

# RareSkills - Advanced Solidity

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Advanced solidity bootcamp with Dominik Teiml. Summary of [the ethereum yellow paper](#).

## 1 Chapter 1

## 2 Chapter 2

## 3 Chapter 3

- $\sigma$  - (sigma) World state
- $\mu$  - (mu) Machine state
- $\Upsilon$  - (upsilon) Ethereum state transition
- $C$  - General cost function
- $KEC$  - Keccak-256 hash function
- $KEC512$  - Keccak512 hash function
- $T$  - Ethereum transaction
- $\delta$  - (delta) the number of items required on a stack for a given operation
- $\mathbf{o}$  - the output data of a message call
- $\mathbb{N}$  - a set of scalar non-negative numbers e.g. set of integers smaller than  $2^{256}$  denoted by  $\mathbb{N}_{256}$
- $\mathbb{B}$  - a set of byte sequences, e.g. bytes 32 is denoted by  $\mathbb{B}_{32}$
- $f$  - a function
- $\ell$  - a function which evaluates the last item in the given sequence

## 4 Chapter 4

### 4.1 The World State

- $\sigma$  - represents world state or state. It is a mapping between addresses (160-bit) and account states, a data structure serialised as Recursive Length Prefix (RLP)
  - $\sigma[a] = \mathbf{Account\ state}$  - The state of ethereum accounts has four fields
    - \*  $\sigma[a]_n = \mathbf{account's\ nonce\ state}$
    - \*  $\sigma[a]_b = \mathbf{account's\ balance\ state}$
    - \*  $\sigma[a]_s = 256\text{-bit\ hash}\ \mathbf{storageRoot}$  of merkle patricia trie root node that encodes storage contents (a mapping between 256-bit integer values)

- For Eternally Owned Accounts,  $\sigma[a]_s = \emptyset$
- For Contract accounts  $\sigma[a]_s \neq \emptyset$
- It's not hash of the merkle trie root but for the key/value pairs stored within  $\sigma[a]_s \equiv \text{TRIE}(L_I^*((\sigma[a]_s)))$
- \*  $\sigma[a]_c = \text{codeHash}$  - Keccak 256-bit hash of contract bytecode that gets executed when the account receives a message call
  - For Eternally Owned Accounts,  $\sigma[a]_c = \emptyset$
  - For contract accounts  $\sigma[a]_c \neq \emptyset$ 
    - $\text{KEC}(\mathbf{b}) = \sigma[a]_c$ ,  $\mathbf{b}$  to denote the contract's EVM bytecode
- An account is *empty* if it has no code  $\sigma[a]_c = \emptyset$ , zero nonce  $\sigma[a]_n = 0$  and zero balance  $\sigma[a]_b = 0$ 
  - \*  $\text{EMPTY}(\sigma, a) \equiv \sigma[a]_c = \text{KEC}(\emptyset) \wedge \sigma[a]_n = 0 \wedge \sigma[a]_b = 0$
- An account is *dead* if its account state is non-existent or empty
  - \*  $\text{DEAD}(\sigma, a) \equiv \sigma[a] = \emptyset \vee \text{EMPTY}(\sigma, a)$

## 4.2 The Transaction

- $T$  - a single cryptographically signed instruction by an EOA.
- $S$  - maps transaction to the sender with ECDSA SECP-256k1 curve (hash of the transaction excepting three signature fields).
- Assert the sender of a transaction  $T$  represents  $S(T)$
- $L_T(T) \equiv \begin{cases} (T_n, T_p, T_g, T_t, T_v, T_i, T_w, T_r, T_s) & \text{if } T_t = \emptyset \\ (T_n, T_p, T_g, T_t, T_v, T_d, T_w, T_r, T_s) & \text{otherwise} \end{cases}$  - Specifies how to serialize a transaction

The sender of a transaction cannot be a contract

There are two subtype of transactions

- Those that result in message calls
- Those that result in creation of new accounts with associated code ('contract creation')

EIP-1559: Fee market change (type 2) transactions have:

- $T_n$  - Transaction nonce for the sender,  $T_n \in \mathbb{N}_{256}$
- $T_g$  - Gas Price,  $T_p \in \mathbb{N}_{256}$
- $T_g$  - Gas Limit,  $T_g \in \mathbb{N}_{256}$
- $T_t$  - To (160-bit address),  $T_t \in \begin{cases} \mathbb{B}_{20} & \text{if } T_t \neq \emptyset \\ \mathbb{B}_0 & \text{contract creation} \end{cases}$
- $T_i$  - EVM-code for account initialization,  $T_i \in \mathbb{B}$
- $T_r, T_s$  - Signature of the transaction used to determine the sender of the transaction
- $T_w$  - **ChainId** and **yParity** are combined to form single value  $T_w = 2\beta + 35 + T_y$  (see EIP-155 by Buterin [2016b]),  $T_w \in \mathbb{N}_{256}$
- $T_x$  - EIP-2718 transaction type,  $T_x \in \{1, 2\}$
- $T_p$  - Gas Price,  $T_p \in \mathbb{N}_{256}$
- $T_g$  - Gas Limit,  $T_g \in \mathbb{N}_{256}$
- $T_v$  - Value,  $T_v \in \mathbb{N}_{256}$
- $T_r, T_s$  - Signature of the transaction used to determine the sender of the transaction,  $T_r \in \mathbb{N}_{256}$ ,  $T_s \in \mathbb{N}_{256}$
- $T_A$  - Access list (Legacy transactions don't have access list)
- $T_c$  - Chain ID,  $T_c = \beta$

- $T_y$  - yParity signature,  $T_y \in \mathbb{N}_1$  (bool)
- $T_i$  - init is an unlimited size byte array specifying the EVM-code for contract initialization procedure, it is fragment that returns a **body**,  $T_i \in \mathbb{B}$
- $T_d$  - data byte array specifying input data of a message call,  $T_d \in \mathbb{B}$

EIP-2930 (type 1) transactions also have:

- $T_A$  - Access list (Legacy transactions don't have access list)
- $T_c$  - Chain ID must equal chain ID  $\beta$ ,  $T_c = \beta$
- $T_y$  - yParity signature

### 4.3 The Block

The Block,  $B \equiv (B_H, B_T, B_U)$ , is a collection of the following relevant pieces of information:

1. **T** - information corresponding to transactions
  - $B_T$  - A series of transactions from this block
2. **U** - A set of block headers, (*ommers*<sup>2</sup>) or uncles
  - $B_U$  - A list of ommer block headers
3.  $H$  - represents block header, which contains:  $\mathbb{B} = \{B : B \in \mathbb{B} \vee \|B\| = n\}$ 
  - (a)  $H_p$  - **parentHash** - keccak256-bit hash of the parent's block header
    - $H_p \in \mathbb{B}_{32}$
    - $H_p \equiv KEC(P(B_H))$  where  $P(B_H)$  is the parent block header of  $B$
    - $TRIE(L_s(\sigma)) = P(B_H)_{H_r}$
  - (b)  $H_o$  - **ommersHash** - keccak256-bit hash of the ommers list of this block
    - $H_o \in \mathbb{B}_{32}$
    - $H_o \equiv KEC(RLP(L_H^*(B_U)))$
  - (c)  $H_c$  - **beneficiary** - 160-bit address that receives of all fees collected from successful mining this block
    - $H_c \in \mathbb{B}_{20}$
  - (d)  $H_r$  - **stateRoot** - keccak256 hash of the root node of the state trie after all transaction are executed on this block,  $H_r \equiv KEC(TRIE(L_s(\Pi(\sigma, B))))$ 
    - $H_r \in \mathbb{B}_{32}$
    - $\Pi$  - transaction state's accumulation function
  - (e)  $H_t$  - **transactionRoot** - keccak26-Bit hash of trie's root node with this block's transactions
    - $H_t \in \mathbb{B}_{32}$
    - $H_t \equiv KEC(TRIE(\{\forall i < \|B_T\|, i \in \mathbb{N} : p_T(i, B_T[i])\}))$
  - (f)  $H_e$  - **receiptsRoot** - keccak256-bit hash of the trie's root node containing receipt's of each transaction from this block,  $H_e \equiv KEC(TRIE(\{\forall i < \|B_R\|, i \in \mathbb{N} : p_R(i, B_R[i])\}))$ 
    - $H_e \in \mathbb{B}_{32}$
    - $B_R$  - values stemming from the computation of transactions, specifically transaction receipts
  - (g)  $H_b$  - **logsBloom** - Bloom filter from indexable info (logger address and log topics) contained in each log entry from the receipt of each transaction in this block
    - $H_b \in \mathbb{B}_{256}$
  - (h)  $H_d$  - **difficulty** - a scalar value of the difficulty level of this block

- $H_d \in \mathbb{N}$
- (i)  $H_i$  - **number** - a scalar value equal to the number of ancestor blocks
  - $H_i \in \mathbb{N}$
- (j)  $H_l$  - **gasLimit** - a scalar value equal to the current limit of gas expenditure per block
  - $H_l \in \mathbb{N}$
- (k)  $H_g$  - **gasUsed** - a scalar value equal to the total gas used in transactions in this block
  - $H_g \in \mathbb{N}$
- (l)  $H_s$  - **timestamp** - a scalar value equal to the output of Unix's time() at the block's inception
  - $H_s \in \mathbb{N}_{256}$
- (m)  $H_x$  - **extraData** - arbitrary byte array data relevant to this block, must be 32 bytes or less
  - $H_x \in \mathbb{B}$
- (n)  $H_m$  - **mixHash** - 256-bit hash which combined with nonce, proves a sufficient amount of computation has been carried out in this block
  - $H_m \in \mathbb{B}_{32}$
- (o)  $H_n$  - **nonce** - 64-bit value which combined with mix-hash proves sufficient computation has been carried out
  - $H_n \in \mathbb{B}_8$

#### 4.3.1 Transaction Receipt

- $B_R[i]$  - receipt for the  $i^{th}$  transaction in an index-keyed trie whose root is recorded in the header as  $H_e$
- $R \equiv (R_x, R_z, R_u, R_b, R_l)$
- $L_R(R) \equiv (R_z, R_u, R_b, R_l)$  - the  $L_R$  function prepares tx receipt to be transformed into an RLP-serialized bytes array
- $R$  - Transaction receipt (a tuple of 5 items):
  1.  $R_x$  - equal to the corresponding transaction type
  2.  $R_z$  - status code of the transaction,  $R_z \in \mathbb{N}$  (non-negative integer)
  3.  $R_u$  - cumulative gas used,  $R_u \in \mathbb{N}$  (non-negative integer)
  4.  $R_l$  - a series of logs entries  $(O_0, O_1, \dots)$  created through execution of the transaction:
    - (a) A log entry,  $O$ , is a tuple of:  $O \equiv (O_a, (O_{t0}, O_{t1}, \dots), O_d)$ 
      - i.  $O_a$  - logger's address,  $O_a \in \mathbb{B}_{20}$
      - ii.  $O_t$  - series of 32-byte log topics,  $\forall x \in O_t : x \in \mathbb{B}_{32}$
      - iii.  $O_d$  - some number of bytes data,  $O_d \in \mathbb{B}$
  5.  $R_b$  - the Bloom filter composed from the information in the logs,  $R_b \in \mathbb{B}_{256}$ 
    - (a)  $M$  - a function (bloom filter) to reduce a log entry into a single 256-byte hash:
      - i.  $M(O) \equiv \bigvee_{x \in O_a \cup O_t} (M_{3:2048}(x))$ 
        - A.  $M_{3:2048}$  - a specialized Bloom filter that sets three bits out of 2048, given an arbitrary byte sequence
        - B.  $M_{3:2048}$  - takes the low-order 11 bits of each of the first 3 pairs of bytes in a keccak-256 hash of the byte sequence
        - C.  $M_{3:2048}(\mathbf{x} : \mathbf{x} \in \mathbb{B}) \equiv \mathbf{y} : \mathbf{y} \in \mathbb{B}_{256}$  where:
          - $\mathbf{y} = (0, 0, 0, \dots, 0)$  except:
          - $\forall i \in \{0, 2, 4\} : B_{2047-m(\mathbf{x}, i)}(\mathbf{y}) = 1$
          - $B$  - a bit reference function
        - D.  $m(\mathbf{x}, i) \equiv KEC(\mathbf{x})[i, i + 1] \bmod 2048$

### 4.3.2 Holistic Validity

- identity of state when transactions executed in order on the base state
  - $H_r \equiv \text{TRIE}(L_s(\Pi(\sigma, B))) \wedge$
  - $H_o \equiv \text{KEC}(\text{RLP}(L_H^*(B_U))) \wedge$
  - $H_t \equiv \text{TRIE}(\{\forall i < \|B_{\mathbf{T}}\|, i \in \mathbb{N} : p_T(i, B_{\mathbf{T}}[i])\}) \wedge$
  - $H_e \equiv \text{TRIE}(\{\forall i < \|B_R\|, i \in \mathbb{N} : p_R(i, B_R[i])\}) \wedge$
  - $\bigvee_{r \in B_R}(\mathbf{r}_b)$

(TODO: to be continued... pg 6/41)

### 4.3.3 Serialization

- $L_H(H)$  - preparation function for block header
  - $L_H(H) \equiv (H_p, H_o, H_r, H_t, H_e, H_b, H_d, H_i, H_l, H_g, H_s, H_x, H_m, H_n)$
- $L_B(B)$  - preparation function for block
  - $L_B(B) \equiv (L_H(B_H), \tilde{L}_T^*(B_H), L_H^*(B_U))$
  - $\tilde{L}_T$  - takes special care of EIP-2718 transactions

### 4.3.4 Block Header Validity

- $P(H) \equiv B' : \text{KEC}(\text{RPL}(B'_H)) = H_p$
- $H_i \equiv P(H)_{Hi} + 1$  - the block number is the parent's block number uncremented by one
- $D(H)$  - canonical difficulty fo a block header  $H$
- $\varsigma_2$  - *Homestead* diffuculty parameter
- $\epsilon$  - exponetial difficulty symbol

## 5 Chapter 5

## 6 Chapter 6 (Transaction Execution)

- $\Upsilon$  - state transition function
- $\sigma'$  - the post-transactional state
- $\sigma' = \Upsilon(\sigma, T)$  -  $\Upsilon$  is the function,  $T$  is the transaction and  $\sigma$  the state
- $\Upsilon^g$  - evaluates amount of gas used in the transaction
- $\Upsilon^l$  - evaluates transaction's accrued log items
- $\Upsilon^z$  - evaluates the status code resulting from the transaction

## 6.1 Substate

*Accrued substate* ( $A$ ) is the information that is acted upon immediately following the transaction execution

- $A \equiv (A_s, A_l, A_t, A_r, A_a, A_K)$  -  $A$  is a tuple
- $A_s$  - the self destruct set, a set of accounts that will be discarded following transaction completion
- $A_l$  - log series of archived and indexable 'checkpoints' in VM code execution
- $A_t$  - set of touched accounts, which the empty ones are deleted at the end of transaction
- $A_r$  - the refund balance, from SSTORE instruction when contract storage is reset to zero from non-zero
- $A_a$  - the set of accessed account addresses
- $A_K$  - a tuple of a 20-byte account address and a 32-byte storage slot (a set of storage keys)
- $A^0$  - an empty accrued substate
- $A^0 \equiv (\emptyset, (), \emptyset, 0, \pi, \emptyset)$ , where:  $\pi$  is a set of precompiled addresses
- $\pi$  - a set of all precompiled addresses

## 6.2 Execution

- $g_0$  - amount of gas this transaction requires to be paid prior to execution, intrinsic gas  $g_0$

$$g_0 \equiv \sum_{i \in T_i, T_d} \begin{cases} G_{txdatazero} & \text{if } i = 0 \\ G_{txdata nonzero} & \text{otherwise} \end{cases} + \begin{cases} G_{txzero} & \text{if } T_t = \emptyset \\ 0 & \text{otherwise} \end{cases} \\ + G_{transaction} + \sum_{j=0}^{\|T_A\|-1} (G_{accesslistaddress} + \|T_A[j]\| G_{accessliststorage})$$

- $T_i, T_d$  is the series of bytes of the transaction's associated data and initialisation EVM-code
- $G_{txcreate}$  is added if the transaction is creating a contract, but not if a result of EVM-code
- $G_{accesslistaddress}$  and  $G_{accessliststorage}$  are the cost of warming up account and storage access
- $G$  (defined in appendix G) - is a tuple of scalar values corresponding to relative costs in gas of operations
- $v_0$  - upfront cost is  $v_0 \equiv T_g T_p + T_v$
- $S(T)$  - the sender of a transaction
- Validity of transaction is determine  $S(T) \neq \emptyset \wedge \sigma[S(T)]_c = KEC(()) \wedge T_n = \sigma[S(T)]_n \wedge g_0 \leq T_g \wedge V_0 \leq \sigma[S(T)]_b \wedge T_g \leq B_{HI} - \ell(B_R)_u$
- $T_g - g_0$
- end

Name	Value	Description
$G_{zero}$	0	0 gas operations of the set $W_{zero} = \{\text{STOP, RETURN, REVERT}\}$
$G_{jumpdest}$	1	gas paid for JUMPDEST operation
$G_{base}$	2	gas paid for operations of set $W_{base} = \{\text{ADDRESS, ORIGIN, CALLER, CALLVALUE, CALLDATASIZE, CODESIZE, COINBASE, TIMESTAMP, NUMBER, DIFFICULTY, GASLIMIT, CAHINID, RETURNDATASIZE, POP, POP, MSIZE, Gas}\}$
$G_{verylow}$	3	gas paid for operations of set $W_{verylow} = \{\text{ADD, SUB, NOT, LT, GT, SLT, SGT, EQ, ISZERO, AND, OR, XOR, BYTE, SHL, SHR, SAR, CALLDAT-ALOAD, MLOAD, MSTORE, MSTORE8, PUSH*, DUP*, SWAP*}\}$
$G_{low}$	5	gas paid for operations of set $W_{low} = \{\text{MUL, DIV, SDIV, MOD, SMOD, SIGNEXTEND, SELFBALANCE}\}$
$G_{mid}$	8	gas paid for operations of set $W_{mid} = \{\text{ADDMOD, MULMOD, JUMP}\}$
$G_{high}$	10	gas paid for operations of set $W_{high} = \{\text{JUMPI}\}$
$G_{warmaccess}$	100	cost for a warm account or storage
$G_{accesslistaddress}$	2400	cost for warming up an account with the access list
$G_{accessliststorage}$	1900	cost for warming up a storage with the access list
$G_{coldaccountaccess}$	2600	cost for a cold account access
$G_{coldload}$	2100	cost for a cold account access
$G_{sset}$	20000	cost for an SSTORE operation from non-zero to zero
$G_{sreset}$	2900	cost for an SSTORE operation from zero to zero (unchanged)
$R_{sclear}$	15000	refund when SSTORE operation on a storage is set from non-zero to zero
$R_{selfdestruct}$	24000	refund for self-destructing and account
$G_{selfdestruct}$	5000	gas paid for a SELFDESTRUCT operation
$G_{create}$	32000	gas paid for a CREATE operation
$G_{codedeposit}$	200	cost paid per byte for a CREATE operation to success in placing code to state
$G_{callvalue}$	9000	gas paid for a non-zero value transfer as part of the CALL operation
$G_{callstipend}$	2300	a stipend for the called contract subtracted from the $G_{callvalue}$ for a non-zero value
$G_{newaccount}$	25000	gas paid for a CALL or SELFDESTRUCT operations which creates a new account
$G_{exp}$	10	partial payment for an EXP operations
$G_{expbyte}$	50	partial payment when multiplied by the number of bytes in the exponent for EXP operation
$G_{memory}$	3	gas paid for every additional word when expanding memory
$G_{txcreate}$	32000	gas paid by all contract-creating transactions after <i>Honstead</i> transition
$G_{txdatazero}$	4	gas paid for every zero bytes of data or code for a transaction
$G_{txdatanonzero}$	16	gas paid for every non-zero bytes of data or code for a transaction
$G_{transaction}$	21000	gas paid for every transaction
$G_{log}$	375	partial payment for a LOG operation
$G_{logdata}$	8	gas paid for each bytes in a LOG operation's data
$G_{logtopic}$	375	gas paid for each topic of a LOF operation
$G_{keccak256}$	30	gas paid for each KECCAK256 operation
$G_{keccak256word}$	60	gas paid for each word (rounded up) for input data to a KECCAK256 operation
$G_{copy}$	3	partial payment for set $W_{copy} = \{\text{CALLDATACOPY, CODECOPY, RETURNDATACOPY}\}$ operations, multiplied by words copied, rounded up
$G_{blockhash}$	20	gas pid for each BLOCKHASH operation