The role of experience in disambiguation during early word learning

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Abstract 13

Young children tend to map novel words to novel objects even in the presence of familiar 14 competitors, a finding that has been dubbed the "disambiguation" effect. This phenomenon 15 is important because it could provide a strong constraint for children in learning new words. 16 But, although the effect is highly robust and widely studied, the cognitive mechanisms 17 underlying it remain unclear. Existing theoretical accounts include a proposal for initial 18 constraints on children's lexicons (e.g. a principle of mutual exclusivity), situation-specific 19 pragmatic inferences, probabilistic accounts, and overhypothesis account. In the current 20 paper, we have two goals: synthesize the existing body of literature and directly examine the 21 causal role of experience on the effect. We present a synthesis of existing evidence through a 22 meta-analysis of the existing literature, followed by two experiments that examine the 23 relationship between vocabulary development and the disambiguation effect. We conclude by 24 summarizing the empirical landscape, and suggest that multiple mechanisms may underlie the effect. 27

Keywords: mutual exclusivity, disambiguation effect, word learning, meta-analysis

Word count: X

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30 Introduction

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A central property of language is that each word in the lexicon maps to a unique 31 concept, and each concept maps to a unique word (Clark, 1987). Like other important 32 regularities in language (e.g., grammatical categories), children cannot directly observe this general property. Instead, they must learn to use language in a way that is consistent with the generalization on the basis of evidence about only specific word-object pairs. 35 Even very young children behave in a way that is consistent with this one-to-one 36 regularity in language. Evidence for this claim comes from what is known as the 37 "disambiguation" or "mutual exclusivity" (ME) effect (we return to the issue of nomenclature 38 below). In a typical demonstration of this effect (Markman & Wachtel, 1988), children are 39 presented with a novel and familiar object (e.g., a whisk and a ball), and are asked to identify the referent of a novel word ("Show me the dax"). Children in this task tend to choose the 41 novel object as the referent, behaving in a way that is consistent with the one-to-one word-concept regularity in language across a wide range of ages and experimental paradigms (Bion, Borovsky, & Fernald, 2013; R.M. Golinkoff, Mervis, Hirsh-Pasek, & others, 1994; Halberda, 2003; Markman, Wasow, & Hansen, 2003; Mervis, Golinkoff, & Bertrand, 1994). This effect has received much attention in the word learning literature because the 46 ability to identify the meaning of a word in ambiguous contexts is, in essence, the core 47 problem of word learning. That is, given any referential context, the meaning of a word is 48 underdetermined (Quine, 1960), and the challenge for the world learner is to identify the referent of the word within this ambiguous context. Critically, the ability to infer that a novel word maps to a novel object makes the problem much easier to solve. For example, suppose a child hears the novel word "kumquat" while in the produce aisle of the grocery store. There are an infinite number of possible meanings of this word given this referential 53 context, but the child's ability to correctly disambiguate would lead her to rule out all 54 meanings for which she already had a name. With this restricted hypothesis space, the child is more likely to identify the correct referent than if all objects in the context were considered as possible referents.

Despite – or perhaps due to – the attention that the ME effect has received, there is little consensus regarding the cognitive mechanisms underlying it. Does it stem from a basic inductive bias on children's learning abilities ("bias accounts," see below), a learned regularity about the structure of language ("overhypothesis accounts"), reasoning about the goals of communication in context ("pragmatic accounts"), or perhaps some mixture of these? The goal of the current manuscript is to lay out these possibilities and discuss the state of the evidence. Along the way we present a meta-analysis of the extant empirical literature. We then present two new, relatively large-sample developmental experiments that investigate the dependence of children's ME inferences on vocabulary (Experiment 1) and experience with particular words (Experiment 2). We end by discussing the emergence of ME inferences in a range of computational models of word learning. We conclude that:

- 1. Explanations of ME are not themselves mutually exclusive and likely more than one is at play;
- 71 2. The balance of responsibility for behavior likely changes developmentally, with basic 72 biases playing a greater role for younger children and learned overhypotheses playing a 73 greater role for older children.
- 3. All existing accounts put too little emphasis on the role of experience and strength of representation; this lack of explicit theory in many cases precludes definitive tests.
  - 4. ME inferences are distinct from learning.

## 77 A note on terminology.

Markman and Wachtel (1988)'s seminal paper coined the term "mutual exclusivity,"
which was meant to label the theoretical proposal that "children constrain word meanings by
assuming at first that words are mutually exclusive – that each object will have one and only
one label." (Markman, 1990, p. 66). That initial paper also adopted a task used by a variety

of previous authors (including RM Golinkoff, Hirsh-Pasek, Baduini, & Lavallee, 1985; Hutchinson, 1986; Vincent-Smith, Bricker, & Bricker, 1974), in which a novel and a familiar 83 object were presented to children in a pair and the child was asked to "show me the x," 84 where x was a novel label. Since then, informal discussions have used the same name for the 85 paradigm and effect (selecting the novel object as the referent of the novel word) as well as the theoretical account (an early assumption or bias). This conflation of paradigm/effect 87 with theory is problematic, as other authors who have argued against the theoretical account then are in the awkward position of rejecting the name for the paradigm they have used. Other labels (e.g. "disambiguation" or "referent selection" effect) are not ideal, however, because they are not as specific do not refer as closely to the previous literature. Here we 91 adopt the label "mutual exclusivity" (ME) for the general family of paradigms and 92 associated effects, without prejudgment of the theoretical account of these effects. ME has also been referred to as "fast mapping." This conflation is confusing at best. 94 In an early study, Carey and Bartlett (1978) presented children with an incidental word 95 learning scenario by using a novel color term to refer to an object: "You see those two travs over there. Bring me the *chromium* one. Not the red one, the *chromium* one." Those data (and subsequent replications, e.g. L. Markson & Bloom, 1997) showed that this exposure was enough to establish some representation of the link between phonological form and meaning that endured over an extended period; a subsequent clarification of this theoretical claim 100 emphasized that these initial meanings are partial (Carey, 2010). Importantly, however, 101 demonstrations of retention relied on learning in a case where there was a contrastive 102 presentation of the word with a larger set of contrastive cues (Carey & Bartlett, 1978) or 103 pre-exposure to the object (L. Markson & Bloom, 1997). 104

## Theoretical views of the disambiguation inference

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What are the cognitive processes underlying the disambiguation inference? A range of proposals have been made in the literature. Here we briefly describe each.

Constraint and bias accounts. Under this account, children are argued to have a 108 constraint or bias that is innate or early emerging. One version of the account, proposed by 109 Markman and colleagues (Markman & Wachtel, 1988; Markman et al., 2003), is that 110 children have a constraint on the types of lexicons considered when learning the meaning of 111 a new word – a "mutual exclusivity constraint." With this constraint, children are biased to 112 consider only those lexicons that have a one-to-one mapping between words and objects. 113 Importantly, this constraint can be overcome in cases where it is incorrect (e.g. property 114 names), but it nonetheless serves to restrict the set of lexicons initially entertained when 115 learning the meaning of a novel word. Under this view, then, the disambiguation effect 116 emerges from a general constraint on the structure of lexicons. A related proposal is that 117 children do not reject the familiar object as a potential referent, but rather have a bias to 118 map novelity to novelity (R.M. Golinkoff et al., 1994). This proposal is termed the 119 Novel-Name Nameless-Category principle (N3C). 120

Probabilistic accounts. Probablistic accounts contend that the disambiguation inference is not explcitly encoded in the system, but rather is a more general property of a word learning system that tracks exemplars of words and their referents over time (Fazly, Alishahi, & Stevenson, 2010; M. C. Frank, Goodman, & Tenenbaum, 2009; McMurray, Horst, & Samuelson, 2012; Regier, 2005).

**Pragmatic accounts.** Under the pragmatic account, the disambiguation inference 126 is the result of an online inferences made within the referential context about the intention of 127 the speaker (Clark, 1987; Diesendruck & Markson, 2001). In particular, Clark suggests that 128 the disambiguation effect is due to two pragmatic assumptions held by speakers. The first 129 assumption is that speakers within the same speech community use the same words to refer 130 to the same objects ("Principle of Conventionality"). The second assumption is that different 131 linguistic forms refer to different meanings ("Principle of Contrast"). In the disambiguation 132 task described above, then, children might reason (implicitly) as follows: You used a word 133 I've never heard before. Since, presumably we both call a ball "ball" and if you'd meant the ball you would have said "ball," this new word must refer to the new object. Thus, under this account, the disambiguation effect emerges not from a higher-order constraint on the structure of lexicons, but instead from in-the-moment inferences using general pragmatic principles.

Logical inference accounts. Halberda (2003) argues that children may map the novel label to the novel object in the disambiguation paradigm is a result of domain-general reasoning processes. In particular, Halberda argues that the mapping problem can be formalized generally as: A or B, not A, therefore B. Markman has made a similiar proposal, describing the inference as an instance of "explaining away."

Over-hypothesis accounts. Lewis and Frank (2013) suggest that the inference 144 could emerge as a function of multiple mechanisms at two different timescales – one as a 145 function of information about the pragmatic or inferential structure of the current task, as 146 proposed by the pragmatic, probabilistic, and logical inference accounts, and one as a function of higher-order knowledge about how the lexicon is structured, as proposed by the bias and constraints account. Under this proposal, the disambiguation inference would begin in young children via reasoning within the context of the task, but over time, the learner would develop a learned "overhypothesis" that the lexicon is structured such that each 151 meaning is associated with one and only one label. Both mechanisms would then contribute 152 to the inference with different weights across development and across children. 153

#### 54 The current study

Given the potential importance of the disambiguation inference in word learning, the goal of the current work is to further develop a theory about the mechanisms underlying the effect. Towards this end, we first provide a quantitative synthesis of the current literature related to the disambiguation effect in the form of a meta-analysis. The meta-analysis allows us to gain a clear picture of the empirical landscape in terms of the magnitude of the effect as well as the role of moderating variables. We then present two experiments that examine

the causal role of an understudied moderator in the literature – experience. In Experiment 1, 161 we examine the relationship between vocabulary size and the strength of the disambiguation 162 effect on a large sample of children. We find evidence that children with larger vocabularies 163 tend to have a stronger disambiguation bias, consistent with the notion that language 164 experience influences the disambiguation bias. In Experiment 2, we then more directly test 165 the hypothesis that language experience plays a *causal* role in the disambiguation inference, 166 by directly manipulating children's amount of experience with a word. We find greater 167 experience with the familiar word in the disambiguation paradigm leads to a stronger 168 disambiguation inference. We conclude by re-evaluating a theory of the disambiguation effect 169 in light of our new evidence.

## Meta-analysis

To assess the strength of the disambiguation bias as well a moderating factors, we conducted a meta-analysis on the existing body of literature that investigates the disambiguation effect.

#### 175 Methods

//github.com/langcog/me vocab

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**Search strategy.** We conducted a forward search based on citations of Markman 176 and Wachtel (1988) in Google Scholar, and by using the keyword combination "mutual 177 exclusivity" in Google Scholar (September 2013; November 2017). Additional papers were 178 identified through citations and by consulting experts in the field. We then narrowed our 179 sample to the subset of studies that used one of two different paradigms: (a) an experimenter 180 says a novel word in the context of a familiar object and a novel object and the child guesses 181 the intended referent (the canonical paradigm; "Familiar-Novel"), or (b) experimenter first 182 provides the child with an unambiguous mapping of a novel label to a novel object, and then 183 introduces a second novel object and asks the child to identify the referent of a second novel 184 <sup>1</sup>Data and analysis code for this and subsquent studies are available in an online repository at: https:

label ("Novel-Novel"). For Familiar-Novel conditions, we included conditions that included more than one familiar object (e.g. Familiar-Familiar-Novel). From these conditions, we 186 restricted our sample to only those that satisfied the following criteria: (a) participants were 187 children (less than 12 years of age)<sup>2</sup>, (b) referents were objects or pictures (not facts or object 188 parts), and (c) no incongruent cues (e.g. eye gaze at familiar object). All papers used either 189 forced-choice pointing or eye-tracking methodology. All papers were peer-reviewed with the 190 exception of two dissertations (Williams, 2009; Frank, I., 1999), but all main results reported 191 below remain the same when these papers are excluded. In total, we identified 43 papers 192 that satisfied our selection criteria and had sufficient information to calculate an effect size. 193

For each paper, we coded separately each relevant condition with each age 194 group entered as a separate condition. For each condition, we coded the paper metadata 195 (citation) as well as several potential moderator variables: mean age of infants, method 196 (pointing or evetracking), participant population type, estimates of vocabulary size from the 197 Words and Gestures form of the MacArthur-Bates Communicative Development Inventory 198 when available (Fenson et al., 2007, MCDI; 1994), referent type (object or picture), and 199 number of alternatives in the forced choice task. We used production vocabulary as our 200 estimate of vocabulary size since it was available for more studies in our sample. We coded 201 participant population as one of three subpopulations that have studied in the literature: (a) 202 typically-developing monolingual children, (b) multilingual children (including both 203 bilingual and trilingual children), and (c) non-typically developing children. Non-typically 204 developing conditions included children with selective language impairment, language delays, 205 hearing impairment, autism spectrum disorder, and down-syndrome. 206

In order to estimate effect size for each conditions, we also coded sample size, proportion novel-object selections, baseline (e.g., .5 in a 2-AFC paradigm), and standard deviations for novel object selections, t-statistic, and Cohen's d. For several conditions, there

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<sup>&</sup>lt;sup>2</sup>This cutoff was arbitrary but allowed us to include conditions from older children from non-typically-developing populations.

was insufficient data reported in the main text to calculate an effect size (no means and 210 standard deviations, t-statistics, or Cohen's ds), but we were able to estimate the means and 211 standard deviations though measurement of plots (N=13), imputation from other data 212 within the paper (N=4; see SI for details), or through contacting authors (N=26). Our 213 final sample included 157 effect sizes ( $N_{\text{typical-developing}} = 135; N_{\text{multilingual}} = 12;$ 214  $N_{\text{non-typically-developing}} = 10$ ). 215 We calculated effect sizes (Cohen's d) from reported means Statistical approach. 216 and standard deviations where available, otherwise we relied on reported test-statistics (t or 217 d). Effect sizes were computed by a script, compute\_es.R, available in the Github repository. 218 All analyses were conducted with the metafor package (Viechtbauer & others, 2010) using 219 mixed-effect models with grouping by paper.<sup>3</sup> In models with moderators, moderators 220 variables were included as additive fixed effects. All estimate ranges are 95% confidence intervals.

# 223 Meta-analytic Analyses

We conducted a separate meta-analysis for four theoretically-relevant conditions:
Familiar-Novel trials with typically developing participants, Novel-Novel trials with typically
developing participants, conditions with multilingual participants, and conditions with
non-typically developing participants.

Typically-Developing Population: Novel-Familiar Trials. We first examined
effect sizes for the disambiguation effect for typically-developing children in the canonical
familiar-novel paradigm. This is the central data point that theories of disambiguation must
explain.

Results. The overall effect size for these conditions was 1.1 [0.79, 1.42], and reliably greater than zero (p < .001; Figure 1). The effect sizes contained considerable heterogeneity, however (Q = 968.13; p < .001).

The exact model specification was as follows: metafor::rma.mv(yi = effect\_size, V = effect\_size\_var, random =  $\sim 1$  | paper).

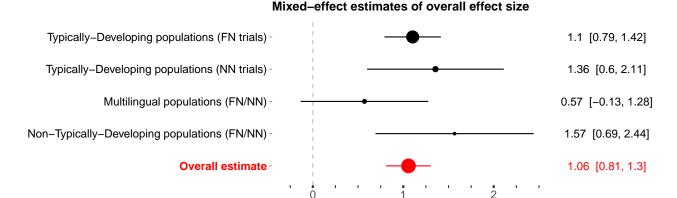


Figure 1. Mixed-effect effect size estimates for all conditions (red) and each of the four theoretically-relevant conditions in our sample. Ranges are 95% confidence intervals. Point size corresponds to sample size. FN = Familiar-Novel trials; NN = Novel-Novel trials.

Effect Size

We next tried to predict this heterogeneity with two moderators corresponding to 235 developmental change: age and vocabulary size. In a model with age as a moderator, age 236 was a reliable predictor of effect size ( $\beta = 0.05$ , z = 11.85, p < .001; see Table 1), suggesting 237 that the disambiguation effect becomes larger as children get older. Age of participants was 238 highly correlated with vocabulary size in our sample (r = 0.65, p < .01), so next we asked 230 whether vocabulary size predicted independent variance in the magnitude of the 240 disambiguation bias on the subset of conditions for which we had estimates of vocabulary 241 size (N=23). To test this, we fit a model with both age and vocabulary size as moderators. 242 Vocabulary size ( $\beta = 0.07$ , z = 2.14, p = 0.03), but not age ( $\beta = -0.78$ , z = -1.11, p = 0.27, 243 was a reliable predictor of disambiguation effect size. These analyses confirm that the disambiguation phenomenon is robust, and associated 245 with a relatively large effect size (d = 1.1 [0.79, 1.42]). In addition, this set of analyses provides theory-constraining evidence about the mechanisms underlying the effect. In 247 particular, the finding that vocabulary predicts more variance in effect size, compared to age, 248 suggests that there is an experience related component to the mechanism, independent of maturational development alone.

Table 1

Meta-analytic model parameters for model including age as a fixed effect. The first model (top)
estimates effect sizes for all studies in our sample. The four subsequent models present separate
models paremeters for four seperate conditions. Ranges are 95% confidence intervals.

Model	n	term	n estimate		p
Overall estimate	157	intercept	-0.18 [-0.47, 0.11]	-1.21	0.23
		age	$0.03 \ [0.03, \ 0.04]$	11.32	<.01
Typically-Developing populations (FN trials)	117	intercept	-0.33 [-0.71, 0.05]	-1.73	0.08
		age	$0.05 \ [0.04, \ 0.05]$	11.85	<.01
Typically-Developing populations (NN trials)	18	intercept	0.06 [-0.8, 0.93]	0.15	0.88
		age	$0.03 \ [0.01, \ 0.04]$	3.55	<.01
Multilingual populations (FN/NN)	12	intercept	0.05 [-0.78, 0.87]	0.11	0.91
		age	$0.02 \ [0, \ 0.03]$	1.77	0.08
Non-Typically-Developing populations (FN/NN) $$	10	intercept	-0.58 [-2.08, 0.92]	-0.75	0.45
		age	0.04 [0.01, 0.06]	3.15	<.01

Note. n = sample size (number of studies); FN = Familiar-Novel; NN = Novel-Novel.

Typically-Developing Population: Novel-Novel Trials. The results from the
Familiar-Novel trials point to a role for vocabulary knowledge in the strength of the
disambiguation effect. One way in which this vocabulary knowledge could lead to increased
performance on the Familiar-Novel disambiguation task is through increased certainty about
the label associated with the familiar word: If a child is less certain that a ball is called
"ball," then the child should be less certain that the novel label applies to the novel object.
Novel-Novel trials control for potential variability in certainty about the familiar object by
teaching participants a new label for a novel object prior to the critical disambiguation trial,
where this previously-learned label becomes the "familiar" object in the disambiguation trial.

If knowledge of the familiar object is not the only contributor to age-related changes in the disambiguation effect, then there should be developmental change in Novel-Novel trials, as well as Novel-Familiar trials. In addition, if the strength of knowledge of the "familiar" object influences the strength of the disambiguation effect, then the overall effect size should be smaller for Novel-Novel trials, compared to Familiar-Novel trials.

For conditions with the Novel-Novel trial design, the overall effect size was 1.36 [0.6, 2.11] and reliably greater than zero (p < .001). We next asked whether age predicted some of the variance in these trials by fitting a model with age as a moderator. Age was a reliable predictor of effect size ( $\beta = 0.03$ , z = 3.55, p < .001), suggesting that the strength of the disambiguation bias increases with age.

Finally, we fit a model with both age and trial type (Familiar-Novel or Novel-Novel) as moderators of the disambiguation effect. Both moderators predicted independent variance in disambiguation effect size (age:  $\beta = -0.08$ , z = -0.42, p = 0.68; trial-type:  $\beta = 0.04$ , z = 12.34, p < .0001), with Familiar-Novel conditions and conditions with older participants tending to have larger effect sizes.

These analyses point to an influence on the disambiguation effect of both development (either via maturation or experience-related changes) as well as the strength of the familiar word representation. A successful theory of disambiguation will need to account for both of these empirical facts.

Multilingual Population. We next turn to a different population of participants:

Children who are simultaneously learning multiple languages. This population is of
theoretical interest because it allows us to isolate the influence of linguistic knowledge from
the influence of domain-general capabilities. If the disambiguation phenomenon relies on
mechanisms that are domain-general and independent of linguistic knowledge, then we
should expect the magnitude of the effect size to be the same for multilingual children
compared to monolingual children.

Children learning multiple languages reliably showed the disambiguation effect (d =

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this model to detect a difference if one existed.

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1.57 [0.69, 2.44]). We next fit a model with both monolingual (typically-developing) and multilingual participants, predicting effect size with language status (monolingual vs. multilingual), while controlling for age. Language status was not a reliable predictor of effect size ( $\beta = 0.20$ , z = 1.42, p = 0.16), but age was ( $\beta = 0.03$ , z = 11.54, p < .0001).

These data do not provide strong evidence that language-specific knowledge influences effect size, however, the small sample size of studies from this population limit the power of

Non-Typically-Developing Population. Finally, we examine a third-population 294 of participants: non-typically developing children. This group includes a heterogenous sample of children with diagnoses including Autism-Spectrum Disorder (ASD), Mental Retardation, Williams Syndrome, Late-Talker, Selective Language Impairment, and 297 deaf/hard-of-hearing These populations are of theoretical interests because they allow us to 298 observe how impairment to a particular aspect of cognition influences the magnitude of the 299 disambiguation effect. For example, children with ASD are thought to have impaired social 300 reasoning skills (e.g., Phillips, Baron-Cohen, & Rutter, 1998); thus, if children with ASD are 301 able to succeed on disambiguation tasks, this suggests that social reasoning skills are not 302 necessary to making a disambiguation inference. 303

Overall, non-typically developing children succeeded on disambiguation tasks (d = 1.57 [0.69, 2.44]). In a model with age as a moderator, age was a reliable predictor of the effect, suggesting children became more accurate with age, as with other populations ( $\beta = 0.04$ , z = 3.15, p < .001).

We also asked whether the effect size for non-typically developing children differed from typically-developing children, controlling for age. We fit a model predicting effect size with both development type (typical vs. non-typical) and age. Development type was a reliable predictor of effect size with non-typically developing children tending to have a smaller bias compared to typically developing children ( $\beta = -0.50$ , z = -2.86, p < .0001). Age was also a reliable predictor of effect size in this model ( $\beta = 0.04$ , z = 11.34, p < .0001).

This analysis suggests that non-typically developing children succeed in the 314 disambiguation paradigm just as typically developing children do, albeit at lower rates. 315 Theoretical accounts of the disambiguation phenomenon will need to account for how 316 non-typically developing children are able to succeed in the disambiguation task, despite a 317 range of different cognitive impairments. 318

## Discussion

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To summarize our meta-analytic findings, we find a robust disambiguation effect in 320 each of the three populations we examined, as well as evidence that the magnitude of this 321 effect increases across development. We also find that the effect is larger in the canonical 322 Novel-Familiar paradigm compared to the Novel-Novel paradigm, but both designs show 323 roughly the same developmental trajectory. 324

Taken together, these analyses provide several theoretical constraints with respect to 325 the mechanism underlying the disambiguation effect. First, language experience likely accounts for some developmental change. This conclusion derives from the fact that we see a larger effect size in Novel-Familiar trials compared to Novel-Novel trials, and that there is a suggestive correlation between vocabulary size and the strength of the disambiguation effect. 329 Second, independent of familiar word knowledge, the strength of the bias increases across 330 development. This constraint comes from the fact that the bias strengthens across 331 development in the Novel-Novel conditions, and from the fact that there is not a significant 332 impairment to effect in multilingual children (who presumably have less language experience 333 with any particular language). Third, children with a range of different impairments are able 334 to make the inference, suggesting that no single mechanism is both necessary and sufficient 335 for the effect. 336

These three constraints are consistent with many of individual proposed accounts, as well as a various combinations of them. For example, an effect of language experience on the 338 disambiguation effect via vocabulary knowledge is most consistent with the overhypothesis

experience and the disambiguation effect.

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account, which predicts a stronger learned bias with vocabulary development. However, all 340 four accounts predict developmental change in the NN trials. Under the overhypothesis 341 account, as children are exposed to more language, they develop a stronger learned bias even 342 when the "familiar" word is not previously known; Under the pragmatics account, as children 343 are exposed to more language, they develop more skill in making social inferences, which 344 would led to increased performance on the NN trials; And, under the bias and probabilistic 345 accounts, maturational change could contribute to development in domain-general abilities, 346 leading to a stronger disambiguation inference. Finally, the ability of children to succeed in the disambiguation tasks despite a range of impairments suggests that accounts that rely on 348 a single mechanism, such as pragmatic reasoning or a mutual exclusivity constraint alone, are 349 unlikely to describe the mechanism underlying the disambiguation effect across all children. 350 In the next section, we gather additional evidence to shed light on the relative 351 contributions of these different mechanisms on the disambiguation effect. In particular, we 352 use experimental methods to more directly examine the relationship between linguistic 353

## Experiment 1: Disambiguation Effect and Vocabulary Size

Our meta-analysis points to a robust developmental increase in the strength of the 356 disambiguation effect with age. While all four accounts are able to predict this change, only 357 the overhypothesis account predicts that this increase should be directly related to 358 vocabulary knowledge. However, the meta-analytic approach is limited in its ability to 359 measure this relationship since few studies in our sample measure vocabulary size (N = 8), and even fewer measure vocabulary size at multiple ages within the same study (Markman et al., 2003; N=2; Mather & Plunkett, 2009). In Experiment 1, we therefore aimed to test the prediction that children with larger vocabularies should have a stronger disambiguation bias 363 by measuring vocabulary size in a large sample of children across multiple ages who also 364 completed the disambiguation task. We find that vocabulary size is a strong predictor of the 365

strength of the disambiguation effect across development and that vocabulary size predicts more variance than developmental age. 367

#### Methods

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Participants. A sample of 226 children were recruited at the Children's Discovery 360 Museum of San Jose. 72 children were excluded because they did not satisfy our planned 370 inclusion criteria: within the age range of 24-48 months (n = 13), completed all trials (n = 13)371 48), exposed to English greater than 75% of the time (n=37), and correctly answered at 372 least half of the familiar noun control trials (n = 55). Our final sample included 154 children 373  $(N_{\text{females}} = 93).$ Stimuli. The disambiguation task included color pictures of 14 novel objects (e.g., a 375 pair of tongs) and 24 familiar objects (e.g. a cookie; see SI). Items in the vocabulary 376 assessment were a fixed set of 20 developmentally appropriate words from the Pearson 377 Peabody Vocabulary Test (see Appendix; L. M. Dunn, Dunn, Bulheller, & Häcker, 1965). 378 **Design and Procedure.** Sessions took place individually in a small testing room 379 away from the museum floor. The experimenter first introduced the child to "Mr. Fox," a 380 cartoon character who wanted to play a guessing game. The experimenter explained that 381 Mr. Fox would tell them the name of the object they had to find, so they had to listen 382 carefully. Children then completed a series of 19 trials on an iPad, 3 practice trials followed 383 by 16 experimental trials. In the practice trials, children were shown two familiar pictures 384 (FF) on the iPad and asked to select one, given a label. If the participant chose incorrectly 385 on a practice trial, the audio would correct them and allow the participant to choose again. 386 The child then completed the test phase. Like the practice trials, each of the test trials 387 consisted of a word and two pictures, and the child's task was to identify the referent. 388 Within participants, we manipulated two features of the task: the target referent (Novel 389 (Experimental) or Familiar (Control)) and the type of alternatives (Novel-Familiar or 390 Novel-Novel; NF or NN). On novel referent trials, children were given a novel word and

expected to select the novel object via the disambiguation inference. On familiar referent 392 trials, children were given a familiar word and expected to select the correct familiar object. 393 On Novel-Familiar trials, children saw a picture of a novel object and a familiar objects 394 (e.g. a cookie and a pair of tongs). On Novel-Novel trials, children saw pictures of two novel 395 objects (e.g. a pair of tongs and a leak). The design features were fully crossed such that 396 half of the trials were of each trial type (Experimental-NF, Experimental-NN, Control-NF, 397 Control-NN). Trials were presented randomly, and children were only allowed to make one 398 selection. 399

After the disambiguation task, we measured children's vocabulary in a simple vocabulary assessment. in which children were presented with four randomly selected images and prompted to choose a picture given a label. Children completed 2 practice trials followed by 20 test trials.

Data analysis. Selections on the disambiguation task were coded as correct if the
participant selected the familiar object on Control and the novel object on Experimental
trials. We centered both age and vocabulary size for interpretability of coefficients. All
models are logistic mixed effect models fit with the lme4 package in R (D. Bates, Mächler,
Bolker, & Walker, 2015). Each model was fit with the maximal random effect structure. All
ranges are 95% confidence intervals. Effect sizes are Cohen's d values.

## 410 Results and Discussion

Participants completed the three practice trials (FF) with high accuracy, suggesting that they understood the task (M=0.91 [0.88, 0.94]).

We next examined performance on the four trial types. Children were above chance (.5) in both types of control conditions where they were asked to identify a familiar referent (Control-NF: M = 0.89, SD = 0.17, d = 2.32 [2.02, 2.63]; Control-NN: M = 0.78, SD = 0.25, d = 1.1 [0.85, 1.35]). Critically, children also succeeded on both types of experimental trials where they were required to select the novel object (NF: M = 0.84, SD = 0.21, d = 1.62

[1.34, 1.89]; NN: M = 0.79, SD = 0.27, d = 1.08 [0.83, 1.33]).

To compare all four conditions, we fit a model predicting accuracy with target type (F 419 (Control) vs. N (Experimental)) and trial type (NF vs. NN) as fixed effects. We included 420 both target type and trial type as main effects as well as a term for their interaction. There 421 was a main effect of trial type, suggesting that participants were less accurate in NN trials 422 compared to NF trials (B = -0.87, SE = 0.25, Z = -3.51, p < .001). The main effect of target 423 type was not significant (B = -0.49, SE = 0.29, Z = -1.69, p = 0.09). The interaction between the two factors was marginal (B = 0.57, SE = 0.36, Z = 1.56, p = 0.12), suggesting 425 that Novel target trials (Experimental) were more difficult than Familiar target trials 426 (Control) for NF trials but not NN trials.

Our main question was how accuracy on the experimental trials changed over development. We examined two measures of developmental change: Age (months) and vocabulary size, as measured in our vocabulary assessment We assigned a vocabulary score to each child as the proportion correct selections on the vocabulary assessment out of 20 possible. Age and vocabulary size were positively correlated, with older children tending to have larger vocabularies compared to younger children (r = 0.45 [0.3, 0.57], p < .001).

Figure 3 shows log linear model fits for accuracy as a function of age (left) and 434 vocabulary size (right) for both NF and NN trial types. To examine the relative influence of 435 maturation and vocabulary size on accuracy, we fit a model predicting accuracy with 436 vocabulary size, age, and trial type (Experimental-NN, and Experimental-NF). We included 437 all possible main and interaction terms as fixed effects. Table 2 presents the model 438 parameters. The only reliable predictor of accuracy was vocabulary size (B = 5.93, SE = 0,439 Z = 6406.33, p < .0001), suggesting that children with larger vocabularies tended to be more 440 accurate in the disambiguation task. Notably, age was not a reliable predictor of accuracy over and above vocabulary size (B = 0.02, SE = 0, Z = 21.8, p < .0001).

Discussion. Experiment 1 directly examines the relationship between the strength of the disambiguation effect and vocabulary size. We find that the strength of the

Table 2

Parameters of logistic mixed model predicting accuracy on disambiguation trials as a function of trial type (Novel-Familiar (NF) vs. Novel-Novel (NN)), age (months), and vocabulary size as measured by our vocabulary assessment.

term	Beta	SE	Z	р
(Intercept)	2.01	0.00	2,240.62	<.0001
Vocabulary	5.93	0.00	6,406.33	<.0001
Trial Type (NN)	-0.51	0.00	-564.56	<.0001
Age	0.02	0.00	21.80	<.0001
Vocabulary x Trial Type (NN)	-2.95	0.00	-3,185.91	<.0001
Vocabulary x Age	-0.01	0.00	-9.88	<.0001
Age x Trial Type (NN)	0.02	0.00	18.24	<.0001
Vocabulary x Age x Trial Type (NN)	0.13	0.00	145.54	<.0001

disambiguation effect is highly predicted by vocabulary size. In addition, we find that the bias is larger for NF trials, compared to NN trials.

The pattern of findings that we find is consistent with meta-analytic estimates of those
same effects. Figure 4 presents the data from the experimental conditions in Experiment 1
together with meta-analytic estimates, as a function of age. To compare the experimental
data with the meta-analytic data, an effect size was calculated for each participant. As in
the meta-analytic models, the effect size is smaller for NN trials compared to NF trials,
though the magnitude of this difference is smaller. We also see that the variance is larger for

<sup>&</sup>lt;sup>4</sup>Because some participants had no variability in their responses (all correct or all incorrect), we used the across-participant mean standard deviation as an estimate of the participant level standard deviation in order to convert accuracy scores into Cohen's d values.

the meta-analytic estimates compared to the experimental data, presumably because there is 453 more heterogeneity across experiments than across participants within the same experiment. 454 The experimental data thus provide converging data with the meta-analysis that there is 455 developmental change in the strength of the bias, and that the effect is weaker for NN trials. 456 In addition, the data from Experiment 1 provide new evidence relevant to the 457 mechanism underlying the effect: children with larger vocabulary tend to to have a stronger 458 disambiguation bias. In principle there are two ways that vocabulary knowledge could 450 support the disambiguation inference. The first is by influencing the strength of the learner's 460 knowledge about the label for the familiar word: If a learner is more certain about the label 461 for the familiar object, they can be more certain about the label for novel object. This 462 account explains the developmental change observed for NF trials. However, this account 463 does not explain the relationship of vocabulary with NN trials, since no prior vocabulary 464 knowledge is directly relevant to this inference. This relationship between vocabulary size 465 and NF size suggests that vocabulary knowledge could also influence the effect by providing 466 evidence for general constraint that there is a one-to-one mapping between words and 467 referents. This empirical fact is consistent with the overhypothesis account. 468 Importantly, however, data from both the meta-analytic study and the current 469 experiment only provide correlational evidence about the relationship between vocabulary 470 size and the disambiguation inference. In Experiment 2, we experimentally test the 471 hypothesis that the strength of the learner's knowledge about the familiar object influences 472

## Experiment 2: Disambiguation Effect and Familiarity

the strength of the disambiguation inference, thereby testing one possible route through

which vocabulary knowledge may be related to the disambiguation phenomenon.

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In Experiment 2, we test a causal relationship between vocabulary size and the
disambiguation effect by experimentally manipulating the strength of word knowledge. We
do this by teaching participants a label for a novel object and varying the number of times

Table 3			
Demographics	$of\ children$	in Experim	nent 2.

Age group	Mean age (months)	Sample size
2	30.99	38
3	40.99	35
4	52.16	37

the object is labeled. This manipulation allows us to vary children's certainty about the label for an object, with objects that have been labeled more frequently associated with high certainty about the label name. The newly, unabiguously labeled object then serves as the "familiar" object in a novel-novel trial. If the strength of vocabulary knowledge about the "familiar" object influences, the strength of the disambiguation effect, then we should expect a larger bias when the the familiar object has been labeled more frequently. We find a pattern consistent with this prediction.

## 486 Methods

We report how we determined our sample size, all data exclusions (if any), all manipulations, and all measures in the study.

Participants. We planned a total sample of 108 children, 12 per between-subjects labeling condition, and 36 total in each one-year age group (see Table 3). Our final sample was 110 children, ages 25 – 58.50 months, recruited from the floor of the Boston Children's Museum. Children were randomly assigned to the one-label, two-label, or three label condition, with the total number of children in each age group and condition ranging between 10 and 13.

Materials. Materials were the set of novel objects used in de Marchena, Eigsti,
Worek, Ono, and Snedeker (2011), consisting of unusual household items (e.g., a yellow

plastic drain catcher) or other small, lab-constructed stimuli (e.g., a plastic lid glued to a popsicle stick). Items were distinct in color and shape.

**Procedure.** Each child completed four trials. Each trial consisted of a training and 499 a test phase in a "novel-novel" disambiguation task (de Marchena et al., 2011). In the 500 training phase, the experimenter presented the child with a novel object, and explicitly 501 labeled the object with a novel label 1, 2, or 3 times ("Look at the dax"), and contrasted it 502 with a second novel object ("And this one is cool too") to ensure equal familiarity. In the 503 test phase, the child was asked to point to the object referred to by a second novel label 504 ("Can you show me the zot?"). Number of labels used in the training phase was manipulated 505 between subjects. There were eight different novel words and objects. Object presentation 506 side, object, and word were counterbalanced across children. 507

Data analysis. We followed the same analytic approach as we registered in 508 Experiment 1, though data were collected chronologically earlier for Experiment 2. 509 Responses were coded as correct if participants selected the novel object at test. A small 510 number of trials were coded as having parent or sibling interference (N = 11), experimenter error (N=2), or a child who recognized the target object (N=4), chose both objects (N=4)2) or did not make a choice (N = 8). These trials were excluded from further analyses; all 513 trials were removed for two children for whom there was parent or sibling interference on 514 every trial. We centered both age and number of labels for interpretability of coefficients. 515 The analysis we report here is consistent with that used in Lewis and Frank (2013), though 516 there are some slight numerical differences due to reclassification of exclusions. 517

## 18 Results and Discussion

As predicted, children showed a stronger disambiguation effect as the number of training labels increased, and as noise decreased with age (Figure 5).

We analyzed the results using a logistic mixed model to predict correct responses with age, number of labels, and their interaction as fixed effects, and participant as a random

Table 4

Parameters of logistic mixed model predicting accuracy on disambiguation trials as a function of age (months) and number of times a label for the familiar object was observed.

term	В	SE	Z	р
(Intercept)	0.31	0.10	2.94	< .001
Age	0.05	0.01	4.13	< .001
Num. Labels Observed	0.48	0.13	3.75	< .001
Age x Num. Labels Observed	0.02	0.01	1.58	0.11

effect. Model results are shown in Table 4. There was a significant effect of age such that older children showed a stronger disambiguation bias and a significant effect of number of labels, such that more training labels led to stronger disambiguation, but the interaction between age and number of labels was not significant.

These data provide causal evidence that the strength of knowledge of the familiar word influences the strength of the disambiguation effect. It thus points to one route through which a child's vocabulary knowledge might influence the disambiguation inference.

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General Discussion

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# Disambiguation Development from Meta-Analysis

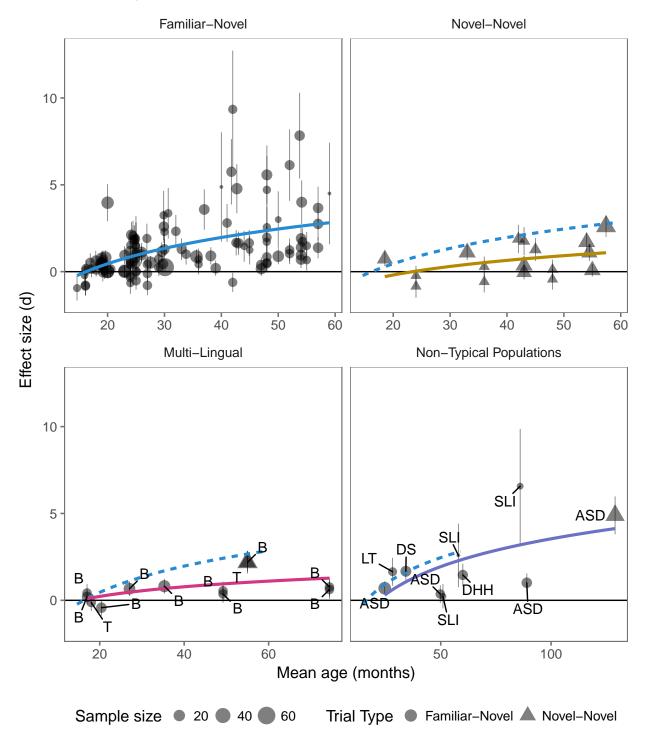


Figure 2. Developmental plots for each moderator. Ranges correspond to 95% confidence intervals. Model fits are log-linear. Point size corresponds to sample size, and point shape corresponds to trial type (Familiar-Novel vs. Novel-Novel). Note that the x-axis scale varies by facet. B = bilingual; T = trilingual; LT = late-talker; ASD = autism spectrum disorder; DS = down syndrome; SLI = selective language imparement; DHH = deaf/heard-of-hearing.

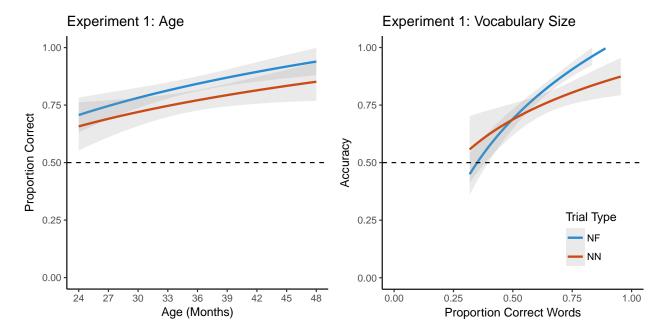


Figure 3. Experiment 1 results. Accuracy as a function of age (months; left) and vocabulary size (proportion correct on vocabulary assessment; right). Blue corresponds to trials with the canonical novel-familiar disambiguation paradigm, and red corresponds to trials with two novel alternatives, where a novel of label for one of the objects is unambiguously introduced on a previous trial. The dashed line corresponds to chance. Ranges are 95% confidence intervals.

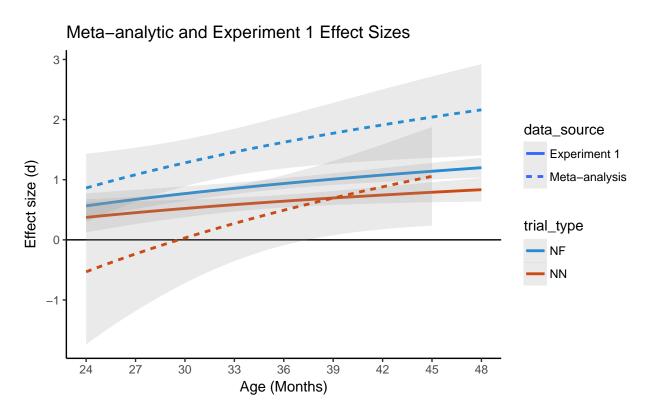


Figure 4. Meta-analytic data and data from experimental trials in Experiment 1 as a function of age. Effect sizes for Experiment 1 data are calculated for each participant, assuming the across-participant mean standard deviation as an estimate of the participant level standard deviation. Ranges are 95% confidence intervals.

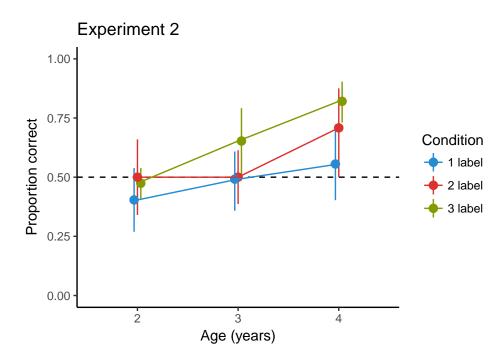


Figure 5. Accuracy data for three age groups across three different conditions. Conditions varied by the number of times the child observed an unambiguous novel label applied to the familiar object prior to the critical disambiguation trial. The dashed line corresponds to chance. Ranges are 95% confidence intervals.

# Appendix

- 596 1. hatchet
- 597 2. elephant
- 598 3. flamingo
- 599 4. duck
- 600 5. hug
- 6. broccoli
- 602 7. panda
- 8. hexagon
- 9. parallelogram
- 605 10. carpenter
- 606 11. drum
- 607 12. chef
- 608 13. bear
- 609 14. harp
- 610 15. vase
- 611 16. globe
- 612 17. triangle
- 613 18. vegetable
- 614 19. beverage
- 615 20. goat