

*The probability of two subspaces  
spanning a classical space*

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Joint work with Maarten De Boeck

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- ▶ So, there are  
 $(q^2 + 1)(q^2 + q + 1) - (q + 1)(q^2 + q) - 1 = q^4$  lines  
disjoint from  $L$  (and this is almost all lines of  $\text{PG}(3, q)$ .)

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LEMMA (B. SEGRE 1961 – LECTURES ON MODERN GEOMETRY)

*The number of  $(j - 1)$ -dimensional subspaces of  $\text{PG}(n - 1, q)$  disjoint from a given  $(k - 1)$ -space equals*

$$q^{kj} \begin{bmatrix} n-k \\ j \end{bmatrix}_q,$$

where  $\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{(q^n - 1)(q^{n-1} - 1) \dots (q^{n-k+1} - 1)}{(q^k - 1)(q^{k-1} - 1) \dots (q - 1)}$ .

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Here,  $j = k = 2$ ,  $n = 4$ , so there are  $q^{2 \cdot 2} \begin{bmatrix} 2 \\ 2 \end{bmatrix}_q = q^4$  lines disjoint from a given line in  $\text{PG}(3, q)$ .

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- ▶ Recursion

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Finite Fields and Their Applications

Volume 82, September 2022, 102055



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The probability of spanning a classical space by two non-degenerate subspaces of complementary dimensions

S.P. Glasby <sup>a</sup>  , Alice C. Niemeyer <sup>a b</sup>  , Cheryl E. Praeger <sup>a</sup>  

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*The problem that we address in this paper arises from algorithmic considerations connected with computations in finite classical groups. In order to show that two isometries, each leaving invariant a non-degenerate proper subspace, generate a classical group with high probability a fundamental problem arises:*

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*... show that, with **high probability**, for a vector space  $V$  endowed with a classical form, two random non-degenerate subspaces whose dimensions sum to  $\dim(V)$ , are complements of each other.*

## RECENT RESULTS

### SAMPLE THEOREM FROM GLASBY, IHRINGER, MATTHEUS (2023):

**Theorem 5.2** Suppose  $V = (\mathbb{F}_{q^2})^{e_1+e_2}$  is an  $(e_1 + e_2)$ -dimensional hermitian space where  $e_1, e_2 \geq 1$ . For  $i \in \{1, 2\}$ , let  $Y_i$  denote the set of all non-degenerate  $e_i$ -spaces of  $V$ . The proportion of pairs  $(S_1, S_2) \in Y_1 \times Y_2$  for which  $S_1 \cap S_2 = \{0\}$  is at least  $1 - \frac{c}{q^2}$  where  $c = 2$  when  $(e_1, e_2, q) = (1, 1, 2)$ ,  $c = \frac{3}{2}$  when  $\min\{e_1, e_2\} = 1$  and  $(e_1, e_2, q) \neq (1, 1, 2)$ , and  $c = 1.26$  otherwise.

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### Similar results in

- ▶ Glasby S.P., Niemeyer A.C., Praeger C.E.: The probability of spanning a classical space by two non-degenerate subspaces of complementary dimensions. *Finite Fields Their Appl.* 82, 102055 (2022).
- ▶ Glasby S.P., Niemeyer A.C., Praeger C.E.: Random generation of direct sums of finite non-degenerate subspaces, *Linear Algebra Appl.* *Linear Algebra Appl.* 649, 408–432 (2022).

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Naive approach:

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Naive approach: the probability that a pair of two random subspaces is ‘good’ is

$$\frac{\text{number of ‘good’ pairs of subspaces}}{\text{total number of pairs of subspaces}}.$$

So...we should ‘simply’ count these quantities.

## ANZAHL THEOREMS FOR FORMED SPACES

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( $\leadsto$ orthogonal, symplectic, unitary groups)

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- ▶ A **formed space** is a vector space together with a sesquilinear form.
- ▶ Comes in symmetric, symplectic, hermitian type ( $\leadsto$ orthogonal, symplectic, unitary groups)
- ▶ Totally isotropic points define the **classical polar spaces**: quadrics, symplectic spaces, Hermitian varieties.

## ANZAHL THEOREMS FOR FORMED SPACES

- ▶ Given a line  $L$  in  $\text{PG}(3, q)$ , how many secant (resp. passant) lines of a given  $\mathcal{Q}^+(3, q)$  are disjoint from  $L$ ?

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- ▶ Depends on  $L$  being secant, passant, tangent to  $\mathcal{Q}^+(3, q)$
- ▶ We can double count as before.
- ▶ But is there a known formula (for general dimension)?

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WIKIPEDIA HAS THE ANSWER (NON-SINGULAR FORMS)

Form	$n + 1$	Name	Notation	Number of points	Collineation group
Alternating	$2r$	Symplectic	$W(2r - 1, q)$	$(q^r + 1)\theta_{r-1}(q)$	$\mathrm{PGSp}(2r, q)$
Hermitian	$2r$	Hermitian	$H(2r - 1, q)$	$(q^{r-1/2} + 1)\theta_{r-1}(q)$	$\mathrm{PGU}(2r, q)$
Hermitian	$2r + 1$	Hermitian	$H(2r, q)$	$(q^{r+1/2} + 1)\theta_{r-1}(q)$	$\mathrm{PGU}(2r + 1, q)$
Quadratic	$2r$	Hyperbolic	$Q^+(2r - 1, q)$	$(q^{r-1} + 1)\theta_{r-1}(q)$	$\mathrm{PGO}^+(2r, q)$
Quadratic	$2r + 1$	Parabolic	$Q(2r, q)$	$(q^r + 1)\theta_{r-1}(q)$	$\mathrm{PGO}(2r + 1, q)$
Quadratic	$2r + 2$	Elliptic	$Q^-(2r + 1, q)$	$(q^{r+1} + 1)\theta_{r-1}(q)$	$\mathrm{PGO}^-(2r + 2, q)$

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For subspaces of higher dimensions?

- ▶ An *i*-singular *j*-space corresponds to a cone with  $(i - 1)$ -dimensional vertex and base a non-singular  $(j - i - 1)$  quadric/Hermitian variety/symplectic space.

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- ▶ either 0-singular (plane meets in conic) or
- ▶ 1-singular (plane meets in 2 intersecting lines).

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## EXAMPLE THEOREM

Let  $\alpha_{i,j,n}$  be the number of *i*-singular *j*-spaces w.r.t. a hermitian form on  $\mathbb{F}_q^n$  ( $q$  square). For  $0 \leq i \leq \min\{j, n-j\}$  and  $j \leq n$  we have that

$$\alpha_{i,j,n} = q^{(j-i)(n-j-i)} \frac{\varphi_{j-i+1,n}^-(q)}{\varphi_{1,n-j-i}^-(q) \psi_{1,i}^-(q^2)}.$$

Here

$$\varphi_{a,b}^-(q) = \prod_{k=a}^b (q^k - (-1)^k) \quad \text{and} \quad \psi_{a,b}^-(q) = \prod_{k=a}^b (q^k - 1)$$

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### BY TRANSITIVITY

We can fix  $U$  and look for the number of  $V$ 's disjoint from  $U$ .

## THE FORMULA

Given  $\pi$ , a non-singular  $(j - 1)$ -space, count pairs  $(\tau, \sigma)$   
with  $\tau$  a non-singular  $(n - j)$ -space disjoint from  $\pi$   
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### DEFINITION

Consider a non-degenerate hermitian form  $f$  on  $\mathbb{F}_{q^2}^n$ .

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- ▶  $\gamma_{i,j,n}$  is the number of non-singular  $n - j$ -spaces  $\sigma$  in  $\mathbb{F}_{q^2}^n$  such that  $\sigma \cap \pi$  is trivial.

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We have a formula for  $\alpha$ .  $\beta$  follows from elementary double counting. The goal is a formula for  $\gamma_{0,j,n}$ .

## THE FORMULA

### NOT SO ELEMENTARY DOUBLE COUNTING

We count the tuples  $(\sigma, \tau)$  with  $\sigma$  a non-singular hyperplane, and  $\tau \subseteq \sigma$  a non-singular  $(n - j)$ -space disjoint from  $\pi$ .

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$$\begin{aligned}\gamma_{i,j,n} \beta_{0,n-j,n} &= \alpha_{1,j-i-1,j-i} (\beta_{i+1,j-1,n} - \beta_{i,j,n}) \gamma_{i+1,j-1,n-1} \\ &\quad + \alpha_{0,j-i-1,j-i} (\beta_{i,j-1,n} - \beta_{i,j,n}) \gamma_{i,j-1,n-1} \\ &\quad + \left( \left[ \begin{matrix} j \\ j-1 \end{matrix} \right]_{q^2} - \left[ \begin{matrix} j-i \\ j-i-1 \end{matrix} \right]_{q^2} \right) (\beta_{i-1,j-1,n} - \beta_{i,j,n}) \gamma_{i-1,j-1,n-1}\end{aligned}$$

## THE METHOD SUMMARISED

- ▶ Derive a recursion formula for  $\gamma$
- ▶ ‘Guess’ the closed formula
- ▶ Prove the formula by induction
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- ▶ Main work was for complementary case, the general formula follows by another double counting argument.

The motivation was to find a formula for the 0-singular case but this method requires us to derive a formula for all  $i$ -singular cases at once.

# THE RESULTS (UNITARY CASE)

**Theorem 3.7.** For  $0 \leq i \leq \min\{j, n - j\}$ ,  $j \leq n - 1$  and  $k \leq n - j$ , we have that

$$\begin{aligned}\gamma_{i,j,n,k} &= \beta_{i,j,n,k+j} \gamma_{i,j,k+j} \\&= q^{(n-k-j)(k+i)+2jk-\binom{j+1}{2}} \left[ \begin{matrix} n-j-i \\ n-k-j \end{matrix} \right]_q^{-} \varphi_{1,i}^{+}(q) \sum_{m=0}^{j-i} (-1)^{mk} \varphi_{i+1,j-m}^{+}(q) \left[ \begin{matrix} j-i \\ m \end{matrix} \right]_q^{-} q^{\binom{m}{2}-m(k-i)}\end{aligned}$$

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- ▶ The formula for the exact proportion of good subspaces now follows directly.

**Theorem 3.9.** For integers  $j, k, n$  with  $0 \leq j, k \leq n-1$  and  $j+k \leq n$ , we have

$$\rho_{j,k,n} = q^{jk-\binom{j+1}{2}} \frac{\varphi_{n-j-k+1,n-k}^-(q)}{\varphi_{n-j+1,n}^-(q)} \sum_{m=0}^j (-1)^{mk} \varphi_{1,j-m}^+(q) \left[ \begin{matrix} j \\ m \end{matrix} \right]_q^- q^{\binom{m}{2}-mk}$$

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## REMARK

Getting from the exact proportion to a bound of the form  $1 - c \frac{1}{q^2}$  is still a lot of work.

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- ▶ Symplectic case: a bit more complicated because the need to count with subspaces of codimension 2
- ▶ Orthogonal case, odd characteristic: *much* more complicated because of elliptic, parabolic, and hyperbolic types, and the fact that the group can have more than one orbit on  $i$ -singular spaces.

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- ▶ Unitary case forms the blueprint of the main idea
- ▶ Symplectic case: a bit more complicated because the need to count with subspaces of codimension 2
- ▶ Orthogonal case, odd characteristic: *much* more complicated because of elliptic, parabolic, and hyperbolic types, and the fact that the group can have more than one orbit on  $i$ -singular spaces. There are four recursion formulae depending on the parity of  $i, j, n$  so four different formula for  $\gamma_{(i,j,\delta,\lambda),(n,\epsilon),(n-j,\zeta)}$ .

# THE OTHER CASES

## SAMPLE THEOREM IN ORTHOGONAL CASE (DE BOECK-VDV)

**Theorem 4.19.** Let  $n, j, i$  be integers with  $0 \leq i \leq j$  and  $i + j \leq n$ , such that  $n$  is even and  $j$  is odd. Let  $\delta \in \{0, \pm 1\}$  and  $\varepsilon, \lambda \in \{\pm 1\}$  with  $j - i - \delta \equiv 1 \pmod{2}$ . If  $i$  is odd, then

$$\begin{aligned} \gamma_{(i,j,\delta),(n,\varepsilon),(n-j,0),\varepsilon} &= q^{\frac{3}{2}jn - \frac{1}{4}n^2 - \frac{5}{4}j^2 - \frac{1}{2}n + \frac{1}{2}j - \frac{1}{4}} \left( \sum_{m=0}^{\frac{n-j-i}{2}} \chi_{1, \frac{n-j+1}{2}-m}(q) \left[ \frac{n-j-i}{2} - 1 \right]_q m^{m(m-j+i+1)} \right. \\ &\quad \left. + \left( \delta q^{\frac{1}{2}i - \frac{1}{2}j} - \varepsilon q^{\frac{1}{2}n-j} + \delta \varepsilon q^{\frac{1}{2}n - \frac{3}{2}j + \frac{1}{2}i} \right) \sum_{m=0}^{\frac{n-j-i}{2}-1} \chi_{1, \frac{n-j-1}{2}-m}(q) \left[ \frac{n-j-i}{2} - 1 \right]_q m^{m(m-j+i+1)} \right) \end{aligned}$$

If  $i$  is even, then

$$\begin{aligned} \gamma_{(i,j,0),(n,\varepsilon),(n-j,0),\varepsilon} &= q^{\frac{3}{2}jn - \frac{1}{4}n^2 - \frac{5}{4}j^2 - \frac{1}{2}n + \frac{1}{2}j - \frac{1}{4}} \left( \sum_{m=0}^{\frac{n-j-i-1}{2}} \chi_{1, \frac{n-j+1}{2}-m}(q) \left[ \frac{n-j-i-1}{2} \right]_q m^{m(m-j+i)} \right. \\ &\quad \left. - \varepsilon q^{\frac{1}{2}n-j} \sum_{m=0}^{\frac{n-j-i-1}{2}} \chi_{1, \frac{n-j-1}{2}-m}(q) \left[ \frac{n-j-i-1}{2} \right]_q m^{m(m-j+i)} \right) \end{aligned}$$

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**Lemma 2.10.** *For integers  $b \geq a \geq 0$  we have*

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- ▶ Orthogonal case, **even characteristic**: six different recursion formulae...

# Thank you!

-  M. De Boeck and G. Van de Voorde. Anzahl theorems for trivially intersecting subspaces generating a non-singular subspace I: symplectic and hermitian forms. *Linear Algebra Appl.* **699** (2024), 367–402.
-  M. De Boeck and G. Van de Voorde. Anzahl theorems for disjoint subspaces generating a non-degenerate subspace: quadratic forms. *Combinatorial Theory* (2025), **5** (2), # 12.

EXAMPLE:  $i = 0, j = 3, k = 2, \zeta = \lambda = 1$  AND  $n = 5$  (so  $\delta = \epsilon = \eta = 0$ )

Then we are looking for the proportion of pairs of conic planes of perp type 1 and secant lines to a parabolic quadric  $\mathcal{Q}(4, q)$  which span the entire space, among all such pairs. This proportion is

$$\begin{aligned}\rho_{(3,0,1),(2,1),(5,0),0} &= \frac{\gamma_{(0,3,0,1),(5,0),(2,1),0}}{\alpha_{(0,2,1),(5,0)}} \\ &= 1 - \frac{1}{q} \frac{q^3 + 2q^2 + q - 2}{(q+1)(q^2+1)}.\end{aligned}$$

So  $\rho_{(3,0,1),(2,1),(5,0),0} > 1 - \frac{23}{20} \frac{1}{q}$  for  $q \geq 3$ .