Unsupervised Resource Allocation with Graph Neural Networks

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Abstract

We present an approach for maximizing a global utility function by learning how to allocate resources in an unsupervised way. We expect interactions between allocation targets to be important and therefore propose to learn the reward structure for near-optimal allocation policies with a GNN. By relaxing the resource constraint, we can employ gradient-based optimization in contrast to more standard evolutionary algorithms. Our algorithm is motivated by a problem in modern astronomy, where one needs to select—based on limited initial information—among 10⁹ galaxies those whose detailed measurement will lead to optimal inference of the composition of the universe. Our technique presents a way of flexibly learning an allocation strategy by only requiring forward simulators for the physics of interest and the measurement process. We anticipate that our technique will also find applications in a range of allocation problems from social science studies to customer satisfaction surveys and exploration strategies of autonomous agents.

Keywords: Graph Neural Networks, Resource Allocation

1. Resource Allocation for Observational Studies

We consider a problem that frequently arises in observational sciences as well as the training of autonomous agents. Due to resource limitations, not every desirable action is admissible, leading to the problem of finding a strategy that makes best use of the available resources. We are particularly interested in a scenario where many individual actions need to be performed before their combined utility can be determined. In such a scenario it should be beneficial to learn not just which actions have high reward but also how interactions between possible actions influence the outcome.

As a concrete example, modern astronomical observations fall into two groups. So-called surveys cover wide areas of the sky without any specific selection of celestial objects. On the other hand, targeted observations often draw from prior surveys to select specific objects

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[.] Project repository at https://github.com/MilesCranmer/gnn_resource_allocation

for detailed characterization. Telescope facilities for targeted studies are rare and expensive to operate. Access to them is severely limited and competitively allocated based on the perceived merit of the proposed scientific study. After decades of astronomical sky surveys, some information is available for hundreds of millions of celestial objects (such as their position on the sky and their brightness), but detailed information can only be acquired for a much smaller subset. Based on what is already known of these objects, astronomers need to decide which of them merit further study (see Figure 1 for a schema of the problem). The situation resembles that of an organization whose management wants to incentivize beneficial user behavior through an intervention aimed at specific users; or of an autonomous agent that has a "foggy" view of its surroundings and only enough sensory bandwidth to explore a few possible options before having to move on.

We are interested in finding a policy for taking actions in an unknown environment $M \in \mathcal{M}$, described as a Markov Decision Process (MDP), $M = (\mathcal{S}, \mathcal{A}, \mathcal{P}, \mathcal{R}, H)$, where \mathcal{S} is the set of possible states, \mathcal{A} the set of actions, and \mathcal{P} the set of transition probabilities from state s to state t by means of action s, $\mathbb{P}(t \mid s, s)$, resulting in the reward $r(s, s) \sim \mathcal{R}$. The horizon s describes how many actions can be taken and reflects the resource limitations. In contrast to problems in scheduling and control, our problem is not sequential and can thus be described as finding the policy

$$\pi^* = \operatorname{argmax}_{\pi} U(s_H^{\pi}) \tag{1}$$

for some domain-specific utility function U. Note that U depends only on the final state s_H^{π} after all H actions have been taken according to policy π , but not on the ordering of the actions.

For observational sciences, the state set is given by all possible study subjects $\mathbf{v}_i \in \mathbb{R}^{n_v}$, $i = 1 \dots N$, more precisely what we know about them. Possible actions are restricted to allocating an available resource to observe a subject i, which amounts to advancing our knowledge from a prior $p(\mathbf{v}_i)$ to a posterior $p(\mathbf{v}_i \mid a_i = \text{observe } i)$. The set of observed subjects $\mathcal{O}^{\pi} = \{i : a_i = \text{observe } i\}$ has cardinality H. The final state is thus given by $s_H^{\pi} = \{p(\mathbf{v}_i)\}_{i \notin \mathcal{O}^{\pi}} \cup \{p(\mathbf{v}_i \mid i \text{ observed})\}_{i \in \mathcal{O}^{\pi}}$. The utility is then usually the inverse variance of the posterior of parameter φ for some hypothesized model of the process under study, based on the collection of observations,

$$U(s_H^{\pi}) = \operatorname{var}^{-1} \left[p(\varphi \mid s_H^{\pi}) \right]. \tag{2}$$

The non-sequential nature of this problem allows a substantial simplification. Because any action amounts to observing one $i \in \{1, ..., N\}$, the reward r can be expressed on per-subject basis: $r(s, a = \text{observe } i) = r(i \mid \mathcal{O})$, i.e. the reward of adding i to \mathcal{O} . We seek to determine the reward for every possible subject such that the final set \mathcal{O} maximizes U. We propose to parameterize $r(i \mid \mathcal{O})$ as a Graph Neural Network (GNN) $r_{\theta}(\{\mathbf{v}_i\})$ to exploit possible relations between subjects in forming the optimal set \mathcal{O} . GNNs, as defined in [2], are neural networks that accept as input or output an arbitrary graph (defined as a set of nodes and edges) or a scalar variable. A GNN can be thought of as the generalized version of an Interaction Network (INs) [1], using inductive biases motivated from physics. Early work on GNNs include [18; 4; 13]. The optimal policy can be determined by rank-ordering $r_{\theta}(\{\mathbf{v}_i\})$ and selecting the highest-ranked H subjects. The advantage of this approach lies

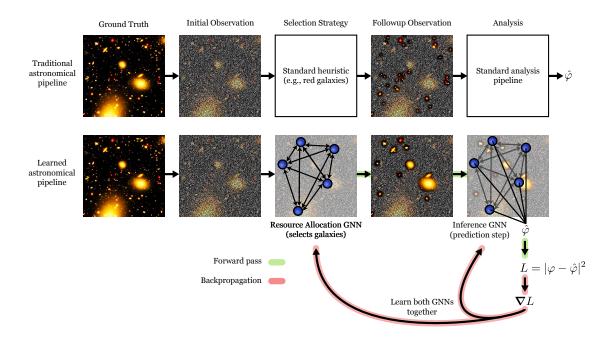


Figure 1: Schema of the proposed technique applied to an astronomical dataset. Given initial (noisy) information, GNN_1 proposes how the distribute observing resources over all galaxies, after which GNN_2 predicts a more accurate posterior estimate of a global variable φ of scientific interest. The accuracy of this prediction is used to update both GNNs to better allocate resources. A traditional allocation method is shown only for comparison (see Section 3.3).

in avoiding black-box optimization (by e.g. evolutionary algorithms) usually employed for finding solutions to Equation 1 [20; 17]. Instead, we can utilize novel approaches of black-box back-propagation [24; 21] or perturbed optimization [3] for combinatorical problems.

We can further relax the strict set assignment $i \in \mathcal{O}$ and interpret the prediction of the GNN as the *amount* of allocated resources for each subject, e.g. observing time, so that $\sum_i r_{\theta}(\{\mathbf{v}_i\}) = H$. This redefinition allows us to put the sum constraint into the loss function. If a non-zero minimum allocation is required for any improvement in U (as is often the case in practice), the network predictions will naturally approximate the previous case of a small number of subjects being observed. We continue to employ gradient-based optimization to learn the resource allocation with a GNN and replace the black-box relaxation hyper-parameter in [24; 3] with a variable feasibility penalty on H, increasing it until the constraint is satisfied within acceptable bounds.

2. Context & Related Work

The main contributions of our proposal are two-fold. First, by gradually transitioning from an unconstrained problem to a constrained problem, it should be easier for the GNN to first learn which samples are relevant for maximizing the utility, and then to adjust to the resource limitations — all within the same gradient-based architecture. Second, whereas traditional approaches often rely on manually defined reward functions based on heuristics, we learn a reward function from scratch with the help of a second GNN and forward simulations of the parameter(s) of interest. This flexibility should allow for further improvements in the allocation strategy. Furthermore, performing symbolic regression on the GNN [7; 6] can lead to generalizable formulations of interaction terms that reveal which nodes of the subject network need to be maintained under resource limitations. Providing such a form of model interpretability is very important to the astronomical community for their adoption of any proposed technique.

Resource allocation through Reinforcement Learning (RL) is a rich problem space that spans a wide range of activities and domains. These include control of and communication between autonomous agents (e.g., self-driving cars), assignment of tasks and resources to nodes in grid computing, communication across wireless networks, as well as selecting study subjects in the observational sciences [10; 11; 16; 26]. Topically most similar to our work, [17] optimized the observation schedule for a large astronomical survey with an evolutionary algorithm.

GNNs in particular have been used in prior work for learning policies. The "NerveNet" model [25] uses message passing between different body parts to determine a generalizable policy for agent movement. The "policy-GNN" [14] uses similar principles to our proposed architecture, using GNNs to select the next node in a graph, but considers meta-learning for traditional GNN classification algorithms rather than resource allocation, and cannot be reconfigured to our problem. It also assumes iterative sampling, whereas for our astronomical problem one needs to select the samples at once, based on limited information about the graph. Additional examples of GNNs used for resource allocation include [12; 9], which were applied to resources on a wireless network and focus on the edges of a GNN, whereas we use the edges merely as a way of factoring in interactions between potential subjects.

3. Methodology & Experimental Protocol

We motivate our experiment in Section 3.1 by a real-world problem from current astronomical research. In Section 3.2, we give a detailed definition of our GNN variant, with a full list of hyperparameters, and the algorithm to train it. In Section 3.3, we define how we will perform the experiments, the metric for success, as well as the comparison to existing allocation policies.

3.1. Case Study

Motivated by a challenging problem for large-scale astronomical surveys of the coming years, we focus on the following case study: the selection of celestial objects, usually called "targets", to improve our knowledge of the make-up of the universe. In particular, we will look at the measurement of $O(10^9)$ distant galaxies, for which very accurate positions on the sky (x_1, x_2) are known, but their distances d and masses m are known only to within 10-30%. For precise cosmological studies, all four features, $\mathbf{v} = (x_1, x_2, d, \log m)$, need to be known accurately, the distance to within $\approx 0.1\%$. As astronomers are able to perform targeted observations for $O(10^7)$ galaxies [15], we need to decide which galaxies should be further studied with a more accurate instrument. The final utility from Equation 2 will be given by the inverse variance

on a single parameter $\varphi = \Omega_m$, the fraction of the total energy density in the universe in the form of matter (as opposed to e.g. radiation). Broadly speaking, increasing Ω_m increases the amount of spatial clustering of galaxies throughout the universe. Thus, knowing their precise 3D positions and masses allows to recover Ω_m .

3.2. Architecture & Algorithm

We propose to combine two independent GNNs: GNN_1 encodes the reward, or rather: the resource allocation for every target in a simulated universe [22], as a set-to-set mapping. GNN_2 seeks to estimate the cosmological parameter of the simulated universe, thereby emulating the posterior inference step of Equation 2 as a set-to-scalar mapping. GNN_2 is a fast proxy for a scientific analysis, which traditionally would take days or months to complete, and resembles the function of the value network in [19]. We point out that the training of GNN_1 is unsupervised as it is never shown a predefined optimal target set. Our approach is visually depicted in Figure 1.

Our GNN models take the form of the full graph network block as described in [2], containing a global block, node block, and edge block. We use three message passing steps, with three separate "blocks." Using notation from [2], we denote multi-layer perceptrons (MLPs) by $\phi: \mathbb{R}^{(\cdot)} \to \mathbb{R}^{(\cdot)}$. Define GNN_1 as $\{\phi_1^{v,\text{enc}}, \phi_1^{e,\text{enc}}, (\phi_1^{e,i}, \phi_1^{v,i}, \phi_1^{u,i})_{i=1:3}, \phi_1^{v,\text{dec}}\}$, which maps from set to set. Here, $\phi_1^{v,\text{enc}}: \mathbb{R}^2 \to \mathbb{R}^{n_v}$, which encodes mass and distance, $\phi_1^{e,\text{enc}}: \mathbb{R}^2 \to \mathbb{R}^{n_e}$, which encodes relative angular distance between galaxies, $\phi_1^{e,i}: \mathbb{R}^{2n_v+n_e+n_u} \to \mathbb{R}^{n_e}$, $\phi_1^{v,i}: \mathbb{R}^{n_v+n_e+n_u} \to \mathbb{R}^{n_v}$, $\phi_1^{v,i}: \mathbb{R}^{n_v+n_e+n_u} \to \mathbb{R}^{n_u}$, for each i, which update the graph's edges, nodes, and global, respectively, and $\phi_1^{v,\text{dec}}: \mathbb{R}^{n_v} \to \mathbb{R}^1$, which decodes the final node state to assign resources. The global vector is zero-initialized. We define GNN_2 as $\{\phi_2^{v,\text{enc}}, \phi_2^{e,\text{enc}}, (\phi_2^{e,i}, \phi_2^{v,i}, \phi_2^{u,i})_{i=1:3}, \phi_2^{u,\text{dec}}\}$, which maps from set to scalar. The only architectural difference between these is $\phi_2^{u,\text{dec}}: \mathbb{R}^{n_u} \to \mathbb{R}^1$, which predicts Ω_m . All aggregation operations are sum-pools. The sizes of the node encodings are n_v , edges n_e , and global n_u , which are hyperparameters. We define the adjacency matrix based on k-nearest neighbors (with relative angular position used as distance), with k set as a hyperparameter. These GNNs are to be trained using the procedure defined in Algorithm 1.

3.3. Experiments

Mimicking the general conditions of a follow-up study with a spectrograph instrument at one of the world's largest telescopes, we standardize all four features to the range of [0, 1], and set the prior variances as $\Sigma_{\text{prior}}(\mathbf{v}_i) = \text{Diag}(0, 0, 0.1, 0.25)$. The posterior variance dependents on the amount of resources r_i spent on target i as well as its mass and distance because more massive nearby galaxies are brighter and thus easier to observe in general:

$$\Sigma_{\text{posterior}} = \begin{cases} \Sigma_{\text{prior}} & \text{if } r_i < r_{\min}(d, \log m) \\ \text{Diag}(0, 0, 0.001, 0.1) & \text{else.} \end{cases}$$
 (3)

The minimum resource requirement reflects that observations below a certain threshold are practically useless or impossible to perform; it is typically determined by the scientific study

^{1.} The interested reader can find more information about cosmology in [5].

Algorithm 1: Pseudocode for learning a strategy of allocating a finite amount of resources H over a large set of study subjects. The goal is to advance from a prior (noisy) to a posterior (accurate) state so that the parameter of interest φ can be optimally inferred.

Input : H (total resource allocation), η (resource allocation tolerance), λ (learning rate), α (sparsity penalty) **Output:** GNN_1 , the optimized survey scheduler; GNN_2 , the optimized inference statistic $\theta_1 \leftarrow \text{Kaiming initialization}$ $\theta_2 \leftarrow \text{Kaiming initialization}$ $(\tau, \delta \tau) \leftarrow (0, 0.1 H^{-2})$ while L not converged do $\varphi \sim p(\varphi)$ // parameter of simulated environment $\{\mathbf{v}_i\} \sim \operatorname{simulator}(\varphi)$ // simulated data, arbitrary cardinality forall $i = 1 \dots |\{\mathbf{v}_i\}|$ do $\epsilon \sim \mathcal{N}(0, \Sigma_{\mathrm{prior}})$ $\mathbf{v}_i' \leftarrow \mathbf{v}_i + \epsilon$ // simulated prior state $\{r_i\} \leftarrow GNN_1(\{\mathbf{v}_i'\}; \theta_1)$ // resource allocation forall $i = 1 \dots |\{\mathbf{v}_i\}|$ do $| \epsilon \sim \mathcal{N}(0, \Sigma_{\text{posterior}}(r_i))$ $| \mathbf{v}_i'' \leftarrow \mathbf{v}_i + \epsilon$ // simulated posterior state $\hat{\varphi} \leftarrow GNN_2(\{\mathbf{v}_i''\}; \theta_2)$ // parameter estimation $L \leftarrow (\hat{\varphi} - \varphi)^2 + \tau \left(\sum_i r_i - H\right)^2 + \alpha \sum_i |r_i|$ $(\theta_1, \theta_2) \leftarrow (\theta_1, \theta_2) - \lambda \cdot \nabla_{\theta} L$ // combined losses if $|\sum_i r_i - H| > \eta$ then $\tau \leftarrow \tau + \delta \tau$ // allocation feasibility

itself. We will adopt $\bar{r}_{\rm min}/H=10^{-7}$. Its presence is also meant to ensure that the allocations are sparse. As long as $r_{\rm min}$ is small, the presence of solution polytopes should be tolerable during training. If not, or if the allocations remain insufficiently sparse, we will add a ℓ_1 penalty term to the loss function, whose strength is treated as a hyperparameter.

For realistic surveys, the outcome depends only weakly on the exact value of H, with practical influences, such as weather, likely to play a more important role. Consequently, we have some flexibility η in the total allocation and will set $\eta/H=10^{-3}$. As this target might be easier to meet, we will experiment with a fixed τ instead of a variable one, and treat its value again as a hyperparameter.

We will do a coarse hyperparameter search over internal architectures of the MLPs for both GNNs: specifically, a grid search over models with between 2 to 5 hidden layers, and 10 to 1000 hidden nodes. This MLP architecture will be the same for all MLPs, and use ReLU activations. The latent dimensions n_e and n_h will also be searched in the same space from 10 to 1000, independently of the hidden layer search.

We are interested if our allocation strategy with GNNs produces better cosmological results than traditional methods. Therefore, we will make a comparison with two alternatives:

1) We will employ the strategy commonly used in astronomy of uniform random selection

among galaxies above a certain mass or brightness threshold [15]; 2) We will adapt the evolutionary algorithm from [17] to maximize Equation 2 by varying the importance of a fixed set of well-motivated but predefined feature functions for policy proposals. We define the metric for success as $\text{var}^{-1}[\hat{\varphi} - \varphi_{\text{test}}]$, where $\hat{\varphi}$ is computed for 50 test simulations with some $\varphi_{\text{test}} \sim p(\varphi)$.

We are also interested in realistic applicability of this technique. Therefore, we will measure the generalizability of this method to a slightly different simulator than the one used during training, and introduce biases in the data not seen in the training set, to determine if the learned observational strategy still produces better results than traditional methods.

4. Documented Modifications

We implemented the posterior noise model from Equation 3 as a MLP of the form $\phi^{\Sigma}(\mathbf{v}_i; r_i) \to (\Sigma_{d,i}, \Sigma_{\log m,i})$, i.e. a prediction model for the expected error after measurements with allocation r_i . Integration times are in the range 1-60 minutes. The advantage of this modification is twofold: 1) the function ϕ^{Σ} is routinely computed by astronomical surveys from simulated spectra; 2) the posterior model is differentiable unlike Equation 3.

We changed the GNN architecture to include multiple messages as well as a global vector, using the full GN block as described in [2]. This change was required for GNN_1 to be capable of incorporating information about the entire field before computing resource allocations. Otherwise, GNN_1 would not be aware of the total number of galaxies, and their properties, in the field. This architecture was also used for GNN_2 .

We also chose to employ the publicly available genetic algorithm implementation pyeasyga instead of the one from [17].

5. Results

We use the cosmological simulations of [23] for our experiments. There are 2,000 simulations for 2,000 different values of $\phi = \Omega_m$; with other cosmological parameters varied simultaneously by Latin hypercube sampling. For each simulation, we select a random direction on the sky and create a circular field with a radius of 7.5 degrees. For each field, we select uniformly at random a fraction of 0.001 of all galaxies and compute the graph for this galaxy list (see Figure 2); the subsampling permits faster training. For each galaxy, we generate a simple parametric model for the spectrum, which incorporates the scaling of brightness with galaxy mass and distance.

5.1. GNN Performance

We use PyTorch and PyTorch Geometric to construct the GNNs and train them for 55 epochs on 1,800 distinct simulations. The results of simultaneously optimizing the inference and allocation networks over these unknown simulated environments are shown in Figure 3. The GNN predicts Ω_m to better than 0.1 across the full range of the parameter, which is competitive with other methods of predicting this cosmological parameter from such a small set of galaxies. As can be seen in the right panel of Figure 3, there is a small positive bias for most parameter values, except at the high end, where there is a slight negative bias, which is a known consequence of a prior on Ω_m in the simulations we did not take into account.

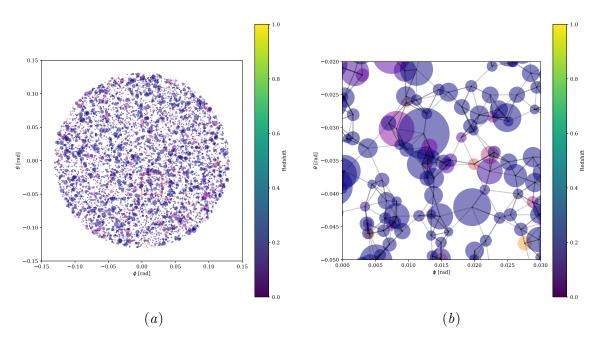


Figure 2: A single field with approximately 2,000 galaxies obtained from a cosmological simulation (a). Marker area indicates galaxy mass, marker color indicates distance from the observer. The graph (b) for the boxed region in (a).

The time allocation budget is a compromise between the available resources and parameter prediction error. Most galaxies in the simulated universes are far away and low in mass (see Figure 4, left panel). Therefore, a long observation time is needed for each galaxy, as shown in the left panel of Figure 3. However, the network can only select such galaxies until it runs out of time. It therefore augments the result with a smaller number of low-mass, nearby galaxies, and selects them preferentially, presumably because they achieve good precision for the shortest possible integration time of 1 minute (Figure 4, right panel).

5.2. Baseline Comparison with Genetic Algorithms

The baseline comparison implements a parameterized policy based on two relevant features in \mathbf{v} — the distance d and the mass $\log m$. For Baseline 1, we define, as is common in astronomical observations, a luminosity threshold $l_i > l_{\min}$, where $l_i \propto m_i/d_i^2$. To decide how many resources are to be allocated to galaxy i, we implement the policy of maximizing the expected gain per unit resource, a known greedy policy for the unbounded knapsack problem [8]:

$$r_i = \operatorname{argmax}_r \Sigma_{d,i}^{-2}(r)/r. \tag{4}$$

It is applied independently to each galaxy until the total allocation reaches the limit H.

For Baseline 2, we follow the idea of [17] of using customized templates for the policy. Specifically, we parameterize a candidate distance distribution as $d_{\text{cand}} \sim \text{Beta}(\alpha, \beta)$, and a mass distribution as $\log m_{\text{cand}} \sim \text{Beta}(\gamma + \delta d_{\text{cand}}, \gamma + \delta(1 - d_{\text{cand}}))$. The coupling between the two distributions encodes the physical necessity that selecting galaxies at larger distances d,

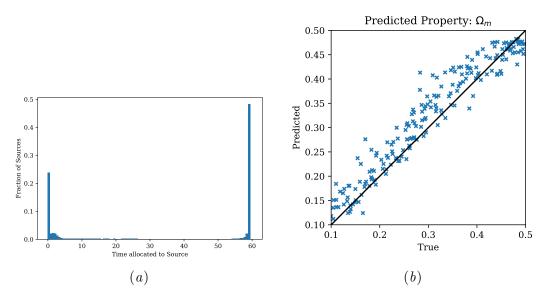


Figure 3: Histogram of the allocated time per galaxy predicted by GNN₁ for a single test field (a). Without any additional sparsity penalty, the network chose to allocate almost always either the minimum or maximum available time. Ω_m predictions from GNN₂ for a single field for each given test simulations (b).

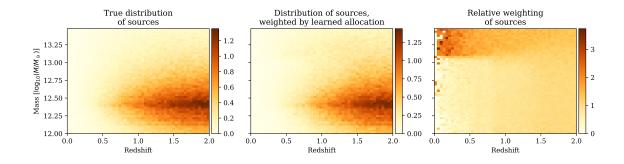


Figure 4: Learned allocations, visualized in mass-distance space. The left plot shows the true distribution of sources, given as a fractional number. The middle plot shows this distribution, weighted by resource allocation. The right plot shows the middle divided by the left, showing the allocation network favors nearby, high-mass galaxies.

at fixed observation time, requires them having higher masses. We then match the candidate galaxies to the actually available galaxies by a nearest neighbor search in the space of $(d, \log m)$, and determine the proposed resources for each galaxy according to Equation 4 until the allocation limit is reached.

Method	Precision	Standard Deviation
Allocation GNN	1117.39	0.030
Baseline 1: Luminosity threshold	828.46	0.035
Baseline 2: Beta distribution	496.23	0.045

Table 1: Precision, i.e. inverse variance, and standard deviation of the inferred parameter Ω_m for our allocation network GNN₁ and two baseline models for allocation policies detailed in Section 5.2. The analysis network GNN₂ was used in all three cases to make predictions of Ω_m for 50 fields of a simulation with a constant value of Ω_m .

For both policies, we optimize the parameters — the luminosity threshold l_{\min} or the distributional parameters $(\alpha, \beta, \gamma, \delta)$ — by a genetic algorithm with 20 individuals, a mutation rate of 0.1, and at least 20 generations. To make the policies directly comparable to GNN₁, we set the fitness function to the prediction precision $\text{var}^{-1}[\varphi - \hat{\varphi}]$ of GNN₂. The results are summarized in Table 1.

As we can see, the one-parameter Baseline 1 policy performs well, but not quite as well as our GNN_1 . This confirms the common practice in astronomy of selecting galaxies above a luminosity threshold as a simple and effective strategy. Baseline 2 performs worse than Baseline 1. While the assumed Beta distributions are well intended and nominally capable of capturing the main trends of the mass and distance distributions of galaxies, they cannot follow the strongly non-uniform selection our GNN adopts and thus remain inferior.

6. Findings

The presented implementation of an unsupervised GNN allocation algorithm achieves our intended goal of predicting a global environment parameter, Ω_m , by simultaneously learning how to optimally allocate limited resources and how to analyze the results of that allocation. It outperforms more conventional allocation strategies. Our training occurs in an unknown environment with variations of the parameter(s) describing it. This is distinct from and much more generally useful than traditional methods employed in astronomy, where a fixed and known environment is routinely assumed for forecasting purposes. Furthermore, as evidenced by Figure 4, the allocation amounts to a decidedly non-uniform selection of galaxies, which traditional analysis methods needs to explicitly correct for, while our GNN₂ is automatically trained to do so. It appears likely that this capability of the analysis GNN to infer the underlying parameters despite a non-uniform selection naturally extends to many other analyses in astronomy that are strongly affected by non-uniform selections. The presented study suggests that other applications in the observational sciences should similarly benefit from our flexible, unsupervised architecture of combining two GNNs to determine a strong allocation policy.

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