



REPUBLIC OF THE PHILIPPINES

NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY

MEMORANDUM

FOR : All National Government Agencies, Government-Owned and/or Controlled Corporations, Government Financial Institutions, Other National Government Offices and Instrumentalities, and State Universities and Colleges

SUBJECT : Joint Call for the Formulation of the Public Investment Program 2023-2028 and Three-Year Rolling Infrastructure Program for Fiscal Years 2024-2026 as Input to the Fiscal Year 2024 Budget Preparation

DATE : October 7, 2022

Action requested. This is a call for all concerned government agencies and offices to submit priority programs and projects (PAPs) for the formulation of the Public Investment Program (PIP) 2023-2028 and Three-Year Rolling Infrastructure Program (TRIP) for Fiscal Years (FYs) 2024-2026, as input to the FY 2024 budget preparation.

Background

1. Based on the Guidelines for the Formulation of the Philippine Development Plan (PDP) and Regional Development Plans 2023-2028 issued by NEDA on September 16, 2022, the PIP is one of the accompanying documents to the PDP. It likewise states that separate supplemental guidelines on the formulation of the PIP shall be issued by NEDA.

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2. In accordance with the Department of Budget and Management (DBM)-NEDA Joint Circular No. 2016-01 dated January 29, 2016 providing the policy guidelines and procedures for the formulation of the TRIP, NEDA shall issue a notice to all concerned government agencies, including their attached bureaus and offices, to submit their infrastructure-related priority PAPs for inclusion in the TRIP.
3. As a six-year programming document accompanying the PDP, the **PIP** contains the priority PAPs to be implemented by the national government agencies, government-owned and/or controlled corporations, government financial institutions, and other national government offices, and state universities and colleges within the medium-term that contribute in meeting the targets in the PDP and achieving the outcomes in the Results Matrix¹.
4. The **TRIP**, a subset of the PIP, is a key feature of the budgetary reform used to synchronize and tighten the link between planning and budgeting of all infrastructure PAPs of the Government. It shall be used to build the pipeline of strategic and other infrastructure projects needed to sustain inclusive economic growth.
5. The agencies are requested to identify priority PAPs proposed to be implemented for the period FYs 2023-2028. In addition, all concerned agencies are requested to focus the submission of PAPs based on continuing priorities, which shall be guided by DBM issuances on the management of forward estimates for infrastructure-related PAPs, and new/emerging priorities consistent with the 8-point socioeconomic agenda of the new administration, among others. Only PAPs that meet the criteria for inclusion in the PIP and scope/coverage of the TRIP should be submitted by the agencies.

¹ The full Results Matrix shall be available together with the final version of the PDP 2023-2028, and will be considered in the updating of the PIP.

6. In the formulation of the PDP 2023-2028, agencies were requested to submit **major PAPs**² that contribute to the attainment of the target outcomes set in the Plan. The same shall also form part of the agency submissions for the PIP 2023-2028.

Guidelines and Procedures

7. Attached, for reference and guidance, are the following guidelines to facilitate the submission of agency inputs:
 - a. Guidelines for the Formulation of the PIP 2023-2028 (**Attachment 1**);
 - b. Policy Guidelines and Procedures for the Formulation of the TRIP (**Attachment 2**); and
 - c. Supplemental Document on the Formulation of the TRIP FYs 2024-2026 (**Attachment 3**).
8. In submitting priority PAPs for inclusion in the PIP 2023-2028 and TRIP FYs 2024-2026, the agencies shall utilize the PIP Online (PIPOL) System, a web-based project database system that manages data entry and updates on programs and projects, including the generation of reports. The PIPOL System can be accessed at <https://pipol.neda.gov.ph> for the submission of priority PAPs. Please be informed that only authorized users of agencies/offices will be given access to the PIPOL System.
9. To ensure accountability, accuracy, and timeliness of submission of agency inputs to NEDA, we would like to request you to nominate your official Agency PIP/TRIP Focals (one with at least Director IV level position and additional two technical staffs), who will be authorized to access the PIPOL System and encode the data requirements for PAPs to be included in the PIP/TRIP, using the **Authorization Form for PIP/TRIP Focals**. The account credentials (username and password) will be issued through the nominated email addresses of the Agency PIP/TRIP Focals, once approved by the PIP Secretariat.
10. The agencies are advised of the following:

² Major PAPs are defined as ongoing and proposed PAPs of the agencies, costing at least PHP 2.5 billion in total project cost, which are aligned with the 8-point Socioeconomic Agenda.

10. The agencies are advised of the following:

- a. Register the information of the Agency PIP/TRIP Focals in the PIPOL System Sign-up page³ and upload a copy of the duly signed Authorization Form **not later than Monday, October 31, 2022**; and
- b. Encode only priority PAPs endorsed/approved by the Head of Agency and/or the Parent Agency (where applicable) in the PIPOL System **not later than Friday, November 18, 2022 (11:59 PM)**.

Please see ***Attachment 4*** for the **Calendar of Activities for the Joint Call for the Formulation of the PIP 2023-2028 and TRIP FYs 2024-2026 as Input to the FY 2024 Budget Preparation.**

11. We will likewise convene an online briefing for the Agency PIP/TRIP Focals.

12. For queries and technical concerns, you may contact us through the following:

- a. **PIPOL System Help Desk (through the PIROL System Dashboard).** To accomplish the form, click on the “Need Help?” tab found at the left-hand corner of the dashboard.

b. Email:

PIP Secretariat (for PIP-related matters, please send an email with the subject “ PIP Concern: [Nature of Concern/Query] ” and provide the following details: Agency: Name of Focal: Concern/query: Contact number/s:)	Email Address: &PIP@neda.gov.ph
TRIP Secretariat (for TRIP-related matters, please send an email with the subject “ TRIP Concern: [Nature of	Email Address: tripsecretariat@neda.gov.ph

³ PIROL System Sign-up page can be accessed through this link: <https://pirol.neda.gov.ph/sign-up>.

Concern/Query] " and provide the following details: Agency: Name of Focal: Concern/query: Contact number/s:)	
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Thank you.


ARSENIO M. BALISACAN, PhD
Secretary



Attachments:

1. Guidelines for the Formulation of the PIP 2023-2028
2. Policy Guidelines and Procedures for the Formulation of the TRIP
3. Supplemental Document on the Formulation of the TRIP FYs 2024-2026
4. Calendar of Activities for the Joint Call for the Formulation of the PIP 2023-2028 and TRIP FYs 2024-2026 as Input to the FY 2024 Budget Preparation

Guidelines for the Formulation of the Public Investment Program 2023-2028
as of October 7, 2022

I. Legal basis and background

The formulation of the **Public Investment Program (PIP)** is pursuant to the following legal and policy issuances:

- A. Executive Order (EO) No. 230, series of 1987, provides that the National Economic and Development Authority (NEDA) shall be responsible for coordinating the formulation of continuing and integrated socio-economic development plans, policies and programs, including the formulation of annual and medium-term public investment programs;
- B. On the first State of the Nation Address of the President last July 25, 2022, the President directed NEDA to “coordinate with other agencies and work on the Philippine Development Plan (PDP) for 2023 to 2028”;
- C. Based on the Guidelines for the Formulation of the PDP and Regional Development Plans 2023-2028 issued by NEDA on September 16, 2022¹, the PIP is one of the accompanying documents to the PDP, and separate supplemental guidelines on the formulation of the PIP shall be issued by NEDA; and
- D. Joint Circular No. 2017-1 dated June 30, 2017 among the Department of Budget and Management (DBM), Department of Finance (DOF), and NEDA on the National Government Fiscal Calendar² provides that NEDA is assigned to spearhead the necessary activities for the formulation/preparation of development planning and investment programming reports (i.e., PDP, PIP, Three-Year Rolling Infrastructure Program, Core Investment Programs and Projects, Socio-Economic Reports) and other documents.

¹ The 2023-2028 PDP shall be a national planning document taking off from the Administration’s 8-point Socioeconomic Agenda which seeks to reinvigorate job creation and accelerate poverty reduction while addressing the issues brought to light by the COVID-19 pandemic. NEDA is currently coordinating the formulation of the PDP 2023-2028. Copy of the Guidelines for the Formulation of the PDP and RDPs 2023-2028 can be accessed at this link: <https://tinyurl.com/2023-2028PDPGuidelines>.

² Copy of the DBM, DOF, and NEDA Joint Circular No. 2017-1 dated June 30, 2017 on the National Government Fiscal Calendar can be accessed at this link: [JOINT CIRCULAR NO. 2017-1 DATED JUNE 30, 2017 \(dbm.gov.ph\)](http://jointcircularno.2017-1datedjune30,2017(dbm.gov.ph).).

II. The PIP in the Plan-Program-Budget Linkage Process Framework

As a six-year programming document accompanying the PDP, the **PIP** contains the priority programs and projects (PAPs) to be implemented by the national government agencies (NGAs), government-owned and controlled corporations (GOCCs), government financial institutions (GFIs), other national government offices, and state universities and colleges (SUCs) within the medium-term that contribute to meeting the targets in the PDP and achieving the outcomes in the Results Matrix (RM)³.

The agencies are expected to ensure that priority PAPs to be allocated with resources or submitted for inclusion in the National Expenditure Program (NEP) and/or funded under the General Appropriations Act (GAA) are responsive to the PDP, and included in the PIP. DBM shall accord priority to PAPs included in the PIP in its review of the submitted budget proposals⁴.

Main funding for PAPs in the PIP can come from the national government budget, internal cash generated by GOCCs and GFIs, private capital through partnership with the private sector, and loan and/or grants from official development assistance (ODA). Implementation, on the other hand, can be carried out through the Government Procurement Reform Act⁵, ODA Act of 1996⁶, public-private partnership (PPP)⁷, or Joint Venture (JV) arrangement⁸.

The PIP has the following subsets:

- A. **Core Investment Programs/Projects (CIPs)** - refer to the new or proposed big ticket PAPs of the PIP for the Investment Coordination Committee (ICC) and NEDA Board action; and

³ The full RM shall be available together with the final version of the PDP 2023-2028, and will be considered in the updating of the PIP. For details on the Plan-Program-Budget Linkage: Process Framework, please see **Annex A**.

⁴ Section 5.2 of the DBM National Budget Memorandum No. 142 dated January 12, 2022 indicates that the Approved Three-Year Rolling Infrastructure Program and PIP shall be the NEDA endorsement of PAPs in the budget proposals of agencies.

⁵ Republic Act (R.A.) No. 9184

⁶ RA No. 8182, as amended by RA No. 8555

⁷ Pursuant to the Revised Implementing Rules and Regulations of RA No. 6957, "An Act Authorizing the Financing, Construction, Operation and Maintenance of Infrastructure Projects by the Private Sector and for Other Purposes", as Amended by R.A. No. 7718.

⁸ Based on the 2013 Revised Guidelines and Procedures for Entering into JV Agreements between Government and Private Entities. Copy of the 2013 JV Guidelines can be accessed through this link: <https://neda.gov.ph/wp-content/uploads/2017/09/2013-Revised-JV-Guidelines.pdf>.

- B. **Non-CIPs** - refer to proposed priority PAPs that do not need to undergo the ICC or NEDA Board review and approval process, as well as to ongoing priority PAPs.

Both the CIPs and non-CIPs may contain PAPs under the Three-Year Rolling Infrastructure Program (TRIP) for Fiscal Years (FYs) 2024-2026, and major PAPs under the PDP 2023-2028.

The **TRIP**, a subset of the PIP, is a key feature of the budgetary reform used to synchronize and tighten the link between planning and budgeting of all infrastructure PAPs of the Government. It shall be used to build the pipeline of strategic and other infrastructure projects needed to sustain inclusive economic growth.

In the formulation of the PDP 2023-2028, agencies were requested to submit **major PAPs⁹** that contribute to the attainment of the target outcomes set in the Plan. The same shall also form part of the agency submissions for the PIP 2023-2028.

III. Objectives of the formulation of the PIP 2023-2028

This set of guidelines provides the framework and process for the formulation of the PIP 2023-2028. The PIP is expected to:

- A. Include the CIPs or pipeline of PAPs for ICC and/or NEDA Board action, with focus on projects for ICC processing this medium-term;
- B. Identify proposed priority PAPs to be implemented, for funding by the national government (including ODA) or for implementation through PPP or JV, and/or other funding sources; and
- C. Report the status of newly proposed and ongoing priority PAPs in terms of level of readiness and implementation.

⁹ Major PAPs are defined as ongoing and proposed PAPs of the agencies, costing at least PHP 2.5 billion in total project cost, which are aligned with the 8-point Socioeconomic Agenda.

IV. Criteria for inclusion of PAPs in the PIP

The PIP shall contain the priority PAPs¹⁰ of NGAs, GOCCs, GFIs, other NGA offices and instrumentalities, and SUCs.

A. Proposed priority PAPs for inclusion in the PIP should satisfy ALL of the following criteria:

1. Responsiveness

- a. Responsive to the Strategy Framework and Chapters of the PDP 2023-2028¹¹;
- b. Responsive to the 8-Point Socioeconomic Agenda¹²; and
- c. Included in ANY of the following:
 - i. NEP for FY 2023;
 - ii. GAA for FY 2022;
 - iii. Multi-Year Obligational Authority (MYOA)/ Multi-Year Contracting Authority (MYCA);
 - iv. Existing masterplans, sector studies, or procurement plans;
 - v. List of Regional Development Council (RDC) - endorsed NG PAPs;
 - vi. Signed Agreements/ International Commitments (e.g., Peace Agreements);
 - vii. Existing laws, rules or regulations; or
 - viii. Regular program (e.g., Health Facilities Enhancement Program, *Payapa at Masaganang Pamayanan* Program, etc.).

¹⁰ Only PAPs as defined below shall be submitted:

- a. **Program** is a group of activities and projects that contribute to a common particular outcome. A program should have the following: (i) unique expected results or outcomes; (ii) a clear target population or client group external to the agency; (iii) a defined method of intervention to achieve the desired result; and (iv) a clear management structure that defines accountabilities.
- b. **Project** is a special undertaking carried out within a definite time frame and intended to result in some pre-determined measure of goods and services.

Source: Sections 4.10 and 4.13 of the National Budget Circular No. 569 dated February 8, 2017 on the Adoption of Program Expenditure Classification-Based Performance-Informed Budgeting for the Preparation of the Proposed National Budget for Fiscal Year 2018.

¹¹ Please refer to Annexes B and C of the Guidelines for the Formulation of the PDP and RDPs 2023-2028.

¹² Please refer to Annex A of the Guidelines for the Formulation of the PDP and RDPs 2023-2028.

2. Readiness

For proposed projects, with level of readiness as follows:

Level of Readiness	CIPs	Non-CIPs
1	With NEDA Board and/or ICC project approval but not yet ongoing	With approval of appropriate approving body but not yet ongoing
2	<ul style="list-style-type: none"> • With project preparation document¹³ completed, for ICC processing in 2022 or 2023; • If for NG funding, included in the NEP for FY 2023 or for inclusion in the FY 2024 budget proposal 	<ul style="list-style-type: none"> • With project preparation document completed, for approval of appropriate approving body in 2022 or 2023; • If for NG funding, included in the NEP for FY 2023 or for inclusion in the FY 2024 budget proposal
3	<ul style="list-style-type: none"> • With project preparation document to be completed in 2023-onwards, for ICC processing in 2024-onwards; • If for NG funding, for inclusion in FY 2025 budget proposal or succeeding years 	<ul style="list-style-type: none"> • With project preparation document to be completed in 2023-onwards, for approval of the appropriate approving body in 2024-onwards; • If for NG funding, for inclusion in FY 2025 budget proposal or succeeding years

Ongoing PAPs to be included in the PIP should satisfy the criteria on responsiveness (IV.A.1) and typology (IV.A.3). Further, agencies shall submit updates on the status of implementation of ongoing PAPs in terms of their physical (percentage of completion) and financial (utilization rate) status, based on available data from the respective agencies.

A program or project is considered ongoing as follows:

- a. if locally funded - upon issuance of notice to proceed;
- b. if ODA loan-assisted - upon effectivity of loan or grant agreement; or
- c. if PPP or JV - upon signing of concession / JV agreement.

¹³ Refers to either feasibility study (F/S), business case, project proposal, concept note, etc., whichever is applicable.

3. Typology

- a. Capital investment PAPs to deliver public goods and services that contribute specifically to the country's productive capacity (e.g., infrastructure development projects, delivery of social services).

For ICT PAPs, these refer to capital outlay components of the Information Systems Strategic Plan¹⁴ (ISSP) of the agencies.

Consistent with the National Budget Memorandum No. 142 (dated January 12, 2022), infrastructure capital investments shall factor in resiliency to withstand natural calamities.

- b. Technical assistance PAPs such as research and development, institutional development, human resource capacity building, or system/process improvement PAPs;
- c. Relending PAPs to local government units (LGUs) or other target beneficiaries; and
- d. Government facilities which include the construction, rehabilitation, and improvement of government facilities for the performance of the agencies' function or mandate and providing support to the attainment of the goals contained in the PDP 2023-2028.

B. Types of Core Investment Programs/Projects (CIPs)

The following proposed priority PAPs are classified and should be tagged as CIPs in the PIP Online (PIPOL) System if these will require ICC and/or NEDA Board review and/or approval:

¹⁴ As approved by the Medium-Term Information and Communications Technology Harmonization Initiative Steering Committee.

1. Locally-funded major capital PAPs¹⁵ with total project cost of at least PHP 2.5 billion¹⁶;
2. ODA grant-assisted PAPs with total project cost of at least PHP 2.5 billion;
3. ODA loan-assisted PAPs (including relending PAPs, program loans such as budget support, etc.) regardless of amount requiring NG guarantee;
4. Solicited national PPP PAPs;
5. JV projects with government contribution of at least PHP 150 million¹⁷; and
6. All new PAPs which will require ICC approvals based on existing laws, rules, and regulations.

C. PAPs for exclusion in the PIP

The PIP excludes the following:

1. Recurrent/non-recurrent spending for general administrative and support to operations of agencies (e.g., standalone lease of office space, acquisition/procurement of supplies, equipment, and materials, personnel services, etc.);
2. Guarantee-related activities to private institutions;
3. PAPs to be financed purely from LGU funds and independent projects of the private sector;
4. Creation/establishment of an office or organizational unit, rightsizing, and other reorganization-related activities;

¹⁵ A major capital program/project involves investments in physical and human capital through expenditures or transfers by the NG. As a rule, MCPs can be evaluated, specifically in terms of financial and economic viability (Source: ICC Guidelines and Procedures as of March 4, 2005).

¹⁶ Please refer to the June 27, 2017 ICC Memo to Agencies on the PHP 2.5 Billion Project Cost Threshold for ICC Review and Approval of Project Proposals. Copy of the ICC Memo can be accessed through this link: <https://neda.gov.ph/wp-content/uploads/2017/08/Revisions-on-ICC-Guidelines-and-Procedure-2.5-Billion.pdf>.

¹⁷ Based on the 2013 Revised Guidelines and Procedures for Entering into JV Agreements between Government and Private Entities; This should exclude proposed negotiated JVs initiated by a private sector proponent.

5. Roadmap, masterplan, and ISSP of implementing agencies, including continuing or operating ICT expenses. However, priority projects mentioned in the roadmap/masterplan, etc., that are responsive to the PDP should be included in the PIP;
6. Stand-alone preparatory activities for infrastructure PAPs such as resettlement action plan, ROWA, pre-F/S, F/S, and detailed engineering design, among others;
7. Funding facilities managed by implementing agencies as part of their regular program/mandate, which provide financing for project pre-investment activities (e.g., F/S Fund);
8. Acquisition of lots;
9. Construction, improvement, rehabilitation, restoration or maintenance of a single unit of a building/ structure (e.g., office, room), unless it shall be done for the protection and preservation of cultural properties as defined by R.A. No. 10066 or the National Cultural Heritage Act of 2009; and
10. Landscaping, site development, installation of perimeter fence or similar non-infrastructure items which may not contribute specifically to the country's productive capacity, unless it shall be done for the protection and preservation of cultural properties as defined by the National Cultural Heritage Act of 2009.

V. Submission in the PIP

A. The agencies shall:

1. Preparatory stage. Undertake investment programming activities at the agency level:
 - a. Ensure that all priority PAPs that are for submission respond to the criteria for inclusion of PAPs in the PIP, and are consistent with the planning-programming references and tools in **Annex B**.

- b. Identify and/or update the information on the agency's ongoing and proposed priority PAPs that will qualify for inclusion in the PIP 2023-2028 based on the criteria for inclusion provided in Item IV above.
 - c. Ensure that all NG PAPs included in the Regional Development Investment Programs (RDIPs), if available, and/or endorsed by the RDCs, which contribute to the sectoral outcomes in the PDP, are included in the Agency PIP. The central offices of the agencies are required to coordinate with its regional offices, bureaus and attached agencies to seek their inputs in the preparation of the agency submission for the formulation of the PIP 2023-2028, including information on the regional priorities and proposed investment targets at the regional level. The agencies are enjoined to provide feedback to the concerned RDCs on the reasons for the non-inclusion in the PIP of the priority NG-implemented PAPs that are in the RDIPs, and RDC-endorsed NG PAPs.
 - d. Participate in other PIP-related meetings, as may be requested.
2. **Project submission stage**. Submit inputs through the PIPO System:
 - a. Authorize Agency PIP/TRIP Focals, led by an official with at least Director IV-level position and two technical staffs, who will access the PIPO System and encode the data requirements that need to be reflected in the PIPO System. Agencies are to be guided by the Terms of Reference of the Authorized Agency PIP/TRIP Focals. Please see **Annexes C and D**.
 - b. Encode only priority PAPs **endorsed/approved by the Head of Agency and/or the Head of the Parent Agency**, where applicable, in the PIPO System **not later than November 18, 2022 (11:59 pm)**. Encoding in the PIPO System shall not be possible once the system closes on the specified date.
 - c. Encode the requested information through the PIPO System, and ensure the complete and timely submission of PAPs. **PAPs with incomplete submission of entries and not submitted in the PIPO System will not be included in the list of Agency PAPs.**

3. **Validation stage.** Coordinate with the concerned NEDA PIP Chapter Focals, as necessary, on the validation of the submitted PAPs, and with the PIP Secretariat for any concerns on submissions of PAPs using the PIPOl System.

(For the roles of NEDA and the Planning Committees, please refer to **Annex E.**)

B. Coordination mechanism

The Planning Committees as provided in the Guidelines for the Formulation of the PDP and RDPs 2023-2028 shall confirm the write-up and list of priority PAPs for inclusion in the PIP under their respective PIP Chapters based on the inputs of the NEDA PIP Chapter Focals.

VI. The PIPOl System

The PIPOl System is a web-based project database system that facilitates the data entry of priority PAPs and submission of updates from Agency PIP/TRIP Focals, validation by the NEDA Secretariat of the priority PAPs, and report generation. This can be accessed by authorized Agency PIP/TRIP Focals at: <http://piopol.neda.gov.ph>.

The PIPOl System User's Manual will be made available in the PIPOl System, for reference and guidance.

The list of data entry requirements for submission of priority PAPs in the PIPOl System is in **Annex F**, for reference.

ATTACHMENT 1

ANNEXES:

- Annex A** - Plan-Program-Budget Linkage: Process Framework;
- Annex B** - List of Other Planning-Programming References and Tools;
- Annex C** - Terms of Reference of the Authorized PIP/TRIP Focals for the Formulation of the PIP 2023-2028 and TRIP FYs 2024-2026 as Input to the FY 2024 Budget Preparation;
- Annex D** - Authorization Form for the Agency PIP/TRIP Focals for the Formulation of the PIP 2023-2028 and TRIP FYs 2024-2026 as Input to the FY 2024 Budget Preparation;
- Annex E** - Roles of NEDA and the Planning Committees; and
- Annex F** - List of Data Entry Requirements for Submission of Priority Programs and Projects in the PIOPOL System

Plan-Program-Budget Linkage: Process Framework

The Plan-Program-Budget Linkage Process Framework below (**Figure 1**) maps out how the outputs of the planning and programming processes serve as inputs to the budgeting process.

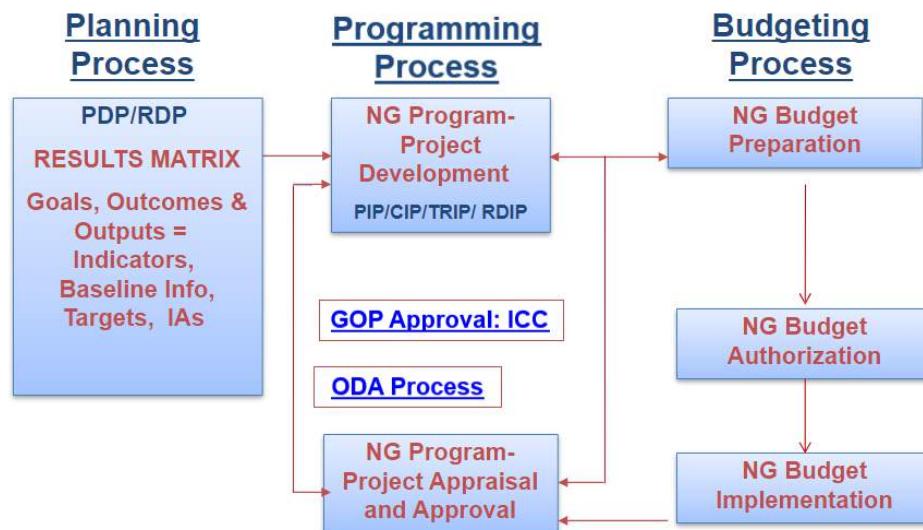


Figure 1. Plan-Program-Budget Linkage: Process Framework

Planning Process

The **Philippine Development Plan (PDP)** or the **Plan** is a national planning document prepared at the start of a new leadership which highlights the aspirations and goals of the country for the next six years. At the regional level, the **Regional Development Plans** reflect the development agenda and strategic priorities of the regions, in support of the PDP's overall objective and sector goals and outcomes.

One of the accompanying documents of the Plan is the **Results Matrix (RM)**, which is an instrument that provides the results orientation of the Plan. The RM contains the statements of results to be achieved (goals, outcomes, and outputs) and corresponding indicators, baseline information, targets, and responsible agencies.

Programming Process

The **Public Investment Program (PIP)**¹, as another accompanying document to the Plan, translates the PDP and RM into specific programs and projects (PAPs) during the

¹ The PIP contains Core Investment Programs/Projects (CIPs), Non-CIPs, and the Three - Year Rolling Infrastructure program (TRIP) as subsets. In the formulation/updating of the PIP, agencies, in coordination with their bureaus, attached agencies, and regional offices, take into consideration the regional priorities, particularly priority national government (NG)-implemented PAPs included in the Regional Development Investment Programs (RDIPs) and RDC-endorsed NG PAPs.

programming process. Each agency or office formulates or updates its rolling list of priority PAPs which contribute to meeting the Plan targets and achieving RM outcomes. The lists of priority PAPs of the agencies are submitted to NEDA, for possible inclusion in the PIP.

During the programming process, possible funding source/s for the PAPs, i.e., through local funds, partnership with the private sector, or through official development assistance (ODA) are identified. Priority PAPs are subject to the appraisal and approval process of the appropriate Approving Body. In the case of PAPs for ODA funding, these are subject to the programming exercises, NEDA Secretariat review, as well as the Investment Coordination Committee (ICC) and NEDA Board (NB) review and approval², where applicable.

Budgeting Process

The agencies are expected to ensure that priority PAPs to be allocated with resources or submitted for inclusion in the National Expenditure Program and/or funded under the General Appropriations Act are priority PAPs in the PIP. Through this, the PIP serves as a tool in improving resource mobilization towards PAPs that contribute to meeting the Plan targets and achieving RM outcomes. The Department of Budget and Management (DBM) shall accord priority to PAPs included in the PIP in its review of the submitted budget proposals.

² Please refer to the ICC Guidelines and Procedures for the nature of PAPs that are subject to ICC/NEDA Board review and approval, which can be accessed through this link: <https://neda.gov.ph/investment-coordination-committee/>.

List of Other Planning-Programming References and Tools

Agencies are expected to consider the following in their submission of inputs for the formulation of the Public Investment Program (PIP):

- A. **Regional Development Council (RDC) endorsement and inclusion in the Regional Development Investment Programs (RDIP).** All RDC-endorsed and national government PAPs under the RDIP, which meet the criteria for inclusion in the PIP should be submitted by the agencies.
- B. **Harmonized Gender and Development Guidelines (HGDG) for investment programming.** The agencies shall use the sector-appropriate HGDG checklist for project development, implementation, monitoring and evaluation in the preparation of the Agency PIP. The guide and the generic/sectoral HGDG checklists can be accessed through this link: <https://neda.gov.ph/gender-and-development/>.
- C. **Disability-inclusive development.** When applicable, agencies should integrate disability-sensitive measures into the design, implementation, monitoring and evaluation of development policies and PAPs. A reference material with brief discussion on the “twin-track approach” on addressing disability-related concerns, including its mainstreaming in plans, policies and programs, can be accessed in this link: <http://bit.ly/30uZSfw>.
- D. **Good governance and rights-based approach (RBA) to investment programming.** Agencies shall ensure the PAPs’ consistency with the principles of good governance: (1) rule of law and fairness; (2) inclusiveness; (3) participation, openness and transparency; (4) performance orientation and accountability; (5) leadership and stewardship; (6) ethics and probity; and (7) service orientation and responsiveness. It shall also be guided by rights-based parameters, principles and standards to promote inclusive, equitable and sustainable development towards the achievement of our long-term vision or Ambisyon Natin 2040.

The agencies are recommended to follow pertinent guidelines from the Good Governance Toolkit and RBA questionnaire in the screening and selection of PAPs for inclusion in the PIP Formulation. The checklists can be accessed through the following links:

1. Good Governance Toolkit for PIP (process checklist):
[https://bit.ly/3Dpx7HU](http://bit.ly/3Dpx7HU)

2. Good Governance Toolkit for PIP (content checklist):
<https://bit.ly/3BGb4LY>
3. RBA Mainstreaming Checklist: <http://bit.ly/RBAChecklist>

- E. Development Gaps Observed and Proposed Way Forward under the Updated PIP 2017-2022 for FY 2022.** Agencies should consider the proposed way forward as reference in identifying the PAPs to address the development gaps and unmet targets due to PAPs not being implemented/allocated with budget under the current PIP. The link to the reference document can be accessed through this link: <https://bit.ly/3BdlFwb>.

Terms of Reference of the Authorized Agency Public Investment Program (PIP)/Three -Year Rolling Infrastructure Program (TRIP) Focal(s) for the Formulation of the PIP 2023-2028 and TRIP for Fiscal Years (FYs) 2024-2026 as Input to the FY 2024 Budget Preparation

To ensure accountability, accuracy and timeliness of submission of Agency/Office¹ inputs to NEDA, all concerned Agencies/Offices are required to designate its authorized Agency PIP/TRIP Focal(s) who will access and encode the data requirements in the PIP Online (PIPOL) System using the ***Authorization Form***². The Authorization Form should be duly signed by the Head of Agency³ and its Head of Parent Agency⁴, where applicable.

Based on the authority granted by the Head of Agency and its Head of Parent Agency, where applicable, it is understood that all information on the priority programs and projects (PAPs) encoded by the authorized Agency PIP/TRIP Focal(s) are ***correct, complete*** and ***duly endorsed/approved*** by both the Agency and its Parent Agency. For reporting purposes and in response to request for information on the priority PAPs under the PIP 2023-2028 and TRIP FYs 2024-2026, the information encoded in the PIPOL System by the Agency PIP/TRIP Focals will be deemed by NEDA as ***official and can be used for quotation***.

The duties and responsibilities of the authorized Agency PIP/TRIP Focal(s) shall include the following:

- a. ***Participate in the Briefing on the Formulation of the PIP 2023-2028 and TRIP FYs 2024-2026*** to be facilitated by the PIP and TRIP Secretariats. The authorized Agency PIP/TRIP Focal(s) are expected to echo the briefing with their respective regional offices and attached agencies, as needed.

¹ All National Government Agencies, Government-Owned and Controlled Corporations (GOCCs), Government Financial Institutions (GFIs), Other National Government Offices and Instrumentalities, and State Universities and Colleges (SUCs)

² Please see **Annex D**. Copy can also be accessed at the PIPOL System Sign-up page: <https://pipol.neda.gov.ph/sign-up>.

³ The President or the counterpart highest level official for GOCCs, GFIs and SUCs.

⁴ Kindly note the following considerations:

- a. **SUCs** - the Chair of the Governing Boards of each SUC (i.e., CHED Commissioner) shall sign the parent agency field of the authorization form of the concerned SUC;
- b. **Agencies attached to parent agencies** - the Head of the Parent Agency shall sign the parent agency field of the authorization form of the concerned attached agency;
- c. **Agencies under the Office of the President and Other Executive Offices (OEOs)** - the signature of the head of the concerned agency will suffice; and
- d. **GOCC/GFIs attached to NGAs under issuances** – the Head of the Parent Agency shall sign the parent agency field of the authorization form of the concerned GOCC/GFI.

- b. ***Coordinate within their respective agencies, particularly with their offices involved in planning, budgeting and implementation of priority PAPs*** to ensure that the priority PAPs to be endorsed for inclusion in the PIP 2023-2028 and TRIP FYs 2024-2026 as input to the FY 2024 budget preparation, through the PIOPOL System, are ***correct, updated and with complete and accurate information.***
- c. ***Coordinate with the respective agency regional offices (AROs)*** to ensure that all respective national government (NG)-implemented PAPs under the Regional Development Investment Program (RDIP), and Regional Development Council (RDC)-endorsed NG PAPs (if available), are included in the submission of the agency for inclusion in the PIP 2023-2028 and TRIP FYs 2024-2026. The agency shall provide feedback to the concerned RDCs on the reasons for the non-inclusion in the PIP of the priority NG PAPs in the RDIPs, and RDC-endorsed NG PAPs;
- d. ***Coordinate with respective attached agencies/offices, where applicable,*** to ensure that submissions of attached agencies/offices, are included in the PIP 2023-2028 and TRIP FYs 2024-2026, and endorsed by the parent agency;
- e. ***Encode and validate the completeness of the data of the agency's endorsed/approved priority PAPs*** for inclusion in the PIP 2023-2028 and TRIP FYs 2024-2026, through the PIOPOL System, on or before the set deadline; and
- f. ***Coordinate with the concerned NEDA PIP Chapter Focals***, as necessary, on the validation of the submitted inputs, and with the PIP Secretariat for any concerns on submissions of inputs using the PIOPOL System.

In addition to the above, the Agency Lead PIP/TRIP Focal to be authorized should at least be in Director IV level position or its equivalent who will oversee the submission of PAPs of the other Agency PIP/TRIP Focal(s) in the PIOPOL System.

**Authorization Form for Agency Public Investment Program (PIP)/
Three-Year Rolling Infrastructure Program (TRIP) Focal(s) for the
Formulation of the PIP 2023-2028 and TRIP for Fiscal Years (FY) 2024-2026
as Input to the FY 2024 Budget Preparation**

This is to authorize the following from (Name of Department/Agency/Office) as the Agency PIP/TRIP Focal(s) who will be responsible to ***encode and submit duly endorsed/approved priority programs and projects (PAPs)*** for inclusion in the PIP 2023-2028 and TRIP FYs 2024-2026 as input to the FY 2024 budget preparation, through the PIP Online (PIPOL) System.

Based on this authorization, it is understood that all information on the PAPs encoded by the following Agency PIP/TRIP Focal(s) in the PIPOL System on or before the set deadline are **correct, complete and duly endorsed/approved by the Head of Agency and Head of Parent Agency**, where applicable. For reporting purposes and request for information on the priority PAPs under the PIP 2023-2028 and TRIP FYs 2024-2026, the information encoded in the PIPOL System by the Agency PIP/TRIP Focals will be deemed by NEDA as **official and can be used for quotation**.

Authorized Agency PIP/TRIP Focal(s):

Name	Designation and Office/Unit	Contact Number	Email Address
Agency Lead PIP/TRIP Focal (<i>Director IV level and above</i>):			
		Tel. No:	
Agency PIP/TRIP Focal 2			
		Tel. No:	
Agency PIP/TRIP Focal 3			
		Tel. No:	

Contact Information of the Head of Agency:

Name	Position (Secretary, SUC President, etc.)	Contact Number	Email Address
Head of Agency¹:			
		Tel. No:	
Head of Parent Agency (If applicable)²:			
		Tel. No:	

Name and Signature of the

Head of Agency:

Date:

Name and Signature of the **Head of****Parent Agency (if applicable):**

Date:

NOTE: Kindly upload the duly accomplished Authorization Form in the PIP Online Sign-up page accessible through this link: <http://pipol.neda.gov.ph/sign-up> not later than Monday, October 31, 2022.

¹The President or the counterpart highest level official for Government-Owned and Controlled Corporations (GOCCs), Government Financial Institutions (GFIs) and State Universities and Colleges (SUCs).

²Kindly note the following considerations:

- a. **SUCs** - the Chair of the Governing Boards of each SUC (i.e., CHED Commissioner) shall sign the parent agency field of the authorization form of the concerned SUC;
- b. **Agencies attached to parent agencies** - the Head of the Parent Agency shall sign the parent agency field of the authorization form of the concerned attached agency;
- c. **Agencies under the Office of the President and Other Executive Offices (OEOs)** - the signature of the head of the concerned agency will suffice; and
- d. **GOCC/GFIs attached to NGAs under issuances** – the Head of the Parent Agency shall sign the parent agency field of the authorization form of the concerned GOCC/GFI.

Roles of NEDA and the Planning Committees

A. The PIP Secretariat shall:

1. Coordinate the overall preparation of the PIP 2023-2028, including, among others, the dissemination of the PIP Formulation Guidelines, responding to queries or requests for clarification addressed to the PIP Secretariat, and issuance of advisories or updates in the course of the formulation of the PIP, as necessary;
2. Organize briefing for the agencies on the formulation of the PIP 2023-2028; and
3. Prepare the PIP Report and submit to appropriate bodies (e.g., DBM, Senate/Congress).

B. The NEDA PIP Chapter Focals shall:

1. Validate the submission of agencies in the PIPO System under respective PIP Chapters in terms of compliance with the criteria for inclusion of PAPs under the PIP 2023-2028, and completeness and accuracy of submissions [e.g. investment targets, responsiveness of project to the PDP 2023-2028 Strategy Framework and 8-point Socioeconomic Agenda, funding sources, mode of implementation, spatial coverage, level of readiness and status (physical and financial), among others].
2. In the course of validation, the NEDA PIP Chapter Focals can drop/remove from the agency submitted PAPs those that do not satisfy the criteria (e.g., not responsive to the PDP 2023-2028 Strategy Framework and 8-Point Socioeconomic Agenda, recurrent cost, etc.) or with incomplete information. The NEDA PIP Chapter Focals may coordinate with the concerned agencies on the matter.
3. Provide technical assistance to agencies under respective sectors, especially with regard to concerns on compliance with the criteria for inclusion of priority PAPs in the PIP 2023-2028.
4. Draft and finalize the PIP Chapter document (e.g., write-ups, list of priority PAPs, accompanying summary tables) and present/submit the same to

the appropriate Planning Committees and other relevant bodies (as may be directed).

5. Ensure confirmation of the PIP Chapter document and list of priority PAPs under respective PIP Chapters by the appropriate Planning Committee. The NEDA PIP Chapter Focals will provide technical and logistical support in the conduct of Planning Committee and other PIP-related meetings under the respective PIP Chapters.
6. Transmit to the PIP Secretariat a copy of the Planning Committee-confirmed write-up and list of priority PAPs by PIP Chapter, consistent with records of PAPs in the PIOPOL System.
7. Assist the PIP Secretariat in the dissemination of the PIP Formulation Guidelines, and participate in PIP-related meetings, as requested.

C. NEDA Regional Offices (NROs) PIP Focals

1. Assist in disseminating the PIP Formulation Guidelines and other related information on the PIP to agency regional offices [including state universities and colleges (SUCs)], including list of respective PAPs in the Regional Development Investment Programs (RDIPs), and Regional Development Council (RDC)-endorsed NG PAPs (if available), to facilitate agency regional offices' submission of timely inputs to their respective agency central offices;
2. Review and confirm the submitted PAPs (region-specific and interregional) by the agencies (including SUCs) in the PIP Online (PIOPOL) System in terms of consistency with regional priorities [e.g., RDC endorsement, RDIP as basis (if available)];
3. Provide feedback to agency regional offices (including SUCs) on PAPs submitted by their respective central/parent agencies in the PIOPOL System; and
4. Participate in PIP-related meetings, as necessary.

D. Planning Committees

Upon validation by the respective NEDA PIP Chapter Focals, the appropriate Planning Committees shall confirm the write-up and list of priority PAPs for inclusion in the PIP under the respective PIP Chapters.

**List of Data Entry Requirements for Submission of Priority Program and Projects (PAPs)
in the Public Investment Program Online (PIPOL) System
*as of October 7, 2022***

No.	PIPOL Data Field	Remarks	Description/Instruction
General Information			
1	Project Title	Required Field	<p>The title should be identical with the program/project's title in the budget proposal to be submitted to the Department of Budget and Management (DBM).</p> <p>Please refrain from affixing unnecessary numbers, words, and other identifiers to the title, unless these are meant to distinguish one from another.</p>
2	Is it a Program or a Project?	Required Field	Please select the appropriate classification.
	<input type="radio"/> Program		<p>A program is a group of activities and projects that contribute to a common particular outcome. A program should have the following: (a) unique expected results or outcomes; (b) a clear target population or client group external to the agency; (c) a defined method of intervention to achieve the desired result; and (d) a clear management structure that defines accountabilities.</p>
	➔ Is it a Regular Program? <ul style="list-style-type: none"> ▪ Yes ▪ No 	Appears if 'Program' is selected. Required Field	Select whether the program is a regular program or not. A regular program refers to a program being implemented by agencies on a continuing basis. For regular programs, only the investment targets covering 2023-2028 shall be submitted.
	<input type="radio"/> Project	Required Field	A project is a special undertaking carried out within a definite timeframe and intended to result in some pre-determined measure of goods and services.
	➔ Parent Program	Appears if 'Project' is selected. Required Field	Specify the name of the Parent Program the project belongs under. For an ongoing program, the program title should be as reflected in the General Appropriations Act (GAA), if applicable.

3	<p>Basis for Implementation: <i>(Included in any of the following documents)</i></p> <ul style="list-style-type: none"> <input type="radio"/> National Expenditure Program (NEP) for FY 2023 <input type="radio"/> General Appropriations Act (GAA) for FY 2022 <input type="radio"/> Multi-Year Obligation Authority (MYOA)/Multi-Year Contracting Authority (MYCA) <input type="radio"/> Existing masterplan/sector studies/procurement plan <input type="radio"/> List of RDC-endorsed programs/projects <input type="radio"/> Signed Agreements/International Commitments <input type="radio"/> Existing laws, rules or regulations <input type="radio"/> Regular program 	Required Field	<p>Identify the basis/es for the implementation of the program/ project.</p> <p>If the program/project is based on an existing masterplan/sector studies/procurement plan, list of RDC-endorsed programs/projects, signed Agreements / International Commitments, existing laws, rules or regulations or regular program, please specify.</p>
4	<p>Project Description</p>	Required Field	<p>Identify the components of the program/project, and explain the objective of the program/project in terms of responsiveness to the Philippine Development Plan (PDP).</p> <p>If a program, please identify the sub-programs/projects.</p> <p>If the program/project involves construction, rehabilitation, or improvement of a government facility, specify the definite purpose for the facility to be constructed, rehabilitated or improved.</p>
Implementing Agency			Select only the main implementing agency/office of the program/project.
5	<p>Parent/ Oversight Agency</p>	Required Field	Specify the Parent/Oversight Agency of the program/project.
6	<p>Attached Agency</p>	If applicable	If the program/project is implemented by an attached agency, specify the concerned agency.
7	<p>Co-Implementing Agency</p>	If applicable	If the program/project is to be implemented by two or more agencies, please select all co-implementing agencies as applicable.
Spatial Coverage			
8	<p>Coverage</p> <ul style="list-style-type: none"> <input type="radio"/> Nationwide <input type="radio"/> Interregional <input type="radio"/> Region-Specific <input type="radio"/> Abroad 	Required Field	<p>Select the appropriate spatial coverage of the program/project.</p> <p>Nationwide, if the spatial coverage/impact of the program/ project covers all regions (in parts or as a whole).</p> <p>Interregional, if the spatial coverage/impact of the program/ project pertains to more than one region (in parts or as a whole) but not all regions.</p> <p>Region-specific, if the spatial coverage/impact of the program/ project pertains to one region (in parts or as a whole).</p> <p>Abroad, if the spatial coverage of a program/ project is outside the country that will have an impact to Filipinos outside of the country (e.g., Overseas Filipino Workers).</p>

			For Interregional and Region-Specific programs/projects, agencies are required to identify the coverage of the programs/projects down to the provincial level. Please also identify the cities/municipalities covered, if applicable.
Level of Approval			
9	<ul style="list-style-type: none"> ○ Will require an Investment Coordination Committee/NEDA Board Approval (ICC-able)? <ul style="list-style-type: none"> ➔ Yet to be submitted to NEDA <ul style="list-style-type: none"> ➔ Target Date of Submission ➔ Under the NEDA Review <ul style="list-style-type: none"> ➔ Date of Submission to NEDA ➔ ICC-TB Endorsed <ul style="list-style-type: none"> ➔ Date of Approval ➔ ICC-CC Approved <ul style="list-style-type: none"> ➔ Date of Approval ➔ NEDA Board Confirmed <ul style="list-style-type: none"> ➔ Date of Confirmation ○ Not Applicable 	Required Field for Core Investment Program/Project (CIPs)	If the project/program will require Investment Coordination Committee (ICC)/NEDA Board approval, kindly provide the status of ICC/NEDA Board processing of the program/project and indicate the date being required.
Project for Inclusion in Which Programming Document			
10	<ul style="list-style-type: none"> ○ Public Investment Program (PIP) <ul style="list-style-type: none"> ○ Capital Investment Program/Project ○ Technical Assistance Program/Project (such as Research and Development, Institutional Development, Human Resource Capacity Building, or System/Process Improvement PAPs) ○ Relending Program/Project of GFIs to LGUs or Target Beneficiary ○ Government Facilities 	Required Field	<p>The PIP contains priority PAPs to be implemented by NGAs, GOCCs, GFIs and other NG offices (including SUCs) within the medium-term that contribute to meeting the targets in the PDP and achieving the outcomes in the Results Matrix.</p> <p>Select under which typology the program/project can be classified as provided in the guidelines:</p> <p>(a) Capital investment PAPs to deliver public goods and services that contribute specifically to the country's productive capacity (e.g., infrastructure development projects, delivery of social services);</p> <p>(b) Technical assistance PAPs such as research and development, institutional development, human resource capacity building or system/process improvements PAPs;</p> <p>(c) Relending PAPs to local government units (LGUs) or other target beneficiaries; and</p> <p>(d) Government Facilities which include the construction, rehabilitation, improvement of government facilities for the performance of the agencies' function or mandate and providing support to the attainment of the goals contained in the 2023-2028 PDP.</p>

11	<ul style="list-style-type: none"> <input type="radio"/> Core Investment Program/Project (CIP) <ul style="list-style-type: none"> <input type="radio"/> Locally-funded major capital program/project with total project cost of at least PHP2.5 billion <input type="radio"/> ODA grant-assisted program/project with total project cost of at least PHP2.5 billion <input type="radio"/> ODA loan-assisted program/ project (including relending program/ project, program loan such as budget support, etc.) regardless of amount requiring national government guarantee <input type="radio"/> Solicited national public-private partnership (PPP) project <input type="radio"/> Joint Venture (JV) project with government contribution of at least PHP150 million <input type="radio"/> New program/project which will require ICC approval based on existing laws, rules, and regulations 	If applicable	The CIPs refer to the new or proposed big-ticket programs and projects under the PIP that serve as pipeline for the ICC and NEDA Board action. Identify the CIP typology of the program/project.
12	<ul style="list-style-type: none"> <input type="radio"/> Three-Year Rolling Infrastructure Program (TRIP) 	If applicable	The TRIP contains nationally-funded infrastructure projects irrespective of cost with emphasis on immediate priorities to be undertaken in three-year period.
13	<ul style="list-style-type: none"> <input type="radio"/> Is the Program/Project included in the RDIP? <ul style="list-style-type: none"> <input type="radio"/> Yes <ul style="list-style-type: none"> ➔ Will require Regional Development Council (RDC) Endorsement? <ul style="list-style-type: none"> ● Endorsed <ul style="list-style-type: none"> ➔ Date of Endorsement ● Yet to be Endorsed <input type="radio"/> No 	Required Field	<p>Indicate if the program/project is included in the RDIP.</p> <p>Indicate further if the program/project will require endorsement from the concerned RDC. If the program/project has already been endorsed by concerned RDC(s), provide the date of endorsement.</p>
14	<ul style="list-style-type: none"> <input type="radio"/> Is it a Research and Development Program/Project? <ul style="list-style-type: none"> <input type="radio"/> Yes <input type="radio"/> No 	Required Field	Indicate if the Program/Project is a Research and Development Program/Project.
15	<ul style="list-style-type: none"> <input type="radio"/> Is it responsive to COVID-19/New Normal Intervention? <ul style="list-style-type: none"> <input type="radio"/> Yes <input type="radio"/> No 	If applicable	Indicate if the program/project is a strategic response to the COVID-19 pandemic or is a New Normal Intervention.
Philippine Development Plan (PDP) Chapter			
16	Main PDP Chapter 4 Promote Human Capital and Social Development 4.1 Boost Health and Nutrition 4.2 Improve Education and Lifelong Learning 4.3 Establish Livable Communities 5 Increase Income Earning Ability 5.1 Expand Training and Skills Development 5.2 Intensify Employment Facilitation 6 Protect Purchasing Power 6.1 Ensure Food Security 6.2 Rationalize Social Protection 7 Modernize agriculture and agri-business 8 Revitalize Industry 9 Reinvigorate Services	Required Field	Select the Main PDP Chapter under which the program/project is expected to primarily contribute .

	10 Advance Research & Development, Technology, and Innovation 11 Promote Trade and Investments 12 Ensure Macroeconomic Stability 12.1 Promote an Innovative, Inclusive, and Healthy Financial Sector 12.2 Ensure sound fiscal management and improve the Tax Regime 13 Expand and Upgrade Infrastructure 14 Promote Competition and Improve Regulatory Efficiency 15 Ensure Peace, Security, and Public Safety and Enhance Administration of Justice 15.1 Ensure Peace, Security, and Public Safety 15.2 Enhance Administration of Justice 16 Practice Good Governance and Improve Bureaucratic Efficiency 17 Accelerate Climate Action and Strengthen Disaster Resilience		
17	Other PDP Chapters	Optional Field	If the program/project cuts across sectors, Other PDP Chapters may be selected in addition to the Main PDP Chapter. Select as many as applicable.
18	<p>→ Main Infrastructure Sector/Subsector (Choose only one Sector)</p> <ul style="list-style-type: none"> ● Social Infrastructure <ul style="list-style-type: none"> ○ Health ○ Education ○ Solid Waste Management ○ Housing ○ Public Safety/Security ● Power-Electrification ● Transportation <ul style="list-style-type: none"> ○ Roads and Bridges ○ Water Transportation ○ Air Transportation ○ Rail Transportation ○ Urban Transportation ● Water Resources <ul style="list-style-type: none"> ○ Irrigation ○ Water Supply ○ Flood Management ○ Sanitation/Sewerage/Septage ● Information and Communications Technology ● Other Developmental Projects <ul style="list-style-type: none"> ○ Reclamation ○ Research and Development Facilities ○ Multipurpose Facilities ○ Urban Heritage Renewal ○ Others 	Will appear as Required Field if the program/project is tagged for inclusion in the TRIP and if Chapter 13: Expand and Upgrade Infrastructure is selected as the Main PDP Chapter	Choose the main sector and corresponding subsector (s) to which a program/project is classified. A combination of subsectors may be chosen should the program/project have different infrastructure components.
19	<p>→ Status of Implementation Readiness</p> <ul style="list-style-type: none"> ● Pre-Feasibility Study/Business Case ● Feasibility Study ● Right-of-Way Acquisition ● Resettlement Action Plan 	Will appear as Required Field if the program/project is tagged for	Choose among the requirements /indicators of implementation readiness for the program/project have already been complied with. A check mark indicates that a particular requirement has been complied with and that the same may be provided in the technical budget hearings or as may be requested by NEDA or DBM.

	<ul style="list-style-type: none"> ● Environmental Compliance Certificate ● RDC Endorsement ● Detailed Engineering Design ● Other Pre-Investment Activities 	inclusion in the TRIP and if Chapter 13: Expand and Upgrade Infrastructure is selected as the Main PDP Chapter							
20	➔ Implementation Risks and Mitigation Strategies	Will appear as Required Field if the program/project is tagged for inclusion in the TRIP and if Chapter 13: Expand and Upgrade Infrastructure is selected as the Main PDP Chapter	Provide information on the potential or actual risks, if any, that may hinder the program/project from being implemented and the corresponding strategy/strategies that may be done to mitigate the risks identified.						
Expected Outputs/Deliverables									
21	Expected Outputs/Deliverables Expected Outputs/ Deliverables (for TRIP and Chapter 13 programs/projects) <table border="1" style="margin-top: 10px;"> <thead> <tr> <th>Indicator</th> <th>Value</th> <th>Unit</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Indicator	Value	Unit				Required Field	<p>Enumerate the Expected Outputs or Actual Deliverables of the program/project.</p> <p>Please provide specific outputs and units of measure (e.g., 100 km of paved roads, 1,000 classrooms, etc.).</p>
Indicator	Value	Unit							
		Will appear as Required Field if the program/project is tagged for inclusion in the TRIP and if Chapter 13: Expand and Upgrade Infrastructure is selected as the Main PDP Chapter	Provide the Expected Outputs/ Deliverables with information on indicator, value and unit.						
8-Point Socioeconomic Agenda									

22	8-Point Socioeconomic Agenda 1. Improve purchasing power of Filipinos 2. Invest in human capital development 3. Ensure sound macroeconomic fundamentals 4. Improve sectoral competitiveness 5. Increase macroeconomic competitiveness 6. Uphold public order and safety, peace and security 7. Improve physical & digital connectivity, and water & energy security 8. Enhance climate and disaster resilience	Required Field	Select which of the 8-Point Socioeconomic Agenda the program/project is expected to address. <i>Select as many as applicable.</i>
Sustainable Development Goals (SDGs)			
23	Sustainable Development Goals (SDGs)	Required Field	Select which of the SDGs the program/project is expected to contribute. <i>Select as many as applicable.</i>
Level of GAD Responsiveness			
24	Level of GAD Responsiveness <ul style="list-style-type: none">● GAD is invisible in the program/project● Program/Project has promising GAD prospects● Program/Project is gender-sensitive● Program/Project is gender-responsive	Required Field	Identify the Level of GAD Responsiveness of the program/project based on the score of the program/project using the appropriate Gender and Development (GAD) checklist (https://neda.gov.ph/gender-and-development/).
Project Preparation Details			
25	Project Preparation Document <ul style="list-style-type: none">● Feasibility Study<ul style="list-style-type: none">➔ Will require assistance for the conduct of the Feasibility Study (F/S)?<ul style="list-style-type: none">○ Yes○ No➔ Status<ul style="list-style-type: none">○ Completed○ Ongoing<ul style="list-style-type: none">▪ Expected completion date:○ For Preparation<ul style="list-style-type: none">▪ Start date:➔ Schedule of F/S Cost● Business Case● Project Proposal● Concept Note● Others<ul style="list-style-type: none">➔ Others	Required Field for CIPs	Identify the appropriate Project Preparation Document for the program/project. For program/project with feasibility study as the project preparation document, provide the status of the conduct of the feasibility study, either: completed; if ongoing (specify the expected completion date); and if for preparation (specify the target start date), and the schedule of the actual or estimated F/S cost in exact amount in PHP. If "Others" is selected, please specify.
Pre-Construction Costs			
26	<ul style="list-style-type: none">● With ROWA Component?<ul style="list-style-type: none">○ Schedule of ROWA Cost○ No. of households affected● Not Applicable	Required Field for CIPs	If the program/project has a Right-of-Way Acquisition (ROWA) Component, indicate the actual or estimated ROWA Cost (in exact amount in PHP) under the corresponding years of the Plan period (from 2023 to 2028). Reflect as well the number of households affected by the ROWA.

27	<ul style="list-style-type: none"> ● With Resettlement Component? <ul style="list-style-type: none"> ○ Schedule of Resettlement Cost ○ No. of households affected ● Not Applicable 	Required Field for CIPs	<p>If the program/project has a Resettlement Component, indicate the actual or estimated Resettlement Cost (in exact amount in PHP) under the corresponding years of the Plan period (from 2023 to 2028).</p> <p>Reflect as well the number of households affected by the Resettlement Component.</p>				
28	<ul style="list-style-type: none"> ● With ROWA and Resettlement Action Plan? ● Not Applicable 	Required Field for CIPs	Indicate if the program/project has a ROWA and Resettlement Action Plan.				
Employment Generation							
29	No. of persons to be employed	Required Field	Please indicate the number of persons to be employed by the project (outside of the implementing agency only).				
30	Sex disaggregation of persons to be employed <table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <th>No. of Females</th> <th>No. of Males</th> </tr> <tr> <td></td> <td></td> </tr> </table>	No. of Females	No. of Males			Required Field for CIPs	Please provide the sex disaggregation of the persons to be employed by the project (outside of the implementing agency only).
No. of Females	No. of Males						
Funding Sources and Mode of Implementation							
31	Funding Sources <ul style="list-style-type: none"> ● NG ● ODA Loan ● ODA Grant ● GOCC/GFI ● LGU Counterpart ● Private Sector ● Others <ul style="list-style-type: none"> ○ Other Funding Source (<i>Please identify the specific fund source</i>) 	Required Field	<p>Identify all the funding sources for the program/project.</p> <p>If the program/project shall be funded through ODA loan or grant, specify the development partner.</p>				
32	Main Funding Source <ul style="list-style-type: none"> ● NG ● ODA Loan <ul style="list-style-type: none"> ○ Agencia Espanola de Cooperacion International para el Desarollo ○ Asian Development Bank ○ Asian Infrastructure Investment Bank` ○ Australia ○ Austria ○ Belgium ○ Canada ○ China ○ Czech Republic ○ Denmark ○ Department of Foreign Affairs and Trade – Development Cooperation Branch ○ European Investment Bank ○ European Union ○ Federal Ministry for Economic Cooperation and Development ○ Finland 	Required Field	Based on the identified funding sources, select the main funding source for the program/project.				

<ul style="list-style-type: none"><input type="radio"/> Food and Agriculture Organization of the United Nations<input type="radio"/> France<input type="radio"/> French Development Agency Philippines<input type="radio"/> German Development Bank<input type="radio"/> Germany<input type="radio"/> Germany Agency for International Cooperation<input type="radio"/> Global Affairs Canada<input type="radio"/> Global Environment Facility<input type="radio"/> Global Green Growth Institute<input type="radio"/> Green Climate Fund<input type="radio"/> Hungary<input type="radio"/> International Atomic Energy Agency<input type="radio"/> International Fund for Agricultural Development<input type="radio"/> International Labor Organization<input type="radio"/> International Maritime Organization<input type="radio"/> International Organization for Migration<input type="radio"/> International Trade Centre<input type="radio"/> Israel<input type="radio"/> Italy<input type="radio"/> Japan<input type="radio"/> Japan International Cooperation Agency<input type="radio"/> Korea<input type="radio"/> Korea Eximbank Resident Mission / Economic Development Cooperation Fund<input type="radio"/> Korea International Cooperation Agency<input type="radio"/> Mindanao Trust Fund<input type="radio"/> Netherlands<input type="radio"/> New Zealand<input type="radio"/> Nordic Investment Bank<input type="radio"/> Norway<input type="radio"/> OPEC Fund for International Development<input type="radio"/> Poland<input type="radio"/> Russia<input type="radio"/> Saudi Arabia<input type="radio"/> Saudi Fund for Development<input type="radio"/> Sovereign Military Hospitaller Order of St. John of Jerusalem, of Rhodes, and of Malta<input type="radio"/> Spain<input type="radio"/> Suriname<input type="radio"/> Sweden<input type="radio"/> Sweden / Swedish International Development Cooperation Agency<input type="radio"/> Switzerland<input type="radio"/> United Kingdom<input type="radio"/> United Nations Children's Fund<input type="radio"/> United Nations Children's Fund<input type="radio"/> United Nations Coordination Office<input type="radio"/> United Nations Development Programme<input type="radio"/> United Nations Economic and Social Commission for Asia and the Pacific<input type="radio"/> United Nations Environment Programme		
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<ul style="list-style-type: none"> <input type="radio"/> United Nations High Commissioner for Refugees <input type="radio"/> United Nations Industrial Development Organization <input type="radio"/> United Nations Population Fund <input type="radio"/> United Nations Programme on HIV/AIDS <input type="radio"/> United States <input type="radio"/> United States Agency for International Development <input type="radio"/> United States of America <input type="radio"/> World Bank <input type="radio"/> World Food Programme <input type="radio"/> World Health Organization <input type="radio"/> To be determined <input type="radio"/> Others <ul style="list-style-type: none"> ● ODA Grant <ul style="list-style-type: none"> <input type="radio"/> Agencia Espanola de Cooperation International para el Desarollo <input type="radio"/> Asian Development Bank <input type="radio"/> Asian Infrastructure Investment Bank` <input type="radio"/> Australia <input type="radio"/> Austria <input type="radio"/> Belgium <input type="radio"/> Canada <input type="radio"/> China <input type="radio"/> Czech Republic <input type="radio"/> Denmark <input type="radio"/> Department of Foreign Affairs and Trade – Development Cooperation Branch <input type="radio"/> European Investment Bank <input type="radio"/> European Union <input type="radio"/> Federal Ministry for Economic Cooperation and Development <input type="radio"/> Finland <input type="radio"/> Food and Agriculture Organization of the United Nations <input type="radio"/> France <input type="radio"/> French Development Agency Philippines <input type="radio"/> German Development Bank <input type="radio"/> Germany <input type="radio"/> Germany Agency for International Cooperation <input type="radio"/> Global Affairs Canada <input type="radio"/> Global Environment Facility <input type="radio"/> Global Green Growth Institute <input type="radio"/> Green Climate Fund <input type="radio"/> Hungary <input type="radio"/> International Atomic Energy Agency <input type="radio"/> International Fund for Agricultural Development <input type="radio"/> International Labor Organization <input type="radio"/> International Maritime Organization <input type="radio"/> International Organization for Migration <input type="radio"/> International Trade Centre <input type="radio"/> Israel <input type="radio"/> Italy 		
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33	Mode of Implementation/Procurement	Required Feld	Select the main Mode of Implementation of the program/project.

	<ul style="list-style-type: none"> • Through Local Funds in accordance with RA 9184 or the Government Procurement Act • Through ODA pursuant to RA 8182 or the ODA Act of 1996 • Through PPP under the Amended BOT Law and its IRR • Through Joint Venture Agreement • Others <ul style="list-style-type: none"> ○ Other Mode (<i>Please input specific mode of implementation</i>) 																																																																																																															
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37	Category <ul style="list-style-type: none"> Tier 1 Tier 2-New Tier 2-Expanded 	Will appear as Required Field if the program/project is tagged for inclusion in the TRIP and if Chapter 13: Expand and Upgrade Infrastructure is selected as the Main PDP Chapter	Identify the Tier category of the program/project as provided in the DBM Guide to the Two-Tier Budget Approach: https://www.dbm.gov.ph/index.php/budget-documents/2017/guide-to-the-two-tier-budget-approach-2tba .			
38	Updates → As of _____	Required Field	For proposed program/project, please indicate the physical status of the program/project in terms of project preparation, approval, funding, etc. If ongoing, please provide information on the delivery of outputs, percentage of completion and financial status/ accomplishment in terms of utilization rate. Indicate the date of reference of the updates provided i.e. As of (month, day, year).			
Implementation Period						
39	Start of Project Implementation	Required Field	Indicate the start year of the implementation of the program/project.			
40	Year of Project Completion	Required Field	Indicate the year of completion of the program/project.			
Financial Accomplishments						
41	PAP Code	Will appear as Required Field for ongoing program/project with NG as main funding source	The PAP Code refers to the 15-digit code within the Unified Accounts Code Structure (UACS) that provides reference to the Sector/Horizontal Outcomes, Program/ Project, Major Final Output/ Project Category, Activity Level 1/Sub-Category and Activity Level 2 / Project Title.			

						Agencies may refer to the dump print of the proposed agency budget, NEP, or the GAA excel file found in the DBM website for the corresponding PAP code (Please see column on PREXC_FPAP_ID).																														
42	Financial Accomplishments				Will appear as Required Field for program/project with NG as main funding source	Provide the annual information on the Amount Included in the NEP, Amount Allocated in the Budget/GAA and Actual Amount Disbursed by the agency for the program/project, as applicable. The value to be indicated should be in exact amount in PHP.																														
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43	Remarks				Optional Field	Other remarks or any other pertinent information on the program/project.																														

**DEPARTMENT OF BUDGET AND MANAGEMENT (DBM)-
NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY (NEDA)
JOINT CIRCULAR No. 2016-01**

29 January 2016

TO **All Departments, Bureaus, Offices, and Agencies of the National Government (NG), Including State Universities and Colleges (SUCs) and Government-Owned and/or Controlled Corporations (GOCCs)**

SUBJECT **POLICY GUIDELINES AND PROCEDURES FOR THE FORMULATION OF THE THREE (3) - YEAR ROLLING INFRASTRUCTURE PROGRAM (TRIP)**

1.0. BACKGROUND AND RATIONALE

The NEDA Board Committee on Infrastructure (INFRACOM), during its 27 October 2014 meeting, approved the reinstitution of the Three (3)-Year Rolling Infrastructure Program (TRIP) in order to build the pipeline of strategic and other projects needed to sustain inclusive economic growth. It shall synchronize the infrastructure planning, programming, budgeting and execution processes of the government both at the oversight and implementing agency (IA) level. This shall ensure that the agencies' annual budget ceilings are optimized and utilized in the funding of priority infrastructure programs/activities/projects (PAPs) which are responsive to the outcomes and outputs under Philippine Development Plan (PDP) and are readily implementable so as to minimize under spending, expenditure realignments or cost overruns.

The different stages of the projects listed under the TRIP shall be determined so that the TRIP can indicate the type and magnitude of budgetary resources needed by the projects, i.e., for resettlement action plans (RAP), development of feasibility studies (F/S), right-of-way (ROW) acquisition, detailed engineering design (DED), pre-construction expenses, or construction implementation. The objective is to ensure that well developed and readily implementable projects queue up for the budget and a more rigorous program and project appraisal system can also be put in place. This shall contribute to confidence that the agency proposals shall indeed have a positive net contribution to national economic and social welfare through proper complementation and convergence of programs/projects (e.g., roads/rail to ports/airports).

The TRIP can also be used by the government as a programming and monitoring mechanism in ensuring that the National Government's (NG) target spending on

public infrastructure shall be met [i.e., at least 5% of Gross Domestic Product (GDP) in 2016].

2.0 PURPOSE AND COVERAGE

This Joint Circular is being issued to guide all concerned Departments, Bureaus, Offices and Agencies of NG, including SUCs and GOCCs in the development and updating of the TRIP. It shall cover all nationally-funded infrastructure projects irrespective of cost and financing source, e.g., whether foreign-assisted projects or locally-funded projects, based on the synchronized planning, programming and budgeting process of the government, including public-private partnership (PPP) projects.

3.0 GENERAL POLICY

It is the policy of the State to promote the optimum utilization of public resources consistent with the priorities and strategies in the PDP. For this purpose, any available fiscal space shall be allocated to readily implementable priority programs/projects, especially support infrastructure that promotes economic growth and inclusive development to priority sectors/industries, among others, the agriculture & fisheries (A&F) sector, the tourism, industry and the micro, small and medium enterprises (MSMEs).

The infrastructure policy shall be an instrument towards growth regions/corridors.

4.0 DEFINITION OF TERMS

- 4.1 Infrastructure PAPs - these are PAPs involving the construction, improvement, rehabilitation, restoration or maintenance of physical structures, systems and facilities needed for the operation of the government and for the provision of government services.
- 4.2 Infrastructure projects shall cover the construction, improvement, rehabilitation, restoration or maintenance of roads and bridges including highways, expressways, interchanges, tunnels; non-rail based mass transit facilities; railways; airports; seaports/fish ports including navigable inland waterways, piers, wharves, quays; information and communication technology and facilities including backbone network, terrestrial and satellite facilities, IT networks and database infrastructure; irrigation, flood control and drainage, water supply, sanitation, sewerage and solid waste management systems and facilities; shore/coastal protection, energy/power and electrification facilities; national/government buildings; school buildings and hospital buildings, reclamation and dredging works; industrial and tourism estates or townships; housing projects; warehouses; post-harvest facilities; public markets, slaughterhouses; major public and national security facilities/equipment and other climate change mitigation and adaptation infrastructure projects, among others, as defined under the following laws Republic Act (RA) No. 9184 or the Government Procurement Reform Act (GPRA), RA No. 6957 as amended by RA

No. 7718 or the Build, Operate and Transfer (BOT) Law, and the Joint Venture (JV) Guidelines.

- 4.2.1 To provide further guidance, **Annex A** lists the type of additional programs/projects not mentioned in the coverage above that shall also be considered as Infrastructure Outlays.
- 4.2.2 Exceptions include items related to "stand alone" capital equipment (e.g., computers, printers and motor vehicles) classified under General Administrative and Support Services (GASS) and Support to Operations (STO).
- 4.3 Readiness of Project - considers the readiness of agencies/units to implement the construction phase of projects/programs, such that the projects are readily implementable within the prescribed timeline, armed with the required approvals by the appropriate authorities [e.g., NEDA Board, Investment Coordination Committee (ICC), Regional Development Council (RDC), etc.] and have undergone *F/S* and/or with pre-*F/S* and DEDs, RAP and with no issues related to ROW acquisition, if applicable.
- 4.4 Infrastructure PAP preparatory activities - include activities such as RAP, ROW acquisition, pre-*F/S*, *F/S* and DED, among others.
- 4.5 On-going projects - projects that are included and funded through the General Appropriations Act (GAA) and already in the actual implementation/construction stage of the project.

5.0 GENERAL GUIDELINES

- 5.1 The TRIP shall be submitted by NEDA to the DBM upon approval/confirmation of the NEDA Board - INFRACOM for: (a) the determination of program spending levels for presentation/approval of the DBCC; and (b) consideration in determining agency budget ceilings. This shall then serve as the basis for the list of infrastructure-related PAPs (new and on-going) to be included by DBM in the National Expenditure Program (NEP). Further, it shall be updated annually to guide the Forward Estimates (FEs) for on-going and new infrastructure PAPs for budgeting purposes.
- 5.2 Agencies shall also distinguish projects based on (a) status (i.e., on-going or new programs/projects); (b) project type (i.e., project/program; subsidy to LGUs/GOCCs/NGAs, etc.; recurring activity based on mandate); (c) project support requirement (e.g., for *F/S*, ROW acquisition, DED, implementation); (d) source of main financing (e.g., locally-funded; foreign-assisted; grant; PPP) and; (e) type of obligation (i.e., one-time or multi-year investments).
- 5.3 Programs/projects costing above PhP1 billion, regardless of financing source, are required to undergo the Investment Coordination Committee (ICC) approval process. Agencies shall seek and/or secure ICC-Cabinet Committee approval by

September of the second year preceding the target year of start of implementation (i.e., projects programmed to start implementation in 2017 shall be ready to secure approval and/or submit the proposed project to the ICC for approval by September 2015), in time for the submission of PAPs to NEDA for inclusion in the TRIP (in accordance with Section 6.2). This shall also allow about 12 months to prepare the necessary loan agreements and DEDs; to settle right-of-way issues/acquisition; or to undertake the necessary procurement activities prior to actual start of project. Otherwise, the project may not be included in the NEP. This measure is being put in place to be able to ensure that resources are allocated to readily implementable projects.

- 5.4 Non-IT Projects costing less than PhP1 billion shall seek/secure necessary approval from the appropriate bodies [e.g., Head of Agency, Regional Development Council (RDC)] by September of the second preceding year of target implementation, similar to Section 5.3.
- 5.5 IT projects shall undergo the Medium-Term Information and Communications Technology Harmonization Initiative (MITHI) appraisal process.
- 5.6 For projects proposed to be implemented through Public-Private Partnership (PPP) which shall require subsidies and/or amortization payments and/or other strategic support from NG, the amount and timing of the subsidy and/or amortization payment shall be accordingly indicated.

6.0 SPECIFIC GUIDELINES¹

- 6.1. NEDA shall issue a notice for updating and/or submission of list of programs/projects both Tier 1 (ongoing) and Tier 2 (new and expanded) together with the template for the TRIP every 15th of July.
- 6.2. Agencies shall submit to NEDA their respective Three-Year Rolling Infrastructure Programs by 15th of September. These submissions shall be reviewed and incorporated by NEDA, in consultation with respective agencies, into a consolidated Three-Year Rolling Infrastructure Program which shall be presented to the INFRACOM every 4th week of October for its confirmation/approval.
- 6.3. For Tier 1 spending proposals
 - 6.3.1. Agencies shall be guided by DBM issuances on the management of the FEs for infrastructure-related PAPs and on the procedures, information and documentation requirements.
- 6.4. For Tier 2 spending proposals
 - 6.4.1. Only programs/projects that are consistent with Section 7.0 of these Guidelines shall be prioritized and be given appropriate funding according

¹ Process flow and timelines attached as Annex B.

*Policy Guidelines and Procedures for the
Formulation of the Three (3)-Year Rolling Infrastructure Program (TRIP)*

to the stage of project development. On the other hand, agency proposals that are not aligned with the PDP priorities and agency's organizational outcomes/output targets shall be disapproved or discarded to give way to other priority infrastructure PAPs that require additional funding support.

- 6.4.2. Agencies shall indicate preparatory activities (Section 4.3) which would require budgetary support. To cite, for projects costing PhP1 billion and above targeted for implementation in 2019, agencies shall include the projects' *FIS* development in its TRIP for FY2016 or earlier in order to be ready for the ICC appraisal process by 2017.²
- 6.4.3. Any new or expanded program/project submitted for budget allocation in the TRIP shall cite, among others, the problem/issue being addressed, the objective, strategy and expected outcome/s of the project, the resulting increase in operational efficiency with the adoption of technology improvements, the risk mitigation strategy; and M&E plan. These are specified in BP Form 206; and
- 6.4.4. Funding for the new expenditure proposals shall be subject to the available fiscal space and priority sectoral spending to be determined by the DBCC.

6.5. For !CT-pertinent items under the MITRI

- 6.5.1. Inclusion in the budget shall be determined by the DBM based on MITRI recommendations after a review of agency proposals and Information Systems Strategic Plans (ISSPs). Subsequently, the MITRI Secretariat shall submit a listing of such approved items to NEDA.
- 6.5.2. Where applicable, PAPs requiring NEDA-ICC approval shall secure the same after the agency ISSPs has been endorsed by MITRI.

7.0 PRIORITIZATION AND BUDGET PROGRAMMING The prioritization framework serves as general basis or guidelines for agencies in establishing their own internal prioritization frameworks that shall be used in determining the agency's list of proposed priority new infrastructure PAPs for inclusion in the TRIP.

- 7.1 Contribution to identified gaps to achieve development targets - considers the program's/project's contribution in addressing the identified development gaps as well as adherence to the national objectives (i.e., in the PDP);
- 7.2 Programmatic Approach - ensures that utilization of the investment gap shall be anchored on a programmatic approach and considers complementation of proposed infrastructure programs/projects, either by a sectoral or spatial/geographical approach, with the other productive sectors of the economy [e.g., agriculture & fisheries (A&F), tourism and micro- and small scale industries, among others]. In relation thereto, the priority infrastructure PAPs submitted for

² For projects targeted for implementation in 2017 or 2018, preparatory activities including *FIS* development shall be undertaken in 2015 and 2016, respectively.

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funding shall be consistent with relevant programs/projects/roadmaps/master plans;

- 7.3 **Spatial/Geographical Context** – ensures that there is equitable access to basic infrastructure services and considers the urgency to expand economic opportunities to the marginalized sectors deemed as having the highest poverty incidences among the basic sectors in the Philippines³ (e.g., fishermen and farmers) by promoting viable economic growth and development in priority areas identified as emerging growth corridors/centers outside of Metro Manila; and
- 7.4 **Priority Areas for Increased Spending** – provides a premium for PAPs aimed at providing/improving basic infrastructure facilities/services; building climate resiliency among vulnerable communities (e.g., flood management and solid waste management, among others); improving connectivity and destination infrastructure; institutional/governance improvements (e.g., construction of administrative buildings, training/research and development [R&D] centers); and other areas which may be identified under the annual Budget Priorities Framework.

8.0 AMENDMENT AND ADDENDA

NEDA and DBM may amend this Circular as may be necessary. NEDA may formulate supplemental guidelines in the form of addenda or annexes for the review process as stipulated in 6.2 hereof without need of amending this Circular.

9.0 EFFECTIVITY

This Joint Circular shall be effective immediately in line with the Issuance of Budget Call by the DBM starting the 2017 Budget.



FLORENCIO B. ABAD
Secretary of
Budget and Management



ARSENIO M. BALISACAN
Secretary of
Socioeconomic Planning

³ Based on the poverty statistics of the Philippine Statistics Authority (PSA)

ATTACHMENT 2*Policy Guidelines and Procedures for the
Formulation of the Three (3)-Year Rolling Infrastructure Program (TRIP)***Annex A - Additional List of Infrastructure Outlays**

- 1.0 In line with the preparation of the new expenditure proposals for budgeting, please be guided by the additional types of infrastructure programs/projects that shall be considered as Infrastructure Outlays.

1.1 Transport Infrastructure

- 1.1.1 Signage and markings, electrical systems (street lighting and traffic lights), edge treatments (curbs, sidewalks, landscaping), and maintenance depots and rest areas;
- 1.1.2 Mass transit system including bus transportation;
- 1.1.3 Lighthouses;
- 1.1.4 Aircrafts including navigational systems;
- 1.1.5 Bicycle paths and pedestrian walkways, including pedestrian bridges, pedestrian underpasses and other specialized structure for cyclists and pedestrians; and
- 1.1.6 Ferries and watercrafts

1.2 Water Management

- 1.2.1 Coastal management including structure such as seawalls, breakwaters, floodgates, as well as the use of soft engineering techniques such as protection of mangrove forest and coastal wetlands and other related facilities

1.3 Information and Communications Technology

- 1.3.1 Internet including the internet backbone, core routers and server farms, local internet providers as well as the protocols and other basic software required for the system to function and other related IT equipment

1.4 Solid Waste Management

- 1.4.1 Garbage and recyclables collection major equipment/facilities;
- 1.4.2 Solid waste incinerators and plasma gasification facilities;
- 1.4.3 Materials recovery facilities; and
- 1.4.4 Hazardous waste disposal facilities.

1.5 Earth Monitoring and Measurement Networks

- 1.5.1 Meteorological monitoring networks;
- 1.5.2 Tidal/Storm surge monitoring networks;
- 1.5.3 Seismometer networks;
- 1.5.4 Earth observation satellites; and
- 1.5.5 Global Positioning System.

ATTACHMENT 2*Policy Guidelines and Procedures for the
Formulation of the Three (3)-Year Rolling Infrastructure Program (TRIP)*

1.6 Agriculture

- 1.6.1 Agricultural infrastructure, including specialized food and livestock transportation and storage facilities, agricultural warehouses, logistics and value chain support; and
- 1.6.2 IT and civil works of price support system, experimental farms and agriculture research centers, testing facilities and schools, IT and civil works of licensing and quota management system.

1.7 Natural Resources

- 1.7.1 Forestry and fisheries infrastructure, including IT and civil works of enforcement system against poaching, forest wardens and fire fighting and reforestation activities, and other related facilities.

1.8 Defense Infrastructure

- 1.8.1 Emergency services, such as police, fire protection and ambulance, including specialized vehicles, buildings, communications and dispatching systems; and
- 1.8.2 Military infrastructure⁴, including military bases, arms depots, training facilities, command centers, communication facilities, major transport vehicles like trucks, aircraft and ships.

2.0 The following shall also be classified under Infrastructure Outlays:

- 2.1 Subsidy for GOCCs, which are in the nature of infrastructure;
- 2.2 Development Fund or the twenty percent (20%) from the Internal Revenue Allotment (IRA); and
- 2.3 Special Shares of LGUs, which are in the nature of infrastructure.

⁴ In the case of confidential military infrastructure, the project title and lumped project costs shall be provided to the NEDA Secretariat.

ATTACHMENT 2*Policy Guidelines and Procedures for the
Formulation of the Three (3)-Year Rolling Infrastructure Program (TRIP)***Annex B - Process Flow and Timelines**

A	Issuance of Notice/ Call for Submission of inputs to TRIP Responsible Agency: NEDA	15th of July
B	Deadline of Submission of TRIP inputs Responsible Agency: All concerned agencies	15th of September
C	Review/processing and incorporation of the submitted programs/projects into a consolidated TRIP Responsible Agency: NEDA (in close coordination w/ agencies)	September-October
D	Presentation of TRIP to INFRACOM for approval/confirmation Responsible Agency: NEDA	4th week of October
E	Submission of Approved TRIP to DBM Responsible Agency: NEDA	Upon approval/confirmation of TRIP by INFRACOM
F	Detennination of Programmed Spending Levels and Hard Budget Ceilings of Agencies Responsible Agency: DBM/DBCC	Based on budget schedule to be issued by DBM annually
G	Development of the National Expenditure Program (NEP) Responsible Agency: DBM (in consultation with all agencies)	Based on budget schedule to be issued by DBM annually

**Supplemental Document on the Formulation of the
Three-Year Rolling Infrastructure Program for Fiscal Years 2024-2026**

I. Legal basis and background

- A. Following the approval of the NEDA Board Committee on Infrastructure (INFRACOM) for the reinstitution of the TRIP in the budget process, **Joint Circular No. 2016-01** entitled "*Policy Guidelines and Procedures for the Formulation of the TRIP*" dated January 29, 2016 governing the overall policy guidelines and procedures for the formulation of the TRIP was issued by NEDA and DBM.
- B. This policy ensures the alignment of prioritization mechanisms and procedures, with processing by both NEDA and DBM towards determining the infrastructure PAPs that may be recommended for consideration in the budget. The alignment in policy is further substantiated under the National Budget Call issued by the DBM annually, which provides that the approved TRIP, as endorsed by NEDA to DBM, shall be used as basis in the review/prioritization of the department/agencies' infrastructure projects during the technical budget hearings (TBHs) taking into consideration, among others, the implementation readiness, agency budget utilization capacity, and available fiscal space.

II. Priority PAPs to be submitted for inclusion in the TRIP FYs 2024-2026

- A. All concerned agencies are requested to focus the submission of **PAPs based on continuing priorities**, which shall be guided by DBM issuances on the management of forward estimates (FEs) for infrastructure-related PAPs.
- B. The submission of **PAPs based on new and emerging priorities** shall be subject to the prioritization framework (defined under the DBM-NEDA Joint Circular No. 2016-01).
- C. Moreover, the prioritization process and submission for the TRIP FYs 2024-2026 should consider and/or undertake the following:

1. 8-point Socioeconomic Agenda of the administration.¹ All agencies concerned shall consider the priorities as laid out in the 8-point socioeconomic agenda of the administration, wherein expanding and upgrading infrastructure is seen critical to provide the enabling environment and sustainable foundation to address the near-term issues and medium-term constraints to economic transformation. Among the important development interventions in infrastructure development include, among others:
 - a. Strengthening industry linkages;
 - b. Expanding physical and digital connectivity;
 - c. Improving access of the poor to basic services (e.g., water supply and sanitation, public transportation, affordable energy, and flood protection infrastructure);
 - d. Improving infrastructure projects' climate resiliency;
 - e. Investing in smart social and economic infrastructure (e.g., health and education facilities);
 - f. Increasing energy production; and
 - g. Upgrading the country's internet infrastructure.
2. Executive Order (EO) No. 138 (s. 2021)² – All concerned agencies shall consider in the submission of PAPs the agency devolution transition plans, and the full devolution of concerned functions, services and facilities no later than the end of FY 2024 (per Section 4 of EO 138, s. 2021). In relation thereto, the priority infrastructure PAPs submitted for funding shall be consistent with the DBM-approved or Devolution Transition Committee (DTC)-endorsed devolution transition plans, as applicable.
3. Equitable regional allocation of investments – The concerned agencies are enjoined to prioritize their proposed PAPs towards a more equitable regional allocation of investments based on the most critical needs/gaps in the regions for specific infrastructure facilities/services and other emerging priorities given the lingering effects of the pandemic.

¹ As presented during the July 25, 2022 State of the Nation Address (SONA) of President Ferdinand R. Marcos.

² Entitled "Full Devolution of Certain Functions of the Executive Branch to Local Governments, Creation of a Committee on Devolution, and for Other Purposes": [20210601-EO-138-RRD.pdf \(officialgazette.gov.ph\)](https://www.officialgazette.gov.ph/20210601-EO-138-RRD.pdf).

4. Alignment with the regional development plans/programs – The agency central offices (ACOs) shall ensure that their respective budget allocation framework and prioritization criteria have also duly considered the prioritized list of PAPs endorsed by the Regional Development Councils (RDCs), with the objective of addressing the current and emerging infrastructure development gaps at the regional level.
 5. Regional and provincial level disaggregation of costs – To aid the TRIP Secretariat in processing PAPs submissions and in the analysis of the regional infrastructure equity, the budget proposals for PAPs should be submitted with regional and provincial level disaggregation, including for priority PAPs that are nationwide and interregional in scope.
- D. ICT-related items – All proposed projects/programs shall be included in the agency's Information Systems Strategic Plan (ISSP), which shall be prepared subject to compliance with the rules and regulations issued by the Department of Information and Communications Technology (DICT).

**Calendar of Activities for the Joint Call for the
Formulation of the Public Investment Program 2023-2028 and Three-Year
Rolling Infrastructure Program for Fiscal Years (FYs) 2024-2026
as Input to the FY 2024 Budget Preparation
(as of October 7, 2022)**

Schedule	Responsible Unit	Activity
October 10-14, 2022	NEDA	Issuance of the Joint Call for the Formulation of the Public Investment Program (PIP) 2023-2028 and Three-Year Rolling Infrastructure Program(TRIP) for Fiscal Years (FYs) 2024-2026
Up to October 31, 2022	Agencies	Submission of Agency Authorization Forms through the PIP Online (PIPOL) System Sign-up Page and issuance of PIPOL System user accounts
October 20, 2022	NEDA	NEDA Briefing to the agencies on the Formulation of the PIP 2023-2028 and TRIP FYs 2024-2026
October 31 - November 18, 2022	Agencies	Submission of priority programs and projects (PAPs) for inclusion in the PIP/TRIP through the PIPOL System. The PIPOL System shall be locked on November 18, 2022 (11:59 pm) .
November 21 - December 9, 2022	NEDA Central Office and Regional Offices	Validation of agencies' PIP and TRIP submissions in the PIPOL System
December 2022	NEDA	Presentation of the TRIP FYs 2024-2026 to the NEDA Board Committee on Infrastructure - Technical Board (INFRACOM-TB)

Calendar of Activities for the Joint Call for the Formulation of the Public Investment Program 2023-2028 and Three-Year Rolling Infrastructure Program for Fiscal Years 2024-2026 as Input to the FY 2024 Budget Preparation

Schedule	Responsible Unit	Activity
December 12 - 23, 2022	PCs	Planning Committee (PC) confirmation of the Draft PIP Chapter write-up and list of priority PAPs as validated by the NEDA PIP Chapter Focals
January 2023	NEDA	Presentation of the TRIP FYs 2024-2026 to the INFRACOM-Cabinet Level for approval
January 2 - 13, 2023	NEDA	Consolidation of the PC-confirmed PIP Chapter list of priority PAPs
1 st Quarter 2023	NEDA	Submission of the list of priority PAPs under the PIP 2023-2028 and TRIP FYs 2024-2026 to the Department of Budget Management as input to the FY 2024 budget preparation
1 st - 2 nd Quarter 2023	NEDA	Finalization of the PIP Report (includes PC-confirmed PIP Chapter write-up) for publication

Calendar of Activities for the Joint Call for the Formulation of the Public Investment Program 2023-2028 and Three-Year Rolling Infrastructure Program for Fiscal Years 2024-2026 as Input to the FY 2024 Budget Preparation