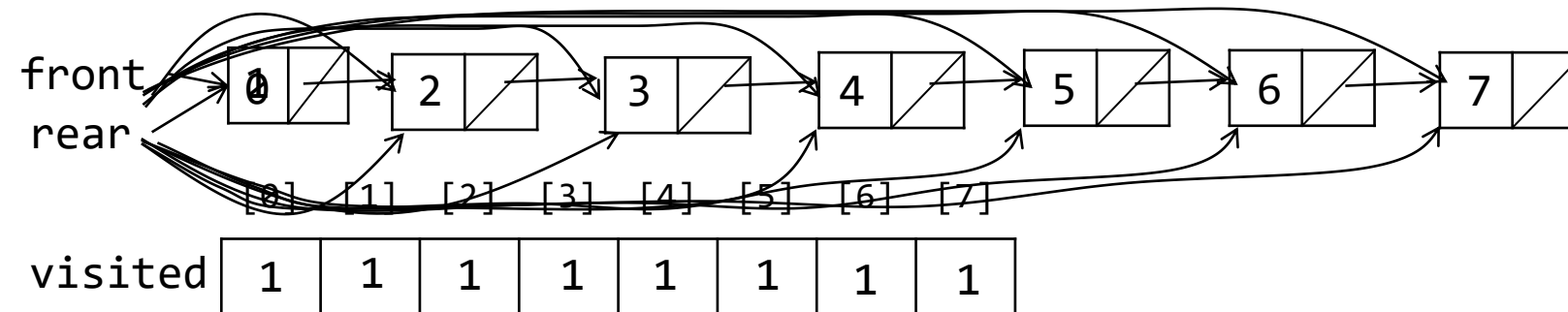
Example of BFS



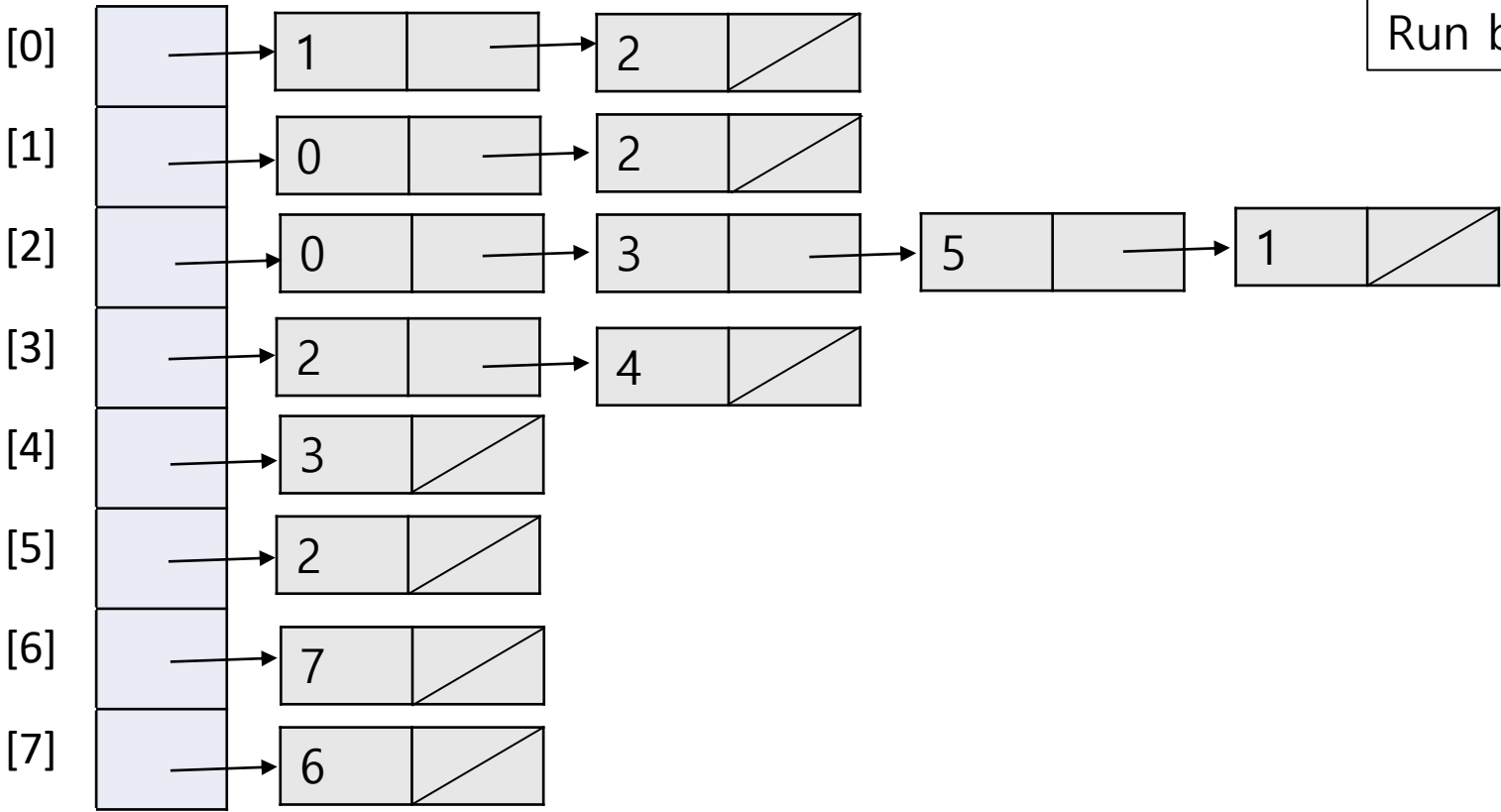
bfs(0);

```
void bfs(int v)
{
    nodePointer w;
    front = rear = NULL;
    printf("%5d", v);
    visited[v] = TRUE;
    addq(v);
    while (front) {
        v = deleteq();
        for (w = graph[v]; w; w = w->link)
            if (!visited[w->vertex]) {
                printf("%5d", w->vertex);
                addq(w->vertex);
                visited[w->vertex] = TRUE;
            } /* if */
    } /* while */
}
```

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---|---|---|---|---|---|---|



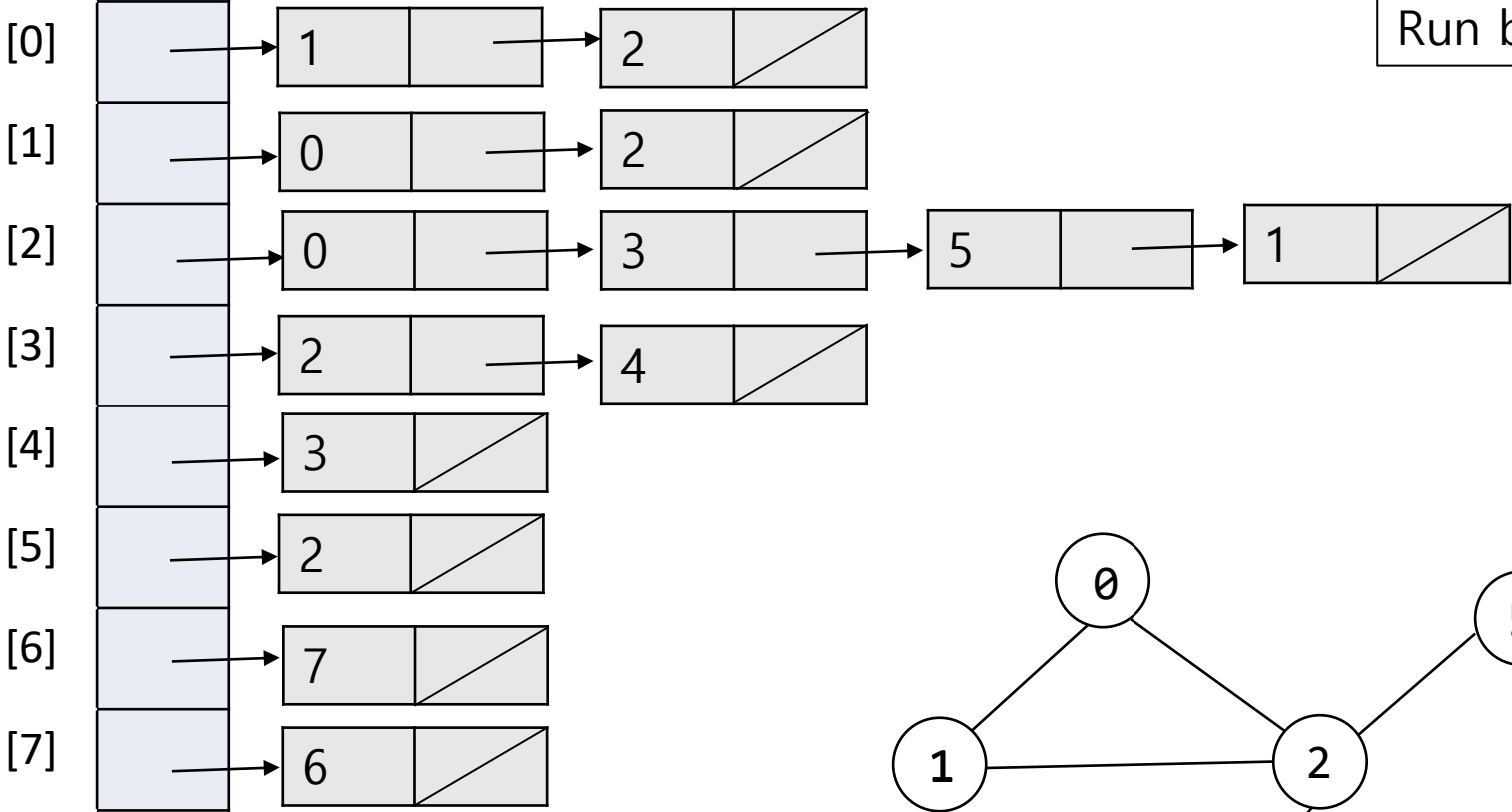
| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |



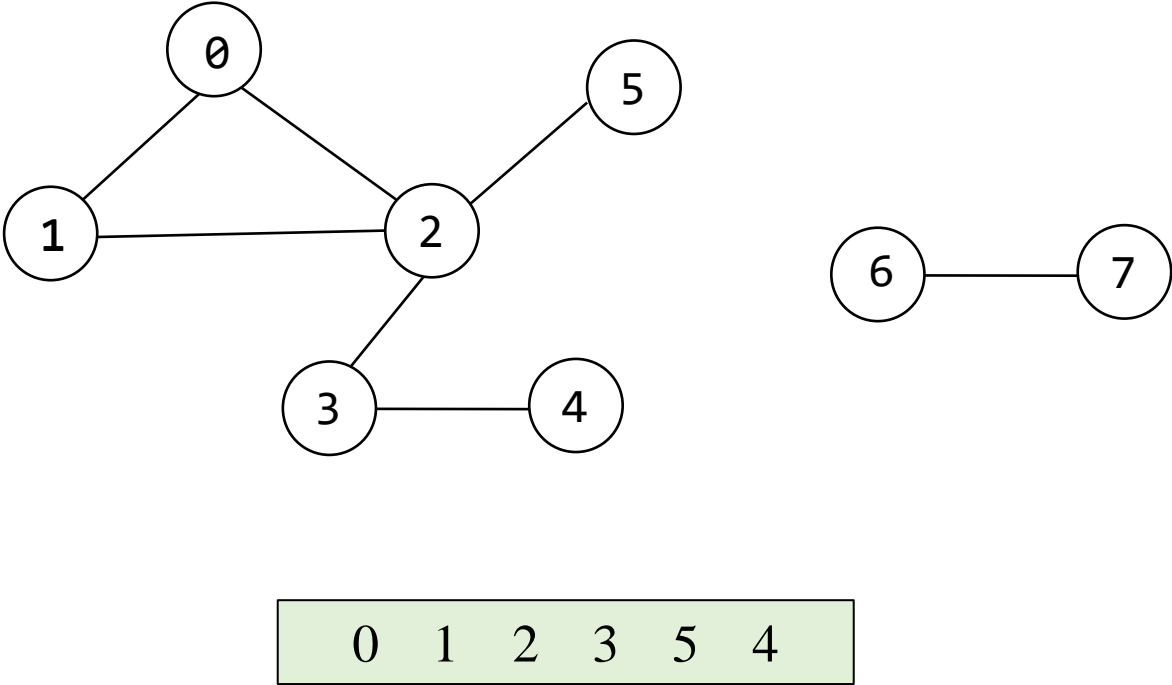
Run bfs(0) and show the result.

```
void bfs(int v)
{
    nodePointer w;
    front = rear = NULL;
    printf("%5d", v);
    visited[v] = TRUE;
    addq(v);
    while (front) {
        v = deleteq();
        for (w = graph[v]; w; w = w->link)
            if (!visited[w->vertex]) {
                printf("%5d", w->vertex);
                addq(w->vertex);
                visited[w->vertex] = TRUE;
            } /* if */
    } /* while */
}
```

| | |
|---|-----|
| 0 | 사당 |
| 1 | 아주대 |
| 2 | 강남 |
| 3 | 의왕 |
| 4 | 평촌 |
| 5 | 수지 |
| 6 | 명동 |
| 7 | 서울역 |



```
void bfs(int v)
{
    nodePointer w;
    front = rear = NULL;
    printf("%5d", v);
    visited[v] = TRUE;
    addq(v);
    while (front) {
        v = deleteq();
        for (w = graph[v]; w; w = w->link)
            if (!visited[w->vertex]) {
                printf("%5d", w->vertex);
                addq(w->vertex);
                visited[w->vertex] = TRUE;
            } /* if */
        } /* while */
    }
```



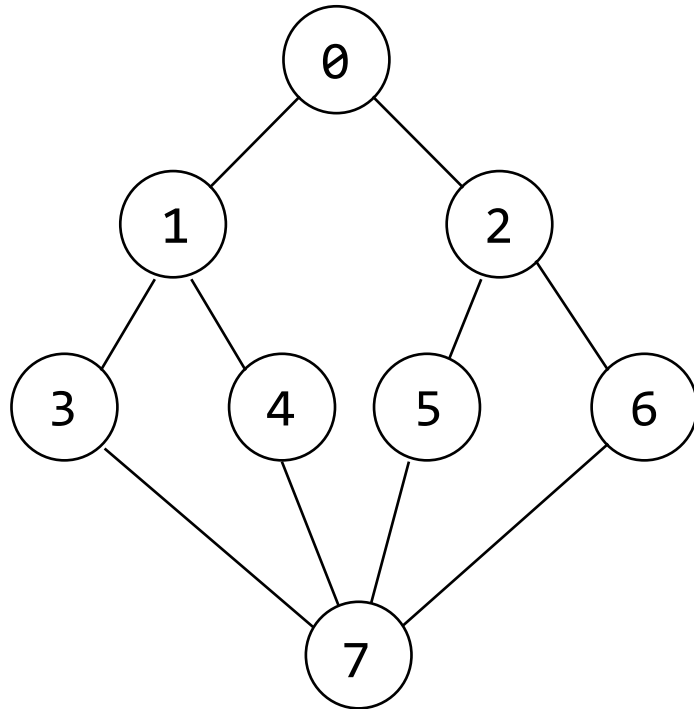
Connected Components (연결요소)

: a **component** of an undirected graph is a maximal connected subgraph

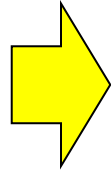
- ❖ To determine whether or not an undirected graph is connected
 - simply calling $dfs(0)$ or $bfs(0)$ and then determine if there are unvisited vertices
- ❖ To list the connected components of a graph
 - make repeated calls to either $dfs(v)$ or $bfs(v)$ where v is an unvisited vertex

```
void connected(void)
{ /* determine the connected components of a graph */
    int i;
    for (i = 0; i < n; i++) {
        if (!visited[i])
            dfs(i);
        printf("\n");
    }
}
```

Example of Connected Components



dfs(0);

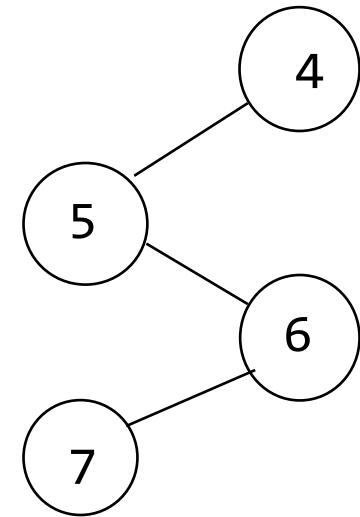
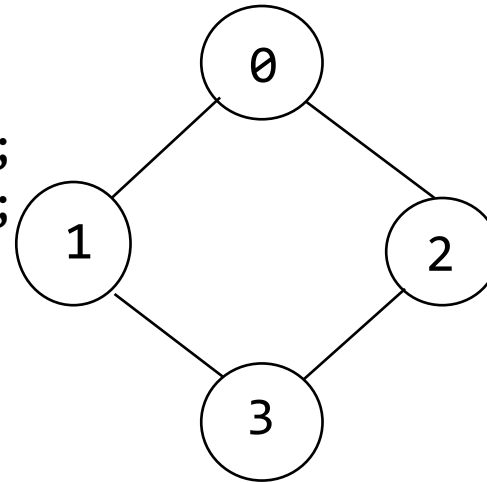


0 1 3 7 4 5 2 6

0 1 3 2

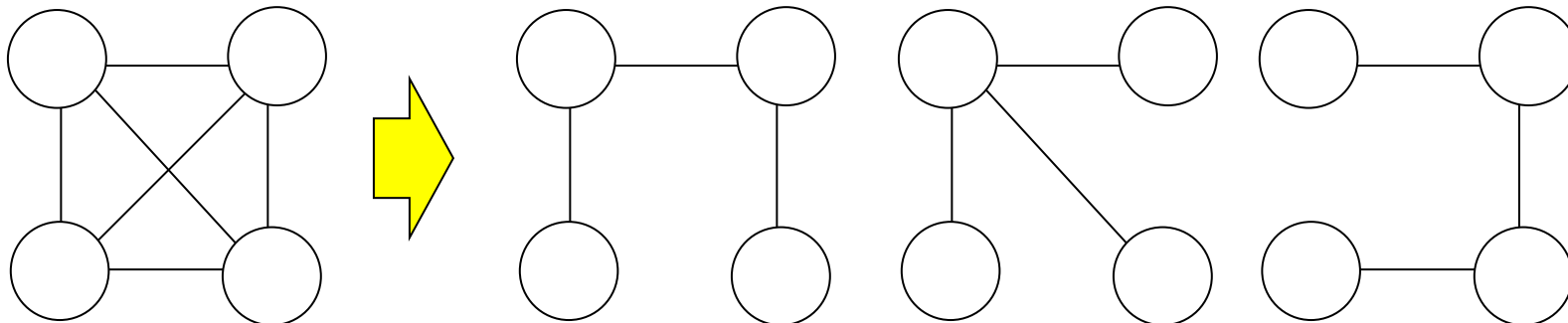
4 5 6 7

dfs(0);
dfs(4);

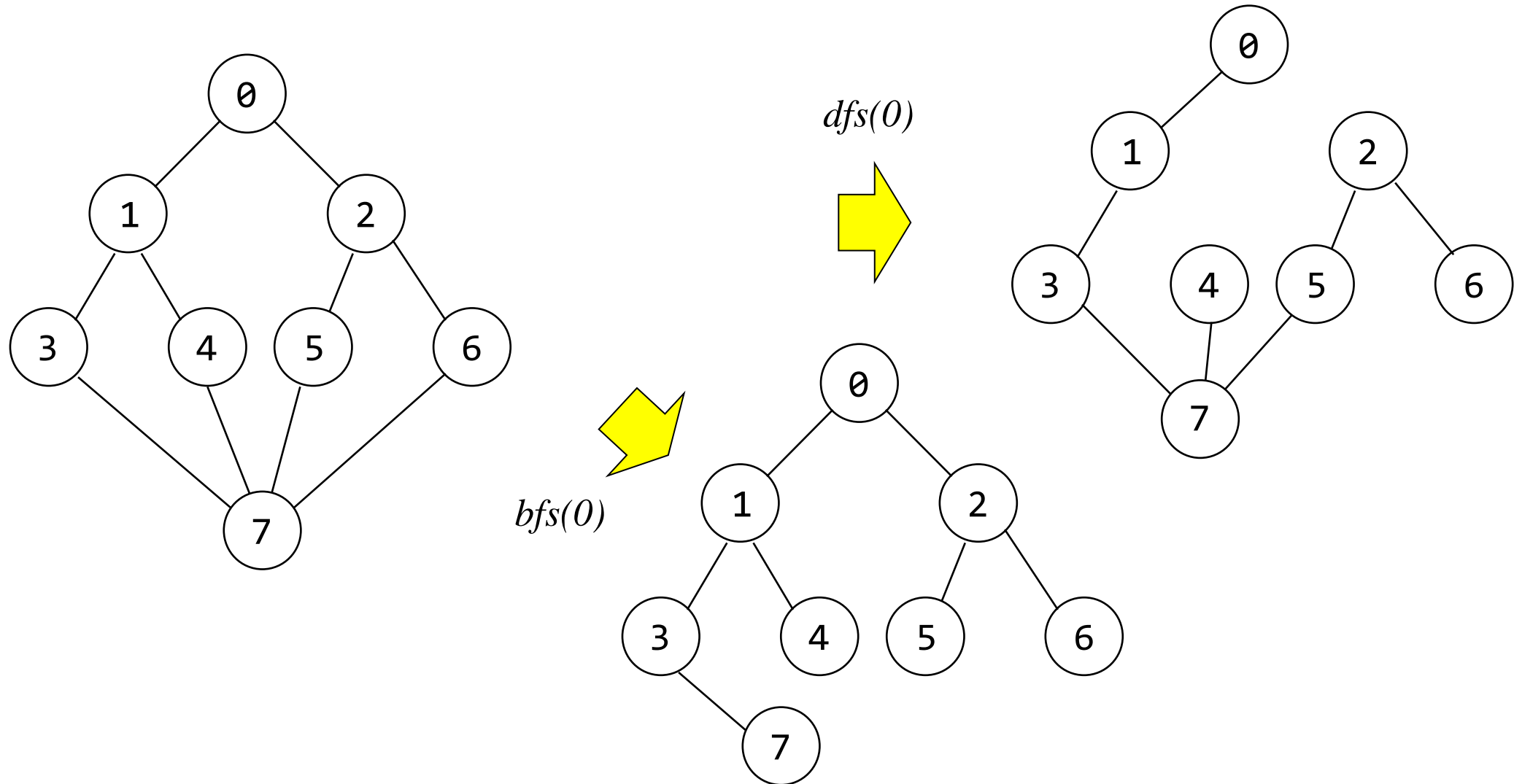


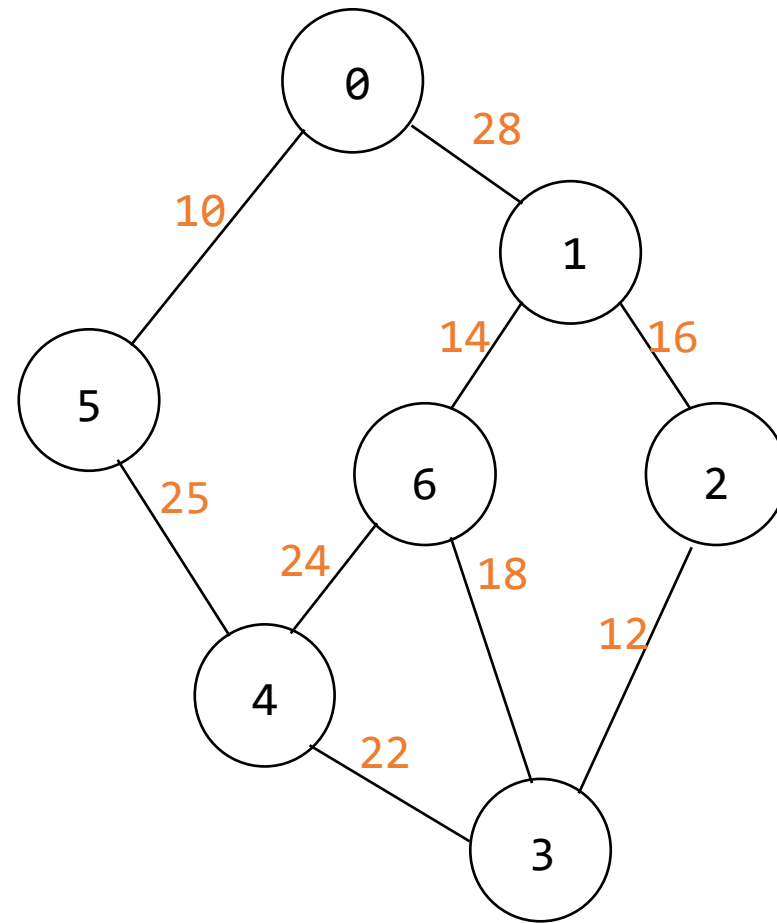
Spanning Trees (신장트리)

- ❖ If graph G is connected, $dfs()$ or bfs implicitly partitions the edges in G into two sets:
 - T : (for tree edges) set of edges used or traversed during the search
 - N : (for nontree edges) set of remaining edges
- ❖ A **spanning tree** is any tree that consists solely of edges in G and that include all the vertices in G
 - 1) if we add a nontree edge into a spanning tree \rightarrow cycle
 - 2) spanning tree is a minimal subgraph, G' , of G such that $V(G)=V(G')$ and G' is connected



Example of DFS/BFS Spanning Trees





Minimum Cost Spanning Tree

➤ A spanning tree of least cost

- Kruskal's algorithm
- Prim's algorithm
- Sollin's algorithm

❖ Applications

- Network design: telephone, electrical, water, road, ...

Minimum Cost Spanning Tree

❖ Greedy method

- Make the best decision, local optimum, at each stage using some criterion
- When the algorithm terminates, we hope that the local optimum is equal to the global optimum.

❖ Spanning tree construction constraints

- use only edges within the graph
- use exactly $n-1$ edges
- may not use edges that would produce a cycle

Kruskal's Algorithm

```
T = {};
```

```
E = a set of every edges in the input graph
```

```
while (T contains less than (n-1) edges && E is not empty)  
{
```

```
    choose a least cost edge (v,w) from E;
```

```
    delete (v,w) from E;
```

```
    if ((v,w) does not create a cycle in T)
```

```
        add(v,w) to T;
```

```
    else
```

```
        discard (v,w);
```

```
}
```

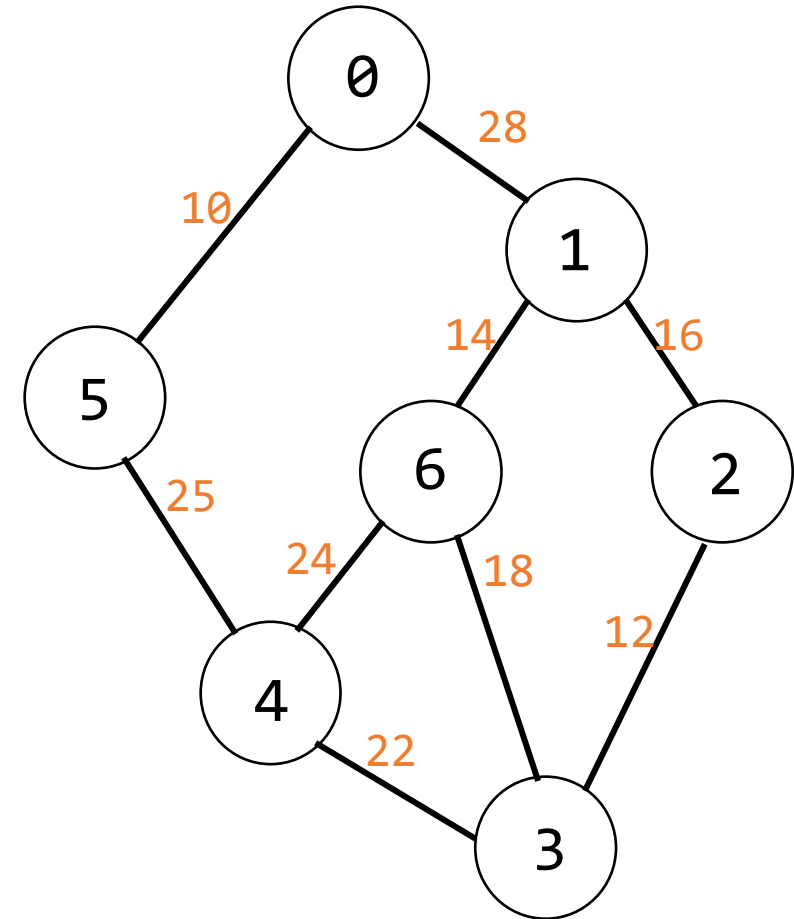
```
if (T contains fewer than (n-1) edges)
```

```
    printf("no spanning tree\n");
```

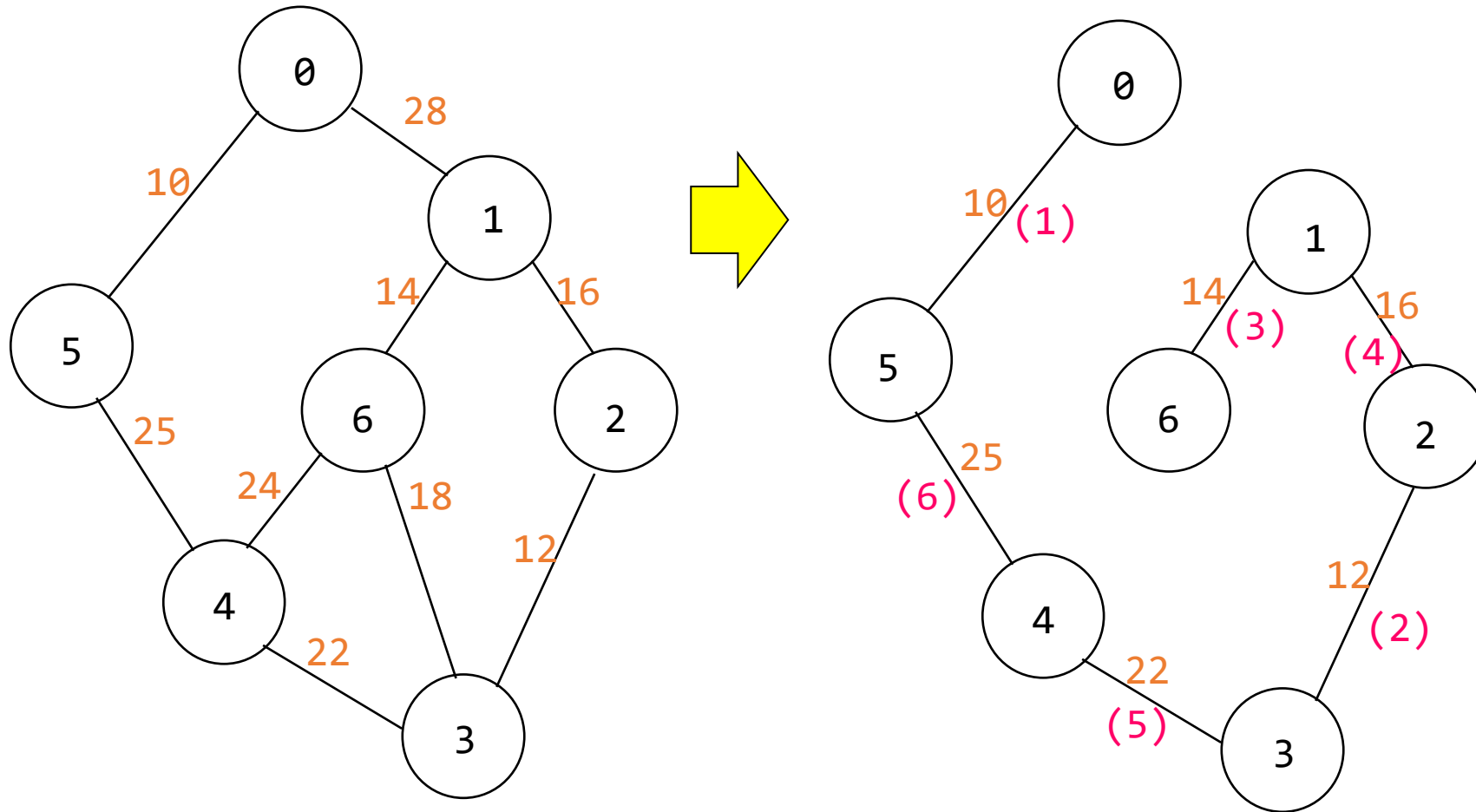
An Example of Kruskal's Algorithm

```
T = {};  
while (T contains less than (n-1) edges &&  
      E is not empty)  
{  
  choose a least cost edge (v,w) from E;  
  delete (v,w) from E;  
  if ((v,w) does not create a cycle in T)  
    add(v,w) to T;  
  else  
    discard (v,w);  
}  
if (T contains fewer than (n-1) edges)  
  printf("no spanning tree\n");
```

$T = \{(0,5)(2,3)(1,6)(1,2)(3,4) (5,4)\}$



An Example of Kruskal's Algorithm



Prim's Algorithm

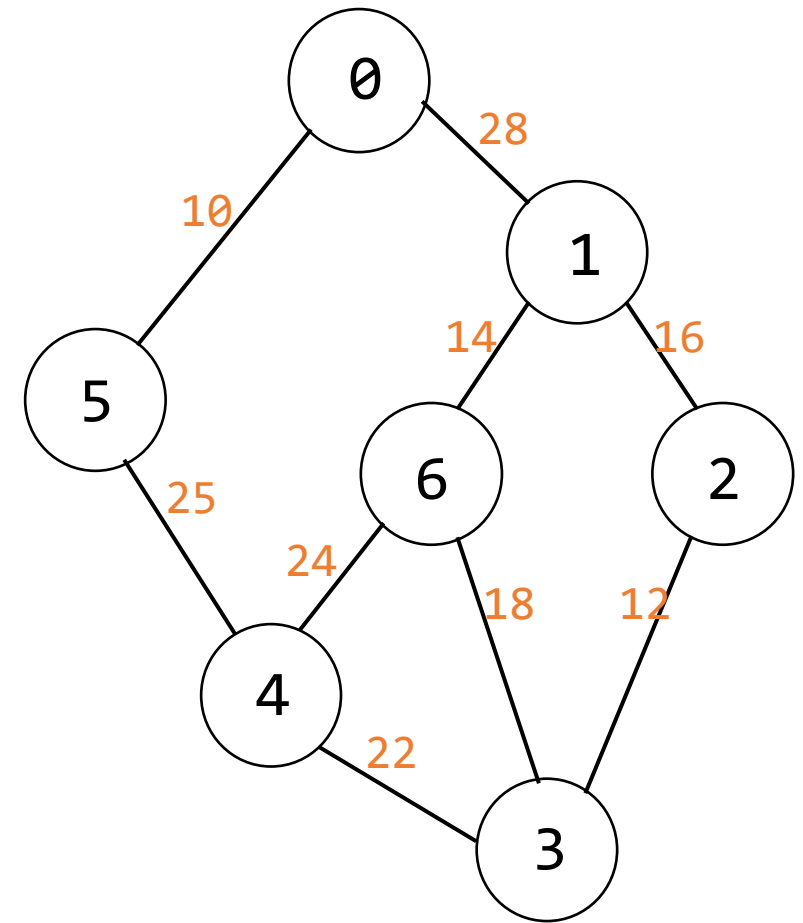
```
T = {};  
TV = {0}; /* start with vertex 0 and no edge*/  
while (T contains fewer than n-1 edges)  
{  
    let (u,v) be a least cost edge such that  $u \in TV$  and  $v \notin TV$ ;  
    if (there is no such edge) break;  
    add v to TV;  
    add (u,v) to T;  
}  
if (T contains fewer than n-1 edges)  
printf("no spanning tree\n");
```

An Example of Prim's Algorithm

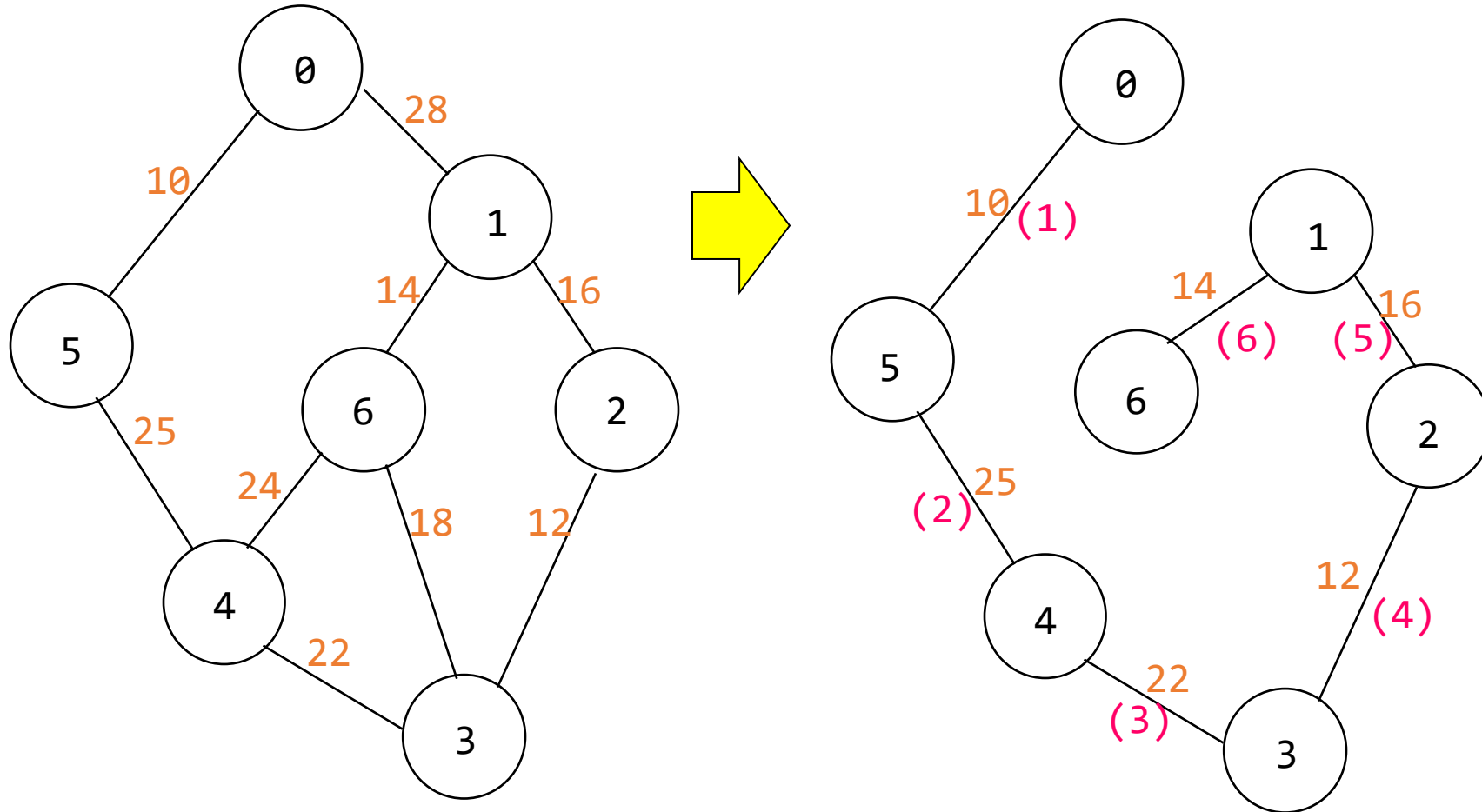
```
T = {};  
TV = {0};  
while (T contains fewer than n-1 edges)  
{  
    let (u,v) be a least cost edge such that u ∈ TV and v ∉ TV;  
    if (there is no such edge)  
        break;  
    add v to TV;  
    add (u,v) to T;  
}  
if (T contains fewer than n-1 edges)  
    printf("no spanning tree\n");
```

TV={ 0 5 4 3 2 1 6 }

T={(0,5) (5,4) (4,3) (3,2) (2,1) (1,6) }



An Example of Prim's Algorithm



Sollin's Algorithm

Start with a forest that has no edges

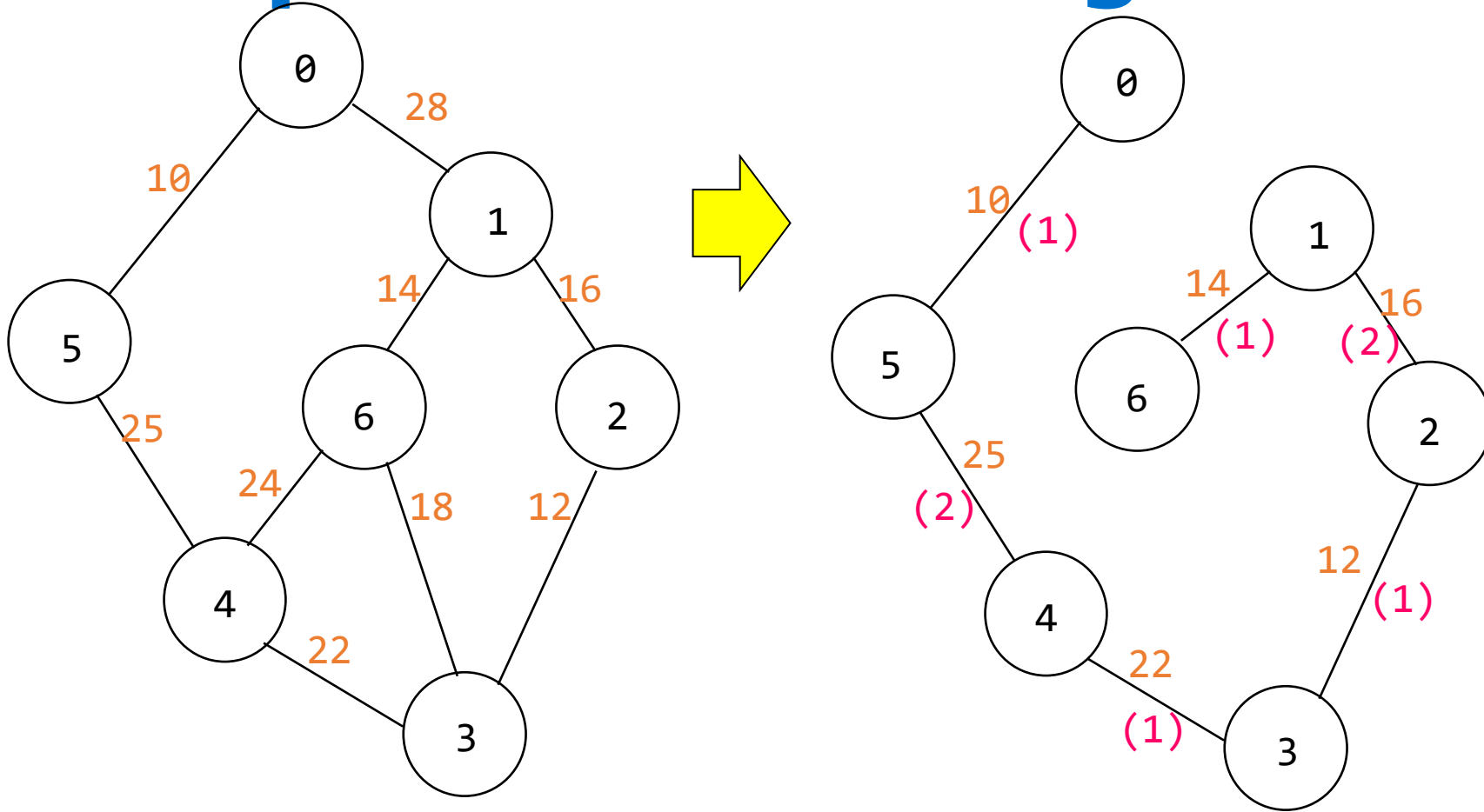
Select **several edges** for inclusion in T at each stage

- 1) Each component selects a least cost edge with which to connect to another component
- 2) Duplicate selections are eliminated
 - Cycles are possible when the graph has some edges that have the same cost

Terminate when

- There is only one tree at the end of a stage, or
- No edges remain for selection

An Example of Sollin's Algorithm



First stage: (0,5), (1,6), (2,3), (3,2), (4,3), (5,0), (6,1)

Second stage: (5,4), (1,2)

An Example of Sollin's Algorithm

Start with a forest that has no edges
Select several edges for inclusion in T
at each stage

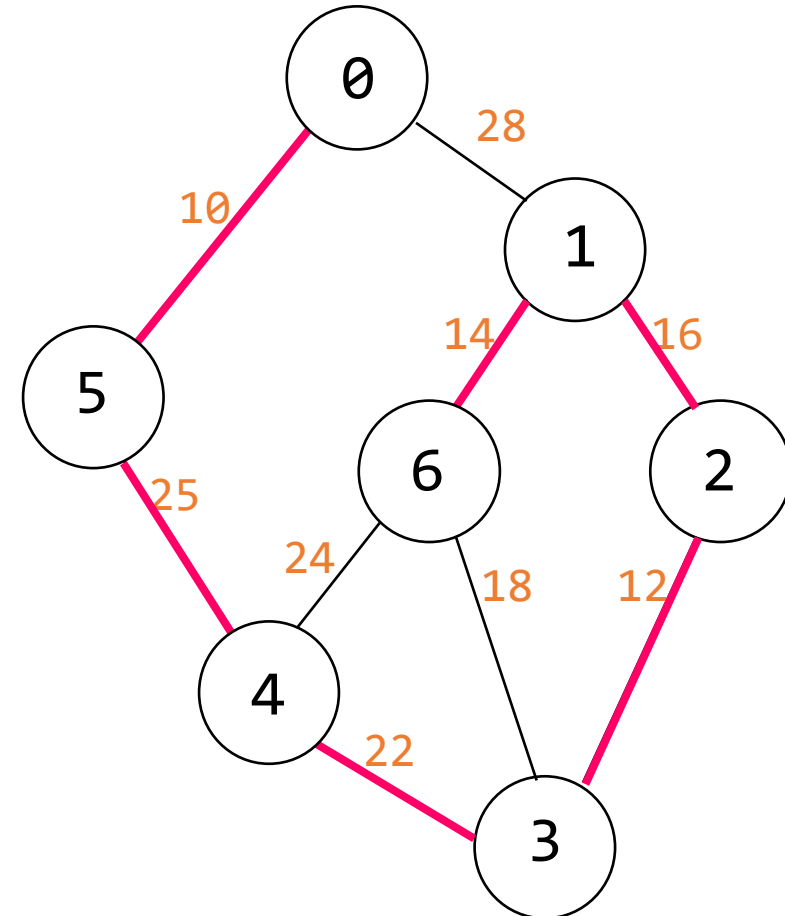
- 1) Each component selects a least cost edge with which to connect to another component
- 2) Duplicate selections are eliminated

First stage:

$T = \{(0,5) (1,6) (2,3) (4,3) (6,1)\}$

Second stage:

$T = \{ (0,5) (1,6) (2,3) (4,3)$
 $(5,4) (1,2) \}$



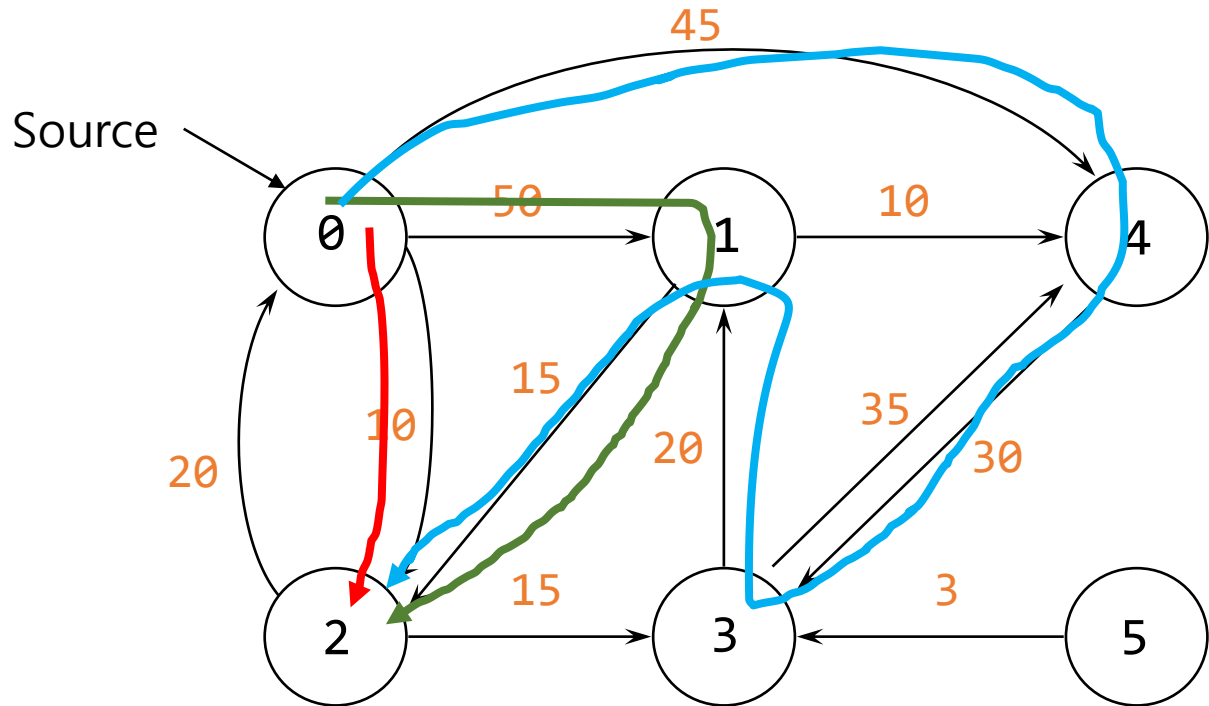
Shortest Paths

Single source -> all destinations

- Dijkstra algorithm
- Bellman-Ford algorithm

What is the shortest path?

Let's find all paths from 0 to 2.



The **length of a path** is now defined to be the **sum of the weights** of the edges on that path, rather than the number of edges.

| | Path | Length |
|----|---------|--------|
| 1) | 0 2 | 10 |
| 2) | 0 2 3 | 25 |
| 3) | 0 2 3 1 | 45 |
| 4) | 0 4 | 45 |

Shortest paths from 0

Shortest Path Observations

v_0 : source vertex

S : set of vertices, including v_0 , whose shortest paths have been found

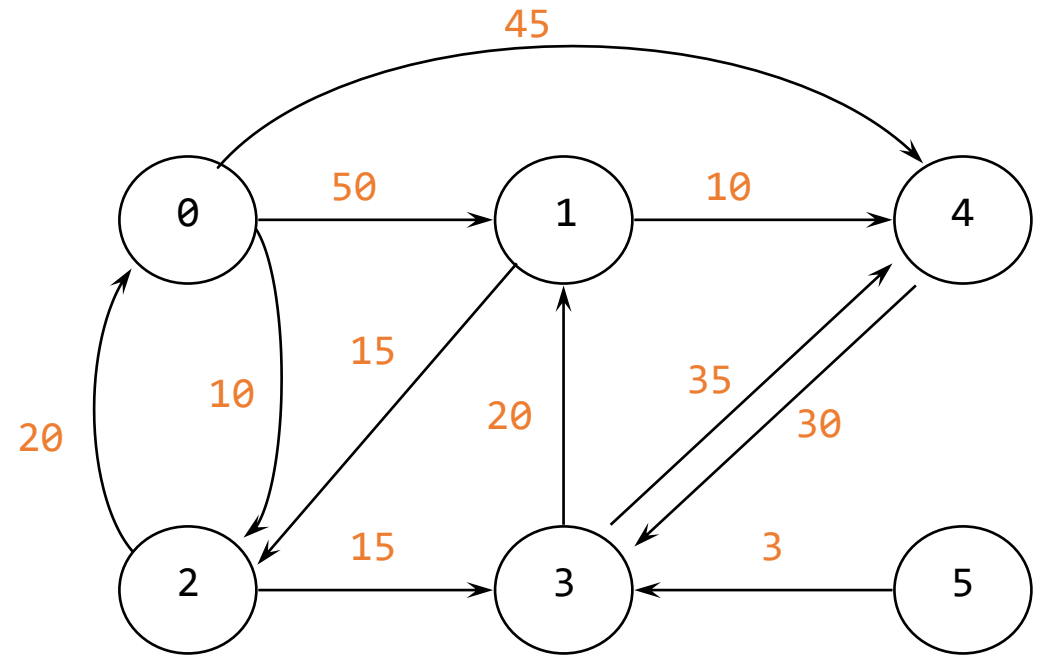
w : any vertex ($\notin S$)

$\text{distance}[w]$: the length of the shortest path starting from v_0 , going through vertices only in S , and ending in w

- 1) If the next shortest path from v_0 is to u , the path from v_0 to u goes through only those vertices that are in S
- 2) Vertex u is chosen so that it has the minimum distance, $\text{distance}[u]$, among all the vertices not in S
- 3) Shortest path from v_0 to w can be changed by adding u to S
→ $\text{distance}[w] = \text{distance}[u] + \text{cost}(\langle u, w \rangle)$

Implementation of Dijkstra's Algorithm in C (1)

```
#define MAX_VERTICES 6
int cost[][MAX_VERTICES] =
{
    { 0, 50, 10, 1000, 45, 1000},
    {1000, 0, 15, 1000, 10, 1000},
    { 20, 1000, 0, 15, 1000, 1000},
    {1000, 20, 1000, 0, 35, 1000},
    {1000, 1000, 30, 1000, 0, 1000},
    {1000, 1000, 1000, 3, 1000, 0}};
int distance[MAX_VERTICES];
short int found[MAX_VERTICES];
int n = MAX_VERTICES;
```



Implementation of Dijkstra's Algorithm in C (2)

```
void shortestPath(int v, int cost[][MAX_VERTICES],
    int distance[], int n, short int found[])
{
    int i, u, w;
    for (i = 0; i < n; i++) {
        found[i] = FALSE;
        distance[i] = cost[v][i];
    }
    found[v] = TRUE;    distance[v] = 0;
    for (i = 0; i < n-2; i++) {
        u = choose(distance, n, found);
        found[u] = TRUE;
        for (w = 0; w < n; w++)
            if (!found[w])
                if (distance[u]+cost[u][w] < distance[w])
                    distance[w] = distance[u]+cost[u][w];
    } /* for i */
}
```

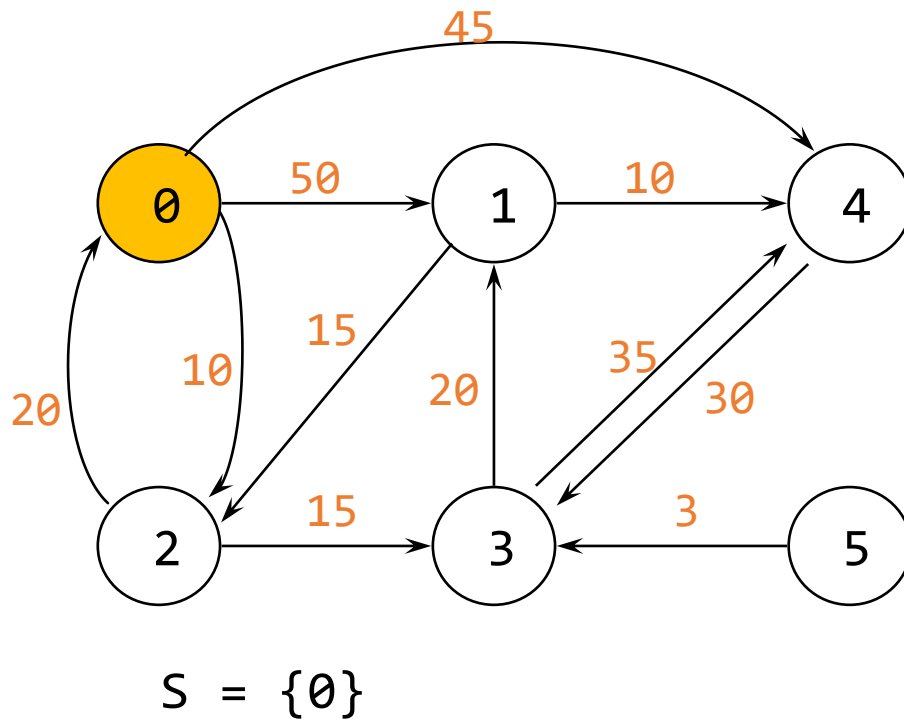
- v : source vertex
- $cost[][]$: adjacency matrix of a digraph
- $distance[i]$: the shortest path from vertex v to i
- $found[i]$: 0 if the shortest path from i has not been found
1 otherwise ($i \in S$)

Implementation of Dijkstra's Algorithm in C (3)

```
int choose(int distance[], int n, int found[])
{
    /* find smallest distance not yet checked */
    int i, min, minpos;
    min = INT_MAX;
    minpos = -1;
    for (i = 0; i < n; i++)
        if (distance[i] < min && !found[i]) {
            min = distance[i];
            minpos = i;
        }
    return minpos;
}
```


Dijkstra's Algorithm Example 1

Initial condition

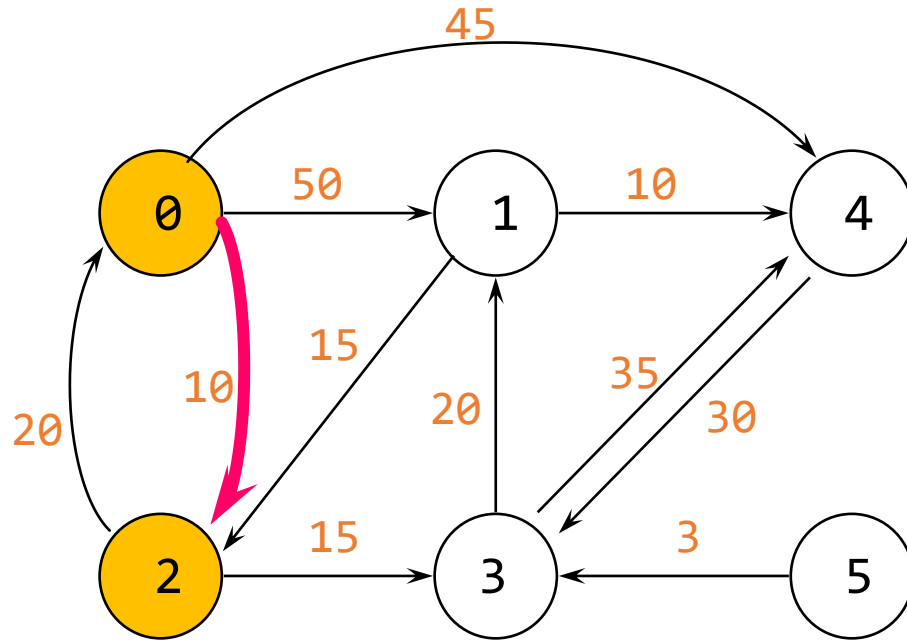


Cost adjacency matrix

| | [0] | [1] | [2] | [3] | [4] | [5] |
|-----|----------|----------|----------|----------|----------|----------|
| [0] | 0 | 50 | 10 | ∞ | 45 | ∞ |
| [1] | ∞ | 0 | 15 | ∞ | 10 | ∞ |
| [2] | 20 | ∞ | 0 | 15 | ∞ | ∞ |
| [3] | ∞ | 20 | ∞ | 0 | 35 | ∞ |
| [4] | ∞ | ∞ | ∞ | 30 | 0 | ∞ |
| [5] | ∞ | ∞ | ∞ | 3 | ∞ | 0 |

distance

Dijkstra's Algorithm Example 1 – 1st Step

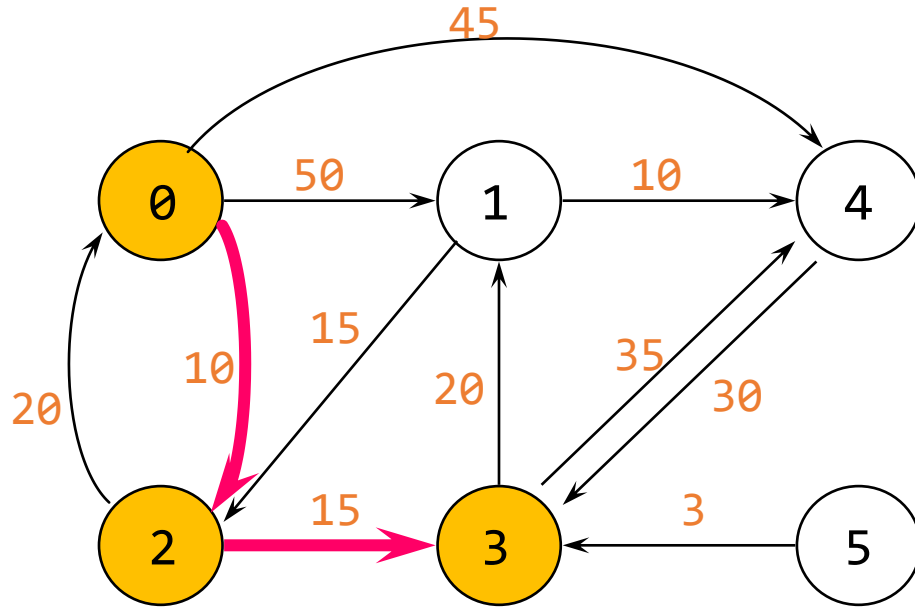


$S = \{0, 2\}$

$0 \rightarrow 2: (0, 2), 10$

| | [0] | [1] | [2] | [3] | [4] | [5] |
|-----|----------|----------|----------|----------|----------|----------|
| [0] | 0 | 50 | 10 | ∞ | 45 | ∞ |
| [1] | ∞ | 0 | 15 | ∞ | 10 | ∞ |
| [2] | 20 | ∞ | 0 | 15 | ∞ | ∞ |
| [3] | ∞ | 20 | ∞ | 0 | 35 | ∞ |
| [4] | ∞ | ∞ | ∞ | 30 | 0 | ∞ |
| [5] | ∞ | ∞ | ∞ | 3 | ∞ | 0 |

Dijkstra's Algorithm Example 1 – 2nd Step



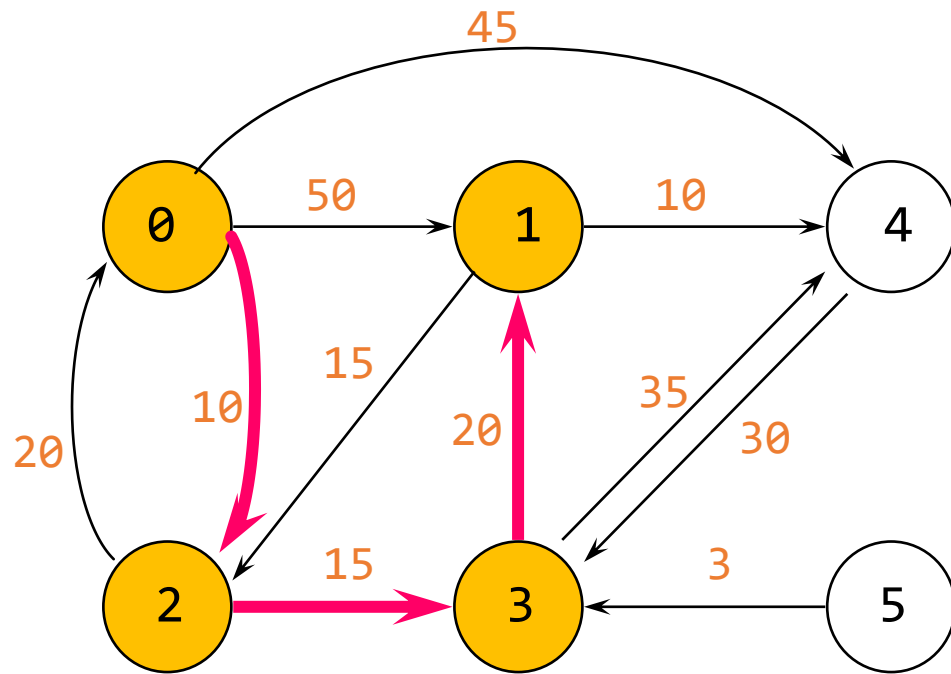
| | [0] | [1] | [2] | [3] | [4] | [5] |
|-----|----------|----------|----------|----------|----------|----------|
| [0] | 0 | 45 | 10 | 25 | 45 | ∞ |
| [1] | ∞ | 0 | 15 | ∞ | 10 | ∞ |
| [2] | 20 | ∞ | 0 | 15 | ∞ | ∞ |
| [3] | ∞ | 20 | ∞ | 0 | 35 | ∞ |
| [4] | ∞ | ∞ | ∞ | 30 | 0 | ∞ |
| [5] | ∞ | ∞ | ∞ | 3 | ∞ | 0 |

$S = \{0, 2, 3\}$

$0 \rightarrow 2: (0, 2), 10$

$0 \rightarrow 3: (0, 2, 3), 25$

Dijkstra's Algorithm Example 1 – 3rd Step



| | [0] | [1] | [2] | [3] | [4] | [5] |
|-----|----------|----------|----------|----------|----------|----------|
| [0] | 0 | 45 | 10 | 25 | 45 | ∞ |
| [1] | ∞ | 0 | 15 | ∞ | 10 | ∞ |
| [2] | 20 | ∞ | 0 | 15 | ∞ | ∞ |
| [3] | ∞ | 20 | ∞ | 0 | 35 | ∞ |
| [4] | ∞ | ∞ | ∞ | 30 | 0 | ∞ |
| [5] | ∞ | ∞ | ∞ | 3 | ∞ | 0 |

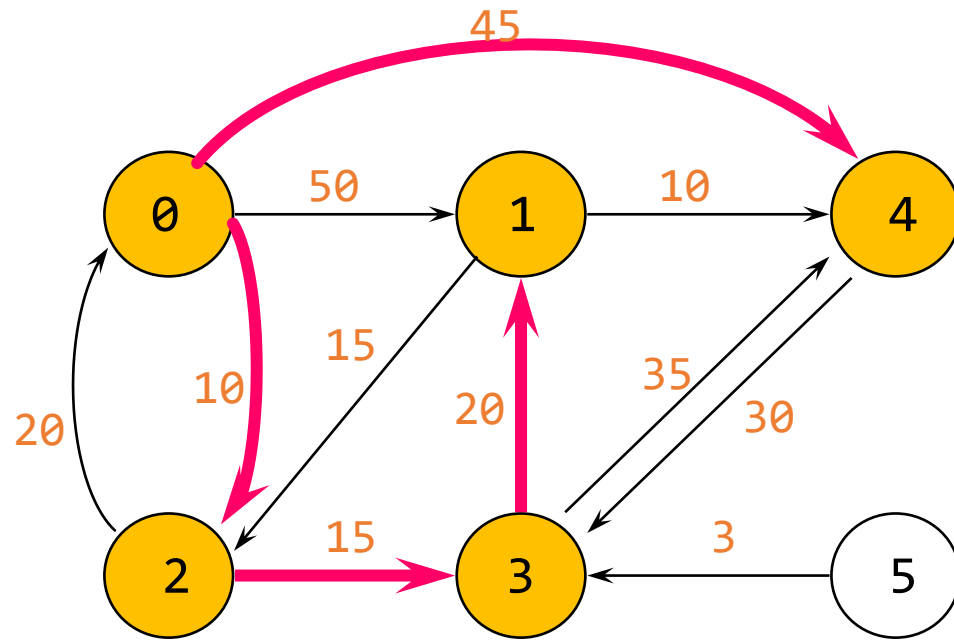
$S = \{0, 2, 3, \underline{1}\}$

$0 \rightarrow 2: (0, 2), 10$

$0 \rightarrow 3: (0, 2, 3), 25$

$0 \rightarrow 1: (0, 2, 3, 1), 45$

Dijkstra's Algorithm Example 1 – 4th Step



$S = \{0, 2, 3, 1, \underline{4}\}$

$0 \rightarrow 2: (0, 2), 10$

$0 \rightarrow 3: (0, 2, 3), 25$

$0 \rightarrow 1: (0, 2, 3, 1), 45$

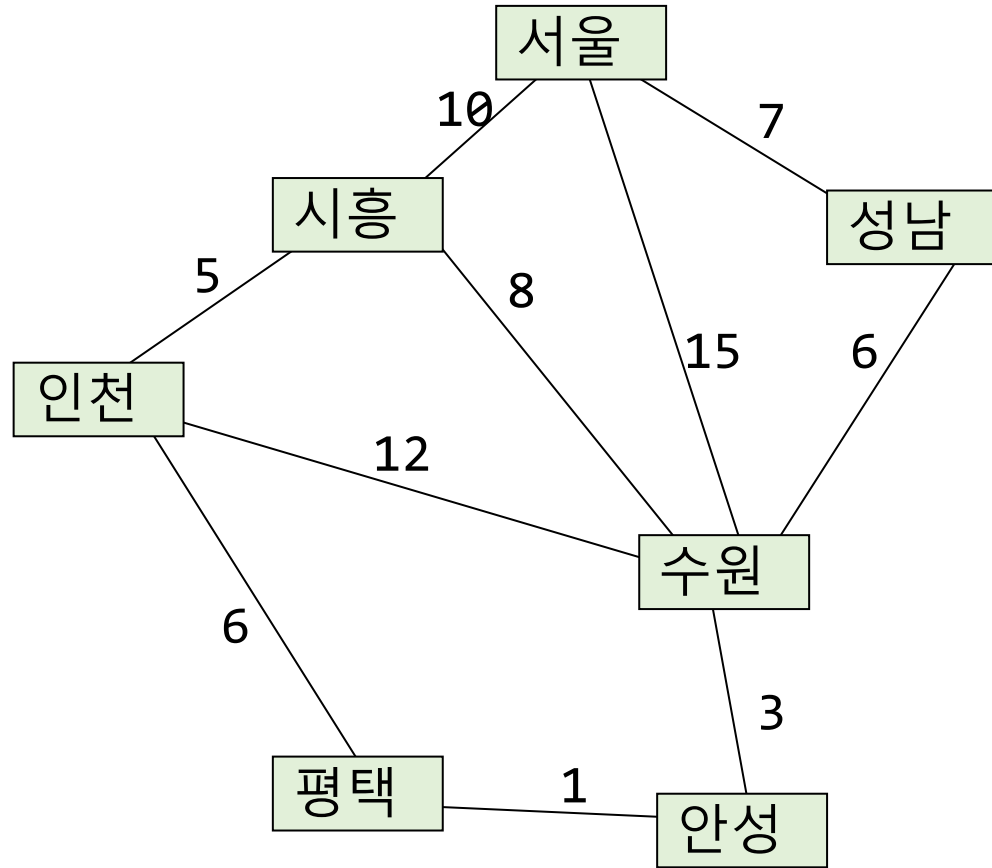
| | [0] | [1] | [2] | [3] | [4] | [5] |
|-----|----------|----------|----------|----------|----------|----------|
| [0] | 0 | 45 | 10 | 25 | 45 | ∞ |
| [1] | ∞ | 0 | 15 | ∞ | 10 | ∞ |
| [2] | 20 | ∞ | 0 | 15 | ∞ | ∞ |
| [3] | ∞ | 20 | ∞ | 0 | 35 | ∞ |
| [4] | ∞ | ∞ | ∞ | 30 | 0 | ∞ |
| [5] | ∞ | ∞ | ∞ | 3 | ∞ | 0 |

$0 \rightarrow 4: (0, 4), 45$

Implementation of Dijkstra's Algorithm in C (4)

```
void shortestPath(int v, int cost[][MAX_VERTICES],
    int distance[], int n, short int found[], int pi[])    /* pi: an array of predecessors for each vertex */
{
    int i, u, w;
    for (i = 0; i < n; i++) {
        found[i] = FALSE;
        distance[i] = cost[v][i];
        if (distance[i] < MAX_DISTANCE) pi[i]=v; else pi[i]=-1;
    }
    found[v] = TRUE;    distance[v] = 0;
    for (i = 0; i < n-2; i++) {
        u = choose(distance, n, found);
        found[u] = TRUE;
        for (w = 0; w < n; w++)
            if (!found[w])
                if (distance[u]+cost[u][w] < distance[w]){
                    distance[w] = distance[u]+cost[u][w]; pi[w]=u;
                }
    } /* for i */
}
```

Dijkstra's Algorithm: Example 2

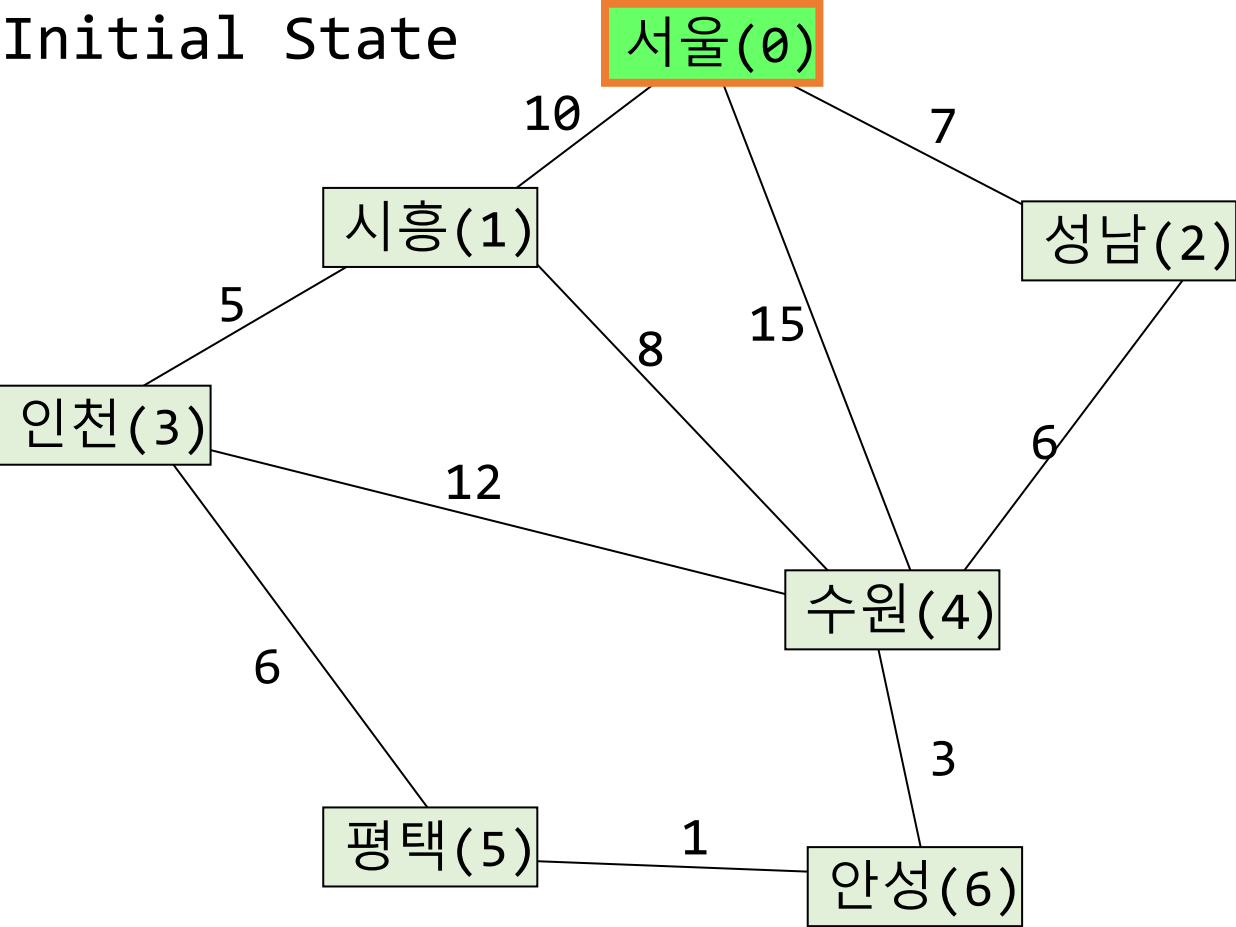


서울:0, 시흥:1, 성남:2, 인천:3, 수원:4, 평택:5, 안성:6

Cost adjacency matrix

| | | | | | | |
|------|------|------|------|------|------|------|
| 0 | 10 | 7 | 1000 | 15 | 1000 | 1000 |
| 10 | 0 | 1000 | 5 | 8 | 1000 | 1000 |
| 7 | 1000 | 0 | 1000 | 6 | 1000 | 1000 |
| 1000 | 5 | 1000 | 0 | 12 | 6 | 1000 |
| 15 | 8 | 6 | 12 | 0 | 1000 | 3 |
| 1000 | 1000 | 1000 | 6 | 1000 | 0 | 1 |
| 1000 | 1000 | 1000 | 1000 | 3 | 1 | 0 |

Initial State



$s=\{\text{서울}(0)\}$

distance

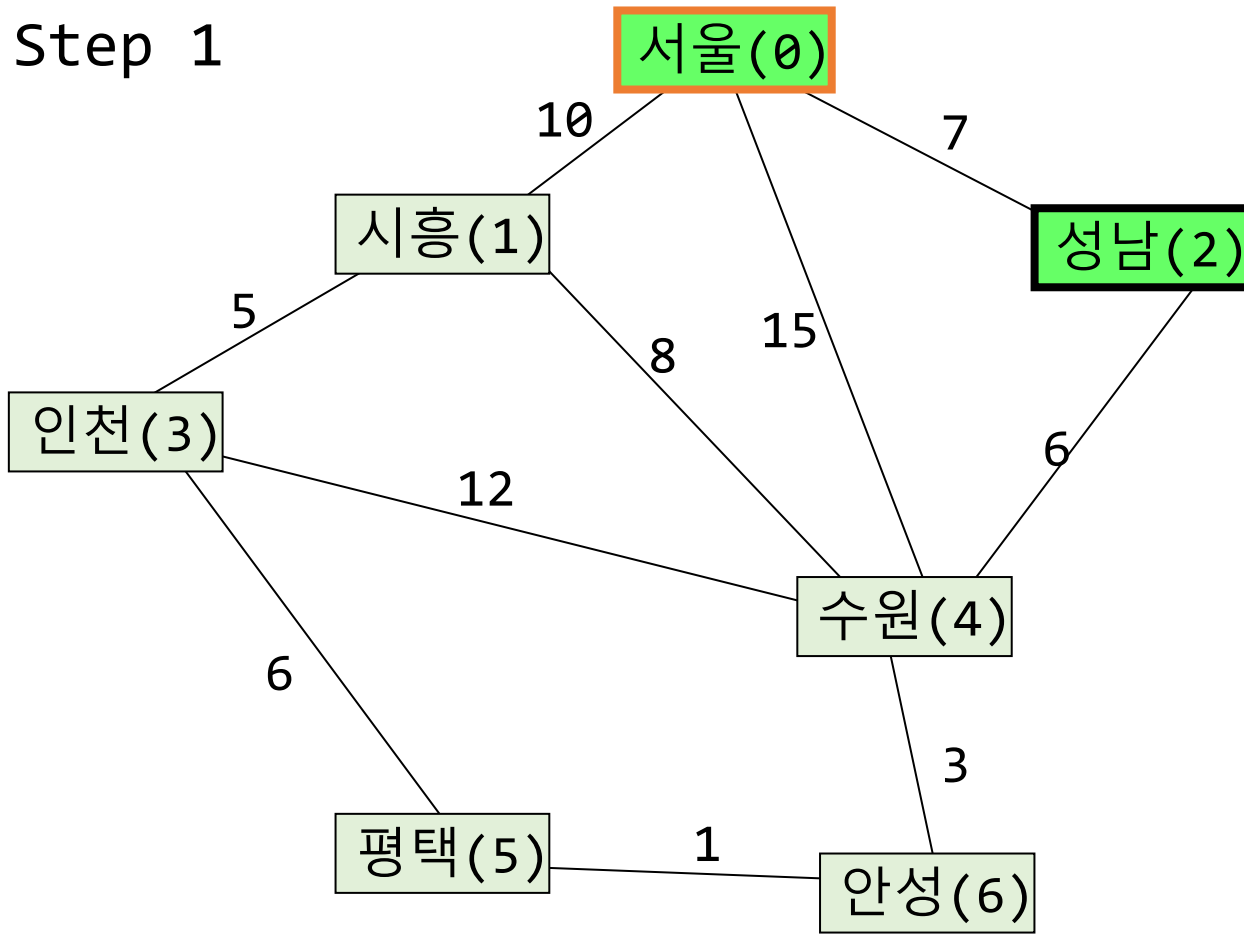
| | |
|-----|------|
| [0] | 0 |
| [1] | 10 |
| [2] | 7 |
| [3] | 1000 |
| [4] | 15 |
| [5] | 1000 |
| [6] | 1000 |

found

| | |
|-----|---|
| [0] | 1 |
| [1] | 0 |
| [2] | 0 |
| [3] | 0 |
| [4] | 0 |
| [5] | 0 |
| [6] | 0 |

| | | | | | | | |
|----|-----|-----|-----|-----|-----|-----|-----|
| pi | [0] | [1] | [2] | [3] | [4] | [5] | [6] |
| | 0 | 0 | 0 | -1 | 0 | -1 | -1 |

Step 1



distance

| | |
|-----|------|
| [0] | 0 |
| [1] | 10 |
| [2] | 7 |
| [3] | 1000 |
| [4] | 15 |
| [5] | 1000 |
| [6] | 1000 |

found

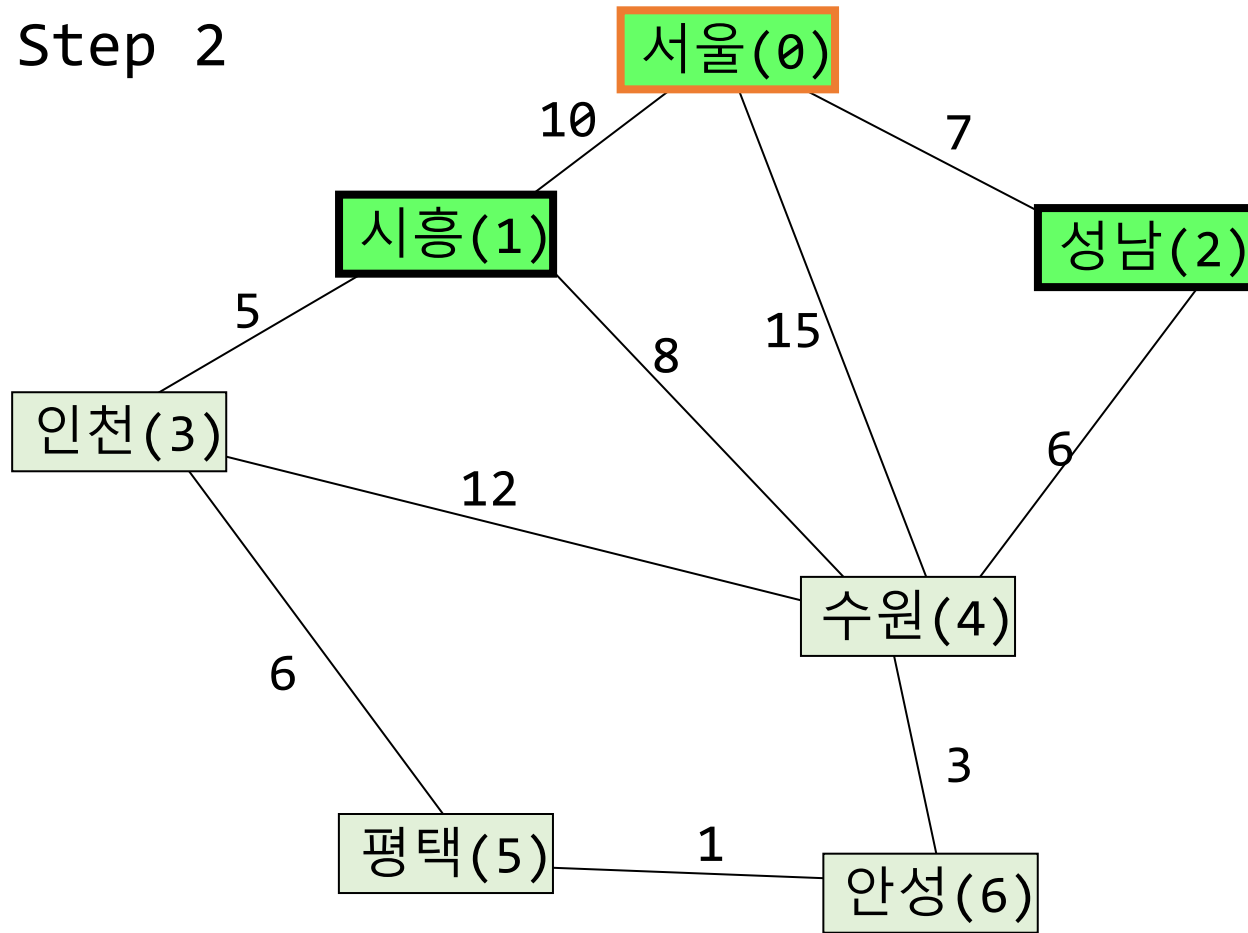
| | |
|-----|---|
| [0] | 1 |
| [1] | 0 |
| [2] | 1 |
| [3] | 0 |
| [4] | 0 |
| [5] | 0 |
| [6] | 0 |

(서울, 성남)+(성남, 수원)

$s = \{\text{서울}(0), \text{성남}(2)\}$

| | | | | | | | |
|----|-----|-----|-----|-----|-----|-----|-----|
| | [0] | [1] | [2] | [3] | [4] | [5] | [6] |
| pi | 0 | 0 | 0 | -1 | 2 | -1 | -1 |

Step 2



$s = \{\text{서울}(0), \text{성남}(2), \text{시흥}(1)\}$

distance

| | |
|-----|-----------------|
| [0] | 0 |
| [1] | 10 |
| [2] | 7 |
| [3] | 1000 |
| [4] | 13 |
| [5] | 1000 |
| [6] | 1000 |

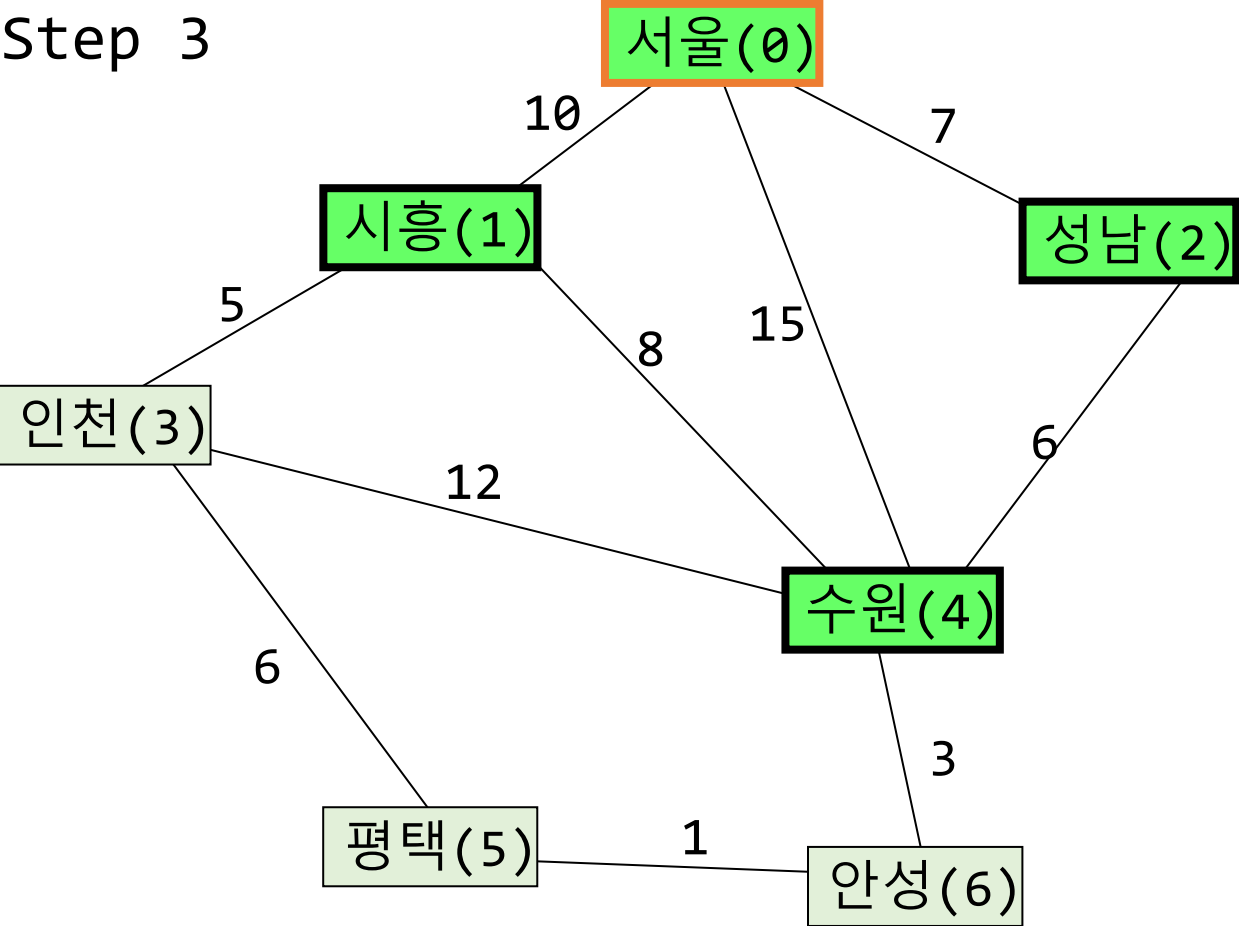
found

| | |
|-----|---|
| [0] | 1 |
| [1] | 1 |
| [2] | 1 |
| [3] | 0 |
| [4] | 0 |
| [5] | 0 |
| [6] | 0 |

(서울, 시흥)+(시흥, 인천)

| | | | | | | | |
|----|-----|-----|-----|-----|-----|-----|-----|
| | [0] | [1] | [2] | [3] | [4] | [5] | [6] |
| pi | 0 | 0 | 0 | 1 | 2 | -1 | -1 |

Step 3



distance

| | |
|-----|-----------------|
| [0] | 0 |
| [1] | 10 |
| [2] | 7 |
| [3] | 15 |
| [4] | 13 |
| [5] | 1000 |
| [6] | 1000 |

found

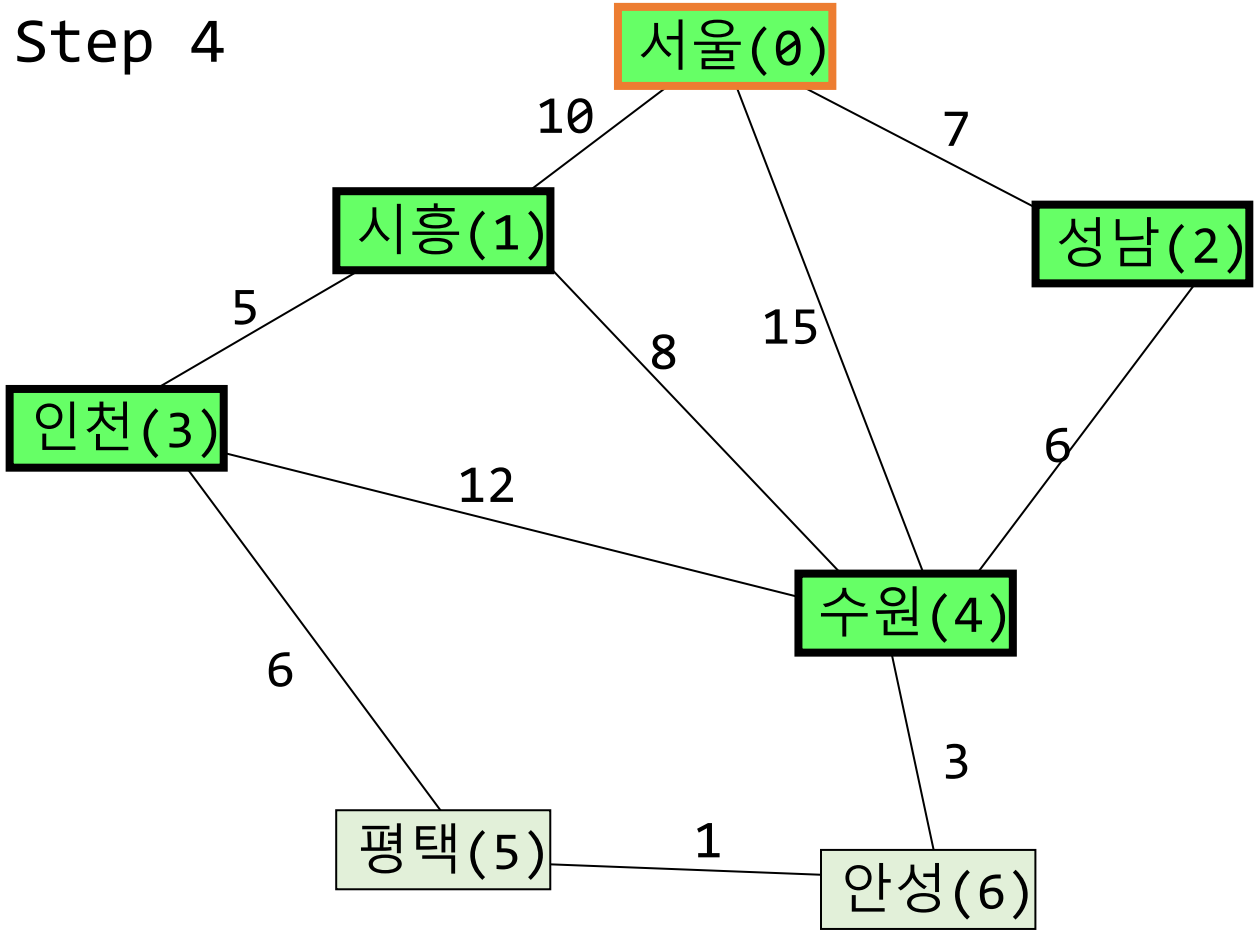
| | |
|-----|---|
| [0] | 1 |
| [1] | 1 |
| [2] | 1 |
| [3] | 0 |
| [4] | 1 |
| [5] | 0 |
| [6] | 0 |

(서울, 수원)+(수원,안성)

s={서울(0), 성남(2), 시흥(1), 수원(4)}

| | | | | | | | |
|----|-----|-----|-----|-----|-----|-----|-----|
| | [0] | [1] | [2] | [3] | [4] | [5] | [6] |
| pi | 0 | 0 | 0 | 1 | 2 | -1 | 4 |

Step 4



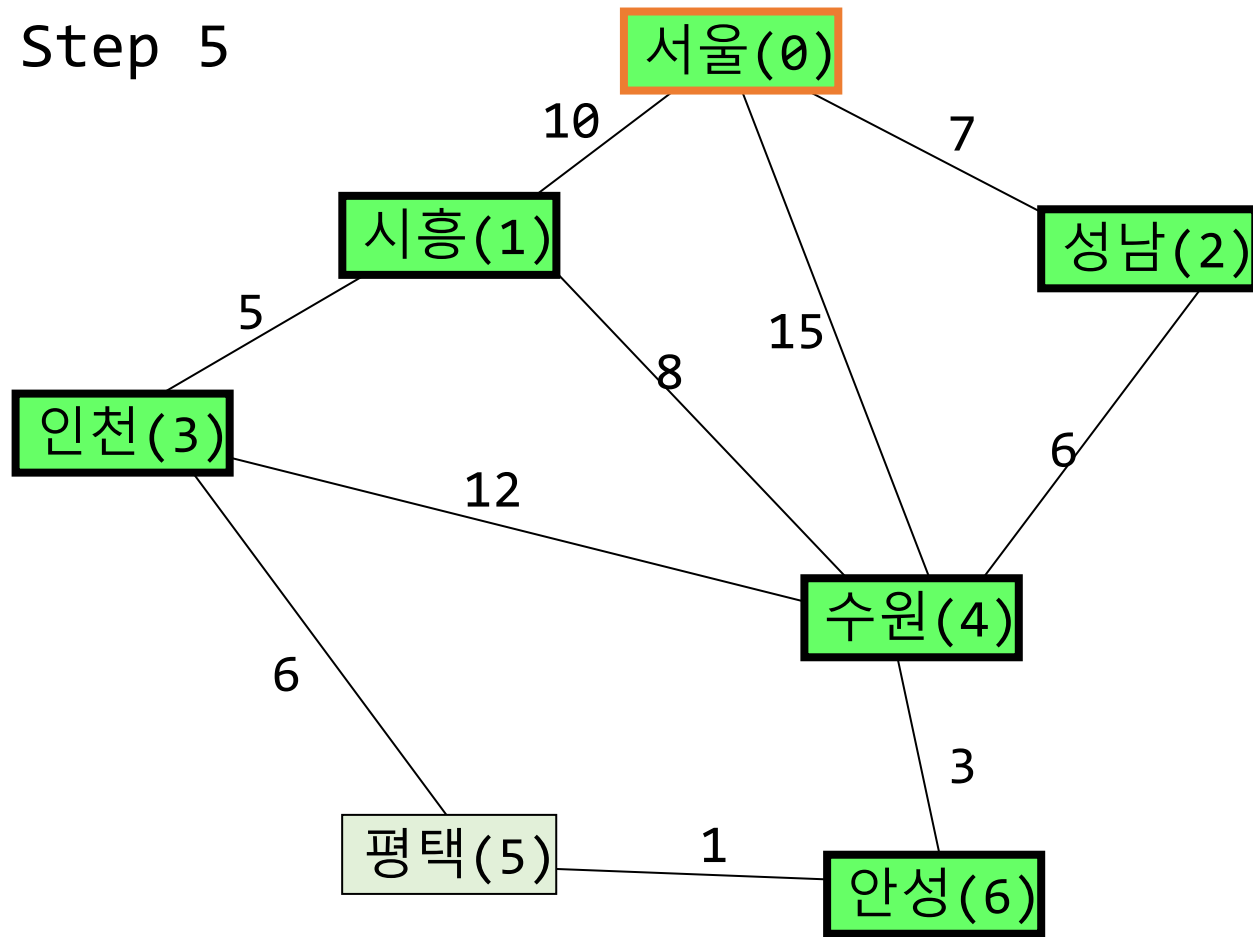
| distance | | found | |
|----------|-----------------|-------|---|
| [0] | 0 | [0] | 1 |
| [1] | 10 | [1] | 1 |
| [2] | 7 | [2] | 1 |
| [3] | 15 | [3] | 1 |
| [4] | 13 | [4] | 1 |
| [5] | 1000 | [5] | 0 |
| [6] | 16 | [6] | 0 |

(서울, 인천)+(인천, 평택)

s={서울(0), 성남(2), 시흥(1), 수원(4), 인천(3) }

| | [0] | [1] | [2] | [3] | [4] | [5] | [6] |
|----|-----|-----|-----|-----|-----|-----|-----|
| pi | 0 | 0 | 0 | 1 | 2 | 3 | 4 |

Step 5



distance

| | |
|-----|---------------|
| [0] | 0 |
| [1] | 10 |
| [2] | 7 |
| [3] | 15 |
| [4] | 13 |
| [5] | 17 |
| [6] | 16 |

found

| | |
|-----|---|
| [0] | 1 |
| [1] | 1 |
| [2] | 1 |
| [3] | 1 |
| [4] | 1 |
| [5] | 0 |
| [6] | 1 |

(서울, 안성)+(안성, 평택)

$S = \{\text{서울}(0), \text{성남}(2), \text{시흥}(1), \text{수원}(4), \text{인천}(3), \text{안성}(6)\}$

| | | | | | | | |
|----|-----|-----|-----|-----|-----|-----|-----|
| | [0] | [1] | [2] | [3] | [4] | [5] | [6] |
| pi | 0 | 0 | 0 | 1 | 2 | 6 | 4 |

How to determine the path

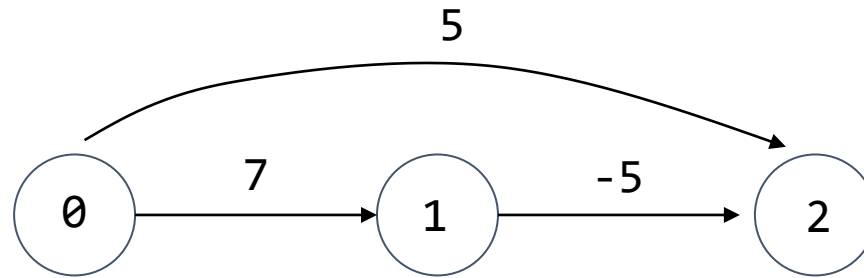
$S = \{\text{서울}(0), \text{성남}(2), \text{시흥}(1), \text{수원}(4), \text{인천}(3), \text{안성}(6), \text{평택}(5)\}$

| | [0] | [1] | [2] | [3] | [4] | [5] | [6] |
|----|-----|-----|-----|-----|-----|-----|-----|
| pi | 0 | 0 | 0 | 1 | 2 | 6 | 4 |

서울 → 평택 서울(0) 성남 (2) 수원 (4) 안성(6) 평택(5)

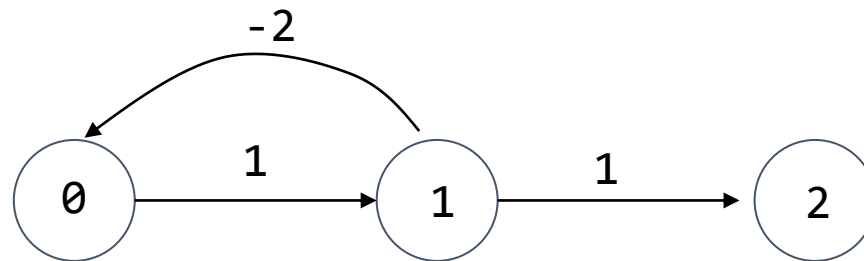
서울 → 인천 ?

Negative Edge Cases



Shortest path from 0 to 2 ? **0,1,2**

Dijkstra's algorithm cannot find this shortest path



Cost of the shortest path between 0 and 2 ?

0,1,0,1,0,1,0,1,...,2 $\rightarrow -\infty$

There is no shortest path!!

Bellman-Ford's algorithm: Overview

Allows negative weights

If there is a negative cycle, returns "a negative cycle exists"

The idea:

- There is a shortest path from s to any other vertex that does not contain a negative cycle
- The maximal number of edges in such a path with no cycles is $|V|-1$, because it can have at most $|V|$ nodes on the path if there is no cycle
 \Rightarrow it is enough to check paths of up to $|V|-1$ edges.

Bellman-Ford Algorithm

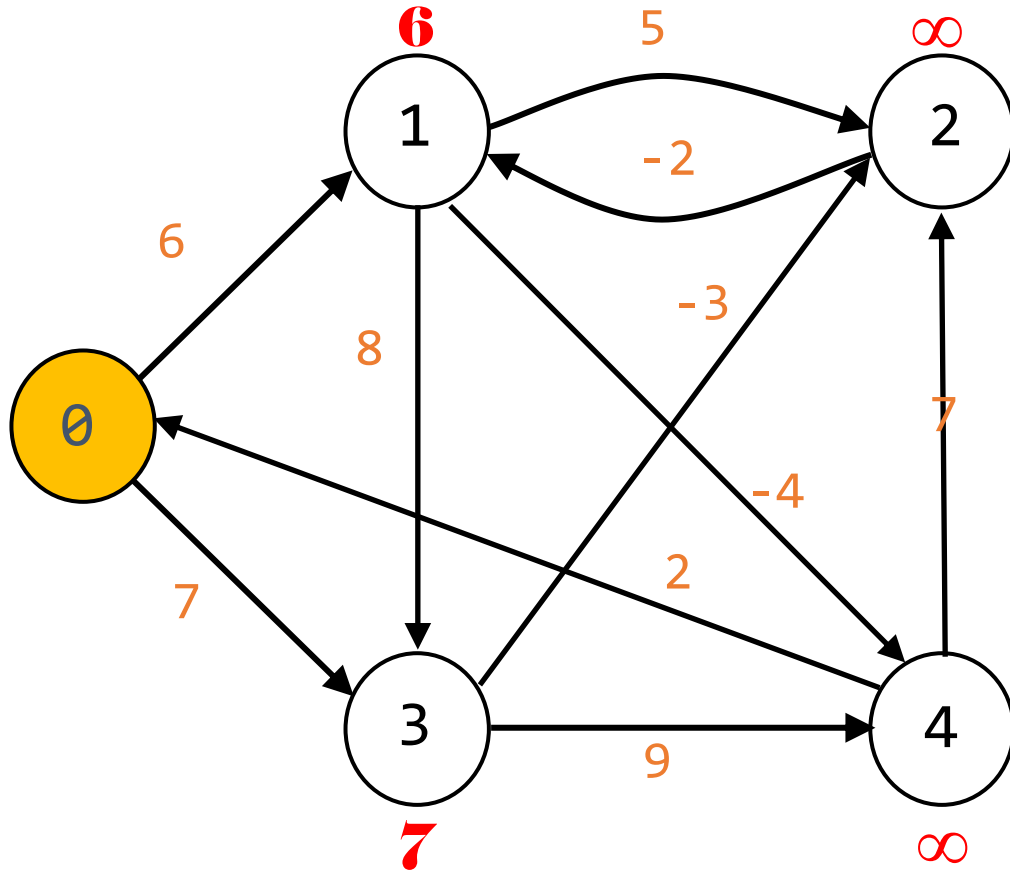
```
void BellmanFord(int n, int v)
{ /* single source all destination shortest paths with negative edge lengths. */
  for (int i=0; i<n; i++)
    dist[i] = length[v][i]; /* initialize dist */

  for (int k =2; k <= n-1; k++)
    for (each u such that u != v and u has at least one incoming edge)
      for (each <i, u> in the edge)
        if (dist[u] > dist[i] + length[i][u])
          dist[u] = dist[i] + length[i][u];

  for each edge (u,w) in E
    if (dist(w) > dist(u)+length[u][w]) /* negative cycle detection */
      return FALSE;
}
```

Bellman-Ford's algorithm: Example

Initial state (path length = 1)



$dist[1] = 6$ (0,1)

$dist[2] = \infty$

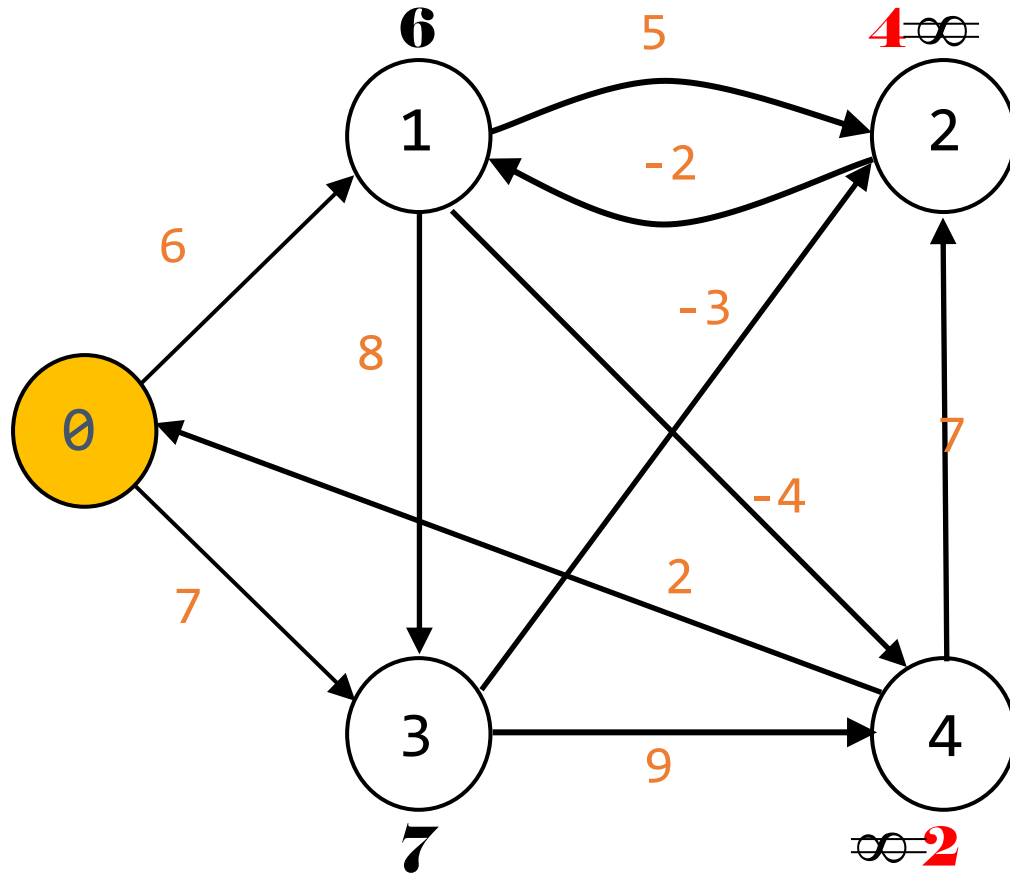
$dist[3] = 7$ (0,3)

$dist[4] = \infty$

| | [0] | [1] | [2] | [3] | [4] |
|-----|----------|----------|----------|----------|----------|
| [0] | 0 | 6 | ∞ | 7 | ∞ |
| [1] | ∞ | 0 | 5 | 8 | -4 |
| [2] | ∞ | -2 | 0 | ∞ | ∞ |
| [3] | ∞ | ∞ | -3 | 0 | 9 |
| [4] | 2 | ∞ | 7 | ∞ | 0 |

Path length = 2

for each $\langle i, u \rangle$,
 $\min\{\text{dist}[u], \text{dist}[i] + \text{length}[i][u]\}$



$\text{dist}[1] = 6$ (0,1)
 $\min\{6, \infty + (-2)\}$

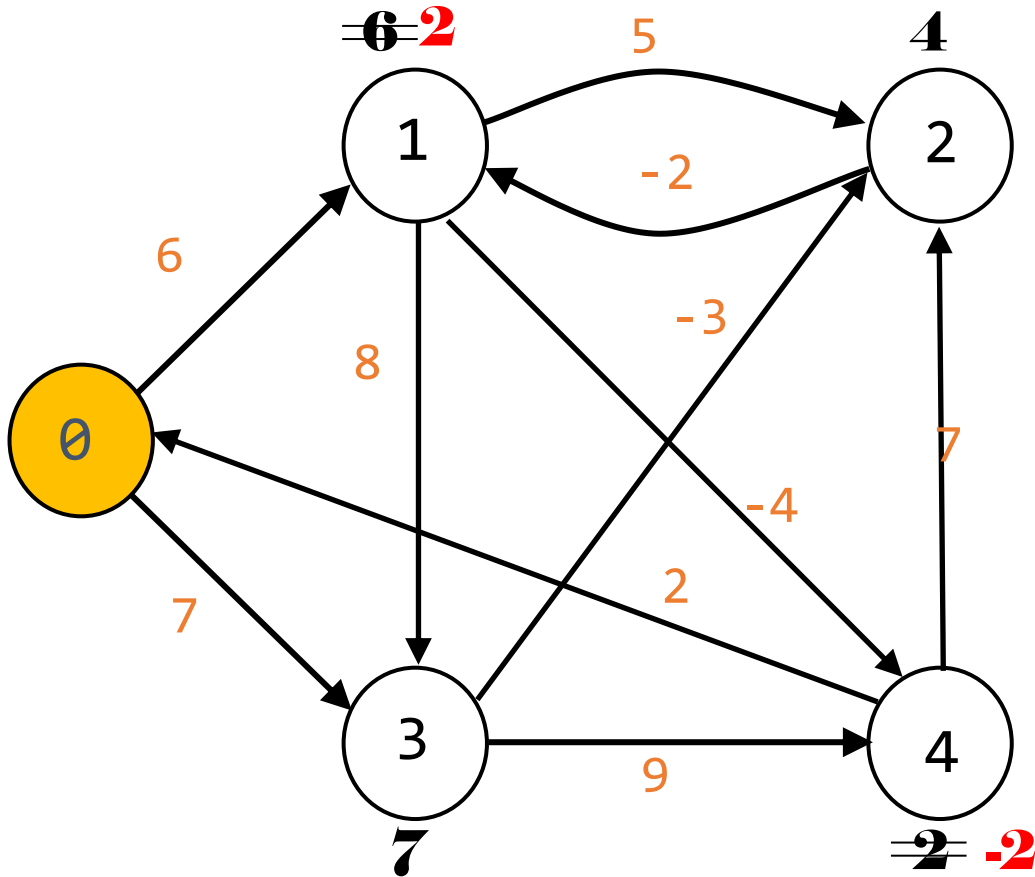
$\text{dist}[2] = \infty$ (0,3,2)
 $\min\{\infty, 6+5, 7+(-3), \infty+7\}$

$\text{dist}[3] = 7$ (0,3)
 $\min\{7, 6+8\}$

$\text{dist}[4] = \infty$ (0,1,4)
 $\min\{\infty, 6+(-4), 7+9\}$

Path length = 3

for each $\langle i, u \rangle$,
 $\min\{\text{dist}[u], \text{dist}[i] + \text{length}[i][u]\}$



$\text{dist}[1] = 6$ (0,3,2,1)

$\min\{6, 4 + (-2)\}$

$\text{dist}[2] = 4$ (0,3,2)

$\min\{4, 6 + 5, 7 + (-3), 2 + 7\}$

$\text{dist}[3] = 7$ (0,3)

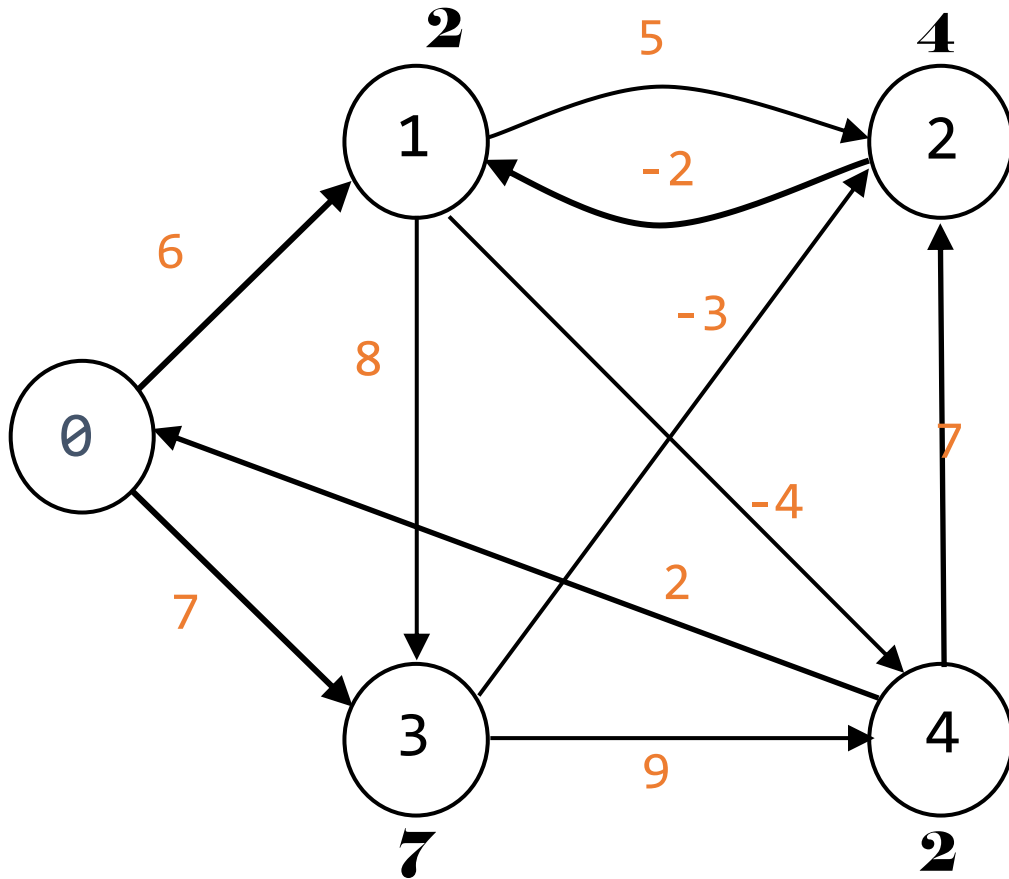
$\min\{7, 2 + 8\}$

$\text{dist}[4] = -2$ (0,3,2,1,4)

$\min\{2, 2 + (-4), 7 + 9\}$

Path length = 4

for each $\langle i, u \rangle$,
 $\min\{\text{dist}[u], \text{dist}[i] + \text{length}[i][u]\}$



$\text{dist}[1] = 2$ (0,3,2,1)
 $\min\{2, 4 + (-2)\}$

$\text{dist}[2] = 4$ (0,3,2)
 $\min\{4, 2+5, 7+(-3), 2+7\}$

$\text{dist}[3] = 7$ (0,3)
 $\min\{7, 2+8\}$

$\text{dist}[4] = -2$ (0,3,2,1,4)
 $\min\{-2, 2+(-4), 7+9\}$

Next Topic

Sorting