The Supplementary of Refining Visual Perception for Decoration Display: A Self-Enhanced Deep Captioning Model

1. Experiments

- In supplementary materials, we give more analyses and further consider the experimental
- performance in different scenarios.

1.1. Complexity Analysis

- In detail, the common components of CPRC are the multi-class classifier and the gen-
- erator. For the multi-class classifier, cross entropy and KL divergence optimization are
- involved, which has overall time complexity o(|v|n), where |v| is the size of the class
- set, and n denotes the number of instances. The time complexity of the generator is
- $O\left(\sum_{i=0}^{4} M_i d_i + r^2 D + T D^2\right)$, where M_i represents the input dimension of the full connec-
- tion layer, d_i indicates the output dimension of the full connection layer, i denotes the index
- of layers, r represents the number of regions using Faster R-CNN, D denotes the dimension 11
- of regions, and T represents the time step of the recurrent neural network.

1.2. Influence of Unsupervised Data

- Furthermore, we explore the influence of unsupervised data, i.e., we fix the supervised ratio to 1%, and tune the data ratio from unsupervised data in $\{10\%, 40\%, 70\%, 100\%\}$, 15 the results are recorded in Table 1. We find that, as the percentage of unsupervised data 16 increases, the performance of CPRC also improves in terms of all metrics. This indicates 17 that CPRC can make full use of undescribed images for positive training. But the growth rate slows down with the ratio going up (i.e., after 70%), probably owing to the interference of pseudo-label noise.
- 1.3. Experiments on FLICKR30K Dataset
- We add more experiments on FLICKR30K dataset Young et al. (2014). Unsupervised cap-
- tioning methods Graph-align and UIC have not provided the source codes or performed 23
- experiments on the FLICKR30K dataset, so the results of FLICKR30K of these two meth-24
- ods cannot be provided. From the results in Table 2, we can obtain conclusions similar to
- the COCO dataset, thus verifying the effectiveness of CPRC in different datasets. 26

Table 1: Performance with different ratio data from unsupervised data (i.e., the supervised is fixed with 1%) on MS-COCO "Karpathy" test split, where B@N, M, R, C and S are short for BLEU@N, METEOR, ROUGE-L, CIDEr-D and SPICE scores.

N/L / 1 1		Cross Entropy Loss												
Methods	B@1	B@2	B@3	B@4	M	R	С	S						
10%	68.3	49.5	34.9	23.3	21.4	49.6	71.7	14.6						
40%	66.9	48.7	34.2	23.4	22.9	49.6	72.9	15.6						
70%	68.4	50.6	35.6	24.4	22.9	50.5	74.4	15.9						
100%	68.8	51.1	35.7	24.9	22.9	50.4	77.9	16.2						
Methods	CIDEr-D Score Optimization													
Methods	B@1	B@2	B@3	B@4	M	R	С	S						
10%	68.7	51.0	25.6	23.9	22.4	50.6	74.1	14.9						
40%	69.2	50.2	35.6	24.1	22.9	50.8	75.7	15.9						
70%	69.4	51.3	36.5	24.8	22.8	50.7	76.5	16.2						
100%	69.9	51.8	36.7	25.5	23.4	50.7	78.8	16.8						

Table 2: Performance of comparison methods on FLICKR30K dataset, where B@N, M, R, C and S are short for BLEU@N, METEOR, ROUGE-L, CIDEr-D and SPICE scores.

Methods			Cros	s Ent	ropy	Loss			CIDEr-D Score Optimization							
Methods	B@1	B@2	B@3	B@4	M	R	С	S	B@1	B@2	B@3	B@4	M	R	С	S
SCST	35.5	21.0	12.5	7.7	11.3	31.7	7.1	7.1	38.2	22.9	13.8	8.6	11.7	32.8	8.3	7.4
AoANet	55.2	35.8	22.7	14.2	15.7	39.4	24.5	10.1	58.9	38.5	24.3	15.1	15.0	39.9	23.9	9.2
AAT	53.9	34.6	21.0	13.0	15.0	38.6	19.5	9.3	52.5	33.1	19.7	11.8	14.0	35.4	18.5	8.9
ORT	54.3	34.9	21.5	13.5	15.2	38.9	23.1	9.4	56.9	37.3	22.5	14.2	14.8	38.6	22.4	9.1
GIC	34.7	20.5	12.0	7.3	10.8	30.5	7.0	6.8	37.6	22.1	13.6	8.4	11.4	31.6	8.3	7.5
Anchor	35.2	20.8	12.1	7.5	11.0	30.8	7.1	6.8	38.0	22.6	13.6	8.4	11.2	32.6	8.1	7.3
RSTNet	55.6	35.8	22.9	14.6	15.8	39.7	24.8	10.2	55.4	35.3	22.5	14.5	15.6	39.5	24.2	9.5
Graph-align	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
UIC	-	-	_	-	-	-	-	-	-	_	-	-	-	_	_	-
A3VSE	56.6				15.7							14.8			l	10.2
AoANet+P	55.7	36.4	22.6	14.3	16.0	39.5	25.2	10.2	59.3	39.0	24.7	15.4	15.2	40.3	24.5	9.6
AoANet+C	55.5	36.0	22.5	14.2	15.8	39.5	24.9	10.2	59.0	38.6	24.4	15.1	15.0	40.3	24.1	9.5
PL	56.1	36.5	23.1	14.4	16.2	39.5	25.5	10.2	59.4	39.0	24.7	15.5	15.4	40.4	24.6	9.7
AC	54.2	35.1	22.1	12.4	15.0	38.5	23.2	9.4	57.0	37.5	22.9	14.5	14.5	38.4	22.5	9.1
Embedding+	l .	34.7										14.6			l	9.3
Semantic+	55.9	37.0	23.6	14.1	15.8	39.9	25.0	10.2	59.4	39.0	25.1	15.4	15.9	40.7	25.4	10.3
Strong+	57.1	37.6	24.2	15.2	16.0	40.3	26.3	10.4	59.2	38.5	25.7	15.4	16.4	41.0	27.4	10.5
w/o Prediction	57.0	37.4	22.0	15.1	15.7	40.1	25.5	10.3	59.0	38.2	25.3	15.2	16.1	40.5	25.9	10.3
w/o Relation	1	1										15.5			26.3	10.4
$w/o \tau$												15.1				
CPRC	57.6	37.9	24.5	15.6	16.3	40.4	26.9	10.6	59.8	39.2	26.1	15.9	16.7	41.2	27.6	10.8

1.4. Supervised and Unsupervised Image Captioning

We also evaluate our proposed method under the supervised and unsupervised scenarios. In detail, we compared two types of methods: 1) State-of-the-art supervised captioning 30 approaches: GIC Zhou et al. (2020), Anchor Xu et al. (2021) and RSTNet Zhang et al. 31 (2021). 2) State-of-the-art unsupervised captioning methods: Graph-align Gu et al. (2019) 32 and UIC Feng et al. (2019). Considering the performance improvements of different methods 33 in supervised scenarios, we do not compare other traditional supervised comparison methods 34 introduced in the main text. Meanwhile, in the unsupervised scenario, we train the CPRC in two ways: 1) CPRC (Pre-train) fine-tunes the generator G with only label prediction module, by using the pre-trained model from FLICKR30K dataset Young et al. (2014). 2) 37 CPRC trains the generator G from scratch with only a label prediction module. Table 3 38 and Table 4 record the results of supervised and unsupervised settings. The results indicate 39 that: 1) CPRC performs better than the state-of-the-art supervised captioning approaches 40 with only AoANet structure for the generator G (note that AoANet performs worse than 41 other methods under full supervision), which verifies that the task of multi-label prediction can facilitate the task of text generation; 2) To explore the generality of CPRC, we conduct more experiments by incorporating CPRC with the supervised captioning approaches, i.e., GIC, Anchor, and RSTNet, for the supervised image captioning. We find that GIC+CPRC, 45 Anchor+CPRC, and RSTNet+CPRC have further improved performance, which validates 46 that CPRC can well combine the label prediction module for existing supervised captioning 47 models; 3) CPRC suffers performance degradation under the unsupervised scenario, for the 48 reason that Graph-align and UIC additionally use pre-trained models obtained from largescale data to calculate the scene graphs or constrain the sentence generation. On the other hand, CPRC (Pre-train) improves the performance on all criteria, which shows that CPRC can effectively transfer the pre-trained generator.

Table 3: Performance of comparison methods on supervised setting, where B@N, M, R, C and S are short for BLEU@N, METEOR, ROUGE-L, CIDEr-D and SPICE scores.

Methods		Cross Entropy Loss									CIDEr-D Score Optimization						
	_	B@2		_		R	С		_	B@2		_		R	С	S	
GIC	75.6	63.1	48.5	36.3	27.9	53.9	114.2	20.4	80.0	63.8	48.9	37.5	28.3	55.7	125.4	22.0	
Anchor	1			l .						l .		l			110.1	l .	
RSTNet	78.0	65.2	51.0	36.5	28.3	57.4	119.8	21.4	81.1	65.8	51.3	39.1	29.2	58.8	132.7	22.8	
GIC+CPRC	76.4	63.6	49.1	36.8	28.4	54.3	116.5	20.9	80.8	64.7	49.5	38.5	29.0	55.9	126.2	22.4	
Anchor+CPRC	73.8	62.7	48.3	36.1	27.2	52.9	107.0	20.1	75.6	64.2	49.5	35.1	27.7	56.5	126.3	20.6	
RSTNet+CPRC	78.1	65.9	51.3	37.6	28.7	57.6	120.1	21.6	81.6	66.3	51.7	39.4	29.5	59.2	133.7	23.2	
CPRC	77.9	65.7	51.2	37.4	28.6	57.6	120.0	21.5	80.8	65.6	51.0	39.2	29.3	59.1	129.4	22.9	

1.5. Computation Costs

We record the time of supervised and semi-supervised comparison methods considering the availability of source code. We are unable to get the running time of unsupervised methods because there is no source code. The experimental results in Table 5 reveal that: 1) CPRC costs a longer training time than supervised comparison methods. For the reason

Table 4: Performance of comparison methods on unsupervised setting, where B@N, M, R, C and S are short for BLEU@N, METEOR, ROUGE-L, CIDEr-D and SPICE scores.

Methods		Cross Entropy Loss								CIDEr-D Score Optimization						
Methods	B@1	B@2	B@3	B@4	M	R	С	S	B@1	B@2	B@3	B@4	M	R	С	S
Graph-align	-	-	-	-	-	-	-		l			l	l		69.5	
UIC	-	-	-	-	-	-	-	-	41.0	22.5	11.2	5.6	12.4	28.7	28.6	8.1
CPRC (Pre-train)	65.4	46.7	31.5	21.2	20.5	47.0	68.6	15.2	68.8	48.6	32.6	22.1	21.6	47.8	71.2	15.6
CPRC	37.3	18.1	6.8	2.6	10.0	28.9	16.1	6.6	37.9	18.4	7.0	3.5	10.2	29.4	17.2	7.3

Table 5: Computation time of comparison methods. The unit is the hour.

Methods	AoANet	AAT	ORT	SCST	GIC	Anchor	RSTNet	A3VSE	CPRC
Times	1.23	1.50	1.75	0.87	2.00	1.38	1.17	32.80	30.35

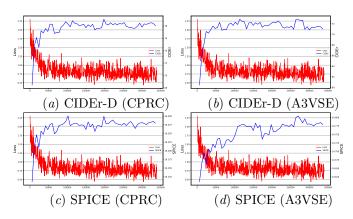


Figure 1: The illustration of convergence vs performance (i.e., CIDEr-D and SPICE) of CPRC and semi-supervised method A3VSE.

that supervised methods cannot use large amounts of undescribed images, and only train with described images, so requires shorter training time. 2) CPRC trains faster than the semi-supervised method, i.e., A3VSE, under the premise of data augmentation. The phenomenon indicates that CPRC converges fast. Figure 1 further exhibits the convergence vs performance (i.e., CIDEr-D and SPICE) of CPRC and A3VSE. The left vertical axis represents the loss function value, and the right vertical axis is the performance of the indicator. The horizontal axis represents the number of iterations. We find that A3VSE converges more slowly and performance is unstable.

7 References

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