

<Martin's pithy title about gesture and speech>.

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Abstract

When a task becomes more conceptually demanding, speakers tend to produce more gestures. This might be because gestures help the speaker package more complex information into appropriate units for speech, or because some of the communicative load is being traded off from speech to gesture. The present study is a dialogue study designed to tease apart these views.

Previous research has found evidence for both views. Speech and gesture have been found to specify referents in parallel, but a general increase in gesture duration over a trial has been found when referents are less describable.

Studies such as these have tended to look at the relationship between gesture and speech where there is no addressee, or where the addressee is not explicitly contributing to a dialogue. Moreover, gesture has tended to be measured as the number of discrete gestures per word or trial. Because gestures and vocalisations can vary in durations, these metrics fail to capture the relative contributions of each. In the present study we use naturalistically-occurring dialogues, and measure the relative durations of gestures and speech directly, in order to establish whether they co-vary (as would be predicted by the packaging account) or correlate inversely (as would be predicted by a trade-off).

Twenty-two pairs of participants took part in a shape-matching game, in which a director described two target shapes, and a matcher attempted to identify the correct referents among an array of competitors. Roles alternated on each trial, and the shapes were either easy or difficult to verbally encode. Directors saw two shapes (one easy, one difficult) for two seconds. They then had 10 seconds to describe these shapes to their partner. Points were scored for each shape which the matcher correctly identified in an array of six shapes.

In line with an information packaging account, speech duration and gesture duration increased in parallel for easy-to-name objects $\beta = 0.57$, $SE = 0.06$, $t > 2$. Importantly, when participants were referring to objects which were more difficult to verbally encode, gesture duration increased at a higher rate than speech duration $\beta = 0.27$, $SE = 0.06$, $t > 2$.

This suggests that, when naming difficult objects, gesture does more than simply help the speaker to package verbal information. Instead, gesture serves an additional communicative purpose, adding to, but not trading off against, the verbal descriptions which are uttered.

<Martin's pithy title about gesture and speech>.

During conversation, speakers often move their hands and arms in meaningful ways which co-express the content of their speech (McNeill, 1992): These movements might add emphasis to speech; indicate location; or depict properties of objects, movements, actions or space. Research suggests that when a task becomes more conceptually demanding, speakers tend to produce more gestures. This might be because gestures have benefits for speech, either in aiding lexical access (Krauss, Chen, & Gottfexnum, 2000; Rauscher, Krauss, & Chen, 1996) or in helping to package more complex information into appropriate units for speech (Kita, 2000). Alternatively, gesture production might increase with conceptual demand because some of the communicative load is being traded off from speech to gesture (Bangerter, 2004; de Ruiter, 2006; Melinger & Levelt, 2004).

In contrast to common methods of measuring the number of discrete gestures per word, experimental trial or some other construct, the present study measures the relative durations of gestures and speech directly, in order to establish whether they co-vary (as would be predicted by a gesturing-to-benefit-speech account) or correlate inversely (as would be predicted by a trade-off). With few studies having investigated the speech-gesture relationship in a dialogical setting where two people are making explicit contributions, we use naturalistically-occurring dialogues in which participants jointly engage in producing referring expressions.

Although gesturing has traditionally been considered to be intended to directly communicate meaning to an addressee, recent research has suggested that it may in part be motivated by the cognitive benefits it has for the speaker. Advantages of gesturing have been found in many areas: In speech production and planning processes (Kita, 2000; Krauss & Hadar, 1999; Morsella & Krauss, 2004; Rauscher et al., 1996; Rose & Douglas, 2001); spatial working memory (Morsella & Krauss, 2004; Wesp, Hesse, Keutmann, & Wheaton, 2001); conceptual planning (Melinger & Kita, 2007); and even doing mental arithmetic (Goldin-Meadow, Nusbaum, Kelly, & Wagner, 2001).

Explanations of the mechanism by which gesturing may benefit production of speech have been varied. One suggestion holds that gesturing increases activation on

relevant items in the mental lexicon, thus facilitating access (Krauss et al., 2000). Another (Hadar & Butterworth, 1997) suggests that gesturing prevents visuo-spatial imagery from decaying, providing speech production processes with higher quality information. In a similar vein, the Information Packaging Hypothesis (Kita, 2000; Kita & Özyürek, 2003) claims that gesturing helps speakers to package complex information into appropriate units for speech.

Common to all these accounts is the claim that as conceptual load increases, speech and gesture increase in parallel. A 2009 study from So et al. found evidence which patterned with this claim. Participants were asked to describe scenes from videotaped vignettes (e.g., a man giving woman a basket) and their use of both speech and gesture to indicate characters in the scene was measured. So et al. found that speakers more often used a gesture to identify a referent if it was also specified in speech. So et al. viewed their results as evidence in support of an account of speech and gesture going ‘hand-in-hand’ — i.e. the two modalities co-varying.

However, where So et al.’s participants did not use gesture to compensate for underspecifications in their spoken descriptions, other studies have found contrasting results. In Melinger and Levelt (2004), participants were asked to communicate information to an interlocutor about the spatial arrangements of connected dots of different colours. As it was possible to determine the minimal content necessary for each of the stimuli Melinger and Levelt were able to measure whether omissions in speech were accompanied by compensatory gestures. Melinger and Levelt found that people who gestured produced more — and different — omissions in speech than people who did not.

Melinger and Levelt’s results pattern with other studies (Bangerter, 2004; de Ruiter, 2006; van der Sluis & Krahmer, 2007) in which the informational content of speech is found to inversely correlate with the amount or precision of gestures. Observations such as these provide evidence for a trade-off relationship between speech and gesture — in some cases at least, gesturing appears to assume some of the communicative load from speaking. The trade-off account holds that speakers distribute communicative load across speech and gesture such that that when speaking becomes more difficult, gesturing will

increase (and vice versa). This view directly contrasts with the co-varying account, suggesting that as it becomes harder to verbally encode meaning, rates of speech will decrease while rates of gesture will increase.

There are several possible reasons for the varied accounts of how speech and gesture interact. One explanation is that the speech-gesture relationship might depend on gesture type. It might be that some types of gesture are intended to communicate (and will therefore trade-off against speech) while others are not. There is some evidence for this conjecture: Alibali, Heath, and Myers (2001) found that speakers' who could see their addressee used more *representational* gestures, but not *beat* gestures. Similarly, de Ruiter, Bangerter, and Dings (2012) found mutual visibility to increase both pointing and *obligatory iconic* gestures (gestures containing information not represented in speech but required for disambiguation), but not *non-obligatory iconic* gestures (gestures which are not essential to the understanding of co-occurring speech).

This issue is likely to depend heavily on experimental setup and stimuli. Several studies have measured the speech-gesture relationship whilst manipulating cognitive load, but using distinctly different stimuli. In de Ruiter (1998), gesture rate did not change depending on whether speakers were describing simple arrangements of shapes and lines or random arrangements of shapes and diagonal lines. However, as Morsella and Krauss (2004) point out, what de Ruiter varied in his stimuli was complexity, and not describability. In an experiment designed to tease apart these two concepts, Morsella and Krauss (2004) concluded that while visual complexity did not affect gesture rates, verbal codability did, with harder-to-name pictures (squiggles) eliciting higher rates of gesturing than easy-to-name pictures (objects). To confuse things further, in a 2012 study, de Ruiter et al. found no such effect of verbal codability on speakers' rates of gesturing when using tangrams of varying levels of abstraction (simple; humanoid; abstract).

A further cause of the contrasting findings in the speech-gesture relationship is the variability in measurement of the two modalities. Tending towards *rate* measures (i.e. gesture as a function of speech), studies have differed in their choice of measures for both speech and gesture. Much research has involved measuring the number of discrete

gestures produced when speaking (e.g. de Ruiter et al. (2012); Gerwing and Allison (2011); Hoetjes, Koolen, Goudbeek, Krahmer, and Swerts (2015); Hostetter, Alibali, and Kita (2007)). Unlike speech — where distinct phonemes and words offer comparatively clear means of measuring utterance length and duration — multiple pieces of information (and multiple types of gesture) may be produced in the time between the raising and lowering of hands. In the literature, defining individual gestures has varied with the thing being described, from “illustrating a feature of the target (for instance its shape).” when describing tangram figures (de Ruiter et al., 2012) to change in any one of “shape and placement of the hand, trajectory of the motion” when identifying referents in a narrative (So et al., 2009). According to such definitions, rates of discrete gestures have been calculated per trial (Morsella & Krauss, 2004), per minute (Mol, Krahmer, Maes, & Swerts, 2011); per 100 words (Gerwing & Allison, 2011; Hoetjes et al., 2015; Hostetter et al., 2007; Masson-Carro, Goudbeek, & Krahmer, 2015); per *feature description* (de Ruiter et al., 2012) or per *semantic attribute* (Hoetjes et al., 2015).

Because gestures and vocalisations can vary in durations, these metrics fail to capture the relative contributions of each. We propose a duration-based account in which relative durations of speech and gesture are measured directly. By comparing the durations for which a speaker conveys (or attempts to convey) information via different channels when referring to either easy-to-name or hard-to-name shapes, we aim to establish whether speech and gesture trade-off against one another or increase hand-in-hand. On a broad level, the two accounts would simply predict a correlation between durations of speech and gesture but in different directions: An inverse correlation suggests a trade-off; a positive correlation suggests a hand-in-hand relationship. Furthermore, a trade-off account would predict a stronger inverse correlation for easy-to-name shapes, whereas a hand-in-hand account would predict no difference for our manipulation of referent-nameability (JK: put in figure here?).

We also investigate the speech-gesture relationship in a more ecological setting. To date, much of the research into gestures has involved studies in which a single speakers’ gestures are evaluated under various conditions. Experimental paradigms have tended

towards those in which participants produce speech and gesture either to an imagined future addressee (e.g. Morsella and Krauss (2004); Wesp et al. (2001)) or to an addressee who is present but in a comparatively passive role (e.g. a "matcher" to a "director", as in Bangerter (2004); de Ruiter et al. (2012); Hoetjes et al. (2015); Holler and Stevens (2007)). However, both of these designs fail to capture the dynamic process of conversation. Dialogue is often considered to be a joint activity (Clark, 1996), and gesture is no exception to this. In Bavelas, Gerwing, Sutton, and Prevost (2008), results suggested independent effects of visibility and dialogue on gesturing: Speakers produced more gestures when speaking to an occluded but dialogically involved listener than when speaking to a tape recorder. With this in mind, the present study uses naturalistically-occurring dialogues in which both participants make contributions.

Experiment

Pairs of participants engaged in a collaborative matching game, in which they were tasked with matching two target shapes seen by one participant from a set of six shapes seen by the other participant. Participants took turns in the roles of *director* and *matcher*. Target shapes varied in verbal codability: they were either easy- or hard-to-name. We also manipulated participants' familiarity with the target shapes in both roles (director and matcher).

Materials

Pairs of shapes in critical trials were selected from a set of 20 critical shapes (10 easy-to-name, 10 hard-to-name). Each of the 10 easy-to-name shapes was altered (sections of the shape were rotated and/or flipped) to create the 10 hard-to-name variants. For filler trials, shapes were selected from a further set of 40 shapes (20 easy-to-name shapes and 20 hard-to-name variants).

A set of 20 *distractor* shapes (10 easy-, 10 hard-to-name) were visually and descriptively similar to the 20 critical target shapes. These shapes were never described, only being presented as part of the matchers' arrays, with the aim of encouraging specificity in future descriptions.

In critical trials, the two shapes seen by the director differed in codability. In filler trials, target shapes were both the same codability (both easy-to-name or both hard-to-name).

Matchers' arrays were composed of six shapes. Along with the two target shapes for that trial, this was comprised of two randomly selected filler shapes and two randomly selected distractor shapes. Codability of the shapes in the array matched that of the target shapes (i.e. for critical trials, half were easy-to-name, half were hard-to-name). Positions of shapes were randomly selected for both the director (Left vs. Right) and for the matcher (in a 2x3 grid).

Experimental blocks

The experiment consisted of two blocks, each containing 40 trials (20 critical and 20 fillers). In each block, every critical shape was seen twice.

In the first block, critical trials were sampled without replacement from a list of 20 trials, with the constraint that target shapes were never repeated in consecutive critical trials. Whilst the majority of the shapes were described once by each participant, the probability that a given shape was described twice by the same participant was 25%¹.

For the 20 filler trials, two target shapes of the same codability were randomly selected from the set of filler shapes.

In the second block, pairs of consecutive critical trials alternative with pairs of filler trials. For the consecutive critical trials, the difficult-to-name shape was repeated. This meant that for critical trials where participant B was the director, they were tasked with describing the difficult shape which had just been described to them in the previous trial by participant A. Filler trials remained the same as in block 1.

Procedure

Participants sat facing each other on chairs (without any arms), with an unobstructed view of each other. Each participant had a monitor and a mouse on a table

¹Whilst the intention was to make it so that each participant described each critical shape once, a typing error in the experiment script resulted in these distributions

to their left, positioned such that they could not see what was on their partner's monitor. The setup was designed to encourage face-to-face dialogue, and to discourage participants from leaving their hand resting on the mouse whilst speaking (the position being uncomfortable for a right-handed mouse user), thus leaving both arms free to gesture. Audio and video was recorded by two cameras positioned to the right of each participant, facing their partner.

Taking turns in the roles of director and matcher, participants were tasked with successfully matching what was seen on the director's monitor from a set of possibilities on the matcher's monitor.

As a pair, participants were awarded points for successful matching. As an incentive, the highest scoring pair received £40, and participants were informed of this beforehand.

A high-score table was shown prior to the experiment, with participants adding their score to the table after they had played. To encourage face-to-face dialogue, participants were only allowed to communicate within a restricted time-window of 10 seconds during which no images were present on either screen. Participants were told that during this period, they were "both allowed to talk, gesture, ask questions, and so on". Thus gesturing was permitted but not explicitly encouraged. The end of this window was signified by the sound of a bell (see Figure 1).

Feedback was given at the end of each trial (The number of correctly matched shapes was signified by an equivalent number of bell rings, with zero correct resulting in a buzzer), and the participants' cumulative score was displayed.

Coding

Audiovisual data for each pair of participants was coded using a three stage process: Audio-only and Video-only stages were used to code for speech and gesture respectively, with the third stage (both Audio and Video) used to confirm the variables resulting from the previous stages. As each trial consisted of describing two shapes, special care was taken in the third stage to ensure that utterances and gestures were assigned to the

correct referents².

Speech Coding

Utterance duration, utterance length and disfluencies were coded in the Audio-only stage. Only the first mention of each shape was used. Utterance duration (ms) was coded from the onset of the noun-phrase up until either a) speech-offset, or b) a valid interruption from the listener in either modality. Listeners' use of the collateral channel (for instance: "yep", "mmhm", [nods head]) were not considered valid interruptions. Utterance length (number of words) was coded analogously, with disfluencies within the utterance period being identified and excluded from this measure.

Disfluencies were coded as falling into one of six categories: Filled pauses; Insertions; Substitutions; Articulation Errors; Deletions; Repetitions. (ref Shriberg). Any speech prior to the onset of the noun-phrase was coded as either fluent or disfluent.

Gesture Coding

Gestures were identified in the Video-only stage of the coding process. Any movement from the fingers up to the shoulder were considered. Only gestures which partially overlapped an identified utterance period were included, and were assigned to the utterance which they primarily overlapped. This pairing was then confirmed in the third stage of the coding process. In any cases of a gesture being ambiguous as to which utterance it accompanied (i.e. adaptor gestures which overlap both utterance periods), the gesture was assigned to both referents.

Gestures were categorised into five types: Iconics; Beats; Points; Adaptors; and Others. Any gesture which was considered to be an attempt to represent any feature of the target shape was coded as an Iconic gesture. Beat gestures were identified as any movements which rhythmically matched prosody in speech but which *did not* represent any feature of the target shape. Point gestures were extensions of the index finger used to refer deictically to either present objects or people, or to previous parts of the discourse.

²There was potential for descriptions in both modalities to merge into one another

Other movements were categorised as either adaptor gestures (scratching, stroking, manipulating clothing, etc.), or other miscellaneous gesticulations.

Individual gestures were identified by onset of movement, and continued either until the start of the retraction phase, or until transformation into a) a different category of gesture or b) iconic gesturing referring to a different shape³.

The third stage of the coding process (audio and video) was used to confirm the coding of the first and second stages, specifically gesture categorisation and pairing of gestures with referents. Additionally, this stage was used to code whether or not the utterance referred explicitly to the gesture being made (e.g. "like this", "like that", "a bit here", etc.) Several gestures remained ambiguous between iconic and beat even after this third stage (n referencing easy shapes, and n referencing difficult shapes). To err on the side of caution, these were considered to be imprecise/lax attempts at representing the shapes in space, and thus coded as iconic gestures.

Once identified, iconic gestures were coded for gesture duration analogously to the measure of utterance duration: Gesture duration for iconic gestures was measured from the onset of the first stroke or hold phase up until the retraction phase, or until interruption. End-of-gesture hangs (uninformative hangs immediately prior to a retraction phase) were not included⁴.

This measure of gesture duration included any hangs, false starts, or preparation which occurred within-gesture, just as utterance duration included within-utterance pauses and disfluencies. Any suspected false starts and repetitions were counted (a finger trace which is subsequently reversed counts as repetition, as does a static hold with a distinct beat gesture incorporated).

Additionally, all gestures were coded for the hands used (Left, Right, or Both), and whether the representational part of the gesture was conveyed dynamically, statically, or as a combination of both.

³Because each trial involved a participant describing two shapes...

⁴We discerned here between end-of-gesture *hangs* and end-of-gesture *holds* which continued to convey some representational content, and were thus included as part of gesture duration

Analysis**Results****discussion**

we should do a director/matcher task with varying noise. i.e. for n trials, white noise happens, making it harder to convey information via speech. and for n trials, they have to hold something in their hands (making gesturing harder).

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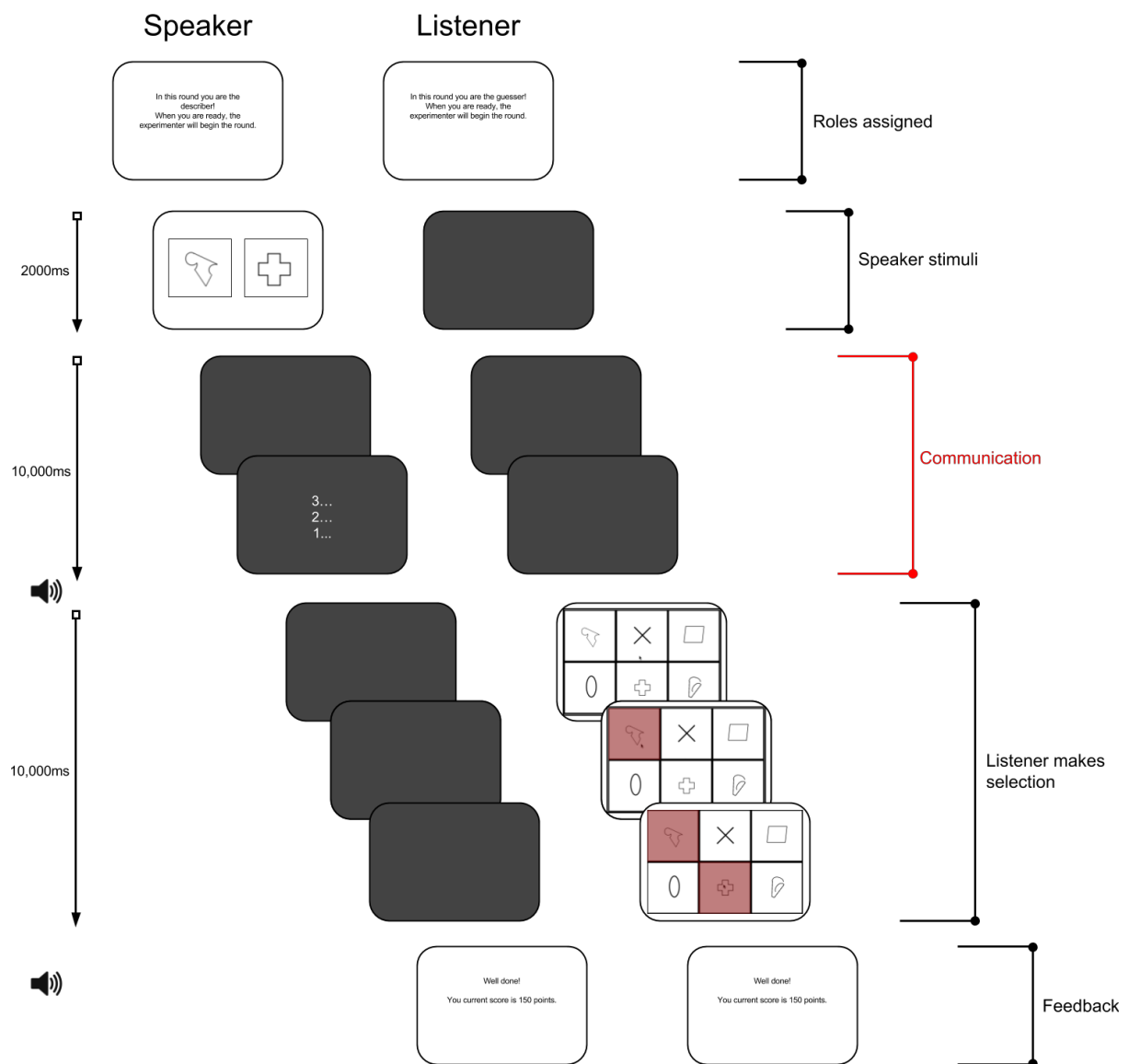


Figure 1. Procedure of a given trial.



Figure 2. gestures