

# AVL Trees: Properties, Imbalance Cases, and Rotations

## Introduction

An AVL Tree is a type of self-balancing binary search tree named after its inventors Adelson-Velsky and Landis. In an AVL tree, the difference in heights between the left and right subtrees (called the balance factor) is at most 1 for all nodes. This balance ensures  $O(\log n)$  time complexity for search, insertion, and deletion operations.

## Balance Factor

The balance factor of a node is defined as:

$\text{balance\_factor} = \text{height}(\text{left\_subtree}) - \text{height}(\text{right\_subtree})$

A node is:

- Balanced if its balance factor is -1, 0, or 1
- Unbalanced if the balance factor is less than -1 or greater than 1

## Imbalance Cases

There are four types of imbalances in an AVL tree:

1. Left-Left (LL) Case:

- Insertion in the left subtree of the left child
- Solution: Single right rotation

2. Right-Right (RR) Case:

- Insertion in the right subtree of the right child
- Solution: Single left rotation

3. Left-Right (LR) Case:

- Insertion in the right subtree of the left child
- Solution: Left rotation on left child, then right rotation on current node

4. Right-Left (RL) Case:

- Insertion in the left subtree of the right child
- Solution: Right rotation on right child, then left rotation on current node

## Rotations

1. Right Rotation:

- Used to fix LL imbalance
- Steps:
  - a. Let  $y$  be the unbalanced node
  - b. Let  $x$  be  $y$ 's left child
  - c. Perform rotation so that  $x$  becomes the new root of the subtree

2. Left Rotation:

- Used to fix RR imbalance

# AVL Trees: Properties, Imbalance Cases, and Rotations

- Similar to right rotation, but in the opposite direction

## 3. Left-Right Rotation:

- Used to fix LR imbalance
- First perform a left rotation on the left child, then a right rotation on the unbalanced node

## 4. Right-Left Rotation:

- Used to fix RL imbalance
- First perform a right rotation on the right child, then a left rotation on the unbalanced node

## Properties of AVL Trees

- Always balanced to maintain  $O(\log n)$  height
- Insertion and deletion require rebalancing through rotations
- Provides faster lookups than unbalanced BSTs
- Height of an AVL tree with  $n$  nodes is  $O(\log n)$
- Suitable for applications where search operations are more frequent than insertions/deletions