

AMAT 584 Lecture 14 2/21/20

Today: Filtrations in TDA
Euler Characteristic Curves

In the last 3 lectures, we discussed three constructions of simplicial complexes from data:

- Čech complex
- Rips complex
- Delaunay / Alpha complex.

All depend on a radius parameter r .

Often choosing a single value of r is problematic:

- Topology of the simplicial complex may be unstable w.r.t. r .
- Different values of r may capture different topological features of the data.
- Even when there is a good choice of r , we may not know it a priori.

Prevailing wisdom: As in hierarchical clustering, one should not consider a single value of r , but look at all values at once.

Recall the following definition from TDA I:

Dcf: A filtration (indexed by $[0, \infty)$) is a collection of topological spaces

$$F = \{F_r\}_{r \in [0, \infty)} \text{ such that } F_r \subset F_s \text{ whenever } r < s.$$

This definition admits many variants:

- Simplicial complexes instead of topological spaces
- Filtrations indexed by \mathbb{N} , \mathbb{Z} , or \mathbb{R} instead of $[0, \infty)$.

Allowing r to vary in the various constructions we have seen gives us a filtration:

Let $X \subset \mathbb{R}^n$ be finite.

Filtrations constructed from X :

- Union-of-balls filtration $U(X) = \{U(X, r)\}_{r \in [0, \infty)}$
- Čech filtration $\check{C}ech(X) = \{\check{C}ech(X, r)\}_{r \in [0, \infty)}$
- Delaunay filtration $Del(X) = \{Del(X, r)\}_{r \in [0, \infty)}$

we saw
this in
TDA I

And for X any finite metric space, we have the

- Vietoris-Rips filtration $VR(X) = \{VR(X, r)\}_{r \in [0, \infty)}$.

Persistent Homology analyzes data by first constructing a filtration of the data, and then analyzing the topology of the filtration.

In practical computations, the most common choices are the Vietoris-Rips and Delaunay filtrations.

Note that even though $[0, \infty)$ is infinite, since X is finite, the above filtrations contain only finitely many different simplicial complexes, so these are computable.

Euler characteristic curves

- One simple topological signature of a filtration.
- Used in some applications, e.g. recent work on glioblastoma, a brain cancer.

For $F = \{F_r\}_{r \in [0, \infty)}$ a simplicial filtration, let

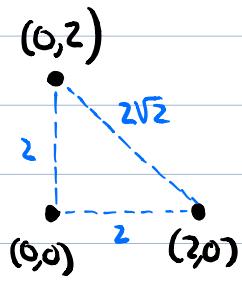
$\chi^F : [0, \infty) \rightarrow \mathbb{Z}$ be the function given by

$$\chi^F(r) = \underbrace{\chi(F_r)}_{\text{Euler characteristic of } F_r}$$

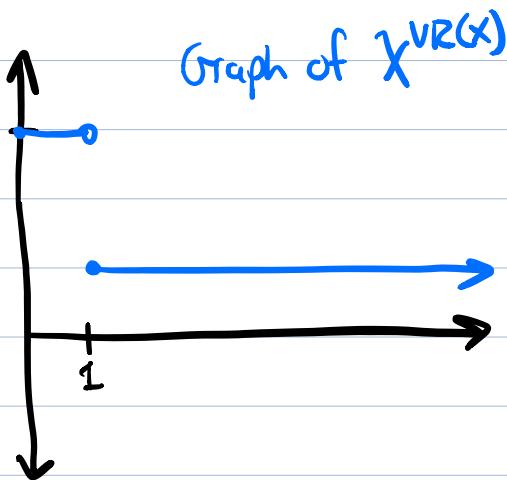
We call this the Euler Characteristic Curve (E.C.C.) of F .

Example: $X = \{(0,0), (2,0), (0,2)\}$

$$VR(X) = \begin{cases} \dots \text{ for } r \in [0,1) \\ \text{L} \text{ for } r \in [1, \sqrt{2}) \\ \text{triangle} \text{ for } r \geq \sqrt{2} \end{cases}$$



So $X^{VR(X)} = \begin{cases} 3 \text{ for } r \in [0,1) \\ 1 \text{ for } r \in [1, \sqrt{2}) \\ 1 \text{ for } r \in [\sqrt{2}, \infty) \end{cases} = \begin{cases} 3 \text{ for } r \in [0,1) \\ 1 \text{ for } r \geq 1. \end{cases}$



(Can use standard tools/ideas in stats for analyzing E.E.C.'s.)

Disadvantages of the E.C.C. of data:

- Not stable (at least theoretically)
- Not readily interpreted
- Rather coarse invariant.

Using homology, we can get invariants which are

- Stable (in a sense)
- More easily interpreted
- Capture more information about the topology of the data.