# Homework 4: Regular Expressions and NFAs

CIS 352: Programming Languages 8 February 2019, Version 3

#### Administrivia

- No teams, this assignment is a solo effort.
- Document in the cover sheet any ideas you use from other students or other sources.
- For Part I, *legible* hand written answers are fine.
- For Part II, copy all the files in http://www.cis.syr.edu/courses/cis352/code/RegExp2/ and use Top2.hs as your starter file.
- Let me know if any of my QuickCheck tests seem dodgy.
- Turn in Part I by: dropping the papers in the CIS 352 bin on the 4th floor of SciTech.¹ Include a paper copy of your cover sheet.
- Turn in Part II via: Blackboard, include (i) your modified versions of Matches2.hs, BuildNFA2.hs, and Top2.hs. from the Reg2 directory, (ii) the transcripts of test runs, and (iii) your coversheet.

### Part I: Problems on Paper

### \* Problem 1 (40 points) \*

Use the rules on page 5 of the *Lexical Analysis* slides to give a formal derivation of each of the following. Each part is 4 points except for (i) which is 8 points.

(a) 
$$(\mathbf{a}|(\mathbf{b}|\mathbf{c})) \Downarrow \mathbf{a}$$

(d) 
$$(a(bc)) \downarrow abc$$

(g) 
$$((ab)|c)^* \downarrow ab$$

(b) 
$$(a|(b|c)) \Downarrow b$$

(e) 
$$((ab)c) \Downarrow abc$$

(h) 
$$((ab)|c)^* \Downarrow \boxed{c}$$

(c) 
$$(\mathbf{a}|(\mathbf{b}|\mathbf{c})) \Downarrow \mathbf{c}$$

(f) 
$$((ab)|c)^* \Downarrow \epsilon$$

(i) 
$$((ab)|c)^* \Downarrow cab$$

Definition.  $\#_c(w) = \text{the number of times character } c \text{ occurs in string } w. \text{ Example: } \#_{\mathbf{a}}(\mathbf{abaabba}) = 4 \text{ and } \#_{\mathbf{b}}(\mathbf{abaabba}) = 3.$ 

### ❖ Problem 2 (16 points) ❖

(a) BACKGROUND. Let

$$L_1 = \{ w \in \{ a, b \}^* : (\#_a(w) \mod 3 = 0 \}.$$

So,  $w \in L_1 \iff$  the number of **a**'s in w is a multiple of 3 (and there can be any number of **b**'s). A regular expression for this language is:

### **Grading Criteria**

- The homework is out of 100 points.
- Each programming problem is  $\approx 70\%$  correctness and  $\approx 30\%$  testing.
- Omitting your name(s) in the source code looses you 5 points.

<sup>1</sup> It is next to SciTech 4-226 and the CIS 252 and CIS 675 boxes.

Typo corrections in red

*Fair Warning:* Variations of Problems 1, 2, and 3 are likely to show up on quizzes. So you should practice answering such questions "by-hand".

 $\mathbf{b}^*(\mathbf{ab}^*\mathbf{ab}^*\mathbf{ab}^*)^{**}$  and an NFA is  $M_1 = (\{0,1,2\}, Moves_1, 0, \{2\})$ where

$$Moves_1 = \{0 \xrightarrow{\mathbf{b}} 0, 0 \xrightarrow{\mathbf{a}} 1, 1 \xrightarrow{\mathbf{b}} 1, 1 \xrightarrow{\mathbf{a}} 2, 2 \xrightarrow{\mathbf{b}} 2, 2 \xrightarrow{\mathbf{a}} 0\}$$

or see Figure 1 for the diagram form.

YOUR PROBLEM: (4 points) Give an  $M_1$ -accepting path for **aabbaabaa**. (See pages 21 and 22 of the Lexical slides.)

(b) BACKGROUND. Let

$$L_2 = \{ w \in \{ a, b \}^* : \#_a(w) \ge 2 \text{ or } \#_b(w) = 2 \}.$$

which has  $(((\mathbf{a}|\mathbf{b})^*\mathbf{a}\mathbf{b}^*\mathbf{a}(\mathbf{a}|\mathbf{b})^*)|(\mathbf{a}^*\mathbf{b}\mathbf{a}^*\mathbf{b}\mathbf{a}^*))$  as a regular expression. An NFA is  $M_2 = (\{1, ..., 6\}, Moves_2, 0, \{4, 6\})$  where

$$Moves_{2} = \begin{cases} 1 \xrightarrow{a} 1, & 1 \xrightarrow{b} 5, & 1 \xrightarrow{\epsilon} 2, \\ 2 \xrightarrow{a} 2, & 2 \xrightarrow{a} 3, & 2 \xrightarrow{b} 2, \\ 3 \xrightarrow{a} 4, & 3 \xrightarrow{b} 3, \\ 4 \xrightarrow{a} 4, & 4 \xrightarrow{b} 4, \\ 5 \xrightarrow{a} 5, & 5 \xrightarrow{b} 6, \\ 6 \xrightarrow{a} 6 \end{cases}$$

or see Figure 2 for the diagram form.

YOUR PROBLEM: (12 points) Give four distinct  $M_2$ -accepting paths for abaab. (See pages 21 and 22 of the Lexical slides.)

#### ❖ Problem 3 (16 points) ❖

For each of the following languages over { **a**, **b** }, give both (i) a regular expression and (ii) a NFA that precisely captures it.<sup>2</sup>

- (a) Those strings the contain aaa as a substring.
- (b) Those strings the *fail* to contain **aaa** as a substring.

# Part II: Programming Problems

You will need the files in http://www.cis.syr.edu/courses/cis352/ code/RegExp2/ and you will end up turning in changed versions of Matches2.hs and BuildNFA2.hs. This code is a modified version of Simon Thompson's regular expressions and automata library<sup>3</sup>.

#### **❖** Problem 4 (16 points) **❖**

BACKGROUND. On page 13 of Mogensen<sup>4</sup> he defines the shorthands

$$r? =_{\operatorname{def}} r|\epsilon$$
  $r^+ =_{\operatorname{def}} r(r^*)$ 

A start at modifying Thompson's library to handle these two new forms can be found in:

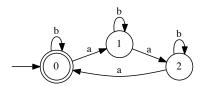


Figure 1: The diagram for  $M_1$ 

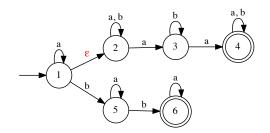


Figure 2: The diagram for  $M_2$ 

<sup>2</sup> Hint: It is often easier to start with the NFA and then use the NFA to help figure out the regular expression.

- <sup>3</sup> Simon Thompson. Regular expressions and automata using Haskell. Technical report, Computing Laboratory, University of Kent at Canterbury, 2000. URL http://www.haskellcraft.com/ craft3e/Reg\_exps.html
- <sup>4</sup> Torben Ægidius Mogensen. Introduction to Compiler Design. Diku, 2010. URL http://www.diku.dk/hjemmesider/ ansatte/torbenm/Basics/

http://www.cis.syr.edu/courses/cis352/code/RegExp2/

YOUR PROBLEMS.

(a) In Matches2.hs the function matches does not have cases for Opt or Plus expressions. Add the missing cases to matches.

*Testing:* Run (quickCheck prop\_equivA). Also come up with some convincing tests of your own.

(b) In BuildNFA2.hs the function build is missing cases for Opt or Plus expressions. Add the missing cases to build.

Testing: Run (quickCheck prop equivB). Also come up with some convincing tests of your own.

### ❖ Problem 5 ((12 points) ❖

Do Problem 2.16 in Mogensen and program your answer in Haskell using Simon Thompson's modules. Design and run some tests for your code. (*Hint*: You'll need a "|" in the equation for *nonempty(st)*.)

Testing: Make sure that tstPos and tstNeg (in Top2.hs) both evaluate to True. Also come up with some convincing tests of your own.

Obvious hint for both parts (a) and (b): The Opt-case should be a variation on the Or-case and the Plus-case should be a variation on the Star-case.

# *Reference rule-sets*

### Rules for a big-step rules for regular expression matching

$$\epsilon: \frac{r_1 \Downarrow s}{\epsilon \Downarrow \epsilon} \qquad |_{1:} \frac{r_1 \Downarrow s}{(r_1|r_2) \Downarrow s} \qquad |_{2:} \frac{r_2 \Downarrow s}{(r_1|r_2) \Downarrow s}$$

$$\text{Lit: } \frac{\pi}{x \Downarrow x} \qquad \text{Seq: } \frac{r_1 \Downarrow s_1 \quad r_2 \Downarrow s_2}{(r_1r_2) \Downarrow s} \ (s = s_1s_2)$$

$$*_{1:} \frac{r^* \Downarrow \epsilon}{r^* \Downarrow \epsilon} \qquad *_{2:} \frac{r \Downarrow s_1 \quad r^* \Downarrow s_2}{r^* \Downarrow s} \ (s = s_1s_2)$$

Example. See page 9 of the Lexical slides for sample derivations.

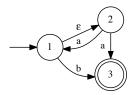
#### A small-step semantics for an NFA

For M = (States, Moves, start, Final):

$$\frac{}{M \vdash s \stackrel{a}{\longrightarrow} s'} \; \big( (s,a,s') \in Moves \big)$$

$$\frac{}{M \vdash s \stackrel{\epsilon}{\longrightarrow} s'} \; \big( (s, \epsilon, s') \in \mathit{Moves} \big)$$

Example. For the NFA with diagram:



an accepting path for input aab is:

$$1 \xrightarrow{a} 2 \xrightarrow{\epsilon} 1 \xrightarrow{a} 2 \xrightarrow{\epsilon} 1 \xrightarrow{b} 3$$