

# Writing Scientific Papers and Software

Cheng Soon Ong

Department of Computer Science, ETH Zurich, Switzerland

**Abstract**—A critical part of scientific discovery is the communication of research findings to peers or the general public. Mastery of the process of scientific communication improves the visibility and impact of research. While this guide is a necessary tool for learning how to write in a manner suitable for publication at a scientific venue, it is by no means sufficient, on its own, to make its reader an accomplished writer. We also describe the rules for submission in the computational intelligence laboratory. This guide should be a starting point for further development of writing skills.

## I. INTRODUCTION

Recommender systems have gained increasing popularity in the last 10-15 years, mainly due to their immediate market applications. The huge selection of products online offer retailers the opportunity to attract a wide-range of clients. However, it also means that users can no longer be expected to browse through everything available until they find the film, song or book they like the most. Good recommendations are key to both making the correct products available to the appropriate audience, but also to creating a unique, personalised experience which will attract new clients. These ideas are fundamental for many leading online businesses [ref netflix, ref amazon, ref something else].

In this paper, we concentrate on the collaborative-filtering approach to recommender systems, which relies on previous user experiences (ratings), rather than pre-defined user profile [some ref about the two types]. We use the Netflix dataset with ratings from 10000 users on 1000 films, which has driven a lot of the innovation in the area and allows us to compare our solutions to the state-of-the-art.

Our main contribution consists of combining latent factor models (SVD, SGD SVD, RBM) and neighbourhood models (user- and item-based kNN) to produce a robust recommender system which optimises the RMSE of predicted ratings on  $X$  [how many films] from  $B_{\text{low}}$  to  $B_{\text{high}}$ . Furthermore, we show that the addition of a more complex learning rate algorithm improves the score significantly, to  $X$ .

In Section II we describe the idea and the implementation of our systems. We present the results from the local evaluation of the models and from the validation set on Kaggle in Section III. Finally, in Section IV we interpret the improvements we achieved and discuss potential weakness and future work.

## II. MODELS AND METHODS

### A. Data Model

Matrix with  $u$  users and  $f$  films and some number of ratings. Clearly most of the films are not rated by all (or most) users, thus it is a very sparse matrix.

*Latent factor models:* Something about how it is based on finding an underlying model of latent user and film features which explain the rating.

*Neighbourhood based:* Something how it is based on finding similar users or similar films

### B. Algorithms

We investigated three algorithms which concentrate on solving the latent-factor model problem and two which work on the neighbourhood model: SVD:

SGD for SVD:

RBM

user-based kNN: Find the top  $k$  most similar users using a Pearson distance metric. The rating is the weighted average of the top  $k$  users' rating for the film.

item-based kNN: Calculate the similarity between the films a user has already rated and the film in question. The predicted rating is then a weighted average of their ratings using the similarities as weights.

### C. Bold Driver Learning Rate

One of the biggest boosts to our system was the incorporating of the bold drive technique in the calculation of the learning rate in both SGD and RBM. This is an adaptive learning rate given by: [some formula]

### D. Parameter optimisation and validation

The general protocol for training and testing followed the steps below:

- 1) Choose a random subset of the test samples for a local validation set if not already chosen. This was in most cases 5% of the data, so  $x$  samples and was only done by the first algorithm, so that all algorithms are trained on the same data.
- 2) Train each individual algorithm on the leftover test dataset. In some cases, such as in the item-base kNN, the validation set was used to automatically choose the optimal  $k$  in the predefined range. In the iterative algorithms like SGD and RBM, we used the validation set as an early indication on whether the error is really falling with every step. We would often optimise



Figure 1. Signal compression and denoising using the Fourier basis.

certain parameters using this validation data, such as number of features to user or algorithm iterations (see results for details).

- 3) Each algorithm generates predictions for the local validation set and the test set which would be uploaded on Kaggle.
- 4) The predictions from all algorithms are combined using linear regression. The regressor is trained on the predictions generated for local validation set for which we have ground truth. The coefficients which fit the results best are then used to generate a final prediction from all individual predictions for the online test dataset.

### III. RESULTS

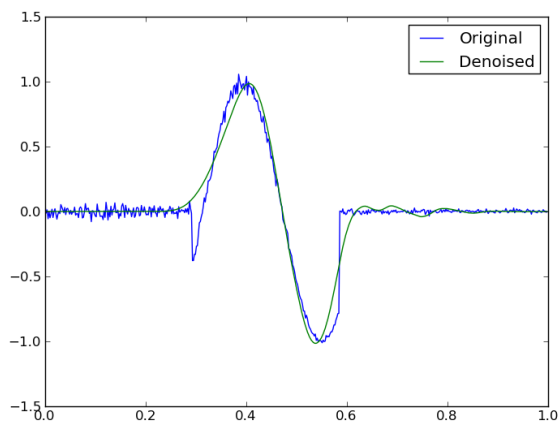


Figure 2. Signal compression and denoising using the Daubechies wavelet basis.

Use examples and illustrations to clarify ideas and results.

For example, by comparing Figure 1 and Figure 2, we can see the two different situations where Fourier and wavelet basis perform well.

### IV. DISCUSSION

The models and methods section should describe what was done to answer the research question, describe how it was done, justify the experimental design, and explain how the results were analyzed.

The model refers to the underlying mathematical model or structure which you use to describe your problem, or that your solution is based on. The methods on the other hand, are the algorithms used to solve the problem. In some cases, the suggested method directly solves the problem, without having it stated in terms of an underlying model. Generally though it is a better practice to have the model figured out and stated clearly, rather than presenting a method without specifying the model. In this case, the method can be more easily evaluated in the task of fitting the given data to the underlying model.

The methods part of this section, is not a step-by-step, directive, protocol as you might see in your lab manual, but detailed enough such that an interested reader can reproduce your work [?], [?].

The methods section of a research paper provides the information by which a study's validity is judged. Therefore, it requires a clear and precise description of how an experiment was done, and the rationale for why specific experimental procedures were chosen. It is usually helpful to structure the methods section by [?]:

- 1) Layout the model you used to describe the problem or the solution.
- 2) Describing the algorithms used in the study, briefly including details such as hyperparameter values (e.g. thresholds), and preprocessing steps (e.g. normalizing the data to have mean value of zero).
- 3) Explaining how the materials were prepared, for example the images used and their resolution.
- 4) Describing the research protocol, for example which examples were used for estimating the parameters (training) and which were used for computing performance.
- 5) Explaining how measurements were made and what calculations were performed. Do not reproduce the full source code in the paper, but explain the key steps.

#### A. Results

Organize the results section based on the sequence of table and figures you include. Prepare the tables and figures as soon as all the data are analyzed and arrange them in the sequence that best presents your findings in a logical way. A good strategy is to note, on a draft of each table or figure, the one or two key results you want to address in the text

Basis	Support	Suitable signals	Unsuitable signals
Fourier wavelet	global local	sine like localized	localized sine like

Table I  
CHARACTERISTICS OF FOURIER AND WAVELET BASIS.

portion of the results. The information from the figures is summarized in Table I.

When reporting computational or measurement results, always report the mean (average value) along with a measure of variability (standard deviation(s) or standard error of the mean).

1) *Installation*: There are various different packages available for processing L<sup>A</sup>T<sub>E</sub>X documents. On Windows, use the MikT<sub>E</sub>X package (<http://miktex.org/>), and on OSX use MacT<sub>E</sub>X (<http://www.tug.org/mactex/2009/>). Alternatively, on OSX, you can install the tetex package via Fink<sup>1</sup> or Macports<sup>2</sup>.

2) *Compiling L<sup>A</sup>T<sub>E</sub>X*: Your directory should contain at least 4 files, in addition to image files. Images should be in .png, .jpg or .pdf format.

- IEEEtran.cls
- IEEEtran.bst
- groupXX-submission.tex
- groupXX-literature.bib

Note that you should replace groupXX with your chosen group name. Then, from the command line, type:

```
$ pdflatex groupXX-submission
$ bibtex groupXX-literature
$ pdflatex groupXX-submission
$ pdflatex groupXX-submission
```

This should give you a PDF document groupXX-submission.pdf.

3) *Equations*: There are three types of equations available: inline equations, for example  $y = mx + c$ , which appear in the text, unnumbered equations

$$y = mx + c,$$

which are presented on a line on its own, and numbered equations

$$y = mx + c \quad (1)$$

which you can refer to at a later point (Equation (1)).

4) *Tables and Figures*: Tables and figures are “floating” objects, which means that the text can flow around it. Note that figure\* and table\* cause the corresponding figure or table to span both columns.

## B. Grading

There are two different types of grading criteria applied to your project, with the corresponding weights shown in brackets.

### Competitive

The following criteria is scored based on your rank in comparison with the rest of the class.

- time taken for computation (10%)
- average rank for all other criteria relevant to the task, for example reconstruction error and sparsity (20%)

The ranks will then be converted on a linear scale into a grade between 4 and 6.

### Non-competitive

The following criteria is scored based on an evaluation by the teaching assistants.

- quality of paper (30%)
- quality of implementation (20%)
- creativity of solution (20%)

## C. Submission System

The deadline for submitting your project report is Friday, 22 June 2012. You need to submit:

- PDF of paper.
- Archive (.tar.gz or .zip) of software. Please do not forget to include author information in the source archive.

**Important:** Please check the submission instructions on the webpage as it is the most updated instructions.

## V. SUMMARY

The aim of a scientific paper is to convey the idea or discovery of the researcher to the minds of the readers. The associated software package provides the relevant details, which are often only briefly explained in the paper, such that the research can be reproduced. To write good papers, identify your key idea, make your contributions explicit, and use examples and illustrations to describe the problems and solutions.

## ACKNOWLEDGEMENTS

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<sup>1</sup><http://www.finkproject.org/>

<sup>2</sup><http://www.macports.org/>