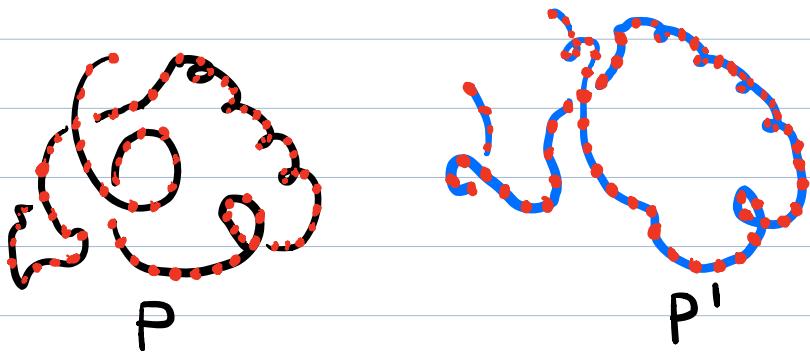


AMAT 342 Lec 14 10/17/19

Today: RMSD, continued  
Topology of metric spaces

We start with some review:

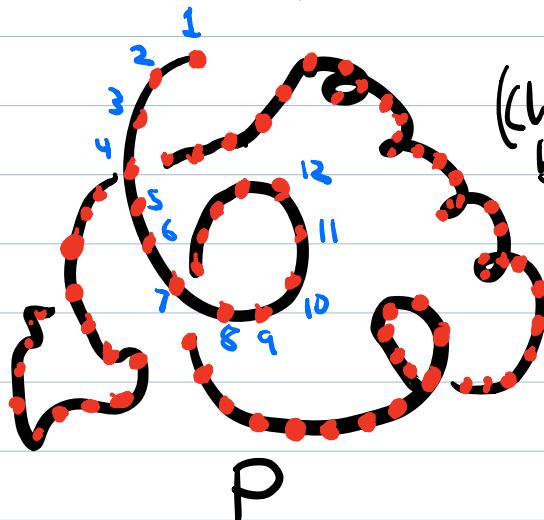
Question: Suppose I know the folded structure  $P$  of a protein. How do I measure the accuracy of a predicted structure  $P'$ ?



Standard Answer: Compute a metric called RMSD  
(root mean squared deviation)

## How to represent the 3-D structure of a protein P mathematically

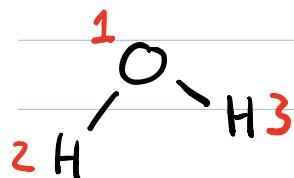
- Fix an order on the atoms of the amino acid sequence



(choice of order doesn't matter, but when comparing 2 3-D structures, we need to use the same order for both.)

- Let  $O^n$  denote the set of all ordered lists of points in  $\mathbb{R}^3$  of size  $n$ .
- We represent the 3-D structure of a protein with  $n$  atoms as an element of  $O^n$ .

Example Let's represent a water molecule as an element of  $O^3$ .



Suppose the atom centers are

$$\begin{aligned} 1 &: (0,0,0) \\ 2 &: (1,0,0) \\ 3 &: (0,1,0). \end{aligned} \quad \left. \begin{array}{l} \\ \\ \end{array} \right\} \text{Not chemically accurate}$$

Then we represent the water molecule as the ordered list  $((0,0,0), (1,0,0), (0,1,0)) \in O^3$ .

We will define RMSD as a function

$$\text{RMSD}: O^n \times O^n \rightarrow [0, \infty)$$

This will almost be a metric, but not quite, so we will fix the definition to get a metric

Note: We can represent an element of  $O^n$  as a single point in a high-dimensional space.

- For  $P \in O^n$ , denote the  $i^{\text{th}}$  point in  $P$  by  $(x_i, y_i, z_i)$
- Define a function  $V: O^n \rightarrow \mathbb{R}^{3n}$  by  $V(P) = (x_1, y_1, z_1, x_2, y_2, z_2, \dots, x_n, y_n, z_n)$ .

Example: For  $P = ((0,0,0), (1,0,0), (0,1,0)) \in O^3$ ,

$$V(P) = (0, 0, 0, 1, 0, 0, 0, 1, 0) \in \mathbb{R}^9.$$

Exercise: Is  $V$  invertible?

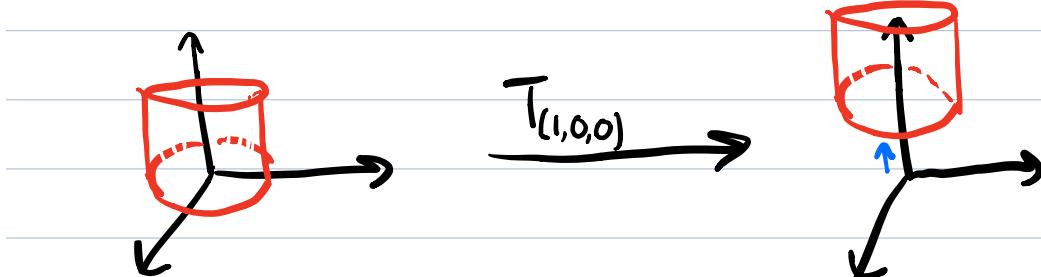
A: Yes.  $V^{-1}(x_1, x_2, \dots, x_{3n}) =$

$$((x_1, x_2, x_3), (x_4, x_5, x_6), \dots, (x_{3n-2}, x_{3n-1}, x_{3n})).$$

### Rigid motions

- A translation in  $\mathbb{R}^3$  is a function

$$T_{\vec{v}}: \mathbb{R}^3 \rightarrow \mathbb{R}^3 \text{ given by } T_{\vec{v}}(\vec{x}) = \vec{x} + \vec{v} \text{ for some fixed } \vec{v} \in \mathbb{R}^3$$



Interpretation:  $T_{\vec{v}}$  shifts a geometric object in the direction  $\vec{v}$  without rotating.

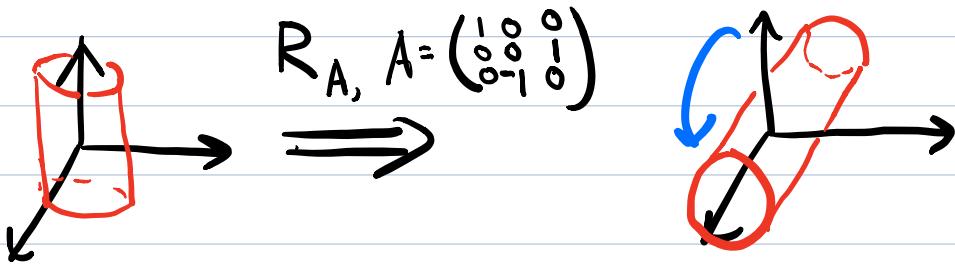
- A rotation in  $\mathbb{R}^3$  is a function

$$R_A: \mathbb{R}^3 \rightarrow \mathbb{R}^3 \text{ of the form}$$

in 1 1 1 . . . 1 2 . . .

$R_A(\vec{x}) = A\vec{x}$  where  $A$  is a  $3 \times 3$  matrix  
with determinant  $\downarrow$

Interpretation:  $R_A$  rotates a geometric object about the origin in  $\mathbb{R}^3$ .



Note: Both translations and rotations are invertible:

$$(T_{\vec{v}})^{-1} = T_{-\vec{v}}, (R_A)^{-1} = R_{A^{-1}}$$

Definition:

A rigid motion in  $\mathbb{R}^3$  is a rotation followed by a translation, i.e., a function

$\varphi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  of the form

$$\varphi = T_{\vec{v}} \circ R_A.$$

↑  
translation      ↑  
                    rotation

- Facts:
- The inverse of a rigid motion is a rigid motion
  - The composition of two rigid motions is a rigid motion.

Let  $E$  be the set of all rigid motions in  $\mathbb{R}^3$ .

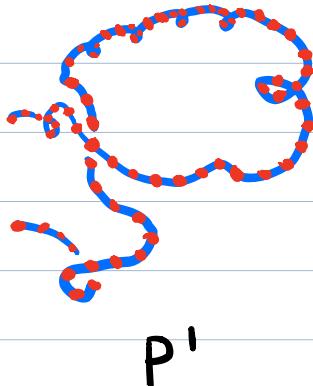
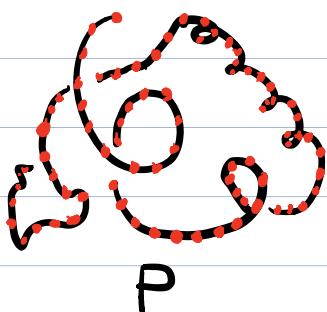
Define  $\text{RMSD}: O^n \times O^n \rightarrow [0, \infty)$  by

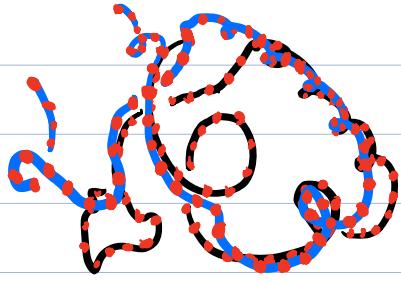
$$\text{RMSD}(P, P') = \min_{\varphi \in E} \frac{1}{m} d_2(V(P), V(\varphi(P'))).$$

↑      ↑  
 ordinary      rigid motion of  $P'$   
 Euclidean      distance

Interpretation: To compute  $\text{RMSD}(P, P')$ ,

- 1) Align  $P$  and  $P'$  as well as possible via a rigid motion  $\varphi$





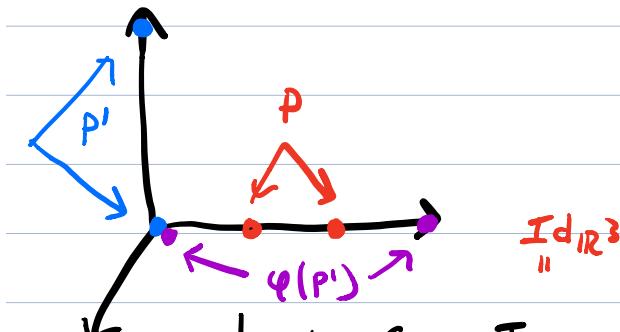
$P$  and  $\varphi(P')$

2) Represent  $P$  and  $\varphi(P')$  as points  $V_P, V_{\varphi(P')}$  in  $\mathbb{R}^{3n}$ .

3) RMSD is the Euclidean distance between these points, normalized so that RMSD doesn't tend to grow as # of atoms grows.

Example  $P = ((1, 0, 0), (2, 0, 0)) \in O^2$

$$P' = ((0, 0, 0), (0, 0, 3)) \in O^2.$$



Let  $\varphi = T_{(0,0,0)} \circ R_A = R_A$ , where  
 $A = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{pmatrix}$

$$\text{Then } \varphi(P') = \left( A\begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}, A\begin{pmatrix} 0 \\ 0 \\ 3 \end{pmatrix} \right) = \\ ((0, 0, 0), (3, 0, 0))$$

It can be shown that  $\varphi$  provides the optimal alignment of  $P$  and  $P'$ , i.e.,

( $\varphi$  is the minimizing rigid motion in the expression for RMSD).

$$\text{Then } \text{RMSD}(P, Q) = \frac{1}{\sqrt{2}} d_2((1, 0, 0, 2, 0, 0), (0, 0, 0, 3, 0, 0)) \\ = \frac{1}{\sqrt{2}} \cdot \sqrt{(1-0)^2 + (2-3)^2} = \frac{1}{\sqrt{2}} \cdot \sqrt{1^2 + 1^2} = 1.$$

RMSD is symmetric and satisfies the triangle inequality, but we can have

$$\text{RMSD}(P, P') = 0 \text{ if } P \neq P' \text{ but } \varphi(P) = P' \text{ for some rigid motion } \varphi. \quad \left. \begin{array}{l} \text{So property 1} \\ \text{of a metric is} \\ \text{not satisfied.} \end{array} \right\}$$

Example:  $P = ((1, 0, 0), (2, 0, 0))$   
 $P' = ((0, 0, 1), (0, 0, 2))$

Then for  $\varphi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  as in the previous example,

$$\varphi(P') = P \Rightarrow \text{RMSD}(P, P') = 0.$$

Here's how we get a genuine metric:

Define an equivalence relation  $\sim$  on  $O^n$  by

$P \sim Q$  iff  $\exists$  a rigid motion  $\varphi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$   
with  $\varphi(P) = Q$ .

\*Fact:  $\text{RMSD}(P, Q) = \text{RMSD}(P', Q')$  if  $P \sim P'$  and  
 $Q \sim Q'$   
(Exercise: Prove this).

As a consequence,  $\text{RMSD}: O^n \times O^n \rightarrow [0, \infty)$   
descends to a genuine metric on  $O^n / \sim$ .

Specifically, we define

$\overline{\text{RMSD}}: O^n / \sim \times O^n / \sim \rightarrow [0, \infty)$  by

$$\overline{\text{RMSD}}([P], [Q]) = \text{RMSD}(P, Q).$$

By the fact \* this function is well defined.

It can be shown that  $\overline{\text{RMSD}}$  is a metric.

Note: RMSD can be computed efficiently, even for large examples (on a computer).

This is an example of an optimization problem.

### Metrics and topology

Metric space definition of continuity:

Let  $M$  and  $N$  be metric spaces with metrics  $d_M, d_N$ .

A function  $f: M \rightarrow N$  is continuous at  $x \in M$  if  
 $\forall \epsilon > 0, \exists \delta > 0$  such that  
 $d_N(f(x), f(y)) < \epsilon \Rightarrow d_M(x, y) < \delta$ .

$f$  is said to be continuous if it is continuous at each  $x \in M$ .

(This definition generalizes the definition for Euclidean subspaces considered earlier).

Example: Let  $M$  be any metric space and take  $N$  to be  $\mathbb{R}$  with the Euclidean metric.

For any  $x \in M$ , the function  $d^x: M \rightarrow \mathbb{R}$  given by  $d^x(y) = d_N(x, y)$  is a continuous function.

Pf: Exercise.

With this definition of continuity, the definition of homeomorphism extends immediately to metric spaces:

For metric spaces  $M$  and  $N$ ,  
 $f: M \rightarrow N$  is a homeomorphism if  
1)  $f$  is a continuous bijection  
2)  $f^{-1}$  is also continuous.

Example: Consider the metric  $d$  on  $[0, 2\pi]$  given by  $d(x, y) = \min(|x - y|, |(x + 2\pi) - y|, |(x - 2\pi) - y|)$



Then the function  $f: ([0, 2\pi], d) \rightarrow S^1$  given

take  $S^1$  to have usual  
Euclidean metric

by  $f(t) = (\cos t, \sin t)$  is a homeomorphism.

The definition of isotopy also extends, but we'll not get into the details of this.

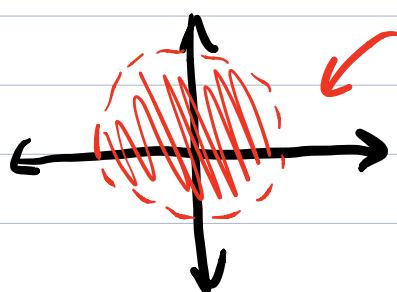
### An alternate description of continuity

#### Open Sets

Let  $M$  be a metric space. For  $x \in M$  and  $r > 0$ , the open ball in  $M$  of radius  $r$ , centred at  $x$ , is the set

$$B(x, r) = \{y \in M \mid d_M(x, y) < r\}.$$

Example: For  $M = \mathbb{R}^2$  with the Euclidean distance.  $B(\vec{0}, 1)$  looks like this



disc of radius 1 centered at the origin, with the boundary not included.

A subset of  $M$  is called open if it is a union of (possibly infinitely many) open balls.

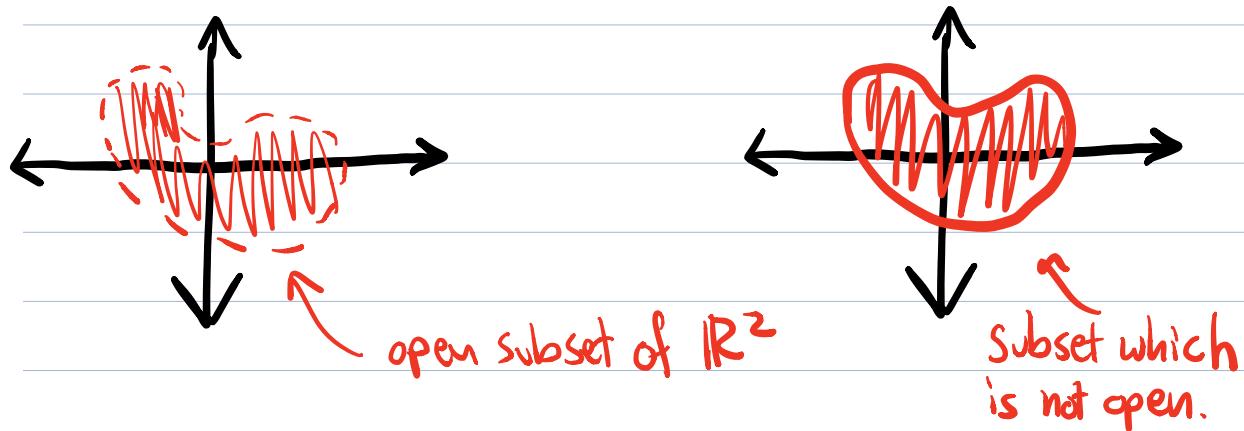
The empty set is always considered open.

$$M \text{ itself is open: } M = \bigcup_{x \in M} B(x, 1)$$

Fact: A region in  $\mathbb{R}^n$  is open if it contains none of its boundary points.

this is an informal statement because I haven't defined "boundary points." It can be made formal, but I will not go into the details.

Illustration: Dashed line = boundary not included  
Solid line = boundary included



Fundamental Fact: Whether a function of metric spaces  $f: M \rightarrow N$  is continuous depends

only on the open sets of  $M, N$  and not on otherwise on the metric!

Def: For  $f: S \rightarrow T$  any function and  $\cup T$ ,  $f^{-1}(U) = \{x \in S \mid f(x) \in U\}$ .

Proposition: A function  $f: M \rightarrow N$  of metric spaces is continuous if and only if  $f^{-1}(V)$  is open for every open subset of  $N$ .