

CSE 221: Algorithms

Greedy algorithms

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References

- 1 Jon Kleinberg and Éva Tardos, *Algorithm Design*. Pearson Education, 2006.
- 2 Michael T. Goodrich and Roberto Tamassia, *Data Structures and Algorithms in Java, Fourth Edition*. John Wiley & Sons, 2006.
- 3 T. H. Cormen, C. E. Leiserson, R. L. Rivest, and C. Stein, *Introduction to Algorithms, Second Edition*. The MIT Press, September 2001.

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 - Introduction
 - Interval scheduling problem
 - Scheduling all Intervals problem
 - Fractional knapsack problem
 - Coin changing problem
 - What problems can be solved by greedy approach?
 - Conclusion

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Greedy design strategy

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Basic idea

- At each step of the solution, pick the best choice given the information currently available (i.e., *greedily*).

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- At each step of the solution, pick the best choice given the information currently available (i.e., *greedily*).
- Often leads to very efficient solutions to optimization problems.

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Basic idea

- At each step of the solution, pick the best choice given the information currently available (i.e., *greedily*).
- Often leads to very efficient solutions to optimization problems.
- However, not all problems have greedy solutions.

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1 Greedy algorithms

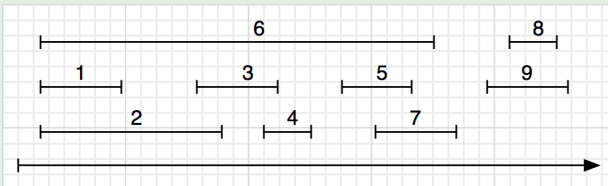
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Designing a greedy algorithm

Definition (Interval scheduling problem)

Given a set of schedules $I = \{I_i\}$, find the **largest** set $A \subseteq I$ such that the members of A are **non-conflicting**.

Example

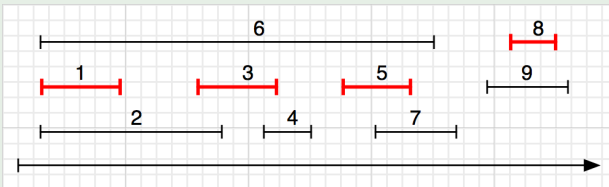


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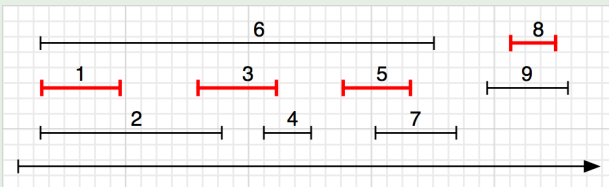
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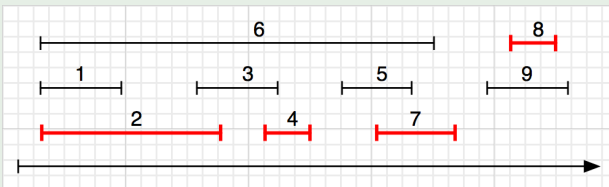
Is this the only “correct” answer?

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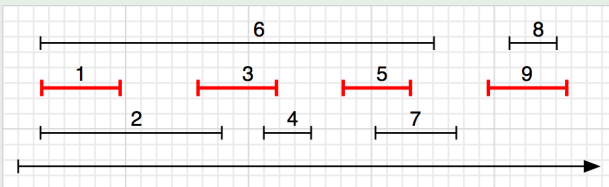
How about $\{2, 4, 7, 8\}$?

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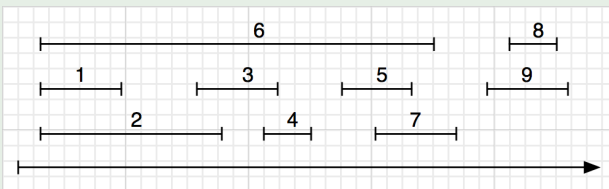
How about $\{2, 4, 7, 8\}$? $\{1, 3, 5, 9\}$?

Designing a greedy algorithm

Definition (Interval scheduling problem)

Given a set of schedules $I = \{I_i\}$, find $A \subseteq I$ such that the members of A are **non-conflicting** and $|A|$ is **maximized**.

Example



$A = \{1, 3, 5, 8\}$, $|A| = 4$.

Question

$\{1, 3, 5, 8\}$? $\{2, 4, 7, 8\}$? $\{1, 3, 5, 9\}$? ... **How many?**

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- 1 Enumerate all possible *configurations* (i.e., all possible subsets of the intervals).
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Complexity

- There are $2^n - 1$ non-empty subsets, one or more of which may be a feasible solution.

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Complexity

- There are $2^n - 1$ non-empty subsets, one or more of which may be a feasible solution.
- Each feasible solution must be scanned for conflict, which takes $O(n)$ time.
- The algorithm runs in $\Theta(n2^n)$ time \Rightarrow an **exponential time** algorithm!

Designing a greedy algorithm (continued)

Basic steps

To compute the maximal set of intervals that can be scheduled, the basic idea is to:

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To compute the maximal set of intervals that can be scheduled, the basic idea is to:

- 1 Use a “simple” rule (or strategy) to select the first interval i_1 to be accepted.
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- 4 And so on until there are no more requests remain.

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- 4 And so on until there are no more requests remain.

Key challenge

How to choose the “simple” rule to select the next interval that leads to an optimal solution?

Designing a greedy algorithm (continued)

Strategy 1. *Earliest First*

The idea is to start using the resource as early as possible.

- 1 Sort the intervals by starting time, breaking ties arbitrarily.
- 2 Pick the first one, removing it from the list along with all the intervals that conflict with it.
- 3 Repeat Step 2, until the list is empty.

Example



$|A| = ???$.

Designing a greedy algorithm (continued)

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Example (using *Earliest First* strategy)



$$|A| = 1.$$

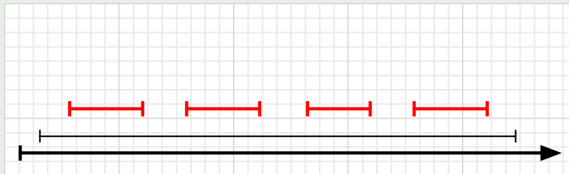
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Example (using an optimal strategy)



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Designing a greedy algorithm (continued)

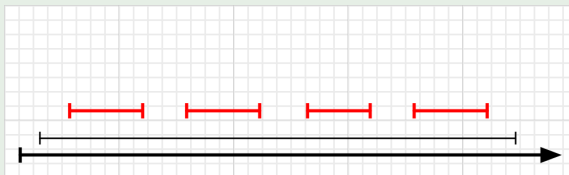
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- 3 Repeat Step 2, until the list is empty.

This strategy does not lead to an optimal solution.

Example (using an optimal strategy)



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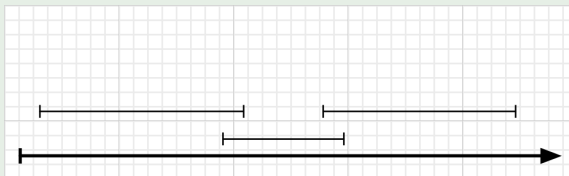
Designing a greedy algorithm (continued)

Strategy 2. *Shortest First*

The *Earliest First* strategy failed perhaps because it missed the shorter intervals, which would accommodate more intervals.

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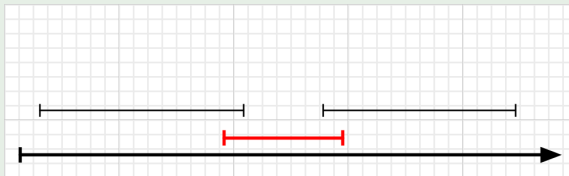
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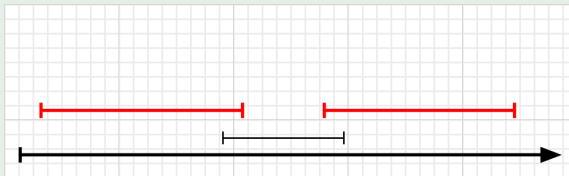
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Example (using an optimal strategy)



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Designing a greedy algorithm (continued)

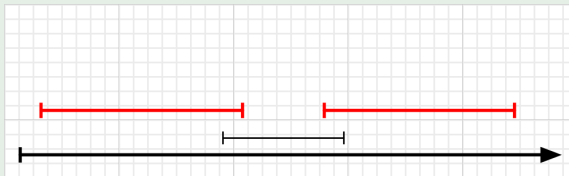
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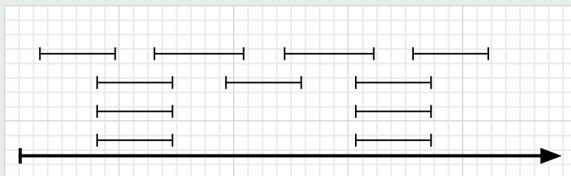
Designing a greedy algorithm (continued)

Strategy 3. *Least-conflict First*

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Example



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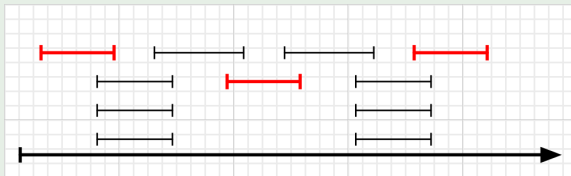
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Example (using *Shortest First* strategy)



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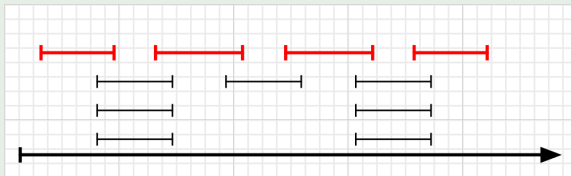
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Designing a greedy algorithm (continued)

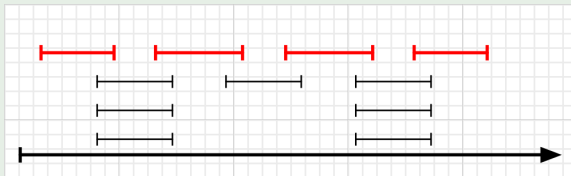
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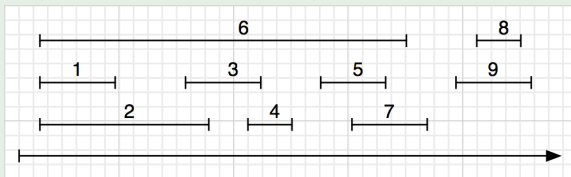
Designing a greedy algorithm (continued)

Strategy 4. *Finish First*

The idea is to free up the resource as early as possible.

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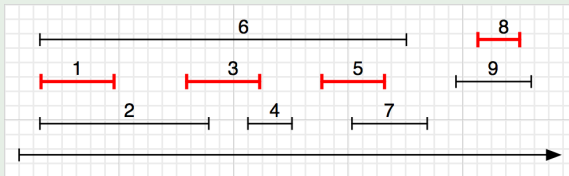
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Example (using optimal *Finish First* strategy)



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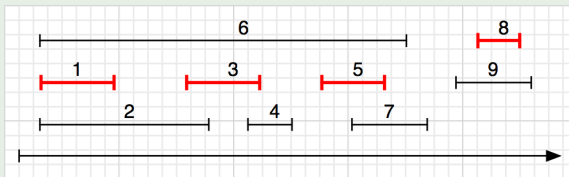
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Example (using optimal *Finish First* strategy)



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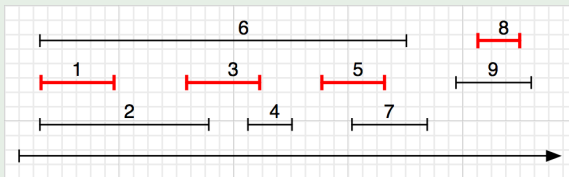
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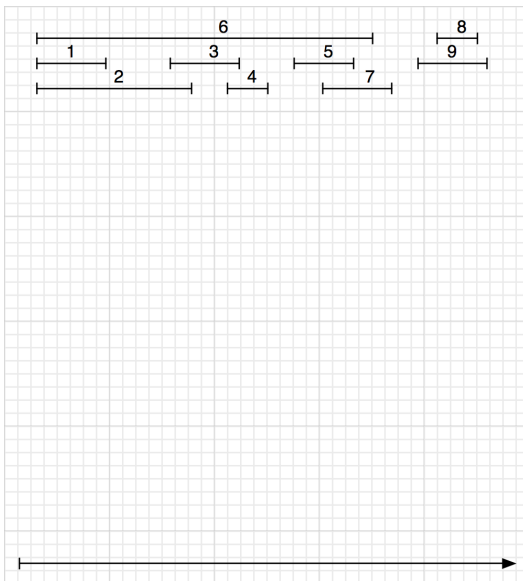
This strategy is the one that works. But can you prove that it works?

Example (using optimal *Finish First* strategy)

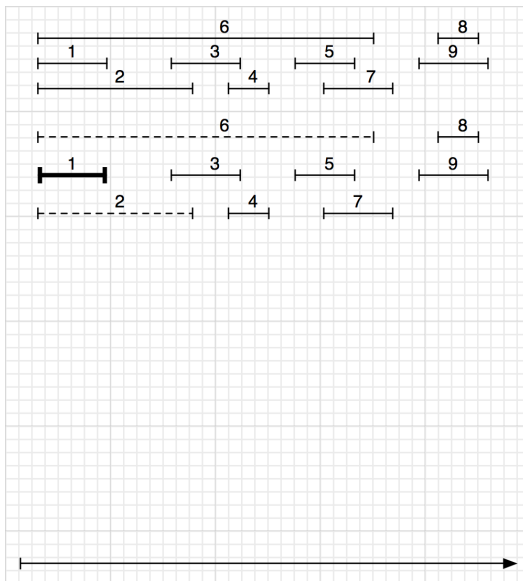


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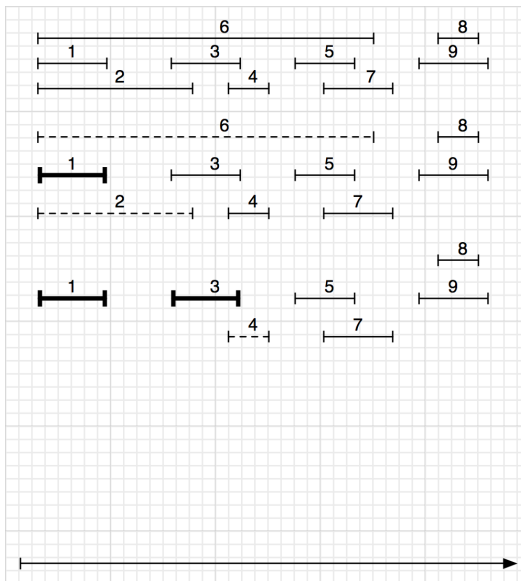
Interval scheduling in action



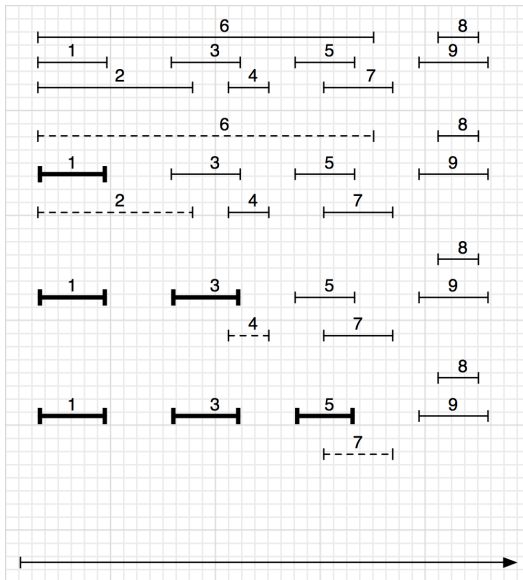
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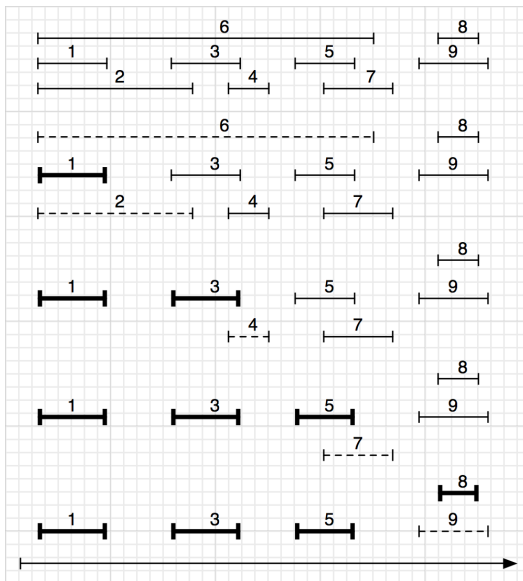
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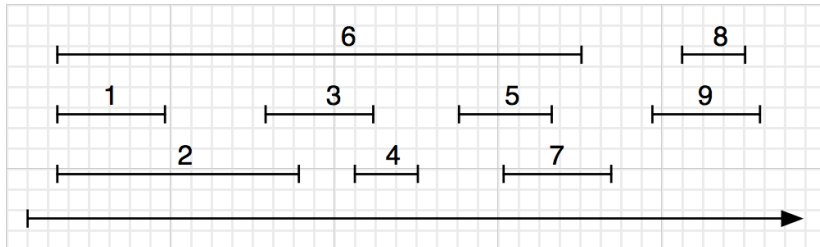
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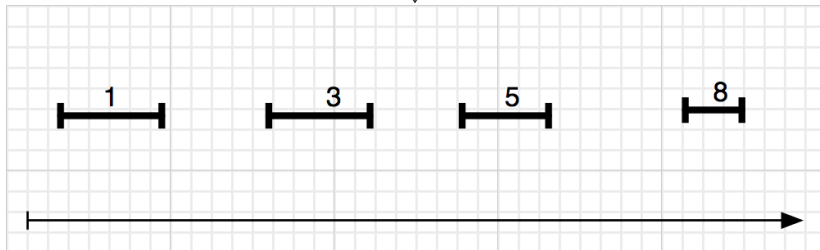
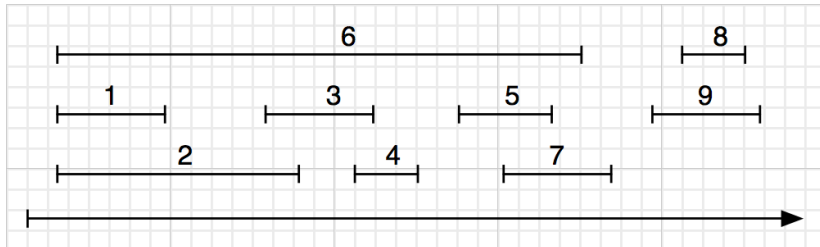
Interval scheduling in action



Interval scheduling in action (continued)



Interval scheduling in action (continued)



An $O(n \lg n)$ greedy algorithm for interval scheduling

SCHEDULE-INTERVALS(I) $\triangleright I = \{I_i\}, I_i = (s_i, f_i)$

- 1 $R =$ Sorted requests in order of finishing times such that $f_i \leq f_j$ when $i < j$.
- 2 Create an array $S[1..n]$ with starting times such that $S[i]$ contains s_i .
- 3 $A = \{R_1\}$ \triangleright select first interval from sorted list
- 4 $f = f_1$
- 5 **while** there are more intervals in S to look at
- 6 **do** $j =$ first interval for which $s_j \geq f$
- 7 $A \leftarrow A \cup \{j\}$
- 8 $f \leftarrow f_j$
- 9 **return** A

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- The sorting step in takes $O(n \lg n)$ time.

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## Analysis

- The sorting step in takes  $O(n \lg n)$  time.
- Creating the starting time array  $S[1..n]$  takes  $O(n)$  time.

## An $O(n \lg n)$ greedy algorithm for interval scheduling

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8           $f \leftarrow f_j$ 
9  return  $A$ 

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Analysis

- The sorting step in takes $O(n \lg n)$ time.
- Creating the starting time array $S[1..n]$ takes $O(n)$ time.
- The single pass through the array S takes $O(n)$ time

An $O(n \lg n)$ greedy algorithm for interval scheduling

$$\text{SCHEDULE-INTERVALS}(I) \quad \triangleright \quad I = \{l_i\}, l_i = (s_i, f_i)$$

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```

## Analysis

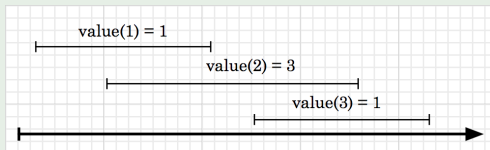
- The sorting step in takes  $O(n \lg n)$  time.
- Creating the starting time array  $S[1 \dots n]$  takes  $O(n)$  time.
- The single pass through the array  $S$  takes  $O(n)$  time
- An  $O(n \lg n)$  time algorithm for a problem with a natural search space of  $O(n2^n)$ .

# Extension: weighted interval scheduling problem

## Definition (Weighted interval scheduling problem)

Given a set of schedules  $I = \{I_i\}$ , with associated weights  $W = \{w_i\}$ , find  $A \subseteq I$  such that the members of  $A$  are **non-conflicting** and the total weight  $\sum_{i \in A} w_i$  is **maximized**.

## Example



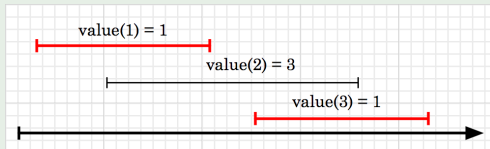
$$|A| = ???, \sum_{i \in A} w_i = ???.$$

# Extension: weighted interval scheduling problem

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## Example (using our greedy strategy)



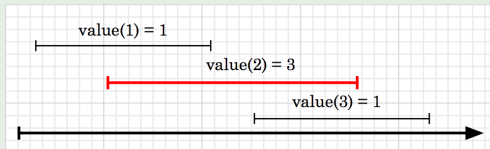
$$|A| = 2, \sum_{i \in A} w_i = 2.$$

# Extension: weighted interval scheduling problem

## Definition (Weighted interval scheduling problem)

Given a set of schedules  $I = \{I_i\}$ , with associated weights  $W = \{w_i\}$ , find  $A \subseteq I$  such that the members of  $A$  are **non-conflicting** and the total weight  $\sum_{i \in A} w_i$  is **maximized**.

## Example (using an optimal strategy)



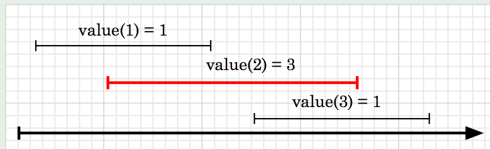
$$|A| = 1, \sum_{i \in A} w_i = 3.$$

# Extension: weighted interval scheduling problem

## Definition (Weighted interval scheduling problem)

Given a set of schedules  $I = \{I_i\}$ , with associated weights  $W = \{w_i\}$ , find  $A \subseteq I$  such that the members of  $A$  are **non-conflicting** and the total weight  $\sum_{i \in A} w_i$  is **maximized**.

## Example (using an optimal strategy)



$$|A| = 1, \sum_{i \in A} w_i = 3.$$

Hmmm...

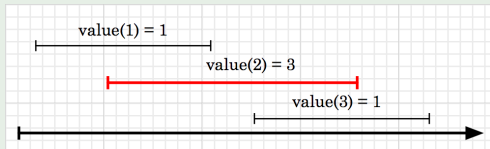
There is no greedy solution for the weighted interval scheduling problem!

# Extension: weighted interval scheduling problem

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Given a set of schedules  $I = \{I_i\}$ , with associated weights  $W = \{w_i\}$ , find  $A \subseteq I$  such that the members of  $A$  are **non-conflicting** and the total weight  $\sum_{i \in A} w_i$  is **maximized**.

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$$|A| = 1, \sum_{i \in A} w_i = 3.$$

Hmmm...

There is no greedy solution for the weighted interval scheduling problem! Why? (see **Greedy Choice property** later)

# Contents

## 1 Greedy algorithms

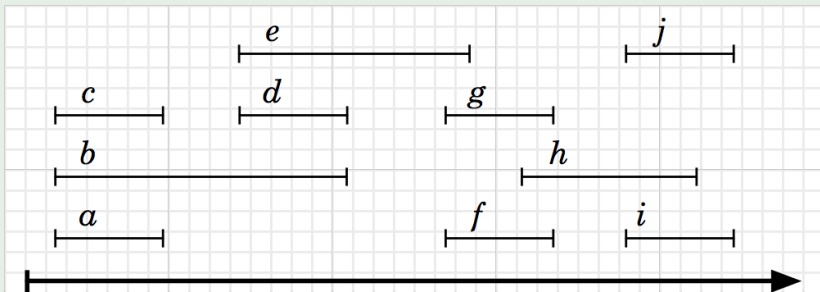
- Introduction
- Interval scheduling problem
- Scheduling all Intervals problem
- Fractional knapsack problem
- Coin changing problem
- What problems can be solved by greedy approach?
- Conclusion

# Scheduling all intervals greedy algorithm

## Definition

Given a set of schedules  $I = \{I_i\}$ , find the minimum number of resources needed to schedule  $I$  such that the intervals on each resource are non-conflicting.

## Example



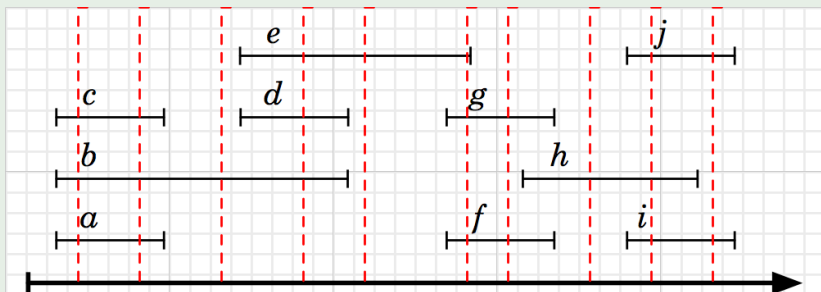


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## Example



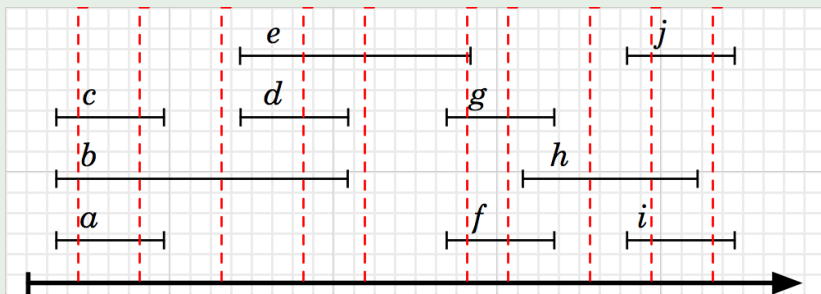
Depth = Maximum number of intervals at any point in time.

# Scheduling all intervals greedy algorithm

## Definition

Given a set of schedules  $I = \{I_i\}$ , find the minimum number of resources needed to schedule  $I$  such that the intervals on each resource are non-conflicting.

## Example



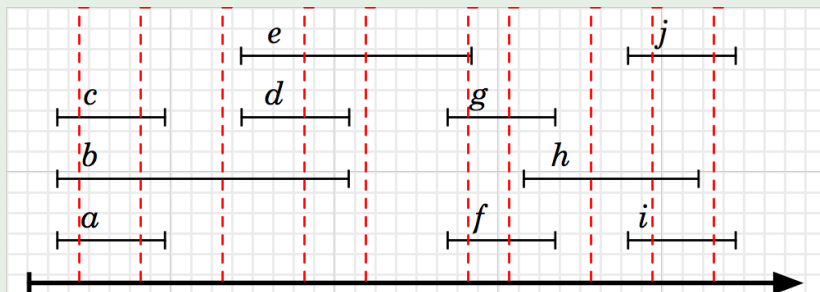
Depth = 3

# Scheduling all intervals greedy algorithm

## Definition

Given a set of schedules  $I = \{I_i\}$ , find the minimum number of resources needed to schedule  $I$  such that the intervals on each resource are non-conflicting.

## Example



Depth = 3  $\implies$  Minimum # of resources needed = 3

# A greedy algorithm for scheduling all intervals

SCHEDULE-INTERVALS( $I$ )     $\triangleright I = \{I_i\}, I_i = (s_i, f_i)$

- 1     $R =$  Sorted requests in order of starting times, breaking ties arbitrarily, such that  $s_i \leq s_j$  when  $i < j$ .
- 2     $m \leftarrow 0$   $\triangleright$  the optimal number of resources needed to schedule  $R$
- 3    **while**  $R \neq \emptyset$
- 4        **do**  $req =$  extract the next element in  $R$
- 5            **if** there is a resource  $j$  with no interval conflicting with  $req$
- 6                **then** schedule interval  $req$  on resource  $j$
- 7                **else**
- 8                     $m \leftarrow m + 1$              $\triangleright$  allocate a new resource
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## Complexity

$$T(n) = O(n \lg n).$$

# A greedy algorithm for scheduling all intervals

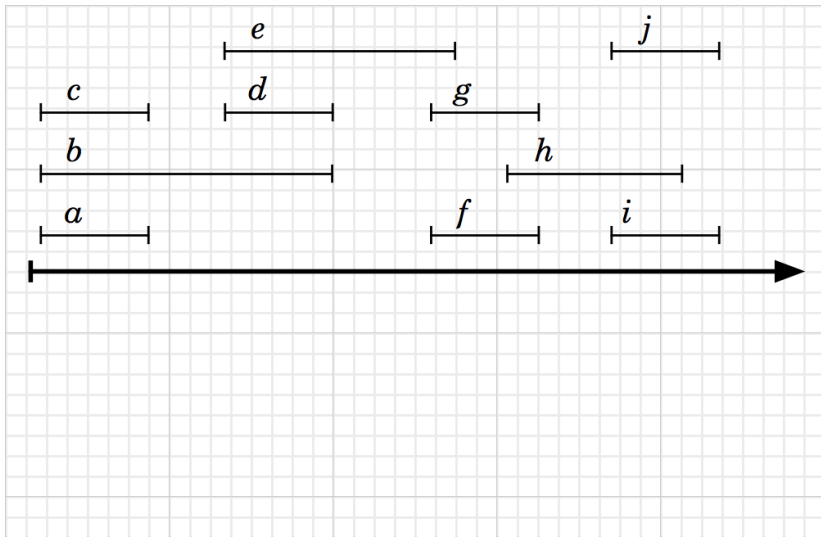
SCHEDULE-INTERVALS( $I$ )  $\triangleright I = \{I_i\}, I_i = (s_i, f_i)$

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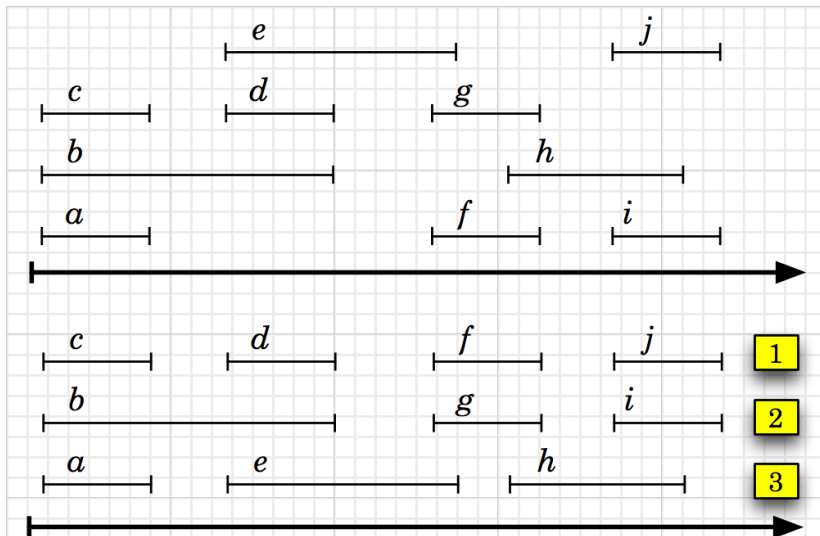
## Complexity

$T(n) = O(n \lg n)$ . Prove it.

# Scheduling all intervals in action



# Scheduling all intervals in action





# Contents

## 1 Greedy algorithms

- Introduction
- Interval scheduling problem
- Scheduling all Intervals problem
- **Fractional knapsack problem**
- Coin changing problem
- What problems can be solved by greedy approach?
- Conclusion

# Fractional knapsack problem

## Definition (fractional knapsack problem)

Given a set  $S$  of  $n$  items, such that each item  $i$  has a positive benefit  $b_i$  and a positive weight  $w_i$ , the goal is to find the maximum-benefit subset that does not exceed a given weight  $W$ , allowing for fractional items.

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- Taking  $x_i$  of each item  $i$ , such that  $0 \leq x_i \leq w_i$  for each  $i \in S$ , and  $\sum_{i \in S} x_i \leq W$ .

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## Key question

- What strategy to use to select the next item (and the amount of it)?

# Fractional knapsack problem

## Definition (fractional knapsack problem)

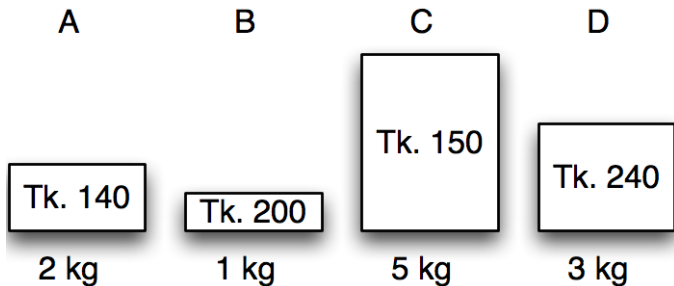
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- Maximum-benefit subset is then maximizing  $\sum_{i \in S} b_i(x_i/w_i)$ .

## Key question

- What strategy to use to select the next item (and the amount of it)?
- Since we're maximizing the benefit, select the next item with the highest benefit per weight –  $b_i/w_i$ .

# Fractional knapsack in action

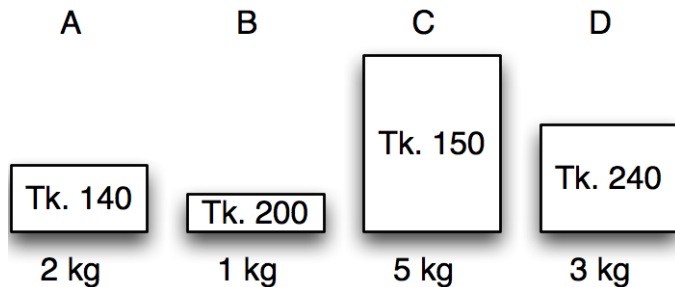


| Item | Price | Weight |
|------|-------|--------|
| A    | 140   | 2 kg   |
| B    | 200   | 1 kg   |
| C    | 150   | 5 kg   |
| D    | 240   | 3 kg   |

Calculate price/kg – the **value index**.



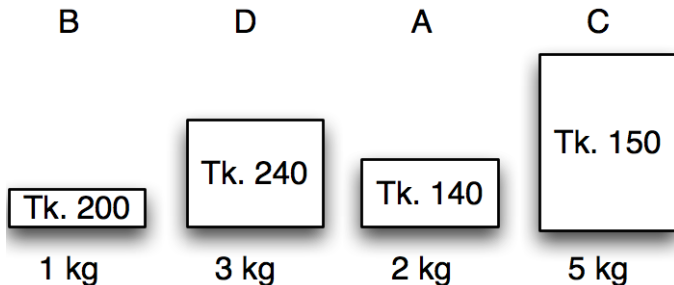
# Fractional knapsack in action



| Item | Price | Weight | Value index |
|------|-------|--------|-------------|
| A    | 140   | 2 kg   | 70          |
| B    | 200   | 1 kg   | 200         |
| C    | 150   | 5 kg   | 30          |
| D    | 240   | 3 kg   | 80          |

Sort by **non-increasing** value index.

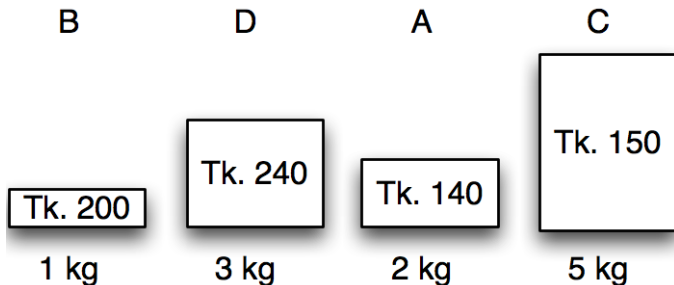
# Fractional knapsack in action



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| C    | 150   | 5 kg   | 30          |

Maximum weight: 5 kg

# Fractional knapsack in action



| Item | Price | Weight | Value index | Chosen |
|------|-------|--------|-------------|--------|
| B    | 200   | 1 kg   | 200         | 0 kg   |
| D    | 240   | 3 kg   | 80          | 0 kg   |
| A    | 140   | 2 kg   | 70          | 0 kg   |
| C    | 150   | 5 kg   | 30          | 0 kg   |

Maximum weight:

5 kg

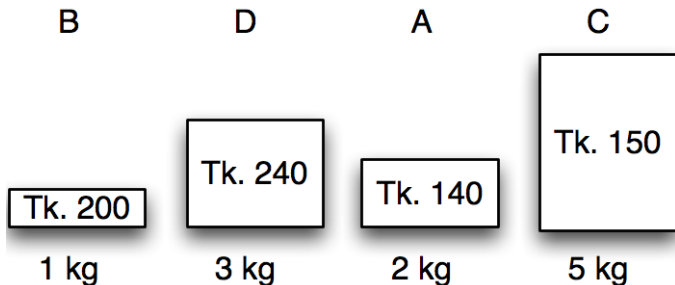
Remaining:

5 kg

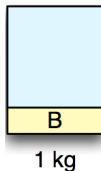
Benefit:

0 kg

# Fractional knapsack in action

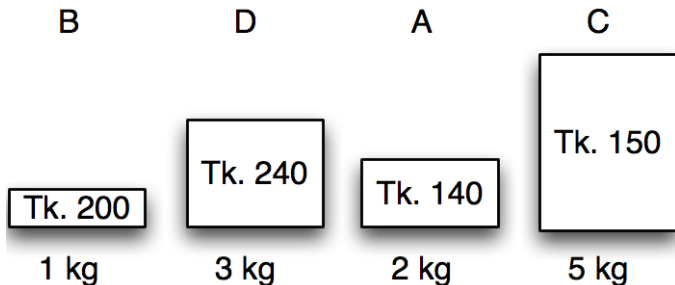


| Item | Price | Weight | Value index | Chosen |
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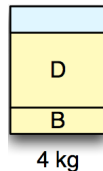


Maximum weight: 5 kg    Remaining: 4 kg    Benefit: 200 kg

# Fractional knapsack in action

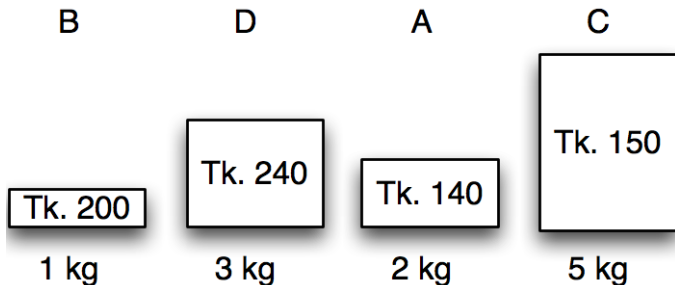


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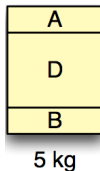


Maximum weight: 5 kg Remaining: 1 kg Benefit: 440 kg

# Fractional knapsack in action

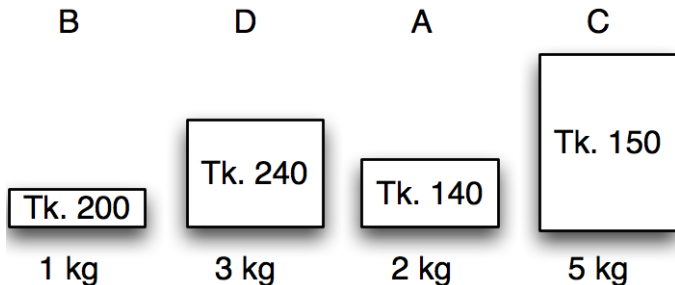


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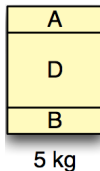


Maximum weight: 5 kg Remaining: 0 kg Benefit: 510 kg

# Fractional knapsack in action



| Item | Price | Weight | Value index | Chosen |
|------|-------|--------|-------------|--------|
| B    | 200   | 1 kg   | 200         | 1 kg   |
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Maximum weight: 5 kg Remaining: 0 kg Benefit: 510 kg

# Fractional knapsack greedy algorithm

```
FRACTIONAL-KNAPSACK(S, W) ▷ $S = \{(w_i, b_i)\}$
1 for each item $i \in S$
2 do $x_i \leftarrow 0$ ▷ amount of item i chosen ($0 \leq x \leq w_i$)
3 $v_i \leftarrow b_i/w_i$ ▷ compute value index
4 $w \leftarrow 0$
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6 do $i =$ extract from S the item with highest value index
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7 if $w + w_i \leq W$
8 then $x_i = w_i$
9 else $x_i = W - w$ ▷ fill up the remaining with i
10 $w \leftarrow w + x_i$
11 return x ▷ x_i contains amount of item i chosen
```



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## Complexity

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# Fractional knapsack greedy algorithm

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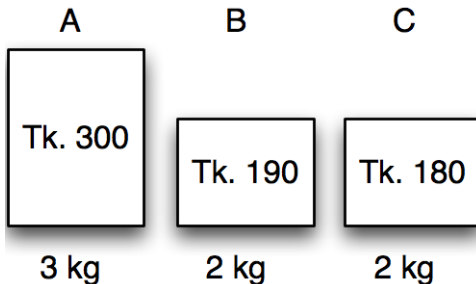
$T(n) = O(n \lg n)$ . Prove it.

## Extension: 0/1 knapsack problem

Exactly the same as the [Fractional Knapsack Problem](#), except that fractional quantities are not allowed.

## Extension: 0/1 knapsack problem

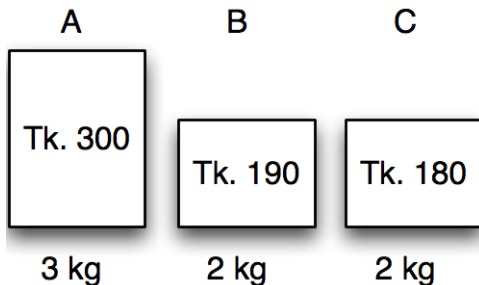
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Maximum weight: 4 kg

## Extension: 0/1 knapsack problem

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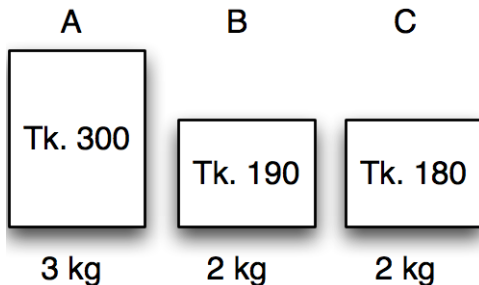
Maximum weight: 4 kg

Greedy solution: item A

Benefit: 300

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Exactly the same as the [Fractional Knapsack Problem](#), except that [fractional quantities](#) are not allowed.



Maximum weight: 4 kg

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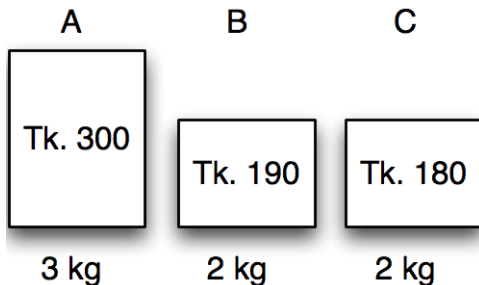
Benefit: 300

Optimal solution: items B and C

Benefit: 370

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Exactly the same as the [Fractional Knapsack Problem](#), except that fractional quantities are not allowed.



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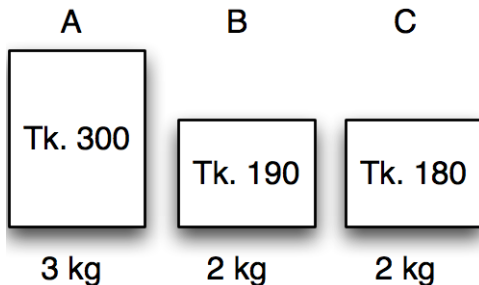
Optimal solution: items B and C

Benefit: 370

The 0/1 Knapsack Problem does not have a greedy solution!

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Exactly the same as the [Fractional Knapsack Problem](#), except that [fractional quantities](#) are not allowed.



Maximum weight: 4 kg

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Optimal solution: items B and C

Benefit: 370

The 0/1 Knapsack Problem does not have a greedy solution!  
Why?



# Contents

## 1 Greedy algorithms

- Introduction
- Interval scheduling problem
- Scheduling all Intervals problem
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# Coin changing problem

## Definition

Given coin denominations in  $\{C\}$ , make change for a given amount  $A$  with the minimum number of coins.

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## Example

Coin denominations,  $C = \{25, 10, 5, 1\}$  Amount to change,  $A = 73$

# Coin changing problem

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Given coin denominations in  $\{C\}$ , make change for a given amount  $A$  with the minimum number of coins.

## Example

Coin denominations,  $C = \{25, 10, 5, 1\}$  Amount to change,  $A = 73$

- 1 Choose 2 25 coins, so remaining is  $73 - 2 * 25 = 23$

# Coin changing problem

## Definition

Given coin denominations in  $\{C\}$ , make change for a given amount  $A$  with the minimum number of coins.

## Example

Coin denominations,  $C = \{25, 10, 5, 1\}$  Amount to change,  $A = 73$

- 1 Choose 2 25 coins, so remaining is  $73 - 2 * 25 = 23$
- 2 Choose 2 10 coins, so remaining is  $23 - 2 * 10 = 3$

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Solution (and it's optimal):  $2 \times 25 + 2 \times 10 + 3 \times 1 = 7$  coins.



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## Key question

Does a greedy approach always produce the optimal solution?

# Coin changing problem (continued)

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Amount to change,  $A = 15$

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- 1 Choose 1 12 coins, so remaining is  $15 - 1 * 12 = 3$

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Solution: 4 coins.

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## Key observation

Correctness depends on the choice of coins, so greedy strategy does not provide a general solution to this problem!

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# Problem types solved by greedy algorithms

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**Greedy choice property** If the global optimal solution can be reached by making locally optimal choices, then it has the greedy choice property.

**Subproblem optimality** If the optimal solution to the entire problem contain optimal solution to the subproblems, then it has the subproblem optimality property.



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- The algorithm must be rigorously proven to be correct!
- Except for a few select problems, it is far better to use **Dynamic Programming** to solve such optimization problems.
- So why study greedy algorithms? Because there are very efficient provably correct greedy algorithms for many common problems (wait till we study graph algorithms).