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# Ambient Occlusion in 4D

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Bachelor's thesis

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# Outline



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- Introduction
- Methods
- Implementation
- Results & Discussion
- Conclusion

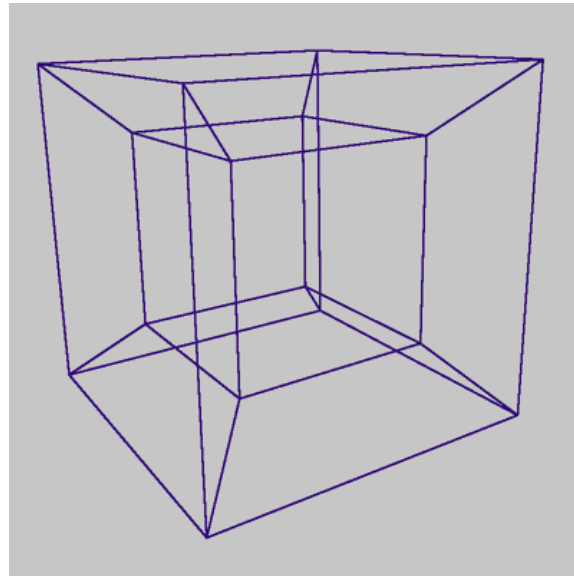
# Introduction



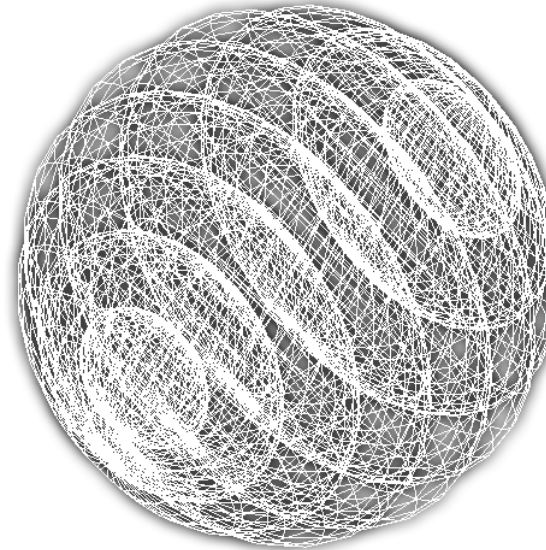
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## Motivation:

- 4D structures are difficult to perceive
- Improve perception of 4D structures using illumination



4-cube represented as a 2D wireframe image



Direct projection of hypersphere in 4D into 3D space, rendered as a 2D image

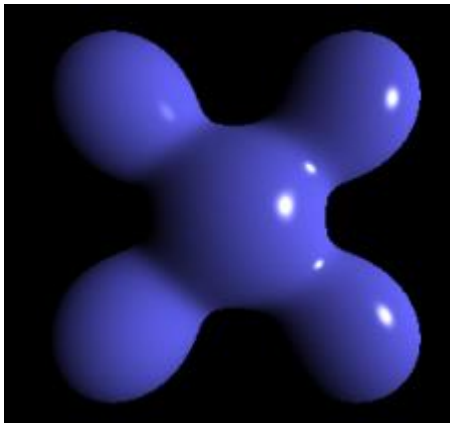
# Introduction



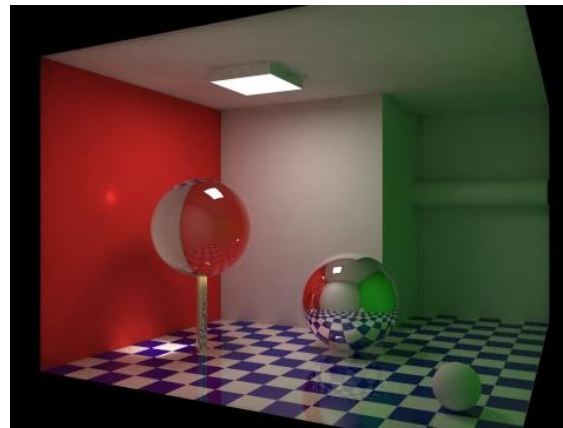
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## Motivation:

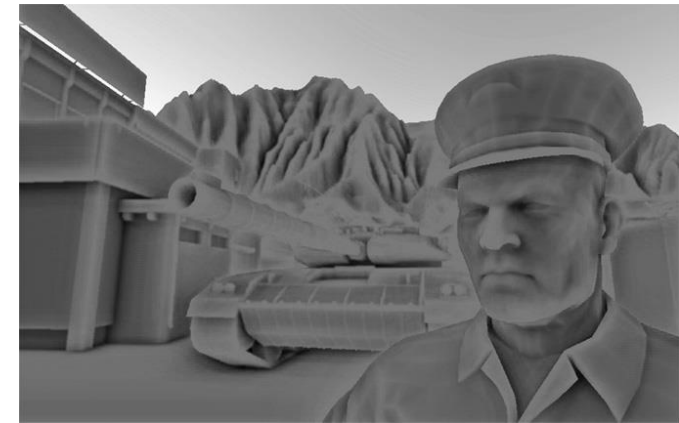
- existing illumination methods in 3D visualization:
  - Phong shading
  - global illumination
    - ambient occlusion, approximation of global illumination



Phong shading



global illumination



ambient occlusion

# Introduction



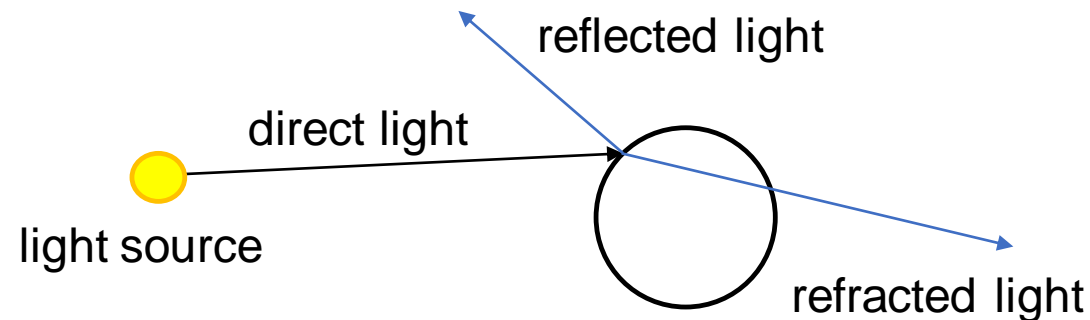
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Problem:

expand ambient occlusion method to 4D space

# Global Illumination

- Shading technique, uses both direct and indirect light
- Photorealistic results
- Global illumination takes the entire scene into consideration
- Direct light: light coming directly from a light source
- Indirect light: reflected/refracted rays
- Calculated recursively, expensive to calculate

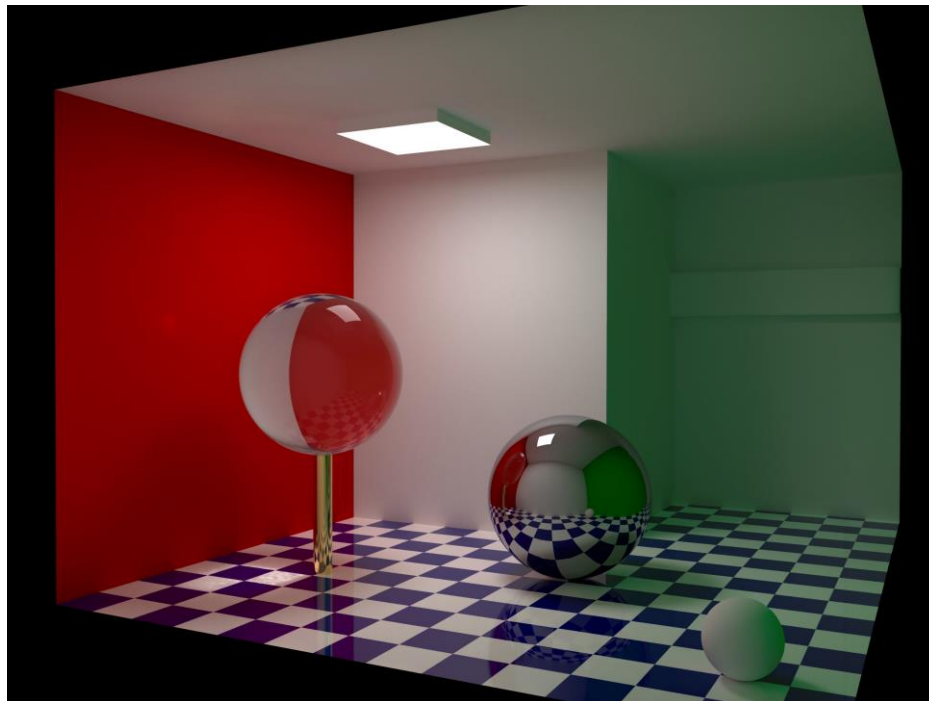


# Global Illumination

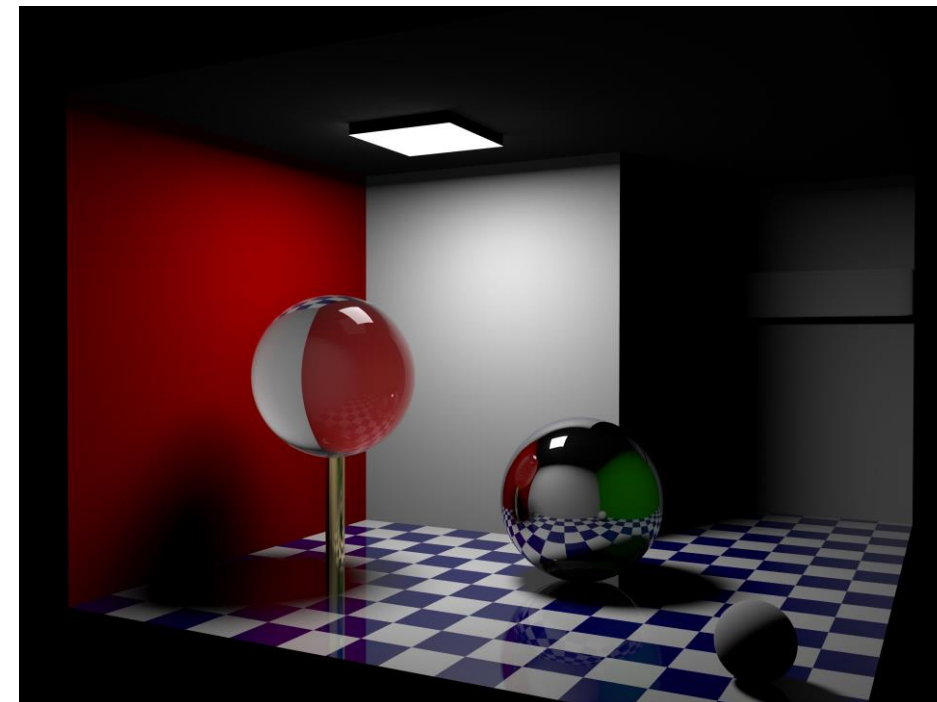


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Example of global illumination:



A scene with global illumination



A scene without global illumination

Image source: Barahag. (24 March 2020). Three spheres lit only by direct lighting algorithms. Retrieved July 2, 2021 from [https://en.wikipedia.org/wiki/File:Direct\\_lighting.png](https://en.wikipedia.org/wiki/File:Direct_lighting.png), Barahag. (24 March 2020). 3 spheres in the small room. Retrieved July 2, 2021 from [https://en.wikipedia.org/wiki/File:Global\\_illumination1.png](https://en.wikipedia.org/wiki/File:Global_illumination1.png)



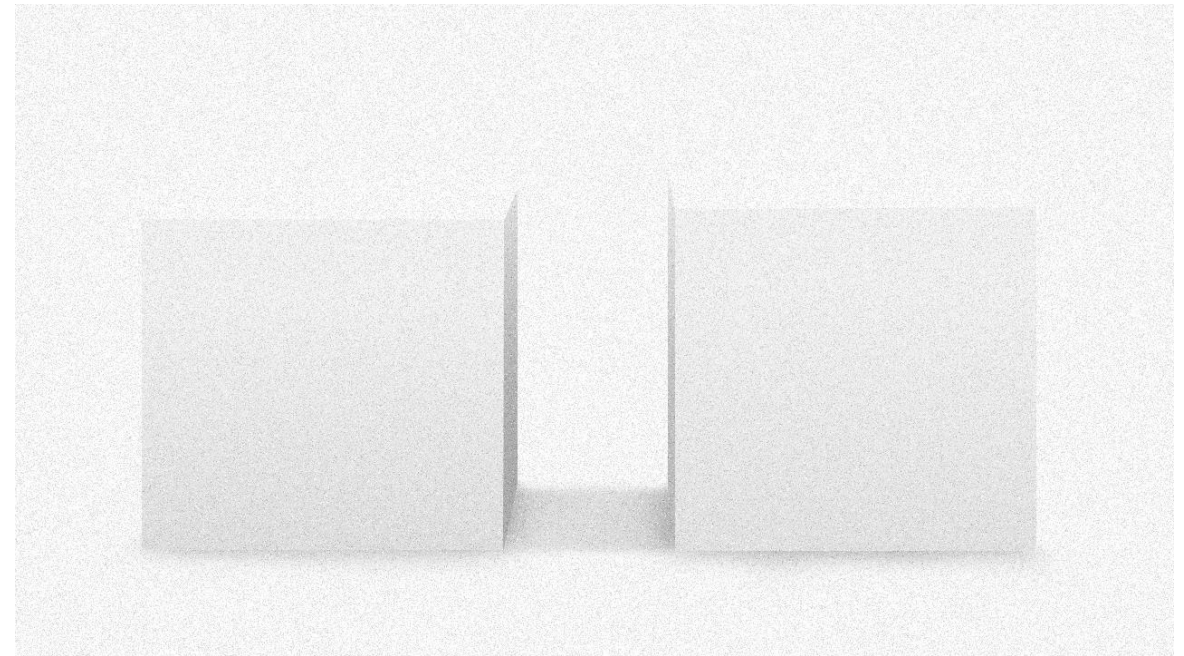
# Ambient Occlusion



- Approximates global illumination, also takes the entire scene into consideration
- Shading on surfaces independent of light sources
- Examples of ambient occlusion maps:



Ambient occlusion of a scene from *Crysis*  
using *CryEngine 2*

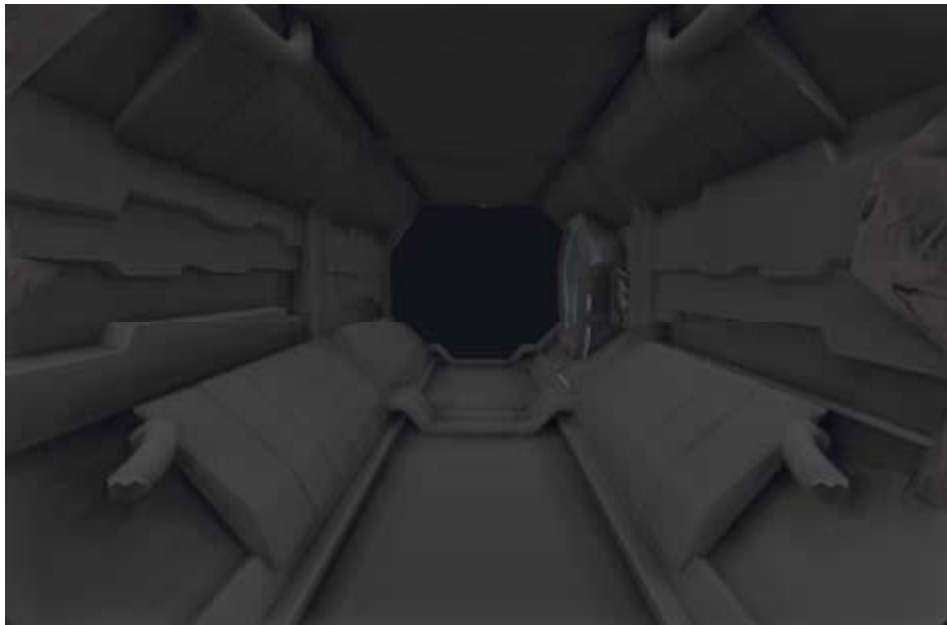


A simple scene of 2 cubes on a plane with  
ambient occlusion

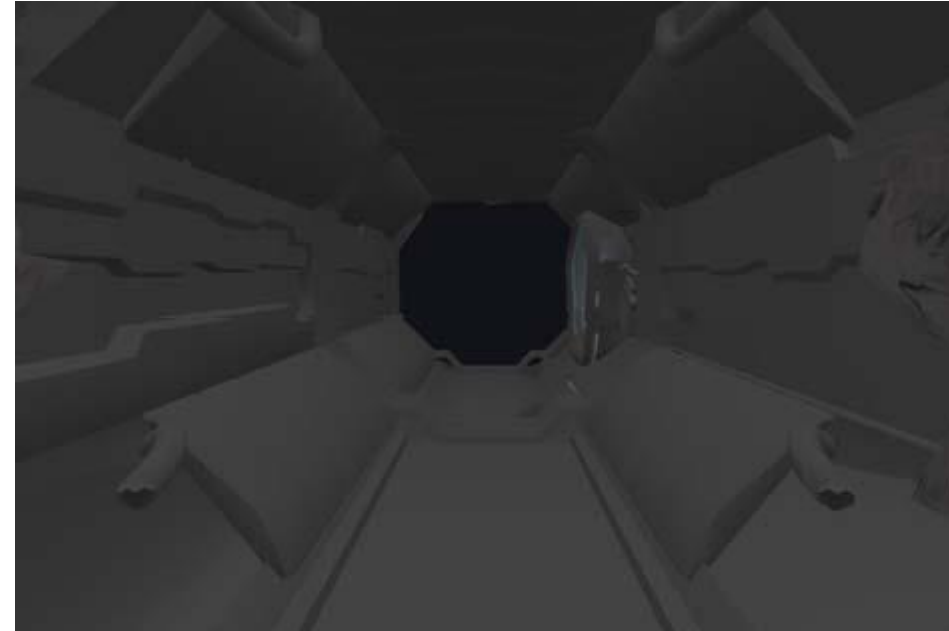


# Ambient Occlusion

- Ambient occlusion maps calculated separately from the scene
- Scene combined with ambient occlusion afterwards



A scene with ambient occlusion



A scene without ambient occlusion

# Variations of Ambient Occlusion



- Screen Space Ambient Occlusion (SSAO):  
uses only currently visible scene and depth buffer to calculate occlusion
- Ray-traced Ambient Occlusion:  
uses ray tracing to calculate occlusion

# Ambient Occlusion (AO)



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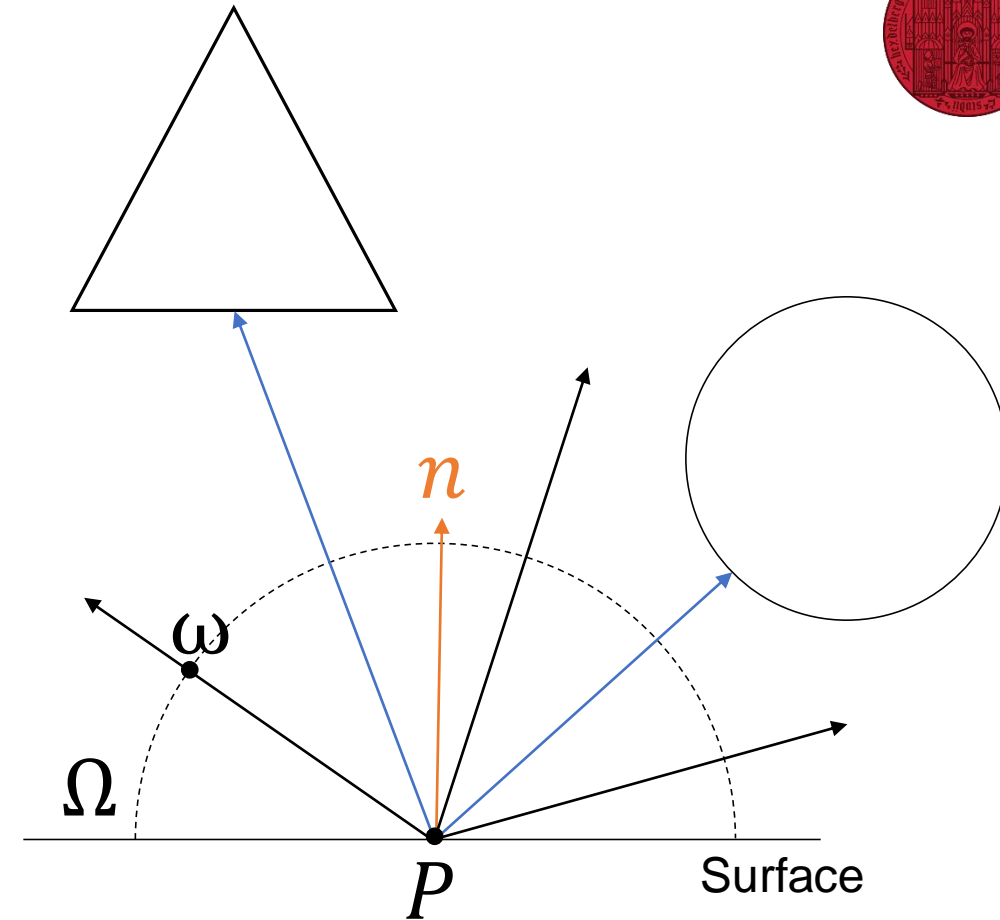
$$A(p, n) = \frac{1}{\pi} \int_{w \in \Omega} V(p, w) |w \cdot n| dw$$

$p$  = point in scene

$n$  = surface normal

$\Omega$  = hemisphere

$V(p, \omega)$  = visibility function, returns 1 if there's a ray-object intersection for ray from  $p$  to  $\omega$ , otherwise 0



- Ambient occlusion calculates the average of the visibility function
- Practically, AO is calculated using hemispheric sampling

# Hemispheric Sampling

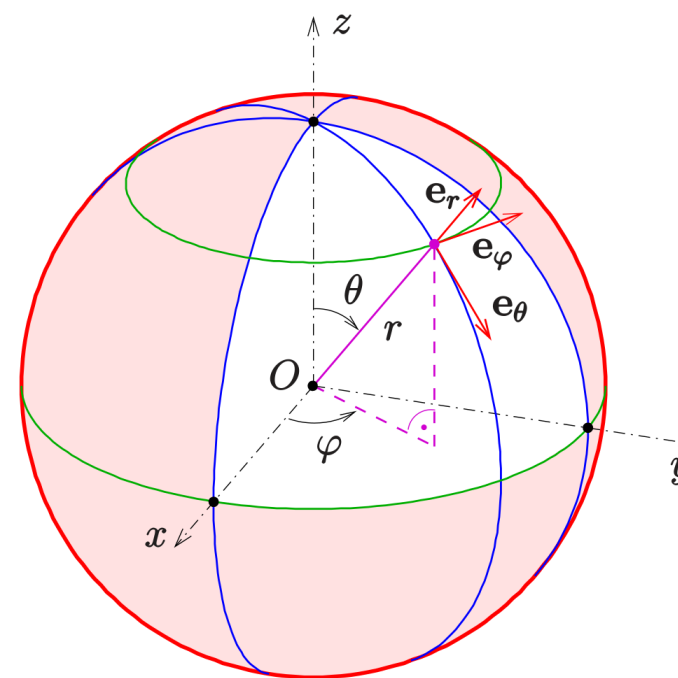
- Create a hemisphere centered on a point  $p$
- Shoot rays to random points on the hemisphere
- Points on the hemisphere calculated using spherical coordinates

Point  $P = (x, y, z)$  on a sphere with center point  $c$  and radius  $r$  can be defined as

$$x = c + r \cos \varphi \sin \theta$$

$$y = c + r \sin \varphi \sin \theta$$

$$z = c + r \cos \theta$$

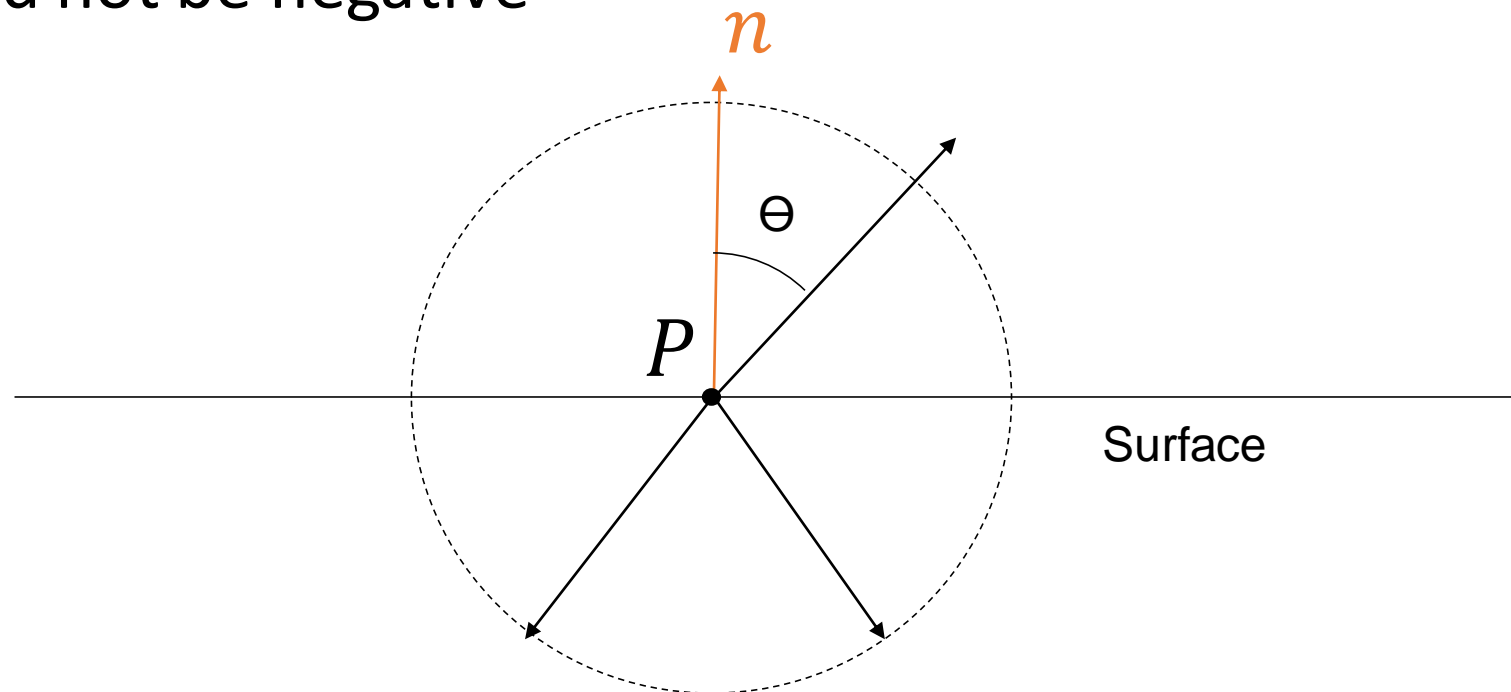


Spherical coordinates in 3D

# Hemispheric Sampling



- Problem: Using spherical coordinates we get points on a sphere, not hemisphere. Sampled rays may point under the surface
- Solution: Ray must point to the same direction as surface normal, angle between ray and surface normal cannot be more than  $90^\circ \rightarrow$  cross product of ray and surface normal should not be negative

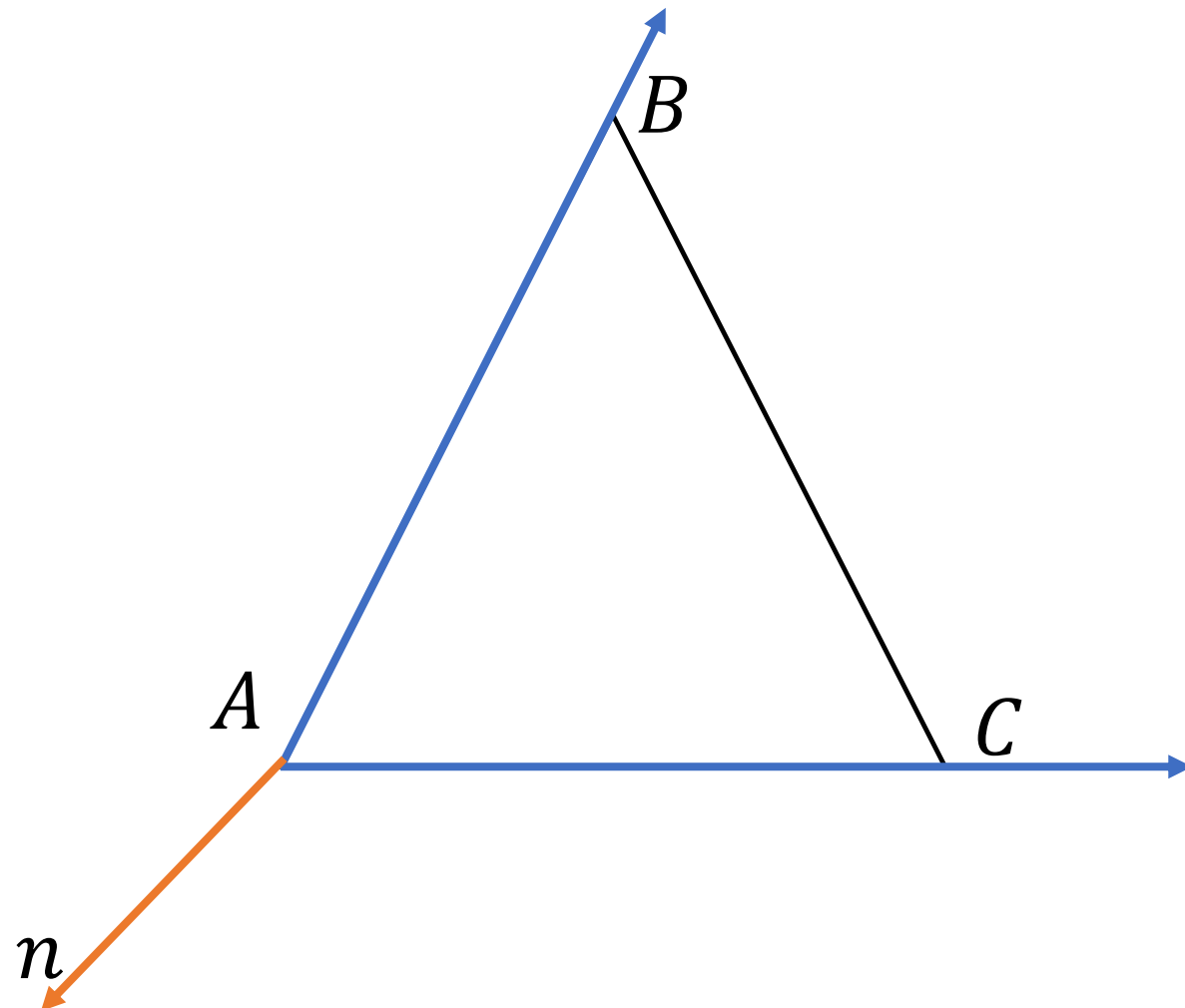


# Finding Surface Normal



In 3D: find surface normal using cross product of two sides of a triangle

$$n = \frac{(B-A) \times (C-A)}{[(B-A) \times (C-A)]}$$





# Ray-Object Intersection in 3D

- Ray-object intersection is solved using ray-triangle intersection
- Ray-triangle intersection calculated using barycentric coordinates

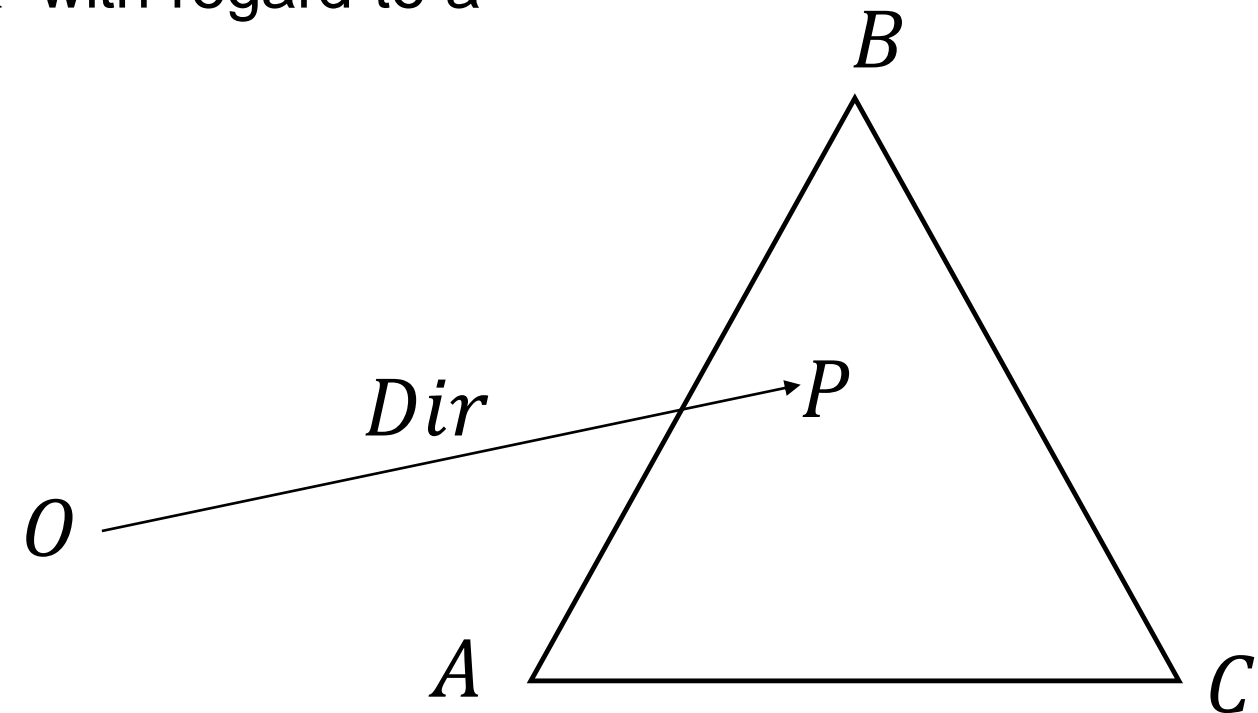
$\lambda_1, \lambda_2, \lambda_3$  barycentric coordinates for a point  $P$  with regard to a triangle  $ABC$

$$P = \lambda_1 A + \lambda_2 B + \lambda_3 C$$

with  $\lambda_1 + \lambda_2 + \lambda_3 = 1$

Barycentric coordinates is calculated using definition of a point on a ray with origin  $O$  and direction  $Dir$ :

$$P = O + tDir$$



# Ambient Occlusion in 4D



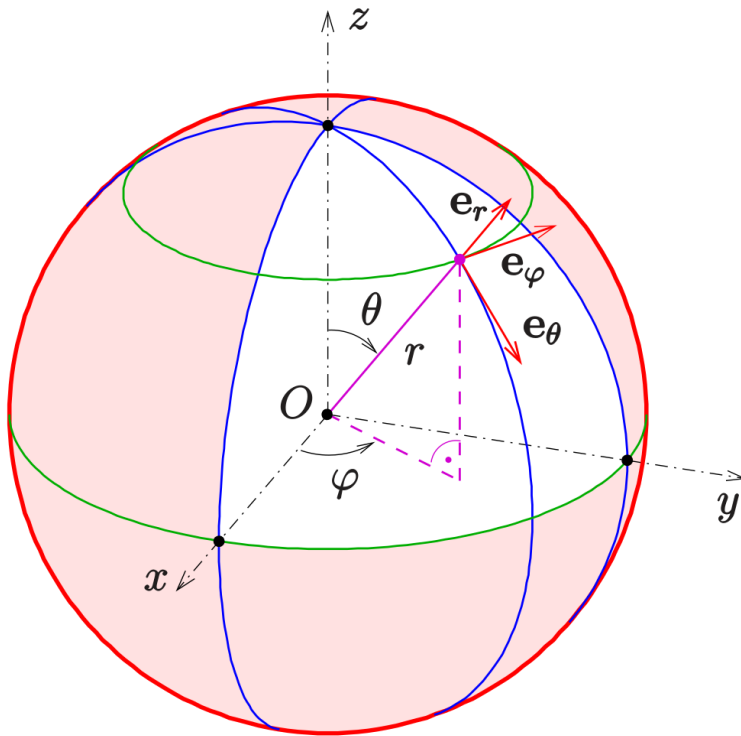
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## Subproblems:

- Hemispheric Sampling using hypersphere
- Ray-Object Intersection in 4D

# Hemispheric Sampling in 4D

## Generalized spherical coordinates



Spherical coordinates in 3D

$$p = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_{n-1} \\ x_n \end{bmatrix} = \begin{bmatrix} r \cos(\phi_1) \\ r \sin(\phi_1) \cos(\phi_2) \\ r \sin(\phi_1) \sin(\phi_2) \cos(\phi_3) \\ \vdots \\ r \sin(\phi_1) \dots \sin(\phi_{n-2}) \cos(\phi_{n-1}) \\ r \sin(\phi_1) \dots \sin(\phi_{n-2}) \sin(\phi_{n-1}) \end{bmatrix}$$

# Hemispheric Sampling in 4D



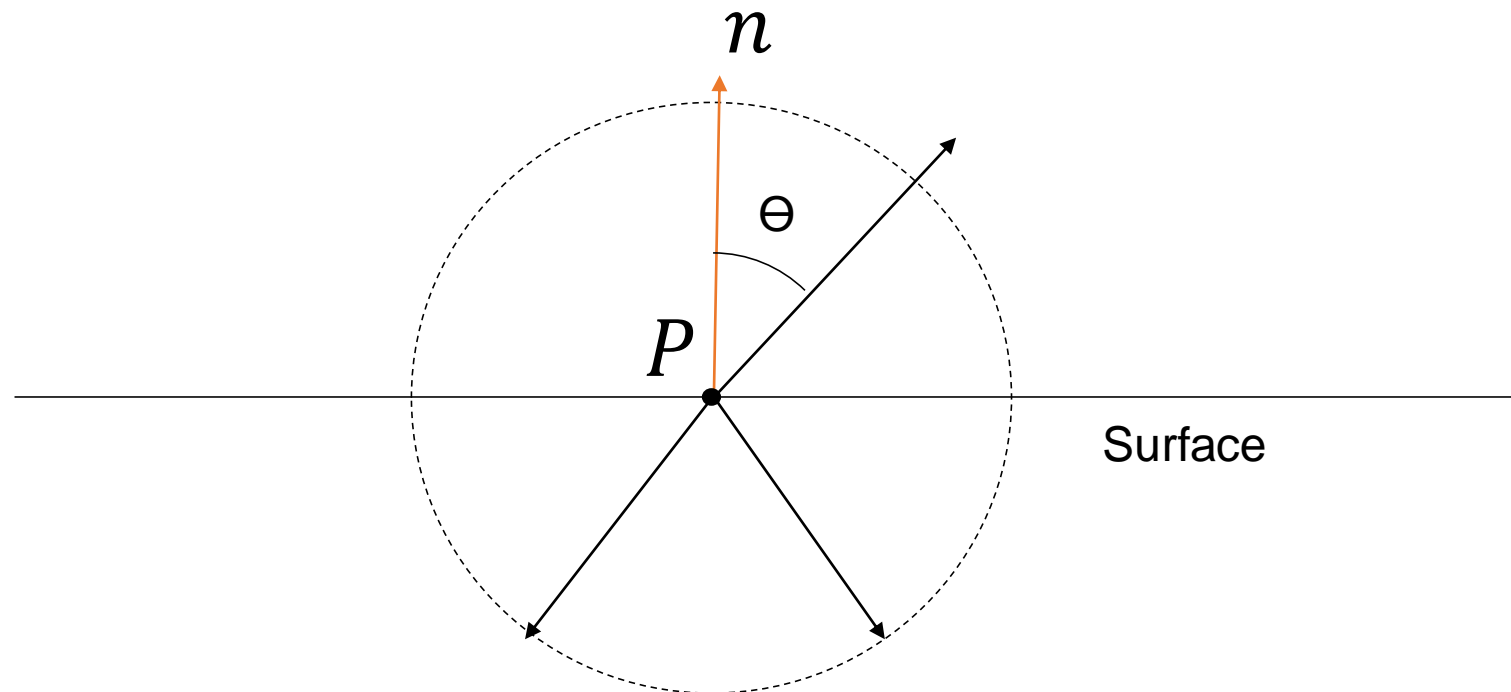
Spherical coordinates for  $n = 4$ , center point  $c$

$$p = \begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} = \begin{bmatrix} c + r \cos(\phi_1) \\ c + r \sin(\phi_1) \cos(\phi_2) \\ c + r \sin(\phi_1) \sin(\phi_2) \cos(\phi_3) \\ c + r \sin(\phi_1) \sin(\phi_2) \sin(\phi_3) \end{bmatrix}$$

# Hemispheric Sampling in 4D



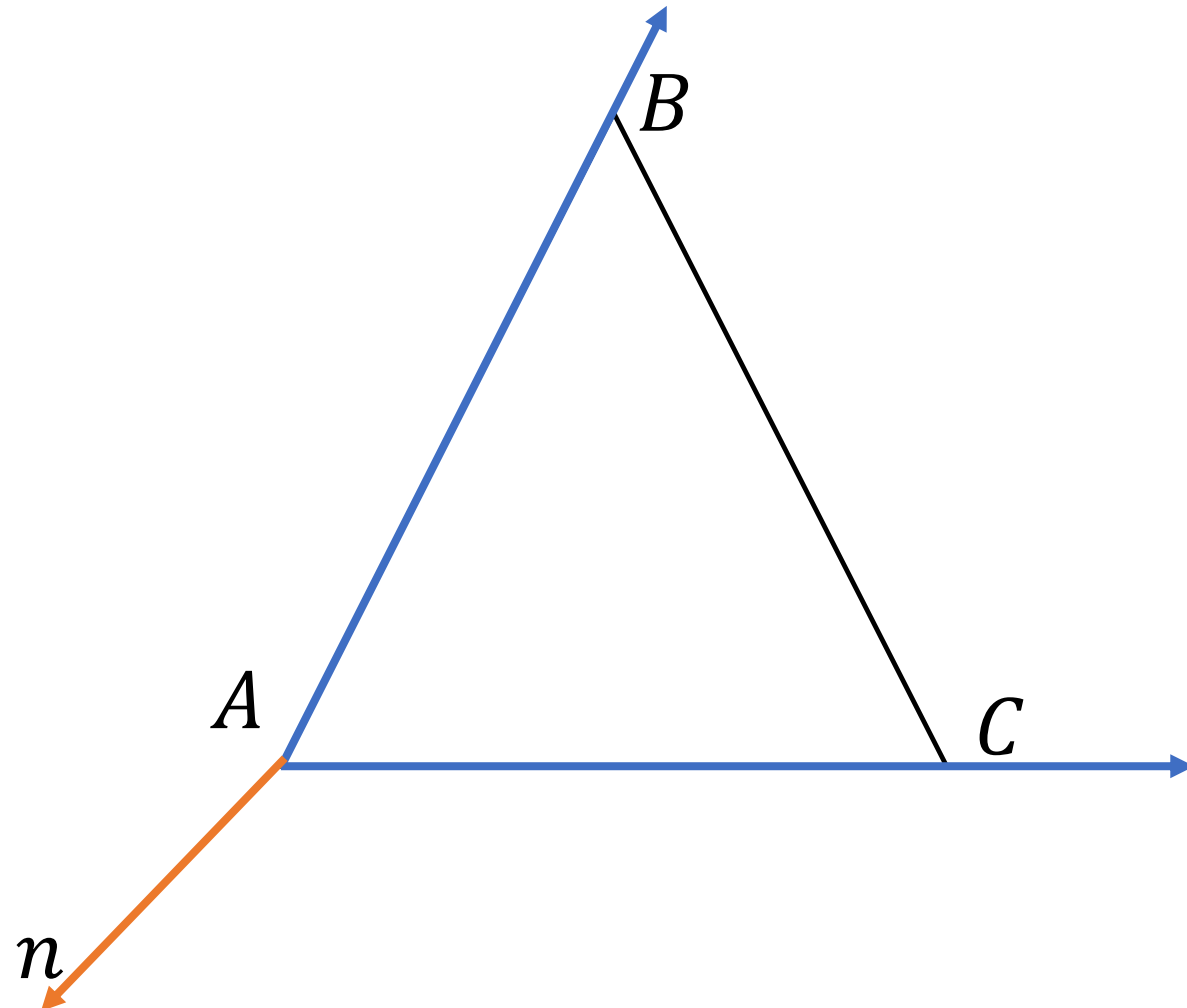
- The same problem as in 3D, ray must point to the same direction as surface normal, angle between ray and surface normal cannot be more than  $90^\circ \rightarrow$  cross product of ray and surface normal should not be negative



# Finding Surface Normal



- In 3D: find surface normal using cross product of two sides of a triangle
- In 4D: cross product not defined

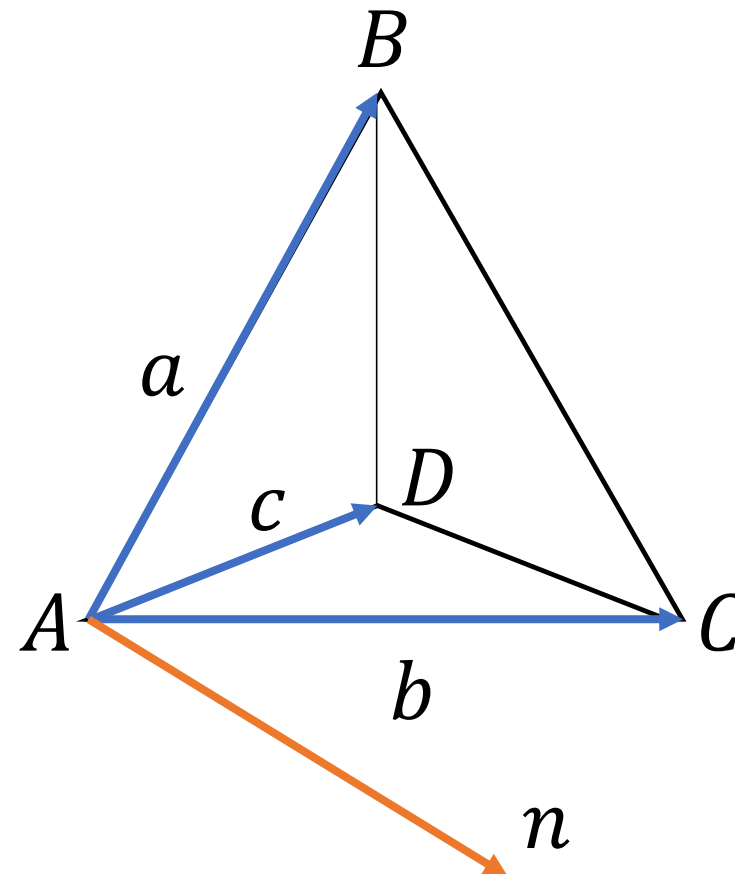






# Finding Surface Normal

- Finding surface normal: Finding an orthogonal vector
- In 4D possible to find a vector that is orthogonal to 3 other vectors
- 3 vectors selected from 3 sides of the tetrahedron



# Finding Surface Normal

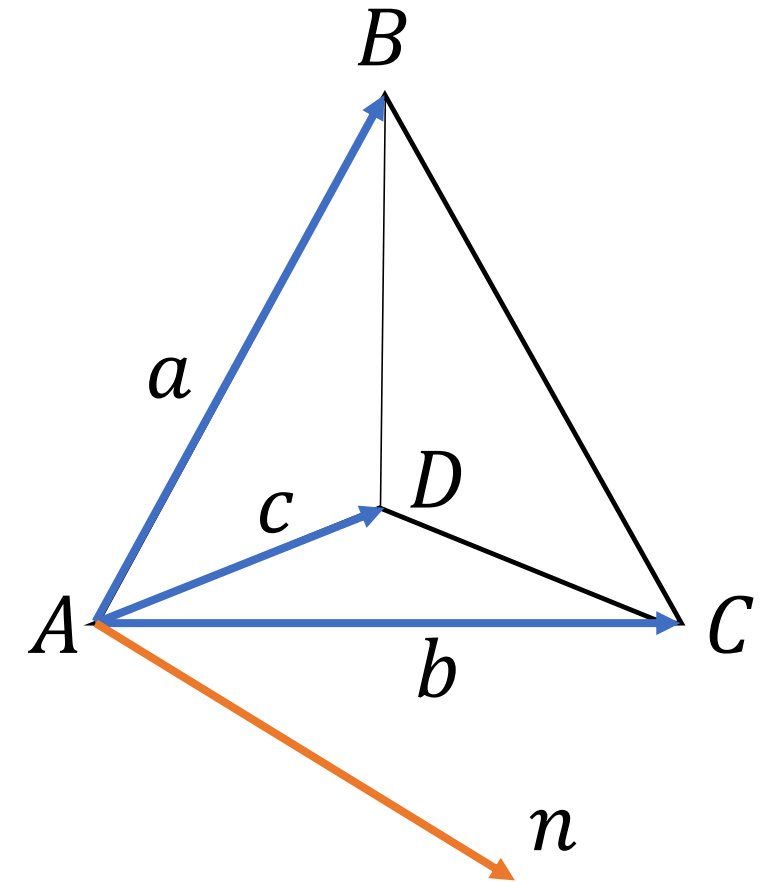


Use formal determinant to find orthogonal vector

$$a \times b \times c = \begin{vmatrix} x & y & z & w \\ a_1 & a_2 & a_3 & a_4 \\ b_1 & b_2 & b_3 & b_4 \\ c_1 & c_2 & c_3 & c_4 \end{vmatrix}, n = \begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix}$$

$$x = \det \begin{bmatrix} a_2 & a_3 & a_4 \\ b_2 & b_3 & b_4 \\ c_2 & c_3 & c_4 \end{bmatrix} \quad y = \det \begin{bmatrix} a_1 & a_3 & a_4 \\ b_1 & b_3 & b_4 \\ c_1 & c_3 & c_4 \end{bmatrix}$$

$$z = \det \begin{bmatrix} a_1 & a_2 & a_4 \\ b_1 & b_2 & b_4 \\ c_1 & c_2 & c_4 \end{bmatrix} \quad w = \det \begin{bmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{bmatrix}$$



# Review: Ray-Object Intersection in 3D

- Ray-object intersection is solved using ray-triangle intersection
- Ray-triangle intersection calculated using barycentric coordinates

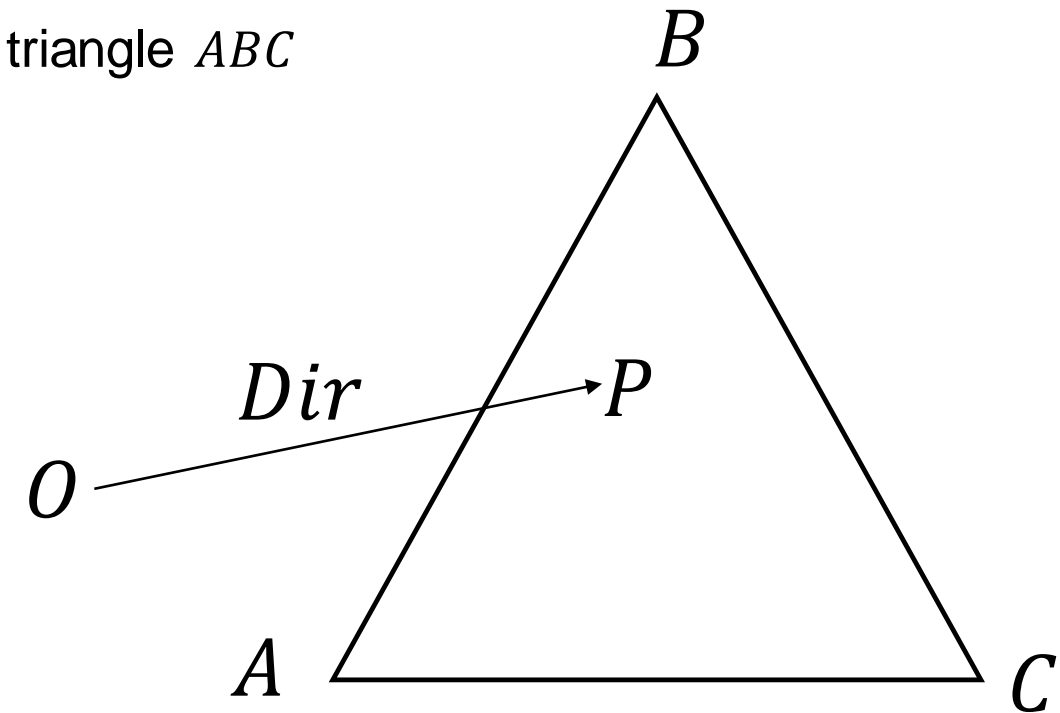
$\lambda_1, \lambda_2, \lambda_3$  barycentric coordinates for a point  $P$  with regard to a triangle  $ABC$

$$P = \lambda_1 A + \lambda_2 B + \lambda_3 C$$

with  $\lambda_1 + \lambda_2 + \lambda_3 = 1$

Barycentric coordinates are solved using definition of a point on a ray with origin  $O$  and direction  $Dir$ :

$$P = O + tDir$$



# Ray-Object Intersection in 4D

- Same principles like in 3D but with a 3-simplex (tetrahedron)
- Use barycentric coordinate with tetrahedron to find ray intersection point

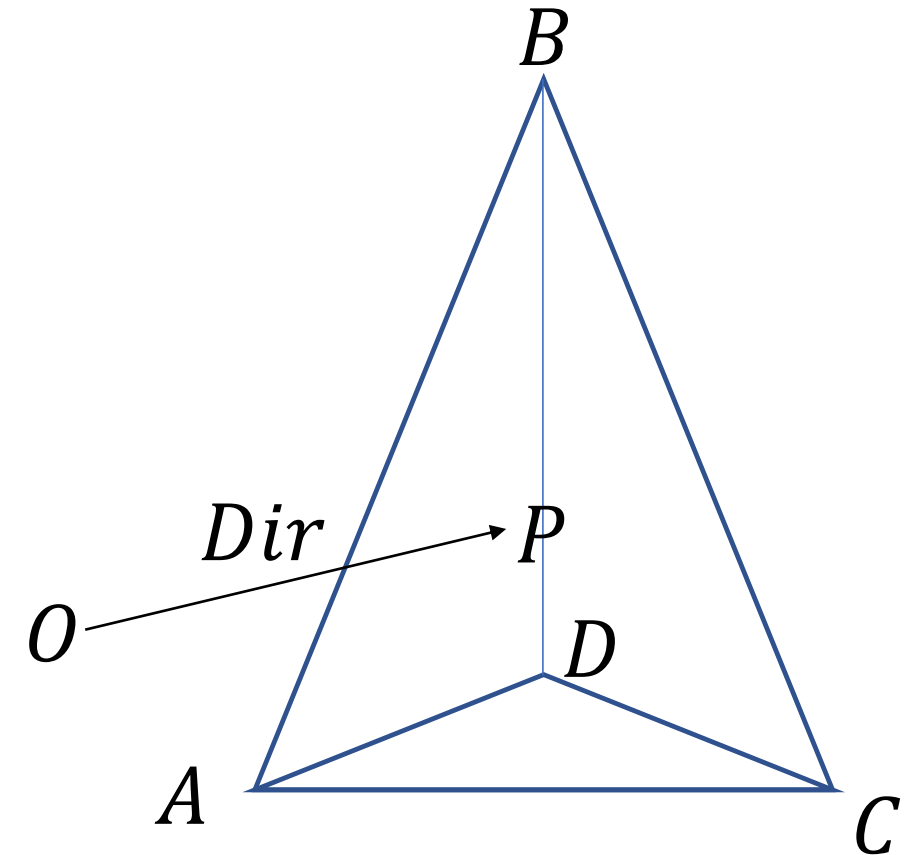
Barycentric coordinate with 3-simplex (tetrahedron)

$$P = \lambda_1 A + \lambda_2 B + \lambda_3 C + \lambda_4 D$$

with  $\lambda_1 + \lambda_2 + \lambda_3 + \lambda_4 = 1$

Barycentric coordinates in 4D can also be solved using definition of a point on a ray with origin  $O$  and direction  $Dir$

$$P = O + tDir$$



# Ray-Object Intersection in 4D



Using the barycentric coordinate with 3-simplex (tetrahedron) and definition of point on a ray, we get the following linear system

$$\begin{aligned}O + tDir &= \lambda_1 A + \lambda_2 B + \lambda_3 C + \lambda_4 D \\&= A(1 - \lambda_2 - \lambda_3 - \lambda_4) + \lambda_2 B + \lambda_3 C + \lambda_4 D \\&= A + \lambda_2(B - A) + \lambda_3(C - A) + \lambda_4(D - A) \\O - A &= tDir + \lambda_2(B - A) + \lambda_3(C - A) + \lambda_4(D - A)\end{aligned}$$



# Ray-Object Intersection in 4D

Linear system solved using Cramer's rule (using determinants)

## Cramer's Rule

for  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$ ,  
 $c = (c_1, c_2)$   $x$  and  $y$  can be calculated as  
follows:

$$ax + by = c$$

$$x = \det(c \ b) / \det(a \ b)$$

$$y = \det(a \ c) / \det(a \ b)$$



# Ray-Object Intersection in 4D



Solution of the linear system:

$$t = \frac{\det((O - A) \quad (B - A) \quad (C - A))}{\det(Dir \quad (B - A) \quad (C - A) \quad (D - A))}$$
$$\lambda_2 = \frac{\det(Dir \quad (O - A) \quad (C - A))}{\det(Dir \quad (B - A) \quad (C - A) \quad (D - A))}$$
$$\lambda_3 = \frac{\det(Dir \quad (B - A) \quad (O - A))}{\det(Dir \quad (B - A) \quad (C - A) \quad (D - A))}$$
$$\lambda_4 = \frac{\det(Dir \quad (B - A) \quad (C - A) \quad (O - A))}{\det(Dir \quad (B - A) \quad (C - A) \quad (D - A))}$$

# Implementation

C++, OpenCL for parallel computing

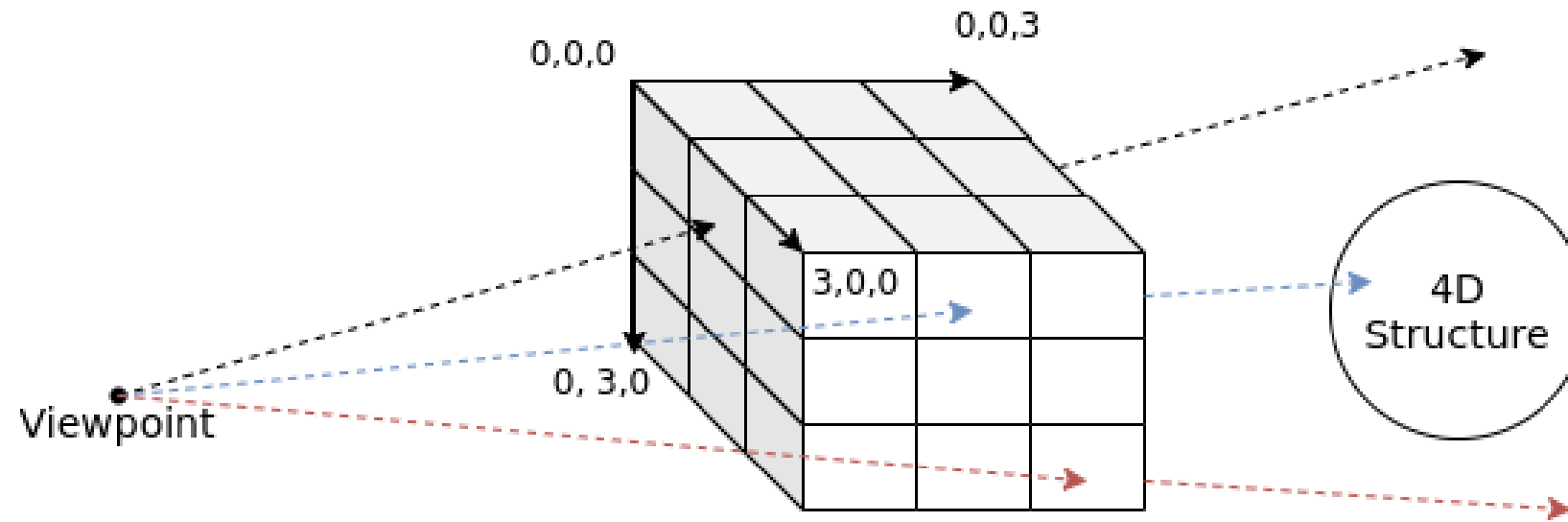


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# Experimental Result



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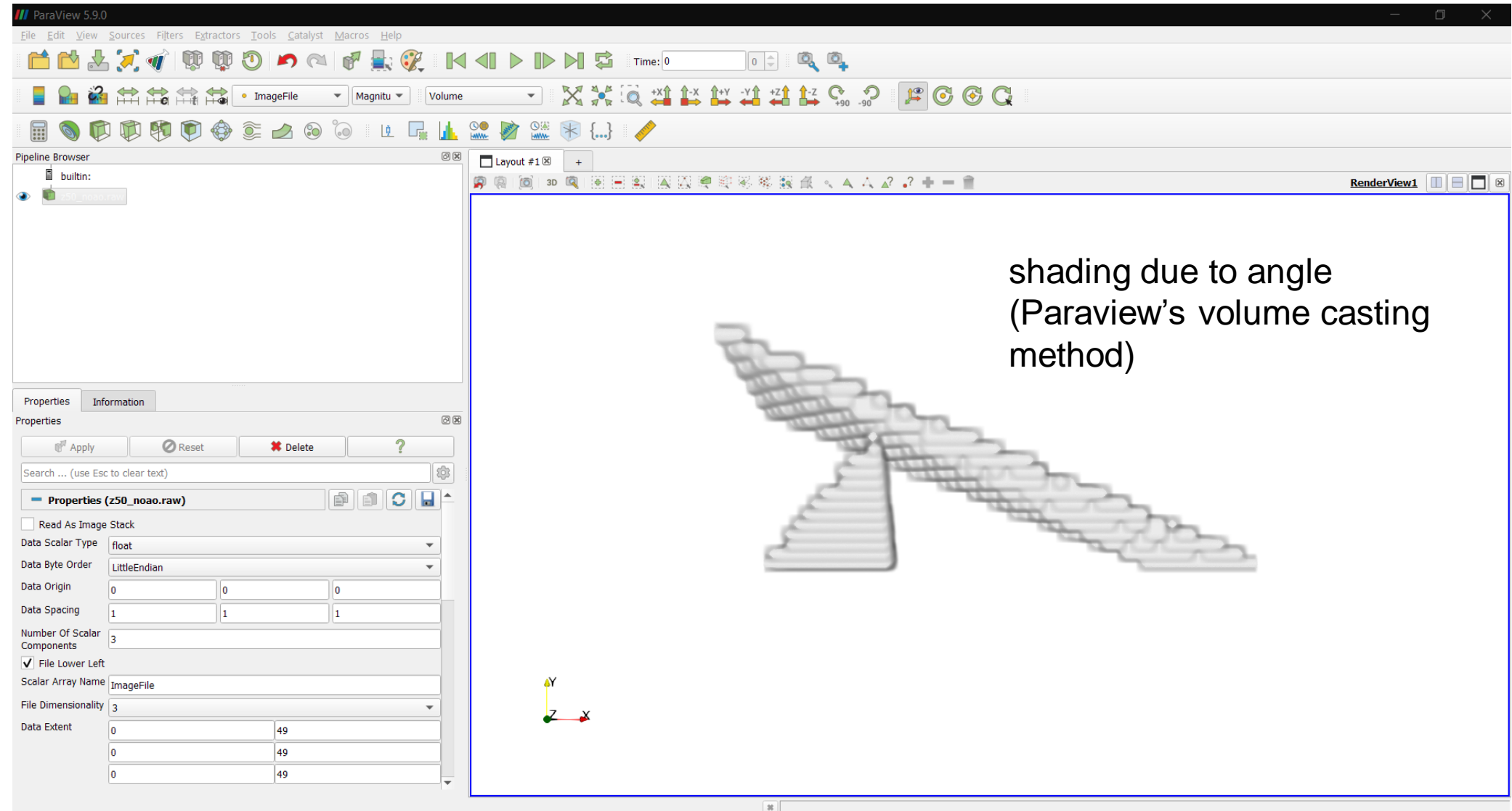
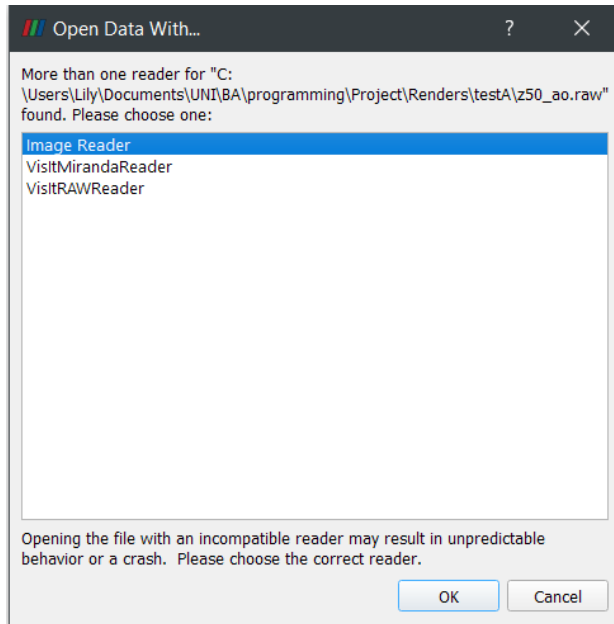
4D scenes projected to 3D image space (50 x 50 x 50)

# Experimental Result

## Results rendered in ParaView using RAW Image Reader



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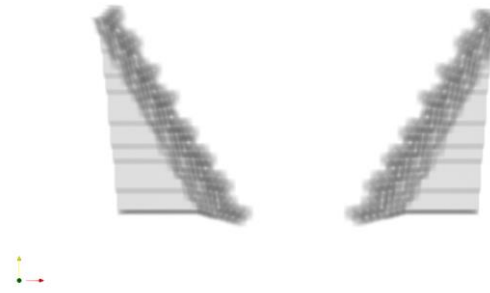
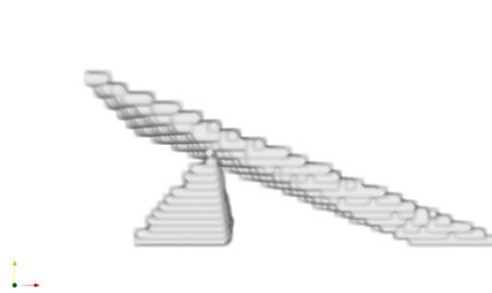


# Experimental Result

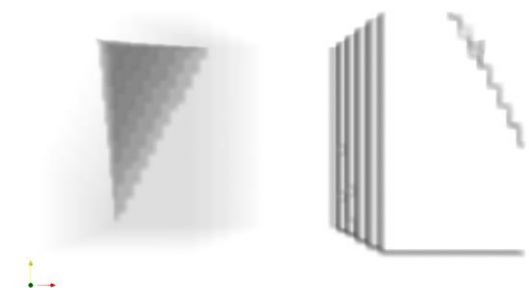


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without  
ambient  
occlusion



with  
ambient  
occlusion



2 tetrahedra

2 structure out of 2 tetrahedra

Cube-like structures with an indent

# Unexpected Result in Our Implementation



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- Entirely occluded tetrahedra, values either 0 or 1.
- Left object always affected differently than the right

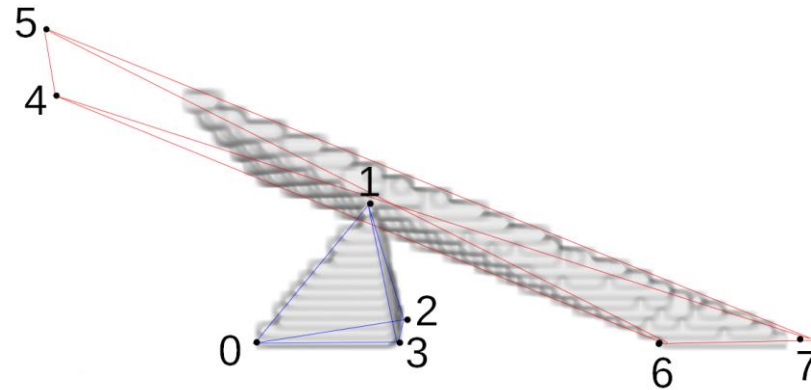


# Theoretical Result

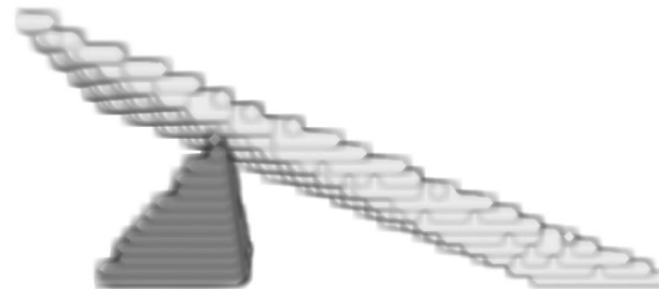


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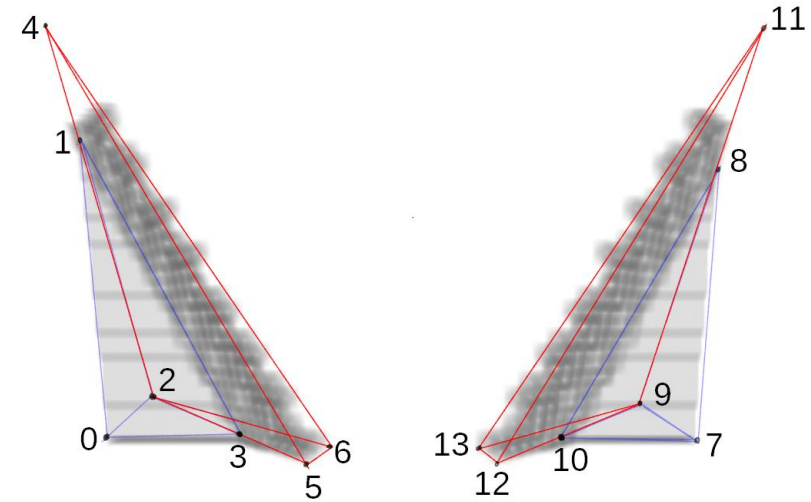
without  
ambient  
occlusion,  
marked  
vertices



with  
theoretical  
ambient  
occlusion



2 tetrahedra

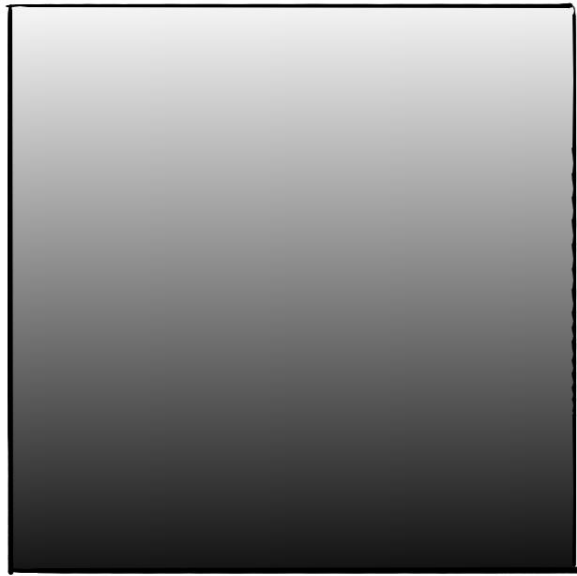


2 structure out of 2 tetrahedra

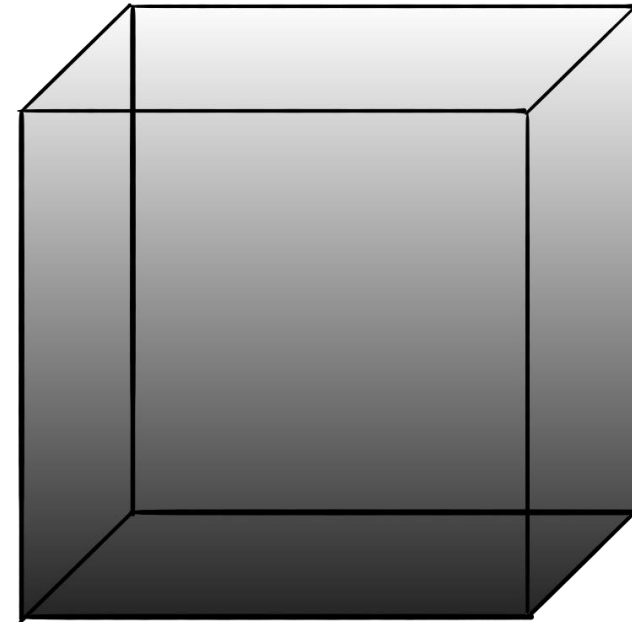
# Theoretical Result



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In 3D: surface of an object is a plane,  
occlusion is calculated plane-wise



In 4D: surface of an object is a volume,  
occlusion is calculated volume-wise

# Insufficient Test Data



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- Test data uses structures build out from tetrahedra
- Test data does not represent surfaces of 4D structures
- Cannot observe true characteristics of ambient occlusion in 4D

# Finding Better Test Data



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- Test data should represent surfaces of 4D structures
- Surface of a 4D structure is a volume
- Volume represented as tetrahedral mesh
- Finding surface of a 4D structure is difficult

# Finding Surfaces of 4D Structures



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- Use isosurface to find surface of a 4D structure
- Isosurface represents points of constant values in a volume
- Possible solution: marching cubes in 4D

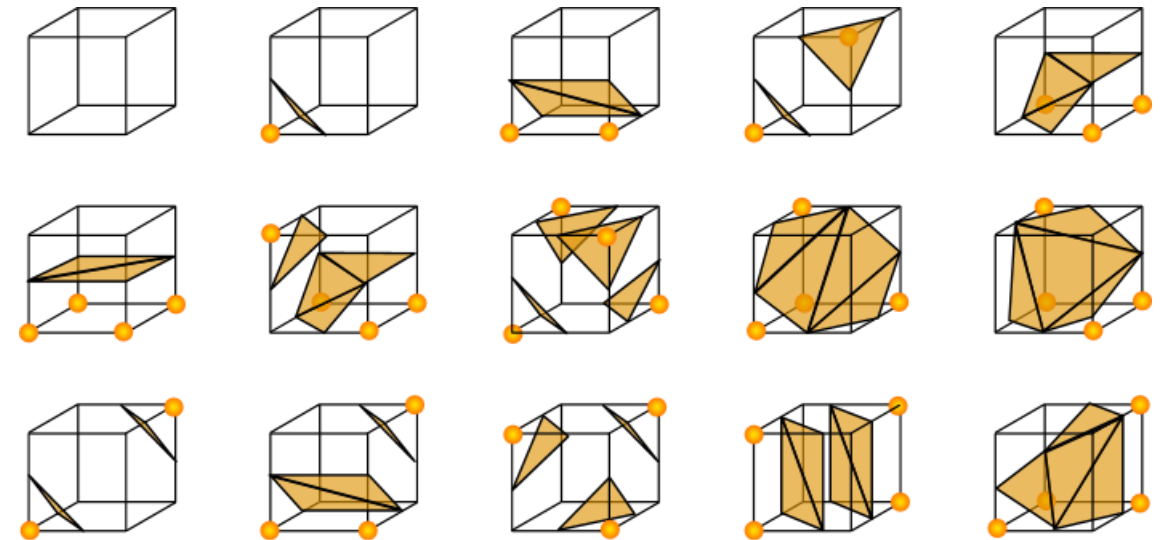
# Marching Cubes

In 3D:

- Extract polygonal mesh of an isosurface of a discrete 3D structure
- Uses pre-defined polygonal configurations in a cube saved as a look-up table

In higher dimensions:

- Introduced by Bhaniramka et. al., 2000
- Generates d-simplex mesh for dimensions  $d \geq 3$
- Look-up table generation, size of look-up table  $2^{2^d}$



15 configurations from the original marching cubes algorithm in 3D

# Conclusion



- It is possible to extend ambient occlusion to 4D directly
- Our implementation has unexpected results
- Tetrahedral mesh of surfaces of 4D structures required to fully observe characteristics of ambient occlusion
- Tetrahedral mesh can be generated using marching cubes
- Future work:
  - Illumination in 4D including specular value

# Sources



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