# 2. A SIMPLE ONE-PASS COMPILER

Infix expression -> Postfix expression

- 2.1 Overview
- 2.2 Syntax definition
- 2.3 Syntax-directed translation
- 2.4 Parsing
- 2.5 A translator for simple expression
- 2.6 Lexical analysis
- 2.7 Incorporating a symbol table
- 2.8 A abstract stack machine
- 2.9 Putting the techniques together

### This chapter

- is an introduction to the material in cChapter 3 throught 8.
- concentrates on the front end of compiler, that is, on lexical analysis, parsing, and intermediate code generation.

#### 2.1 OVERVIEW

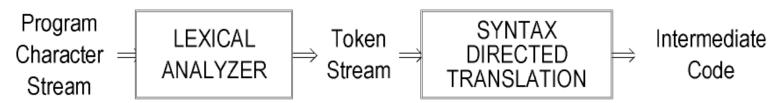
- Language Definition
  - Appearance of programming language :

Vocabulary: Regular expression

Syntax : Backus-Naur Form(BNF) or Context Free Form(CFG)

- Semantics : Informal language or some examples

### Fig 2.1. Structure of our compiler front end



#### 2.2 SYNTAX DEFINITION

- To specify the syntax of a language : CFG and BNF
  - Example : if-else statement in C has the form of statement → if ( expression ) statement else statement
- An alphabet of a language is a set of symbols.
  - Examples: {0,1} for a binary number system(language)={0,1,100,101,...}
     {a,b,c} for language={a,b,c, ac,abcc..}
     {if,(,),else ...} for a if statements={if(a==1)goto10, if--}
- A string over an alphabet
  - is a sequence of zero or more symbols from the alphabet.
  - Examples: 0,1,10,00,11,111,0202 ... strings for a alphabet {0,1}
  - Null string is a string which does not have any symbol of alphabet.
- Language
  - Is a subset of all the strings over a given alphabet.
  - Alphabets Ai Languages Li for Ai A0={0,1}
     L0={0,1,100,101,...}

```
A1={a,b,c} L1={a,b,c, ac, abcc..}
A2={all of C tokens} L2= {all sentences of C program }
```

- Example 2.1. Grammar for expressions consisting of digits and plus and minus signs.
  - Language of expressions L={9-5+2, 3-1, ...}
  - The productions of grammar for this language L are:

```
list \rightarrow list + digit

list \rightarrow list - digit

list \rightarrow digit

digit \rightarrow 0|1|2|3|4|5|6|7|8|9
```

- *list, digit* : Grammar variables, Grammar symbols
- 0,1,2,3,4,5,6,7,8,9,-,+ : Tokens, Terminal symbols
- Convention specifying grammar
  - Terminal symbols : bold face string if, num, id
  - Nonterminal symbol, grammar symbol: italicized names, list, digit, A,B

#### Grammar G=(N,T,P,S)

```
    N: a set of nonterminal symbols
```

- T: a set of terminal symbols, tokens
- P: a set of production rules
- S : a start symbol,  $S \in N$
- Grammar G for a language L={9-5+2, 3-1, ...}

```
    G=(N,T,P,S)
    N={list,digit}
    T={0,1,2,3,4,5,6,7,8,9,-,+}
    P: list -> list + digit

            list -> list - digit
                 list -> digit
                  digit -> 0|1|2|3|4|5|6|7|8|9
                  S=list
```

- Some definitions for a language L and its grammar G
  - Derivation :

A sequence of replacements  $S \Rightarrow \alpha_1 \Rightarrow \alpha_2 \Rightarrow \cdots \Rightarrow \alpha_n$  is a derivation of  $\alpha_n$ . Example, A derivation 1+9 from the grammar G

left most derivation

$$list \Rightarrow list + digit \Rightarrow digit + digit \Rightarrow 1 + digit \Rightarrow 1 + 9$$

right most derivation

$$list \Rightarrow list + digit \Rightarrow list + 9 \Rightarrow digit + 9 \Rightarrow 1 + 9$$

Language of grammar L(G)

L(G) is a set of sentences that can be generated from the grammar G. L(G)= $\{x \mid S \Rightarrow^* x\}$  where  $x \in a$  sequence of terminal symbols

• Example: Consider a grammar G=(N,T,P,S):

N={S} T={a,b}  
S=S P ={S 
$$\rightarrow$$
 aSb |  $\varepsilon$  }

- is aabb a sentecne of L(g)? (derivation of string aabb)  $S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aa \varepsilon bb \Rightarrow aabb(or S \Rightarrow * aabb) so, aabb \in L(G)$
- there is no derivation for aa, so aa∉L(G)
- note L(G)= $\{a_nb_n| n \ge 0\}$  where  $a_nb_n$  meas n a's followed by n b's.

#### **Parse Tree**

A derivation can be conveniently represented by a derivation tree( parse tree).

- The root is labeled by the start symbol.
- Each leaf is labeled by a token or  $\varepsilon$ .
- Each interior none is labeled by a nonterminal symbol.
- When a production  $A \rightarrow x_1 \cdots x_n$  is derived, nodes labeled by  $x_1 \cdots x_n$  are made as children nodes of node labeled by A.
  - root : the start symbol
  - internal nodes : nonterminal
  - leaf nodes : terminal

# • Example G:

- left most derivation for 9-5+2,
   list ⇒ list+digit ⇒ list-digit+digit ⇒ digit-digit+digit ⇒ 9-digit+digit
   ⇒ 9-5+digit ⇒ 9-5+2
- right most derivation for 9-5+2, list  $\Rightarrow$  list+digit  $\Rightarrow$  list+2  $\Rightarrow$  list-digit+2  $\Rightarrow$  list-5+2  $\Rightarrow$  digit-5+2  $\Rightarrow$  9-5+2

### parse tree for 9-5+2

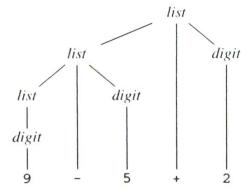


Fig. 2.2. Parse tree for 9-5+2 according to the grammar in Example 2.1.

### **Ambiguity**

- A grammar is said to be ambiguous if the grammar has more than one parse tree for a given string of tokens.
- Example 2.5. Suppose a grammar G that can not distinguish between lists and digits as in Example 2.1.
  - G:  $string \rightarrow string + string | string string | 0|1|2|3|4|5|6|7|8|9$

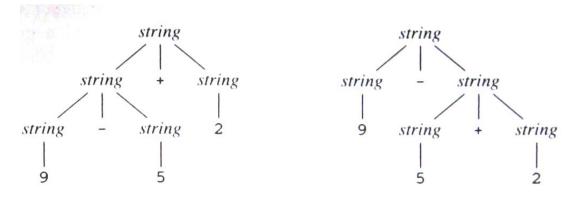


Fig. 2.3. Two parse trees for 9-5+2.

1-5+2 has 2 parse trees => Grammar G is ambiguous.

### Associativity of operator

A operator is said to be left associative if an operand with operators on both sides of it is taken by the operator to its left.

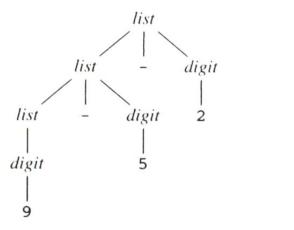
eg) 
$$9+5+2 \equiv (9+5)+2$$
,  $a=b=c \equiv a=(b=c)$ 

• Left Associative Grammar :

$$list \rightarrow list + digit \mid list - digit$$
  
 $digit \rightarrow 0 \mid 1 \mid \cdots \mid 9$ 

Right Associative Grammar :

$$right \rightarrow letter = right \mid letter$$
  
 $letter \rightarrow albl \cdots \mid z$ 



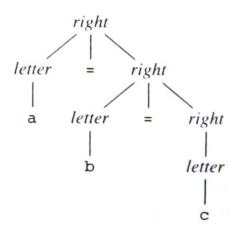


Fig. 2.4. Parse trees for left- and right-associative operators.

### Precedence of operators

We say that a operator(\*) has higher precedence than other operator(+) if the operator(\*) takes operands before other operator(+) does.

- ex.  $9+5*2 \equiv 9+(5*2)$ ,  $9*5+2 \equiv (9*5)+2$
- left associative operators : + , , \* , /
- right associative operators : = , \*\*

### Syntax of full expressions

operator	associative	precedence
+ , -	left	1 low
* , /	left	2 heigh

```
    expr → expr + term | expr - term | term
    term → term * factor | term / factor | factor
    factor → digit | (expr)
    digit → 0 | 1 | ··· | 9
```

Syntax of satements

```
stmt → id = expr;
if ( expr ) stmt;
if ( expr ) stmt else stmt;
l while ( expr ) stmt;
expr → expr + term | expr - term | term
term → term * factor | term / factor | factor
factor → digit | ( expr )
digit → 0 | 1 | ··· | 9
```

#### 2.3 SYNTAX-DIRECTED TRANSLATION(SDT)

A formalism for specifying translations for programming language constructs. (attributes of a construct: type, string, location, etc)

- Syntax directed definition(SDD) for the translation of constructs
- Syntax directed translation scheme(SDTS) for specifying translation

### Postfix notation for an expression E

- If E is a variable or constant, then the postfix nation for E is E itself ( $E.t \equiv E$ ).
- if E is an expression of the form E1 op E2 where op is a binary operator
  - $E_1$ ' is the postfix of  $E_1$ ,
  - .  $E_2$ ' is the postfix of  $E_2$
  - . then  $E_1'$   $E_2'$  op is the postfix for  $E_1$  op  $E_2$
- if E is  $(E_1)$ , and  $E_1$  is a postfix

then E<sub>1</sub>' is the postfix for E

eg) 9 - 5 + 2 
$$\Rightarrow$$
 9 5 - 2 + 9 - (5 + 2)  $\Rightarrow$  9 5 2 + -

### Syntax-Directed Definition(SDD) for translation

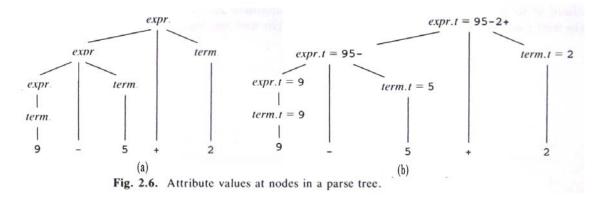
- SDD is a set of semantic rules predefined for each productions respectively for translation.
- A translation is an input-output mapping procedure for translation of an input X,
  - construct a parse tree for X.
  - synthesize attributes over the parse tree.
    - Suppose a node n in parse tree is labeled by X and X.a denotes the value of attribute a
      of X at that node.
    - compute X's attributes X.a using the semantic rules associated with X.

# Example 2.6. SDD for infix to postfix translation

PR	ODU	UCTION		SEMANTIC RULE
		$expr_1 + t$		$expr.t := expr_1.t \parallel term.t \parallel '+'$
expr	$\rightarrow$	$expr_1 - t$	term	$expr.t := expr_{\perp}.t \parallel term.t \parallel '-'$
expr	$\rightarrow$	term		expr.t := term.t
term	$\rightarrow$	0		term.t := '0'
term	$\rightarrow$	1		term.t := '1'
			1	* * *
term	$\rightarrow$	9		term.t := '9'

Fig. 2.5. Syntax-directed definition for infix to postfix translation.

# An example of synthesized attributes for input X=9-5+2



### Syntax-directed Translation Schemes(SDTS)

 A translation scheme is a context-free grammar in which program fragments called translation actions are embedded within the right sides of the production.

productions(postfix)	SDD for postfix to infix notation	SDTS
$list \rightarrow list + term$	// // // // // // // // // // // // //	$list$ → $list$ + $term$ {print("+")}

- {print("+");} : translation(semantic) action.
- SDTS generates an output for each sentence x generated by underlying grammar by executing actions in the order they appear during depth-first traversal of a parse tree for x.
- 1. Design translation schemes(SDTS) for translation
  - 2. Translate: a) parse the input string x andb) emit the action result encountered during the depth-first traversal of parse tree.

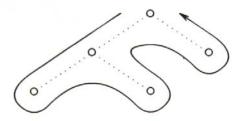


Fig. 2.11. Example of a depth-first traversal of a tree.

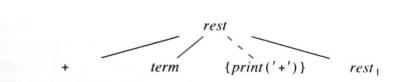


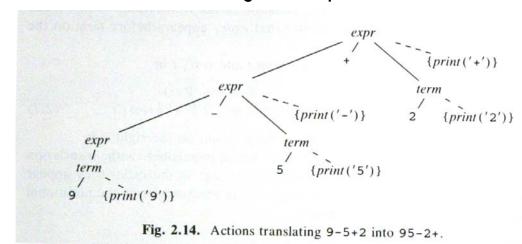
Fig. 2.12. An extra leaf is constructed for a semantic action.

# Example 2.8.

• SDD vs. SDTS for infix to postfix translation.

productions	SDD	SDTS
expr → list + term	expr.t = list.t    term.t    "+"	$expr \rightarrow list + term printf{"+"}}$
expr → list + term	expr.t = list.t    term.t    "-"	$expr \rightarrow list + term printf{"-"}}$
expr → term	expr.t = term.t	<i>expr</i> → <i>term</i>
<i>term</i> → 0	<i>term.t</i> = "0"	$term \rightarrow 0$ printf("0")}
<i>term</i> → 1	<i>term.t</i> = "1"	$term \rightarrow 1$ printf("1")}
•••	•••	•••
term → 9	<i>term.t</i> = "9"	$term \rightarrow 9$ printf("0")}

# Action translating for input 9-5+2



- 1) Parse.
- 2) Translate.

Do we have to maintain the whole parse tree ?

No, Semantic actions are performed during parsing, and we don't need the nodes (whose semantic actions done).

#### 2.4 PARSING

if token string  $x \in L(G)$ , then parse tree else error message

### Top-Down parsing

- 1. At node n labeled with nonterminal A, select one of the productions whose left part is A and construct children of node n with the symbols on the right side of that production.
- 2. Find the next node at which a sub-tree is to be constructed.

```
ex. G: type → simple

| ↑ id

| array [ simple ] of type

simple → integer

| char

| num dotdot num
```

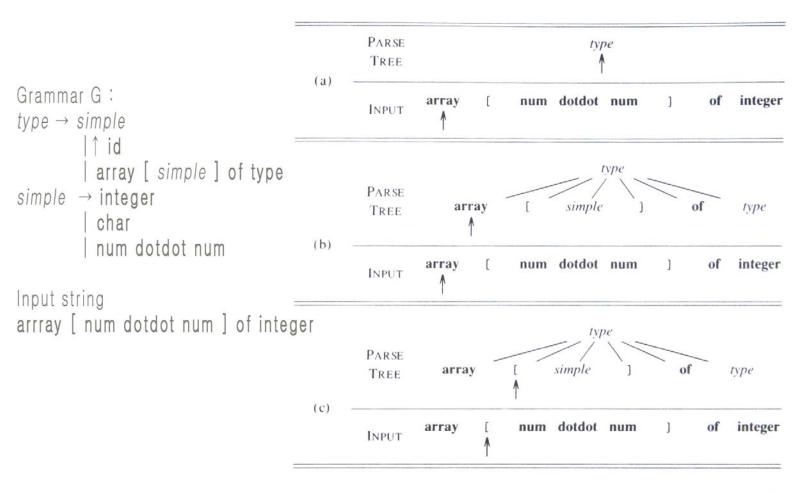


Fig. 2.16. Top-down parsing while scanning the input from left to right.

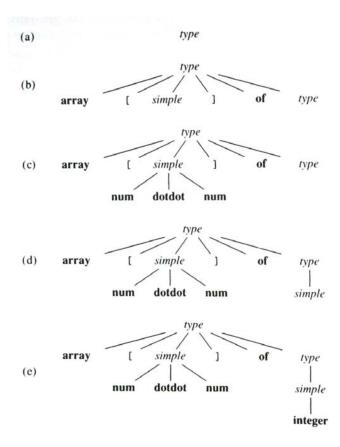


Fig. 2.15. Steps in the top-down construction of a parse tree.

- The selection of production for a nonterminal may involve trial-and-error.
  - => backtracking

G: { S->aSb | c | ab }
 According to topdown parsing procedure, acb , aabb∈L(G)?

```
• S/acb \Rightarrow aSb/acb \Rightarrow aSb/acb \Rightarrow aaSbb/acb \Rightarrow X
(S \rightarrow aSb) move (S \rightarrow aSb) backtracking \Rightarrow aSb/acb \Rightarrow acb/acb \Rightarrow acb/acb \Rightarrow acb/acb
(S \rightarrow c) move move so, acb \in L(G)
Is is finished in 7 steps including one backtracking.
```

```
S/aabb \Rightarrow aSb/aabb \Rightarrow aSb/aabb \Rightarrow aaSbb/aabb \Rightarrow aaSbb/aabb \Rightarrow aaaSbbb/aabb \Rightarrow X
             (S \rightarrow aSb)
                                                  (S \rightarrow aSb)
                                                                                                                      backtrackina
                                move
                                                                                                (S \rightarrow aSb)
                                                                        move
                                                                           \RightarrowaaSbb/aabb\Rightarrowaacbb/aabb\Rightarrow X
                                                                                                                     backtrackina
                                                                                                (S \rightarrow c)
                                                                           \Rightarrow aaSbb/aabb\Rightarrow aaabbb/aabb\Rightarrow X
                                                                                                                     backtracking
                                                                                                (S \rightarrow ab)
                                                                           \RightarrowaaSbb/aabb\Rightarrow X
                                                                                            backtracking
                               \RightarrowaSb/aabb\Rightarrowacb/aabb
                                                                  bactracking
                               \RightarrowaSb/aabb\Rightarrowaabb/aabb\Rightarrowaabb/aabb\Rightarrowaabb/aabb
                                               (S \rightarrow ab)
                                                                      move
                                                                                          move
                                                                                                                  move
  so, aabb∈L(G)
```

but process is too difficult. It needs 18 steps including 5 backtrackings.

- procedure of top-down parsing let a pointed grammar symbol and pointed input symbol be g, a respectively.
  - if(  $g \in N$  ) select and expand a production whose left part equals to g next to current production.

```
else if( g = a ) then make g and a be a symbol next to current symbol. else if( g \neq a ) back tracking
```

- let the pointed input symbol **a** be the symbol that moves back to steps same with the number of current symbols of underlying production
- eliminate the right side symbols of current production and let the pointed symbol **g** be the left side symbol of current production.

### Predictive parsing (Recursive Decent Parsing, RDP)

• A strategy for the general top-down parsing Guess a production, see if it matches, if not, backtrack and try another.

 $\Rightarrow$ 

It may fail to recognize correct string in some grammar G and is tedious in processing.

 $\Rightarrow$ 

- Predictive parsing
  - is a kind of top-down parsing that predicts a production whose derived terminal symbol is equal to next input symbol while expanding in top-down paring.
  - without backtracking.
  - Procedure decent parser is a kind of predictive parser that is implemented by disjoint recursive procedures one procedure for each nonterminal, the procedures are patterned after the productions.
- procedure of predictive parsing(RDP)
   let a pointed grammar symbol and pointed input symbol be g, a respectively.
  - if  $(g \in N)$ 
    - select next production P whose left symbol equals to **g** and a set of first terminal symbols of derivation from the right symbols of the production P includes a input symbol **a**.
    - expand derivation with that production P.
  - else if( g = a ) then make g and a be a symbol next to current symbol.
  - else if(  $g \neq a$  ) error

- G : {  $S \rightarrow aSb \mid c \mid ab$  } => G1 : {  $S \rightarrow aS' \mid c \mid S' \rightarrow Sb \mid ab$  } According to predictive parsing procedure, acb , aabb  $\in L(G)$ ?
  - S/acb $\Rightarrow$  confused in { S $\rightarrow$ aSb, S $\rightarrow$ ab }
  - so, a predictive parser requires some restriction in grammar, that is, there should be only one production whose left part of productions are A and each first terminal symbol of those productions have unique terminal symbol.
- Requirements for a grammar to be suitable for RDP: For each nonterminal either
  - 1. A  $\rightarrow$  B $\alpha$ , or
  - 2.  $A \rightarrow a_1 \alpha_1 \mid a_2 \alpha_2 \mid \cdots \mid a_n \alpha_n$ 
    - ① for  $1 \le i$ ,  $j \le n$  and  $i \ne j$ , at  $\ne aj$
    - ② A  $\epsilon$  may also occur if none of ai can follow A in a derivation and if we have  $A\rightarrow\epsilon$
- If the grammar is suitable, we can parse efficiently without backtrack.

General top-down parser with backtracking

Recursive Descent Parser without backtracking

Picture Parsing ( a kind of predictive parsing ) without backtracking

### Left Factoring

• If a grammar contains two productions of form

$$S \rightarrow a \alpha$$
 and  $S \rightarrow a \beta$ 

it is not suitable for top down parsing without backtracking. Troubles of this form can sometimes be removed from the grammar by a technique called the left factoring.

- In the left factoring, we replace  $\{ S \rightarrow a \alpha, S \rightarrow a \beta \}$  by  $\{ S \rightarrow aS', S' \rightarrow \alpha, S' \rightarrow \beta \}$  cf.  $S \rightarrow a(\alpha | \beta)$  (Hopefully  $\alpha$  and  $\beta$  start with different symbols)
- left factoring for G {  $S \rightarrow aSb \mid c \mid ab$  }  $S \rightarrow aS' \mid c$  cf.  $S(=aSb \mid ab \mid c = a \mid Sb \mid b) \mid c$  )  $\rightarrow$  a  $S' \mid c$   $S' \rightarrow Sb \mid b$
- A concrete example:

is transformed into

$$\langle stmt \rangle \rightarrow IF \langle boolean \rangle THEN \langle stmt \rangle S'$$
  
S'  $\rightarrow$  ELSE  $\langle stmt \rangle \mid \varepsilon$ 

Example,

```
    for G1 : { S→aSb | c | ab }
    According to predictive parsing procedure, acb , aabb∈L(G)?
```

- S/aabb  $\Rightarrow$  unable to choose { S $\rightarrow$ aSb, S $\rightarrow$ ab ?}
- According for the feft factored gtrammar G1, acb , aabb∈L(G)?
   G1 : { S→aS'Ic S'→SbIb} <= {S=a(SbIb) | c }</li>
- $S/acb \Rightarrow \underline{a}S'/\underline{a}cb \Rightarrow aS'/acb \Rightarrow aSb/acb \Rightarrow acb/acb \Rightarrow acb/acb$

It needs only 6 steps whithout any backtracking.

- cf. General top-down parsing needs 7 steps and I backtracking.

but, process is finished in 8 steps without any backtracking.

cf. General top-down parsing needs 18 steps including 5 backtrackings.

#### Left Recursion

- A grammar is left recursive iff it contains a nonterminal A, such that  $A \Rightarrow^+ A \alpha$ , where is any string.
  - Grammar  $\{S \rightarrow S \alpha \mid c\}$  is left recursive because of  $S \Rightarrow S \alpha$
  - Grammar  $\{S \rightarrow A \alpha, A \rightarrow Sb \mid c\}$  is also left recursive because of  $S \Rightarrow A \alpha \Rightarrow Sb \alpha$
- If a grammar is left recursive, you cannot build a predictive top down parser for it.
  - ① If a parser is trying to match S & S $\rightarrow$ S $\alpha$  , it has no idea how many times S must be applied
  - ② Given a left recursive grammar, it is always possible to find another grammar that generates the same language and is not left recursive.
  - 3 The resulting grammar might or might not be suitable for RDP.
- \* After this, if we need left factoring, it is not suitable for RDP.
- \* Right recursion: Special care/Harder than left recursion/SDT can handle.

# **Eliminating Left Recursion**

Let G be  $S \rightarrow S A I A$ 

Note that a top-down parser cannot parse the grammar G, regardless of the order the productions are tried.

- $\Rightarrow$  The productions generate strings of form AA···A
- $\Rightarrow$  They can be replaced by S $\rightarrow$ A S' and S' $\rightarrow$ A S'I  $\varepsilon$

# Example:

• 
$$A \rightarrow A \alpha \mid \beta$$
  
=>  
 $A \rightarrow \beta R$   
 $R \rightarrow \alpha R \mid \epsilon$ 

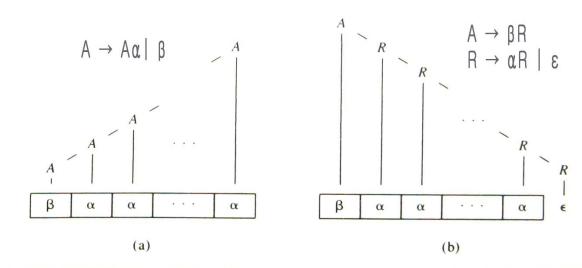


Fig. 2.18. Left- and right-recursive ways of generating a string.

- In general, the rule is that
  - If  $A \rightarrow A \alpha_1 \mid A \alpha_2 \mid \cdots \mid A \alpha_n$  and  $A \rightarrow \beta_1 \mid \beta_2 \mid \cdots \mid \beta_m$  (no  $\beta_i$ 's start with A), then, replace by

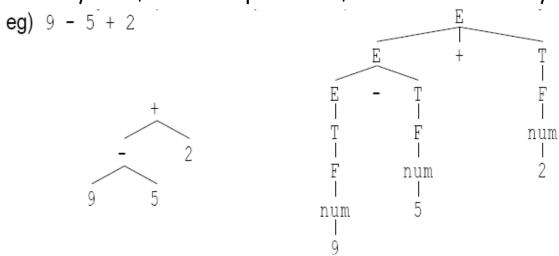
$$A \rightarrow \beta_1 R \mid \beta_2 R \mid \cdots \mid \beta_m R$$
 and  $Z \rightarrow \alpha_1 R \mid \alpha_2 R \mid \cdots \mid \alpha_n R \mid \varepsilon$ 

Exercise: Remove the left recursion in the following grammar:

$$expr \rightarrow expr + term \mid expr - term$$
 $expr \rightarrow term$ 
solution:
 $expr \rightarrow term rest$ 
 $rest \rightarrow + term rest \mid - term rest \mid \varepsilon$ 

#### 2.5 A TRANSLATOR FOR SIMPLE EXPRESSIONS

- Convert infix into postfix(polish notation) using SDT.
- Abstract syntax (annotated parse tree) tree vs. Concrete syntax tree



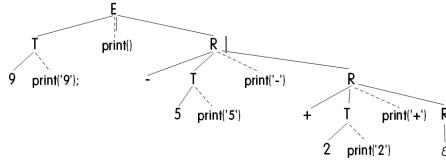
- Concrete syntax tree : parse tree Fig 2.2. p28.
- Abstract syntax tree, syntax tree : Fig 2.20.
- Concrete syntax : underlying grammar

### Adapting the Translation Scheme

- Embed the semantic action in the production
- Design a translation scheme
- Left recursion elimination and Left factoring
- Example
  - ③ Design a translate scheme and eliminate left recursion

$$\begin{array}{|c|c|c|c|c|c|} E \to E + T & \{'+'\} & E \to T & \{\} & R \\ E \to E - T & \{'-'\} & R \to -T & \{'+'\} & R \\ E \to T & \{\} & R \to \varepsilon \\ T \to & 0 & \{'0'\} & |\cdots|9 & \{'9'\} & T \to & 0 & \{'0'\} & |\cdots|9 & \{'9'\} & 1 \\ \end{array}$$

4 Translate of a input string 9-5+2: parsing and SDT



result: 95 - 2 +

# Example of translator design and execution

• A translation scheme and with left-recursion.

Fig 2.19. Initial specification for infix-to-postfix translator	with left recursion eliminated
$expr \rightarrow expr + term \{printf\{"+")\}$ $expr \rightarrow expr - term \{printf\{"-")\}$ $expr \rightarrow term$ $term \rightarrow 0 \qquad \{printf\{"0")\}$ $term \rightarrow 1 \qquad \{printf\{"1")\}$	$expr \rightarrow term \ rest$ $rest \rightarrow + \ term \ \{printf\{"+")\} \ rest$ $rest \rightarrow - \ term \ \{printf\{"-")\} \ rest$ $rest \rightarrow \varepsilon$ $term \rightarrow 0 \qquad \{printf\{"0")\}$ $term \rightarrow 1 \qquad \{printf\{"1")\}$
$term \rightarrow 9$ {printf{"0")}	$term \rightarrow 9$ {printf{"0")}

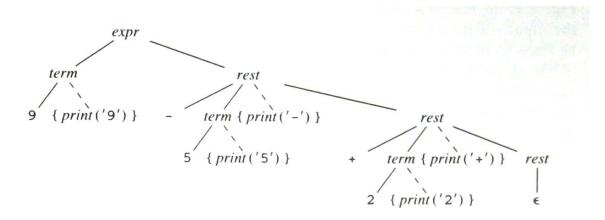


Fig. 2.21. Translation of 9-5+2 into 95-2+.

# Procedure for the Nonterminal *expr., term.,* and *rest*

```
//<expr → term rest>
      term(); rest();
rest() //<rest \rightarrow + term printf{"+")} rest | |- term printf{"-")} rest | \epsilon
      if (lookahead == '+') {
           match('+'); term(); putchar('+'); rest();
      else if (lookahead == '-') {
           match('-'); term(); putchar('-'); rest();
      else ;
term() //< term \rightarrow 0 printf("0")} ... term \rightarrow 9 printf("9")} >
      if (isdigit(lookahead)) {
           putchar(lookahead); match(lookahead);
      else error();
```

Fig. 2.22. Functions for the nonterminals expr, rest, and term.

```
match(t:tkoen)
{
  if(lookhead = t)
      lookahead lexan();
  else error();
}
```

### Optimizer and Translator

```
1. expr() {
2. term(); rest();
3. }
4. rest()
                                           rest()
5. {
      if(lookahead == '+' ) {
                                              L: if(lookahead == '+' ) {
          m('+'); term(); p('+'); rest();
7.
                                                  m('+'); term(); p('+'); goto L;
     } else if(lookahead == '-' ) {
                                                 } else if(lookahead == '-' ) {
          m('-'); term(); p('-'); rest();
                                                   m('-'); term(); p('-'); goto L;
9.
       } else ;
                                                 } else ;
11. }
12. expr() {
13.
       term();
14.
       while(1) {
15.
              if(lookahead == '+' ) {
           m('+'); term(); p('+');
16.
       } else if(lookahead == '-' ) {
17.
18.
           m('-'); term(); p('-');
       } else break;
19.
20. }
```

#### 2.6 LEXICAL ANALYSIS

- reads and converts the input into a stream of tokens to be analyzed by parser.
- lexeme : a sequence of characters which comprises a single token.
- Lexical Analyzer  $\rightarrow$ Lexeme / Token  $\rightarrow$  Parser

#### Removal of White Space and Comments

Remove white space(blank, tab, new line etc.) and comments

#### **Contsants**

- Constants: For a while, consider only integers
- eg) for input 31 + 28, output(token representation)?

```
input : 31 + 28
output: <num, 31> <+, > <num, 28>
num + :token
```

31 28 : attribute, value(or lexeme) of integer token num

# Recognizing

#### Identifiers

- Identifiers are names of variables, arrays, functions...
- A grammar treats an identifier as a token.
- eg) input : count = count + increment;
   output : (id,1) (=, ) (id,1) (+, ) (id, 2);
   Symbol table

7		
	tokens	attributes(lexeme)
0		
1	id	count
2	id	increment
3		

- Keywords are reserved, i.e., they cannot be used as identifiers.

  Then a character string forms an identifier only if it is no a keyword.
- punctuation symbols
  - operators : + \* / := < > ···

## Interface to lexical analyzer

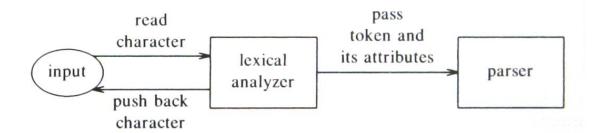


Fig. 2.25. Inserting a lexical analyzer between the input and the parser.

## A Lexical Analyzer

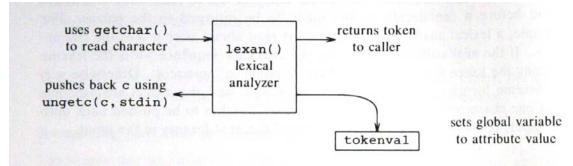


Fig. 2.26. Implementing the interactions in Fig. 2.25.

- c=getchcar(); ungetc(c,stdin);
- token representation
  - #define NUM 256
- Function lexan()
   eg) input string 76 + a
   input , output(returned value)
   76 NUM, tokenval=76 (integer)
   + +
   a id , tokeval="a"

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• A way that parser handles the token NUM returned by laxan() Compiler, 2008 Fall, IISPL HNU, Yoon-Joong Kim

```
consider a translation scheme
 factor \rightarrow ( expr )
         I num { print(num.value) }
#define NUM 256
 factor() {
         if(lookahead == '(')) {
              match('('); exor(); match(')');
         } else if (lookahead == NUM) {
              printf(" %f ",tokenval); match(NUM);
         } else error();
```

• The implementation of function lexan

```
1) #include <stdio.h>
2) #include <ctype.h>
3) int lino = 1;
4) int tokenval = NONE;
   int lexan() {
6)
         int t;
7)
         while(1) {
8)
                t = getchar();
                if (t==' ' | | t==' \setminus t' );
10)
                else if (t=='\n') lineno +=1;
11)
                else if (isdigit(t)) {
12)
                        tokenval = t - 0':
13)
                        t = getchar();
14)
                        while ( isdigit(t)) {
                               tokenval = tokenval*10 + t - '0';
15)
16)
                               t =getchar();
17)
18)
                        ungetc(t,stdin);
19)
                        retunr NUM;
20)
                } else {
21)
                        tokenval = NONE;
22)
                        return t;
23)
24)
25) }
```

#### 2.7 INCORPORATION A SYMBOL TABLE

- The symbol table interface, operation, usually called by parser.
  - insert(s,t): input s: lexeme

t: token

output index of new entry

lookup(s): input s: lexeme

output index of the entry for string s,

or 0 if s is not found in the symbol table.

- Handling reserved keywords
  - 1. Inserts all keywords in the symbol table in advance.

ex) insert("div", div)

insert("mod", mod)

- 2. while parsing
- whenever an identifier s is encountered.

if (lookup(s)'s token in {keywords} ) s is for a keyword; else s is for a identifier:

### example

- preset insert("div",div); insert("mod",mod);
- while parsing
  lookup("count")=>0 insert("count",id);
  lookup("i") =>0 insert("i",id);
  lookup("i") =>4, id
  llokup("div")=>1,div

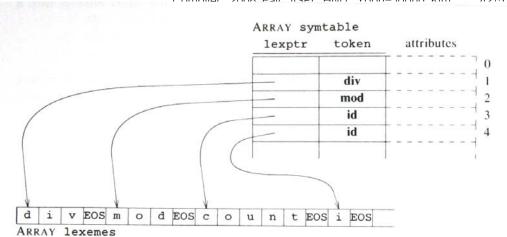


Fig. 2.29. Symbol table and array for storing strings.

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#### 2.8 ABSTRACT STACK MACHINE

- An abstract machine is for intermediate code generation/execution.
- Instruction classes: arithmetic / stack manipulation / control flow
- 3 components of abstract stack machine
  - ① Instruction memory : abstract machine code, intermediate code(instruction)
  - ② Stack
  - 3 Data memory
- An example of stack machine operation.
  - for a input (5+a)\*b, intermediate codes : push 5 rvalue 2 ....

instruction memory		
push 5		
rvalue 2		
+		
rvalue 3		
*		

1	0	
0	4.4	_

Data memory

l	U	
2	11	а
3	7	b

	Stack			
1	5	2	11	3
			5	

6	4	7
		16

5	112

#### L-value and r-value

- I-values a : address of location a
- r-values a : if a is location, then content of location a
   if a is constant, then value a
- eg) a := 5 + b;  $|value \ a \Rightarrow 2 \quad r \quad value \ 5 \Rightarrow 5 \quad r \quad value \ of \ b \Rightarrow 7$

## Stack Manipulation

- Some instructions for assignment operation
  - push v : push v onto the stack.
  - rvalue a : push the contents of data location a.
  - Ivalue a : push the address of data location a.
  - pop : throw away the top element of the stack.
  - := : assignment for the top 2 elements of the stack.
  - copy : push a copy of the top element of the stack.

### **Translation of Expressions**

Infix expression(IE) → SDD/SDTS → Abstact macine codes(ASC) of postfix expression for stack machine evaluation.
 eg)

```
IE: a + b, (⇒PE: a b + ) ⇒ IC: rvalue a rvalue b +
day := (1461 * y) div 4 + (153 * m + 2) div 5 + d (⇒ day 1462 y * 4 div 153 m * 2 + 5 div + d + :=) ⇒ 1) lvalue day 6) div 11) push 5 16) := 2) push 1461 7) push 153 12) div 3) rvalue y 8) rvalue m 13) + 4) * 9) push 2 14) rvalue d 5) push 4 10) + 15) +
```

• A translation scheme for assignment-statement into abstract astack machine code e can be expressed formally in the form as follows:

```
stmt \rightarrow id := expr { stmt.t := 'lvalue' || id.lexeme || expr.t || ':=' } eg) day :=a+b <math>\Rightarrow |value day rvalue a rvalue b + :=
```

#### **Control Flow**

- 3 types of jump instructions :
  - 1. Absolute target location
  - 2. Relative target location( distance :Current ↔ Target)
  - 3. Symbolic target location(*i.e.* the machine supports labels)
- Control-flow instructions:
  - label a: the jump's target a
  - goto a: the next instruction is taken from statement labeled a
  - gofalse a: pop the top & if it is 0 then jump to a
  - gotrue a: pop the top & if it is nonzero then jump to a
  - halt : stop execution

#### Translation of Statements

Translation scheme for translation if-statement into abstract machine code.

```
stmt \rightarrow if \ expr \ then \ stmt_{i}
\{ out := newlabel^{ij} \}
stmt_{i} := expr_{i}t \parallel 'gofalse' \ out \parallel stmt_{i}t \parallel 'label' \ out \}
```

IF WHILE

label test

code for exprgofalse out

code for  $stmt_1$ label out

WHILE

label test

code for exprgofalse out

code for  $stmt_1$ label out

label out

Fig. 2.33. Code layout for conditional and while statements.

Translation scheme for while-statement ?

<sup>1)</sup> a procedure generates a unique label(eg. zzzzz001, zzzzz002, etc) whenever it is called!

### **Emitting a Translation**

• Semantic Action(Tranaslation Scheme):

```
(1) stmt \rightarrow if
               expr { out := newlabel; emit('gofalse', out) }
               then
               stmt<sub>1</sub> { emit('label', out) }
② stmt \rightarrow id \{ emit('lvalue', id.lexeme) \}
              expr { emit(':=') }
③ stmt \rightarrow i
               expr { out := newlabel; emit('gofalse', out) }
               then
               stmt<sub>1</sub> { emit('label', out) ; out1 := newlabel; emit('goto', out 1); }
               else
               stmt<sub>2</sub> { emit('label', out1) ; }
           if(expr==false) goto out
                    goto out1
           stmt1
    out: stmt2
    out1:
```

#### implementation

```
procedure stmt()
var test, out: integer;
begin
      if lookahead = id then begin
          emit('lvalue', tokenval); match(id); match(':='); exprt(':=');
      end
      else if lookahead = 'if' then begin
          match('if');
          expr();
          out := newlabel();
          emit('gofalse', out);
          match('then');
          stmt,
          emit('label', out)
       end
       else error();
end
```

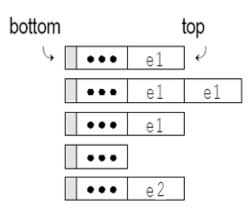
## **Control Flow with Analysis**

- if E1 or E2 then S vs if E1 and E2 then S

  E1 or E2 = if E1 then true else E2

  E1 and E2 = if E1 then E2 else false
  - The code for E1 or E2.
    - Codes for E1 Evaluation result: e1
    - copy
    - gotrue OUT
    - pop
    - Codes for E2 Evaluation result: e2
    - label OUT

•



- The full code for if E1 or E2 then S;
  - codes for E1
  - copy
  - gotrue OUT1
  - pop
  - codes for E2
  - label OUT1
  - gofalse OUT2
  - code for S
  - label OUT2
- Exercise: How about if E1 and E2 then S;
  - if E1 and E2 then S1 else S2;

•

#### 2.9 Putting the techniques together!

• infix expression ⇒ postfix expression
 eg) id+(id-id)\*num/id ⇒ id id id - num \* id / +

### **Description of the Translator**

 Syntax directed translation scheme (SDTS) to translate the infix expressions into the postfix expressions, Fig 2.35

```
start → list eof

list → expr ; list

| ∈

expr → expr + term { print('+') }

| expr - term { print('-') }

| term

term → term * factor { print('*') }

| term / factor { print('/') }

| term div factor { print('DIV') }

| term mod factor { print('DIV') }

| factor

factor → ( expr )

| id { print(id.lexeme) }

| num { print(num.value) }
```

Fig. 2.35. Specification for infix-to-postfix translator.

## • Structure of the translator, Fig 2.36

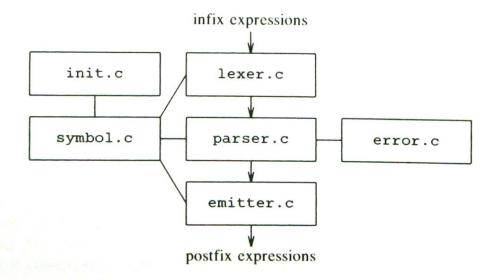


Fig. 2.36. Modules of infix-to-postfix translator.

o global header file "header.h"

## The Lexical Analysis Module lexer.c

## o Description of tokens

## + - \* / DIV MOD ( ) ID NUM DONE

LEXEME	Token	ATTRIBUTE VALUE
white space		The state of the s
sequence of digits	NUM	numeric value of sequence
div	DIV	The same and the same
mod	MOD	
other sequences of a letter		
then letters and digits	ID	index into symtable
end-of-file character	DONE	CHEST STORES TENED
any other character	that character	NONE

Fig. 2.37. Description of tokens.

#### The Parser Module parser.c

```
SDTS Fig 2.35 \psi \leftarrow \text{left recursion elimination} New SDTS Fig 2.38
```

```
start → list eof
                                                          start → list eof
  list → expr ; list
                                                           list → expr ; list
                        \{ print('+') \}
 expr \rightarrow expr + term
                                                          expr → term moreexpr
       | expr - term \{ print('-') \}
                                                      moreexpr → + term { print('+') } moreexpr
                                                                 - term { print('-') } moreexpr
       term
                                                          term → factor moreterm
 term \rightarrow term * factor { print('*') }
                                                       moreterm → * factor { print('*') } moreterm
         term / factor { print('/') }
                                                                  / factor { print('/') } moreterm
         term div factor { print('DIV') }
                                                                   div factor { print('DIV') } moreterm
         term mod factor { print('MOD') }
                                                                   mod factor { print('MOD') } moreterm
         factor
factor \rightarrow (expr)
                                                        factor \rightarrow (expr)
                          { print(id.lexeme) }
                                                                                      { print(id.lexeme) }
                          { print(num.value) }
                                                                  num
                                                                                      { print(num.value) }
```

Fig. 2.35. Specification for infix-to-postfix translator Fig. 2.38 Syntax directed ranslation sceme after eliminating left-recursion

```
start → list eof
                                                            start → list eof
  list → expr ; list
                                                             list → expr ; list
                              { print('+') }
 expr \rightarrow expr + term
                                                            expr → term moreterm
                                                       moreterm \rightarrow + term \{ print('+') \} '+') \} moreterm
                              { print('-') }
       expr - term
                                                                    - term { print('-') } '-') } moreterm
        term
                                                            term → factor morefactor
 term → term * factor
                              { print('*') }
                                                       morefactor → * factor { print('*') } morefactor
                              { print('/') }
         term / factor
                                                                                 { print('/') } morefactor
                                                                     / factor
         term div factor
                              { print('DIV') }
                                                                    div factor { print('DIV') } morefactor
         term mod factor
                              { print('MOD') }
                                                                    mod factor { print('MOD') } morefactor
         factor
factor \rightarrow (expr)
                                                         factor \rightarrow (expr)
                              { print(id.lexeme) }
                                                                                        { print(id.lexeme) }
                              { print(num.value) }
                                                                                        { print(num.value) }
                                                                    num
```

Fig. 2.35. Specification for infix-to-postfix translator Fig. 2.38 Syntax directed ranslation sceme after eliminating left-recursion

#### The Emitter Module emitter.c

emit (t,tval)

# The Symbol-Table Modules symbol.c and init.c

Symbol.c

```
Compiler, 2008 Fall, IISPL HNU, Yoon-Joong Kim
```

```
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```

```
data structure of symbol table Fig 2.29 p62 insert(s,t) lookup(s)

The Error Module error.c

Example of execution input 12 div 5 + 2 output 12

5 div
2 +
```