

The role of experience in disambiguation during early word learning

Molly Lewis^{1, 6}, Veronica Cristiano², Brenden Lake³, Tammy Kwan⁴, & Michael C. Frank⁵

¹ University of Chicago

² Gallaudet University

³ New York University

⁴ Cognitive Toybox, Inc.

⁵ Stanford University

⁶ University of Wisconsin, Madison

Author Note

Add complete departmental affiliations for each author here. Each new line herein must be indented, like this line.

Enter author note here.

Correspondence concerning this article should be addressed to Molly Lewis, Postal address. E-mail: my@email.com

Abstract

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17 *Keywords:* keywords

18 Word count: X

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Introduction

A central property of language is that each word in the lexicon maps to a unique concept, and each concept maps to a unique word (Clark, 1987). Like other important regularities in language (e.g. grammatical categories), children cannot directly observe this general property. Instead, they must learn to use language in a way that is consistent with the generalization on the basis of evidence about only specific word-object pairs.

Even very young children behave in a way that is consistent with this one-to-one regularity in language. Evidence for this claim comes from what is known as the “disambiguation” effect. In a typical demonstration of this effect (Markman & Wachtel, 1988), children are presented with a novel and familiar object (e.g., a whisk and a ball), and are asked to identify the referent of a novel word (“Show me the dax”). Children in this task tend to choose the novel object as the referent, behaving in a way that is consistent with the one-to-one word-concept regularity in language across a wide range of ages and experimental paradigms (Bion, Borovsky, & Fernald, 2012; Golinkoff, Mervis, Hirsh-Pasek, & others, 1994; Halberda, 2003; Markman, Wasow, & Hansen, 2003; Mervis, Golinkoff, & Bertrand, 1994).

This effect has received much attention in the word learning literature because the ability to identify the meaning of a word in ambiguous contexts is, in essence, the core problem of word learning. That is, given any referential context, the meaning of a word is underdetermined (Quine, 1960), and the challenge for the word learner is to identify the referent of the word within this ambiguous context. Critically, the ability to infer that a novel word maps to a novel object makes the problem much easier to solve. For example, suppose a child hears the novel word “kumquat” while in the produce aisle of the grocery store. There are an infinite number of possible meanings of this word given this referential context, but the child’s ability to correctly disambiguate would lead her to rule out all meanings for which she already had a name. With this restricted hypothesis space, the child is more likely to identify the correct referent than if all objects in the context were

considered as possible referents.

What are the cognitive processes underlying this effect? There are broadly two proposals in the literature. Under one proposal, Markman and colleagues (Markman & Wachtel, 1988, Markman et al. (2003)) suggest that children have a constraint on the types of lexicons considered when learning the meaning of a new word – a “mutual exclusivity constraint.” With this constraint, children are biased to consider only those lexicons that have a one-to-one mapping between words and objects. Importantly, this constraint can be overcome in cases where it is incorrect (e.g. property names), but it nonetheless serves to restrict the set of lexicons initially entertained when learning the meaning of a novel word. Under this view, then, the disambiguation effect emerges from a general constraint on the structure of lexicons.

Under a second proposal, the disambiguation effect is argued to result from online inferences made within the referential context (Clark, 1987, Diesendruck and Markson (2001)). In particular, Clark suggests that the disambiguation effect is due to two pragmatic assumptions held by speakers. The first assumption is that speakers within the same speech community use the same words to refer to the same objects (“Principle of Conventionality”). The second assumption is that different linguistic forms refer to different meanings (“Principle of Contrast”). In the disambiguation task described above, then, children might reason (implicitly) as follows: You used a word I’ve never heard before. Since, presumably we both call a ball “ball” and if you’d meant the ball you would have said “ball,” this new word must refer to the new object. Thus, under this account, the disambiguation effect emerges not from a higher-order constraint on the structure of lexicons, but instead from in-the-moment inferences using general pragmatic principles.

These two proposals have traditionally been viewed as competing explanations of the disambiguation effect. Research in this area has consequently focused on identifying empirical tests that can distinguish between these two theories. For example, Diesendruck and Markson (2001) compare performance on a disambiguation task when children are told a

novel fact about an object relative to a novel referential label. They found that children disambiguated in both conditions and argued on grounds of parsimony that the same pragmatic mechanism was likely to be responsible for both inferences. More recent evidence contradicts this view: tests of children with autism, who are known to have impairments in pragmatic reasoning find comparable performance on the disambiguation task between typically developing children and children with autism (de Marchena, Eigsti, Worek, Ono, & Snedeker, 2011; Preissler & Carey, 2005). This result provides some evidence for the view that disambiguation is due to a domain-specific lexical constraint.

We suggest this competing-alternatives approach to the disambiguation effect should be reconsidered. In a disambiguation task, learners may be making use of both general knowledge about how the lexicon is structured as well as information about the pragmatic or inferential structure of the task. Both of these constraints would then support children's inferences. In other words, these two classes of theories may be describing distinct, complimentary mechanisms that each contribute to a single empirical phenomenon with their weights in any given task determined by children's age and language experience, the nature of the pragmatic situation, and other task-specific factors.

ME is important

ME is controversial

What do we even call it?

What's the goal of this paper.

Theoretical views of “mutual exclusivity”

Constraint and bias accounts. ME

N3C

Probabilistic accounts. Regier

McMurray

Frank Goodman Tenenbaum

99 Fazly

100 **Over-hypothesis accounts.** Lewis & Frank (2013)

101 **Pragmatic accounts.** Clark?

102 In the moment

103 Learned pragmatics

104 **Theory-constraining findings**

105 NN vs. NF

106 Speaker-change studies

107 Autism

108 Bilingualism

109 Fast mapping + no retention

110 Developmental change (halberda)

111 **Synthesis**

112 These are definitely features of a successful account: Timescales - must be one “in the
113 moment” - and one longer-term learned mechanism

114 Experience

115 Probabilistic representations

116 Could be the case also that it’s a mixture of pragmatic, etc.

117 **The current study**

118 Gather evidence on strength of finding

119 Test emergent relationship to vocabulary (E1)

120 Test causal relationship to representation strength (E2)

121 Re-evaluate

Meta-analysis

Methods

Search strategy. We conducted a forward search based on citations of Markman and Wachtel (1988) in Google Scholar, and by using the keyword combination “mutual exclusivity” in Google Scholar (September 2013). We also identified additional papers that were cited from this initial list. We then narrowed our sample to the subset that used one of two paradigms: (1) the canonical experimental paradigm for testing disambiguation behavior (an experimenter says a novel word in the context of a familiar object and a novel object, and the child guesses the intended referent; “Familiar-Novel”), or (2) a paradigm that exposed children to an unambiguous mapping of a novel label to a novel object, and then introduced a second novel object and asked children to identify the referent of a second novel label (“Novel-Novel”). We included conditions that included more than one familiar object. We then restricted our sample to only those that contained conditions that satisfied the following criteria: (a) participants were children, (b) referents were objects or pictures (not facts or object parts), (c) no incongruent cues (e.g. eye gaze at familiar object), and (d) peer-reviewed. We included papers that measured responses either through forced-choice pointing or eye-tracking. One paper (Sugimura & Sato, 1996) was excluded because it reported no variability in participants’ performance (all children succeeded), and thus we could not compute an effect size. 36 papers satisfied our criteria.

Coding. For each paper, we coded each relevant condition in each experiment separately, leading to 117 unique conditions in total. For each condition, we coded the paper metadata (citation) as well as several potential moderator variables: method (pointing or eyetracking), mean age of infants, participant population type (e.g., monolingual-typically-developing, ASD, etc.), and estimates of vocabulary size from the Words and Gestures form of the MacArthur-Bates Communicative Development Inventory (MCDI; Fenson et al., 1994, (???)) mean CDI production and comprehension vocabulary score (when available).

To estimate the effect size in each condition, we coded a number of quantitative variables: sample size, proportion novel-object selections, baseline (e.g., .5 in a 2-AFC paradigm), and standard deviations for novel object selections, t-statistic, and Cohen's d. For XX conditions, there was data were insufficient data reported in the main text to calculate an effect size (no means and standard deviations, t-statistic, or cohen's ds), but we were able to esimate the means and standard deviations though measurement of barplots.

Statistical approach. We calculated d

For each paper, we coded each condition in each experiment separately with 117 conditions total

For each Of this sample, 93 did not have

We identified 38 relevant papers and coded each condition in each paper for mean age, effect size (Cohen's d) and CDI productive vocabulary, where reported. Effect size reflected the bias to select the novel object when presented with a novel label, relative to the familiar object. From the 38 total papers, there were 51 conditions in 20 papers for which statistical reporting was sufficient to calculate effect size.

Results

Bilingualism

Autism Spectrum Disorders

Experiment 1: ME and Vocabulary

Methods

We report how we determined our sample size, all data exclusions (if any), all manipulations, and all measures in the study.

Participants. Children were recruited at the Children's Discovery Museum of San Jose. Children were asked if they would be willing to play an iPad game with the experimenter and were informed that they could stop playing at any time. Children first

completed two tasks adapted to iPad; one probing their vocabulary size and one mutual exclusivity inference task. Included in analyses are 166 children out of a planned sample of 160 participants. We ran 62 additional children, who were excluded from analysis based on planned exclusion criteria of low English language exposure (less than or equal to 75%), outside the age range of 24-48 month, children who do not give correct answers on $> 50\%$ of familiar noun (control) trials, or $< 100\%$ of trials completed. Included in our sample were 97 females and 69 males.

Stimuli. Mutual exclusivity inference task was comprised of 19 trials total; three practice trials of Familiar-Familiar (FF) nouns and 16 experimental trials. Experimental trials consisted of Novel-Familiar (NF), and Novel-Novel (NN) noun pairings. Of the pictures presented in the task, 14 objects were familiar and 24 objects were novel. The task included 8 control trials, equally split between NN noun pairings (C-NN) and NF noun pairings (C-NF) given in random order. Children who did not give correct answers on 50% of control trials were excluded from the final sample. The remaining 8 trials were divided equally between NN and NF trials.

The general format of the vocabulary assessment comprised of a 4 image display and a verbal prompt. Two practice trials were administered, followed by 20 experimental trials. Experimental trials included a fixed set of 20 developmentally appropriate words taken from the Pearson Peabody Vocabulary Test. These words were taken from 9 different domains, including professions, food, outside things, instruments, animals, classroom, shapes, verbs, and household items.

Procedure. Sessions took place individually in a small testing room away from the museum floor. In the ME inference task, the experimenter introduced them to “Mr. Fox,” a cartoon character who wanted to play a guessing game. The experimenter explained that Mr. Fox would tell them the name of the object they had to find, so they had to listen carefully. Children then saw 3 practice trials with two commonly known objects (i.e. cup and cookie). If the participant chose incorrectly for this practice trial, the audio would correct

them and allow the participant to choose again. After the practice trials were completed, the task proceeded to run 16 test trials. Reaction times were measured from the onset of the target word. Children could only make one selection. The vocabulary task displayed 4 images randomly selected from the fixed bank of 22 images. Participants were prompted to choose one object. Again, reaction times were measured from the onset of the target word and children could only make one selection.

Data analysis. We used R (3.4.1, R Core Team, 2017) for all our analyses.

Results and Discussion

Could be specific strength of particular word in the NF pairing
but we also get it for NN trials alone

Experiment 2: ME and Familiarity

Methods

We report how we determined our sample size, all data exclusions (if any), all manipulations, and all measures in the study.

Participants.

```
## \begin{table}[tbp]
## \begin{center}
## \begin{threeparttable}
## \caption{\label{tab:unnamed-chunk-5}Demographics of children in Experiment 2.}
## \begin{tabular}{lll}
## \toprule
## age_group & \multicolumn{1}{c}{mean_age} & \multicolumn{1}{c}{n}\\
## \midrule
## 2.00 & 30.99 & 38.00\\
## 3.00 & 40.99 & 35.00\\
```

226 ## 4.00 & 52.16 & 37.00\\
 227 ## \bottomrule
 228 ## \end{tabular}
 229 ## \end{threeparttable}
 230 ## \end{center}
 231 ## \end{table}

232 We planned a total sample of 108 children, 12 per between-subjects labeling condition,
 233 and 36 total in each one-year age group. Our final sample was 110 children, ages Inf – -Inf
 234 months, recruited from the floor of the Boston Children’s Museum. Children were randomly
 235 assigned to the one-label, two-label, or three label condition, with the total number of
 236 children in each age group and condition ranging between 10 and 13.

237 **Materials.** Materials were the set of novel objects used in de Marchena et al. (2011),
 238 consisting of unusual household items (e.g., a yellow plastic drain catcher) or other small,
 239 lab-constructed stimuli (e.g., a plastic lid glued to a popsicle stick). Items were distinct in
 240 color and shape.

241 **Procedure.** Each child completed four trials. Each trial consisted of a training and
 242 a test phase in a “novel-novel” disambiguation task (??). In the training phase, the
 243 experimenter presented the child with a novel object, and explicitly labeled the object with a
 244 novel label 1, 2, or 3 times (“Look at the *dax*”), and contrasted it with a second novel object
 245 (“And this one is cool too”) to ensure equal familiarity. In the test phase, the child was
 246 asked to point to the object referred to by a second novel label (“Can you show me the
 247 *zot*?”). Number of labels used in the training phase was manipulated between subjects.
 248 There were eight different novel words and objects. Object presentation side, object, and
 249 word were counterbalanced across children.

250 **Data analysis.** We followed the same analytic approach as we registered in
 251 Experiment 1, though data were collected chronologically earlier for Experiment 2.
 252 Responses were coded as correct if participants selected the novel object at test. A small

number of trials were coded as having parent or sibling interference, experimenter error, or a child who recognized the target object, chose both objects, or did not make a choice. These trials were excluded from further analyses; all trials were removed for two children for whom there was parent or sibling interference on every trial. The analysis we report here is consistent with that used in Lewis and Frank (2013), though there are some slight numerical differences due to reclassification of exclusions.

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## \begin{table}[tbp]
## \begin{center}
## \begin{threeparttable}
## \caption{\label{tab:unnamed-chunk-6}}
## \begin{tabular}{lll}
## \toprule
## err_type & \multicolumn{1}{c}{n} & \multicolumn{1}{c}{pct}\\
## \midrule
## changed mind & 2.00 & 0.00\\
## exp err & 2.00 & 0.00\\
## interference & 11.00 & 0.02\\
## no choice & 8.00 & 0.02\\
## recog obj & 4.00 & 0.01\\
## \bottomrule
## \end{tabular}
## \end{threeparttable}
## \end{center}
## \end{table}

```

Results and Discussion

As predicted, children showed a stronger disambiguation effect as the number of training labels increased, and as noise decreased with age.

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## \begin{table}[tbp]
## \begin{center}
## \begin{threeparttable}
## \caption{\label{tab:unnamed-chunk-8}}
## \begin{tabular}{lllll}
## \toprule
## & \multicolumn{1}{c}{Estimate} & \multicolumn{1}{c}{Std. Error} & \multicolumn{1}{c}{} \\
## \midrule
## (Intercept) & 0.31 & 0.10 & 2.94 & 0.00\\
## age_mo_c & 0.05 & 0.01 & 4.13 & 0.00\\
## times_labeled_c & 0.48 & 0.13 & 3.75 & 0.00\\
## age_mo_c:times_labeled_c & 0.02 & 0.01 & 1.58 & 0.11\\
## \bottomrule
## \end{tabular}
## \end{threeparttable}
## \end{center}
## \end{table}

```

We analyzed the results using a logistic mixed model to predict correct responses with age, number of labels, and their interaction as fixed effects, and participant as a random effect. We centered both age and number of labels for interpretability of coefficients. Model results are shown in Table XYZ. There was a significant effect of age such that older children showed a stronger disambiguation bias and a significant effect of number of labels, such that more training labels led to stronger disambiguation, but the interaction between age and

303 number of labels was not significant.

304

General Discussion

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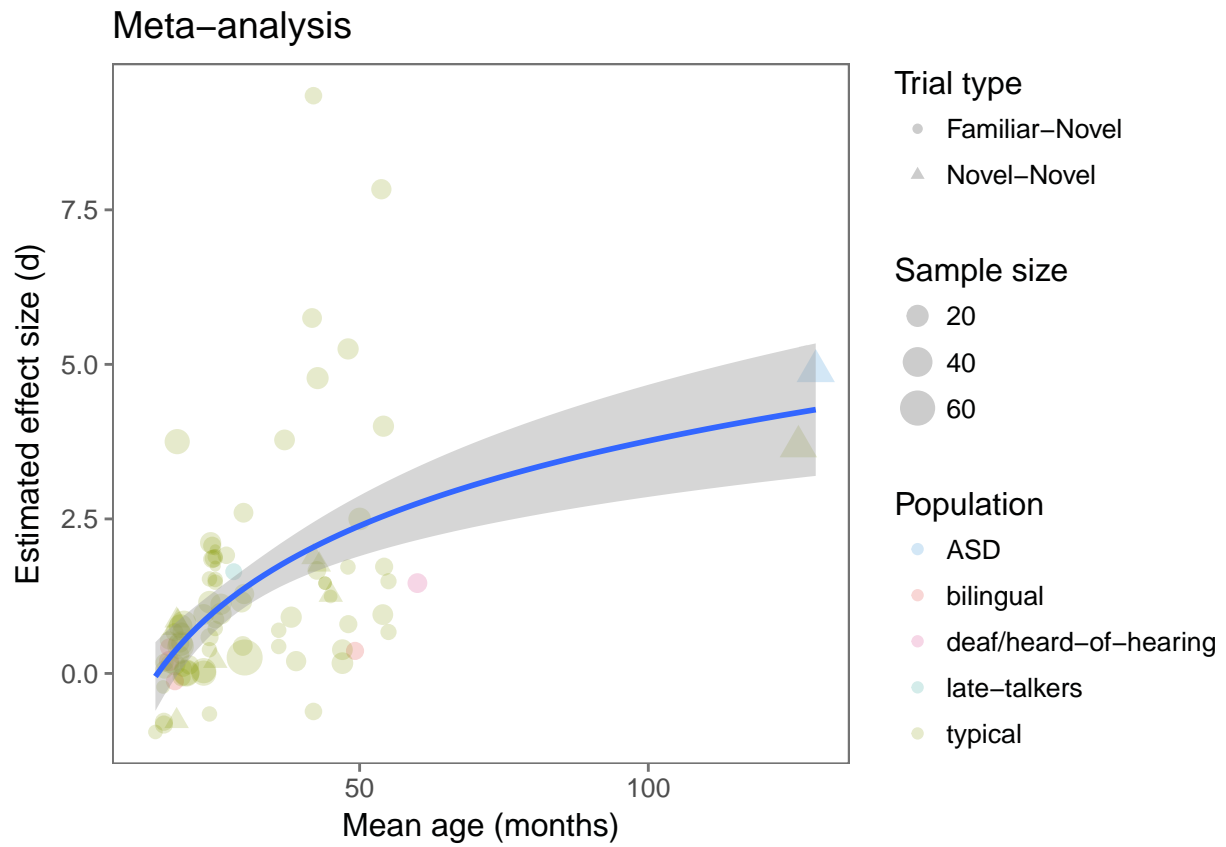
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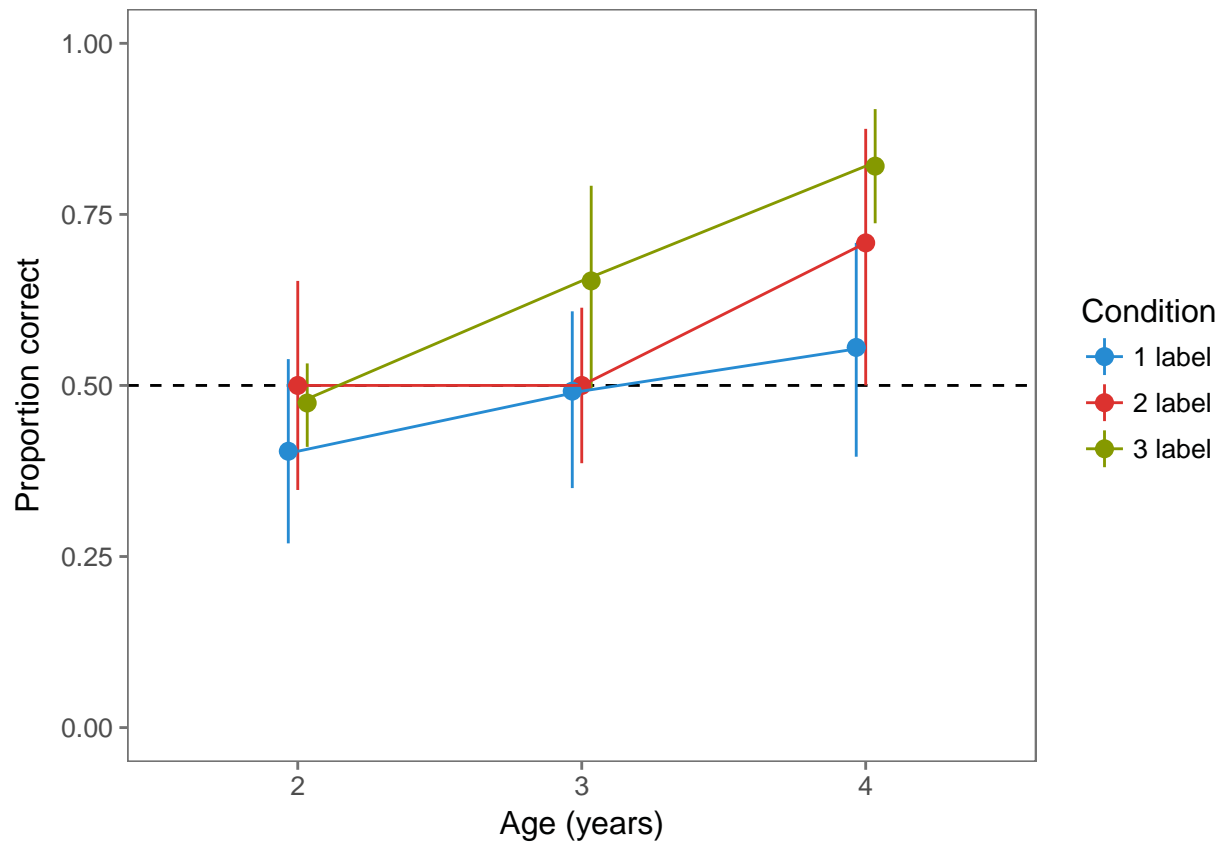
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*Figure 1*

*Figure 2*