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# Reciprocal Best Matching: a new pipeline for scoring models with unknown stoichiometry in CASP experiments

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## Abstract

Accurate prediction of protein complex structures remains a significant challenge, particularly when stoichiometry information is unavailable. In the recent Critical Assessment of Structure Prediction Round XVI (CASP16), the “Phase 0” challenge was introduced to stimulate progress in this area. However, existing evaluation tools, such as OpenStructure, introduce systematic biases when evaluating models with stoichiometries different from the target, sometimes favoring those with excess subunits and inflating scores for incorrect stoichiometries. To address this issue, we developed the Reciprocal Best Matching (RBM) pipeline. RBM compares predicted and target structures by bidirectionally matching interfaces and assigning penalizations to unmatched interfaces. This approach penalizes incorrect stoichiometries in a consistent and unbiased manner while preserving strong correlation with established CASP metrics. Application of RBM in CASP16 assessments revealed improved discrimination between correctly and incorrectly stoichiometric models. We also provide a standalone software for our RBM pipeline, which is useful for protein complex structure prediction evaluation and future CASP experiments.

## 1 Introduction

In contrast to the continual success of monomeric protein structure prediction in the recent Critical Assessment of Structure Prediction Round XVI (CASP16) experiment, the problem of protein complex structure prediction remains a largely unsolved challenge [17, 18]. Traditional CASP experiments ask participants to predict protein complex structures given the knowledge of the stoichiometry of the complex. Even in this simplified scenario, the success rate in this category is about 50%. Furthermore, for predictions to be truly powerful in guiding and potentially replacing experimental characterizations, it is essential to predict the 3D structure of protein complexes without knowing stoichiometry in advance.

To stimulate further progress in this field, CASP16 introduced a new challenge, referred to as the “Phase 0” challenge [7, 9], whereas the traditional CASP complex prediction challenge is referred to as “Phase 1”. The targets in Phase 0 comprised a subset of Phase 1 targets, and the predictors were required to predict the protein complex structures without stoichiometry information. Additionally, in

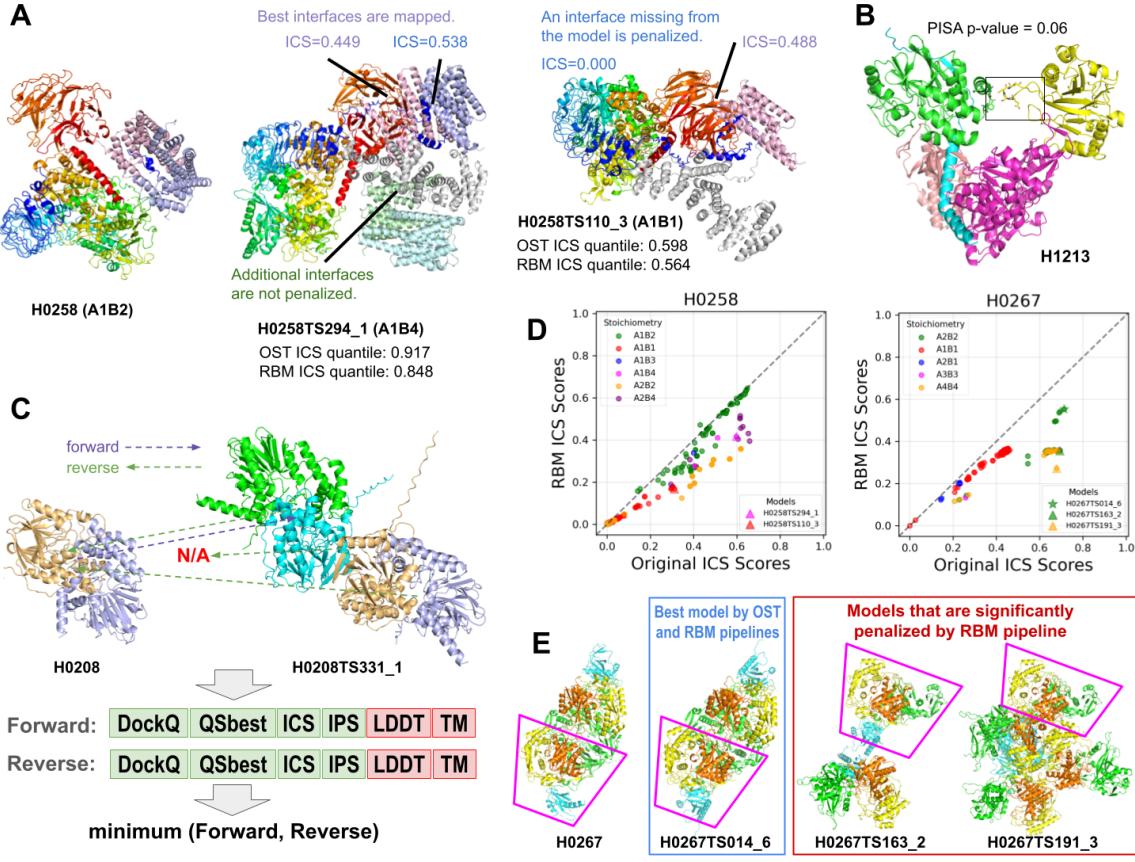


Figure 1: (A) A systematic bias exists in the original CASP16 assessment method: predicting fewer subunits is penalized more than predicting more subunits. (B) Small interfaces can be biologically meaningful. (C) Graphic illustration of RBM approach. (D) Example of ICS scores computed using OST software and RBM pipeline. Models with the correct stoichiometry are colored in green. RBM pipeline is more in favor of the targets that have the correct stoichiometry. (E) Target H0267 and several models: blue box, best model (labeled as a star in D); red box, models showing the largest difference in OST and RBM scores (labeled as triangles in D). The structures are colored by domains instead of by chains, the building block (in magenta trapezoid) is correctly predicted in all these models, but they are assembled incorrectly in the two models (in red box) strongly penalized by our RBM pipeline.

Phase 1, stoichiometry information was unavailable for certain filamentous targets, and the predictors should predict the repetitive structural unit of the filaments. By incorporating this additional step of stoichiometry prediction, the challenge encouraged the development of more comprehensive modeling pipelines. Such efforts will benefit the structural biology community, because obtaining stoichiometry information for protein complexes is not trivial [15, 20, 3]. Furthermore, predicted stoichiometry and protein complexes can assist the interpretation and validation of experimental structures. For example, it remains a challenging problem to identify biological assemblies from crystal contacts [5, 4], and resolved crystal structures often do not contain the assembly under physiological conditions [8].

In CASP experiments, most complex assessment scores are calculated using a software package called OpenStructure (OST) [2]. The scores commonly used by CASP assessors can be broadly divided into two categories: those that focus on the protein-protein interfaces and those that evaluate the quality of the entire structures. The former, such as ICS, IPS, QSbest [10], and DockQ [1], evaluate the quality of interfaces between interacting chains. The latter, such as LDDT [12] and TM-score [19], measure the overall structural similarity between the predicted structure and the reference target. These scores have been widely used in previous CASP assessments [10, 7, 13, 14].

OST attempts to find a one-to-one match between chains in the target and chains in a model. This strategy is effective for previous CASP experiments and the Phase 1 challenge in CASP16, where the models are expected to contain the same set of chains as the target. However, we found that this established strategy could unfairly bias towards models with more subunits in Phase 0: OST selects the best subset of chains in a model to maximize its similarity to the target, meaning that predictions with more subunits have higher chances of containing the correct interface (Fig. 1A middle) than those with fewer subunits (Fig. 1A right). In extreme cases, predictors could achieve high interface scores by enumerating multiple possible interfaces between a pair of chains in one model. Although upon our inspection during our assessment, no predictors deliberately exploited this caveat to achieve artificially higher scores, this feature of OST nonetheless introduces a systematic bias.

In addition, we and previous CASP assessors [14] have noticed that the overall interface scores, such as ICS, IPS, and QS best, computed by OST are dominated by large interfaces. This occurs because all interface residues are pooled together to calculate various accuracy metrics, such as precision and recall. However, some small interfaces are biologically meaningful (Fig. 1B) and can be critical for the structure and function of the complex. Furthermore, compared to those large and stable interfaces that frequently correlate with stronger interactions, small interfaces are usually harder to predict due to weaker coevolutionary and physical signals. Evaluating the prediction quality of these important yet difficult interfaces is essential, and increasing their contribution to evaluation scores is therefore desirable.

## 2 RBM: a new scoring routine for predictions with uncertain stoichiometry

Motivated by the above observations, we developed a Reciprocal Best Matching (RBM) pipeline during our evaluation of CASP16 protein complexes. In this pipeline, all interfaces present in a target are matched to their best corresponding interfaces in a model, and conversely, all interfaces in this model are matched to those in the target. Any interface that appears exclusively in either the model or the target is penalized by assigning a score of 0 (Fig. 1C).

RBM pipeline evaluates one pair of interacting chains at a time. Scores for all chain pairs in the target are subsequently weight-averaged to yield a “forward” score. Meanwhile, the scores for all interacting chain pairs in the model are weight-averaged to obtain a “reverse” score. The minimum between the “forward” and “reverse” scores is taken as the final RBM score. The weight for each chain pair can be adjusted to emphasize important interfaces. In our CASP assessment, we used  $\log_{10}(N_{ave})$  as the weight, where  $N_{ave}$  is the average number of interface residues between two interacting chains. Compared with the default weighting strategy that pools all interface residues, our strategy upweights small interfaces to reward success in correctly predicting the more challenging parts.

The RBM pipeline takes as input the PDB structures of both the model and the target, along with the JSON output files generated by OST. The OST output files provide the chemical mapping (i.e., the chains with identical sequences) between the target and the model, which is used to match interfaces between the target and the model. RBM does not introduce any additional scores; rather, it is a routine that can be applied with any existing quality scores that can be used to evaluate the quality of a binary protein complex, such as ICS, IPS, DockQ, QSbest, IDDT and TM-score, as used in CASP16[18]. This design allows it to be seamlessly integrated into any existing CASP assessment pipeline. Implemented in Python, RBM is available as a command-line tool for evaluating protein complex structures in cases where stoichiometry information is unavailable. The package allows users to select which scores to apply and to choose among several weighting strategies.

## 3 Analysis of scores produced by RBM pipeline

In Fig. 1D, we provide an analysis of the scores computed by the RBM pipeline, using ICS as an example. ICS, defined as the F1-score derived from the precision and recall of inter-chain contacts, has been one of the most widely used scores in CASP complex assessments. As shown in Fig. 1D, ICS scores generated by RBM remain highly correlated with those computed by OST, demonstrating that RBM faithfully reflects model quality. At the same time, models with incorrect stoichiometry tend to receive lower scores under RBM pipeline. Notably, RBM and OST scores differ the most for models that predict more subunits than the correct number of subunits. This is related to the fact

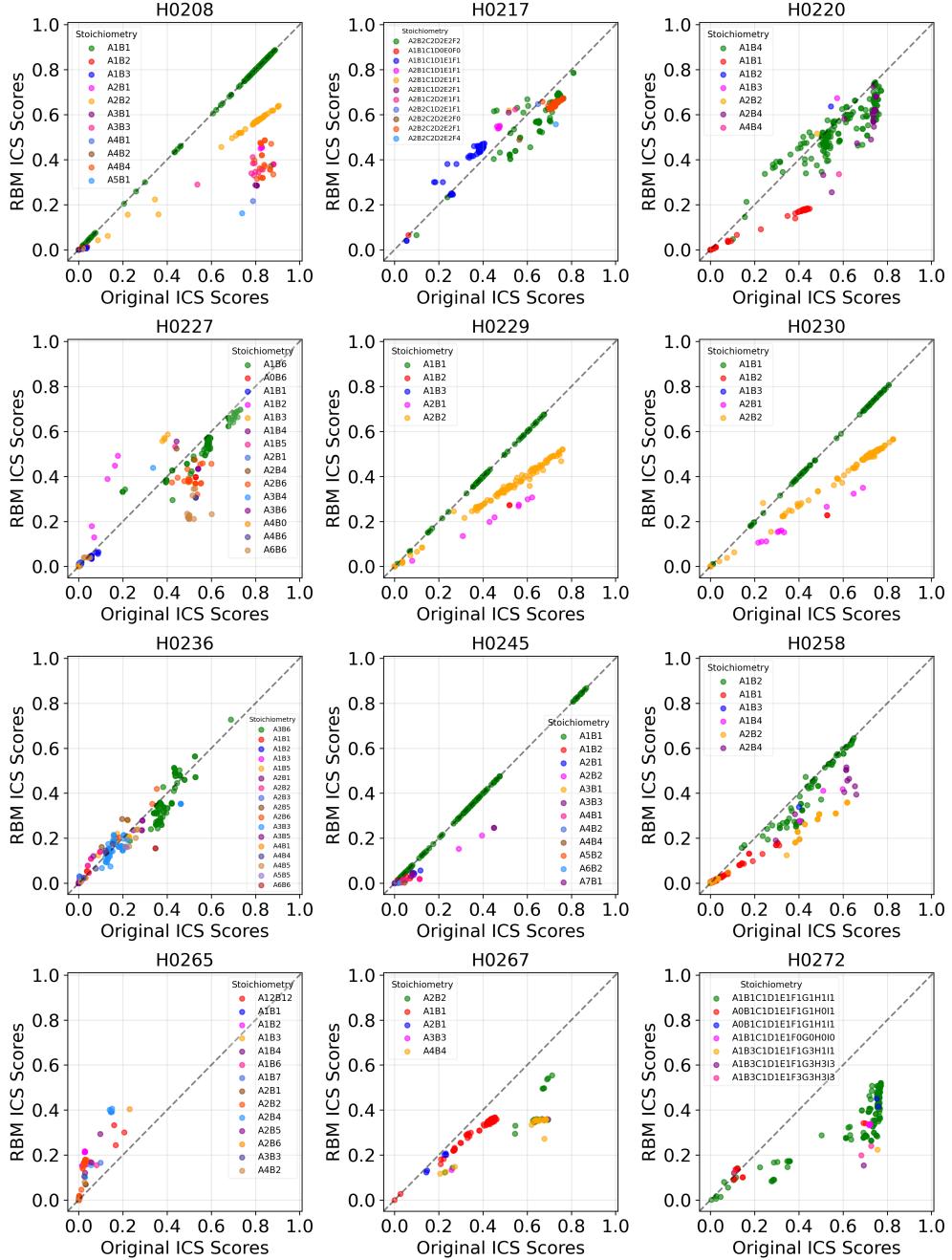


Figure 2: OST score vs. RBM score for Phase 0 hetero-oligomer targets. The minimum of the forward and reverse scores was used as the final model scores. This is the version we decided to use in our complex assessment paper.

that OST might be biased towards such predictions (overprediction of subunits) by focusing on the correctly predicted interfaces, whereas RBM will penalize any wrongly predicted interfaces. In our assessment of CASP16, we initially used a version of RBM pipeline where the forward and reverse scores were averaged (instead of taking the minimum) to obtain the final scores. However, closer inspection of results from this alternative strategy suggests that it might favor models having only the interfaces that are easy to predict. This undesirable bias is clearly revealed for target H0267. H0267 had an A2B2 stoichiometry (Fig. 1E). Under our initial evaluation strategy, we discovered that many A1B1 models received relatively high scores (Fig. 1E and Fig. 3). This occurred because the

large A-B interface in H0267 was relatively easy to predict, whereas most predictors that submitted A2B2 models failed to capture the smaller A-A and B-B interfaces. Consequently, A1B1 models were penalized for missing the A-A and B-B interfaces only in the forward direction, whereas A2B2 models with incorrect A-A and B-B interfaces were penalized in both directions (Fig. 1E).

To address the biases revealed by this target, we further adopted a strategy that takes the minimum of the forward score and the reverse score, which penalizes missing interfaces resulting from either incorrect stoichiometry or incorrect prediction. This method yields a distribution of scores shown in Fig. 2, in which models with incorrect stoichiometries — whether over-predicting or under-predicting the number of subunits — are both penalized, which is better aligned with our motivation of developing the RBM score. A third possible strategy is to take the weighted average of all the per-interface scores, regardless of whether the interface is in the target or in the model. While we did not observe a problem with this strategy, a potential concern is that predictors could obtain high scores by repeating a confidently predicted interface many times in the model.

In Fig. 2, Fig. 3, and Fig. 4, we provide the distribution of ICS score under 3 different strategies for all Phase 0 hetero-oligomer targets, excluding antibody-antigen targets[18]. Because no predictors in CASP16 attempt to utilize the potential biases with OST or the original version of RBM to obtain high scores, different strategies do not affect the ranking between CASP16 groups. Nevertheless, we believe our favored strategy, i.e., taking the minimum of the forward and reverse scores, is the most robust. The results for this strategy for ICS are shown in Fig. 2. We considered this strategy the default for the RBM pipeline and used it in this work.

To study the difference between our RBM score and the OST ICS score, we further grouped the models into three categories – correct stoichiometry, more subunits, less subunits – and examined the distributions of each score, as well as the differences between RBM and OST scores (Fig. 5). Overall, RBM applies a stronger penalty to models that contain more subunits than the target. This again indicates that the current OST scores are inflated for such models, and suggests that the RBM pipeline effectively corrects this systematic bias.

Beyond its ability to penalize different models more equitably, the RBM score also remains broadly consistent with the original OST score. For  $k = 1, 2, 5, 10, 20$ , we count the overlap between OST’s top- $k$  models and RBM’s top- $k$  models (Table 1). For targets with lower top- $k$  overlap, the discrepancy usually arises because models with incorrect stoichiometry received inflated OST scores but were appropriately penalized by RBM. Such examples include H0229 and H0267 (Fig. 2).

Target	Top-1	Top-2	Top-5	Top-10	Top-20
H0208	0	0	2	3	8
H0217	1	2	2	4	14
H0220	0	0	0	0	6
H0227	1	2	4	9	19
H0229	0	0	0	0	2
H0230	0	0	0	3	9
H0236	1	1	3	3	15
H0245	1	2	5	10	20
H0258	0	0	3	6	9
H0265	0	1	2	8	13
H0267	1	2	2	3	6
H0272	0	1	3	7	12

Table 1: Number of top- $k$  overlapping models between OST ICS and RBM ICS.

We also applied RBM scores to other widely used CASP scores, such as DockQ (Fig. 6) and IDDT (Fig. 7), and compared the values with default OST scores. In the current implementation, we apply a consistent strategy used for interface-based scores: for each interacting pair, we compute the pairwise score and then take a weighted average across all pairs. For DockQ, the RBM-adjusted scores remain highly correlated with the OST scores, likely because DockQ still considers the interface quality. This strategy showed a weaker correlation between the RBM and OST scores for IDDT, suggesting that this pairwise-averaging approach may not be optimal for these alignment-based scores. An alternative solution may be to perform a direct "bidirectional alignment" between the entire model and target structures, rather than averaging over pairwise components.

## 4 Conclusion

In this work, we introduced RBM, a pipeline designed to provide an unbiased assessment of predicted complex structures with unknown stoichiometry. This pipeline was used to evaluate the Phase 0 challenge in CASP16, which was introduced to stimulate progress in stoichiometry prediction. Given the active community engagement of Phase 0 challenge [6, 11, 16] and the practical need for protein complex structure prediction without prior experimental knowledge, we anticipate a growing need for complex structure evaluation methods that address uncertain stoichiometry. Therefore, we believe the RBM, along with the concept underlying its design, can serve as a good reference for future CASP assessors and method developers in the field. The pipeline is straightforward to use, and the source code with usage instructions is available at <https://github.com/RongqingYuan/RBM>.

## Acknowledgements

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## A Appendix / supplemental material

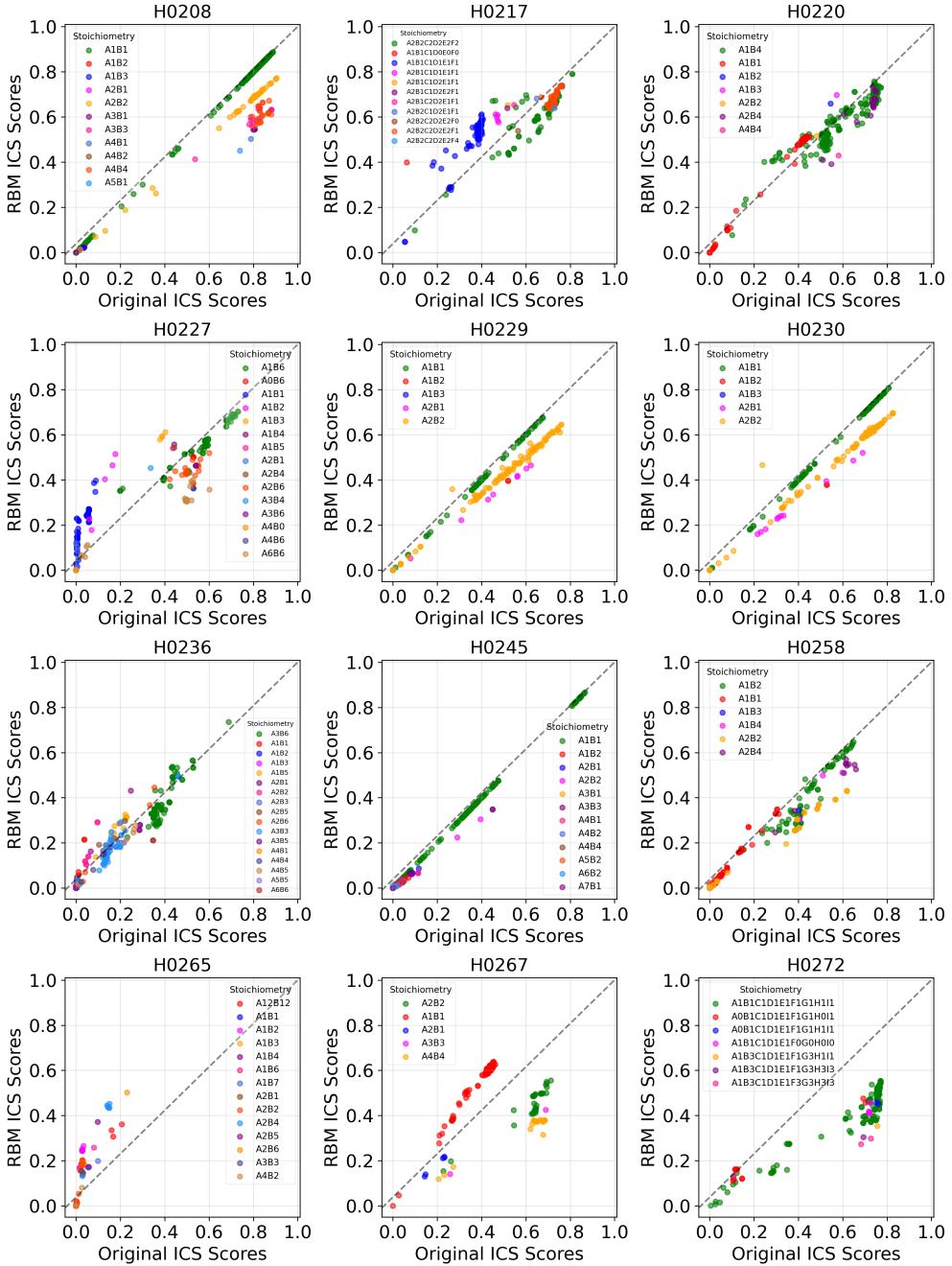


Figure 3: OST score vs. RBM score for Phase 0 hetero-oligomer targets. The forward and reverse scores were averaged to obtain the final model scores. This is the version we initially used in CASP assessment.

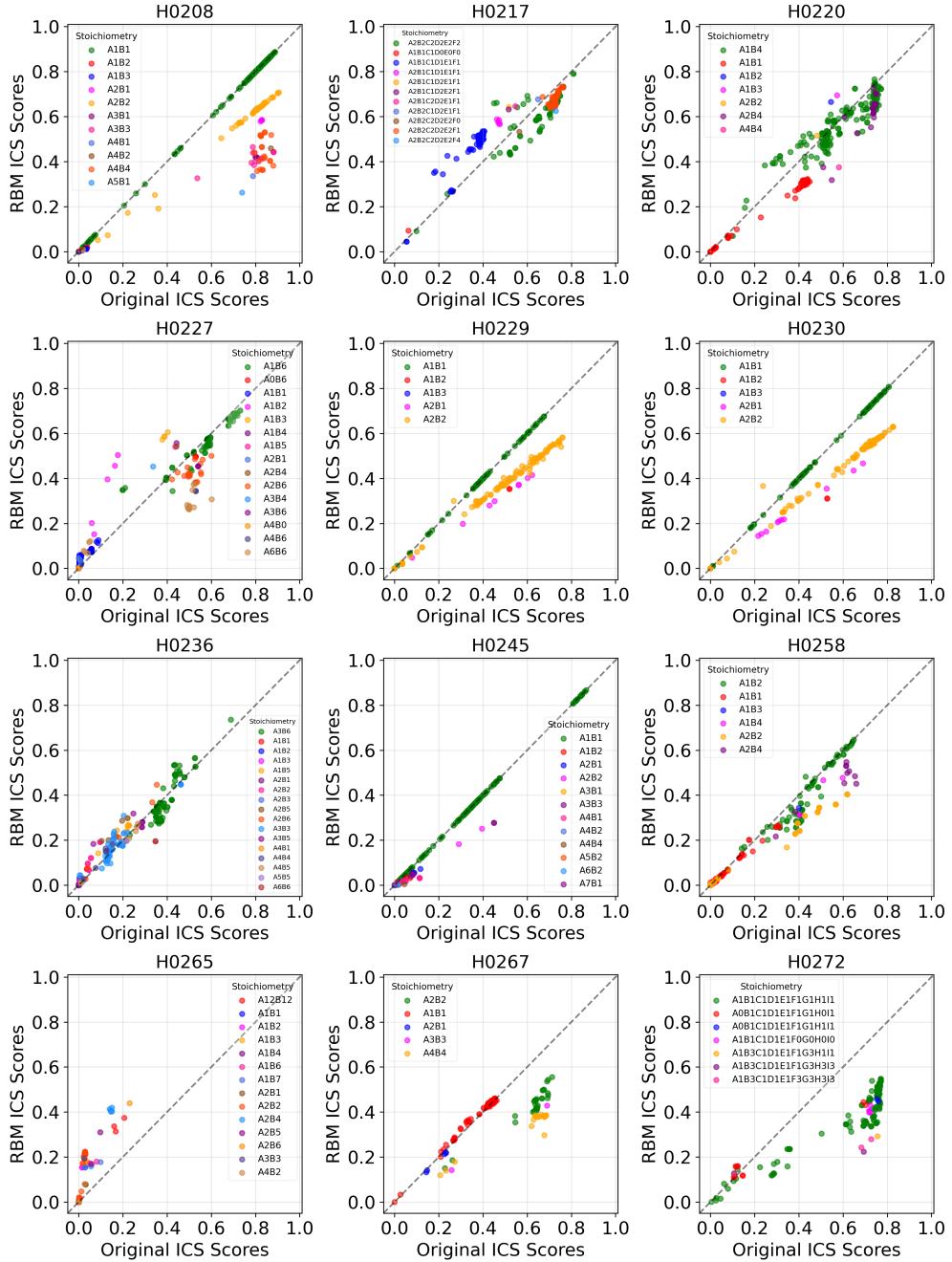


Figure 4: OST score vs. RBM score for Phase 0 hetero-oligomer targets. The final model score is the weighted average of all the per-interface scores, regardless of whether the interface is in the target or in the model.

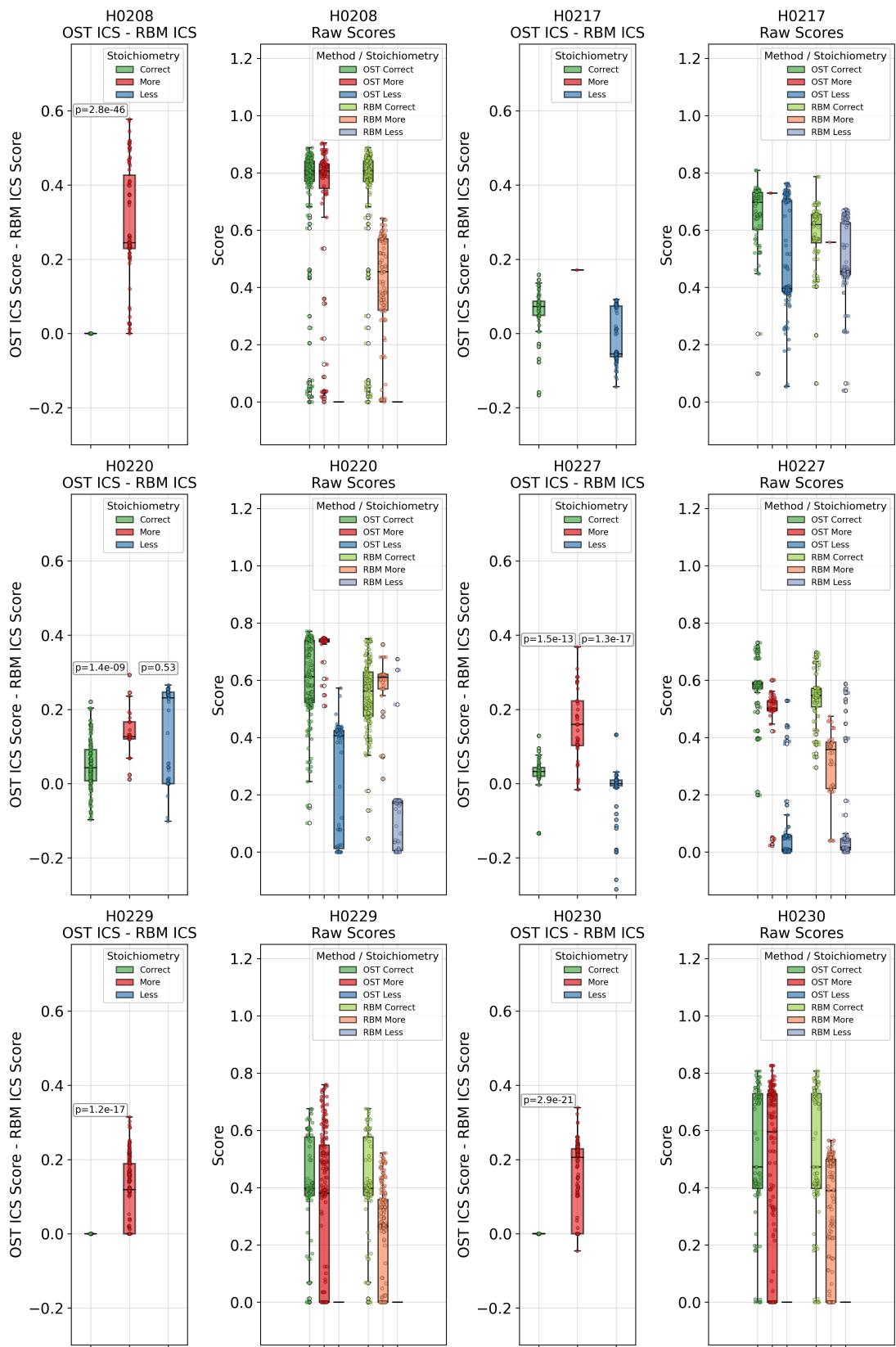


Figure 5: OST score vs. RBM score for Phase 0 hetero-oligomer targets.

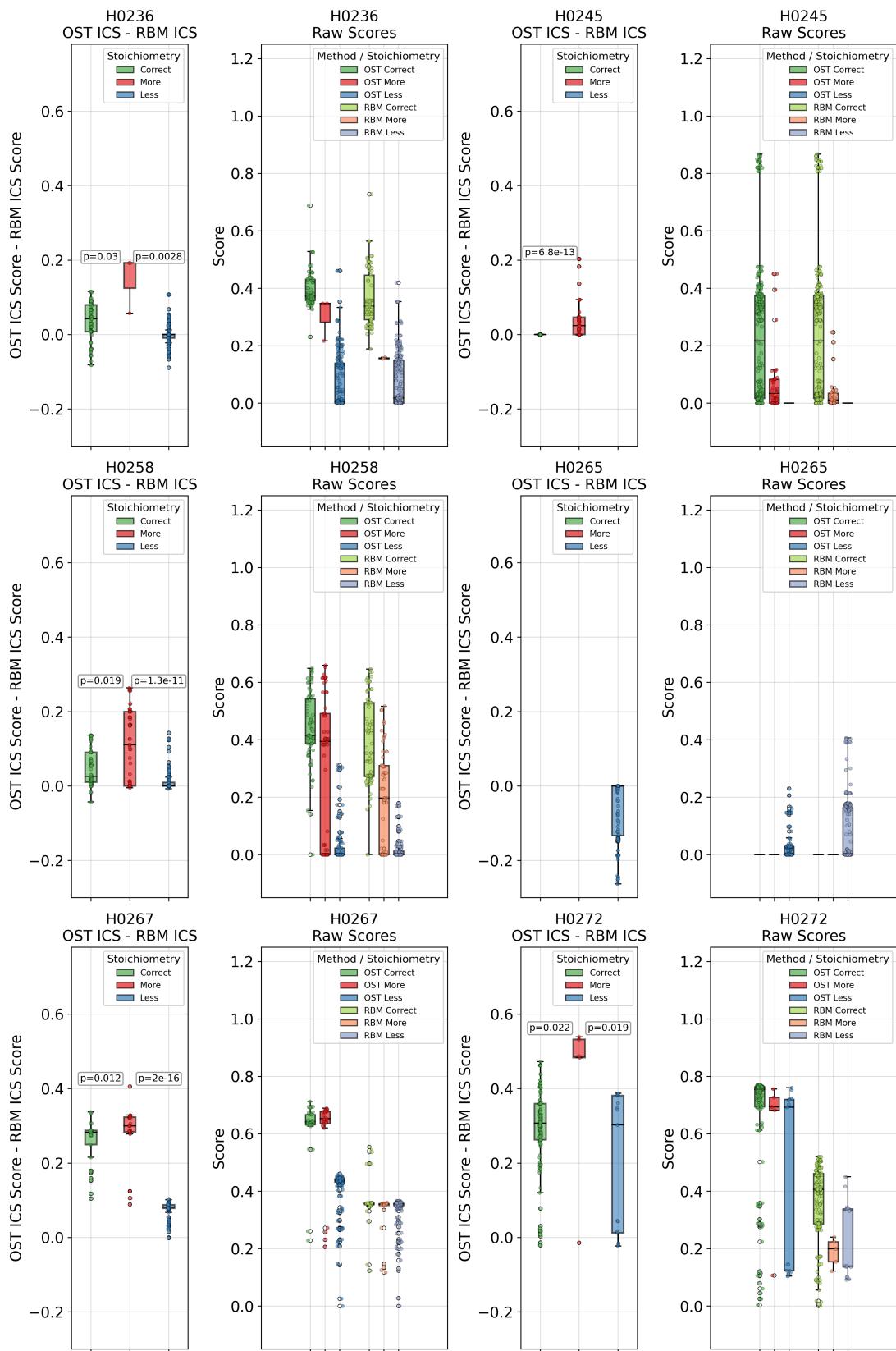


Figure 5: (continued)

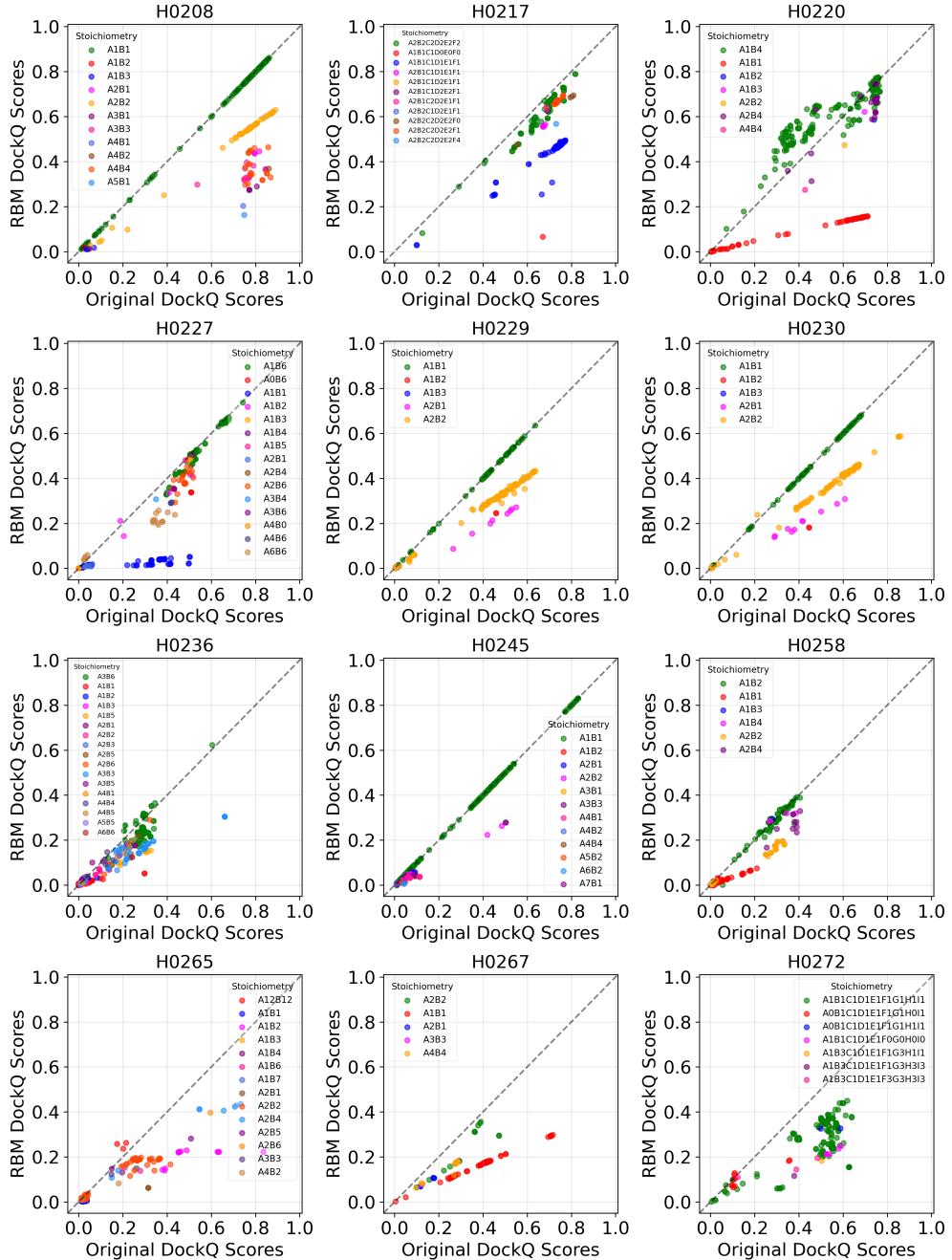


Figure 6: OST DockQ score vs. RBM DockQ score for Phase 0 hetero-oligomer targets.

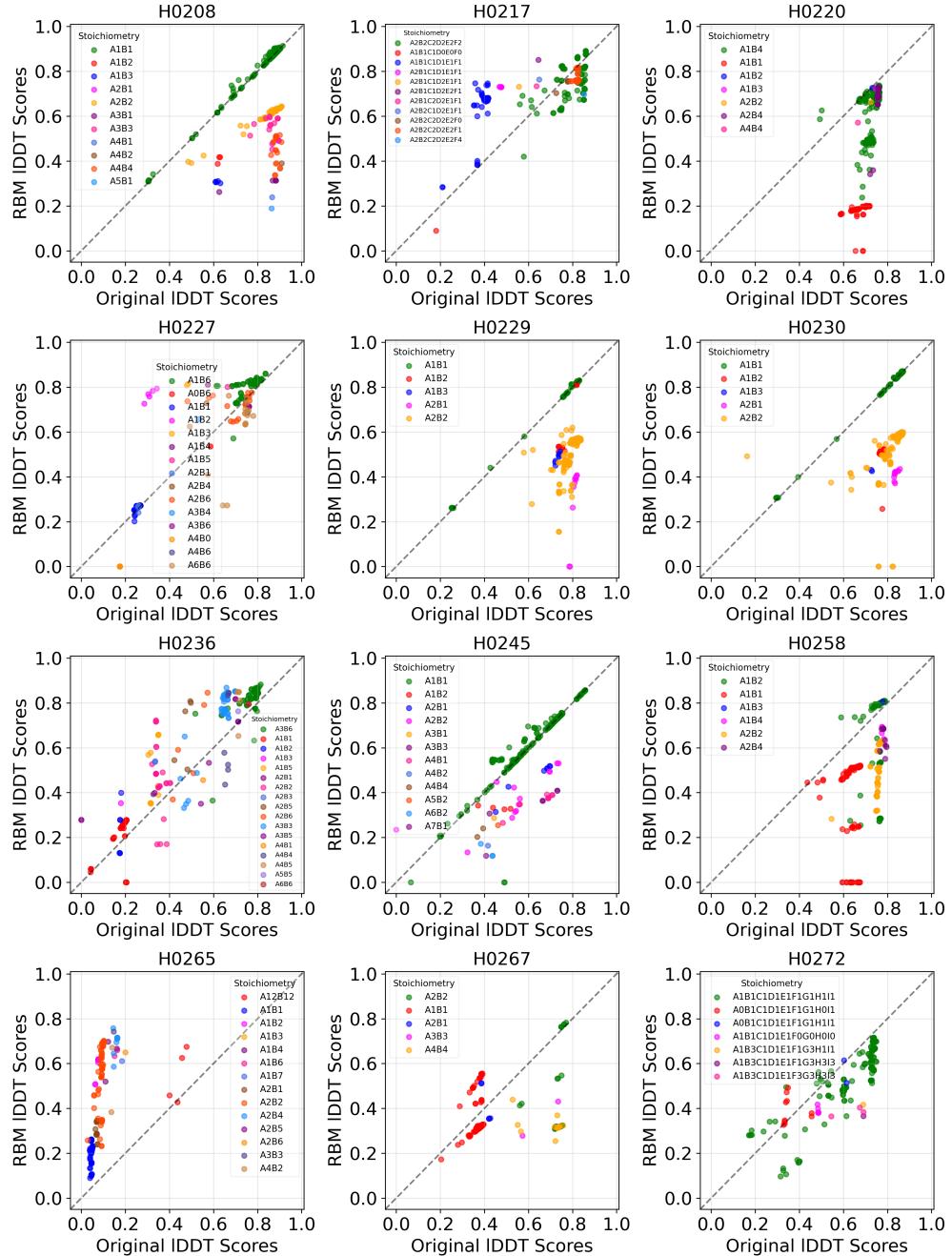


Figure 7: OST IDDT score vs. RBM IDDT score for Phase 0 hetero-oligomer targets.

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