

# Search for supersymmetry in diphoton final states with the CMS detector

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1           1. THE STANDARD MODEL OF PARTICLE PHYSICS

2           1.1 *The Standard Model*

3     The Standard Model (SM) of particle physics is a Lorentz-invariant quan-  
4     tum field theory (QFT) that describes the dynamics of elementary particles.  
5     Three critical developments leading to the formation of the SM, as described  
6     by Steven Weinberg[24], were the quark model proposed by Gell-Mann[18]  
7     and Zweig[27] in 1964, the idea of gauge symmetry by Yang and Mills[26] in  
8     1954, and the notion of spontaneous symmetry breaking proposed by Gold-  
9     stone[19] in 1961. This ultimately led to the SM in its current form as a  
10    non-Abelian gauge theory with the symmetry group

$$G_{SM} = SU(3)_C \otimes SU(2)_L \otimes U(1)_Y \quad (1.1)$$

11    where  $SU(3)_C$  is responsible for strong interactions,  $SU(2)_L$  is responsible  
12    for weak interactions, and  $U(1)_Y$  is responsible for electromagnetic interac-  
13    tions.

14    Associated with each of these symmetry groups is a set of massless spin-  
15    1 vector fields called gauge bosons. These are listed in Table 1.1 along  
16    with the associated charge. There are eight such gauge bosons in  $SU(3)_C$   
17    called gluons  $G_\mu^{1,\dots,8}$ . There are three gauge bosons  $W_\mu^{1,2,3}$  in  $SU(2)_L$  and  
18    one gauge boson  $B_\mu$  in  $U(1)_Y$ . The gauge bosons mediate the interactions  
19    between spin-1/2 fields  $\psi$  or fermion fields.

20    There are twelve fermion fields which can be split into six lepton fields  
21    and six quark fields. Both quarks and leptons are comprised of three genera-  
22    tions. For quarks there are three "up-type" quarks (up  $u$ , charm  $c$ , and top  
23     $t$ ) and three "down-type" quarks (down  $d$ , strange  $s$ , and bottom  $b$ ). The  
24    lepton fields are electron  $e$ , muon  $\mu$ , tau  $\tau$ , and three neutrino fields  $\nu_e$ ,  $\nu_\mu$ ,  
25    and  $\nu_\tau$ . The fermion fields and their representations under  $G_{SM}$  are listed  
26    in Table 1.2.

27    The SM also contains a complex scalar doublet field  $\phi$  called the Higgs  
28    field in honor of Peter Higgs, who was among one of the physicists who  
29    proposed its existence in 1964 [20].

Tab. 1.1: Boson fields in the SM

Symbol	Associated Charge	Symmetry group
$B_\mu$	weak hypercharge $Y$	$U(1)_Y$
$G_\mu^{1,\dots,8}$	color $C = (r, g, b)$	$SU(3)_C$
$W_\mu^{1,2,3}$	weak isospin $T_3$	$SU(2)_L$

The strong interaction is described by the theory of quantum chromodynamics (QCD). The Lagrangian for the QCD interaction can be written as

$$\mathcal{L}_{QCD} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{2}TrG_{\mu\nu}G^{\mu\nu} \quad (1.2)$$

where

$$G_{\mu\nu} = \partial_\mu G_\nu - \partial_\nu G_\mu - ig[G_\mu, G_\nu] \quad (1.3)$$

$$D_\mu = \partial_\mu - ig_s G_\mu \quad (1.4)$$

$g_s$  is the strong coupling constant, and  $m$  is the fermion mass, which must be a quark since they are the only fermions with color charge.

## 1.2 Electroweak Symmetry Breaking

A crucial feature of the SM is electroweak symmetry breaking. The electroweak interaction is the unified description of electromagnetic and weak interactions under the  $SU(2)_L \otimes U(1)_Y$  symmetry.

The electromagnetic interaction is described by quantum electrodynamics (QED), which is an Abelian gauge theory under the  $U(1)_{EM}$  symmetry group. The gauge boson in QED is the photon and couples to electric charge  $Q$ . The QED Lagrangian is given by

$$\mathcal{L}_{QED} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad (1.5)$$

where

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \quad (1.6)$$

$$D_\mu = \partial_\mu + ieQA_\mu \quad (1.7)$$

and  $A_\mu$  is the electromagnetic or photon field.

We can write the Lagrangian for the weak interaction as

$$\mathcal{L}_{QED} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - Tr\frac{1}{8}W_{\mu\nu}W^{\mu\nu} \quad (1.8)$$

*Tab. 1.2:* Fermions in the SM. The first two numbers listed in the third column give the supermultiplet representation under  $SU(3)_C$  and  $SU(2)_L$  respectively. A **1** means that it is not charged under that group and therefore will not couple to the associated force. A **3** as the first number means that it has color charge and couples to the strong force. A **2** for the second number means that it has weak isospin and couples to the weak force. The third number gives the value of the weak isospin. Adjoint representation is specified by the presence of a bar over the number.

Name	Notation	Representation under $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$
Left-handed quark doublet	$\begin{pmatrix} u_L \\ d_L \end{pmatrix}, \begin{pmatrix} c_L \\ s_L \end{pmatrix}, \begin{pmatrix} t_L \\ b_L \end{pmatrix}$	(3, 2, $\frac{1}{6}$ )
Right-handed up-type quark singlet	$u_R^\dagger, c_R^\dagger, b_R^\dagger$	( $\bar{3}$ , 1, $-\frac{2}{3}$ )
Right-handed down-type quark singlet	$d_R^\dagger, s_R^\dagger, t_R^\dagger$	( $\bar{3}$ , 1, $\frac{1}{3}$ )
Left-handed lepton doublet	$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix}, \begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix}, \begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix}$	(1, 2, $-\frac{1}{2}$ )
Right-handed charged lepton singlet	$e_R^\dagger, \mu_R^\dagger, \tau_R^\dagger$	( $\bar{1}$ , 1, 1)

<sup>47</sup> Recall that there are three vector bosons,  $W_\mu^{1,2,3}$ , associated with  $SU(2)_L$   
<sup>48</sup> and one,  $B_\mu$ , associated with  $U(1)_Y$ .

<sup>49</sup> With these combined fields, the covariant derivative  $D_\mu$  becomes

$$D_\mu = \partial_\mu + ig_w T_i W_k^i + ig_Y \frac{Y}{2} B_\mu \quad (1.9)$$

<sup>50</sup> **1.3 Problems with the SM**

## 2. SUPERSYMMETRY

52

### 3. THE LARGE HADRON COLLIDER

53

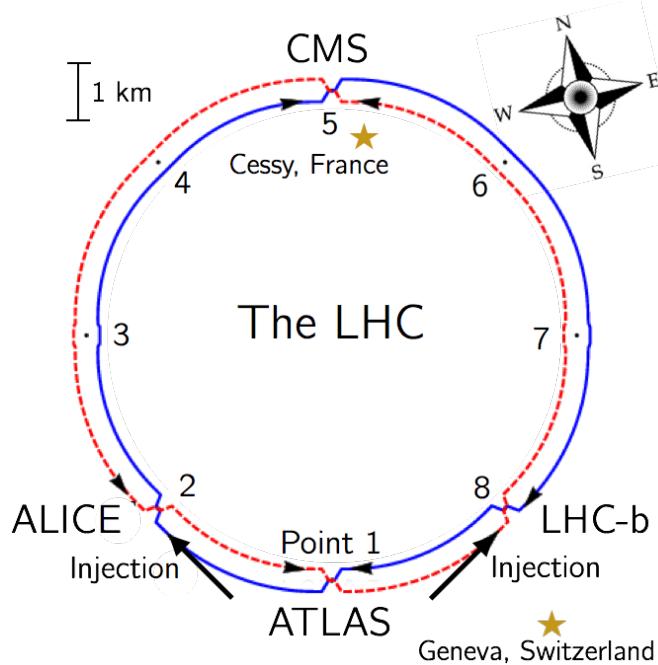
#### 3.1 Introduction

54 The Large Hadron Collider (LHC) is a 26.7 kilometer-long, two-ring particle  
55 accelerator and collider located on the border of France and Switzerland at  
56 the European Organization for Nuclear Research (CERN). During normal  
57 operations the LHC maintains two counter-rotating beams of proton bunches  
58 that collide at four interaction points (IP) with up to  $\sqrt{s} = 14$  TeV center  
59 of mass energy and a luminosity of  $10^{34}\text{cm}^{-2}\text{s}^{-1}$ . The ALICE (Point 2),  
60 ATLAS (Point 1), CMS (Point 5), and LHC-b experiments each have a  
61 detector at one of these interaction points as scene in Figure 3.1 . The CMS  
62 and ATLAS are general-purpose detectors while LHC-b specializes in beauty  
63 quark studies. ALICE is a heavy-ion experiment which uses  $^{208}\text{Pb} - p$  or  
64  $^{208}\text{Pb} - ^{208}\text{Pb}$  collisions that can also be produced by the LHC.

65

#### 3.2 Injection Complex

66 In order to bring the protons from rest up to their target collision energy  
67 a series of accelerators, as shown in Figure 3.2, are used. The acceleration  
68 sequence begins with the injection of hydrogen gas into a duoplasmatron.  
69 Here a bombardment of electrons ionize the hydrogen atoms while an electric  
70 field pushes them through the duoplasmatron cavity. The result is 100 keV  
71 protons being passed on to a quadrupole magnet which guides them into  
72 the aperture of a linear accelerator (LINAC2). The radio frequency (RF)  
73 cavities in LINAC2 accelerate the protons up to 50 MeV. At this point the  
74 protons are sent into one of four rings in the Proton Synchrotron Booster  
75 (PSB). The PSB repeatedly accelerates the protons around a circular path  
76 until they reach an energy of 1.4 GeV. The bunches of protons from each PSB  
77 ring are then sequentially injected into the single-ringed Proton Synchrotron  
78 (PS). Each bunch injected into the PS are captured by one of the "buckets"  
79 (Figure 3.3) provided by the PS RF system which also manipulates the  
80 bunches into the desired profile and proton density. These proton bunches  
81 are accelerated to 25 GeV and injected into the Super Proton Synchrotron



*Fig. 3.1: Interaction points of the LHC*

82 (SPS) where they are accelerated to 450 GeV. Finally the proton bunches  
 83 are injected into the LHC ring where they are accelerated to 6.5 TeV and  
 84 collided in 25 ns intervals to yield a center of mass energy of  $\sqrt{s} = 13$  TeV.

### 85           3.3 Tunnel and Magnets

86 The LHC was designed to produce collisions with up to  $\sqrt{s} = 14$  TeV. That  
 87 requires confining and guiding 7 TeV protons around the circumference of  
 88 the LHC ring. The ring is housed in a 4 meter-wide underground tunnel  
 89 that ranges in depth between 45 and 170 meters below the surface. This  
 90 tunnel was repurposed from the Large Electron-Positron (LEP) Collider  
 91 which previously occupied the space. For this reason the tunnel is not  
 92 completely circular but is instead made up of alternating curved and straight  
 93 sections of 2500 m and 530 m in length respectively. The straight sections,  
 94 labeled 1-8 in Figure 3.1, are used as either experimental facilities or sites for  
 95 hardware necessary for LHC operations such as RF cavities for momentum  
 96 cleaning, quadrupole magnets for beam focusing, and sextupole magnets for  
 97 acceleration and betatron cleaning.

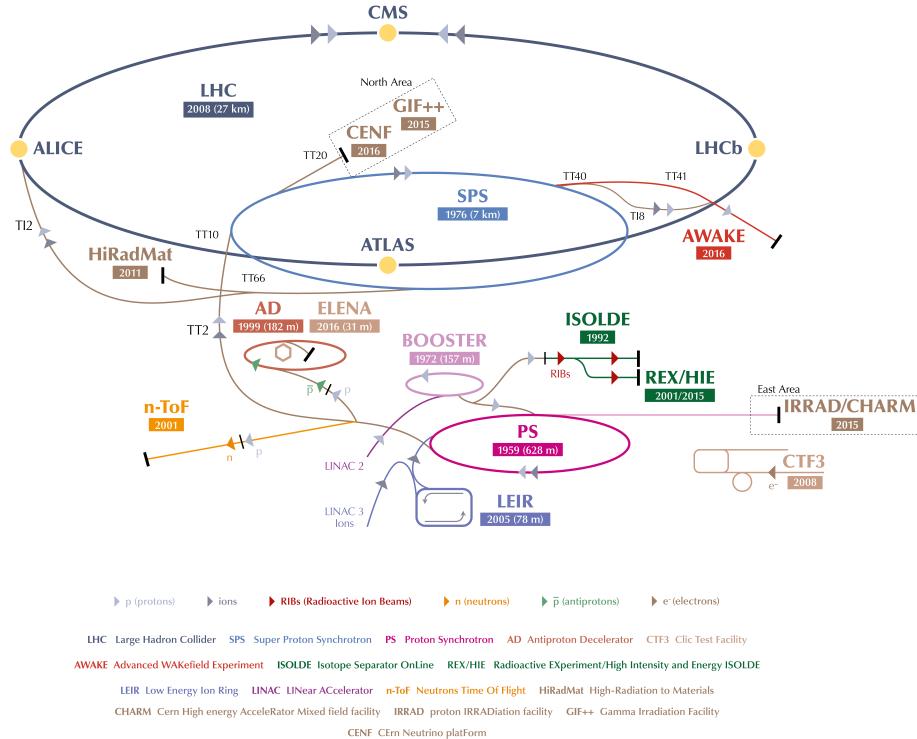


Fig. 3.2: Layout of LHC accelerator complex [16].

98     Steering a 7 TeV proton beam around the curved sections requires a mag-  
99     netic field of 8.33 Tesla which is provided by 1223 superconducting dipole  
100    magnets cooled to 1.9 K. A cross section of the LHC dipole is shown in  
101    Figure 3.4. Supercooled liquid helium flows through the heat exchanger  
102    pipe to cool the iron yolk to a temperature of 1.9 K. Ultra high vacuum  
103    is maintained in the outer volume to provide a layer of thermal insulation  
104    between the inner volume and the outer steel casing. Inside the iron yolk is a  
105    twin bore assembly of niobium-titanium superconducting coils. Two parallel  
106    beam pipes are located within the focus of the superconducting coils. This  
107    is the ultra high vacuum region where the subatomic particles are confined  
108    as they travel around the LHC ring.

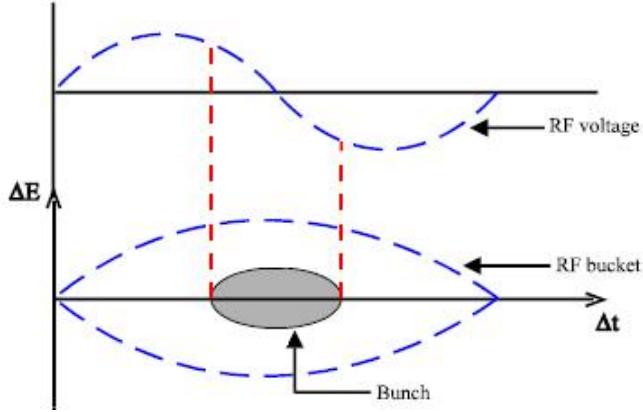


Fig. 3.3: Proton bunch capture onto RF bucket [6].

#### 109            3.4 Luminosity

110        The number of events generated per second for specific process having cross-  
111        section  $\sigma_{event}$  is given by:

$$\frac{dN_{event}}{dt} = L\sigma_{event} \quad (3.1)$$

112        where  $L$  in the machine luminosity. The machine luminosity for a Gaussian  
113        beam distribution can be written in terms of the beam parameters as:

$$L = \frac{N_b^2 n_b f_{rev} \gamma_r}{4\pi \epsilon_n \beta_*} F \quad (3.2)$$

114        where  $N_b$  is particle density in each bunch,  $n_b$  is the number of bunches  
115        in each beam,  $f_{rev}$  is the frequency of revolution, and  $\gamma_r$  is the relativistic  
116        gamma factor. The variables  $\epsilon_n$  and  $\beta_*$  are the normalized transverse beam  
117        emittance and the beta function at the IP respectively, while  $F$  is the geo-  
118        metric reduction factor depending due to the beams' crossing angle at the  
119        IP. [16]

120        The total number of events produced over a given amount of time would  
121        then be

$$N_{event} = \sigma_{event} \int L dt = \sigma_{event} L_{integrated}. \quad (3.3)$$

122        The integrated luminosity delivered each year to the CMS experiment is  
123        shown in 3.5. The analysis presented here uses data collected from the 2016,  
124        2017, and 2018 campaigns which gives a combined integrated luminosity of  
125         $158.7 \text{ fb}^{-1}$ .

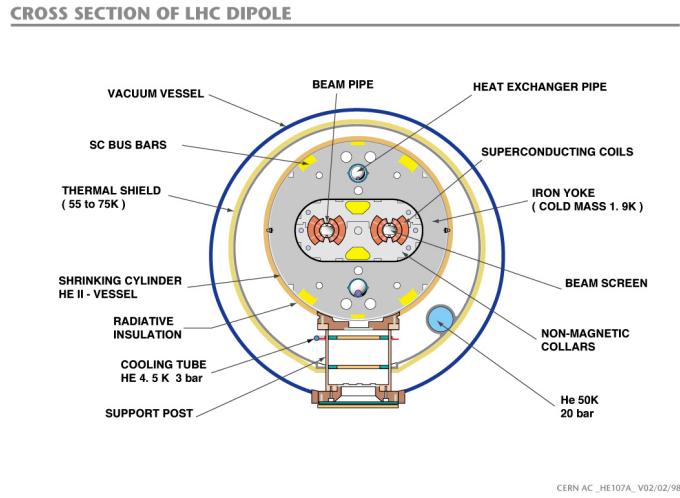


Fig. 3.4: Cross section of LHC dipole [9]

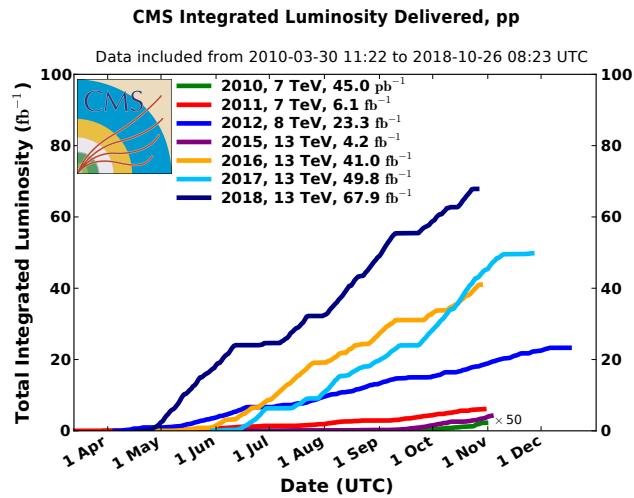
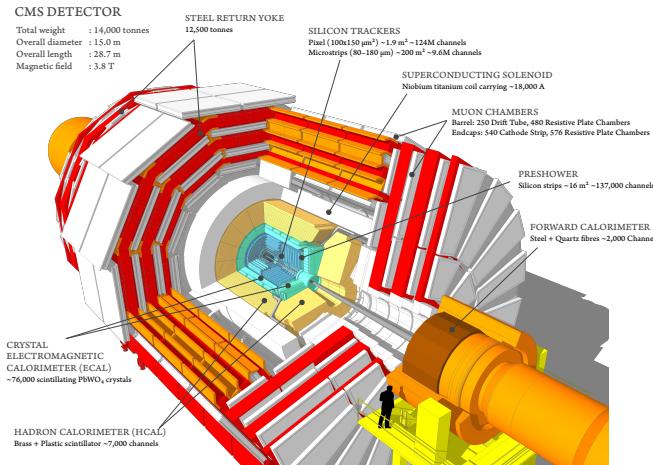


Fig. 3.5: Integrated luminosity delivered by the LHC to the CMS experiment each year from 2010-2018.

## 4. COMPACT MUON SOLENOID

### 4.1 Introduction

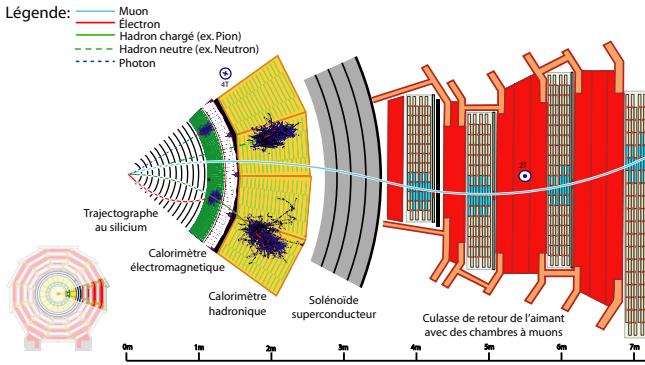
128 About 100 meters below the town of Cessy, France at Point 5 is the Compact  
 129 Muon Solenoid (CMS). The CMS is a general purpose detector weighing  
 130 14,000 tonnes with a length of 28.7 meters and a 15.0-meter diameter that  
 131 was designed to accurately measure the energy and momentum of particles  
 132 produced in the proton-proton or heavy-ion collisions at the LHC [13]. A  
 133 perspective view of the detector is shown in Figure 4.1. In order to get  
 134 a full picture of what is being produced by the collisions the CMS detector  
 135 must be able identify the resulting particles as well as accurately measure  
 136 their energy and momentum. For this reason the detector was designed to  
 137 be a collection of specialized sub-detectors, each of which contributes data  
 used in the reconstruction of a collision.



*Fig. 4.1: Schematic of CMS detector [22]*

139 At the heart of the CMS detector is a 3.8-Tesla magnetic field produced  
 140 by a superconducting solenoid. Inside the 6-meter diameter solenoid are

141 three layers of sub-detectors. These make up the inner detector and are, in  
 142 order from innermost to outermost, the silicon tracker, the electromagnetic  
 143 calorimeter (ECAL), and the hadronic calorimeter (HCAL). Outside the  
 144 solenoid is the muon system. A transverse slice of the detector (Figure 4.2)  
 145 shows the sub-detectors and how different types of particles interact with  
 146 them. Table 4.1 shows a summary of which sub-detectors are expected  
 147 to produce signals for different types of particles.



*Fig. 4.2:* Transverse slice of the CMS detector[7].

Particle	Tracker	ECAL	HCAL	Muon
Photons	No	Yes	No	No
Electrons	Yes	Yes	No	No
Hadrons (charged)	Yes	Yes	Yes	No
Hadrons (neutral)	No	No	Yes	No
Muons	Yes	Yes	Yes	Yes
Invisible ( $\nu$ , SUSY, etc)	No	No	No	No

*Tab. 4.1:* Summary of signals expected for each particle type in each sub-detector.

148

## 4.2 Coordinate System

149 The origin of the coordinate system used by CMS is centered at the nominal  
 150 collision point in the center of the detector. A right-handed Cartesian system  
 151 is used with the x-axis pointing radially inward toward the center of the LHC  
 152 ring, y-axis pointing vertically upward, and the z-axis pointing tangent to

153 the LHC ring in the counterclockwise direction as viewed from above. CMS  
 154 also uses an approximately Lorentz invariant spherical coordinate system  
 155 spanned by three basis vectors. They are the transverse momentum  $p_T$ ,  
 156 pseudorapidity  $\eta$ , and azimuthal angle  $\phi$ . The transverse momentum and  
 157 azimuthal angle translate to the Cartesian system in the following ways  
 158 using the x and y-components of the linear momentum:

$$p_T = \sqrt{(p_x)^2 + (p_y)^2} \quad (4.1)$$

159

$$\phi = \tan^{-1} \frac{p_y}{p_x} \quad (4.2)$$

160 while the pseudorapidity can be translated using the polar angle  $\theta$  relative  
 161 the positive z-axis as

$$\eta = -\ln[\tan \frac{\theta}{2}] \quad (4.3)$$

162

### 4.3 Tracker

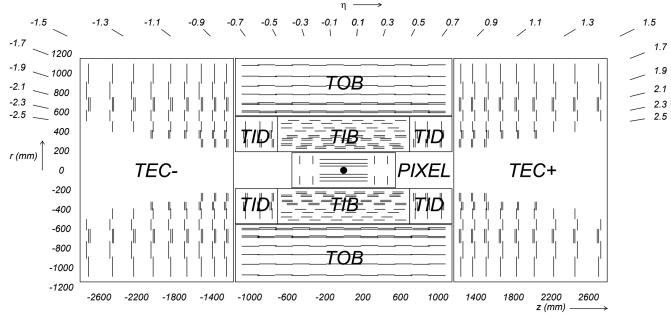
163 The innermost sub-detector in CMS is the silicon tracker. The tracker is  
 164 used to reconstruct tracks and vertices of charged particles. In order to give  
 165 precise reconstruction of charged particle trajectories it needs to be position  
 166 as close as possible to the IP and have high granularity. The close proximity  
 167 to the IP requires the materials to be tolerant to the high levels of radiation  
 168 in that region. Being the innermost sub-detector it must also minimally  
 169 disturb particles as they pass through it into the other sub-detectors. These  
 170 criteria led to the design of the tracker using silicon semiconductors.

171 The silicon tracker is made up of two subsystems, an inner pixel detector  
 172 and an outer strip tracker which are oriented in a cylindrical shape with an  
 173 overall diameter of 2.4 m and length of 5.6 m centered on the interaction  
 174 point. Both subsystems consist of barrel and endcap regions which can be  
 175 seen in Figure 4.3.

176

#### 4.3.1 Pixel Detector

177 The pixel detector is the innermost subsystem in the silicon tracker and  
 178 spans the pseudorapidity range  $|\eta| < 2.5$  and is responsible for small im-  
 179 pact parameter resolution which is important for accurate reconstruction of  
 180 secondary vertices [13]. In order to produce these precise measurements a  
 181 very high granularity is required. In addition to this the proximity to the  
 182 IP means that one expects there to be high occupancy of the tracker. These  
 183 constraints are met by using pixels with a cell size of  $100 \times 150 \mu\text{m}^2$ .

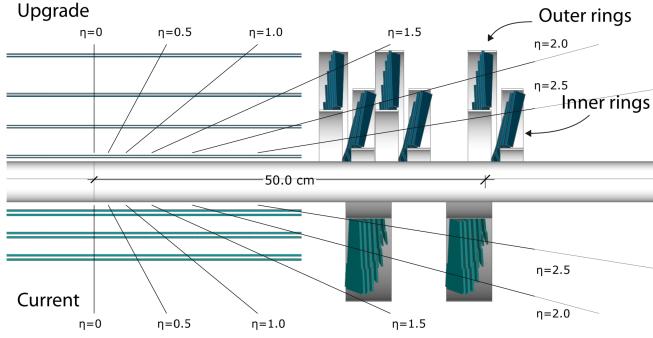


*Fig. 4.3:* Cross section (side) of CMS tracker prior to the Phase 1 upgrade during the year-end technical stop of 2016/2017. Each line represents a detector module while double lines indicate back-to-back strip modules. Surrounding the pixel tracker (PIXEL) is the strip detector, which is divided into the Tracker Inner Barrel (TIB), Tracker Outer Barrel (TOB), Tracker Inner Disks (TID), and Tracker Endcaps (TEC). Reprinted from Reference [12].

184 The original pixel detector was designed for operation at the nominal  
 185 instantaneous luminosity of  $10^{34} \text{ cm}^{-2}\text{s}^{-1}$  with 25 ns between proton bunch  
 186 crossings, resulting in on average about 25 proton-proton interactions occur-  
 187 ring per bunch crossing or pileup [12]. During the LHC technical shutdown  
 188 of 2016/17, the pixel detector underwent the scheduled Phase 1 upgrade  
 189 which would allow operation at higher levels of instantaneous luminosity  
 190 and pileup. Figure 4.4 shows a cross sectional view in the  $r$ - $z$  plane. Prior  
 191 to 2017 there were three barrel layers and two endcap layers on each side  
 192 which provide three very precise space points for each charged particle. The  
 193 upgrade decreased the radius of the innermost barrel layer from 4.4 cm to  
 194 3.0 cm and added a fourth barrel layer as well as adding third endcap layer  
 195 to each side. Each of the endcap layers consisted of two half-disks populated  
 196 with pixel modules whereas the upgraded endcap layers were split into inner  
 197 and outer rings. [11]

### 198 4.3.2 Strip Detector

199 The silicon strip detector surrounds the pixel detector and is comprised of  
 200 four subsystems, the Tracker Inner Barrel (TIB), the Tracker Outer Barrel  
 201 (TOB), the Tracker Inner Disks (TID), and the Tracker Endcaps (TEC),  
 202 all of which can be seen in Figure 4.3 [13]. The TIB and TID both use  
 203  $320 \mu\text{m}$  thick silicon micro-strip sensors oriented along  $z$  and  $r$  respectively.  
 204 The TIB has four layers while the TID is composed of three layers. This



*Fig. 4.4:* Cross section (side) of pixel detector. The lower half , labeled "Current", shows the design prior to 2017 while the upper half, labeled "Upgrade", shows the design after the upgrade. Reprinted from Resource [11]

geometry allows the TIB and TID to combine to provide up to four  $r - \phi$  measurements on charged particle trajectories.

Surrounding the TIB and TID is the TOB, which extends between  $z \pm 118$  cm. This subsystem consists of six layers of 500  $\mu\text{m}$  thick silicon micro-strip sensors with strip pitches ranging from 122  $\mu\text{m}$  to 183  $\mu\text{m}$ , providing six more  $r - \phi$  measurements in addition to those from the TIB/TID subsystems. Beyond the  $z$  range of the TOB is the TEC. Each TEC is made up of nine disks. Each of the nine disks has up to seven concentric rings of micro-strip sensors oriented in radial strips with those on the inner four rings being 320  $\mu\text{m}$  thick and the rest being 500  $\mu\text{m}$  thick, providing up to nine  $\phi$  measurements for the trajectory of a charged particle.

To provide additional measurements of the  $z$  coordinate in the barrel and  $r$  coordinate in the disks a second micro-strip detector module is mounted back-to-back with stereo angle 100 mrad in the first two layers of the TIB and TOB, the first two rings of the TID, and rings 1, 2, and 5 of the TEC. The resulting single point resolution is 230  $\mu\text{m}$  in the TIB and 530  $\mu\text{m}$  in the TOB. The layout of these subsystems ensures at least nine hits for  $|\eta| < 2.4$  with at least four of hits yielding a 2D measurement.

#### 4.4 Electromagnetic Calorimeter

The electromagnetic calorimeter (ECAL) lies just outside the tracker and is a hermetic homogeneous calorimeter designed to measure the energy deposited by electrons and photons. It consists of a central barrel (EB) with 61200 lead tungstate ( $\text{PbWO}_4$ ) crystals which is closed by two endcaps (EE), each

228 having 7324 crystals. Highly-relativistic charged particles passing through a  
229 crystal primarily lose energy by producing bremsstrahlung photons. Photons  
230 lose energy by producing  $e^- - e^+$  pairs. In front of each EE is a preshower  
231 (ES) detector which acts as a two-layered sampling calorimeter. The crystals  
232 in the EB are instrumented with avalanche photodiodes (APDs) while the  
233 EE crystals are instrumented with vacuum phototriodes (VPTs). The ECAL  
234 design was strongly driven to be sensitive to the di-photon decay channel  
235 of the Higgs boson. This led to the design of a calorimeter that was fast,  
236 radiation-hard, and had good spatial and energy resolution.

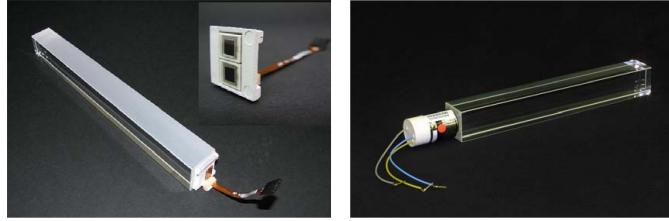
237                   4.4.1 Crystals

238 In order to provide a good spacial resolution it was necessary for the ECAL  
239 to have a fine granularity. The small Molier radius (22 mm) and short radia-  
240 tion length (8.9 mm) of PbWO<sub>4</sub> allows for fine granularity while maintaining  
241 good energy resolution by containing nearly all of the energy from an EM  
242 shower without the need for a restrictively thick crystal layer. The PbWO<sub>4</sub>  
243 scintillation is also fast enough that approximately 80 percent of an EM  
244 shower is produced within 25 ns, which is the also the amount of time be-  
245 tween bunch crossings at the LHC. These crystals have a Gaussian-shaped  
246 spectrum spanning from 360 nm to 570 nm with a maximum at approx-  
247 imately 440 nm. While PbWO<sub>4</sub> is relatively radiation-hard, the amount  
248 of ionizing radiation seen by the crystal leading up to the HL-LHC era of  
249 operations causes wavelength-dependent degradation in light transmission.  
250 The scintillation mechanism however is unchanged so this damage can be  
251 tracked and accounted for by injecting laser light near the peak wavelength  
252 of the emission spectrum into the crystals to monitor optical transparency.

253 Light produced in the crystal is transmitted along its length and col-  
254 lected at the rear by either an APD in the EB or a VPT in one of the EE.  
255 Light output is temperature dependent so the crystals are kept at precisely  
256 18°C at which the yield is about 4.5 photoelectrons per MeV. The EB and  
257 EB crystals, which have a tuncated pyramidal shape to match the lateral de-  
258 velopment of the shower, along with their photosensors are shown in Figure  
259 4.5.

260                   4.4.2 Barrel and Endcaps

261 The EB covers the pseudorapidity range  $|\eta| < 1.479$  and uses crystals that  
262 are 230 mm long, which corresponds to 25.8 radiation lengths. The front  
263 face of each crystal measures  $22 \times 22$  mm<sup>2</sup> while the rear face measures  $26 \times 26$



*Fig. 4.5:* PbWO<sub>4</sub> crystals. Left: EB crystal with APD. Right: EE crystal with VPT. Reprinted from [13]

mm<sup>2</sup>. These are grouped in 36 supermodules (SM), each comprised of 1700 crystals arranged in a 20×85 grid in  $\phi \times \eta$ . Each SM spans half the length of the barrel and covers 20° in  $\phi$ . On the back face of each crystal is a pair of APDs (semiconductor diodes). APDs are compact, immune to the longitudinal 3.8 T magnetic field produced by the solenoid at this location, and resistant to the radiation levels expected in the EB over a ten year period. They also have high enough gain to counter to low light yield of the crystals. All of this makes them an ideal choice for use in the EB. Each APD has an active area of 5 × 5 mm<sup>2</sup> and are operated at a gain of 50 which requires a bias voltage between 340 and 430 V. As the gain of the APDs is highly dependent on the applied bias voltage and any gain instability would translate to degradation in energy resolution, very stable power supplies are used to maintain voltages within a few tens of mV.

The EE cover the pseudorapidity range 1.497 < | $\eta$ | < 3.0. The crystals in the EE have a 28.62×28.62 mm<sup>2</sup> front face cross section and 30×30 mm<sup>2</sup> rear face cross section. Each crystal is 220 mm long which corresponds to 24.7 radiation lengths and are grouped in 5×5 units called supercrystals (SCs). Two halves, called *Dees*, make up each EE. Each Dee contains 138 SCs and 18 partial SCs which lie along the inner and outer circumference. On the back of each crystal in EE is a VPT which is a conventional photomultiplier with a single gain stage. While not as compact as the APDs used in the EB, the VPTs are a more suitable for the more hostile environment at higher  $\eta$ . Each VPT has a 25-mm diameter and approximately 280 mm<sup>2</sup> of active area. Though the VPT gain and quantum efficiency are lower than that of the APDs this is offset by the larger active area allowing for better light collection. Figure 4.6 shows the orientation of the crystals, modules, and supermodules within the ECAL. [13]

291

#### 4.4.3 Preshower layer

292 In front of each EE is a preshower (ES) detector. The main purpose of the  
 293 ES is to identify photons resulting from  $\pi^0 \rightarrow \gamma\gamma$  within the pseudorapidity  
 294 range  $1.653 < |\eta| < 2.6$ , but it also aids in the identification of electrons  
 295 against minimum ionizing particles (MIPs) and provides a spacial resolution  
 296 of 2 mm compared to the 3 mm resolution of the EB and EE. The ES acts  
 297 as a two-layered sampling calorimeter. Lead radiators make up the first  
 298 layer. These initiate electromagnetic showers from incoming electrons or  
 299 photons. The deposited energy and transverse profiles of these showers are  
 300 then measured by the silicon strip sensors which make up the second layer.

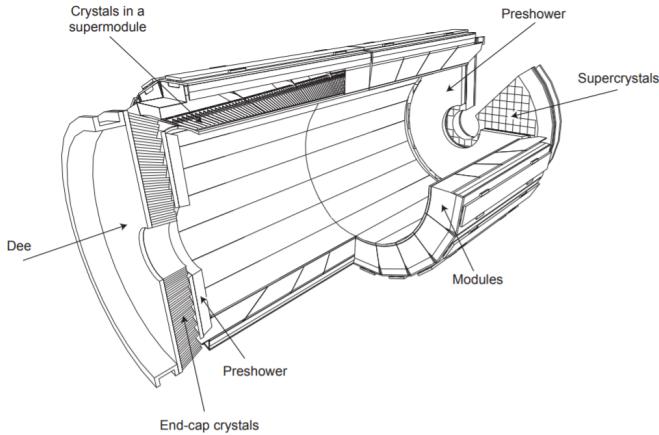


Fig. 4.6: Schematic of ECAL. Reprint from [13]

301

#### 4.4.4 Performance

302 The energy resolution of the ECAL can be parameterized as

$$\frac{\sigma}{E} = \frac{S}{\sqrt{E}} \oplus \frac{N}{E} \oplus C \quad (4.4)$$

303 where  $S$  is the stochastic term characterizing the size of photostatistical  
 304 fluctuations,  $N$  is the term characterizing the contributions of electronic,  
 305 digital, and pileup noise, and  $C$  is a constant which accounts for crystal  
 306 performance non-uniformity, intercalibration errors, and leakage of energy  
 307 from the back of a crystal. The values for these terms, as measured in a  
 308 beam test using 20 to 250 GeV electrons, are  $S = 0.028 \text{ GeV}^{1/2}$ ,  $N = 0.12$   
 309 GeV, and  $C = 0.003$ . [13]

310                    4.5 Hadronic Calorimeter

311    In the space between the bore of the superconducting magnet and the ECAL  
 312    is the Hadronic Calorimeter (HCAL) [2]. The HCAL is a sampling calorime-  
 313    ter used for the measurement of hadronic jets and apparent missing trans-  
 314    verse energy resulting from neutrinos or exotic particles. It is made up of al-  
 315    ternating layers of plastic scintillator tiles and brass absorbers. EM showers  
 316    are generated by charged/neutral hadrons in the brass absorber. Charged  
 317    particles in the shower then produce scintillation light in the plastic scintil-  
 318    lator. Wavelength-shifting optical fibers embedded in the scintillator collect  
 319    and guide the scintillation light to pixelated hybrid photodiodes. A longi-  
 320    tudinal cross-section view in Figure 4.7 shows the geometric layout of the  
 321    HCAL’s barrel (HB), outer barrel (HO), endcap (HE), and forward (HF)  
 322    sections. The HB is comprised of 17 scintillator layers extending from 1.77  
 323    to 1.95 m and covers the pseudorapidity range of  $|\eta| < 1.4$ . The HO lies  
 324    outside the solenoid and is composed of only scintillating material. This  
 325    increases the interaction depth of the calorimeter system to a minimum of  
 326     $11\lambda_I$  for  $|\eta| < 1.26$  and thus reduces energy leakage. Also located inside  
 327    the solenoid are the two HE which cover pseudorapidities  $1.3 < |\eta| < 3.0$   
 328    and provide a thickness of  $10\lambda_I$ . In the forward region is the HF. This is  
 329    located 11.2 m away from the IP and covers the  $2.9 < |\eta| < 5.2$ . As the  
 330    HF is exposed to the highest levels of particle flux, it uses quartz fibers em-  
 331    bedded in steel absorbers rather than the materials used in the other parts  
 332    of the HCAL. Showers initiated by the absorbers produce Cerenkov light  
 333    in the quartz which transmits along to the fibers to photomultiplier tubes  
 334    (PMTs).

335    The HCAL inherently has lower energy resolution than the ECAL. A  
 336    large portion of the energy from hadronic showers is deposited in the ab-  
 337    sorbers and never makes it to the scintillation material. There are also  
 338    the possibilities that showers can be initiated prior to the particles reach-  
 339    ing HCAL or a charged particle could deposit energy in the ECAL through  
 340    bremsstrahlung. The combined energy resolution of the ECAL and the  
 341    HCAL barrels can be parameterized as

$$\frac{\sigma}{E} = \frac{S}{\sqrt{E}} \oplus C, \quad (4.5)$$

342    where  $E$  is the energy of the incident particle. These quantities were mea-  
 343    sured in a beam test using 2 to 350 GeV/c hadrons, electrons, and muons.  
 344    The stochastic term is  $S = 0.847 \text{ GeV}^{1/2}$ , and the constant term is  $C = 0.074$   
 345    [4].

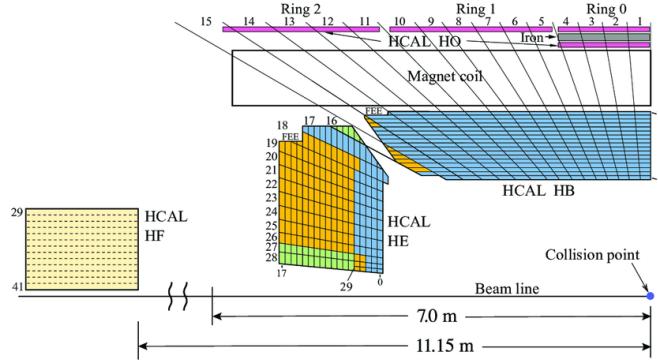


Fig. 4.7: Longitudinal view of HCAL [2]

346

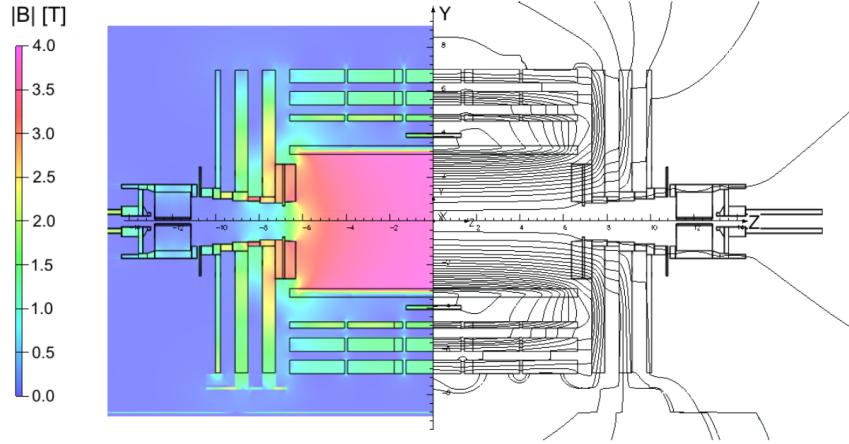
#### 4.6 Superconducting Solenoid

347 In between the HCAL barrel and outer barrel is the superconducting solenoid  
 348 magnet. The magnet is 12 m long with a 6-m inner diameter and provides  
 349 the bending power necessary to precisely measure the momentum of charged  
 350 particles. While it is capable of producing a 4 T magnetic field, the magnet  
 351 is typically operated at 3.8 T. This is done to prolong the lifetime of the  
 352 magnet. The Niobium Titanium coils used to create the uniform 3.8-T  
 353 magnetic field are suspended in a vacuum cryostat and cooled by liquid helium  
 354 to a temperature of 4.5 K. The magnet has a stored energy of 2.6 GJ when  
 355 operating at full current. There are five wheels in the barrel and three  
 356 disks on each endcap that make up a 12,000 ton steel yoke which serves to  
 357 return the magnetic flux. This, along with a mapping of the calculated field  
 358 strength, can be seen in Figure 4.8. More details on the superconducting  
 359 solenoid magnet can be found at [1]

360

#### 4.7 Muon System

361 Embedded in magnet return yoke and encapsulating all of the other sub-  
 362 detectors is the muon system. The muon system is the outermost layer  
 363 because muons don't interact via the strong force and electromagnetic in-  
 364 teractions alone are not enough to stop them due to their large mass, therefore  
 365 the only particles that are capable of making it to the muon system are  
 366 muons and weakly-interacting particles such as neutrinos. The muon sys-  
 367 tem is comprised of three different types of detectors. These are drift tube  
 368 (DT) chambers, cathode strip chambers (CSC), and resistive plate chambers

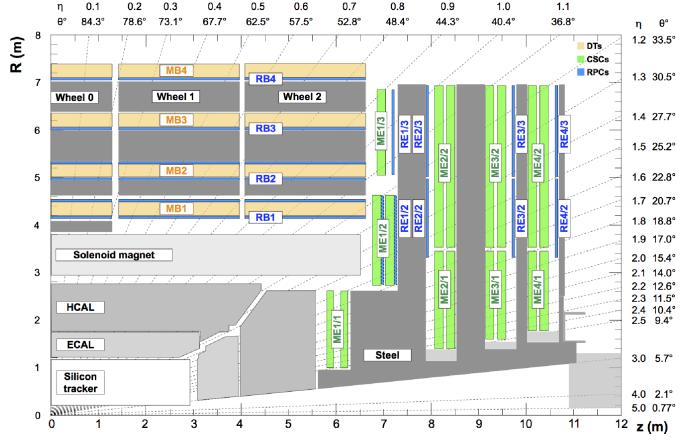


*Fig. 4.8:* Longitudinal slice of CMS detector while operating at 3.8-T central magnetic field. The right-hand side of the figure shows a mapping of the magnetic field lines while the left-hand side shows a mapping of the field strength. Reprint from [10]

(RPC). A cross-sectional view of the muon system along with the rest of the CMS detector is shown in Figure 4.9.

The DT chambers are used barrel region for  $|\eta| < 1.2$ . Each chamber is comprised of three superlayers which are made up of four staggered layers of rectangular drift cells. Each of these drift cells contains a mixture of Ar and CO<sub>2</sub> gases. An anode wire, located at the center of each tube, is made of gold-plated stainless steel and is held at 3.6 kV. The gas is ionized when a charged particle passes through and the resulting free electrons are attracted to the anode wire. As these electrons pass through the gas they cause further ionization which results in an electron avalanche. The layers of drift cells are oriented in such a way that two of the three superlayers give the muon position in the  $\phi$ -direction and one gives the position in the  $z$ -direction. The result is a spacial resolution of 77-123  $\mu\text{m}$  along the  $\phi$  direction and 133-193  $\mu\text{m}$  along the  $z$  direction for each DT chamber [14].

On the endcaps, covering the pseudorapidity range of  $0.9 < |\eta| < 2.4$ , are the CSCs. In this region there is a higher muon flux as well non-uniform magnetic fields so this portion of the muon system must have higher granularity provided by the CSCs. Each of these chambers contain panels that divide it into six staggered layers. The cathode strips are oriented along the  $r$ -direction to give position measurements in the  $\phi$ -direction while anode wires run perpendicular in between the panels to give  $r$ -direction position



*Fig. 4.9:* Cross-section of one quadrant of the CMS detector. The DTs are labeled as "MB" (Muon Barrel) and the CSCs are labelled as "ME" (Muon Endcap). The RPCs are "RB" for those in the barrel and "RE" for those in the endcap. Reprint from [23].

390 measurements. The spacial resolution provided by the CSCs is 45-143  $\mu$ m  
 391 [23].

392 Both the endcap and barrel regions, spanning  $|\eta| < 1.6$ , contain RPCs to  
 393 provide more precise timing measurements. Each RPC is a gaseous parallel-  
 394 plate detector. High voltage is applied to two large plates which have a  
 395 layer of gas between them. Outside the chamber is an array of cathode  
 396 strips which is used to detect electron cascades resulting from muons passing  
 397 through and ionizing the gas. Where the DTs and CSCs provide precise  
 398 position information, the RPCs have a very fast response time which gives  
 399 a time resolution better than 3 ns [23]. This allows for the RPCs to be used  
 400 as a dedicated muon trigger that can insure each muon is assigned to the  
 401 correct bunch crossing.

402

## 5. MIP TIMING DETECTOR (MTD)

403

### 5.1 Introduction

404 In the coming years the LHC will be working toward upgrades that will  
 405 lead a substantial increase in luminosity. The timeline for future operations  
 406 of the LHC is shown in Figure 5.1. In 2019 the LHC entered a two-year  
 407 shutdown, Long Shutdown 2 (LS2). Upgrades of the LHC injector complex  
 408 to increase the beam brightness will take place during this shutdown. After  
 409 LS2 the LHC will enter Run 3 which will run for three years at 13-14 TeV. At  
 410 the completion of Run 3 the LHC will enter Long Shutdown 3 (LS3) which  
 411 will last approximately 2.5 years. During LS3 the optics in the interaction  
 412 region will be upgraded to produce smaller beams at the interaction point.  
 413 The completion of this upgrade will usher in the High Luminosity (HL-LHC)  
 414 era or Phase 2 of LHC operations, during which the combination of brighter  
 415 beams and a new focusing scheme at the IP allows for a potential luminosity  
 416 of  $2 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$  at the beginning of each fill [5].

**LHC/ High-Luminosity LHC timeline**

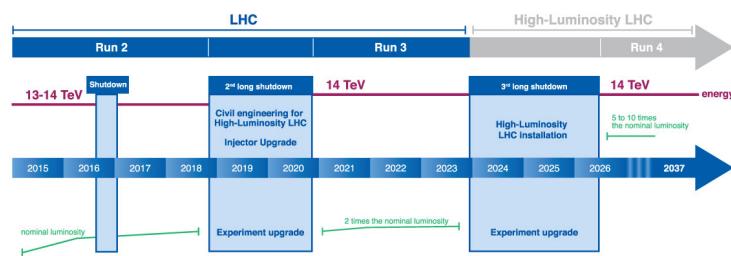


Fig. 5.1: Timeline for LHC [15]

417

418 The increased luminosity results in more interactions per bunch crossing or pileup. In order to limit the amount of pileup the experiments must

419 disentangle to more manageable levels, the nominal scenario would be op-  
 420 erating at a stable luminosity of  $5.0 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ . This would limit the  
 421 pileup to an average of 140. The ultimate scenario for operations would  
 422 be running at  $7.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  which brings the average pileup up to  
 423 200. The CMS detector in its current state is not capable of dealing with  
 424  $\approx 140\text{-}200$  pileup. At this level of pileup the spacial overlaps of tracks and  
 425 energy depositions would lead to a degradation in the ability to identify and  
 426 reconstruct hard interactions. In order to preserve the data quality of the  
 427 current CMS detector this increased pileup must be reduced to an equiva-  
 428 lent level approximately equal to current LHC operations which is  $\sim 40$ . The  
 429 collision vertices within a bunch crossing have an RMS spread of 180-200  
 430 ps in time. If the beam spot were to be sliced into consecutive snap shots  
 431 of 30-40 ps then the pileup levels per snapshot would be approximately 40.  
 432 The space-time reconstruction of a 200 pileup event is shown in Figure 5.2.  
 433 The addition of timing information to the  $z$  position spreads apart the ver-  
 434 tices that would otherwise have been merged together and indiscernible. In  
 435 order to achieve this a detector dedicated to the precise timing of minimum  
 436 ionizing particles (MIPs), the MTD, will be added to the CMS detector.

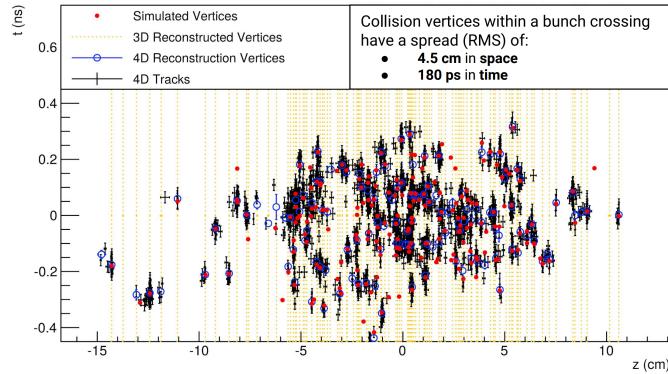


Fig. 5.2: Vertices from a simulated 200 pileup event. Need to replace this with the figure from the TDR.

437

## 5.2 Barrel Timing Layer

438 The Barrel Timing Layer (BTL) makes up the barrel region of the MTD.  
 439 It will provide pseudorapidity coverage up to  $|\eta| = 1.48$  with a geometric  
 440 acceptance of  $\sim 90\%$ . The BTL will be capable of detecting MIPs with a  
 441 time resolution of 30 ps at the start of Phase-2 operations and a luminosity-

<sup>442</sup> weighted time resolution of  $\sim 45$  ps when radiation damage effects are taken  
<sup>443</sup> into account.

444

## 6. CMS TRIGGER SYSTEM

445 When operating at nominal luminosity the LHC produces over 1 billion  
446 proton-proton collisions per second. Finite computing speed and storage  
447 capacity limit the rate at which CMS can record events to be about 1 kHz  
448 [8]. Decreasing the rate from 1 GHz to 1 kHz is accomplished by using a  
449 two-level trigger system to quickly decide which events will be discarded  
450 and which will be recorded. The first stage is a hardware-based Level 1 (L1)  
451 trigger and the second stage is software-based High Level Trigger (HLT).

452

### 6.1 *L1 trigger*

453 The L1 trigger decreases the rate by about six orders of magnitude from 1  
454 GHz to 100 kHz by performing rough calculations on information from the  
455 ECAL, HCAL, and muon subsystems using field-programmable gate arrays  
456 (FPGAs). The L1 trigger can be divided further into the calorimeter and  
457 muon triggers. The schematic of the L1 trigger system in Figure 6.1 shows  
458 both the calorimeter and muon triggers. The calorimeter trigger trigger  
459 uses information from the ECAL and HCAL subdetectors to construct pho-  
460 ton, electron, and jet candidates in addition to quantities such as missing  
461 transverse momentum and total hadronic activity. The muon trigger uses  
462 information from all three muon subsystems to construct muon candidates.  
463 The outputs from the calorimeter and muon triggers goes into the Global  
464 Trigger (GT) which decides which events should be recorded and which are  
465 to be discarded [25].

466

#### 6.1.1 *Calorimeter trigger*

467 Trigger Primitives (TP) are the raw inputs from the ECAL and HCAL  
468 for the calorimeter trigger. The TP, which contain information regarding  
469 the energy deposits in the calorimeters, are passed to the first layer of the  
470 calorimeter trigger. This first layer consists of several FPGA cards that  
471 receive data from several bunch crossings, but are each mapped to a section  
472 of the detector. This data is then passed on to the second layer in such a way

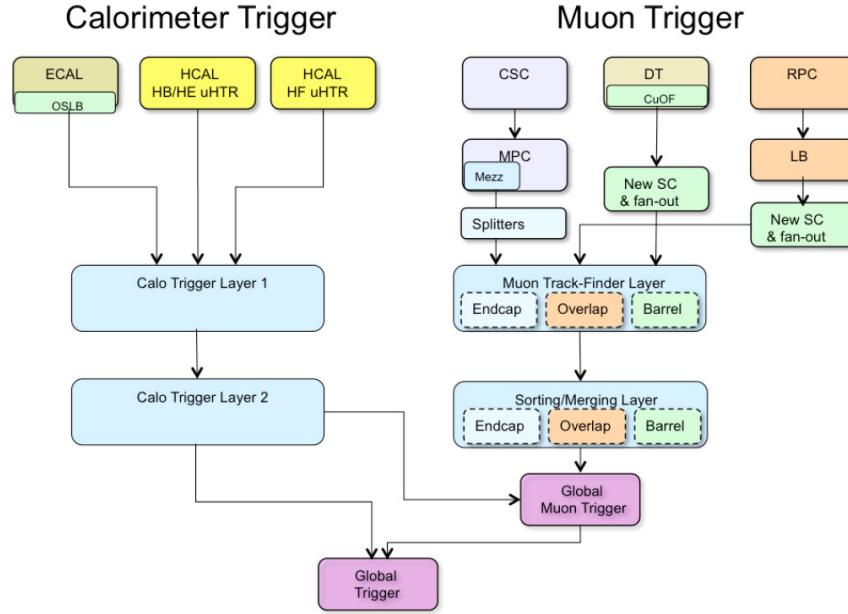


Fig. 6.1: L1 trigger system. Reprint from [3]

473 that each FPGA in this layer will receive data for the entire calorimeter for  
 474 each bunch crossing. Candidate objects are then constructed and organized  
 475 into a sorted list according to transverse momentum and passed on to the  
 476 GT and the global muon trigger.

#### 477 6.1.2 Muon trigger

478 TP for the muon trigger come from the three muon detectors, the CSCs,  
 479 DTs, and RPCs. These are then passed on to the first layer of the muon  
 480 trigger (Muon Track-Finding Layer) where the TP are combined to recon-  
 481 struct muon tracks for sections of  $\phi$  for different regions of  $|\eta|$ . The barrel  
 482 track-finder for  $|\eta| < 0.83$ , the endcap track-finder for  $|\eta| > 1.24$ , and the  
 483 overlap track-finder for  $0.83 < |\eta| < 1.24$ . This data is passed on to the  
 484 second layer where the sections of  $\phi$  are merged and subsequently passed  
 485 on to the global muon trigger where it is combined with the output from  
 486 Calo Trigger Layer 2 to compute isolation. The global muon trigger then  
 487 combines the  $\eta$  regions and passes a list of the top eight muon candidates  
 488 to the GT.

---

 489                   6.1.3 Global Trigger

490 Final processing of the reconstructed objects and quantities constructed by  
 491 the calorimeter and muon triggers is carried out by the GT. L1 algorithms  
 492 or "seeds" are implemented by the GT using these objects. A full set of L1  
 493 seed is called a L1 menu and can be adjusted to meet the requirements of  
 494 the CMS physics program. Each L1 seed can be given a "prescale", which is  
 495 an integer value  $N$  that can be used to reduce the rate of a particular trigger  
 496 path. This is done by only applying the trigger to one out of  $N$  events and  
 497 can be used to take advantage of the current LHC running conditions.

## 498                   6.2 High Level Trigger

499 Events that are accepted by the L1 trigger are passed on to the HLT which  
 500 is based in software and is therefore capable of analyzing events with a higher  
 501 degree of sophistication. The HLT has access to information from the full  
 502 detector and implements "paths" to select events of interest from those pass-  
 503 ing the L1 trigger. Each HLT path is a set of criteria that is used to either  
 504 accept or reject an event. The full set of HLT paths is the HLT menu. Each  
 505 HLT path is "seeded" by one or more L1 seeds in order to decrease comput-  
 506 ing time. That means that a given HLT path will only be processed if the  
 507 L1 bits associated with its seed or seeds fire. Each HLT path is assigned to  
 508 a primary dataset depending on its general physics signature. In the case  
 509 of this analysis, the primary dataset used for signal events was DoubleEG  
 510 for years 2016 and 2017. This was merged into the EGamma dataset for  
 511 2018. The SingleMuon dataset was used for trigger efficiency studies. A list  
 512 of the primary HLT used for each year along with its associated primary  
 513 dataset is listed in Table 6.1. The HLT path for 2016 is different because  
 514 HLT\_DoublePhoton70 was not a part of the HLT menu until 2017.

Tab. 6.1: Primary HLT

Year	HLT path	Primary dataset
2016	HLT_DoublePhoton60	DoubleEG
2017	HLT_DoublePhoton70	DoubleEG
2018	HLT_DoublePhoton70	EGamma

## 515                   6.3 Trigger efficiency

516        7. CMS PARTICLE AND EVENT RECONSTRUCTION

517        After an event is chosen to be stored by the trigger system, the output from  
518        all of the sub-detectors is saved and recorded to disk as "RAW" data. These  
519        data contain information about the response of each sub-detector, such as  
520        tracker hits and energy deposition in the calorimeters. As was mentioned  
521        in Chapter 4, shown in Table 4.1 and Figure 4.2, the CMS was designed  
522        such that each type of particle resulting from the  $pp$  collisions at the IP  
523        would leave a distinct signature in the sub-detectors. This allows for the  
524        information to be reconstructed into lists of physics object candidates such  
525        as photons, electrons, muons, etc and quantities such as missing transverse  
526        momentum. The particle flow (PF) algorithm performs this reconstruction  
527        by first building tracks and calorimeter clusters. These two elements are the  
528        inputs to the reconstruction of the aforementioned physics object candidates  
529        using a "link" algorithm.

530                  7.1    *Tracks*

531        A combinatorial track finder algorithm based on the Kalman filtering tech-  
532        nique uses the hits in the silicon tracker to reconstruct tracks of charged  
533        particles [17]. Each iteration of the algorithm is comprised of three steps:

- 534        • Seed generation: Find a seed consisting of two to three hits that is  
535        compatible with a track from a charged particle.
- 536        • Track finding: Use pattern recognition to identify any hits that are  
537        compatible with the trajectory implied by the seed generated in the  
538        first step.
- 539        • Track fitting: Determine the properties of the track, such as origin,  
540        trajectory, and transverse momentum by performing a global  $\chi^2$  fit.

541        The first iteration uses stringent requirements on the seeds and the  $\chi^2$   
542        of the track fit to pick out isolated jets which have very high purity. The  
543        hits associated with these high purity tracks are then removed to reduce the

544 combinatorial complexity for subsequent iterations. This allows successive  
545 iterations to identify less obvious tracks by progressively loosening criteria  
546 while the removal of previously associated hits mitigates the likelihood of  
547 fake tracks being built.

548 **7.2 Calorimeter clusters**

549 Calorimeter clusters are constructed using energy deposition information  
550 from the calorimeters. Clusters are formed by first identifying the seed cell  
551 (ECAL crystal or HCAL scintillating tile) that corresponds to the local  
552 maxima of an energy deposit that is above a given threshold. Neighboring  
553 cells are then aggregated to grow topological clusters if their signals are  
554 above twice the standard deviation of the level of electronic noise.

555 **7.3 Object identification**

556 At this point the tracks and calorimeter clusters are linked to form a PF  
557 block. This linkage is done with an algorithm that quantifies the likelihood  
558 that a given track and cluster were results of the same particle. As PF blocks  
559 are identified as object candidates they are removed from the collection prior  
560 to each subsequent iteration until all tracks and clusters have been assigned  
561 to a PF object candidate. The following sections will outline how each of  
562 these PF objects is identified.

563 **7.3.1 Muons**

564 Muons are the easiest particle to identify, so they are the first objects recon-  
565 structed in the CMS. PF Muons are classified in three categories depending  
566 on how their tracks are reconstructed:

- 567 • Tracker muons: Tracks reconstructed from the inner tracker having  
568  $p_T > 0.5$  GeV and  $|\vec{p}| > 2.5$  GeV that, when propagated to the muon  
569 system, match at least one hit in the muon chambers.
- 570 • Stand-alone muons: Tracks reconstructed only using hits in the muon  
571 system.
- 572 • Global muons: Stand-alone muons that coincide with a track from the  
573 inner tracker.

574 After a muon is reconstructed it is given an identification or ID based on  
575 observables such as the  $\chi^2$  of the track fit, how many hits were recorded

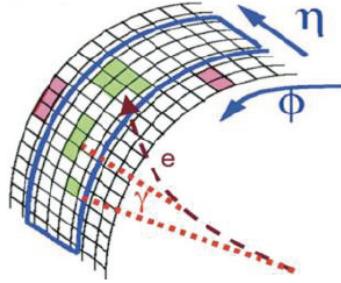
576 per track, or how well the tracker and stand-alone tracks matched. These  
577 IDs represent different working points (loose, medium, and tight) which  
578 correspond to increasing purity but decreasing efficiency as you move from  
579 loose toward tight.

580 **7.3.2 Electrons**

581 The next objects reconstructed in the CMS are electrons. Bremsstrahlung in  
582 the tracker layers causes substantial energy loss and changes in momentum  
583 which requires the use of a dedicated tracking algorithm. In place of the  
584 Kalman filtering technique, a Gaussian-sum filter (GSF) algorithm is used.  
585 This algorithm uses a weighted sum of Gaussian PDFs which does a bet-  
586 ter job of modeling the Bremsstrahlung effects than the Kalman filtering  
587 technique which uses a single Gaussian PDF.

588 PF ECAL clusters are regrouped by identifying a seed cluster then asso-  
589 ciating and adding clusters from Bremsstrahlung photons to form superclus-  
590 ters. The schematic in Figure 7.1 shows how the Bremsstrahlung photons  
591 are emitted in directions tangent to the trajectory of the electron. Electrons  
592 bending in the magnetic field causes spreading of PF ECAL clusters to typ-  
593 ically occur along the  $\phi$ -direction. Two approaches are used to associate  
594 the superclusters to GSF tracks. One is the ECAL-driven method, which  
595 uses superclusters with  $p_T > 4$  GeV as seeds for the GSF track finding al-  
596 gorithm. This works well for high- $p_T$  isolated electrons because the bend  
597 radius is less severe which decreases the spread of the PF ECAL clusters.  
598 This results in more of the Bremsstrahlung radiation being recovered and  
599 correctly associated with an electron candidate. The second approach is the  
600 tracker-driven method which uses tracks with  $p_T > 2$  GeV as seeds that are  
601 propagated out to the surface of the ECAL and used for clustering. This  
602 method works best with soft electrons like those in jets because it relies on  
603 the high granularity of the tracker to disentangle overlapping energy deposits  
604 in the ECAL. [21]

605 As a final step, a boosted decision tree (BDT) is used to discriminate  
606 between real and fake electrons. The BDT is given variables associated with  
607 track-cluster matching, shower shape, and tracking. The output score of  
608 the BDT is used to classify electrons into loose, medium, and tight working  
609 points which exhibit the same purity and efficiency trends as the muon  
610 working points.



*Fig. 7.1:* The Bremsstrahlung photons continue along a straight trajectory while the electron path is bent by the magnetic field. This results in energy deposited in the calorimeter for such electrons to be spread out along the  $\phi$ -direction.

611

### 7.3.3 Photons

612 Unlike electrons, photons typically deposit most of their energy in the ECAL  
 613 without interacting with the tracker therefore their reconstruction is seeded  
 614 from ECAL superclusters that do not have any GSF tracks associated with  
 615 them. When photons interact with the tracker material they convert into  
 616 electron-positron pairs which follow bent trajectories due to the magnetic  
 617 field prior to entering the ECAL. This causes a spread of the energy deposi-  
 618 tion along the  $\phi$ -direction. The goal of the clustering algorithm for photon  
 619 reconstruction is to include all of the energy deposits of electrons resulting  
 620 from photon conversions. As with the calorimeter clustering algorithm, the  
 621 photon clustering starts by identifying a local energy maxima as a seed crys-  
 622 tal. In the EB a cluster is made up of several parallel strips of crystals  $5 \times 1$   
 623 in  $\eta \times \phi$ . The first strip has the seed crystal at its center. Neighboring strips  
 624 in the  $\phi$ -direction are added if they have energy above a threshold of 10 GeV  
 625 but less than that of the subsequent strip with a maximum of 17 strips in  
 626 a cluster. In the EE, the seed cluster is  $5 \times 5$  with adjacent  $5 \times 5$  clusters  
 627 being added if they meet the minimum energy requirement.

628 Converted and unconverted photons can be differentiated by looking at  
 629 how the energy is distributed in a supercluster. The variable  $R_9$  is used for  
 630 this purpose. It is defined as the ratio of the energy in a  $3 \times 3$  crystal array to  
 631 the energy in the entire supercluster. As the energy deposits resulting from  
 632 converted electrons is more spread out they result in a lower  $R_9$  value than  
 633 unconverted photons. A photon is candidate is considered to be unconverted  
 634 when  $R_9 > 0.93$ .

635 An important point regarding the clustering algorithm is that it does  
 636 not differentiate between showers resulting from photons and those resulting  
 637 from electrons. This allows for electron from  $Z \rightarrow ee$  events to be used as  
 638 high purity samples to study analysis inputs and for defining control regions  
 639 using electron in place of photons.

640 **7.3.4 Jets**

641 When quarks or gluons are produced they hadronize to make cone-shaped,  
 642 collimated collections of particles called jets. The jet clustering algorithm  
 643 aims to combine these particles in order to accurately measure the kine-  
 644 matics of the initial gluon or quark. The algorithm uses the two distance  
 645 parameters

$$d_{ij} = \min(k_{T_i}^{2p}, k_{T_j}^{2p}) \frac{\Delta R_{ij}^2}{R^2} \quad (7.1)$$

$$d_{iB} = k_{T_i}^{2p} \quad (7.2)$$

646 where  $d_{ij}$  is the distance between objects  $i$  and  $j$  and  $d_{iB}$  is the distance  
 647 between object  $i$  and the beam  $B$ . The transverse momentum of the object is  
 648  $k_T$ . The parameter  $p$  is set either -1, 0, or +1 to specify whether the anti- $k_T$ ,  
 649 inclusive Cambridge/Aachen, or inclusive  $k_T$  algorithm is used, respectively.  
 650 The value of  $\Delta R_{ij}^2$  is defined as  $(\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2$  and  $R$  is the distance  
 651 parameter that defines the radius of the jet.

652 his analysis uses jets reconstructed from PF candidates using the anti- $k_T$   
 653 algorithm with  $R = 0.4$ , also known as AK4PFJets or just PFJets. The  
 654 algorithm goes through the following steps:

- 655 1. The smallest values of  $d_{ij}$  and  $d_{iB}$  are computed for all objects in the  
 656 event.
- 657 2. Objects  $i$  and  $j$  are merged into a single object if  $d_{ij} < d_{iB}$ .
- 658 3. Object  $i$  is labeled as a jet and removed from the list if  $d_{iB} < d_{ij}$ .

659 Note for next time: Now talk about infrared and collinear safe. Use  
 660 Allie's thesis for guide. Look at "recent thesis" for ECAL noise on 2017  
 661 data. Should also do JEC and JER in here.

662 **7.4 Missing transverse momentum**

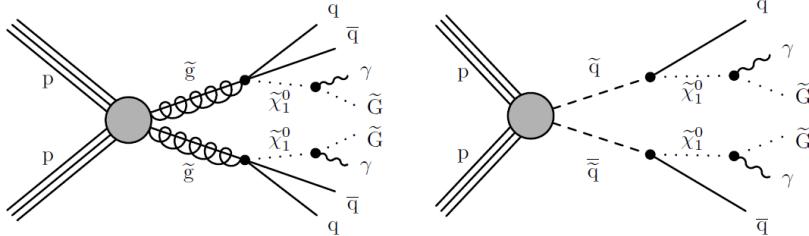
663

## 8. DATA ANALYSIS

664

### 8.1 Overview

665 This analysis is motivated by the GGM supersymmetry breaking scenario  
 666 in which the strong production of either gluinos or squarks result in a final  
 667 state containing two photons, jets, and missing transverse momentum. Two  
 668 example topologies are shown in Figure 8.1. If the T5gg model, each of the  
 669 produced gluinos decays to a neutralino which then decays to a photon and  
 670 a gravitino. Similarly, the T6gg model has each of the produced squarks  
 671 decays to a neutralino which then decays to a photon and a gravitino. In  
 672 both cases the gravitino escapes the CMS without detection which manifests  
 673 as missing transverse momentum.



*Fig. 8.1:* Two examples of GGM supersymmetry breaking processes resulting in final states containing two photons and missing transverse momentum. The T5gg model (left) shows gluinos produced from  $p - p$  collisions which subsequently result in two neutralinos, each decaying to a photon and a gravitino. The T6gg model (right) shows squarks produced from  $p - p$  collisions following a similar decay chain.

674

### 8.2 Data

675 This analysis was performed using  $137 \text{ fb}^{-1}$  of data collected from the CMS  
 676 detector during the time period commonly referred to as Run 2 which spans  
 677 from 2016 to 2018. The complete list of the datasets used can be found in

678 Table 8.1. The JSON files used to identify events passing all of the CMS  
 679 offline data quality monitoring requirements are:

680 Cert\_271036\_284044\_13TeV\_23Sep2016ReReco\_Collisions16\_JSON.txt  
 681 Cert\_294927\_306462\_13TeV\_EOY2017ReReco\_Collisions17\_JSON\_v1.txt  
 682 Cert\_314472\_325175\_13TeV\_PromptReco\_Collisions18\_JSON.txt

Tab. 8.1: Data Samples

/DoubleEG/Run2016B-17July2018-ver2-v1
/DoubleEG/Run2016C-17July2018-v1
/DoubleEG/Run2016D-17July2018-v1
/DoubleEG/Run2016E-17July2018-v1
/DoubleEG/Run2016F-17July2018-v1
/DoubleEG/Run2016G-17July2018-v1
/DoubleEG/Run2016H-17July2018-v1
/DoubleEG/Run2017B-31Mar2018-v1
/DoubleEG/Run2017C-31Mar2018-v1
/DoubleEG/Run2017D-31Mar2018-v1
/DoubleEG/Run2017E-31Mar2018-v1
/DoubleEG/Run2017F-31Mar2018-v1
/EGamma/Run2018A-17Sep2018-v2
/EGamma/Run2018B-17Sep2018-v1
/EGamma/Run2018C-17Sep2018-v1
/EGamma/Run2018D-22Jan2019-v2

### 683 8.3 Monte Carlo samples

684 Monte Carlo (MC) simulation were used to validate performance of the  
 685 analysis on backgrounds, model background contributions, constructing a  
 686 multivariate discriminant, and determining signal efficiencies.

### 687 8.4 Object definitions

688 The object candidates that are identified by the reconstruction algorithms  
 689 are subject to further scrutiny in order to achieve optimal purities in the  
 690 offline analysis.

---

### 691            8.4.1 Photons

692     Photons are required to have  $p_T > 75$  GeV and meet the criteria prescribed  
 693     by loose ID cuts derived by the  $e/\gamma$  Physics Object Group (EGM POG).  
 694     The cut variables used to determine the photon ID are:

- 695       • H/E - The ratio of the energy deposited in the HCAL tower that is  
     696       directly behind the ECAL supercluster associated with the photon to  
     697       the energy deposited in the ECAL supercluster.
- 698       •  $\sigma_{i\eta i\eta}$  - The log-fractional weighted width of a shower in  $i\eta$ -space. This  
     699       variable is used to describe the shower shape.
- 700       • Particle Flow Charged Isolation - Sum of the  $p_T$  of charged hadrons  
     701       associated with the primary vertex within a cone of  $0.02 < \Delta R < 0.3$   
     702       of the supercluster.
- 703       • Particle Flow Neutral Isolation - Sum of the  $p_T$  of neutral hadrons  
     704       associated with the primary vertex within a cone of  $\Delta R < 0.3$  of the  
     705       supercluster.
- 706       • Particle Flow Photon Isolation - Sum of the  $p_T$  of photons within a  
     707       cone of  $\Delta R < 0.3$  of the supercluster.

708     All of the isolation variables listed above are corrected in order to remove  
 709     pileup. Table 8.2 gives a summary of the pileup-corrected requirements for a  
 710     loose ID photon. The loose ID working point has an efficiency (background  
 711     rejection) of 90.08% (86.25%) in the barrel and 90.65% (76.72%) in the end  
 712     caps. In addition to the  $p_T$  and loose ID requirements, a photon must also  
 713     pass a pixel seed veto (PSV). This means that there is no pixel seed matched  
 714     to the photon.

715     Tab. 8.2: Summary of loose ID photons cuts

Variable	Cut Value (Barrel)	Cut Value (Endcap)
H/E	0.04596	0.0590
$\sigma_{i\eta i\eta}$	0.0106	0.0272
Charged Iso	1.694	2.089
Neutral Iso	$24.032 + 0.01512 p_{T\gamma} + 2.259 \times 10^{-5} p_{T\gamma}^2$	$19.722 + 0.0117 p_{T\gamma} + 2.3 \times 10^{-5} p_{T\gamma}^2$
Photon Iso	$2.876 + 0.004017 p_{T\gamma}$	$4.162 + 0.0037 p_{T\gamma}$

715

### 8.4.2 Electrons

716 As mentioned earlier, the clustering algorithm doesn't differentiate between  
 717 showers from photons and those from electrons. In this analysis an electron  
 718 is defined as an object that passes all of the photon requirements except  
 719 for the PSV. Inverting the pixel seed requirement while using the same  
 720 ID criteria insures that we have orthogonal selections while minimizing the  
 721 bias potentially introduced by using control regions with electrons to model  
 722 diphoton signal regions.

723

### 8.4.3 Muons

724

## 8.5 Backgrounds

725 The sources of background in this analysis can be grouped into three cate-  
 726 gories. In order of decreasing contribution they are mismeasured hadronic  
 727 activity, electrons misidentified as photons, and standard model processes  
 728 having final states with neutrinos and two photons. In events with mul-  
 729 tiple jets, limitations on the jet energy resolution can give rise to an ap-  
 730 parent imbalance in  $p_T$  as is shown in Figure 8.2. Such events are usually  
 731 from quantum chromodynamics (QCD) processes. In these cases jets can  
 732 be misidentified as photons or there can be real photons being produced.  
 733 In both cases the result is the appearance of two photons accompanied by  
 734  $E_T^{miss}$  which mimics our signal. Given the large cross-section for QCD, this  
 735 is the most significant background in this analysis. The next background,  
 736 resulting from the misidentification of electrons as photons, comes from elec-  
 737 troweak (EWK) processes, in particular  $W\gamma$  and  $W + jets$  events where  
 738  $W \rightarrow e\nu$ . Here the neutrino contributes real  $E_T^{miss}$  while the fake photon  
 739 allows this event to fulfill the diphoton requirement. The final background  
 740 is from  $Z\gamma\gamma \rightarrow \nu\nu\gamma\gamma$  events, which exactly mimic our signal, and is modeled  
 741 using simulation as it is irreducible.

742

### 8.5.1 Instrumental background

743 The instrumental background is the contribution from events with spurious  
 744  $E_T^{miss}$  due to mismeasured hadronic activity. Since most of this background  
 745 is comprised of QCD events, it is commonly referred to as the "QCD back-  
 746 ground" and those terms are used interchangeably in this thesis. Modeling of  
 747 this background was done using the Rebalance and Smear technique while a  
 748 multivariate discriminant was constructed to improve the efficiency of iden-  
 749 tifying events with fake  $E_T^{miss}$ .

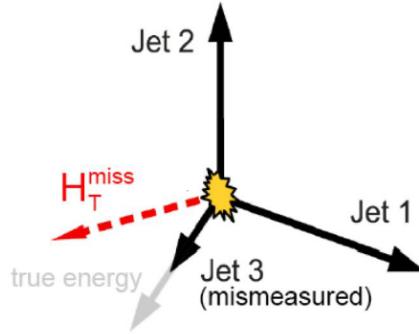


Fig. 8.2: Mismeasurement of Jet3 results in an imbalance in the events transverse momentum.

Rebalance and smear

751 The rebalance and smear method is used to model the spurious  $E_T^{miss}$  back-  
752 ground. Coming soon....

753 Multivariate discriminant

754 A boosted decision tree (BDT) was used to develop a discriminating variable  
 755 for identifying events with real  $E_T^{miss}$ . The variables used are listed below.  
 756 All energy and momentum variables were normalized to the scalar sum of all  
 757 of the  $p_T$  in the event  $S_T = \sum_{\gamma, jets} |\vec{p}_{T_i}|$  in order to encourage the BDT to  
 758 focus more on how the energy and momentum was distributed in an event  
 759 rather than simply the scale of the energy or momentum.

- $S_{T_{jets}} = \sum_{jets} |\vec{p}_T|$
  - $p_{T_{jets}} = \sum_{jets} \vec{p}_T$
  - $p_{T_{\gamma\gamma}} = \vec{p}_{T_{\gamma_1}} + \vec{p}_{T_{\gamma_2}}$
  - $HardE_T^{miss} = - \sum_i \vec{p}_{T_i}$
  - $\Delta\Phi_{\gamma\gamma} = \Delta\Phi(\vec{p}_{T_{\gamma_1}}, \vec{p}_{T_{\gamma_2}})$
  - $\Delta\Phi_{min} = min[\Delta\Phi(\vec{p}_{T_{HardE_T^{miss}}}, \vec{p}_{T_{jet_i}})]$
  - $\Delta\Phi_1 = \Delta\Phi(\vec{p}_{T_{HardE_T^{miss}}}, \vec{p}_{T_{jet_1}})$

- 
- 767     •  $\Delta\Phi_2 = \Delta\Phi(\vec{p}_T_{HardE_T^{miss}}, \vec{p}_T_{jet_2})$   
 768     •  $\Delta\Phi_{\gamma\gamma, HardE_T^{miss}} = \Delta\Phi(\vec{p}_T_{HardE_T^{miss}}, \vec{p}_T_{\gamma\gamma})$   
 769     • Add the delta R stuff...

770                          8.5.2 Electroweak background

771     The electroweak background is dominated by events with  $W \rightarrow e\nu$  where  
 772     the electron is misidentified as a photon. Unlike the QCD background these  
 773     events have real  $E_T^{miss}$  due to the presence of a neutrino. The key to estimat-  
 774     ing this background is determining the rate at which electrons get incorrectly  
 775     labeled as photons in the signal region. This is done using a tag-and-probe  
 776     method where the tag is an electron (a loose ID photon that fails the PSV)  
 777     and the probe is categorized as either a photon or an electron. The result  
 778     is an electron-electron region ( $ee$ ) and an electron-photon region ( $e\gamma$ ) that  
 779     are selected from the data. As both of these regions contain  $Z \rightarrow ee$  decays,  
 780     fits are applied in each of the samples to the invariant mass spectra  $m_{ee}$  and  
 781      $m_{e\gamma}$ . The integrals of these fits are calculated over the range of the Z mass  
 782     peak to give the number of events in each category.

783                          8.5.3 Irreducible background

784     The irreducible  $Z\gamma\gamma \rightarrow \nu\nu\gamma\gamma$  background produces two photons and has  
 785     inherent  $E_T^{miss}$  via the neutrinos. There is no easy way to separate these  
 786     events from our signal so it is estimated using MC simulation. The mod-  
 787     eling of this background was tested using  $Z\gamma\gamma \rightarrow \mu\mu\gamma\gamma$  and  $Z\gamma\gamma \rightarrow ee\gamma\gamma$   
 788     events in data. Di-muon events with  $|m_{\mu\mu} - m_Z| < 15$  GeV and di-electron  
 789     events with  $|m_{ee} - m_Z| < 15$  GeV were selected and the contribution of the  
 790     muons/electrons was removed from the  $E_T^{miss}$  calculation to mimic  $Z \rightarrow \nu\nu$ .  
 791     The event selection criteria for this  $Z\gamma\gamma \rightarrow LL\gamma\gamma$  control region was

- 792     • HardMET  $> 100$  GeV  
 793     • 2 looseID photons with  $p_T > 30$  GeV  
 794     • 2 like-flavored leptons with  $p_T > 30$  GeV  
 795         2 mediumID muons or  
 796         2 electrons (looseID photons with a pixel seed).

797 The relationship

$$N_{Z \rightarrow \nu\nu} = \frac{b_{Z \rightarrow \nu\nu}}{b_{Z \rightarrow ee} + b_{Z \rightarrow \mu\mu}} (N_{Z \rightarrow ee} + N_{Z \rightarrow \mu\mu}) \quad (8.1)$$

798 gives an estimation for the number of  $Z\gamma\gamma \rightarrow \nu\nu\gamma\gamma$  events expected given  
 799 the number of  $Z\gamma\gamma \rightarrow LL\gamma\gamma$  events observed in data where  $N_{Z \rightarrow ee}$  and  
 800  $N_{Z \rightarrow \mu\mu}$  are the number of data events passing the aforementioned selection  
 801 criteria and  $b_{Z \rightarrow \nu\nu}$ ,  $b_{Z \rightarrow \mu\mu}$ , and  $b_{Z \rightarrow ee}$  are the branching ratios. The results  
 802 are summarized in Table 8.3.

Tab. 8.3: Summary of  $Z\gamma\gamma \rightarrow \nu\nu\gamma\gamma$  model validation

Year	Data-driven estimation	MC estimation	$\frac{\text{data-driven}}{\text{MC}}$
2016	$30.2 +12.1 -9.0$	$33.8 \pm 0.31$	$0.893 +0.358 -0.267$
2017	-	-	-
2018	-	-	-

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