

AMAT 583, Lec 17, 10/29/19

Today: Finish with homotopy equivalence

Examples of gluing

Data analysis (clustering) (Next time)

Recall: A continuous map

$f: S \rightarrow T$  is called a homotopy equivalence  
if there exists a continuous map  
 $g: T \rightarrow S$  such that

$$gof \sim Id_S \quad fog \sim Id_T$$

denotes homotopy

In this case, we say  $S$  and  $T$  are homotopy equivalent, and write  
 $S \simeq T$ .

Idea from the end of last class:

$S$  and  $T$  are homotopy equivalent iff  $\exists$  a third space  $U$  that can be "shrunk down" to both  $S$  and  $T$ .

Illustration: The disc  $D \subset \mathbb{R}^2$  shrinks down to a point



Def: A continuous map  $h: X \times I \rightarrow X$  is a deformation retraction of  $X$  onto  $A \subset X$  if

We think of  $h$  as specifying how to shrink  $X$  onto  $A$  continuously in time

1.  $h_0 = \text{Id}_X$ ,  $\leftarrow$  At time 0,  $X$  has not been shrunk at all

2.  $\text{im}(h_1) = A \leftarrow h$  shrinks  $X$  onto  $A$  by time 1.

3. and  $h(y, t) = y \quad \forall (y, t) \in A \times I \leftarrow$  we don't move any point of  $A$ .

Note:  $h$  is a homotopy between  $\text{Id}_X$  and a map  $h_1: X \rightarrow X$  with  $\text{im}(h_1) = A$ .

Example: We already saw a deformation retraction last time

$$h: D \times I \rightarrow D, \quad h(\vec{x}, t) = (1-t)\vec{x}$$

Take  $X = D$   $\quad h_0(\vec{x}) = (1-0)\vec{x} = \vec{x} \Rightarrow h_0 = \text{Id}_X$  1.

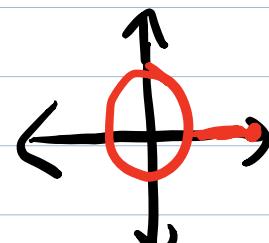
$A = P = \{\vec{0}\}$   $\quad h_1(\vec{x}) = (1-1)\vec{x} = \vec{0} \Rightarrow \text{im}(h_1) = P = \{\vec{0}\}$  2.

$$h_t(0) = (1-t)0 = 0 \quad \forall t \in I \Rightarrow 3.$$

Intuitively,  $h$  shrinks  $D$  down to the point  $P$ .

That is,  $\text{im}(h_0) = D$ ,  $\text{im}(h_1) = P$ .

Example  $X = S^1 \cup [1, 2] \times \{\vec{0}\}$   $\quad A = S^1$



$$h: X \times I \rightarrow A, h(x, t) = \begin{cases} x & \text{for } x \in S^1 \\ ((x-1)(1-t) + 1, 0) & \text{otherwise.} \end{cases}$$

Then  $h$  is a deformation retract of  $X$  onto  $A$ .

This shrinks the rat tail down onto  $(1, 0)$ .  
 (continuity is not hard to check).

Example: Are  $S^1$  and a point  $P$  homotopy equivalent?  
 No!



But can't I shrink  $S^1$  down to a point, just like I did for  $D$ ?

thoughtful student

No! As the definition of deformation retraction makes clear, the shrinking has to happen entirely inside of  $X = S^1$ , i.e. we would need  $\text{im}(h) \subset S^1$ . So the obvious idea of just making the circle smaller and smaller until it becomes a point fails.

Illustration:



Note: It can be shown rigorously that there's no deformation retract from  $S^1$  onto a point. This is usually done by considering holes, e.g. via homology.

Fact: If  $\exists$  a deformation retract

$h: X \times I \rightarrow X$  of  $X$  onto  $A$ , then

for  $j: A \hookrightarrow X$  the inclusion,

$j$  and  $\tilde{h}_1: X \rightarrow A = \text{im}(h_1)$  <sup>are inverse</sup>  $\sim$  homotopy equivalences.

$\Rightarrow X$  and  $A$  are homotopy equivalent.

Proof: (Not covered in class)

$\tilde{h}_1 \circ j = \text{Id}_A$  by property 3, so  $\tilde{h}_1 \circ j \sim \text{Id}_A$ .

Conversely, for any function  $f: S \rightarrow T$  and

$j: \text{im}(f) \hookrightarrow T$  the inclusion, we have

$f = j \circ f$ . Thus, since  $\text{im}(h_1) = j$ , we have  $j \circ \tilde{h}_1 = h_1$ .

$h$  is a homotopy from  $\text{Id}_X$  to  $h_1 = j \circ \tilde{h}_1$ , so  $j \circ h_1 \sim \text{Id}_X$ .  $\blacksquare$

Example:  $D$  and  $P$  are homotopy equivalent, since

$D$  deformation retracts onto  $P$ . We also saw this earlier.

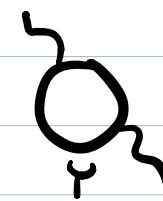
Fact: Topological spaces  $X$  and  $Y$  are homotopy equivalent iff  $\exists$  a third space  $Z$  which deformation retracts onto both  $X$  and  $Y$

Part of a proof:

If  $Z$  def. retracts onto  $X$  and  $Y$ , then  $Z \simeq X$  and  $Z \simeq Y$  so  $X \simeq Y$ .

The converse direction is more difficult.  
See Hatcher Ch. 0.



Example:  and  both deformation

retract down to a circle, so they are homotopy equivalent. This implies both are deformation retracts of some larger space  $Z$ .

For example we may take  $Z =$  the annulus



Illustration of a deformation retraction of  $Z$  onto  $X$ .

(Can draw a similar picture for  $Y$ )

## Gluing revisited

In an earlier lecture, I said I show some interesting examples of gluing constructions but then I forgot!

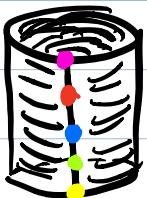
Before moving on to clustering, I want to give a few more famous examples of gluing constructions.

These can be specified formally by the quotient space construction we saw earlier, but I will be informal.

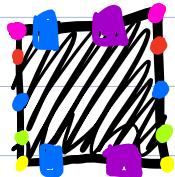
### 1. Recall the example from earlier



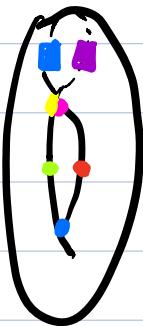
If we start with the square  $I \times I$  and glue  $(0, y)$  to  $(1, y)$  if  $y \in I$ , we get the cylinder



2. What if we also glue the top edge to the bottom edge, i.e. glue

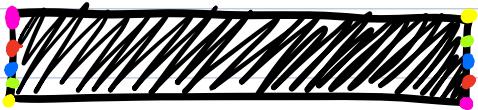


$$(x, 0) \rightarrow (x, 1)$$



We get a torus, i.e. surface of a donut.

3. What if in the first example, we instead glue  
 $(0, y)$  to  $(1, 1-y)$



We get the Möbius band

This is a surface with one side!