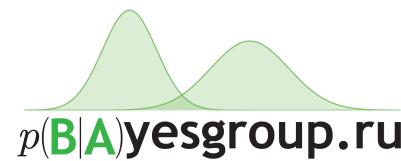


# Recurrent neural networks

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Deep Learning  
CMC MSU, 2017

# Motivation

Sequence input:

- Sentiment analysis

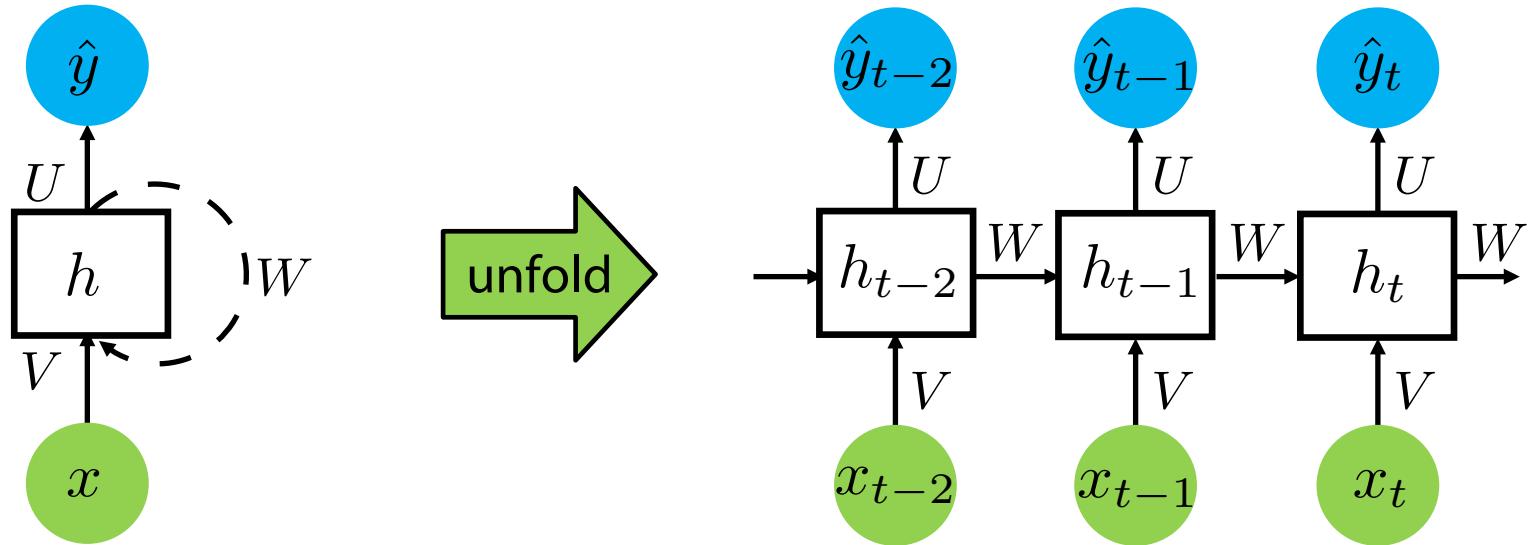
Sequence output:

- Image captioning

Sequence input and output:

- POS tagging
- Language model
- Handwriting generation
- Speech to text / text to speech
- Machine Translation

# Recurrent neural network



$x$  - input

$\hat{y}$  - output (prediction)

$h$  - hidden state

$$h_t = f_h(Vx_t + Wh_{t-1} + b_h)$$

$$\hat{y}_t = f_y(Uh_t + b_y)$$

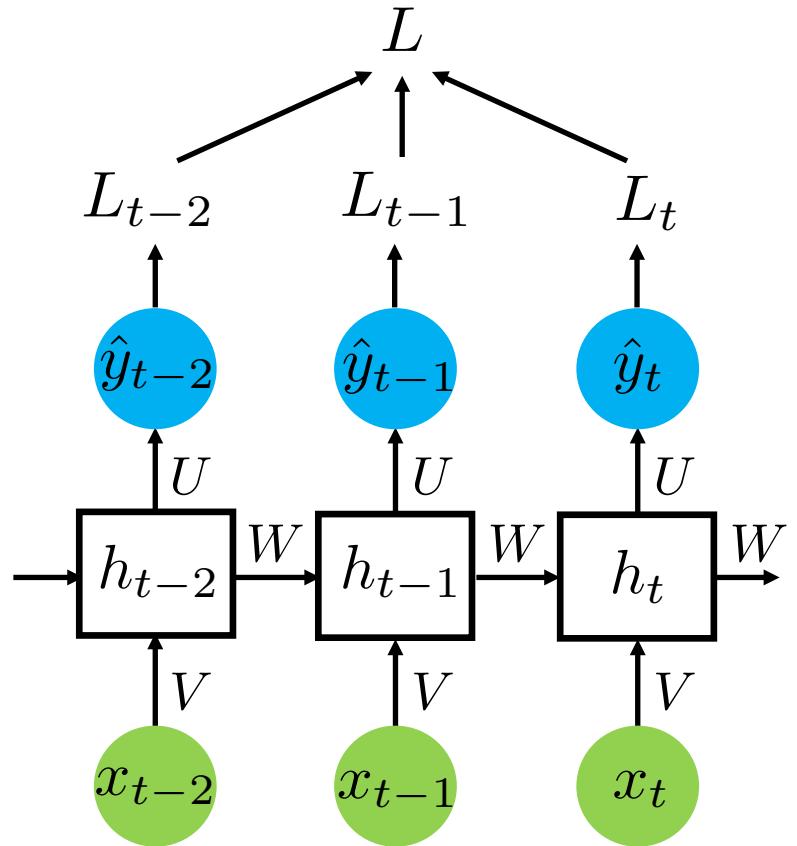
# Backpropagation through time

At each time step:

- $y_t$  - true label
- $\hat{y}_t$  - prediction
- $L_t(y_t, \hat{y}_t)$  - some loss function

Loss:

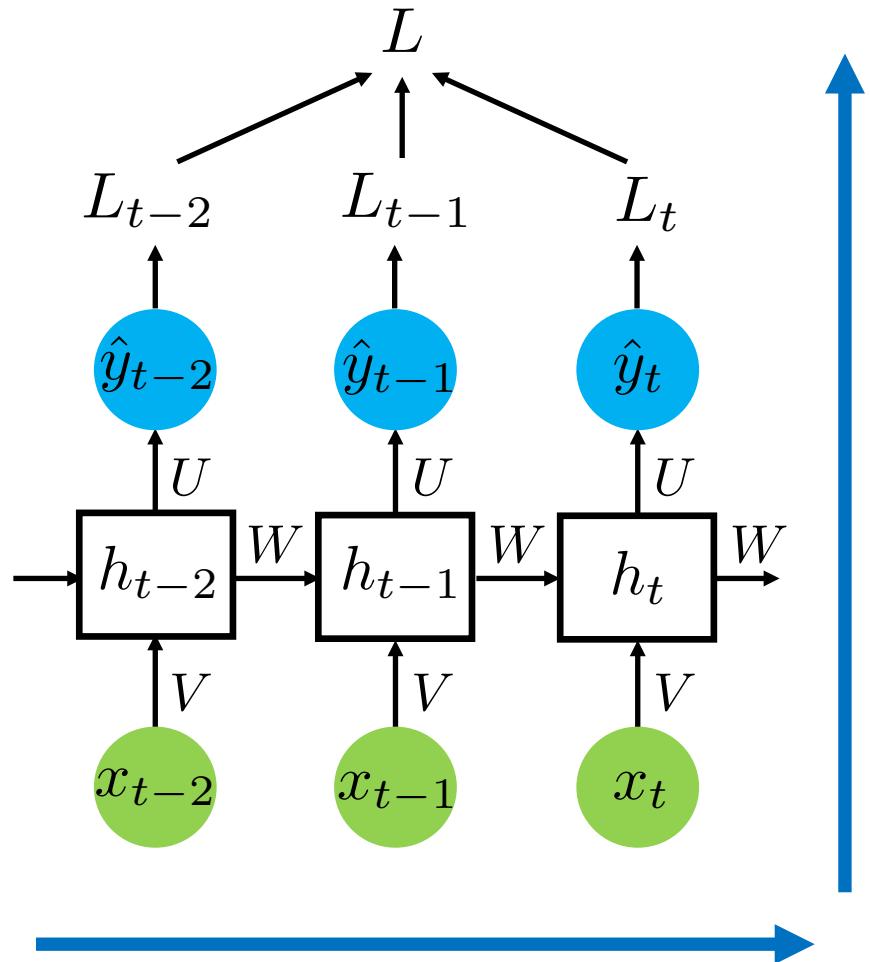
$$L = \sum_i L_i(y_i, \hat{y}_i)$$



# Backpropagation through time

**Forward pass:**

$$h_t, \hat{y}_t, L_t, L$$



# Backpropagation through time

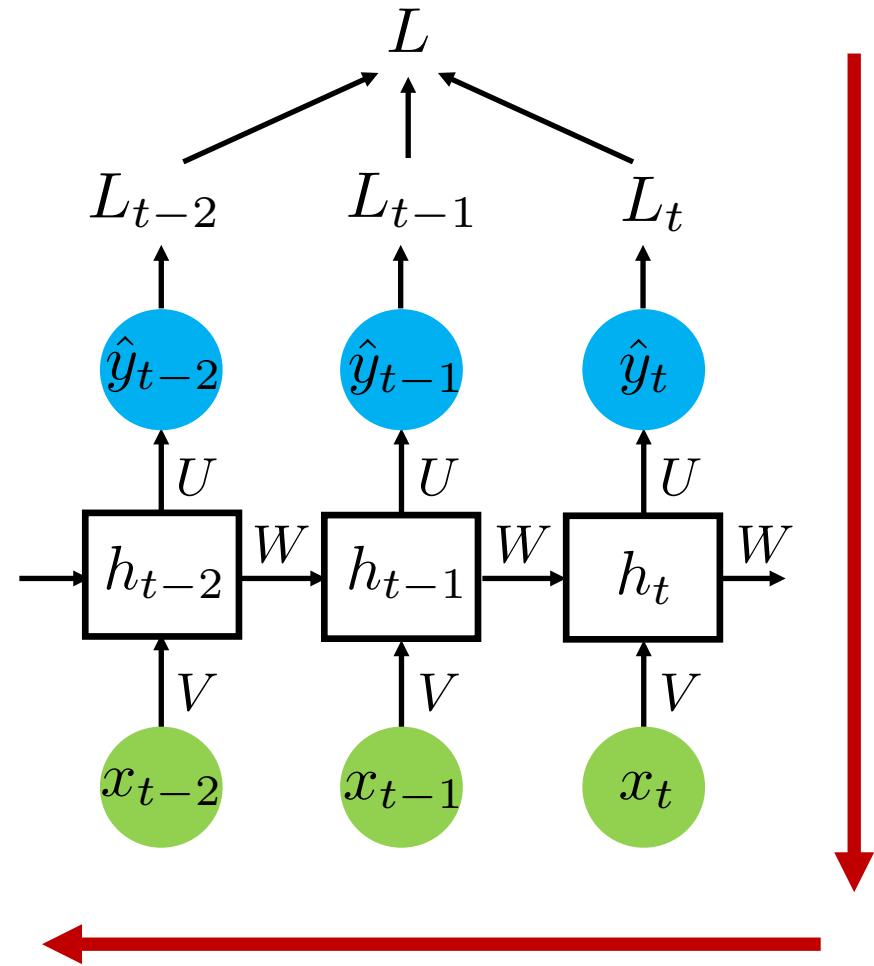
**Forward pass:**

$$h_t, \hat{y}_t, L_t, L$$

**Backward pass:**

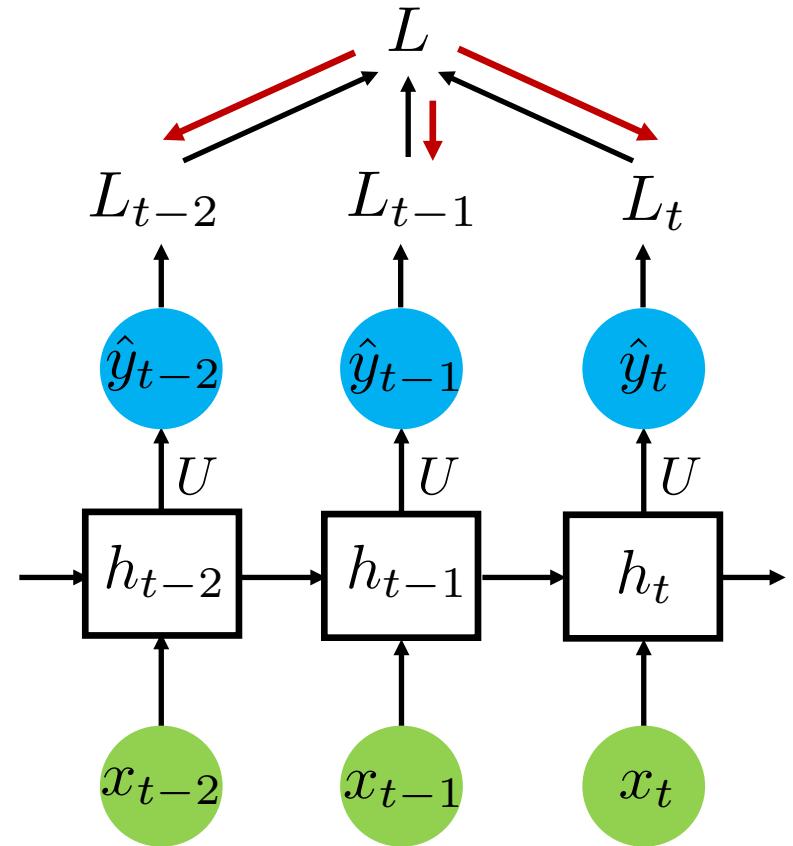
$$\frac{\partial L}{\partial U}, \frac{\partial L}{\partial V}, \frac{\partial L}{\partial W}, \\ \frac{\partial L}{\partial b_x}, \frac{\partial L}{\partial b_h}$$

We backpropagate  
through layers and time



# Backpropagation through time

$$\frac{\partial L}{\partial U} = \sum_{i=0}^T \frac{\partial L_i}{\partial U}$$



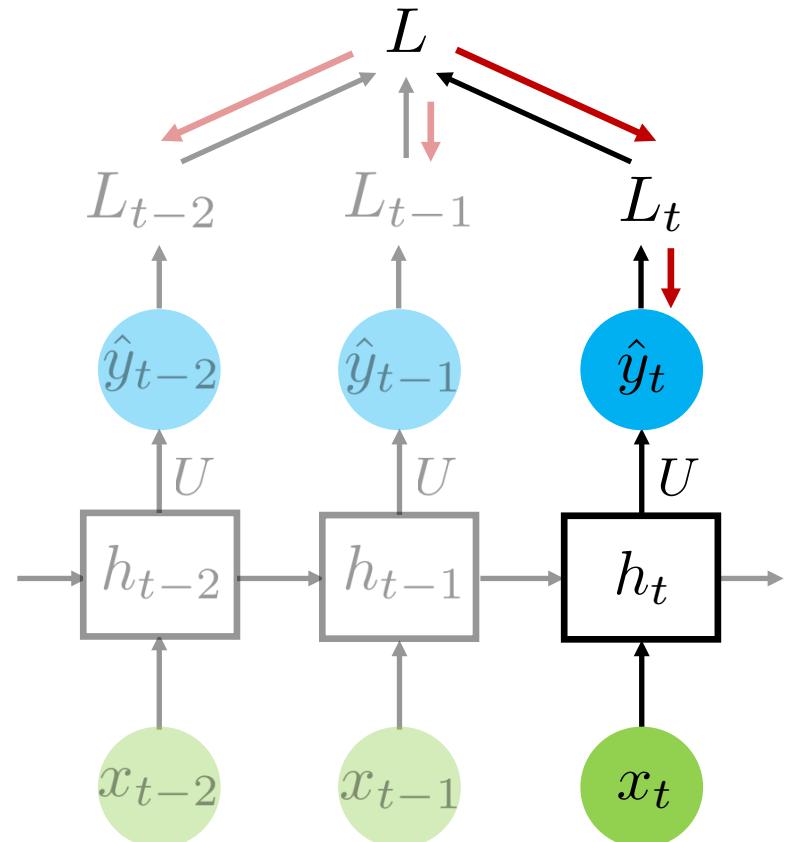
# Backpropagation through time

$$\frac{\partial L}{\partial U} = \sum_{i=0}^T \frac{\partial L_i}{\partial U}$$

$$\frac{\partial L_t}{\partial U} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial U}$$

$$\hat{y}_t = f_y(U h_t + b_y)$$

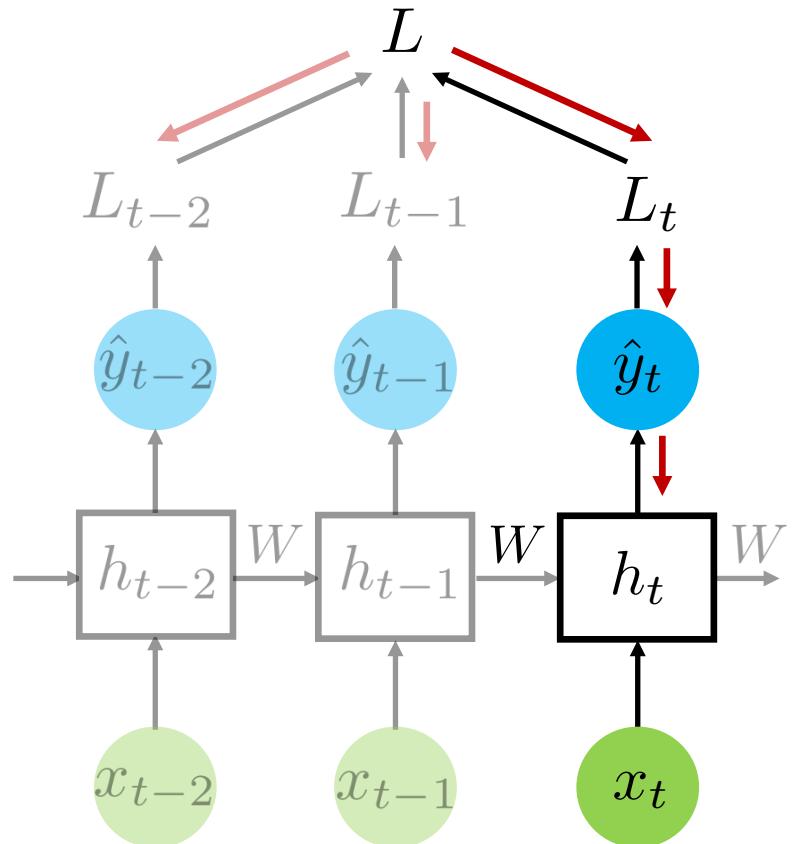
this is the only dependence



# Backpropagation through time

$$\frac{\partial L}{\partial W} = \sum_{i=0}^T \frac{\partial L_i}{\partial W}$$

$$\frac{\partial L_t}{\partial W} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \frac{\partial h_t}{\partial W}$$



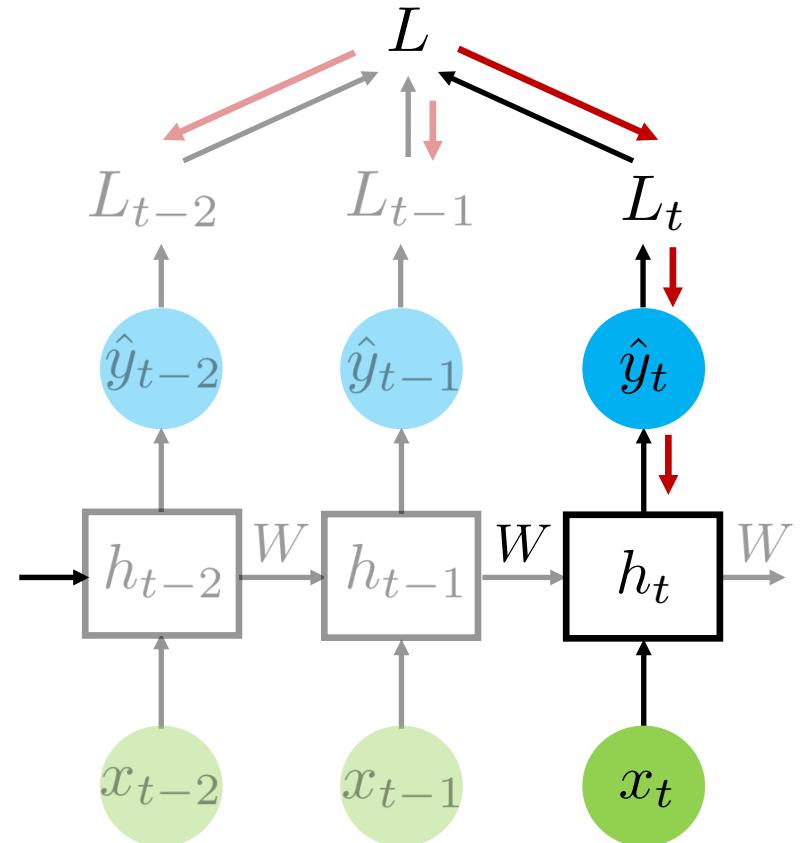
# Backpropagation through time

$$\frac{\partial L}{\partial W} = \sum_{i=0}^T \frac{\partial L_i}{\partial W}$$

$$\frac{\partial L_t}{\partial W} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \frac{\partial h_t}{\partial W}$$

$$h_t = f_h(Vx_t + Wh_{t-1} + b_h)$$

This is **NOT** the only dependence!



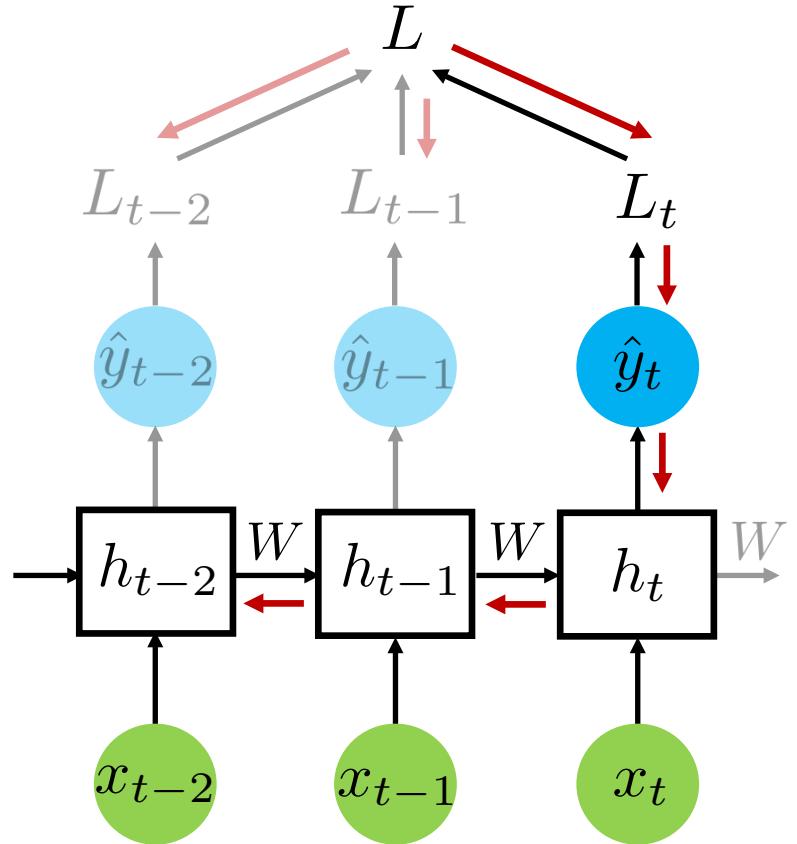
# Backpropagation through time

$$\frac{\partial L}{\partial W} = \sum_{i=0}^T \frac{\partial L_i}{\partial W}$$

$$\frac{\partial L_t}{\partial W} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \frac{\partial h_t}{\partial W}$$

$$h_t = f_h(Vx_t + Wh_{t-1} + b_h)$$

This is **NOT** the only dependence!



$$\frac{\partial L_t}{\partial W} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \left( \frac{\partial h_t}{\partial W} + \frac{\partial h_t}{\partial h_{t-1}} \frac{\partial h_{t-1}}{\partial W} + \dots \right)$$

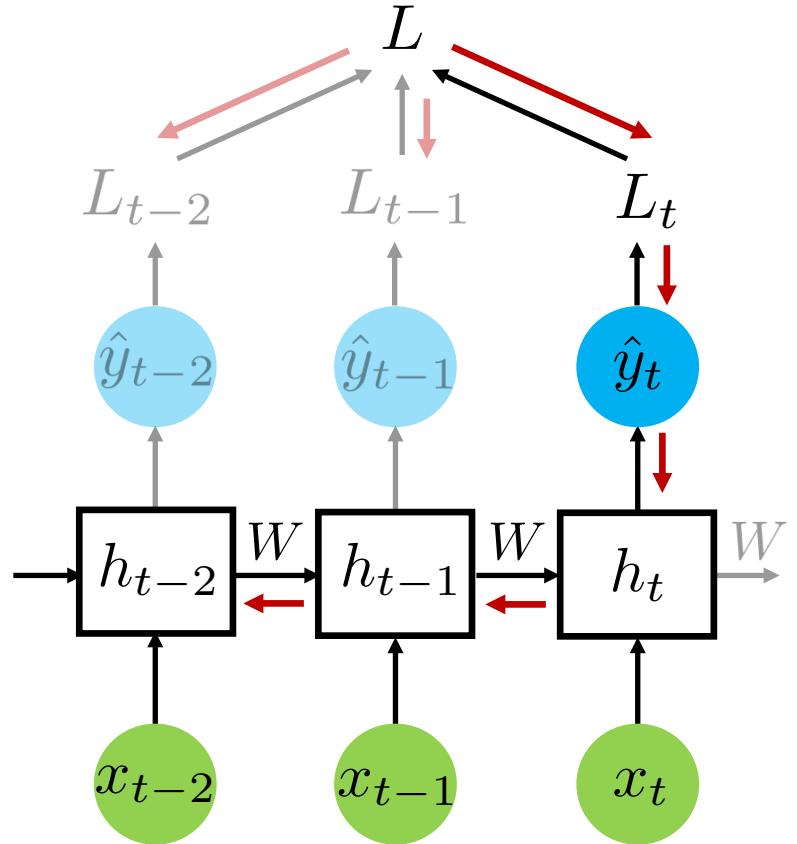
# Backpropagation through time

$$\frac{\partial L}{\partial W} = \sum_{i=0}^T \frac{\partial L_i}{\partial W}$$

$$\frac{\partial L_t}{\partial W} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \frac{\partial h_t}{\partial W}$$

$$h_t = f_h(Vx_t + Wh_{t-1} + b_h)$$

This is **NOT** the only dependence!



$$\frac{\partial L_t}{\partial W} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \sum_{k=0}^t \left( \prod_{i=k+1}^t \frac{\partial h_i}{\partial h_{i-1}} \right) \frac{\partial h_k}{\partial W}$$

# Vanishing and exploding grads

$$\frac{\partial L_t}{\partial W} \propto \sum_{k=0}^t \left( \prod_{i=k+1}^t \frac{\partial h_i}{\partial h_{i-1}} \right) \frac{\partial h_k}{\partial W}$$

$$\left\| \frac{\partial h_i}{\partial h_{i-1}} \right\|_2 < 1 \quad \longrightarrow \quad \text{Vanishing gradients}$$

$$\left\| \frac{\partial h_i}{\partial h_{i-1}} \right\|_2 > 1 \quad \longrightarrow \quad \text{Exploding gradients}$$

# Vanishing and exploding grads

$$h_t = f_h(Wx_t + Wh_{t-1} + b_h) = f_h(pr_t)$$

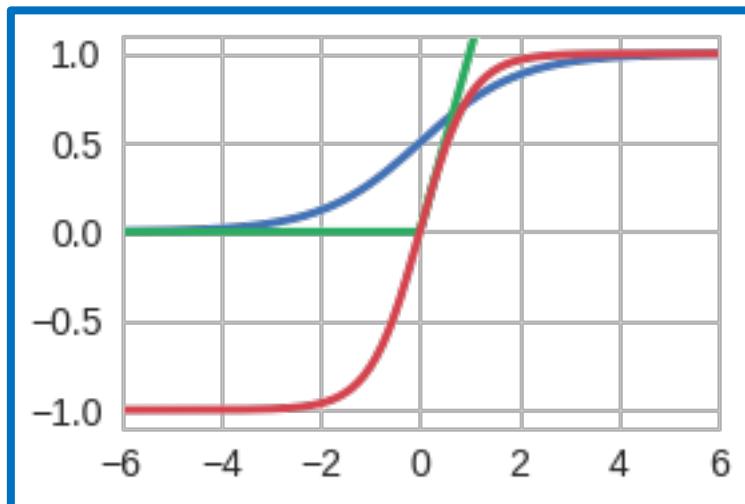
$$\frac{\partial h_t}{\partial h_{t-1}} = \frac{\partial h_t}{\partial pr_t} \frac{\partial pr_t}{\partial h_{t-1}} = diag(f'_h(pr_t)) \cdot W$$

# Vanishing and exploding grads

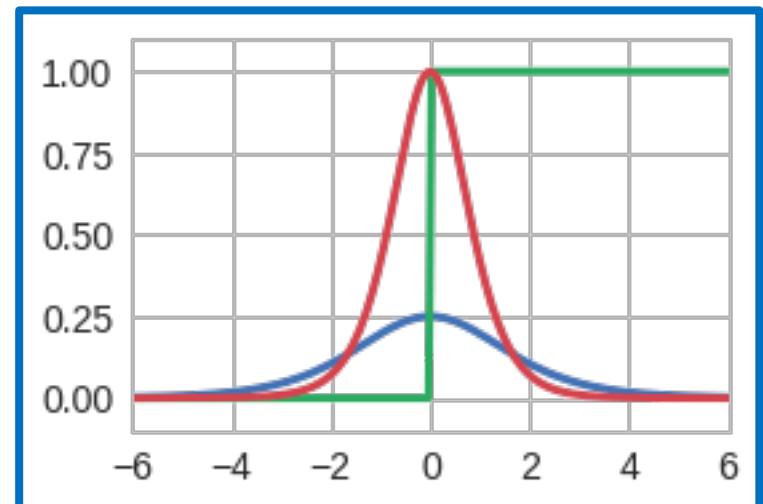
$$h_t = f_h(Wx_t + Wh_{t-1} + b_h) = f_h(pr_t)$$

$$\frac{\partial h_t}{\partial h_{t-1}} = \frac{\partial h_t}{\partial pr_t} \frac{\partial pr_t}{\partial h_{t-1}} = \boxed{diag(f'_h(pr_t))} \cdot W$$

sigmoid, tanh, ReLU

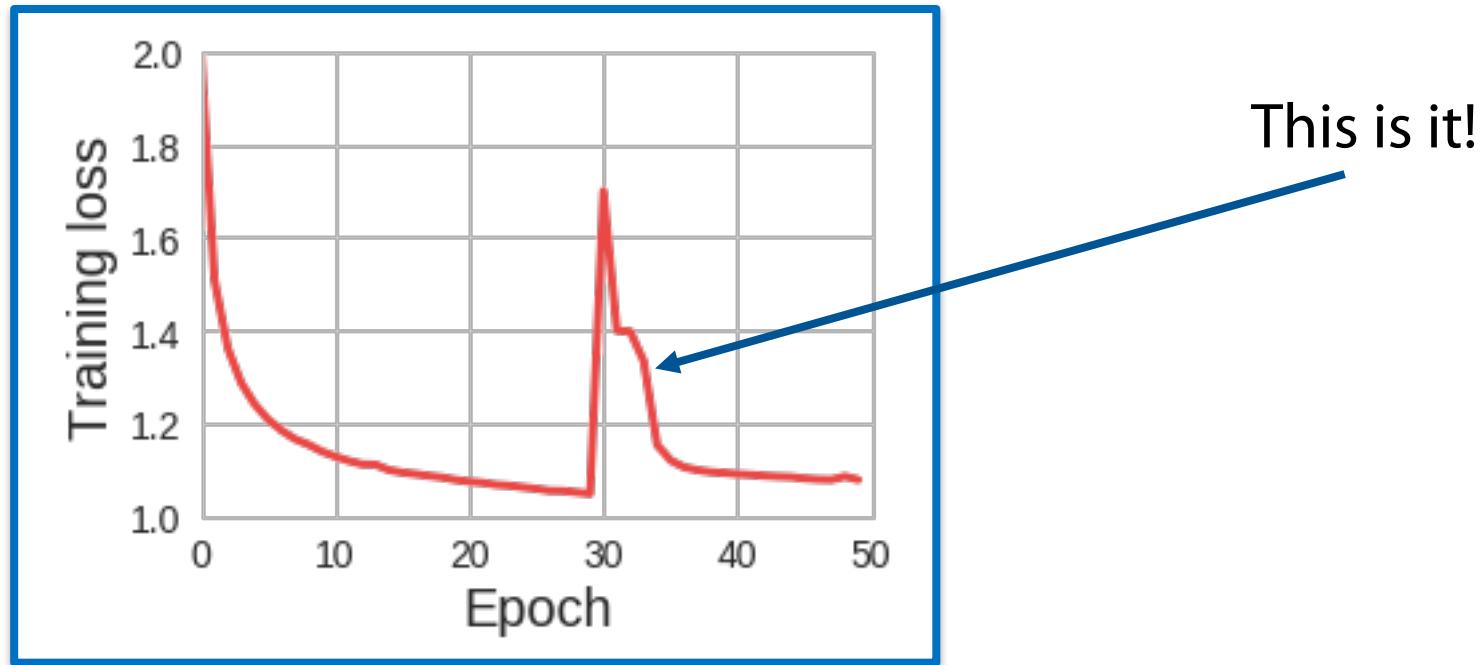


Derivatives



# Exploding gradients: detection

Unstable learning curve



If the gradients contain NaNs you end up with NaNs in the weights

# Gradient clipping

Gradient  $g = \frac{\partial L}{\partial \theta}$ ,  $\theta$  - all the network parameters

If  $\|g\| > \text{threshold}$ :

$$g \leftarrow \frac{\text{threshold}}{\|g\|} g$$

Simple but still very effective!

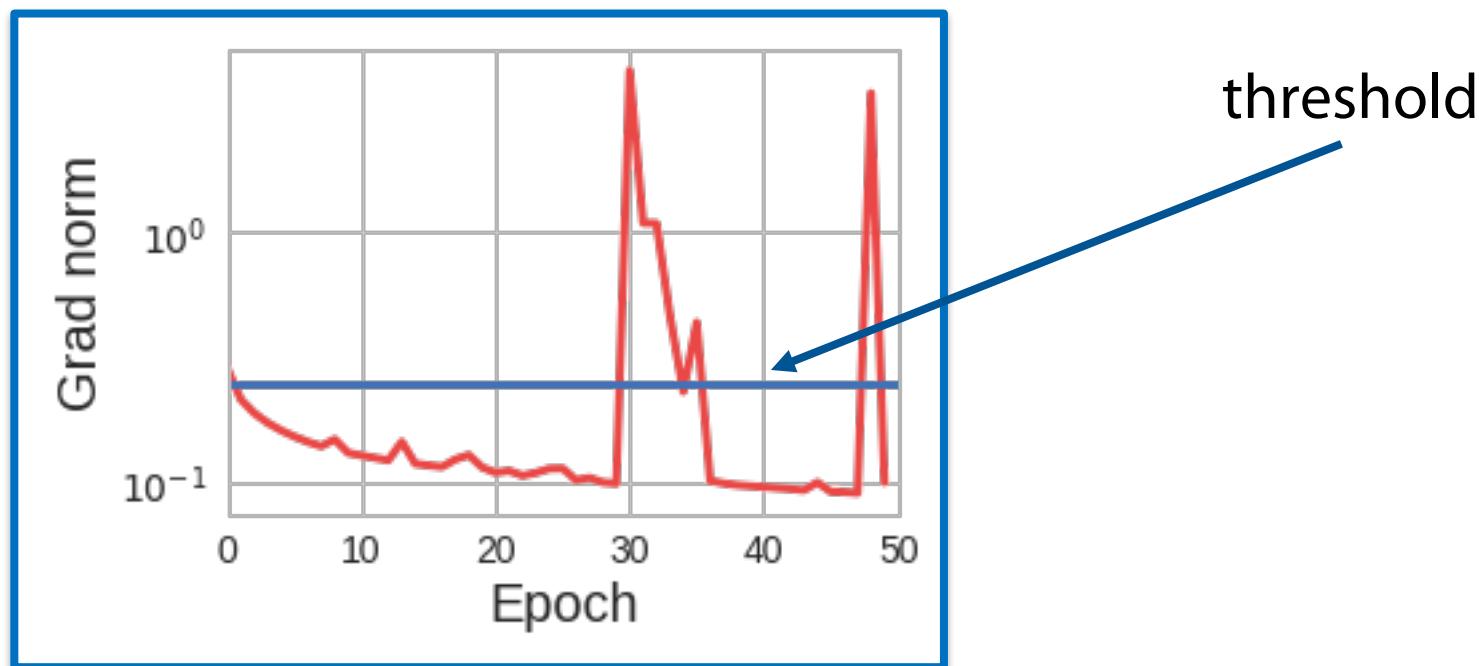
It is enough to clip  $\frac{\partial h_t}{\partial h_{t-1}}$

[Pascanu et al., 2012]

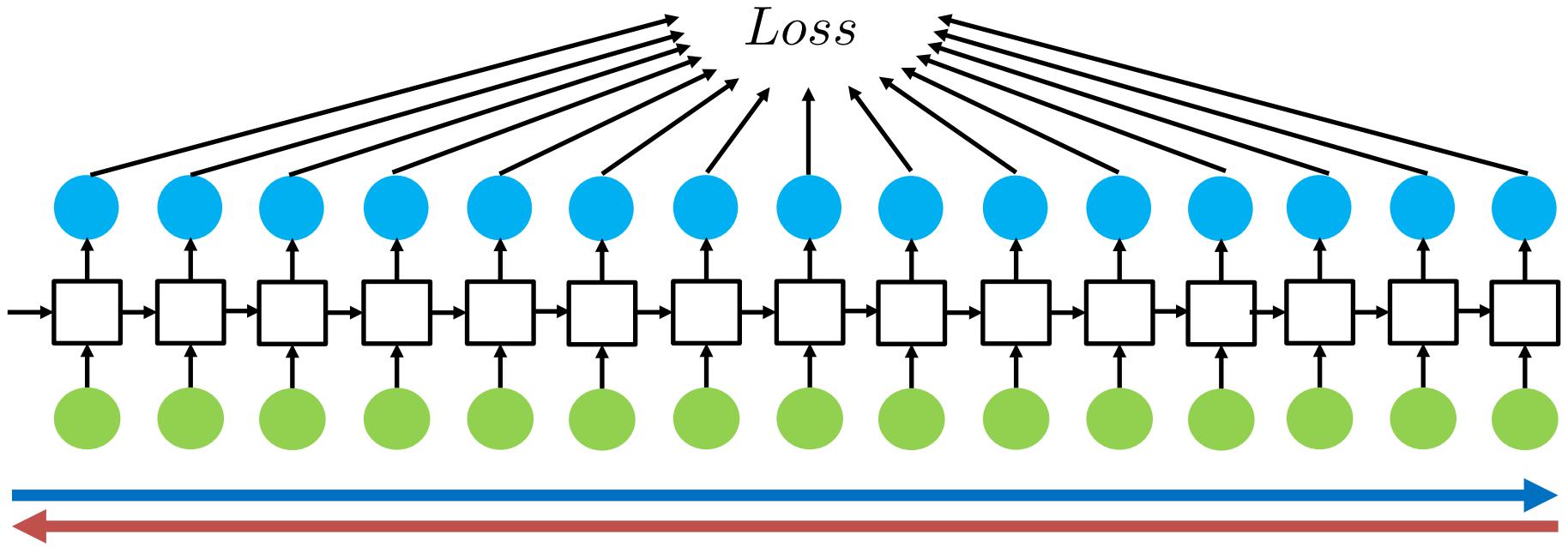
# Gradient clipping

Choose the highest threshold which helps to overcome the exploding gradient problem

Curve of the gradient norm



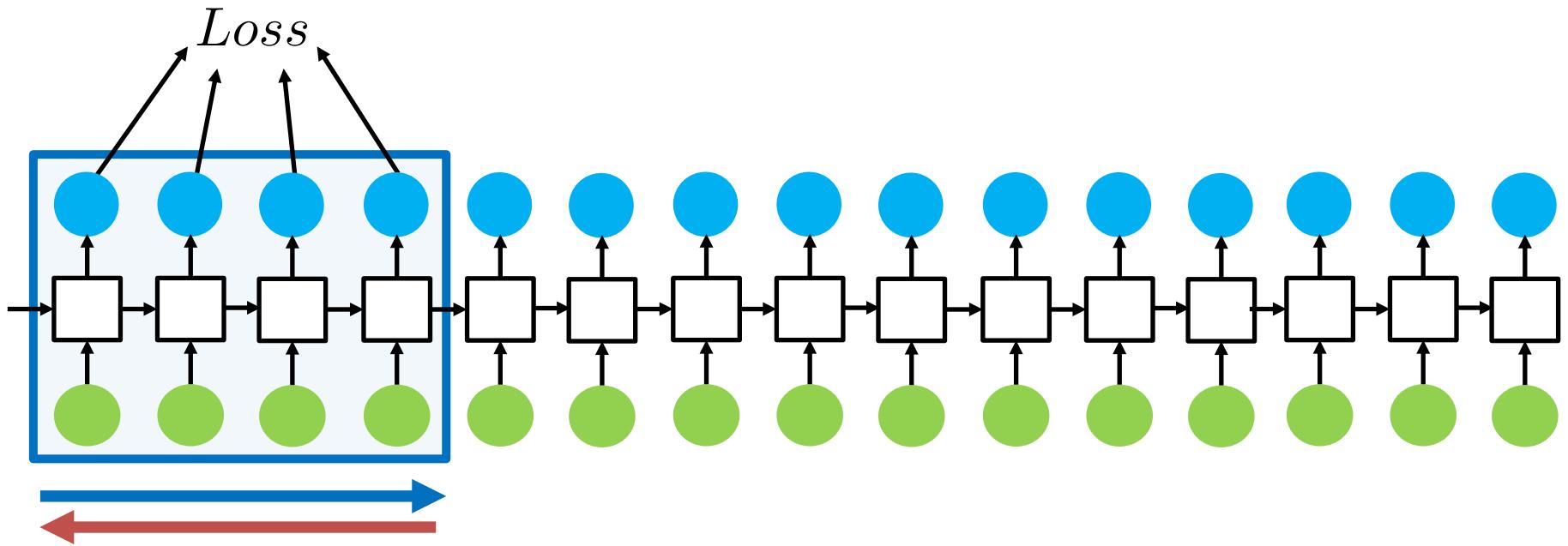
# Truncated BPTT



Forward pass through the entire sequence to compute the loss

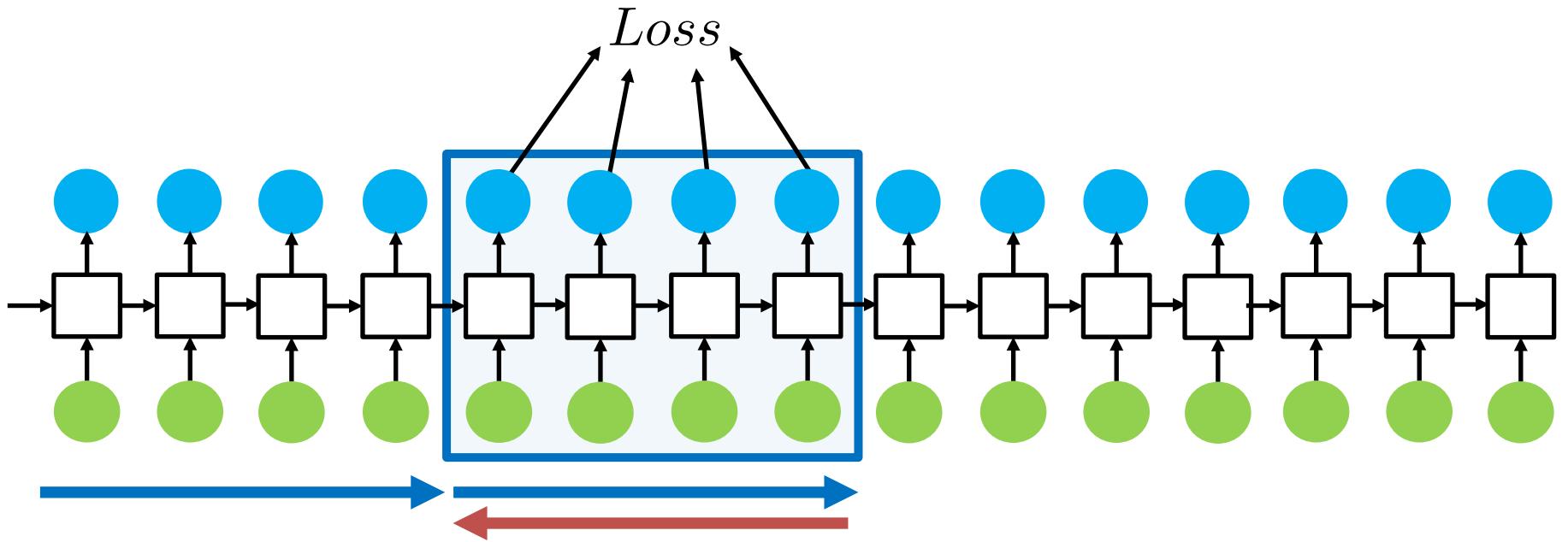
Backward pass through the entire sequence to compute the gradient

# Truncated BPTT



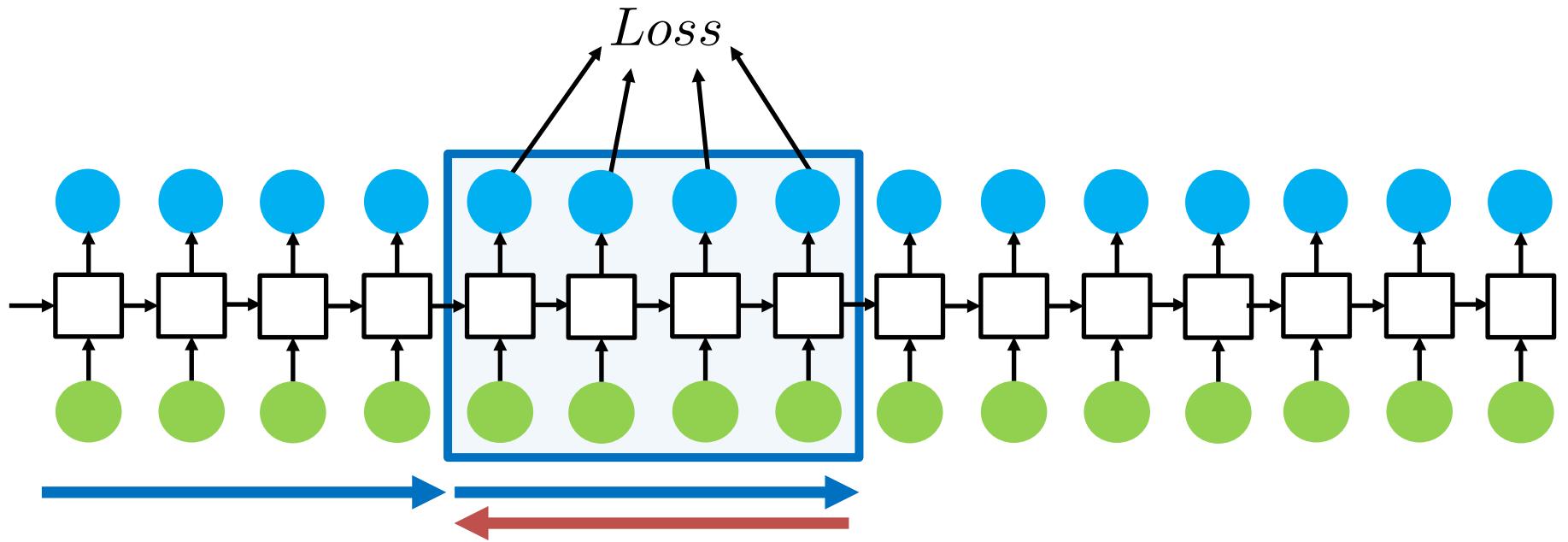
Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps.

# Truncated BPTT



Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps.

# Truncated BPTT



Truncated BPTT is much faster but it doesn't come without a price! Dependencies longer than the chunk size don't affect the training but at least they still work at forward pass.

# Vanishing gradients

- ReLU activation function
- Careful initialization
- Skip connections
- Gated models:
  - LSTM (Hochreiter and Schmidhuber, 1997; Gers et al., 1999)
  - GRU (Cho et al., 2014)
  - ...
- Orthogonal and unitary matrices in RNN (Saxe et al., 2014; Le et al., 2015; Arjovsky and Shah and Bengio, 2016; ...)
- Regularization (Pascanu et al., 2012; Vorontsov et al., 2017)
- ...

# Careful Initialization

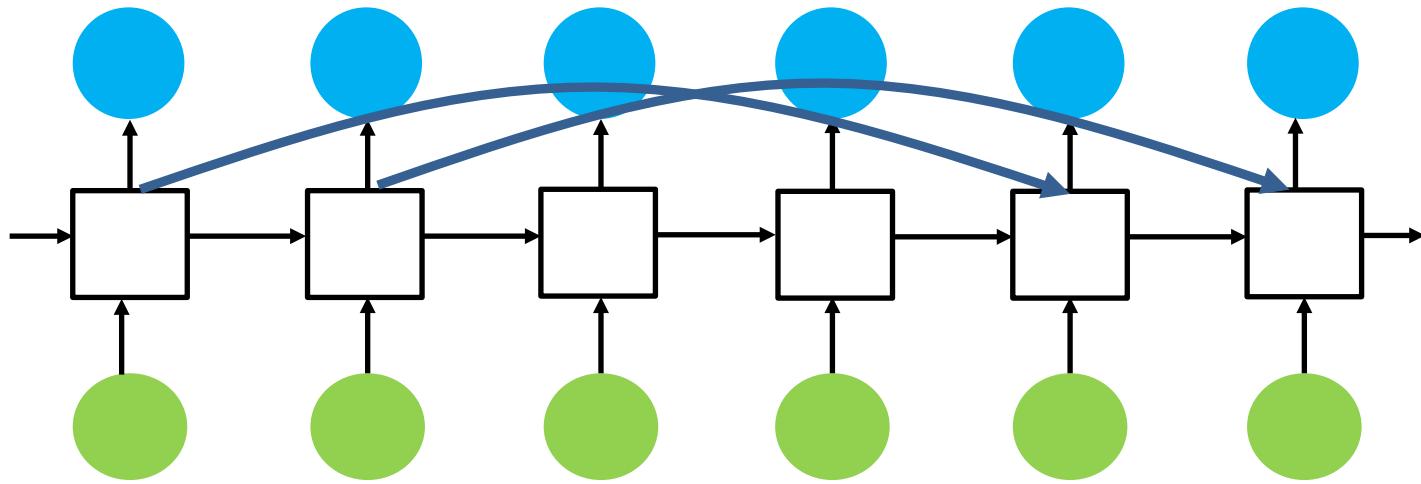
$$\frac{\partial L_t}{\partial W} \propto \sum_{k=0}^t \left( \prod_{i=k+1}^t \frac{\partial h_i}{\partial h_{i-1}} \right) \frac{\partial h_k}{\partial W}$$

$Q$  is orthogonal if  $Q^T = Q^{-1} \Rightarrow$

$\prod_i Q_i$  doesn't explode or vanish

- Initialize  $W$  with an orthogonal matrix
- Initialize  $W$  with an identity matrix
- Use orthogonal  $W$  through the whole training

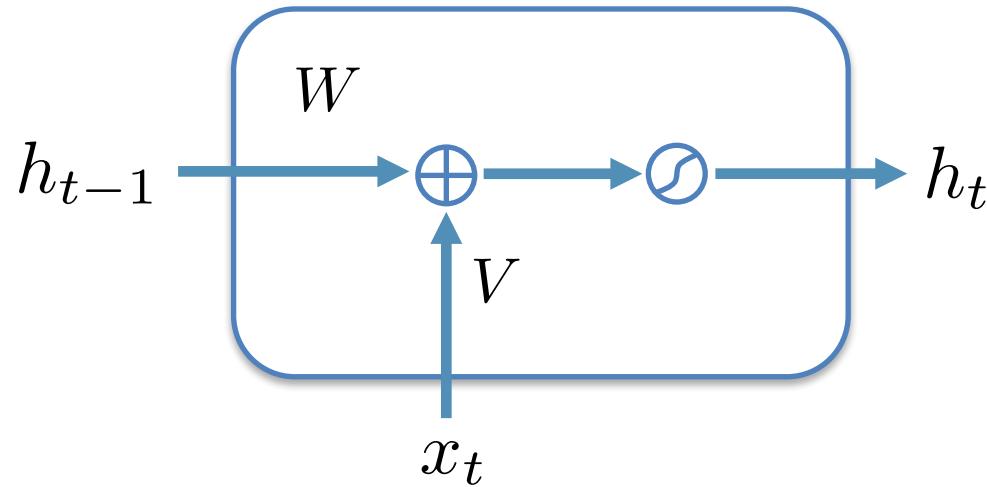
# Skip connections



Add shortcuts => shorter ways for the gradients =>  
learn longer dependencies

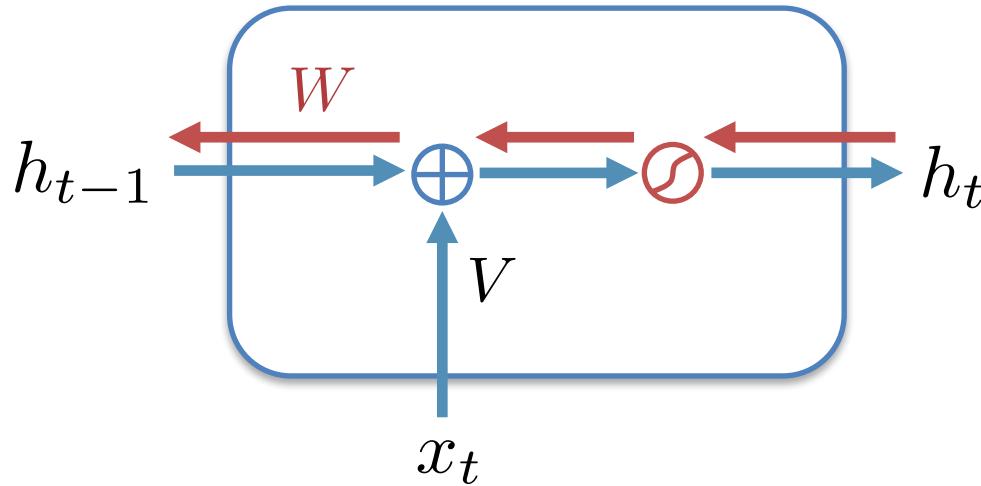
The idea is similar to the residual connections  
in the ResNet

# Simple RNN



$$h_t = \tilde{f}(Vx_t + Wh_{t-1} + b_h)$$

# Simple RNN



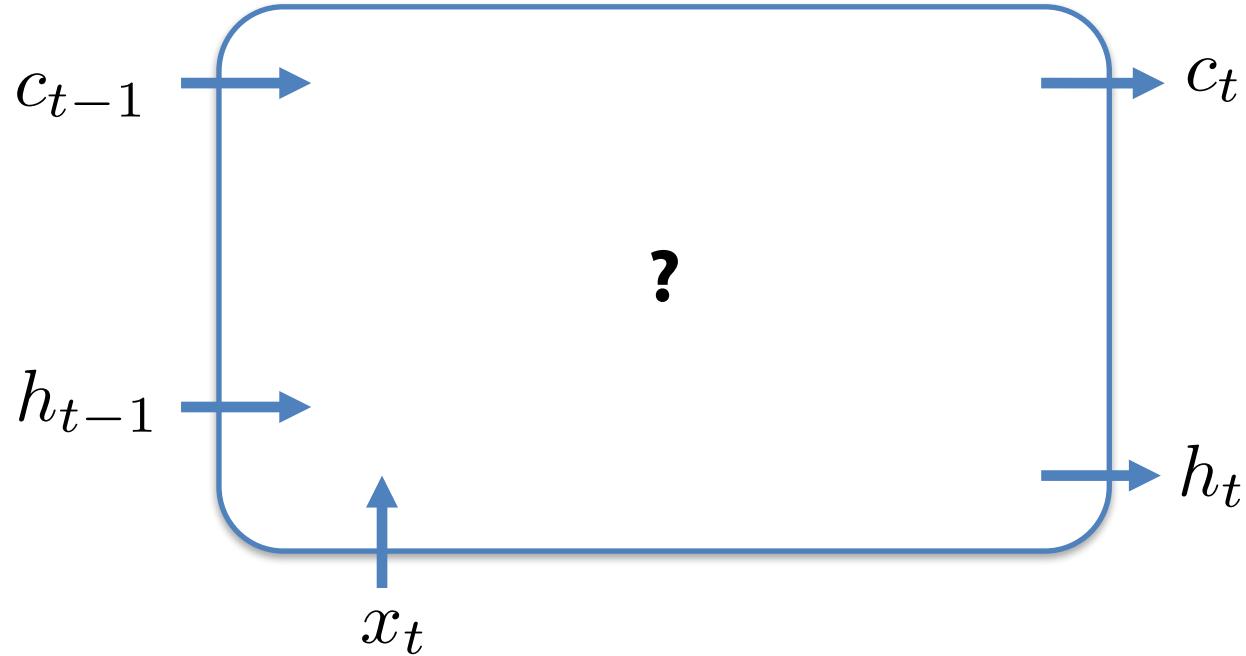
$$h_t = \tilde{f}(Vx_t + Wh_{t-1} + b_h)$$

Backward pass

$W$  and nonlinearity vanishing gradients

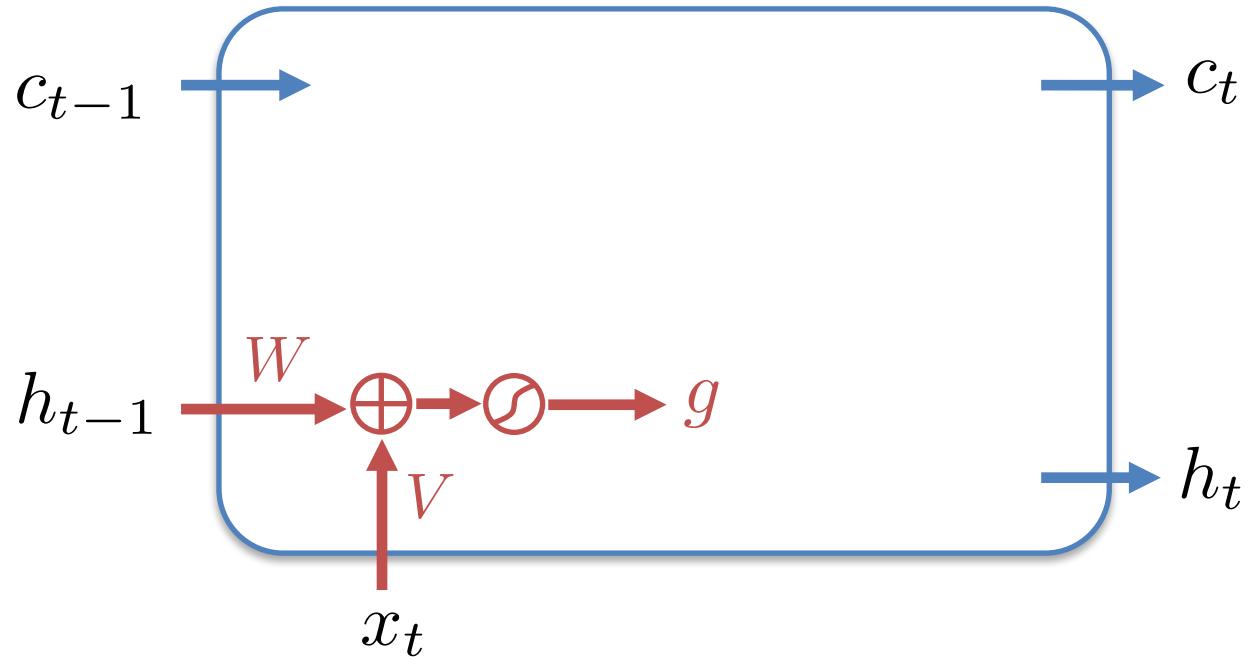
We need a short way for the gradients!

# LSTM: version 0



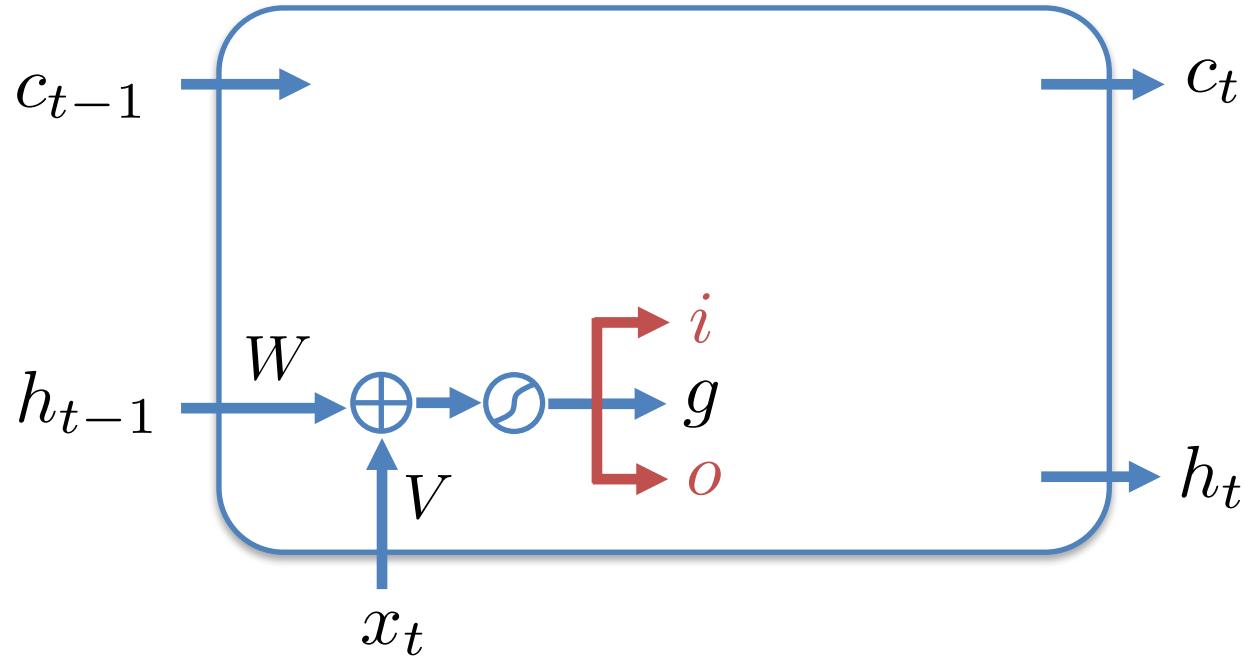
[\[Hochreiter, Schmidhuber, 1997\]](#)

# LSTM: version 0



$$g_t = \tilde{f}(V_g x_t + W_g h_{t-1} + b_g)$$

# LSTM: version 0

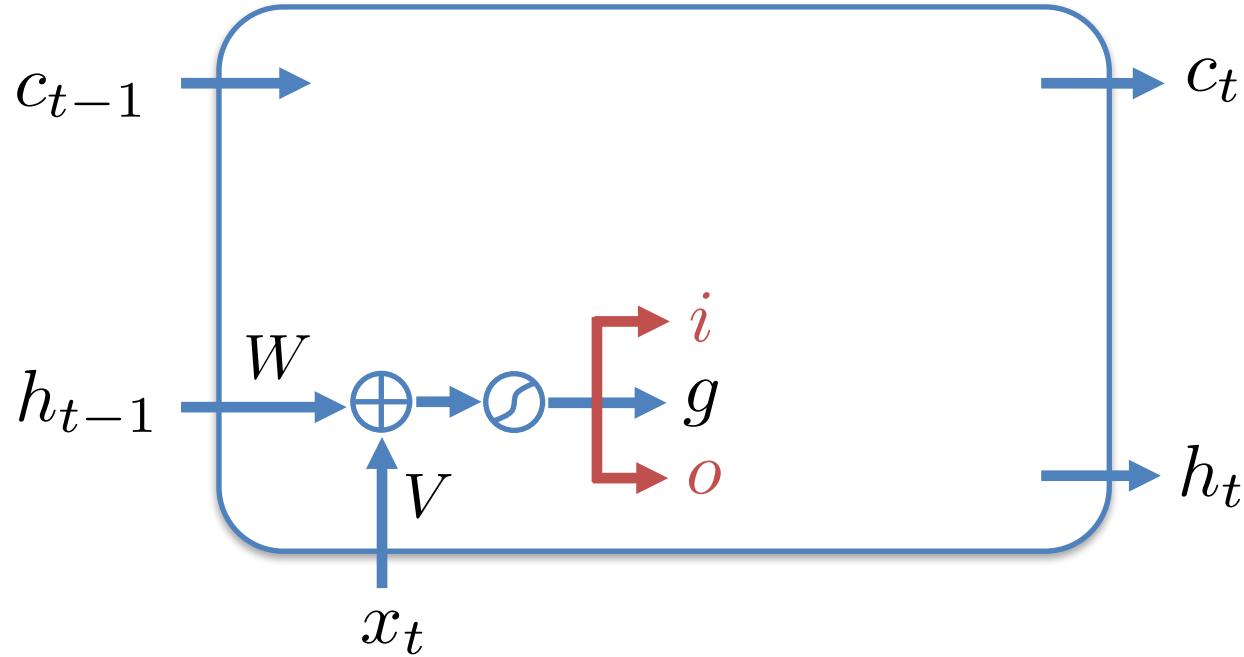


$$g_t = \tilde{f}(V_g x_t + W_g h_{t-1} + b_g)$$

$$i_t = \sigma(V_i x_t + W_i h_{t-1} + b_i)$$

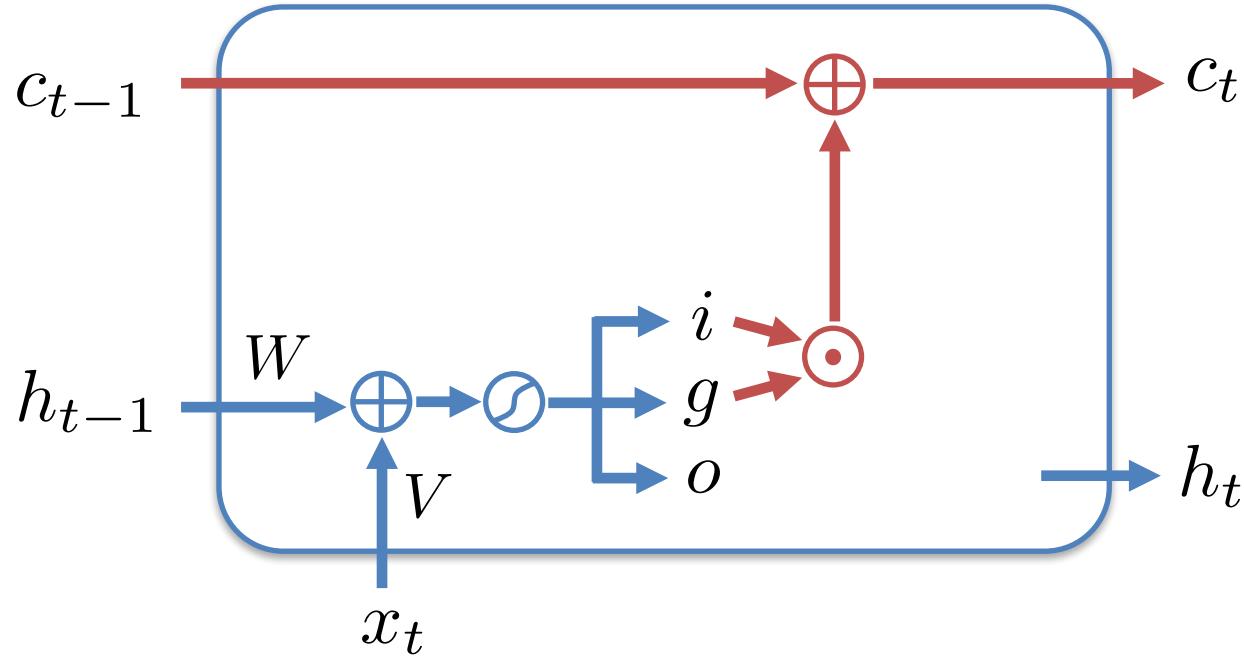
$$o_t = \sigma(V_o x_t + W_o h_{t-1} + b_o)$$

# LSTM: version 0



$$\begin{pmatrix} g_t \\ i_t \\ o_t \end{pmatrix} = \begin{pmatrix} \tilde{f} \\ \sigma \\ \sigma \end{pmatrix} (Vx_t + Wh_{t-1} + b)$$

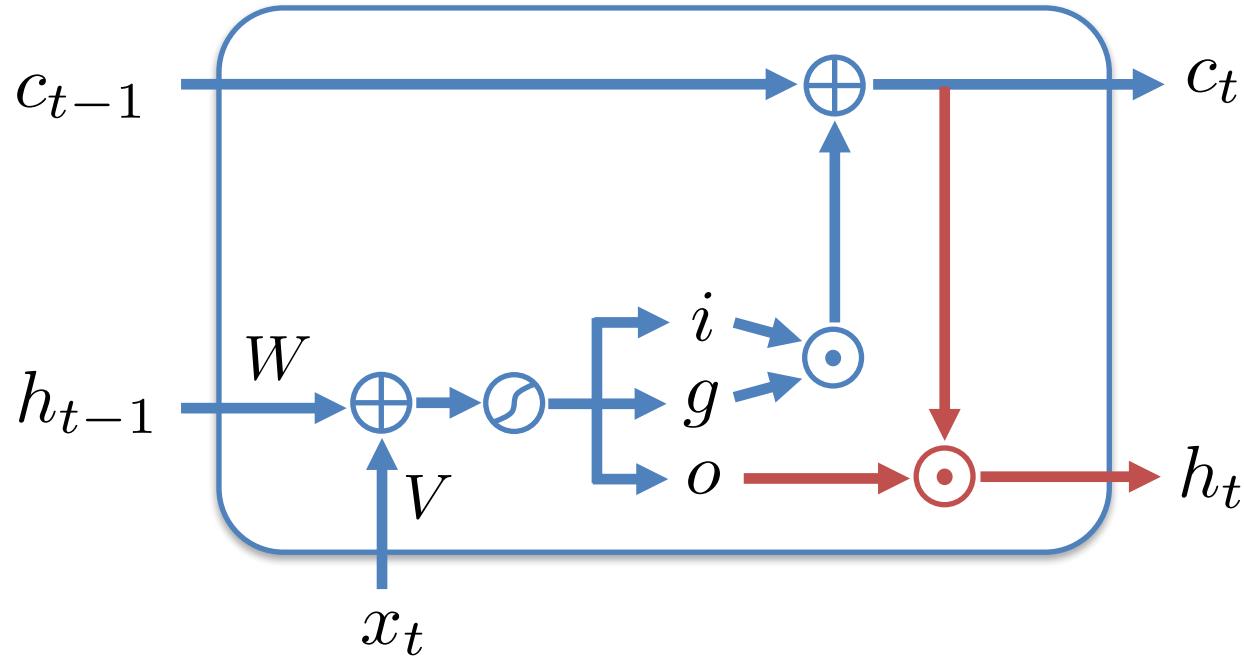
# LSTM: version 0



$$\begin{pmatrix} g_t \\ i_t \\ o_t \end{pmatrix} = \begin{pmatrix} \tilde{f} \\ \sigma \\ \sigma \end{pmatrix} (Vx_t + Wh_{t-1} + b)$$

$$c_t = c_{t-1} + i_t \cdot g_t$$

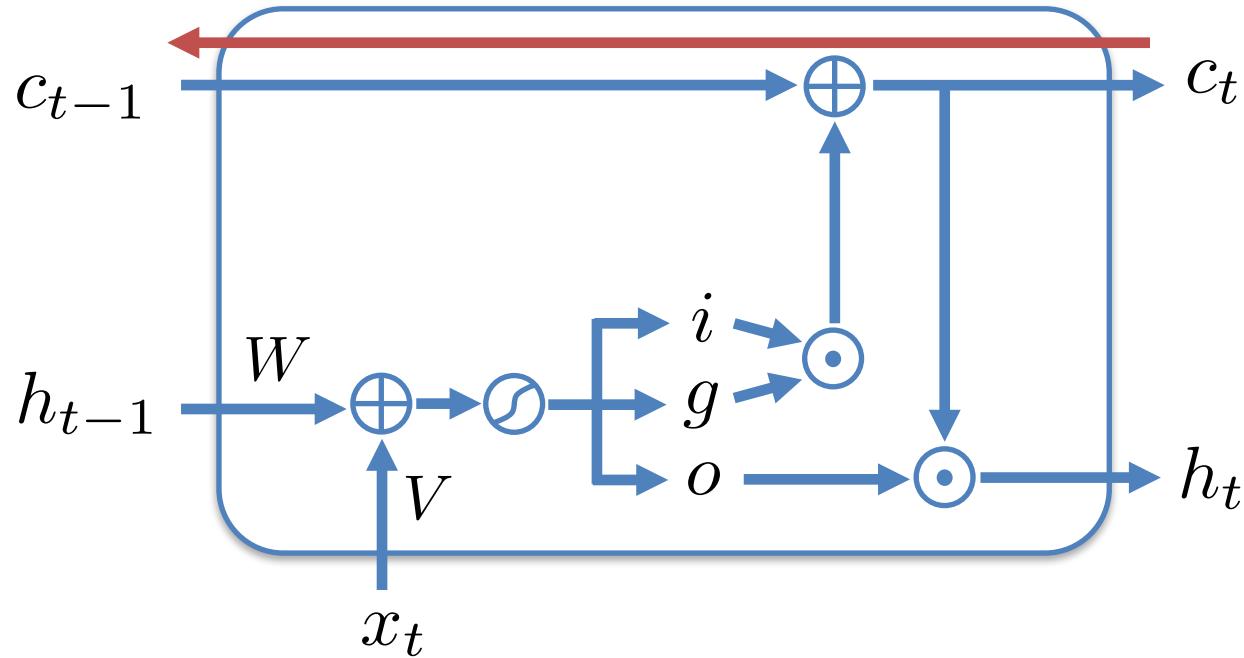
# LSTM: version 0



$$\begin{pmatrix} g_t \\ i_t \\ o_t \end{pmatrix} = \begin{pmatrix} \tilde{f} \\ \sigma \\ \sigma \end{pmatrix} (Vx_t + Wh_{t-1} + b)$$

$$c_t = c_{t-1} + i_t \cdot g_t$$
$$h_t = o_t \cdot \tilde{f}(c_t)$$

# LSTM: version 0



$$c_t = c_{t-1} + i_t \cdot g_t$$

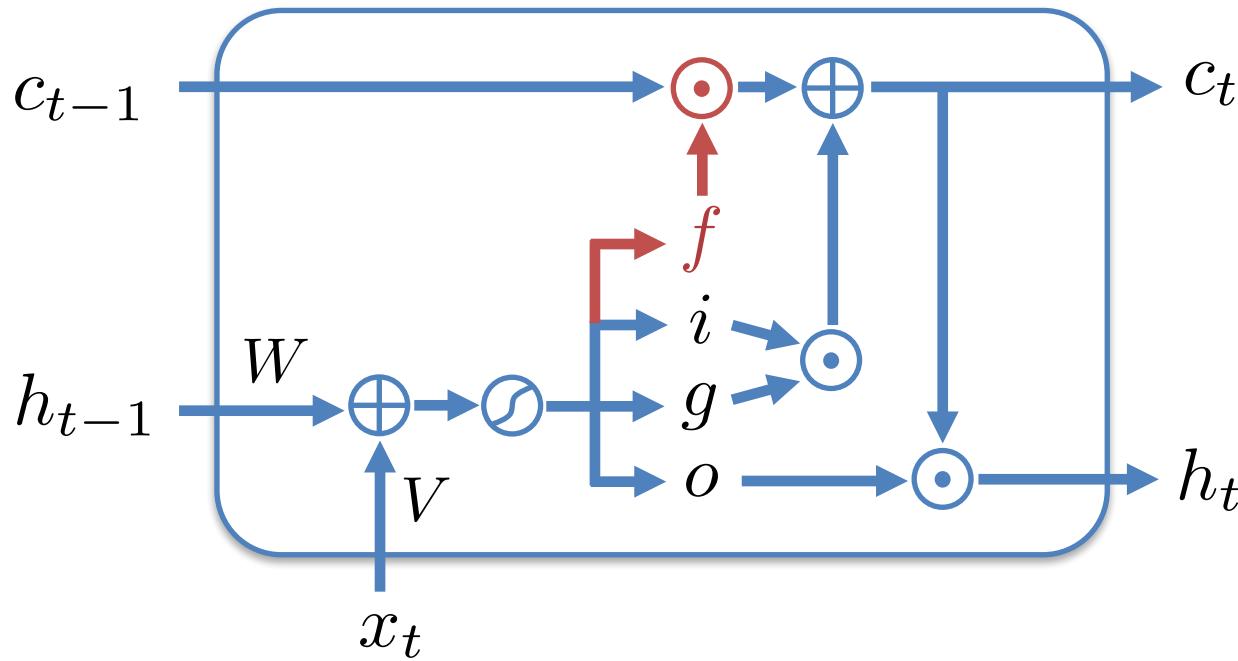
$$\frac{\partial h_t}{\partial h_{t-1}}$$



$$\frac{\partial c_t}{\partial c_{t-1}} = 1$$

Gradients do not vanish!

# LSTM: forget sometimes

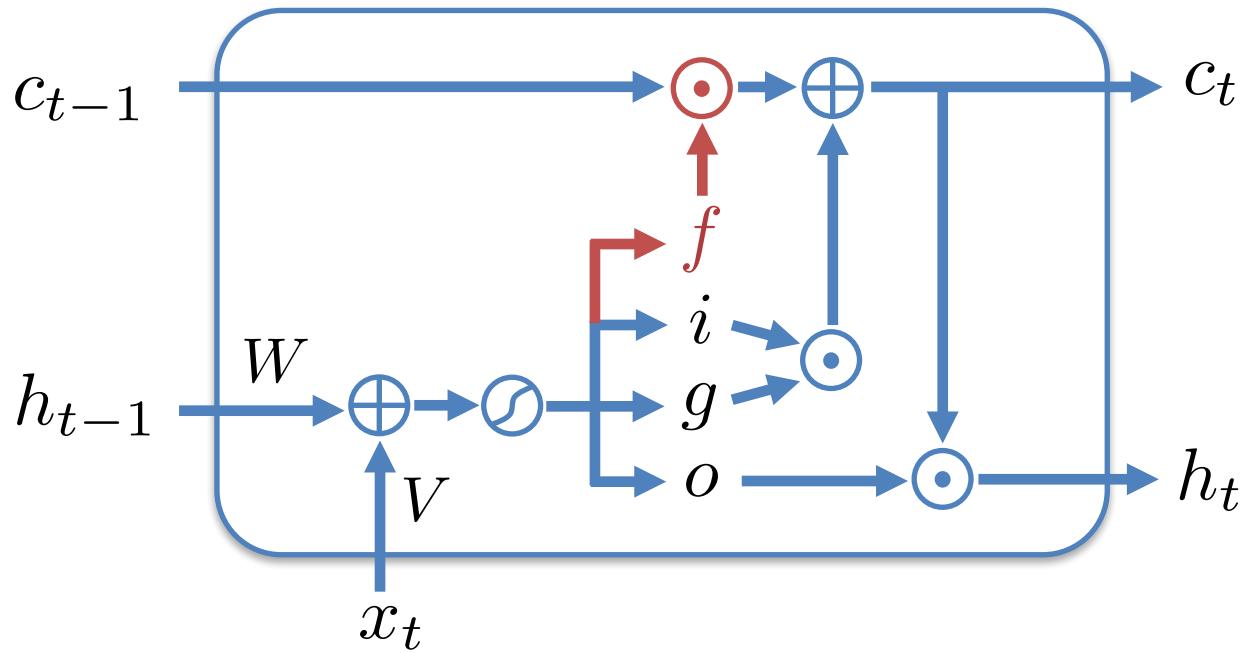


$$\begin{pmatrix} g_t \\ i_t \\ o_t \\ \textcolor{red}{f_t} \end{pmatrix} = \begin{pmatrix} \tilde{f} \\ \sigma \\ \sigma \\ \sigma \end{pmatrix} (Vx_t + Wh_{t-1} + b)$$

$$c_t = \textcolor{red}{f_t} \cdot c_{t-1} + i_t \cdot g_t$$
$$h_t = o_t \cdot \tilde{f}(c_t)$$

[Gers et al., 1999]

# LSTM: forget sometimes



$$f_t = \sigma(V_f x_t + W_f h_{t-1} + b_f)$$

$$c_t = f_t \cdot c_{t-1} + i_t \cdot g_t$$

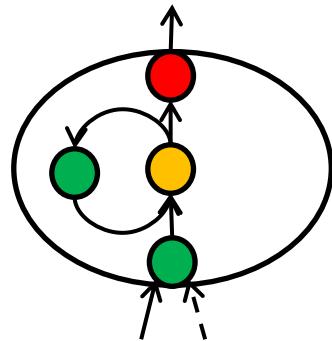
$$\frac{\partial c_t}{\partial c_{t-1}} = f_t$$

High initial  $b_f$

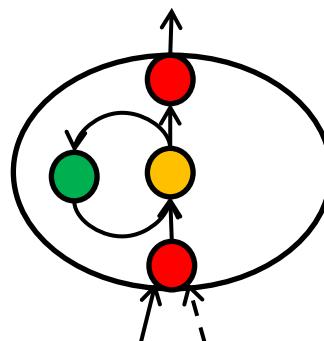
# Long short term memory:

## Examples

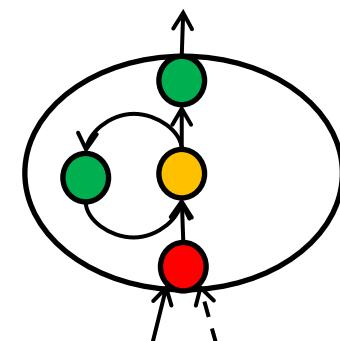
Captures info



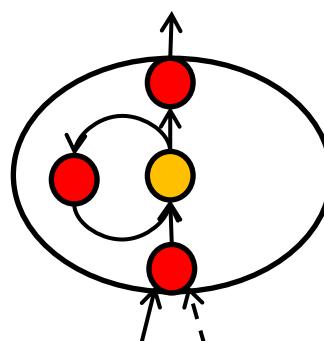
Keeps info



Releases info

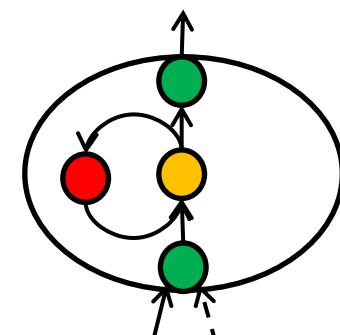


Erases info



= RNN

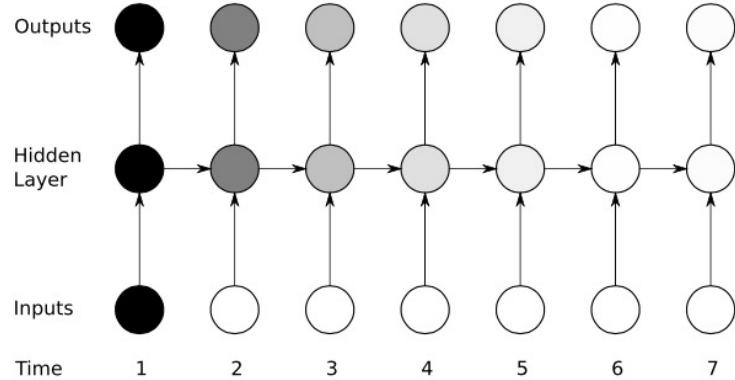
- - gate is close
- - gate is open



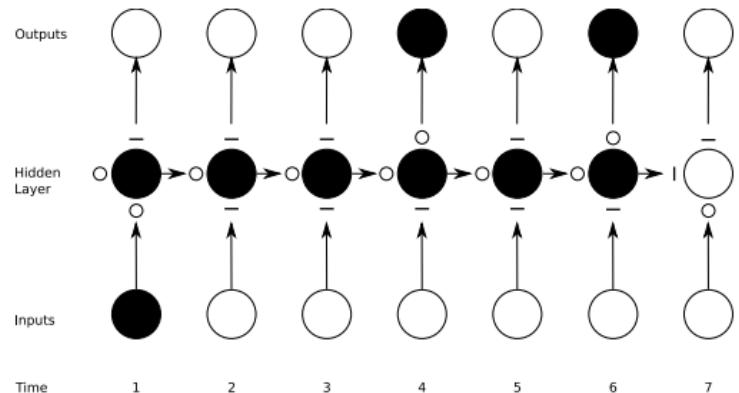
# Long short term memory:

## Examples

RNN



LSTM



— - gate is close

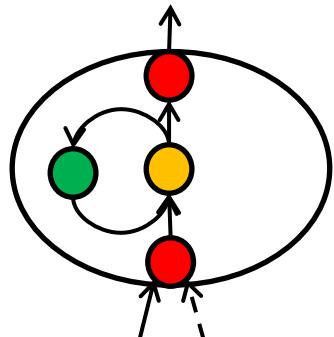
○ - gate is open

[\[Graves, 2012\]](#)

# Long short term memory:

## Initialization

*LSTM cell:*

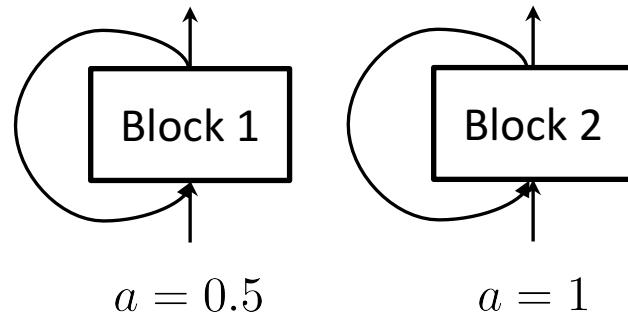


$$b_i = -a < 0$$

$$b_f = a > 0$$

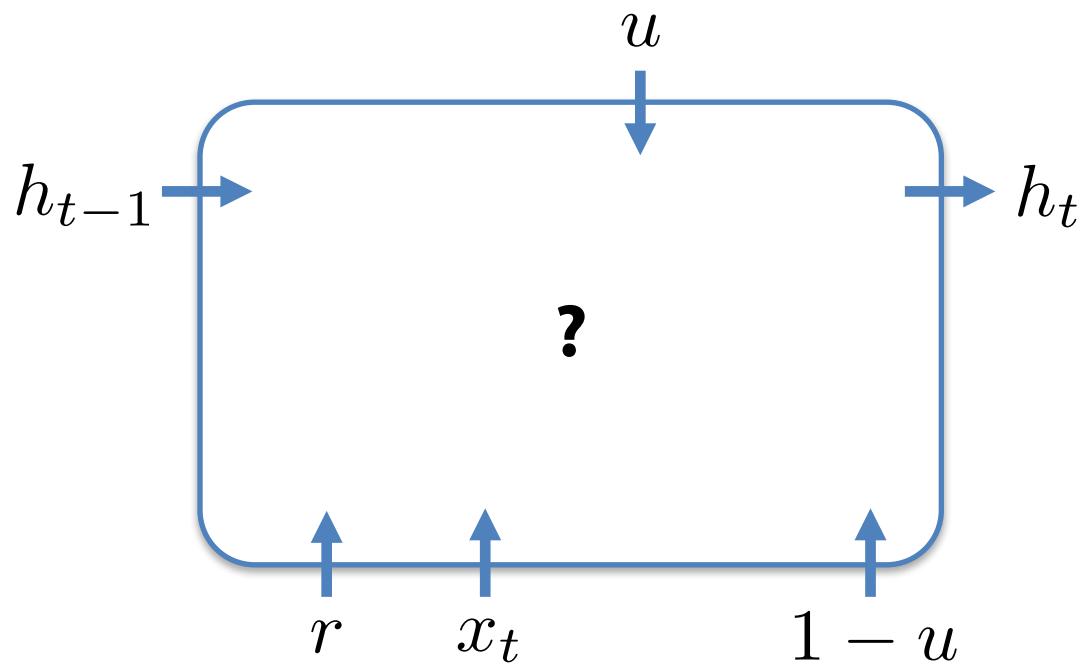
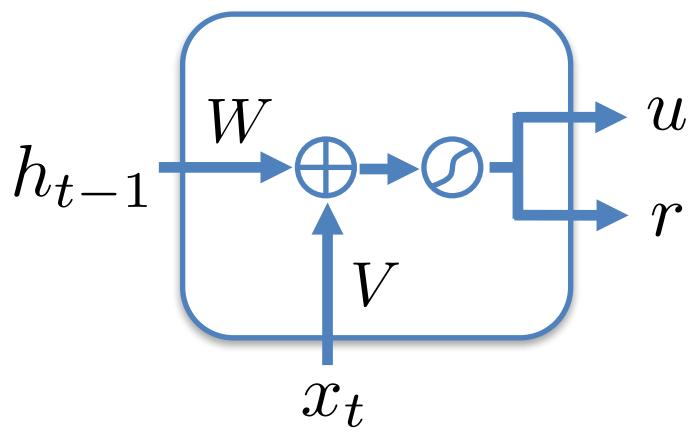
$$b_o = -a < 0$$

*LSTM layer:*



- Blocks start work one by one
- Blocks capture dependencies with different ranges
- We learn to forget only if it necessary

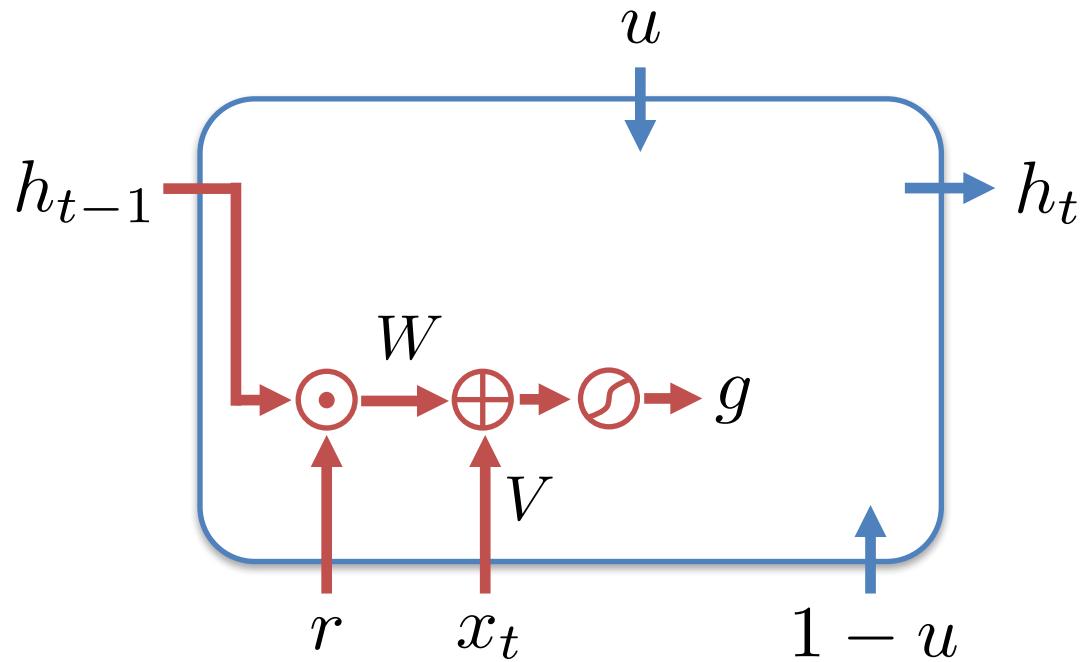
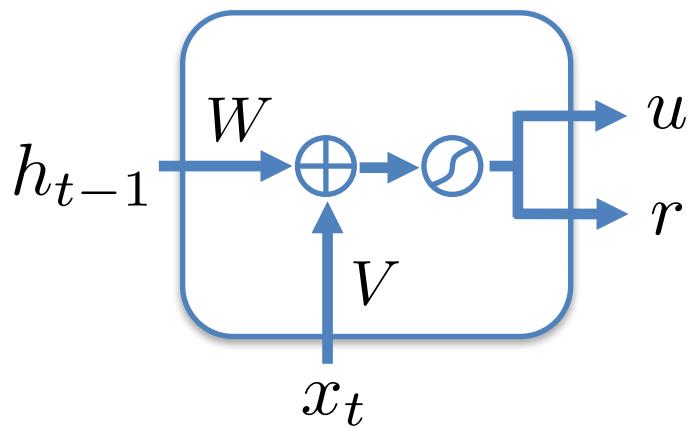
# GRU



$$\begin{pmatrix} r_t \\ u_t \end{pmatrix} = \sigma(Vx_t + Wh_{t-1} + b)$$

[Cho et al., 2014]

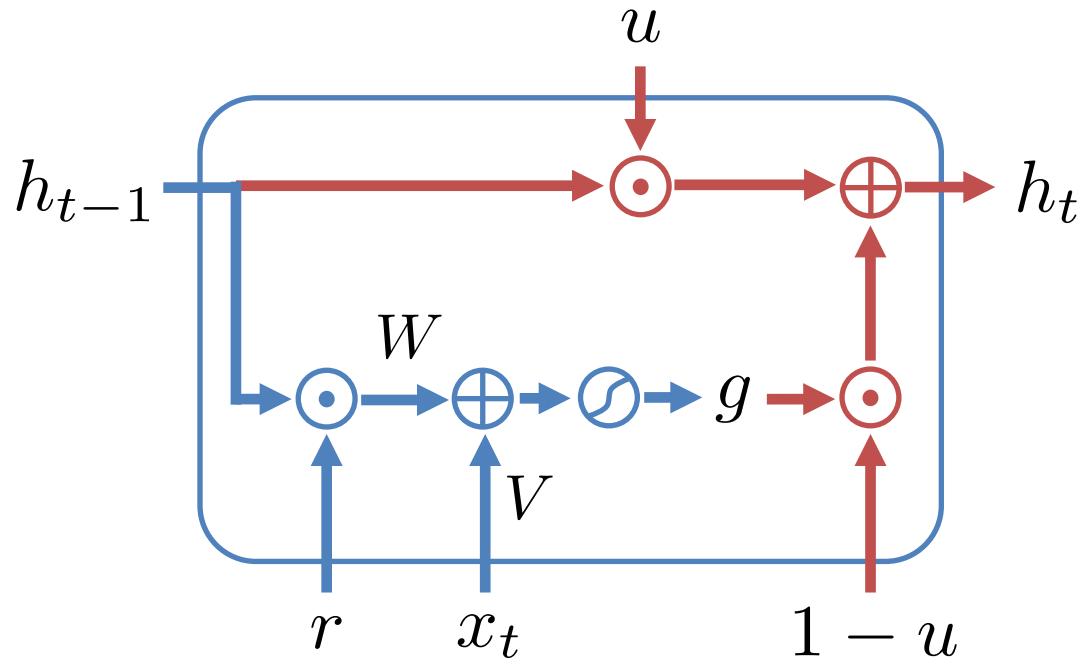
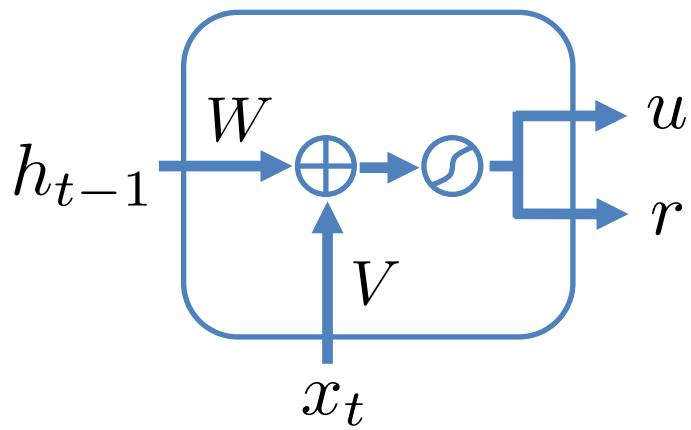
# GRU



$$\begin{pmatrix} r_t \\ u_t \end{pmatrix} = \sigma(Vx_t + Wh_{t-1} + b)$$

$$g_t = \tilde{f}(V_g x_t + W_g(h_{t-1} \cdot \textcolor{red}{r_t}) + b_g)$$

# GRU

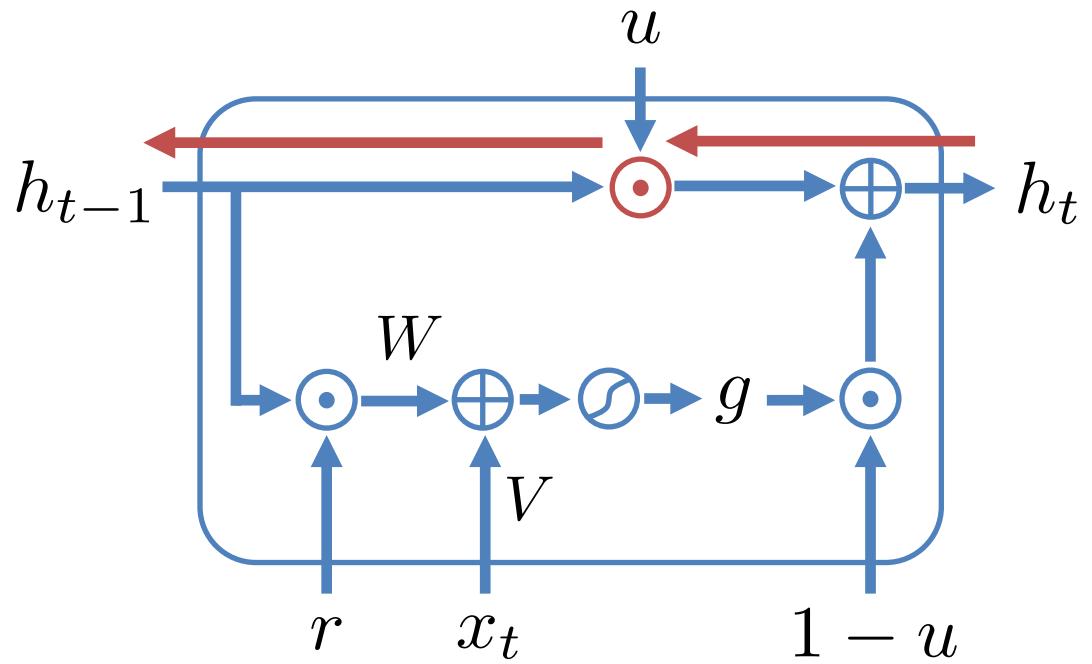
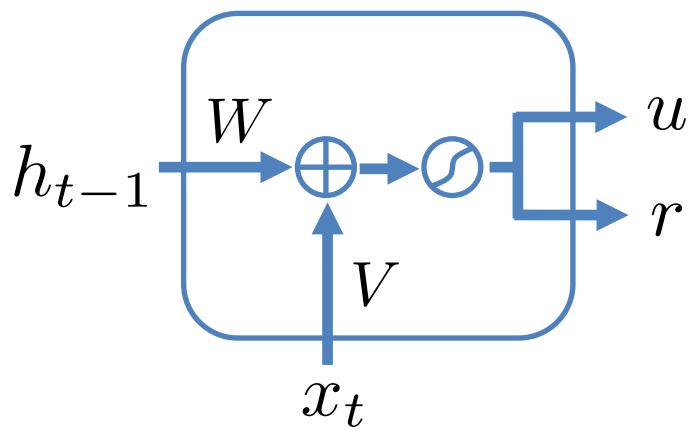


$$\begin{pmatrix} r_t \\ \textcolor{red}{u_t} \end{pmatrix} = \sigma(Vx_t + Wh_{t-1} + b)$$

$$g_t = \tilde{f}(V_g x_t + W_g(h_{t-1} \cdot r_t) + b_g)$$

$$h_t = (1 - \textcolor{red}{u}_t) \cdot g_t + \textcolor{red}{u}_t \cdot h_{t-1}$$

# GRU



$$u_t = \sigma(V_u x_t + W_u h_{t-1} + b_u)$$

$$h_t = (1 - u_t) \cdot g_t + u_t \cdot h_{t-1}$$

$$\frac{\partial h_t}{\partial h_{t-1}} = u_h + (1 - u_h) \cdot \frac{\partial g_h}{\partial h_{h-1}}$$



High initial  $b_u$

# LSTM or GRU?

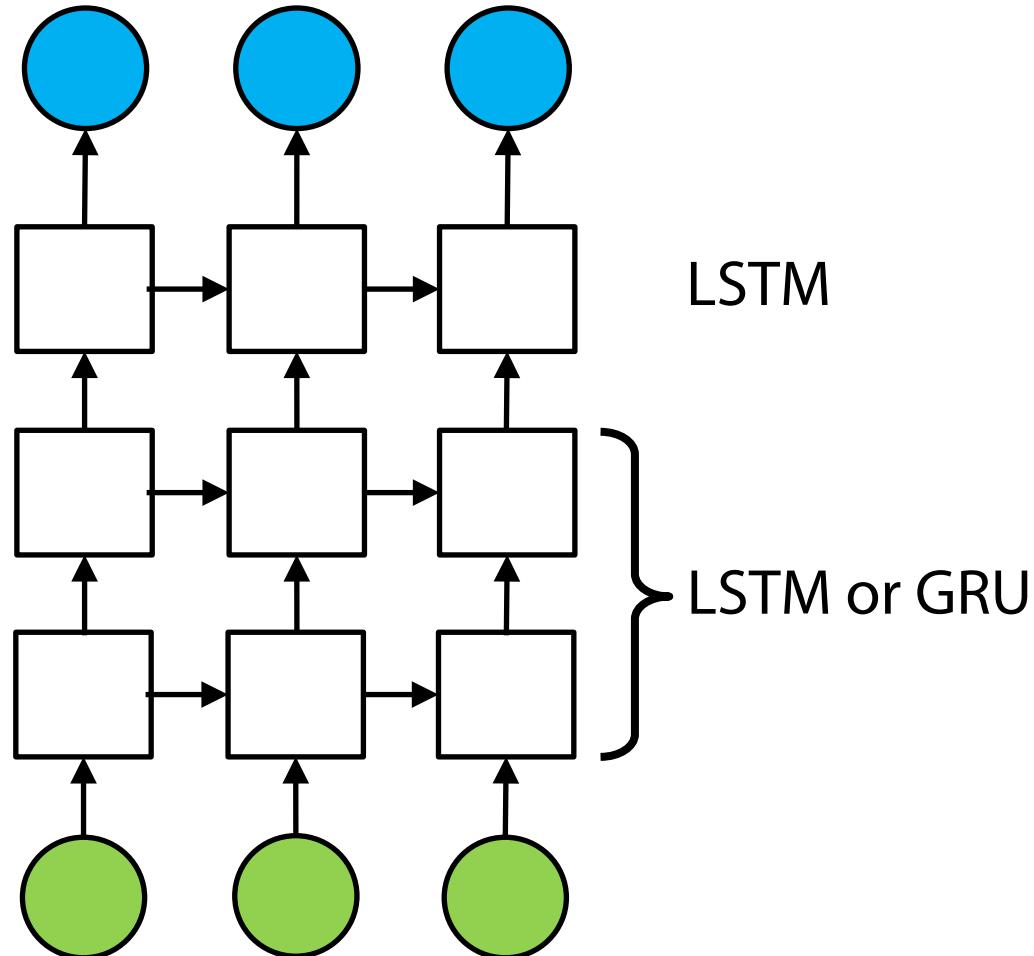
LSTM → more flexible

GRU → less parameters

First train LSTM → Second train GRU

↓  
Compare and choose

# LSTM or GRU?



# Orthogonal and unitary matrices

$$\frac{\partial L_t}{\partial W} = \frac{\partial L_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \sum_{k=0}^t \left( \prod_{i=k+1}^t \frac{\partial h_i}{\partial h_{i-1}} \right) \frac{\partial h_k}{\partial W}$$

$$\left\| \frac{\partial h_k}{\partial W} \prod_{k+1}^t \text{diag}(f'_h(pr_t)) \cdot W \right\| \leq \left\| \frac{\partial h_k}{\partial W} \right\| \prod_{k+1}^t \|D \cdot W\| =$$

$$W \xrightarrow{\text{Orthogonal or unitary}} \frac{\partial h_k}{\partial W} \prod_{k+1}^t \|D\| =$$
$$D \xrightarrow{\text{ReLU}} \frac{\partial h_k}{\partial W}$$

# uRNN

$$W = D_3 R_2 F^{-1} D_2 \Pi R_1 F D_1$$

- $\mathbf{D}$ , a diagonal matrix with  $D_{j,j} = e^{iw_j}$ , with parameters  $w_j \in \mathbb{R}$ ,
- $\mathbf{R} = \mathbf{I} - 2\frac{vv^*}{\|v\|^2}$ , a reflection matrix in the complex vector  $v \in \mathbb{C}^n$ ,
- $\Pi$ , a fixed random index permutation matrix, and
- $\mathcal{F}$  and  $\mathcal{F}^{-1}$ , the Fourier and inverse Fourier transforms.

Complex:

- hidden units,
- in-to-hidden
- hidden-to-hidden



$$o_t = f(U \begin{pmatrix} Re(h_t) \\ Im(h_t) \end{pmatrix} + b_o)$$

$$modReLU(z) = \begin{cases} (|z| + b) \frac{z}{|z|} & \text{if } |z| + b \geq 0 \\ 0 & \text{if } |z| + b < 0 \end{cases}$$

[Arjovsky et al., 2016]

# uRNN

Pros:

- No (almost) vanishing or exploding gradients
- Memory:  $O(n)$ , time:  $O(n \log n)$
- Good parametrization:  $O(n)$  parameters → more hidden units
- Very long dependencies

Cons:

- LSTM has stronger local dependencies

# uRNN

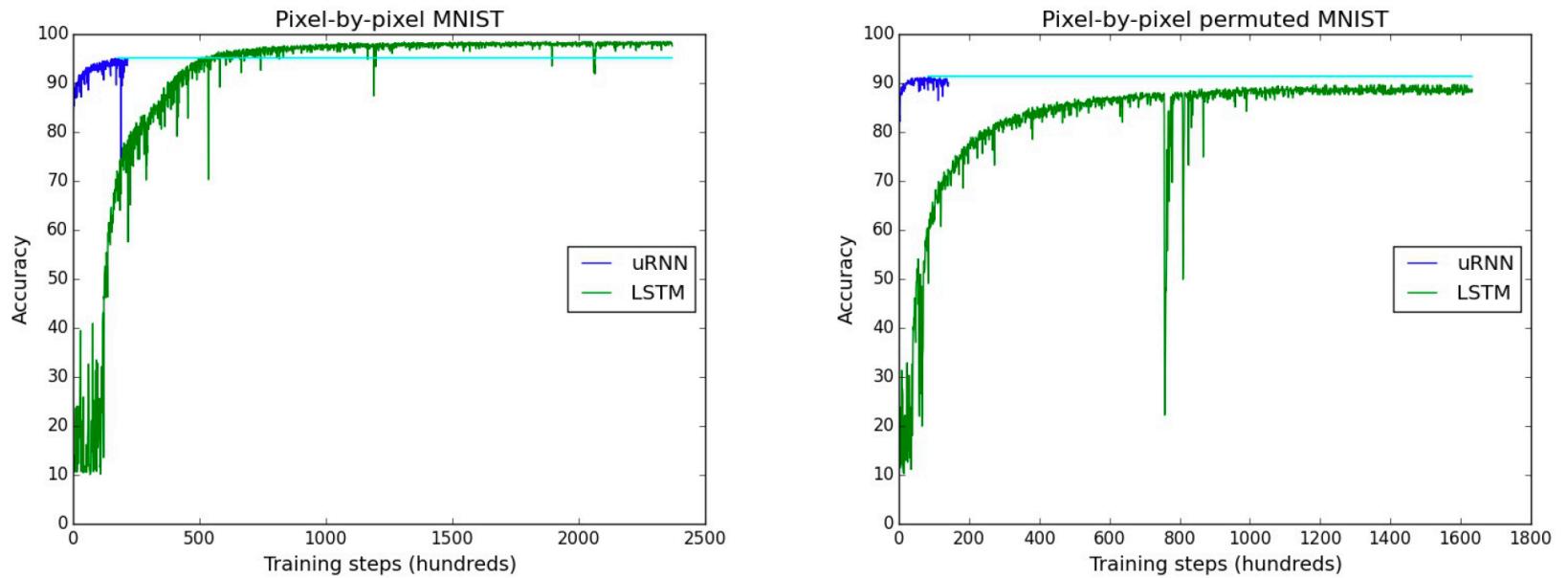


Figure 3. Results on pixel by pixel MNIST classification tasks. The uRNN is able to converge in a fraction of the iterations that the LSTM requires. The LSTM performs better on MNIST classification, but the uRNN outperforms on the more complicated task of permuted pixels.

# Orthogonal and unitary matrices

Regularization 1:

$$\Omega = \|W^T W - I\|^2$$

[\[Vorontsov et al., 2017\]](#)

Regularization 2:

$$\Omega = \sum_t \Omega_t = \sum_t \left( \frac{\left\| \frac{\partial L}{\partial h_t} \frac{\partial h_t}{\partial h_{t-1}} \right\|}{\left\| \frac{\partial L}{\partial h_t} \right\|} - 1 \right)^2$$

[\[Pascanu et al., 2012\]](#)

Gated unitary models

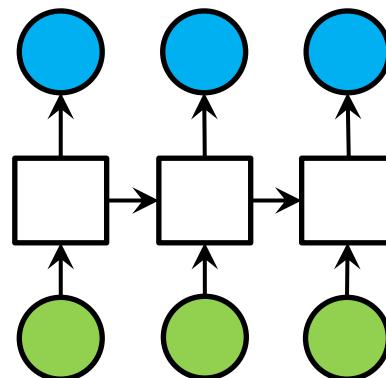
[\[Jing et al., 2017\]](#)

# Examples

# Classification of sequence elements

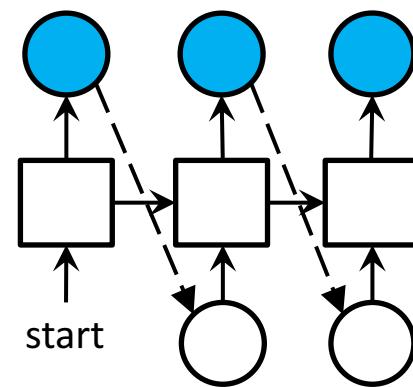
Synced sequence input and output:

- POS tagging
- Video frames classification



# Text generation

Next symbol/word  
Current symbol/word



# Text generation

PANDARUS:

Alas, I think he shall be come approached and the day  
When little strain would be attain'd into being never fed,  
And who is but a chain and subjects of his death,  
I should not sleep.

Second Senator:

They are away this miseries, produced upon my soul,  
Breaking and strongly should be buried, when I perish  
The earth and thoughts of many states.

DUKE VINCENTIO:

Well, your wit is in the care of side and that.

Second Lord:

They would be ruled after this chamber, and  
my fair nues begun out of the fact, to be conveyed,  
Whose noble souls I'll have the heart of the wars.

Clown:

Come, sir, I will make did behold your worship.

VIOLA:

I'll drink it.

[Andrej Karpathy blog](#)

# Text generation

*Proof.* Omitted.  $\square$

**Lemma 0.1.** Let  $\mathcal{C}$  be a set of the construction.

Let  $\mathcal{C}$  be a gerber covering. Let  $\mathcal{F}$  be a quasi-coherent sheaves of  $\mathcal{O}$ -modules. We have to show that

$$\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{L})$$

*Proof.* This is an algebraic space with the composition of sheaves  $\mathcal{F}$  on  $X_{\text{étale}}$  we have

$$\mathcal{O}_X(\mathcal{F}) = \{\text{morph}_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F})\}$$

where  $\mathcal{G}$  defines an isomorphism  $\mathcal{F} \rightarrow \mathcal{F}$  of  $\mathcal{O}$ -modules.  $\square$

**Lemma 0.2.** This is an integer  $\mathcal{Z}$  is injective.

*Proof.* See Spaces, Lemma ???.  $\square$

**Lemma 0.3.** Let  $S$  be a scheme. Let  $X$  be a scheme and  $X$  is an affine open covering. Let  $\mathcal{U} \subset \mathcal{X}$  be a canonical and locally of finite type. Let  $X$  be a scheme. Let  $X$  be a scheme which is equal to the formal complex.

The following to the construction of the lemma follows.

Let  $X$  be a scheme. Let  $X$  be a scheme covering. Let

$$b : X \rightarrow Y' \rightarrow Y \rightarrow Y \rightarrow Y' \times_X Y \rightarrow X.$$

be a morphism of algebraic spaces over  $S$  and  $Y$ .

*Proof.* Let  $X$  be a nonzero scheme of  $X$ . Let  $X$  be an algebraic space. Let  $\mathcal{F}$  be a quasi-coherent sheaf of  $\mathcal{O}_X$ -modules. The following are equivalent

- (1)  $\mathcal{F}$  is an algebraic space over  $S$ .
- (2) If  $X$  is an affine open covering.

Consider a common structure on  $X$  and  $X$  the functor  $\mathcal{O}_X(U)$  which is locally of finite type.  $\square$

This since  $\mathcal{F} \in \mathcal{F}$  and  $x \in \mathcal{G}$  the diagram

$$\begin{array}{ccccc}
 S & \longrightarrow & & & \\
 \downarrow & & & & \\
 \xi & \longrightarrow & \mathcal{O}_{X'} & \nearrow & \\
 \text{gor}_s & & \uparrow & & \\
 & & =\alpha' & \longrightarrow & \\
 & & \downarrow & & \\
 & & =\alpha' & \longrightarrow & \alpha \\
 & & & & \\
 \text{Spec}(K_\psi) & & \text{Mor}_{\text{Sets}} & & X \\
 & & & & \downarrow \\
 & & & & d(\mathcal{O}_{X_{/\kappa}}, \mathcal{G})
 \end{array}$$

is a limit. Then  $\mathcal{G}$  is a finite type and assume  $S$  is a flat and  $\mathcal{F}$  and  $\mathcal{G}$  is a finite type  $f_*$ . This is of finite type diagrams, and

- the composition of  $\mathcal{G}$  is a regular sequence,
- $\mathcal{O}_{X'}$  is a sheaf of rings.

$\square$

*Proof.* We have see that  $X = \text{Spec}(R)$  and  $\mathcal{F}$  is a finite type representable by algebraic space. The property  $\mathcal{F}$  is a finite morphism of algebraic stacks. Then the cohomology of  $X$  is an open neighbourhood of  $U$ .  $\square$

*Proof.* This is clear that  $\mathcal{G}$  is a finite presentation, see Lemmas ???. A reduced above we conclude that  $U$  is an open covering of  $\mathcal{C}$ . The functor  $\mathcal{F}$  is a “field”

$$\mathcal{O}_{X,x} \longrightarrow \mathcal{F}_{\overline{x}} \dashv (\mathcal{O}_{X_{\text{étale}}}) \longrightarrow \mathcal{O}_{X_\ell}^{-1} \mathcal{O}_{X_\lambda}(\mathcal{O}_{X_\eta}^{\overline{\pi}})$$

is an isomorphism of covering of  $\mathcal{O}_{X_i}$ . If  $\mathcal{F}$  is the unique element of  $\mathcal{F}$  such that  $X$  is an isomorphism.

The property  $\mathcal{F}$  is a disjoint union of Proposition ?? and we can filtered set of presentations of a scheme  $\mathcal{O}_X$ -algebra with  $\mathcal{F}$  are opens of finite type over  $S$ . If  $\mathcal{F}$  is a scheme theoretic image points.  $\square$

If  $\mathcal{F}$  is a finite direct sum  $\mathcal{O}_{X_\lambda}$  is a closed immersion, see Lemma ???. This is a sequence of  $\mathcal{F}$  is a similar morphism.

# Text generation

Cell sensitive to position in line:

```
The sole importance of the crossing of the Berezina lies in the fact  
that it plainly and indubitably proved the fallacy of all the plans for  
cutting off the enemy's retreat and the soundness of the only possible  
line of action--the one Kutuzov and the general mass of the army  
demanded--namely, simply to follow the enemy up. The French crowd fled  
at a continually increasing speed and all its energy was directed to  
reaching its goal. It fled like a wounded animal and it was impossible  
to block its path. This was shown not so much by the arrangements it  
made for crossing as by what took place at the bridges. When the bridges  
broke down, unarmed soldiers, people from Moscow and women with children  
who were with the French transport, all--carried on by vis inertiae-  
pressed forward into boats and into the ice-covered water and did not,  
surrender.
```

Cell that turns on inside quotes:

```
"You mean to imply that I have nothing to eat out of.... On the  
contrary, I can supply you with everything even if you want to give  
dinner parties," warmly replied Chichagov, who tried by every word he  
spoke to prove his own rectitude and therefore imagined Kutuzov to be  
animated by the same desire.
```

```
Kutuzov, shrugging his shoulders, replied with his subtle penetrating  
smile: "I meant merely to say what I said."
```

Cell that robustly activates inside if statements:

```
static int __dequeue_signal(struct sigpending *pending, sigset_t *mask,  
    siginfo_t *info)  
{  
    int sig = next_signal(pending, mask);  
    if (sig) {  
        if (current->notifier) {  
            if (sigismember(current->notifier_mask, sig)) {  
                if (!!(current->notifier)(current->notifier_data)) {  
                    clear_thread_flag(TIF_SIGPENDING);  
                    return 0;  
                }  
            }  
        }  
        collect_signal(sig, pending, info);  
    }  
    return sig;  
}
```

A large portion of cells are not easily interpretable. Here is a typical example:

```
/* Unpack a filter field's string representation from user-space  
 * buffer. */  
char *audit_unpack_string(void **bufp, size_t *remain, size_t len)  
{  
    char *str;  
    if (!*bufp || (len == 0) || (len > *remain))  
        return ERR_PTR(-EINVAL);  
    /* Of the currently implemented string fields, PATH_MAX  
     * defines the longest valid length.  
     */
```

# Handwriting generation

Next pen position (we predict parameters):

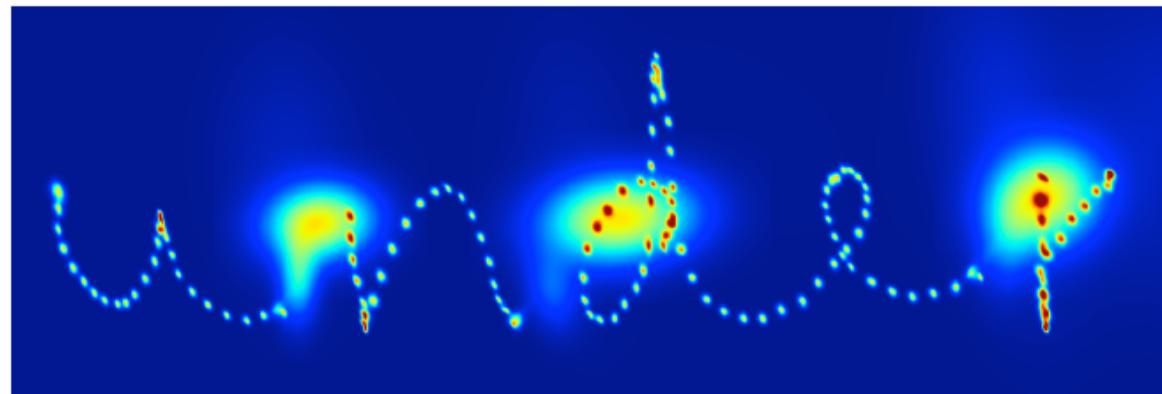
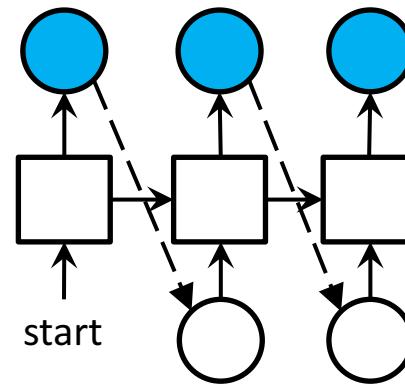
$x_1, x_2$  - mixture of bivariate Gaussians

$x_3$  - Bernoulli distribution

Current pen position:

$x_1, x_2$  – pen offset

$x_3$  – is it end of the stroke



[Graves, 2014]

# Handwriting generation

urn my under your eye there will

- (egy med anche.) bepesthes the

Anaine Ceneh le of his woditrs'

see Young a. The account was

purely mistaken by himself

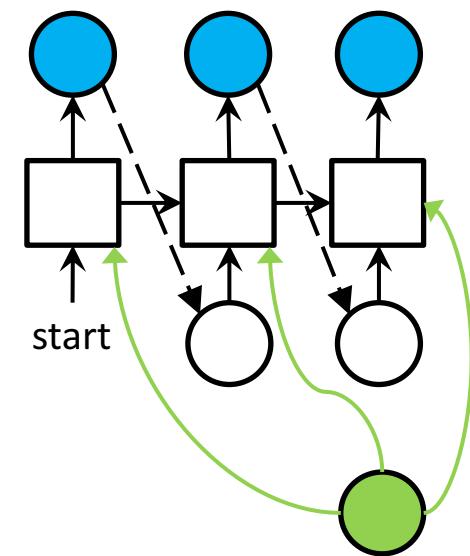
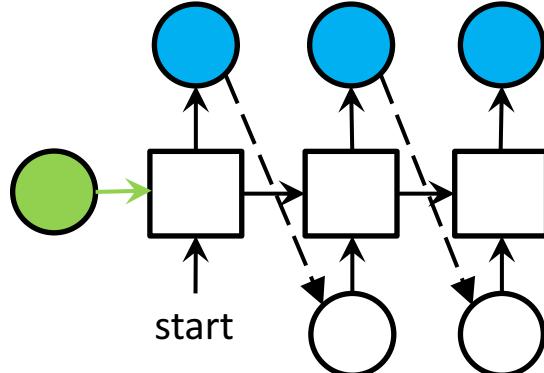
bones & cold wine of wine

heist. Y Ceesh the gather in

- scyle satet donip in soing Te a

# Conditional sequence generation

- Handwriting synthesis
- Image captioning



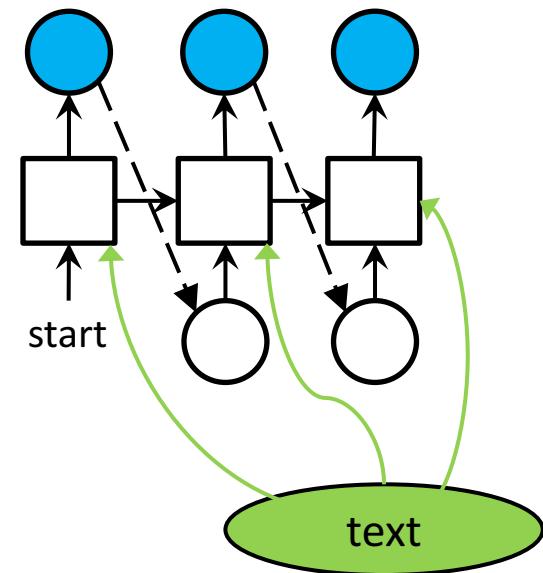
# Handwriting synthesis

Next pen position

Current pen position

Window: which part of text  
we are writing now

[Demo](#)



[Graves, 2014]

# Handwriting synthesis

stack more layers



stack more layer

stack more layers

stack more layers

stack more layers

stack more layers

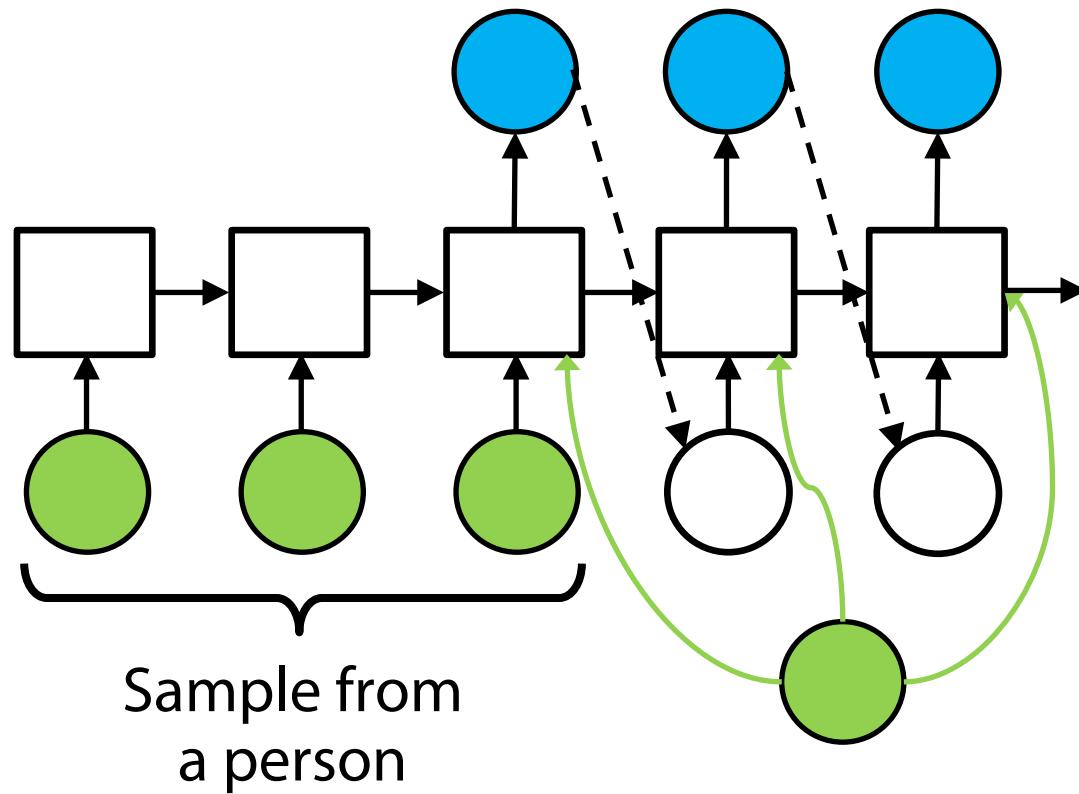
# Handwriting synthesis: biased sampling

- bias
- ° when the samples are biased
  - 0.1 towards more probable sequences
  - 0.5 they get easier to read
  - ² but less diverse
  - 5 until they all look
  - 10 exactly the same
  - 10 exactly the same

[Graves, 2014]

# Handwriting synthesis

Primed sampling



[Graves, 2014]

# Handwriting synthesis: primed sampling

Take the breath away when they are

---

when the network is primed  
with a real sequence

the samples mimic

the writer's style

# Handwriting synthesis: primed sampling

He dismissed the idea

---

when the network is primed  
with a real sequence

→ the samples mimic  
the writer's style

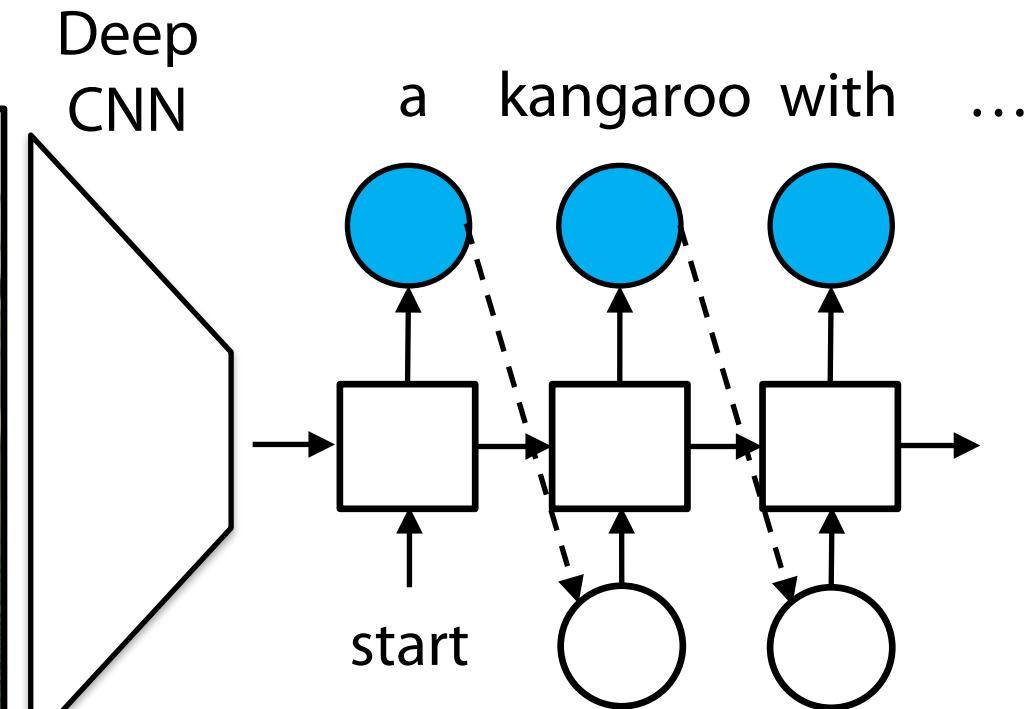
# Handwriting synthesis: primed and biased sampling

Take the breath away when they are

---

when the network is primed  
and biased, it writes  
in a cleaned up version  
of the original style

# Image Caption Generation



[Demo from Microsoft](#) (more sophisticated model)

[Vinyals et al., 2015]

# Image Caption Generation



a vase with flowers in it on a table  
**logprob: -7.62**



a bed with a white comforter and a white blanket  
**logprob: -12.01**



a group of people sitting around a table with food  
**logprob: -7.32**

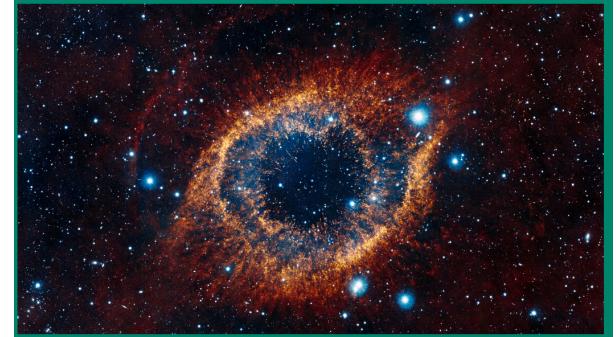
I am not really confident, but I think it's a pile of fruit sitting on a bed.



I am not really confident, but I think it's a man riding a snowboard down a snow covered slope.

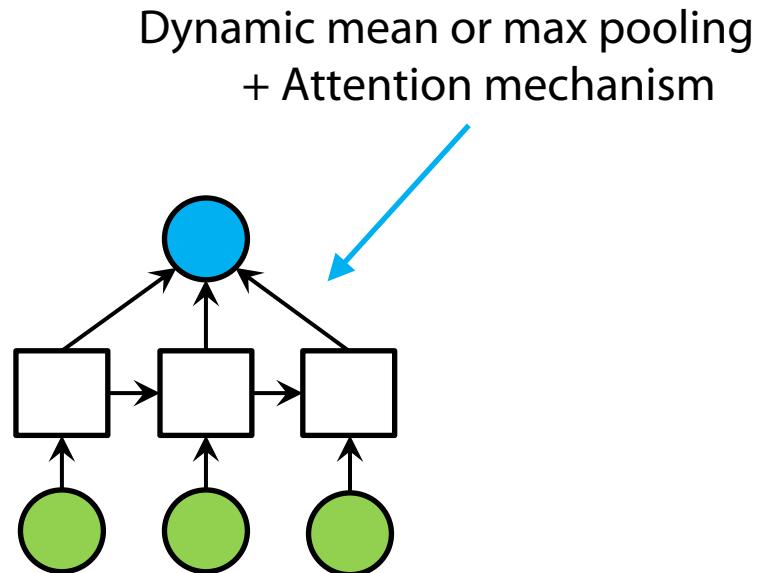
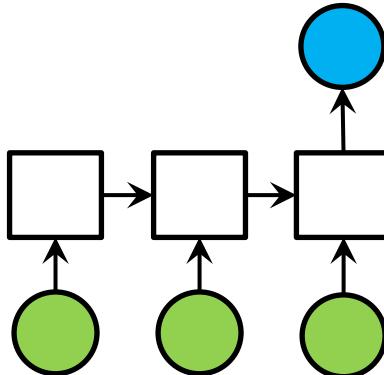


I think it's a star filled sky.



# Sequence classification

- Sentiment analysis
- Time series classification



# Sequence translation

- Handwriting to text / text to handwriting
- Speech to text / text to speech
- Machine translation

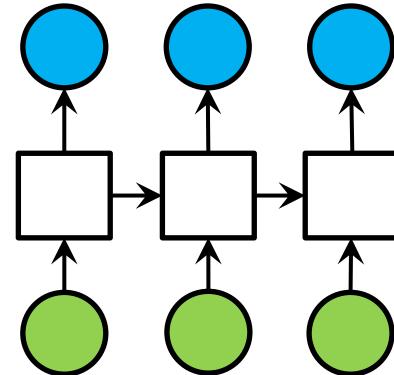
Input and output ...

- are **NOT** synchronized
- may have different length
- may have different order

# Sequence to sequence

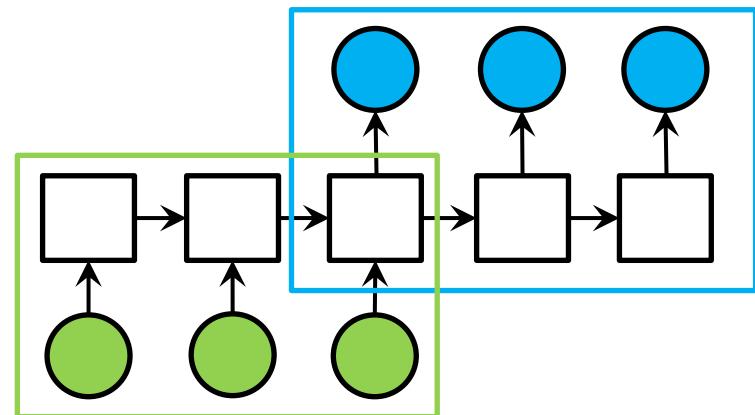
- Handwriting to text / text to handwriting
- Speech to text / text to speech

Input and output have different length!



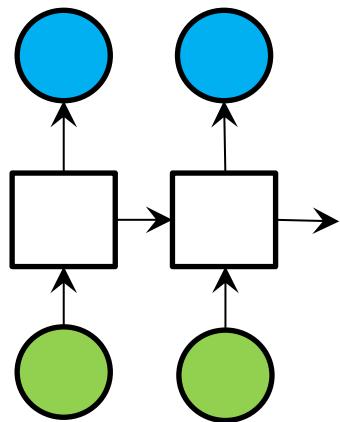
[Graves et al., 2006]

- Machine Translation

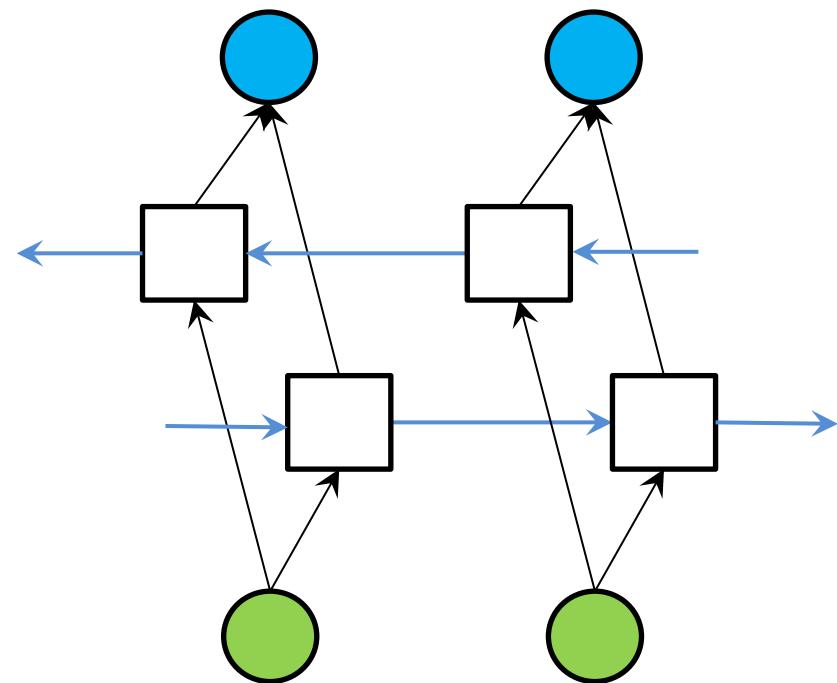


[Sutskever et al., 2014]

# Bidirectional RNN



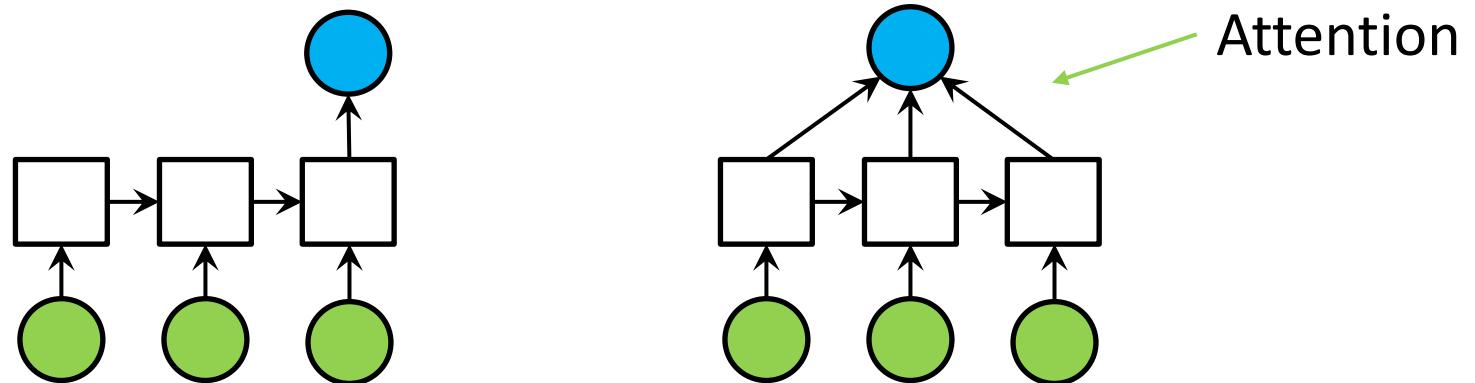
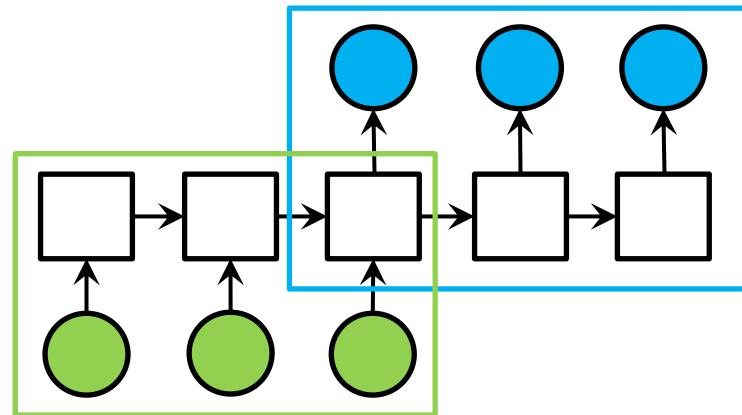
RNN



Bidirectional RNN

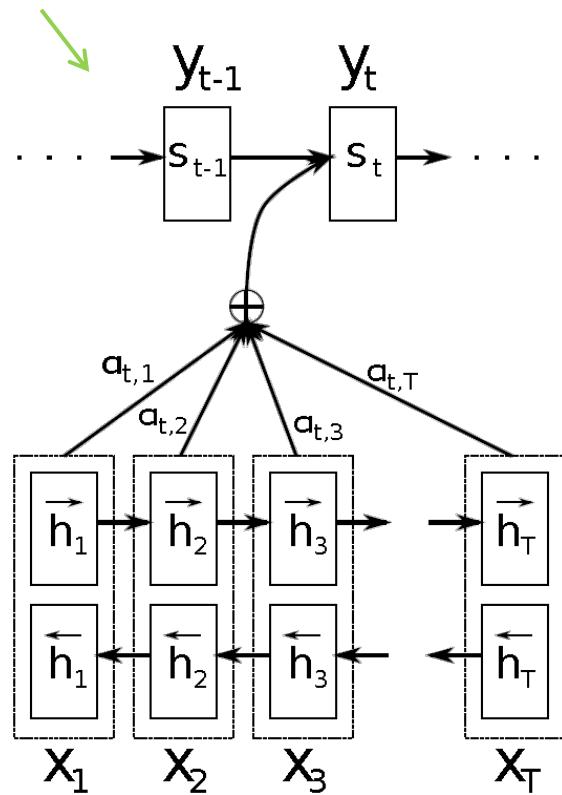
[\[Schuster, Paliwal, 1997\]](#)

# Sequence input



# Translation with attention

decoder RNN



$$s_i = f(s_{i-1}, y_{i-1}, c_i)$$

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j$$

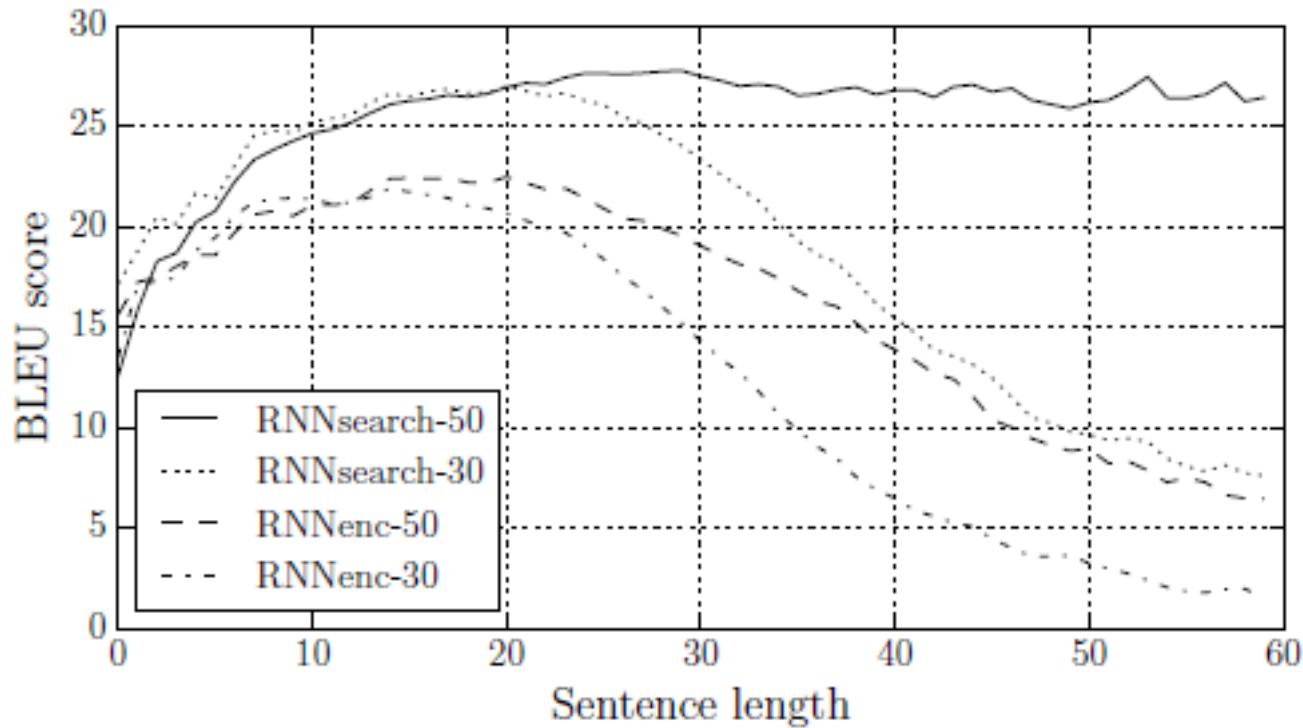
$$e_{ij} = a(s_{i-1}, h_j)$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{ik})}$$

[Bahdanau et al. 2015]

[Demo](#)

# Translation with attention



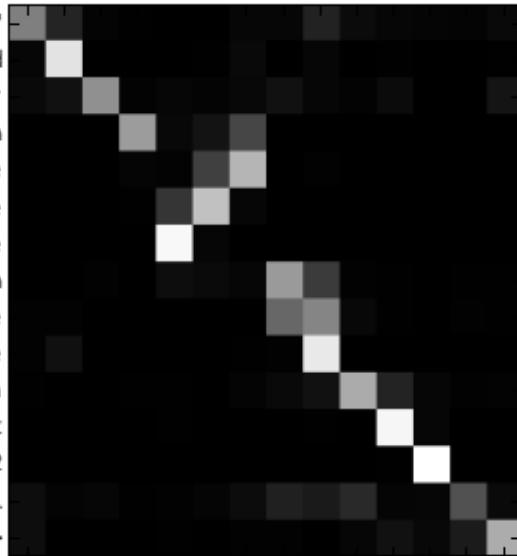
[Bahdanau et al. 2015]

# Translation with attention

L'accord sur la zone économique européenne a été signé en août 1992.

The agreement on the European Economic Area was signed in August 1992.

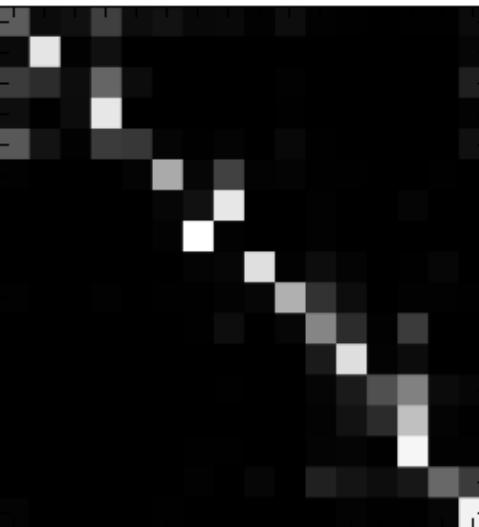
<end>



Il convient de noter que l'environnement marin est le moins connu de l'environnement.

It should be noted that the marine environment is the least known of environments.

<end>

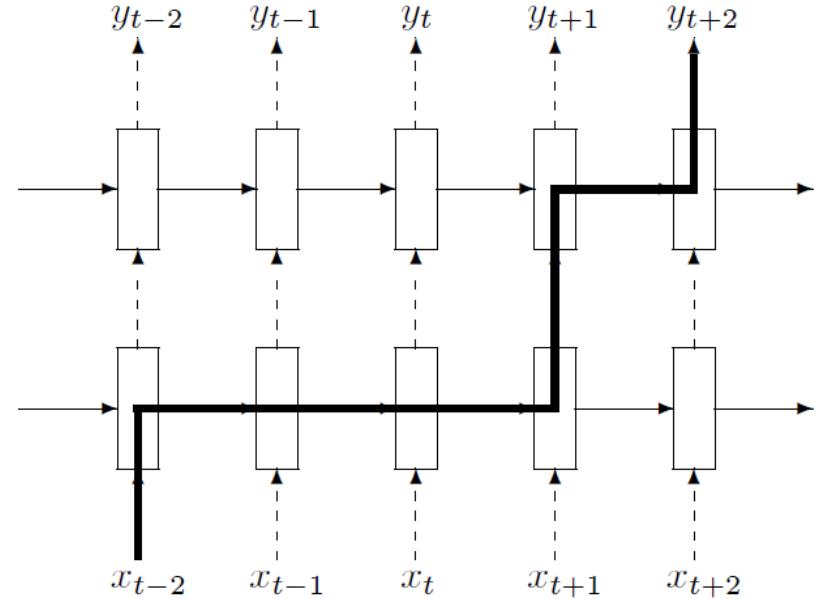
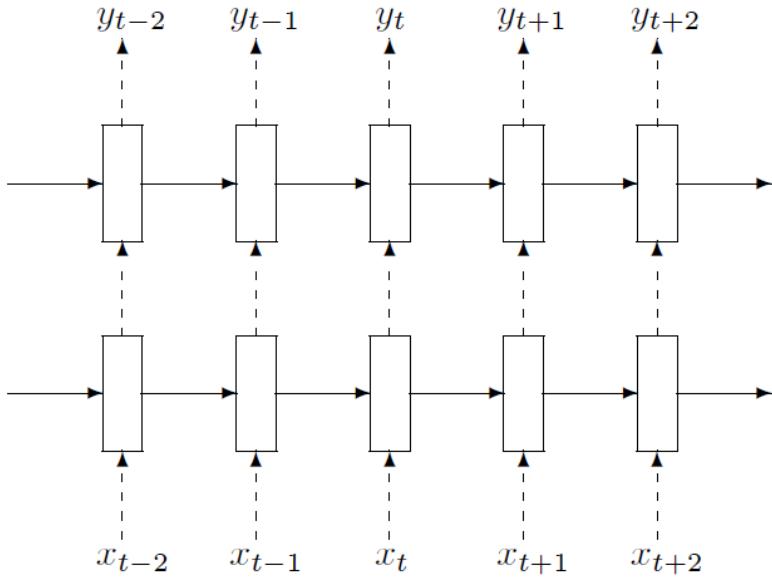


[Bahdanau et al. 2015]

# Tips and tricks

# Naive Dropout for RNN

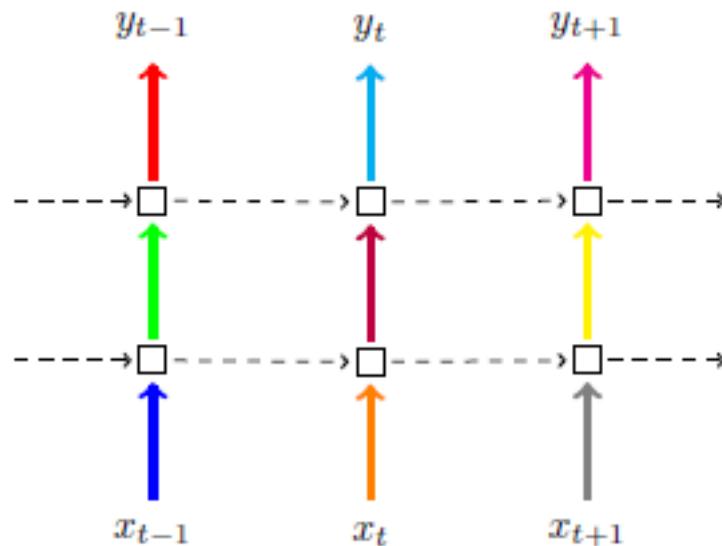
Only to non-recurrent connections!



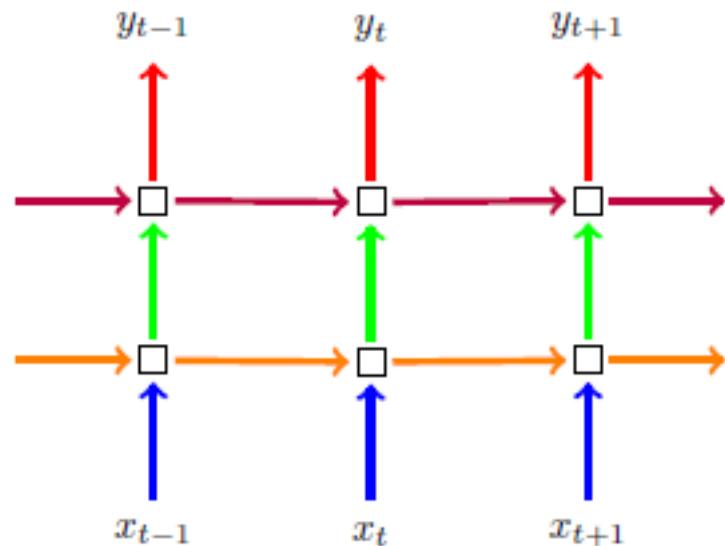
[Zaremba et al., 2015]

[Laurent et al., 2016]

# Bayesian dropout for RNN



(a) Naive dropout RNN



(b) Variational RNN

[Gal, Ghahramani, 2016]

# Dropout for LSTM/GRU

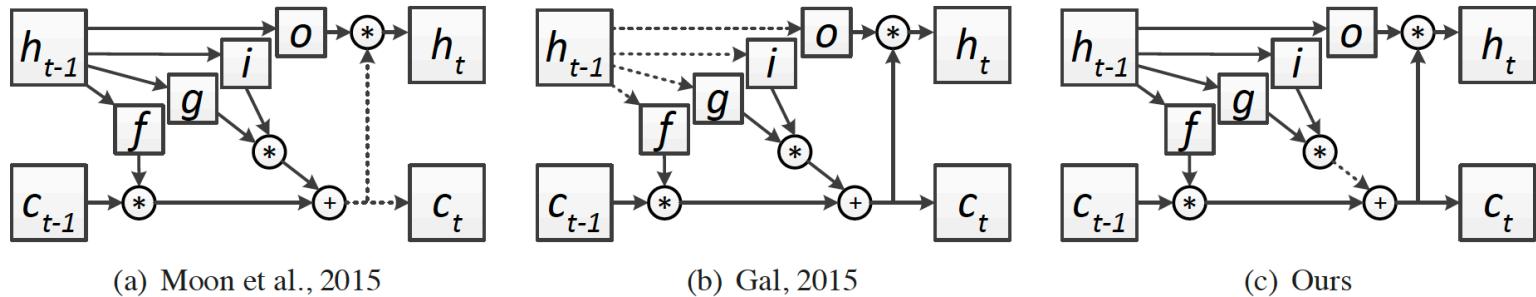


Figure 1: Illustration of the three types of dropout in recurrent connections of LSTM networks. Dashed arrows refer to dropped connections. Input connections are omitted for clarity.

LSTM

$$c_t = f_t \cdot c_{t-1} + i_t \cdot d(g_t)$$

GRU

$$h_t = (1 - u_t) \cdot d(g_t) + u_t \cdot h_{t-1}$$

[\[Semeniuta et al., 2016\]](#)

# Zoneout

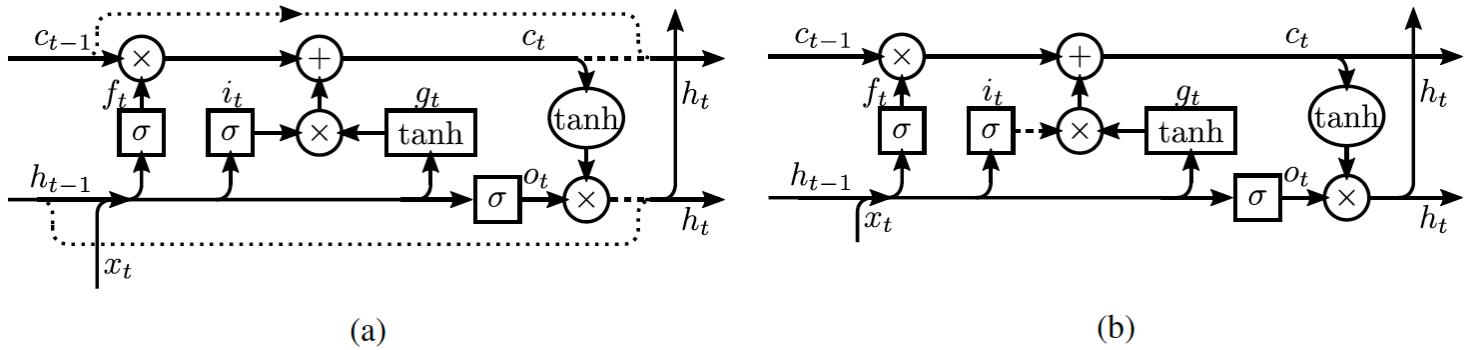


Figure 2: (a) Zoneout, vs (b) the recurrent dropout strategy of (Semeniuta et al., 2016) in an LSTM.

$$c_t = d_t^c \odot c_{t-1} + (1 - d_t^c) \odot (f_t \odot c_{t-1} + i_t \odot g_t)$$

$$h_t = d_t^h \odot h_{t-1} + (1 - d_t^h) \odot (o_t \odot \tanh(f_t \odot c_{t-1} + i_t \odot g_t))$$

[Krueger et al., 2017]

# Layer Normalization

Changes in the output of one layer will tend to cause highly correlated changes in the summed inputs to the next layer



Compute the layer normalization statistics over all the hidden units in the same layer!

$$\mu^l = \frac{1}{H} \sum_{i=1}^H a_i^l \quad \sigma^l = \sqrt{\frac{1}{H} \sum_{i=1}^H (a_i^l - \mu^l)^2} \quad \bar{a}_i^l = \frac{g_i^l}{\sigma_i^l} (a_i^l - \mu_i^l)$$

All the hidden units in a layer share the same normalization terms, but different training cases have different normalization terms.

[Lei Ba et al., 2016]

# Layer Normalization for RNN

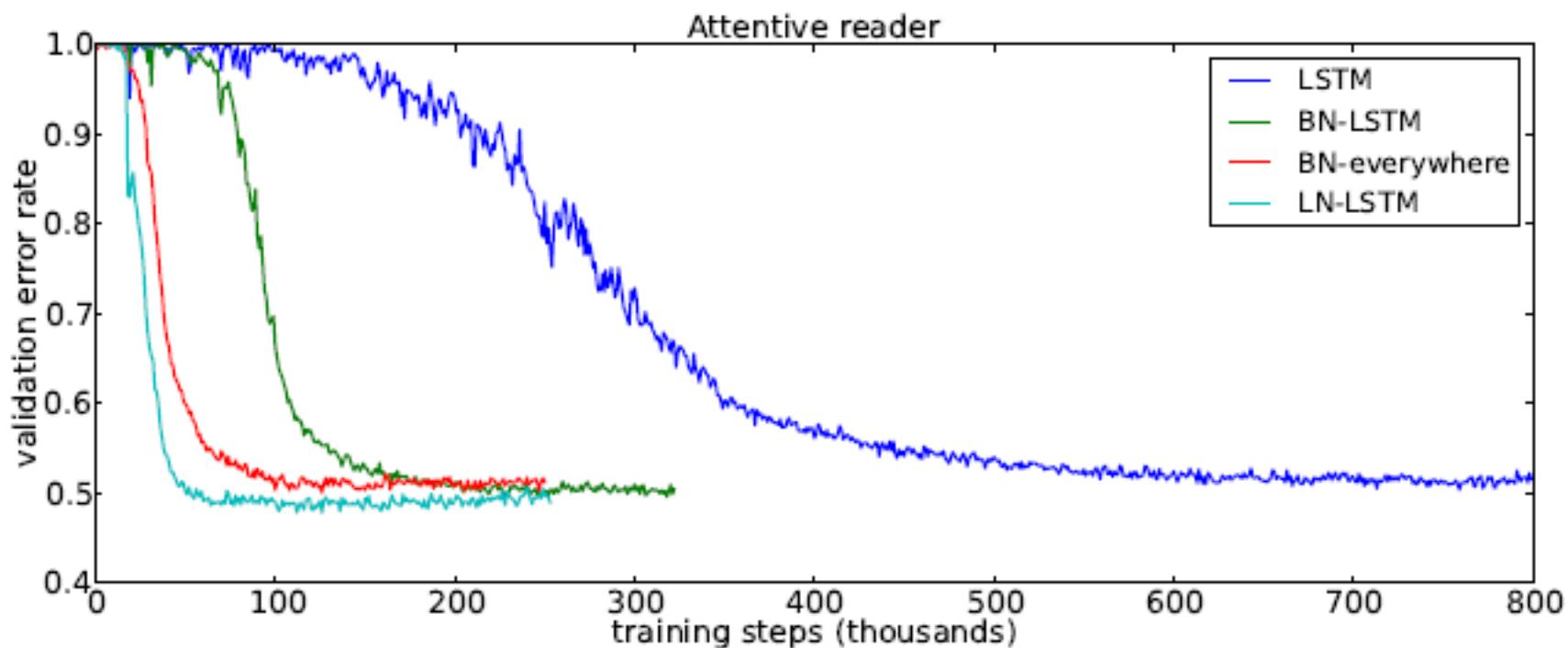
$$\mathbf{a}^t = W_{hh} \mathbf{h}^{t-1} + W_{xh} \mathbf{x}^t$$

$$\mathbf{h}^t = f \left[ \frac{\mathbf{g}}{\sigma^t} \odot (\mathbf{a}^t - \mu^t) + \mathbf{b} \right] \quad \mu^t = \frac{1}{H} \sum_{i=1}^H a_i^t \quad \sigma^t = \sqrt{\frac{1}{H} \sum_{i=1}^H (a_i^t - \mu^t)^2}$$

Layer Normalization doesn't work well for CNN.

[\[Lei Ba et al., 2016\]](#)

# BN vs LN for LSTM



[Lei Ba et al., 2016]

# Reference: theory

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Li Jing et al. [Gated Orthogonal Recurrent Units: On Learning to Forget](#) // arXiv, 2017.

Daniel Neil et al. [Phased LSTM: Accelerating Recurrent Network Training for Long or Event-based Sequences](#) // NIPS, 2016.

# Reference: examples

## Sequence generation

- **Character-wise text generation with Multiplicative RNN**

Ilya Sutskever, James Martens, and Geoffrey Hinton. [Generating Text with Recurrent Neural Networks](#) // ICML 2011.

[demo](#), [slides](#)

- **Word-wise text generation with RNN (RNN vs n-grams)**

Mikolov Tomá, Karafiát Martin, Burget Luká, Ěernocký Jan, Khudanpur Sanjeev. [Recurrent neural network based language model](#). // Proceedings of the 11th Annual Conference of the International Speech Communication Association (INTERSPEECH 2010).

Mikolov Tomá. [Statistical Language Models based on Neural Networks](#) // PhD thesis, Brno University of Technology, 2012.

[lib+demo](#)

- **Both character and word-wise text generation + handwritten generation + handwritten synthesis (all with LSTM)**

A. Graves. [Generating Sequences With Recurrent Neural Networks](#).

[slides](#), [handwritten synthesis demo](#)

Hermann et al. [Teaching Machines to Read and Comprehend](#) // NIPS 2015

A. Graves et al. [Connectionist Temporal Classification: Labelling Unsegmented Sequence Data with Recurrent Neural Networks](#) // ICML 2006

# Reference: examples

## Sequence translation

Ilya Sutskever, Oriol Vinyals, Quoc Le. [Sequence to Sequence Learning with Neural Networks](#) // NIPS 2014

K. Cho, B. van Merriënboer, C. Gulcehre, D. Bahdanau, F. Bougares, H. Schwenk, Y. Bengio. [Learning Phrase Representations using RNN Encoder-Decoder for Statistical Machine Translation](#) // EMNLP 2014.

Dzmitry Bahdanau, Kyunghyun Cho, Yoshua Bengio. [Neural Machine Translation by Jointly Learning to Align and Translate](#) // ICLR, 2015.

[demo](#)

## Image Caption Generation

O. Vinyals, A. Toshev, S. Bengio, and D. Erhan. [Show and tell: A neural image caption generator](#) // CVPR, 2015.

Andrej Karpathy, Li Fei-Fei. [Deep Visual-Semantic Alignments for Generating Image Descriptions](#) // CVPR, 2015.

[demo](#) (images), [demo](#) (top images for test texts)

Ryan Kiros, Ruslan Salakhutdinov, Richard Zemel. [Unifying Visual-Semantic Embeddings with Multimodal Neural Language Models](#) // TACL, 2015

Kenneth Tran et al. [Rich Image Captioning in the Wild](#) // CVPR Workshops, 2016.

[demo](#)

# Reference: tips and tricks

Nitish Srivastava et al. [Dropout: A Simple Way to Prevent Neural Networks from Overfitting](#) // JMLR, 2014.

Wojciech Zaremba, Ilya Sutskever, Oriol Vinyals. [Recurrent Neural Network Regularization](#) // arXiv, 2014.

Yarin Gal, Zoubin Ghahramani. [A Theoretically Grounded Application of Dropout in Recurrent Neural Networks](#) // NIPS, 2016.

Stanislau Semeniuta et al. [Recurrent Dropout without Memory Loss](#) // COLING, 2016.

David Krueger et al. [Zoneout: Regularizing RNNs by Randomly Preserving Hidden Activations](#) // ICLR, 2017.

Sergey Ioffe, Christian Szegedy. [Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift](#) // ICML, 2015.

César Laurent et al. [Batch Normalized Recurrent Neural Networks](#) // ICASSP, 2016.

Tim Cooijmans et al. [Recurrent Batch Normalization](#) // arXiv, 2016.

Jimmy Lei Ba, Jamie Ryan Kiros, Geoffrey E. Hinton. [Layer Normalization](#) // arXiv, 2016.

A list of resources dedicated to RNNs: [Awesome Recurrent Neural Networks](#)

Andrej Karpathy . [The Unreasonable Effectiveness of Recurrent Neural Networks](#) // blogpost.

Andrej Karpathy, Justin Johnson, Li Fei-Fei. [Visualizing and Understanding Recurrent Networks](#) // ICLR, 2016.