

# Adversarially Robust Distributed Optimization

*A Unified Breakdown Analysis of Byzantine Robust Gossip*

Renaud Gaucher

Workshop on ML security  
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Aymeric  
Dieuleveut



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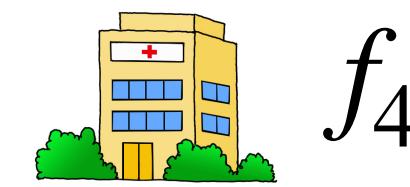
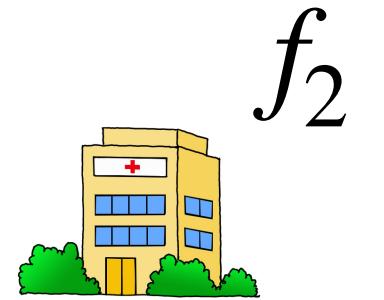
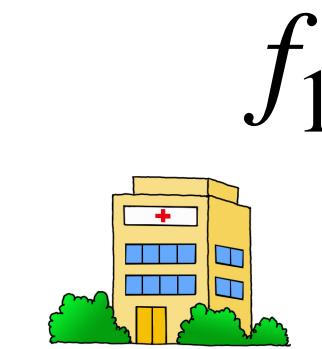
École  
polytechnique

Inria Grenoble

# Distributed Optimization in Machine Learning



# Distributed Optimization in Machine Learning



$f_3$



$f_5$



$f_6$



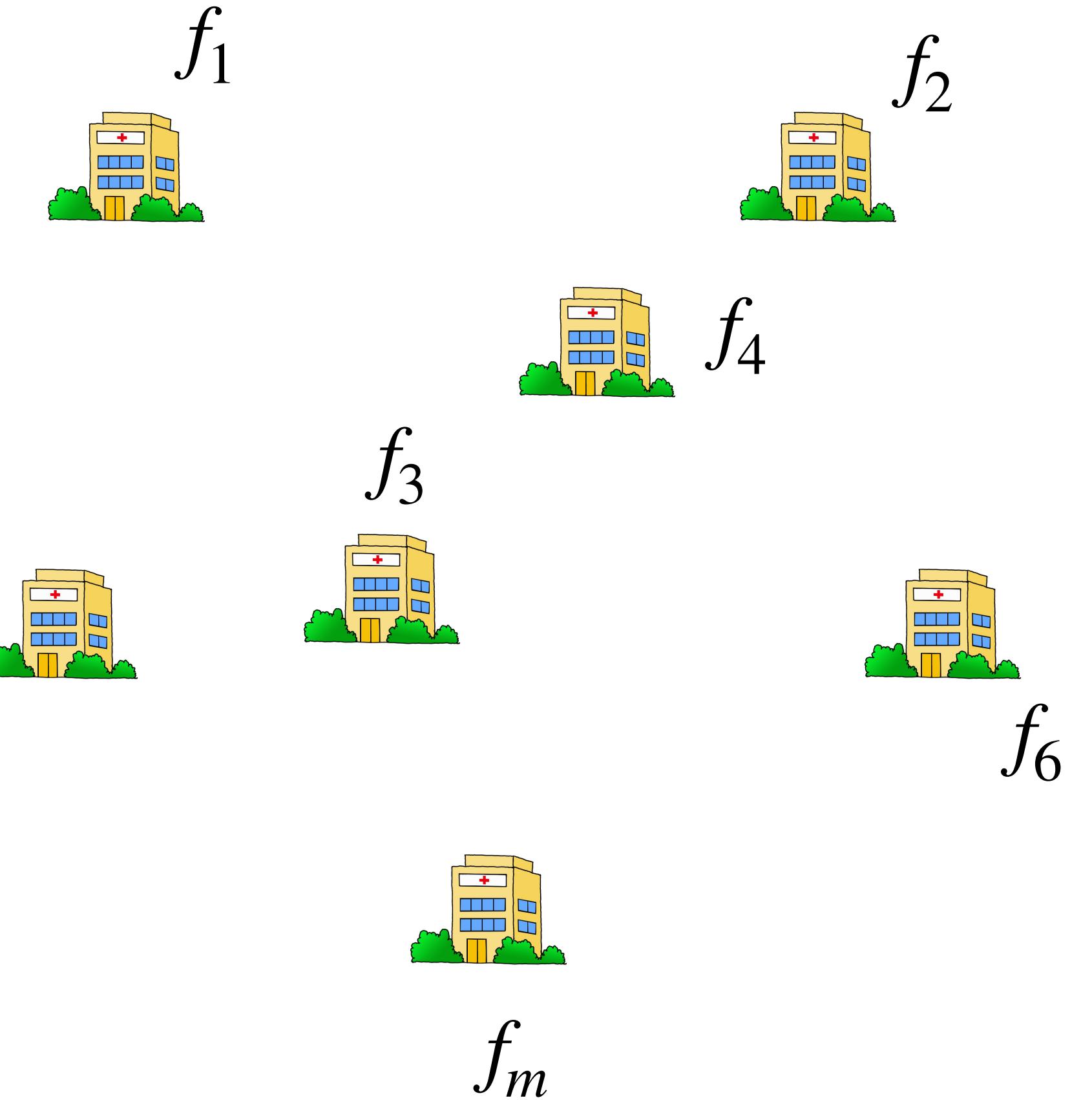
$f_m$

# Distributed Optimization in Machine Learning

Number of nodes in the network

$$\min_{x \in \mathbb{R}^d} f(x) = \frac{1}{m} \sum_{i=1}^m f_i(x)$$

local loss of node i

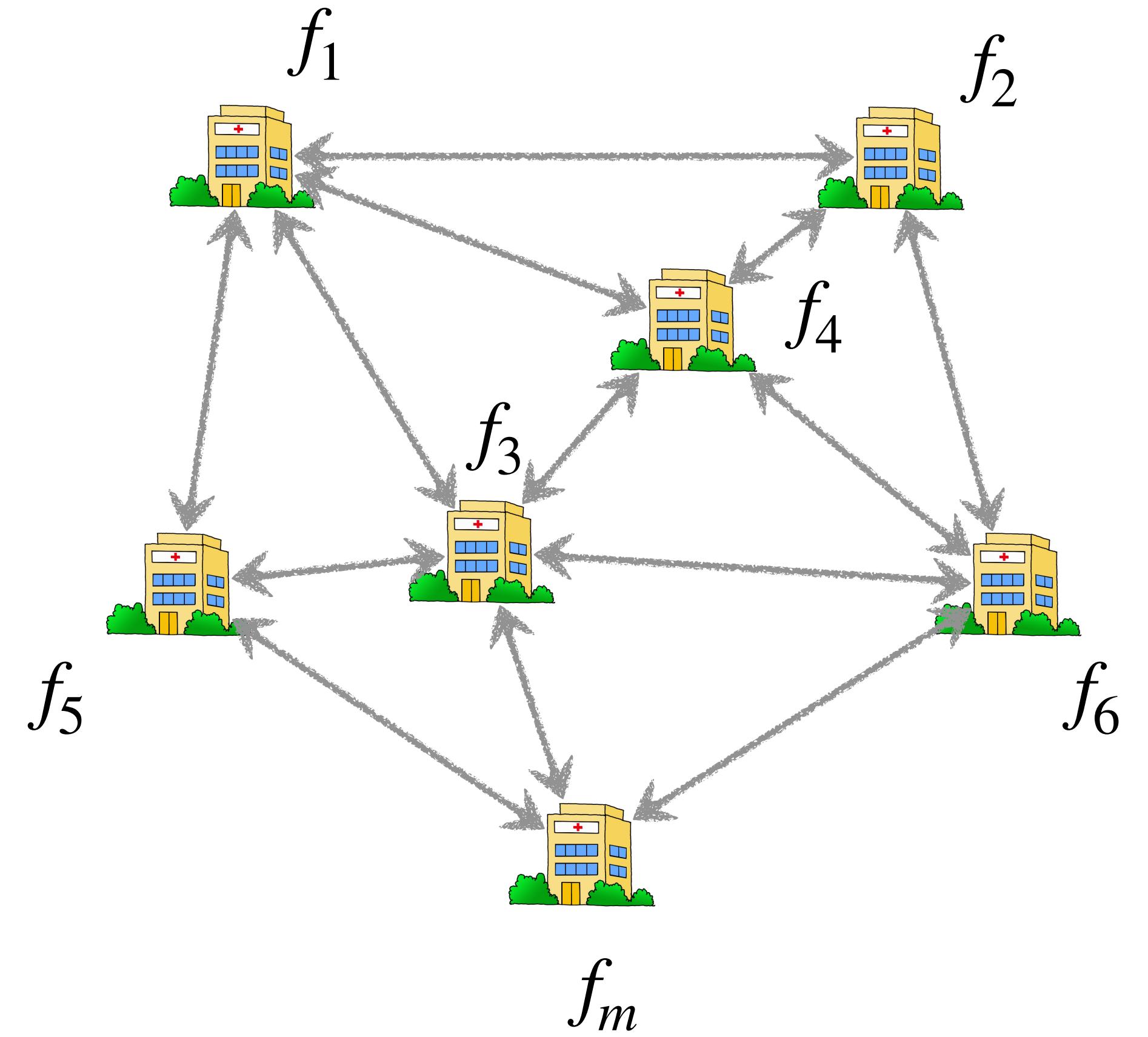


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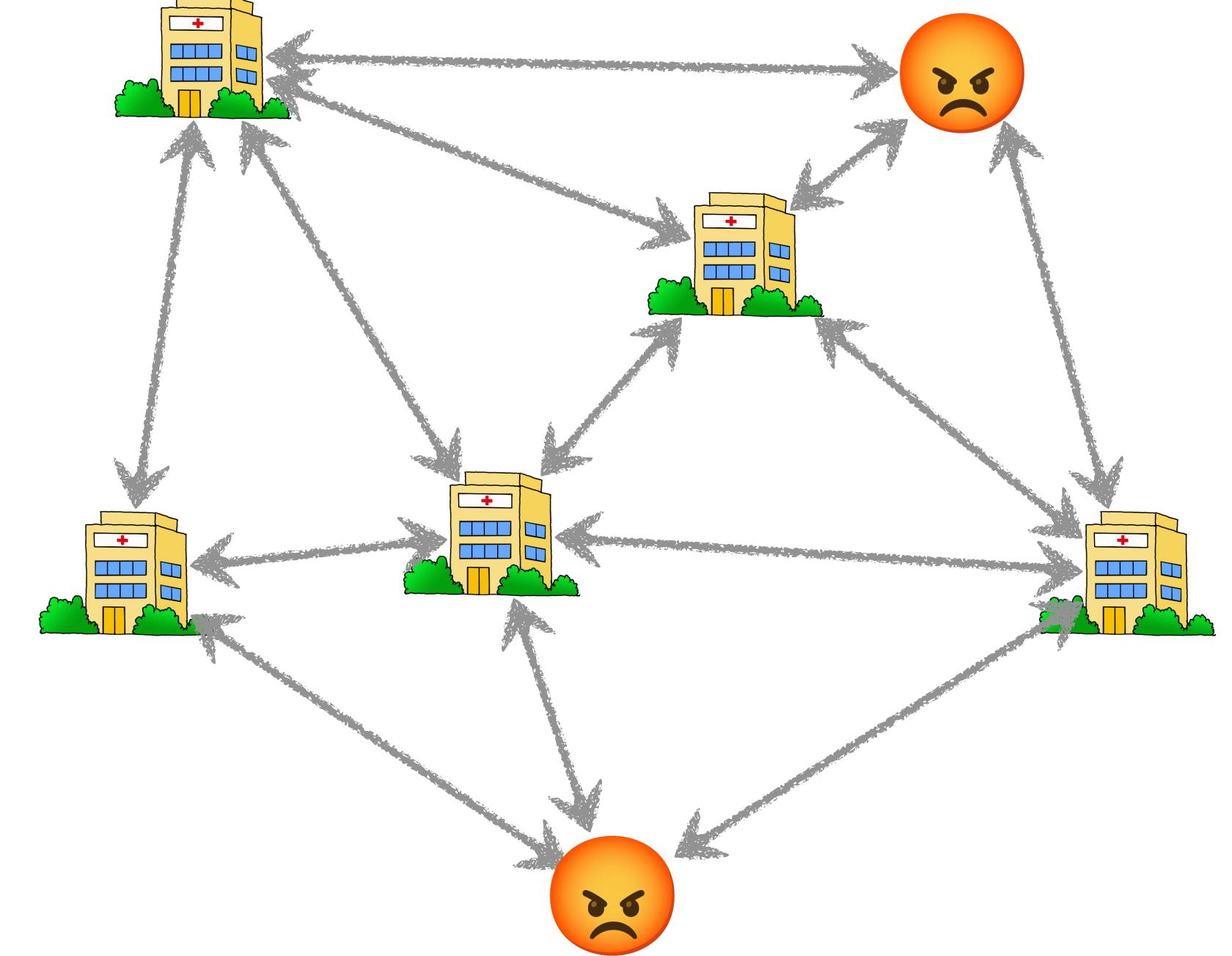


- Nodes can access their local loss function only
- Nodes collaborate to minimize the sum
- Synchronous communications

# Distributed Optimization with Adversaries (Byzantines)

Goal:

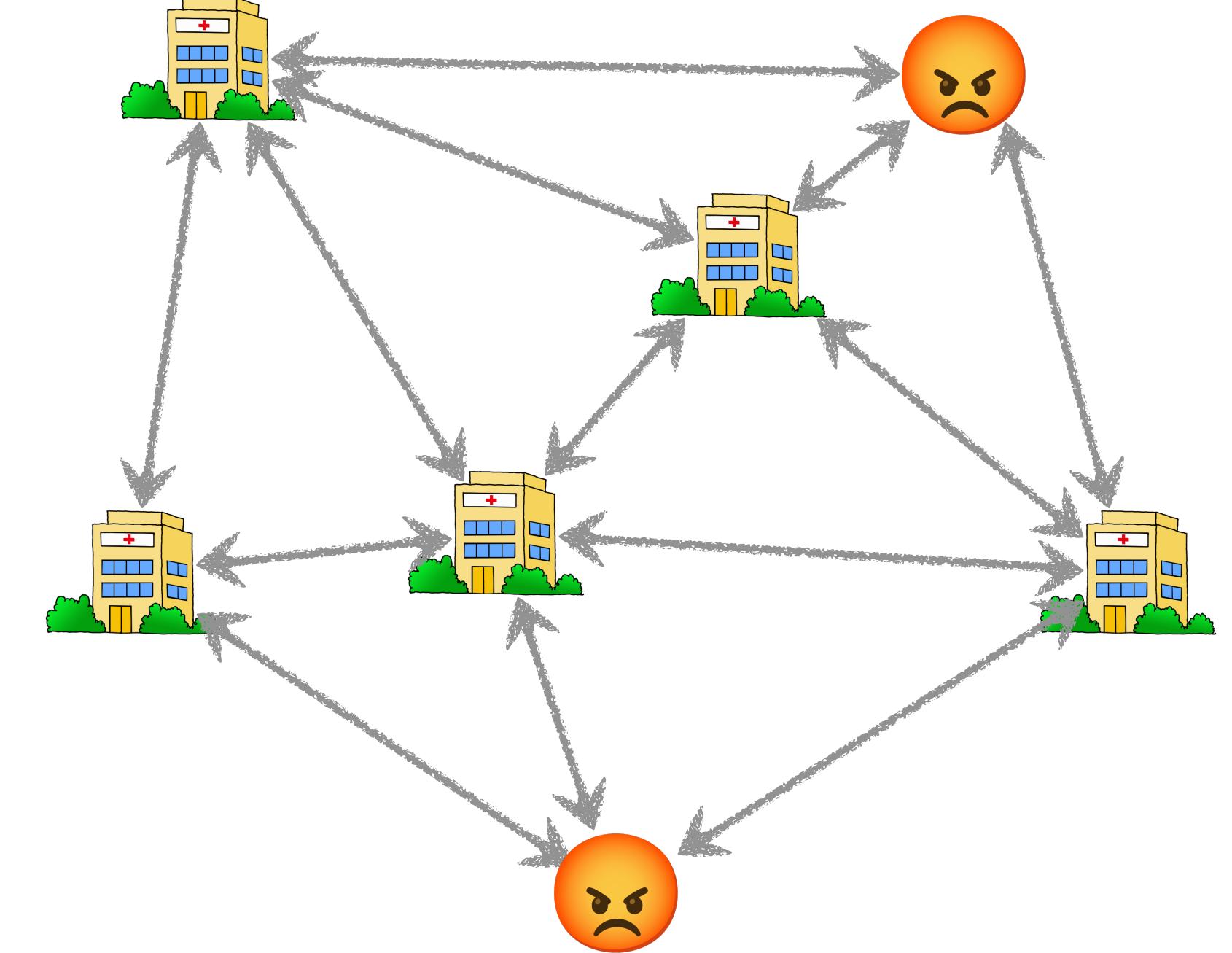
$$\min_{x \in \mathbb{R}^d} \frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} f_i(x)$$



# Distributed Optimization with Adversaries (Byzantines)

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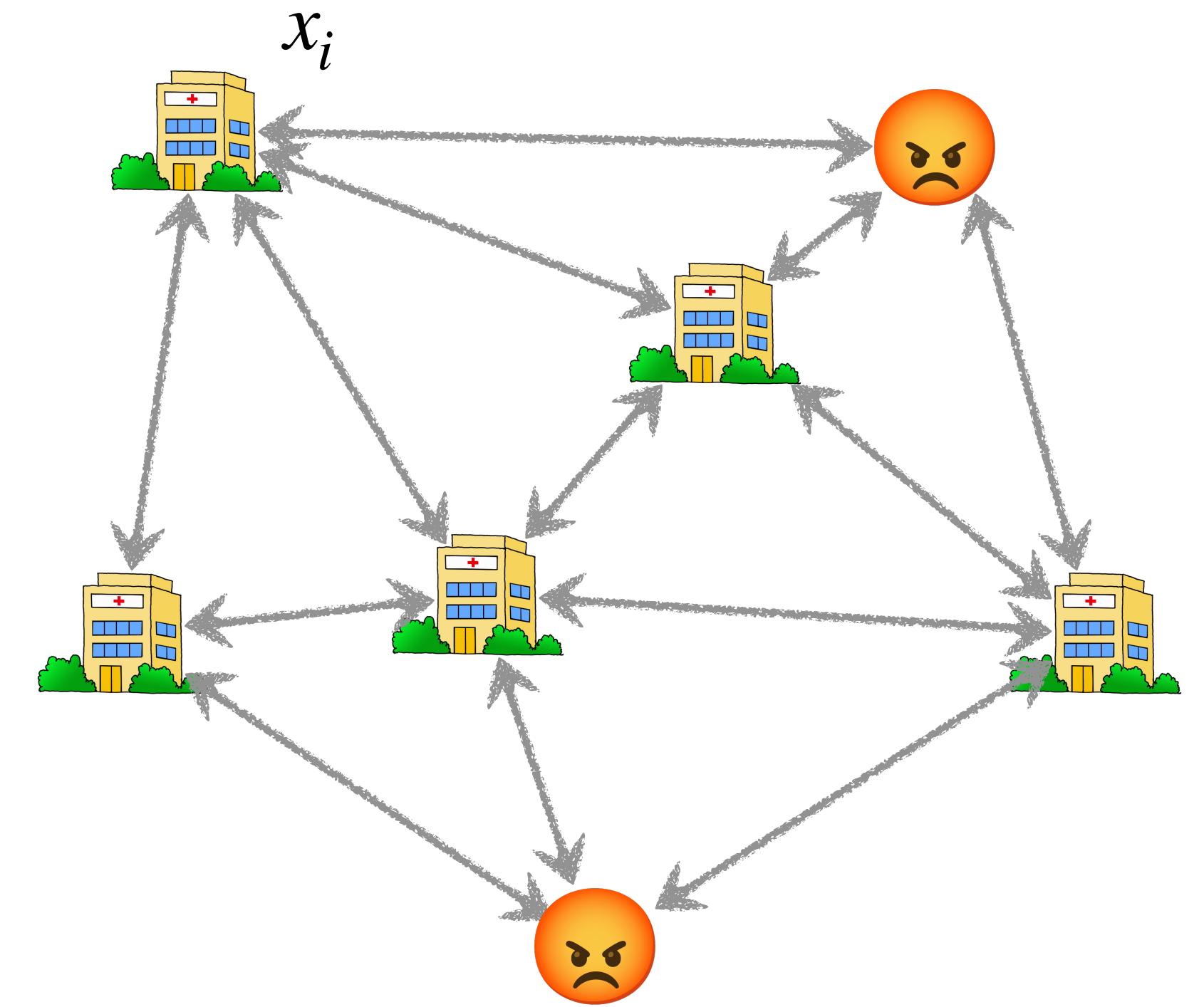
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# Distributed Optimization with Adversaries (Byzantines)

Goal:

$$\bar{x}_h^0 = \frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} x_i^0$$

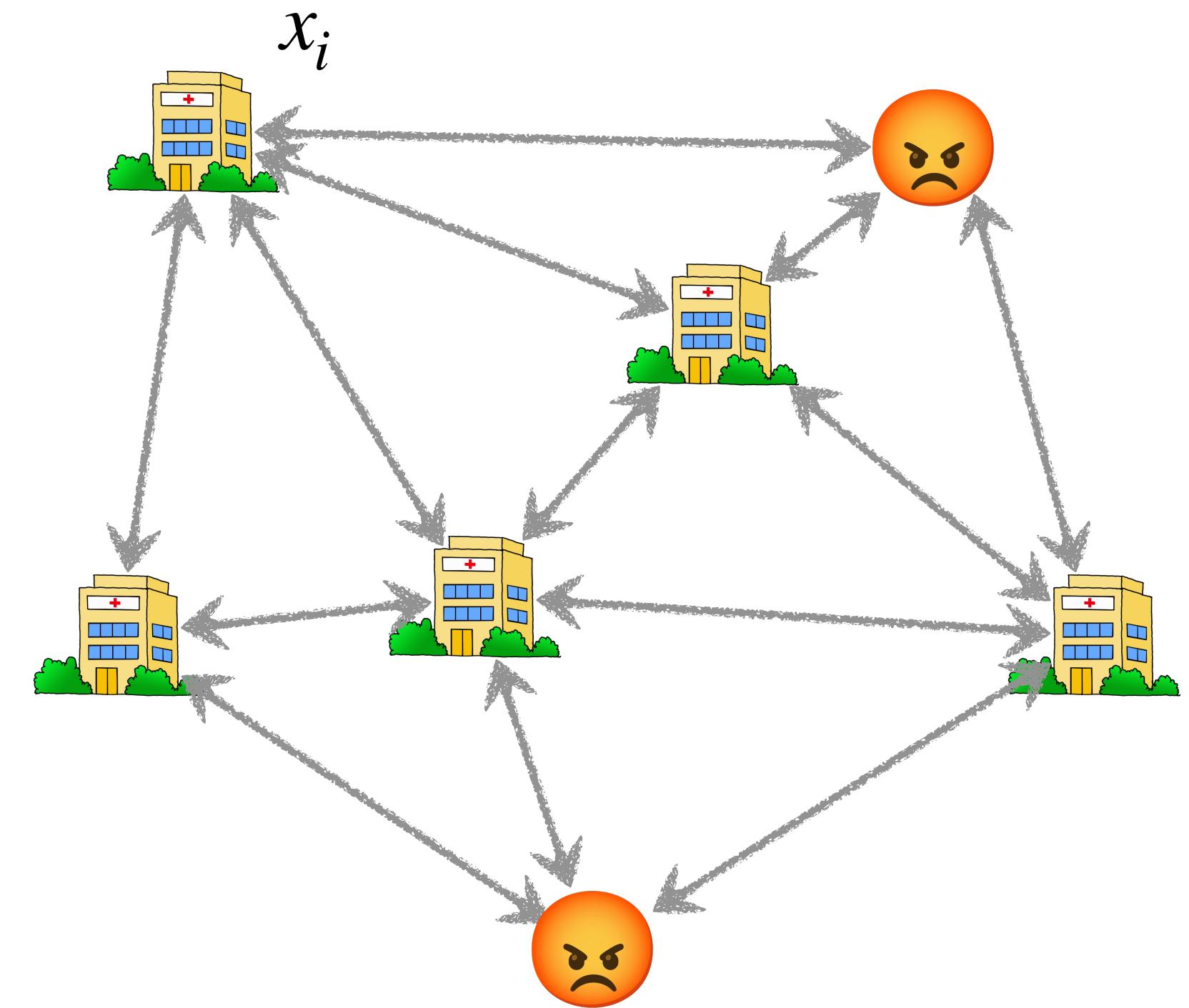


# Distributed Optimization with Adversaries (Byzantines)

Goal:

$$\bar{x}_h^0 = \frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} x_i^0$$

Each honest node has at most  $b$  Byzantine neighbors



# Distributed Optimization with Adversaries (Byzantines)

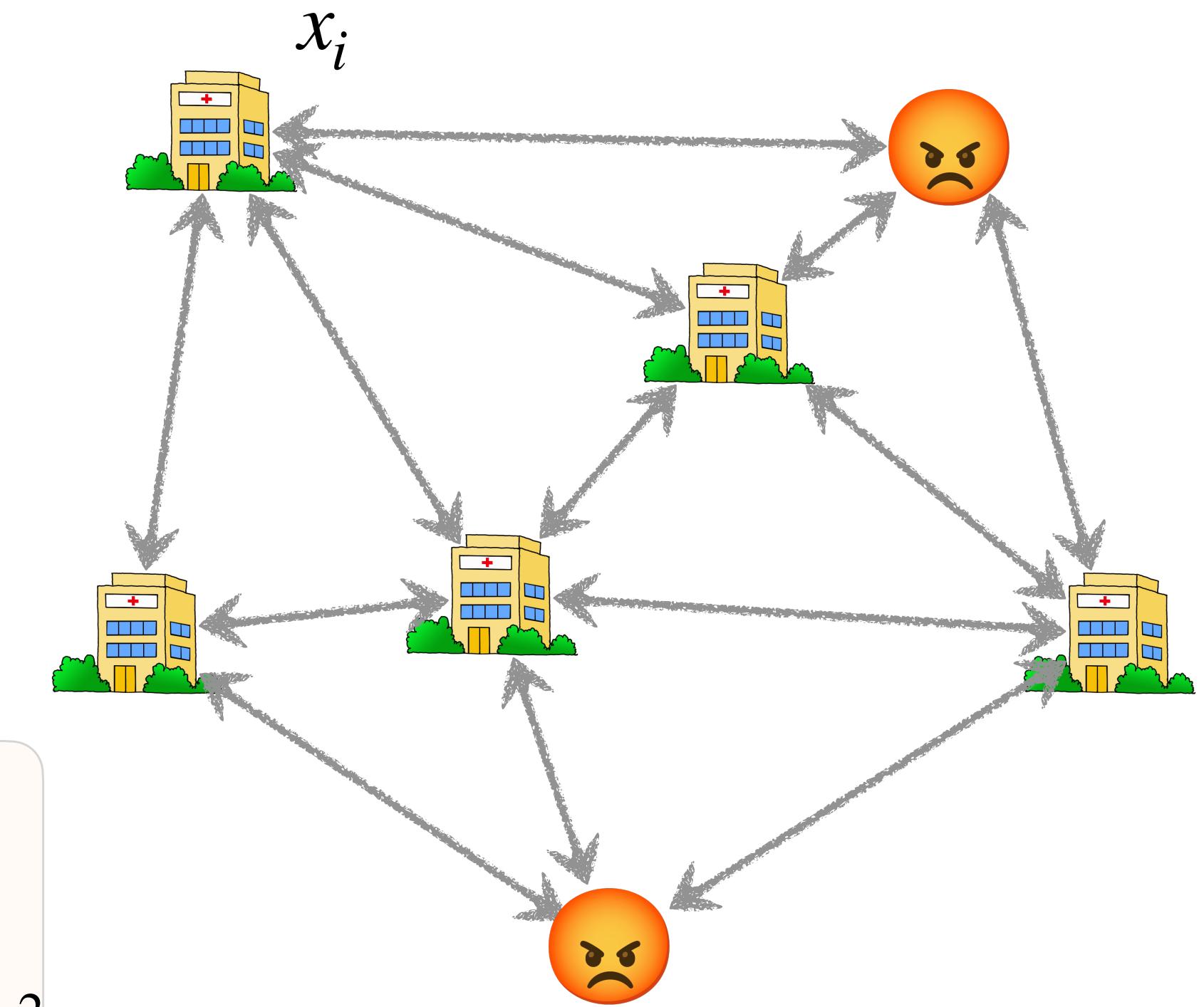
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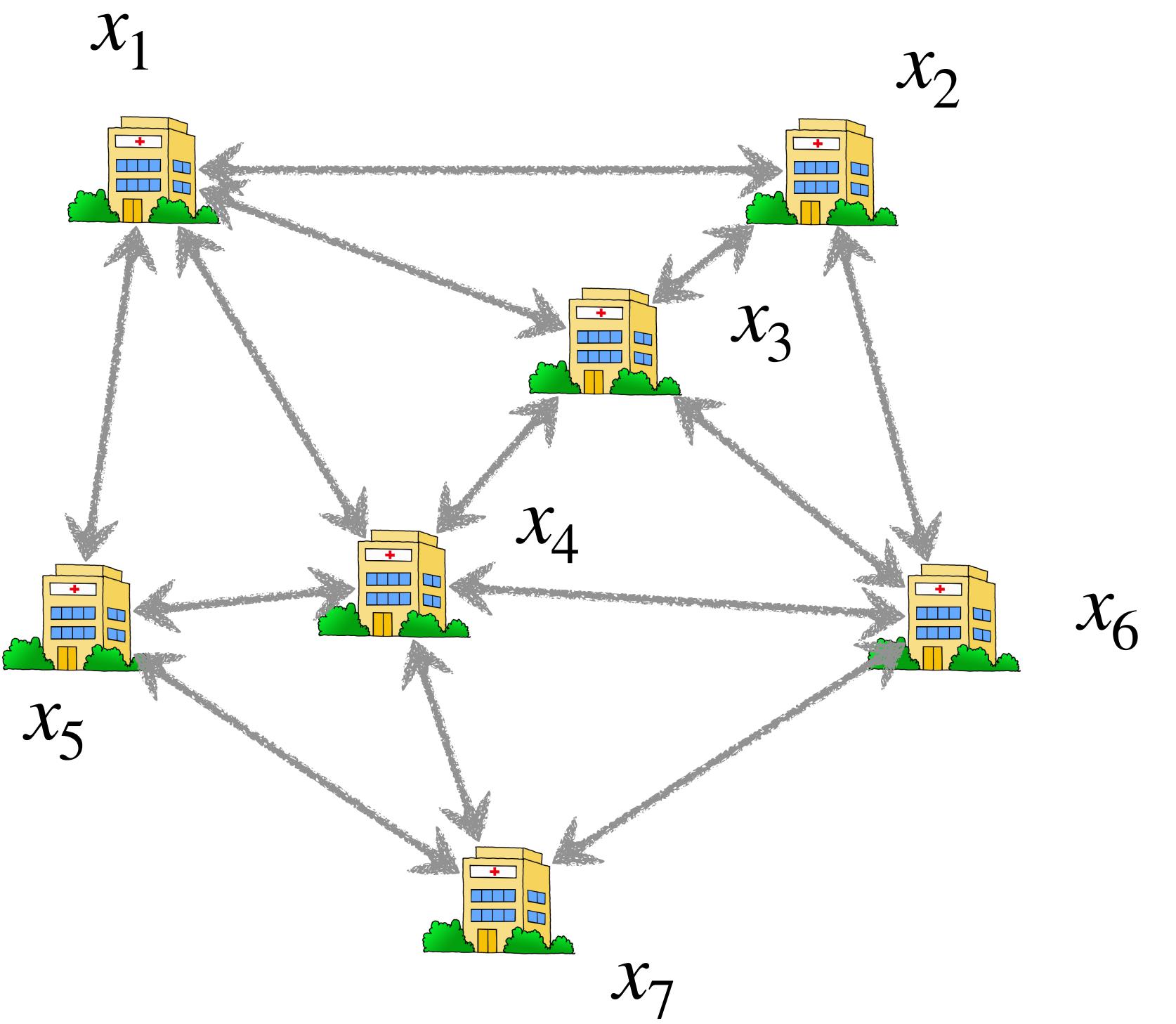
Definition:  $r$  - robustness

$$\frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} \|x_i^t - \bar{x}_h^0\|^2 \leq r \frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} \|x_i^0 - \bar{x}_h^0\|^2$$



with  $r < 1$

# Gossip communication



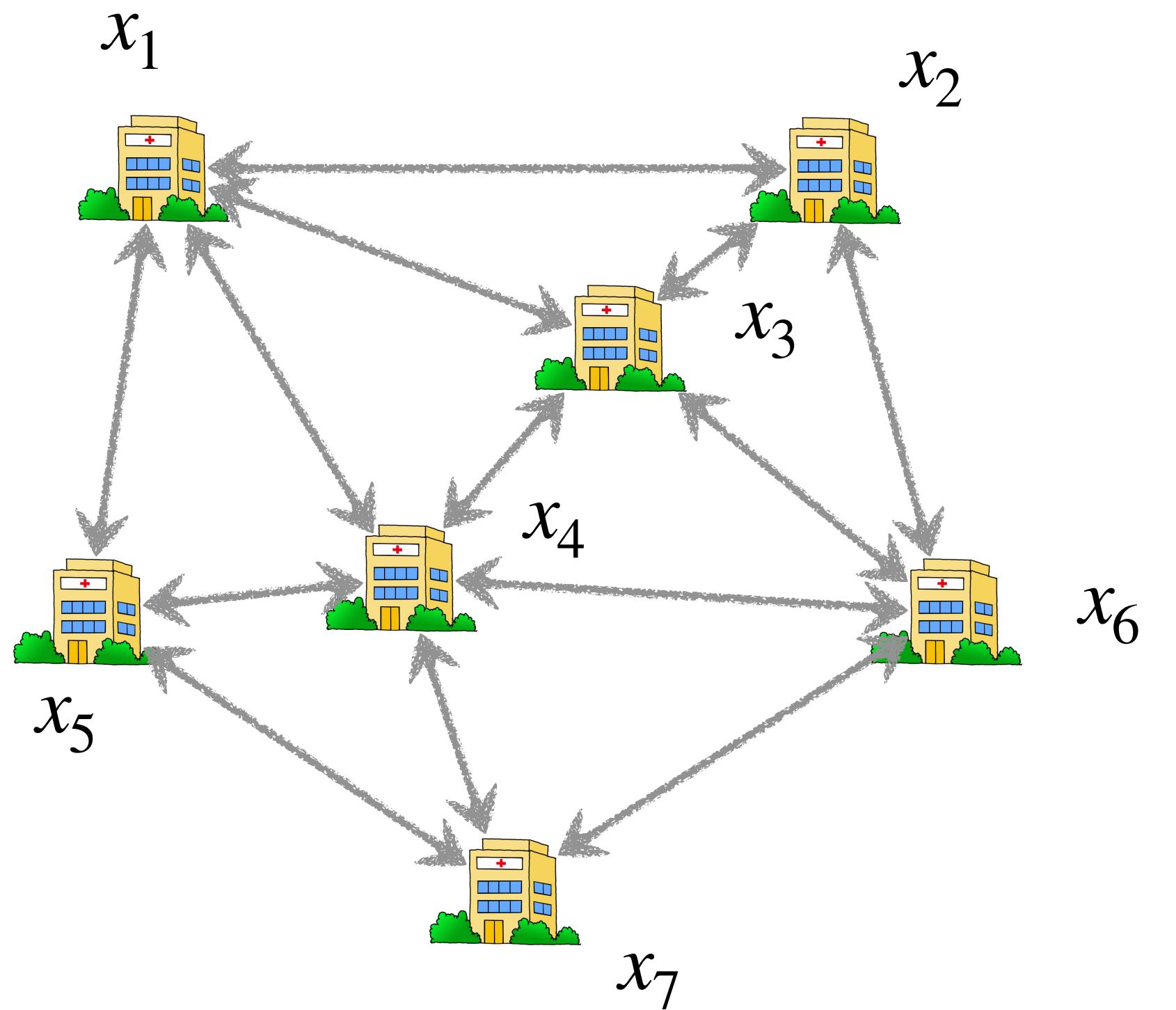
Goal

$$\bar{x} = \frac{1}{m} \sum_{i=1}^m x_i$$

# Gossip communication

Update of node  $i$

$$x_i^{t+1} = x_i^t - \eta \sum_{j \in \text{neighbors}(i)} (x_i^t - x_j^t)$$



Goal

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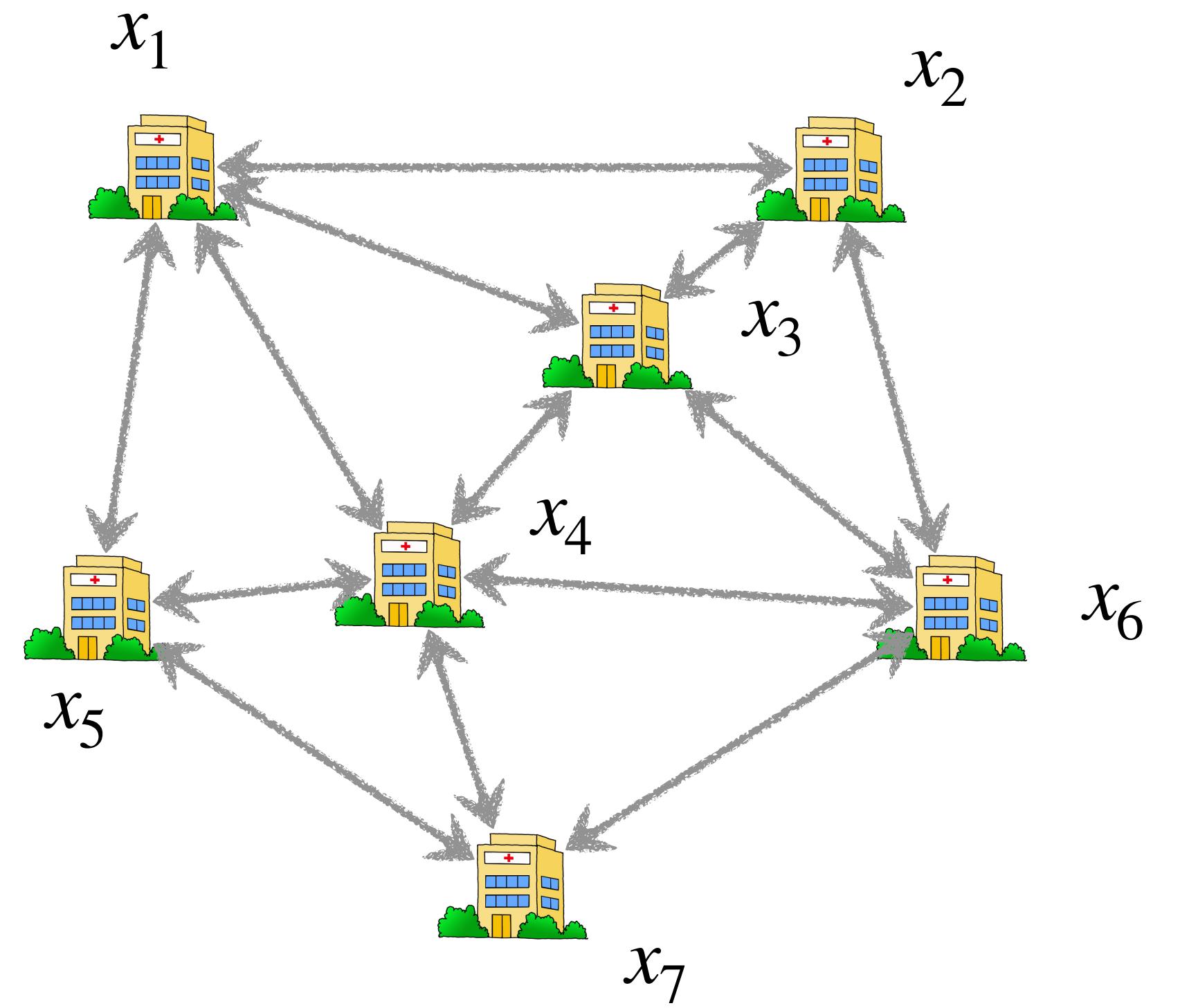
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Using  $L = \text{Diag}(\text{degrees}) - \text{Adjacency}$  and  $X^t = \begin{pmatrix} x_1^t \\ \vdots \\ x_h^t \end{pmatrix}$

$$X^{t+1} = (I - \eta L)X^t$$



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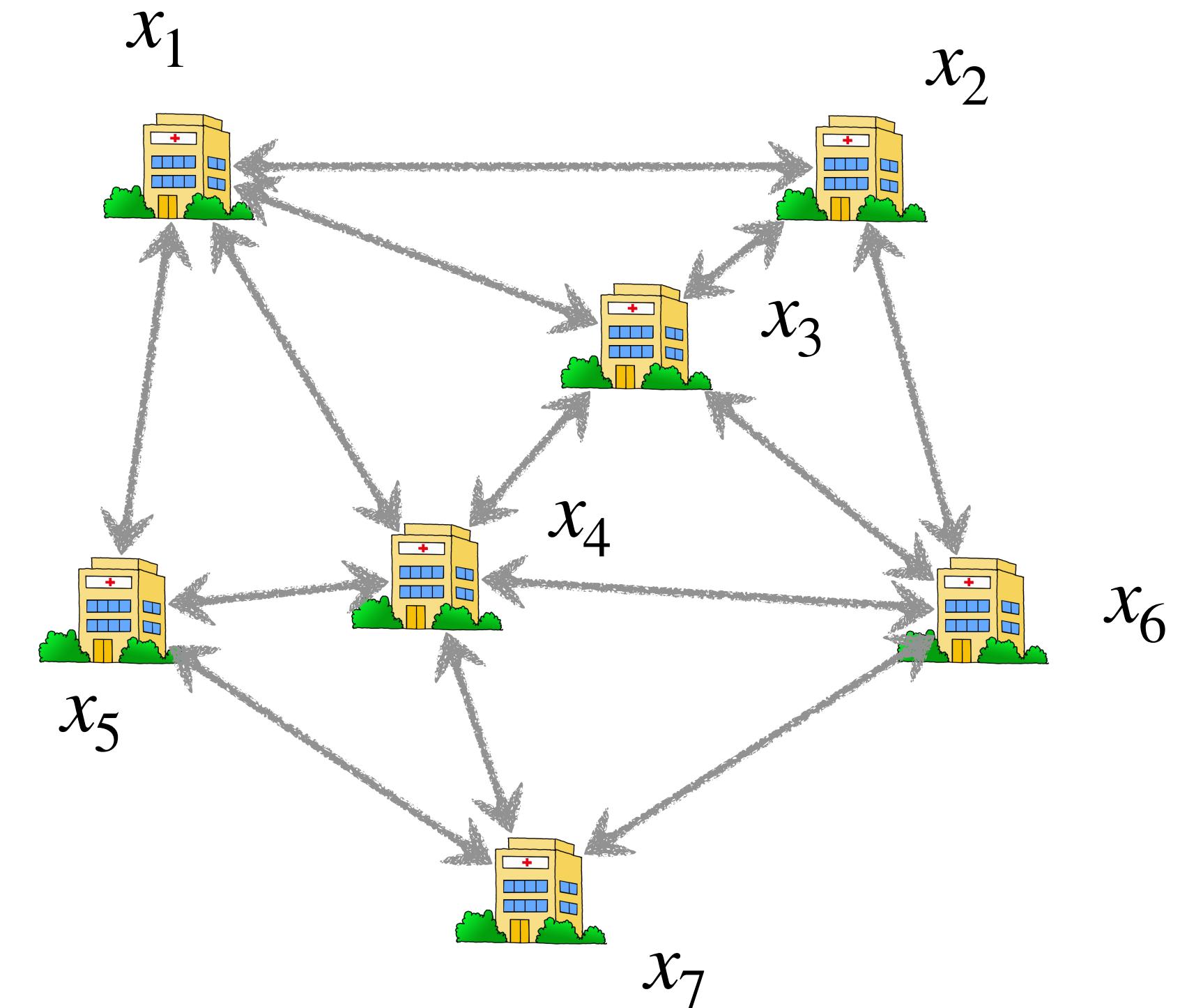
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*Theorem (folklore)*

$$\| X^t - \bar{X}^0 \| \leq \left( 1 - \frac{\mu_2(L)}{\mu_{\max}(L)} \right)^t \| X^0 - \bar{X}^0 \|$$

Spectral gap



Goal

$$\bar{x} = \frac{1}{m} \sum_{i=1}^m x_i$$

# The Robust Gossip framework

Non-robust update of node  $i$

$$x_{\textcolor{teal}{i}}^{t+1} = x_{\textcolor{teal}{i}}^t - \eta \sum_{j \in \text{neighbors}(\textcolor{teal}{i})} (x_{\textcolor{teal}{i}}^t - x_j^t)$$

# The Robust Gossip framework

Robust gossip update of node  $i$

$$x_i^{t+1} = x_i^t - \eta F\left( (x_i^t - x_j^t)_{j \in \text{neighbors}(i)} \right)$$

# The Robust Gossip framework

Robust gossip update of node  $i$

$$x_i^{t+1} = x_i^t - \eta F\left(\left(x_i^t - x_j^t\right)_{j \in \text{neighbors}(i)}\right)$$

*Definition:* Robust aggregation function

$$\left\| F(z_1, \dots, z_n) - \sum_{i \in \text{honest}} z_i \right\|^2 \leq \rho b \sum_{i \in \text{honest}} \|z_i\|^2$$

*quality / robustness of F*

number of *byzantine* vectors in  $z_1, \dots, z_n$

# Instances of robust aggregations

1. Sort  $\|z_1\| \leq \dots \leq \|z_n\|$

2.a) Remove vectors larger than  $\|z_{n-\textcolor{red}{b}}\|$

$$F(z_1, \dots, z_n) = \sum_{i=1}^{n-\textcolor{red}{b}} z_i$$

$$\rho = 4$$

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$$\rho = 4$$

2.b) Clip vectors larger at  $\|z_{n-2b}\|$

$$F(z_1, \dots, z_n) = \sum_{i=1}^n \frac{z_i}{\|z_i\|} \min(\|z_i\|, \|z_{n-2\textcolor{red}{b}}\|)$$

$$\rho = 2$$

# F-Robust Gossip is r-robust

*Theorem*

$$\frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} \|x_i^1 - \bar{x}_h^0\|^2 \leq \textcolor{violet}{r} \frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} \|x_i^0 - \bar{x}_h^0\|^2$$

$$\text{with } \textcolor{violet}{r} = 1 - \frac{\mu_2(\textcolor{teal}{L}) - 2\textcolor{violet}{\rho}\textcolor{red}{b}}{\mu_{max}(\textcolor{teal}{L})}$$

*Algebraic connectivity*

In fully connected graphs  $\mu_2(\textcolor{teal}{L}) = |\text{honest}|$

↪ r-robust until a proportion of  $1/(2\textcolor{violet}{\rho}+1)$  aversaries

# Tightness of the breakdown point

## *Theorem*

There are arbitrarily sparse graphs and initial values  $\{x_i^0\}$  on which, if  $2b \geq \mu_2(L)$ , no decentralized algorithm is  $r$ -robust with  $r < 1$

# Tightness of the breakdown point

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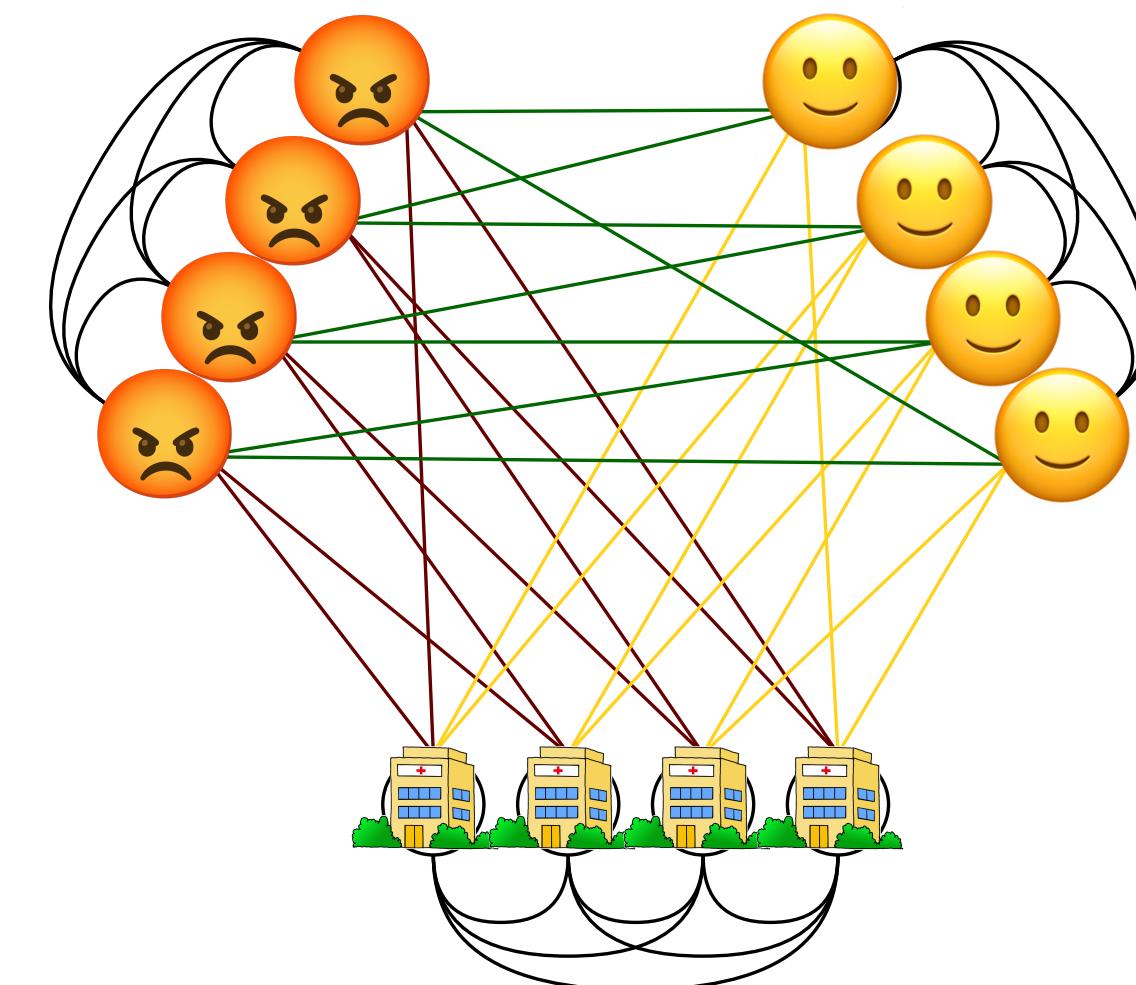
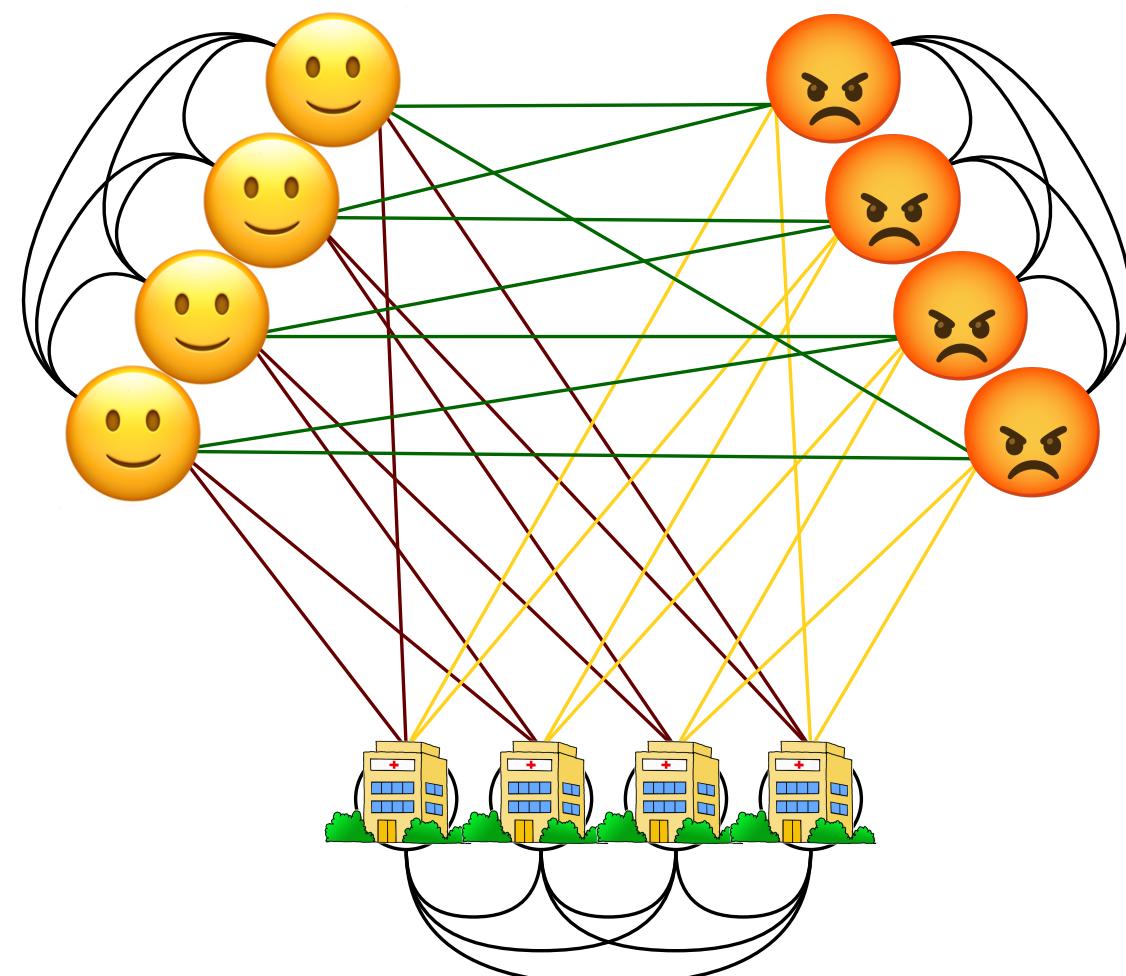
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- ↪  $\rho = 1$  is the best we can have !
- ↪ At most  $1/3$  adversaries in fully-connected graphs

# Tightness of the breakdown point

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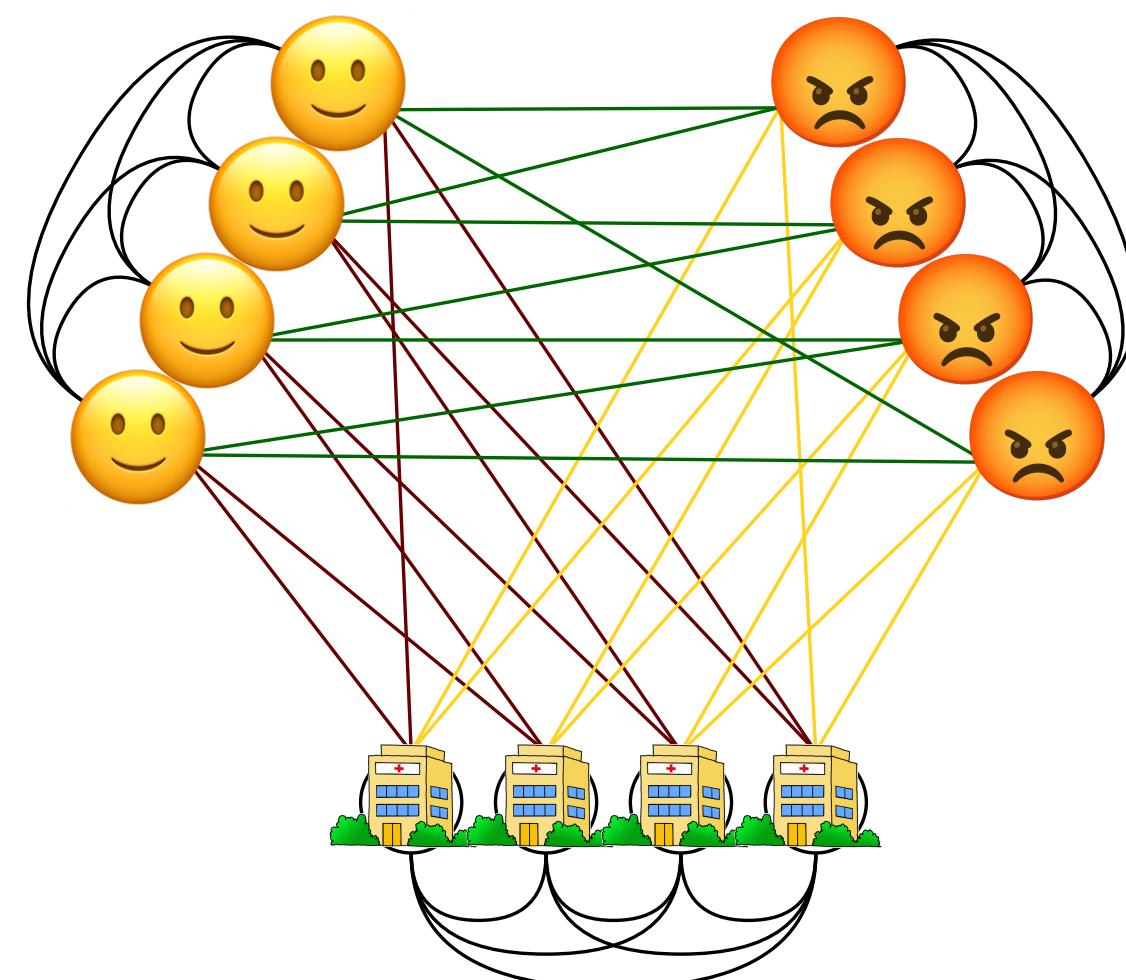
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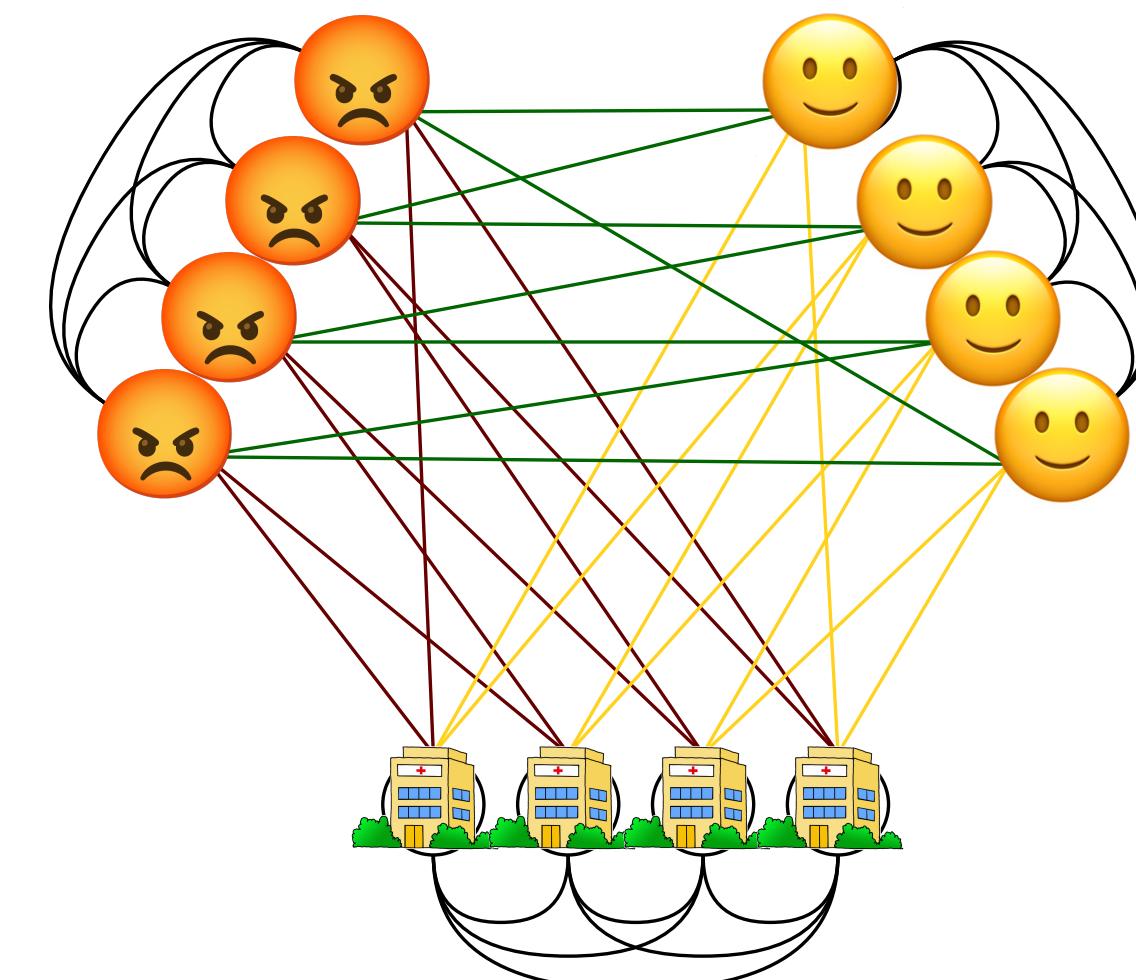
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*Theorem*

There are arbitrarily sparse graphs and initial values  $\{x_i^0\}$  on which, if  $2b \geq \mu_2(L)$ , no decentralized algorithm is  $r$ -robust with  $r < 1$



????



# Asymptotic consensus

« Breakdown ratio »

$$\delta = 2\cancel{\rho}b/\mu_2(\mathcal{L})$$

Spectral gap of the graph

$$\gamma = \mu_2(\mathcal{L})/\mu_{max}(\mathcal{L})$$

# Asymptotic consensus

« Breakdown ratio »

$$\delta = 2\varphi b / \mu_2(\mathbf{L})$$

Spectral gap of the graph

$$\gamma = \mu_2(\mathbf{L}) / \mu_{max}(\mathbf{L})$$

*Corollary:* After T iterations of F-RG

$$\frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} \|x_i^T - \bar{x}_h^T\|^2 \leq (1 - \gamma(1 - \delta))^T \frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} \|x_i^0 - \bar{x}_h^0\|^2$$

# Asymptotic consensus

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$$\| \bar{x}_h^T - \bar{x}_h^0 \|^2 \leq \frac{4\delta}{\gamma(1 - \delta)^2} \frac{1}{|\text{honest}|} \sum_{i \in \text{honest}} \|x_i^0 - \bar{x}_h^0\|^2$$

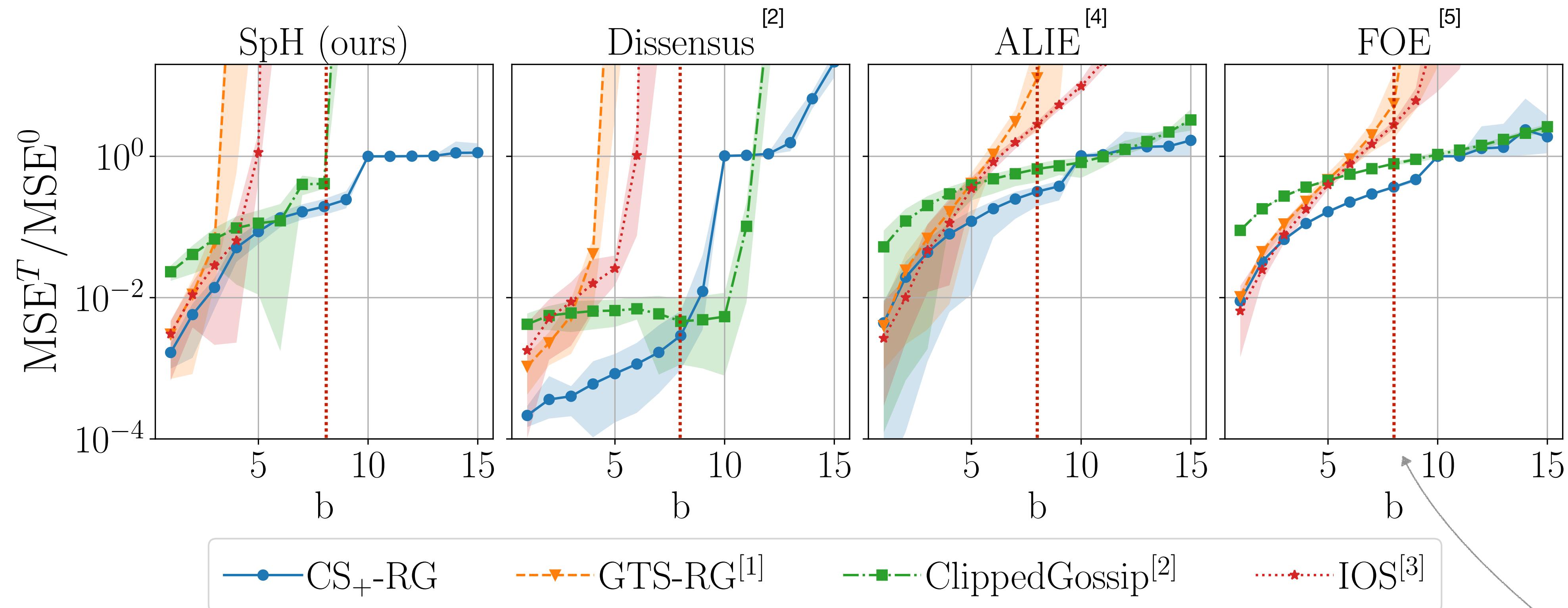
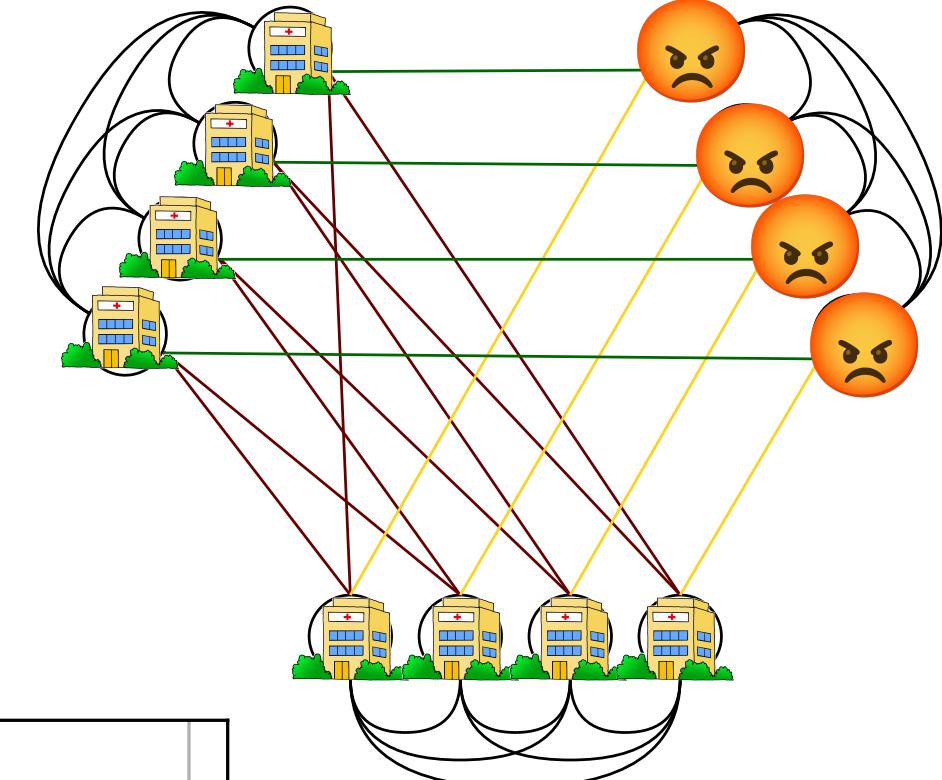
# F-RG recovers existing algorithms

- Trimming + F-RG corresponds, in fully connected graphs, to *Nearest Neighbor Averaging*<sup>[1]</sup>
- Clipping + F-RG with another *oracle* clipping threshold recovers *ClippedGossip*<sup>[2]</sup> (w.  $\rho = 4$ )

[1] Robust collaborative learning with linear gradient overhead, Farhadkhani et al., ICML 2023

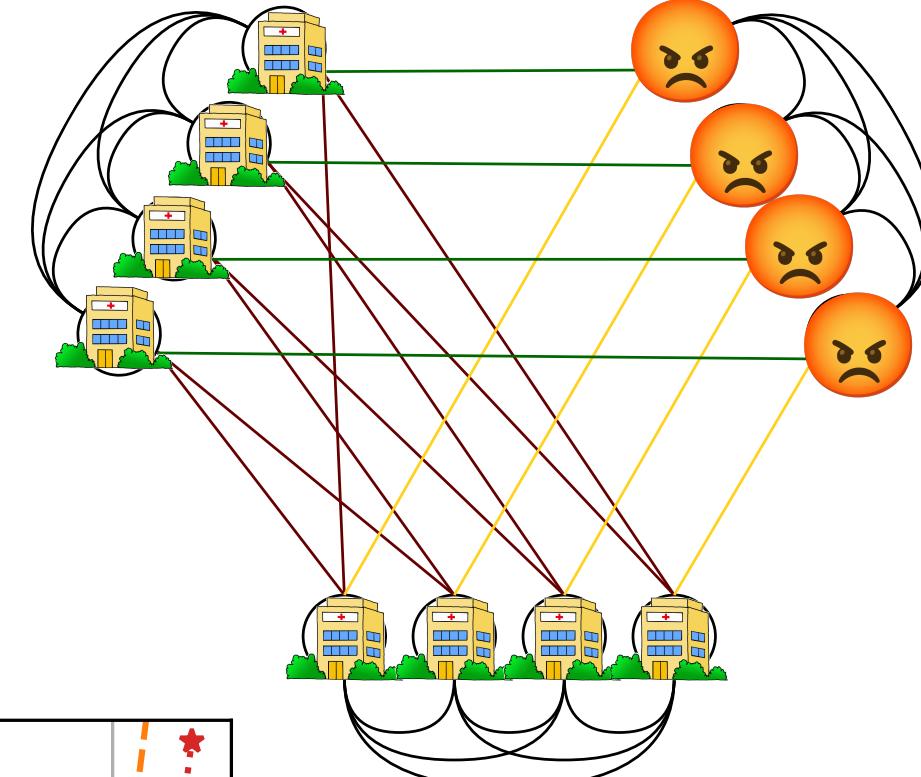
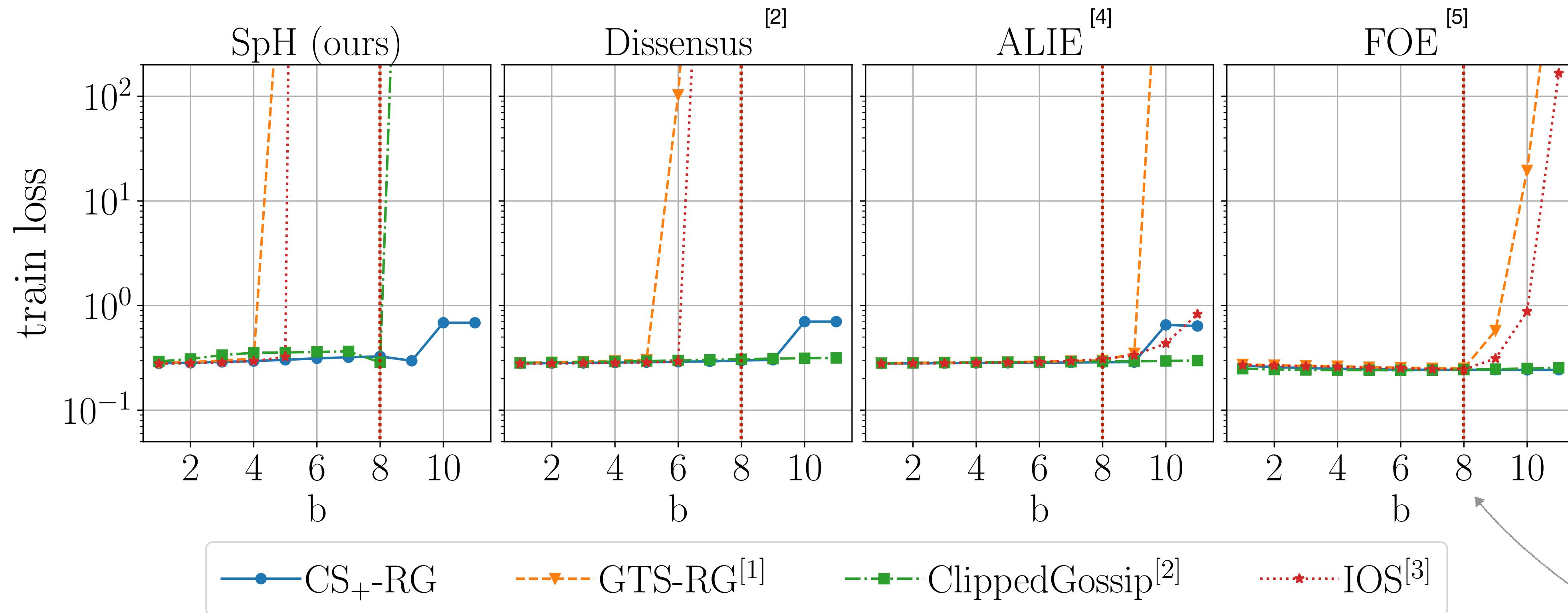
[2] Byzantine-Robust Decentralized Learning via ClippedGossip, He et. al. arxiv 2022

# Experiments - communication only



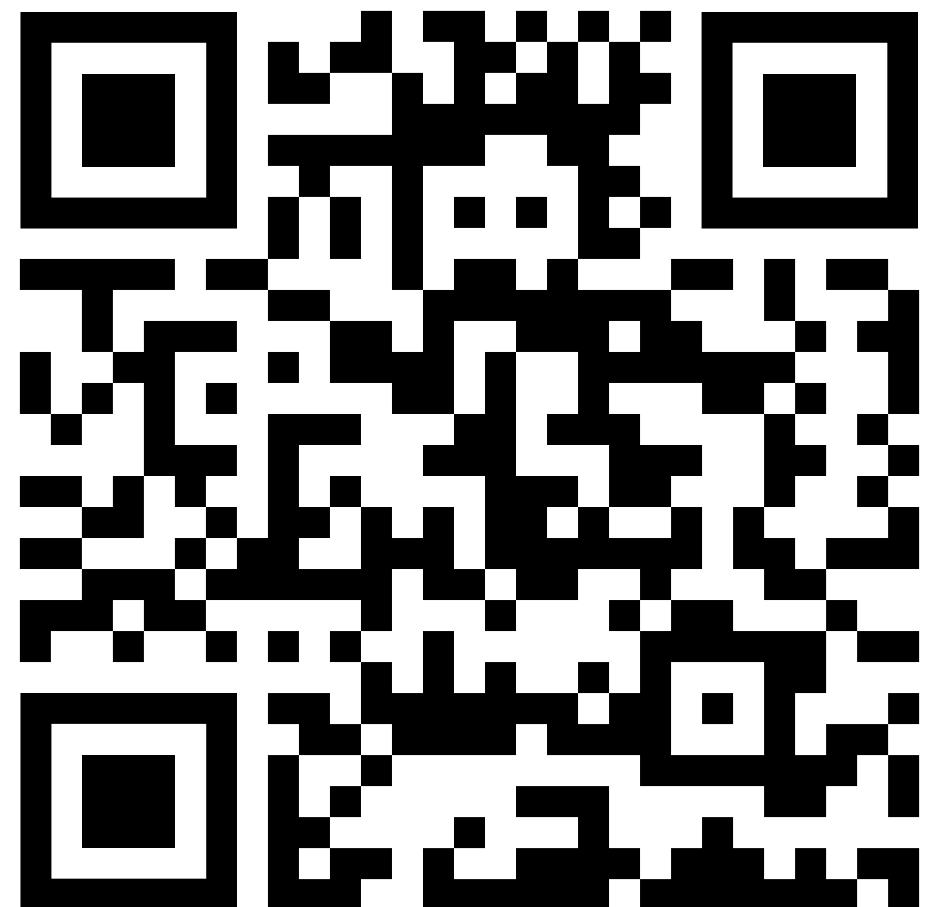
*Theoretical  
breakdown*

# Experiments - CNN on MNIST



*Theoretical  
breakdown*

# More in the paper



- Convergence for local SGD steps + communication with F-RG
- A new attack that builds on the spectral properties of the graph
- Experiments

- [1] Robust collaborative learning with linear gradient overhead, Farhadkhani et al., ICML 2023
- [2] Byzantine-Robust Decentralized Learning via ClippedGossip, He et. al. arxiv 2022
- [3] Byzantine-resilient decentralized stochastic optimization with robust aggregation rules, Wu et. al. IEEE tsp 2023
- [4] A little is enough: Circumventing defenses for distributed learning, Baruch et. al. NeurIPS 2019
- [5] Fall of empires: Breaking byzantine tolerant SGD by inner product manipulation, Xie et. al., UAI, 2020

# Experiments - communication w. Erdos Renyi

