

Unsupervised Multimodal Neural Machine Translation with Pseudo Visual Pivoting

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Abstract

Unsupervised machine translation (MT) has recently achieved impressive results with monolingual corpora only. However, it is still challenging to associate source-target sentences in the latent space. As people speak different languages share a similar visual system biologically, the potential of achieving better alignment through visual content is promising yet under-explored in unsupervised multimodal MT (MMT). In this work, we investigate how to utilize visual content for disambiguation and latent space alignment in unsupervised MMT. Our framework features multimodal back-translation and pseudo visual pivoting where we align multilingual visual-semantic embedding spaces and incorporate visually-pivoted captioning as the additional weak supervision. The experimental results on the widely used Multi30K dataset show that the proposed model significantly improves over the state-of-the-art methods and generalizes well to the text-only scenario.

1 Introduction

Neural machine translation (Kalchbrenner and Blunsom, 2013; Sutskever et al., 2014) has achieved near human-level performance (Wu et al., 2016). However, its effectiveness strongly relies on the availability of large-scale parallel corpora. Unfortunately, preparing the parallel data remains a challenge as there are more than 6,500 languages in the world and recruiting annotators with bilingual or multilingual knowledge to cover all those languages is impractical.

As a result, developing methods alleviating the need of well-annotated large parallel corpora has recently attracted increasing attention in the community. These methods fall into two broad categories. First type of methods use a third language as the pivot (Firat et al., 2016; Chen et al., 2017; Cheng et al., 2017; Johnson et al., 2017)

to enable zero-resource translation. Although the progress is encouraging, pivoting with a third language still demands bilingual knowledge for collecting large-scale parallel source-pivot and pivot-target corpora. The second type of methods explore unsupervised approaches (Conneau et al., 2018a; Artetxe et al., 2018; Lample et al., 2018a) have recently achieved impressive translation quality. These methods rely only on monolingual data and back-translation (Sennrich et al., 2016a). However, as discussed in (Lample et al., 2018b), the alignment of source-target sentences is uncertain and highly subject to proper initialization.

Using visual content for unsupervised MT (Chen et al., 2018; Su et al., 2019) is a promising solution for pivoting and alignment based on its availability and feasibility. Abundant multimodal content in various languages are available online (*e.g.* Instagram and YouTube). It is also easier to recruit monolingual annotators to describe an image than finding multilingual annotators to translate sentences. Importantly, visual content is eligible to improve alignments in the latent space since the physical visual perception is similar among people speaking different languages (*e.g.* similar “blue car” for a German and a French).

Based on these insights, we propose a novel unsupervised multimodal MT framework incorporating visual content as pseudo pivots promoting latent space alignment. In addition to use visual objects for multi-modal back-translation, we align multilingual visual-semantic embedding (VSE) spaces via leveraging image-sentence pairs in different languages. As illustrated in Fig. 2, for sentences approximately pivoted by similar images (*src-img-tgt*), drawing embeddings of corresponding image-sentence pairs closer results in better alignments of semantically equivalent sentences in the language latent spaces. Inspired by back-translation, we further explore another pseudo piv-

oting strategy which approximates multilingual sentence pairs (*src-img-tgt*) conditioned on a real image via captioning. Instead of using annotation of images for pivoting as in (Chen et al., 2018), we generate sentences in two languages pivoted on the real image, and then approximately pairing them as a weak supervision for training unsupervised MT system. This approach is analogous to a cross-modal version of back-translation.

We make the following contributions: 1) We provide a unified view of using visual content for pseudo pivoting. 2) We model and improve multilingual multimodal latent space alignment. 3) We build a cross-modal version of back-translation which improves unsupervised multimodal MT. 4) Our model achieves state of the art on Multi30K and generalizes well to the text-only scenario.

2 Background

Neural Machine Translation Typical NMT models are based on the encoder-decoder framework with attention (Bahdanau et al., 2015). Let $\mathbf{x} = (x_1, \dots, x_N)$ denotes a source sentence and $\mathbf{y} = (y_1, \dots, y_M)$ denotes a target sentence, where $(\mathbf{x}, \mathbf{y}) \in (\mathcal{X}, \mathcal{Y})$. The encoder-decoder model learns to estimate the following likelihood from the source sentence to the target sentence:

$$p_{x \rightarrow y}(\mathbf{y}|\mathbf{x}) = \prod_{i=1}^M p(y_i|\mathbf{y}_{<i}, \mathbf{x}) \quad (1)$$

When a parallel corpus is available, the maximum likelihood estimation (MLE) is usually adopted to optimize the (source to target language) NMT model by minimizing the following loss:

$$\mathcal{L}_{x \rightarrow y}^{MT} = \mathbb{E}_{(\mathbf{x}, \mathbf{y}) \sim (\mathcal{X}, \mathcal{Y})} [-\log p_{x \rightarrow y}(\mathbf{y}|\mathbf{x})] \quad (2)$$

Among all encoder-decoder models, the Transformer (Vaswani et al., 2017) architecture recently achieves state-of-the-art translation quality. Instead of using recurrent or convolutional operations, it facilitates multi-head self-attention (Lin et al., 2017). In this paper, we choose the Transformer as the basic architecture for both the translation and the captioning modules.

Unsupervised Machine Translation While conventional MT systems rely on the availability of a large parallel corpus, translation with zero-resource (unsupervised MT) (Lample et al., 2018a; Artetxe et al., 2018; Lample et al., 2018b) has drawn increasing research attention. Only monolingual sen-

tences are presented at the training and validation phase, *i.e.*, only $\mathbf{x} \in \mathcal{X}$ and $\mathbf{y} \in \mathcal{Y}$ are available.

Successful unsupervised MT systems share several common principles. First, they require the pre-training step to properly initialize the model and establish strong monolingual language model. For example, XLM (Lample et al., 2019) utilizes the masked language model objective in BERT (Devlin et al., 2019). MASS (Song et al., 2019) utilizes a span-based sequence-to-sequence masking objective for language model pre-training.

Second, these systems transform the unsupervised problem into a weakly or self-supervised one by automatically generating pseudo sentence pairs via back-translation (Sennrich et al., 2016a). The idea behind can be analogous to the cycle-consistency objective in CycleGAN (Zhu et al., 2017) for image-image translation with unpaired data. Specifically, let us denote by $h^*(\mathbf{y}) = (\hat{x}_1, \dots, \hat{x}_N)$ the sentence in the source language inferred from $\mathbf{y} \in \mathcal{Y}$ such that $h^*(\mathbf{y}) = \operatorname{argmax}_{\mathbf{x}} p_{y \rightarrow x}(\mathbf{x}|\mathbf{y})$. Similarly, let us denote by $g^*(\mathbf{x}) = (\hat{y}_1, \dots, \hat{y}_M)$ the sentence in the target language inferred from $\mathbf{x} \in \mathcal{X}$ such that $g^*(\mathbf{x}) = \operatorname{argmax}_{\mathbf{y}} p_{x \rightarrow y}(\mathbf{y}|\mathbf{x})$. Then the “pseudo” parallel sentences $(h^*(\mathbf{y}), \mathbf{y})$ and $(\mathbf{x}, g^*(\mathbf{x}))$ can be further used to train two two MT models ($\mathcal{X} \rightarrow \mathcal{Y}$ and $\mathcal{Y} \rightarrow \mathcal{X}$) by minimizing the following back-translation loss:

$$\mathcal{L}_{x \leftrightarrow y}^{BT} = \mathbb{E}_{\mathbf{x} \sim \mathcal{X}} [-\log p_{y \rightarrow x}(\mathbf{x}|g^*(\mathbf{x}))] + \mathbb{E}_{\mathbf{y} \sim \mathcal{Y}} [-\log p_{x \rightarrow y}(\mathbf{y}|h^*(\mathbf{y}))] \quad (3)$$

Although reinforcement learning-based approaches (He et al., 2016a) and Gumbel-softmax reparametrization (Maddison et al., 2017) have been used to handle back-propagation thorough non-differentiable “argmax” predictions, in this paper we do not back-prop through $h^*(\mathbf{y})$ and $g^*(\mathbf{x})$ to simplify the training process.

3 Unsupervised Multimodal Machine Translation

As illustrated in Fig. 1, our model is composed of seven modules: Two encoder-decoder pairs for translation, two decoders for captioning, and one shared visual encoder. In this section, we first detail our basic MMT model architecture and the unsupervised setup. Then we introduce our approaches for pseudo visual pivoting with multilingual VSE and pivoted captioning.

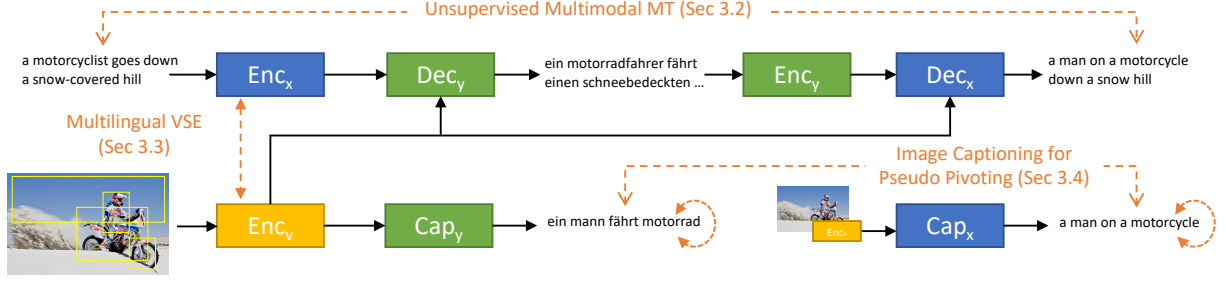


Figure 1: The proposed model structure (English ↔ German). We incorporate visual objects for unsupervised multimodal MT and improve the latent space alignments via pseudo visual pivoting with designed objectives.

3.1 Multimodal MT

Multimodal machine translation (Specia et al., 2016) (MMT) considers additional images as a complementary information source for MT. An image \mathbf{z} and the description in two languages form a triplet $(\mathbf{x}, \mathbf{y}, \mathbf{z}) \in (\mathcal{X}, \mathcal{Y}, \mathcal{Z})$. The encoder reads and encodes the source sentence into $\mathbf{h}^x = \{\mathbf{h}_1^x, \dots, \mathbf{h}_N^x\}$, $\mathbf{h}_i^x \in \mathbb{R}^d$, where d is the dimension of the embedding space. The visual encoder encodes the image into $\mathbf{h}^z = \{\mathbf{h}_1^z, \dots, \mathbf{h}_K^z\}$, $\mathbf{h}_i^z \in \mathbb{R}^d$. Most previous work (Chen et al., 2018; Su et al., 2019) use 2D ($K = 14 \times 14$) feature maps of ImageNet pre-trained ResNet (He et al., 2016b). In contrast, we utilize the regional feature of K salient visual objects in an image extracted by Faster-RCNN (Ren et al., 2015) and a 1-layer MLP to encode the visual objects ($K_{max} = 36$) into the shared embedding space.

Our model employs multimodal attention for decoding. For decoding at time stamp i , the text-only attention $\text{Attn}(\mathbf{h}_i^y, \mathbf{h}^x)$ computes the context vector $\mathbf{c}_i = \sum_j \alpha_j \mathbf{h}_j^x$ via a attention-based alignment $\alpha_j = \text{Align}(\mathbf{h}_i^y, \mathbf{h}_j^x)$, where $\sum_j \alpha_j = 1$ and \mathbf{h}_i^y is the decoder state. In practice, the attention in Transformer is implemented as $\mathbf{c}_i = \text{softmax}(\mathbf{Q}_i(\mathbf{K}^x)^\top / \sqrt{d}) \mathbf{V}^x$ where $\{\mathbf{Q}, \mathbf{K}^x, \mathbf{V}^x\}$ are the packed d -dimensional *Query*, *Key*, *Value* vectors, which are the mapped and packed version of $\{\mathbf{h}_i^y, \mathbf{h}^x, \mathbf{h}^x\}$. For decoding with multimodal inputs, we design a multimodal attention to compute the context vector \mathbf{c}_i :

$$\mathbf{c}_i^x = \text{Attn}(\mathbf{h}_{i-1}^y, \mathbf{h}^x) + \lambda_v \text{Attn}(\mathbf{h}_{i-1}^y, \mathbf{h}^z) \quad (4)$$

In practice we set $\lambda_v = 1.0$. Our multimodal decoder models the likelihood to predict the next token as:

$$p(y_i | \mathbf{y}_{<i}, \mathbf{x}, \mathbf{z}) = \text{softmax}(f(\mathbf{c}_i, y_{i-1}, \mathbf{h}_{i-1}^y)), \quad (5)$$

where $f(\cdot)$ denotes the non-linear feature mapping in Transformer.

3.2 Unsupervised Learning

Unsupervised multimodal MT (Nakayama and Nishida, 2017; Chen et al., 2018; Su et al., 2019) poses a new yet challenging problem. On both the source and target sides, only non-overlapping monolingual multimodal data are presented for training and validation. Specifically, the data available are: $(\mathbf{x}, \mathbf{z}_x) \in (\mathcal{X}, \mathcal{Z})$, $(\mathbf{y}, \mathbf{z}_y) \in (\mathcal{Y}, \mathcal{Z})$, such that $\{\mathbf{x}\} \cap \{\mathbf{y}\} = \emptyset$, $\{\mathbf{z}_x\} \cap \{\mathbf{z}_y\} = \emptyset$. Note that there is no parallel translation pairs available (unsupervised), and the images are mutually exclusive for different languages.

For multimodal back-translation, the generated pseudo target sentence conditioned on the source sentence and image can be re-written as $g^*(\mathbf{x}, \mathbf{z}_x) = \text{argmax}_{\mathbf{y}} p_{xz \rightarrow y}(\mathbf{y} | \mathbf{x}, \mathbf{z}_x)$, where $p_{xz \rightarrow y}(\mathbf{y} | \mathbf{x}, \mathbf{z}) = \prod_{i=1}^M p(y_i | \mathbf{y}_{<i}, \mathbf{x}, \mathbf{z})$. Similar for $p_{yz \rightarrow x}(\mathbf{x} | \mathbf{y}, \mathbf{z})$ and $h^*(\mathbf{y}, \mathbf{z}_y)$. For unsupervised multimodal MT, the multimodal back-translation objective is defined as:

$$\begin{aligned} \mathcal{L}_{x \leftrightarrow y}^{MBT} = & \mathbb{E}_{(\mathbf{x}, \mathbf{z}_x)} \left[-\log p_{yz \rightarrow x}(\mathbf{x} | g^*(\mathbf{x}, \mathbf{z}_x), \mathbf{z}_x) \right] \\ & + \mathbb{E}_{(\mathbf{y}, \mathbf{z}_y)} \left[-\log p_{xz \rightarrow y}(\mathbf{y} | h^*(\mathbf{y}, \mathbf{z}_y), \mathbf{z}_y) \right] \end{aligned} \quad (6)$$

We simplify the notation of expectation for clarity.

Aligning the source and target language latent spaces are challenging as discussed in (Lample et al., 2018b). Nevertheless, as people speak different languages share a similar visual system biologically, we envision the shared visual space can serve as the pivot for alignment. Unlike most previous work (Chen et al., 2018; Su et al., 2019) treat visual content merely as feature, as illustrated in Fig. 2, we generalize visual pivoting into two approaches: 1) Aligning multilingual VSE spaces; 2) Image pseudo pivoting via captioning. In (1), we use images as the approximate pivot connecting real non-parallel sentences. (src-img-tgt.) In (2), for each pivoting real image, we generate captions in both languages to construct “pseudo” source-target

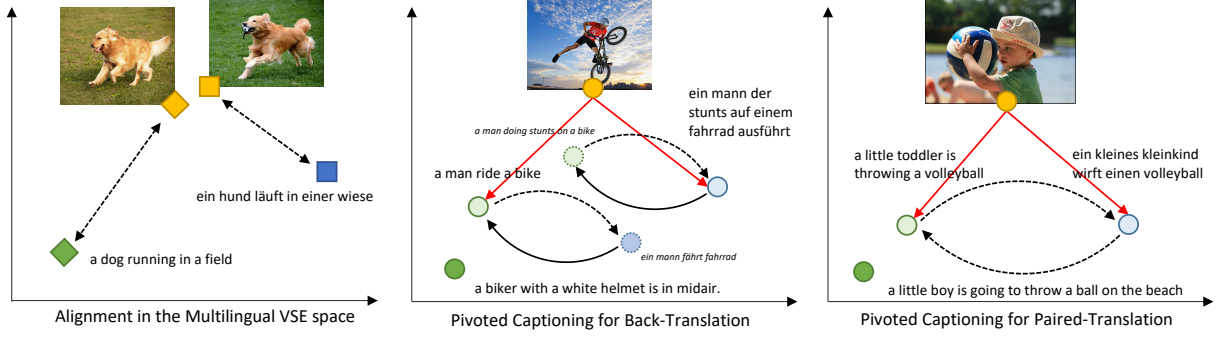


Figure 2: Pseudo visual pivoting: Latent space alignment via aligning multilingual VSE ($src\text{-}img\text{-}tgt$, in fact $src\text{-}img_1$, $src\text{-}img_2$) and pivoted captioning ($src\text{-}img\text{-}tgt$). Note that the *italic* item does not exist and is approximated (pseudo). Source, target and image are colored in green, blue and yellow respectively. Solid red and black lines are captioning and translation without updates. Encoder-decoder are updated with dashed lines.

sentence pairs. ($src\text{-}img\text{-}tgt$), where the *italic* item is “pseudo”. We collectively term the proposed approach *pseudo visual pivoting*.

3.3 Multilingual Visual-Semantic Embedding

We posit that for \mathcal{X} , \mathcal{Y} , \mathcal{Z} , the two language spaces \mathcal{X} , \mathcal{Y} could be properly associated by aligning two multilingual VSE spaces $\mathcal{X} \leftrightarrow \mathcal{Z}$ and $\mathcal{Y} \leftrightarrow \mathcal{Z}$, respectively. We leverage the triplet objective in cross-modal retrieval which targets at aligning paired multimodal inputs into the shared VSE space where the embeddings are close if they are semantically associated.

Specifically, we generalize the fine-grained (objects to tokens) textual-to-visual and visual-to-textual attention in (Lee et al., 2018; Huang et al., 2019) to the multilingual setup. Taking the visual-to-textual attention for image-source sentence alignment as an example, let $s_{ij} = \cos(\mathbf{h}_i^x, \mathbf{h}_j^z)$ denotes the cosine similarity between the i -th encoded token and the j -th encoded visual object. The visually-attended sentence embeddings \mathbf{h}^{zx} are the weighted combination of the encoded tokens \mathbf{h}^x . Precisely, we compute $\mathbf{h}_j^{zx} = \sum_{i=1}^N \alpha_{ij} \mathbf{h}_i^x$, where $j = 1 \dots K$ and $\alpha_{ij} = \text{softmax}_i(s_{ij})$. The image-sentence similarity can therefore be measured by the average cosine similarity between the visually-attend sentence embeddings and the visual embeddings of the objects. Let us denote by $S(\mathbf{x}, \mathbf{z}) = \frac{1}{K} \sum_{j=1}^K \cos(\mathbf{h}_j^{zx}, \mathbf{h}_j^z)$ as the image-sentence similarity, the contrastive triplet loss encouraging image-sentence alignment in the VSE space can therefore be written as:

$$\mathcal{L}_c(\mathbf{x}, \mathbf{z}) = \max_{\tilde{\mathbf{x}}} [\gamma - S(\mathbf{x}, \mathbf{z}) + S(\tilde{\mathbf{x}}, \mathbf{z})]_+ + \max_{\tilde{\mathbf{z}}} [\gamma - S(\mathbf{x}, \mathbf{z}) + S(\mathbf{x}, \tilde{\mathbf{z}})]_+, \quad (7)$$

where $[\cdot]_+$ is the hinge function, and $\tilde{\mathbf{x}}$ and $\tilde{\mathbf{z}}$ are

the non-paired (negative) instances for \mathbf{x} and \mathbf{z} . Intuitively, when the loss decreases, the matched images and sentences will be drawn closer down to a margin γ than the hardest non-paired ones. Formally, we minimizing the following objective for cross-modal alignments in the two VSE spaces:

$$\mathcal{L}_{x,y,z}^{VSE} = \mathbb{E}_{(\mathbf{x}, \mathbf{z}_x)} [\mathcal{L}_c(\mathbf{x}, \mathbf{z}_x)] + \mathbb{E}_{(\mathbf{y}, \mathbf{z}_y)} [\mathcal{L}_c(\mathbf{y}, \mathbf{z}_y)] \quad (8)$$

3.4 Image Captioning for Pseudo Pivoting

Inspired by back-translation with monolingual corpora, we propose a novel cross-modal approach to generate automatic weakly supervised pairs to guide unsupervised MMT. Specifically, We leverage image captioning to synthesize pseudo sentence pairs (pivoted and conditioned on the image) for back-translation and paired-translation.

Image Captioning Image captioning models are akin to MT models besides the non-sequential visual encoder. For example, an image-to-source captioning model estimates the likelihood as $p_{z \rightarrow x}(\mathbf{x}|\mathbf{z}) = \prod_{i=1}^N p(x_i|\mathbf{x}_{<i}, \mathbf{z})$, where \mathbf{z} is the encoded image. Essentially, the captioning model learns to minimize the following loss:

$$\mathcal{L}_{z \rightarrow x}^{CAP} = \mathbb{E}_{(\mathbf{z}_x, \mathbf{x})} [-\log p_{z \rightarrow x}(\mathbf{x}|\mathbf{z}_x)] \quad (9)$$

As illustrated in Fig. 2, for unsupervised MMT, we propose to learn two captioning models $\mathcal{Z} \rightarrow \mathcal{X}$ and $\mathcal{Z} \rightarrow \mathcal{Y}$ for two purposes: (1) to improve alignment in VSE space; (2) to generate additional “pseudo” parallel sentences pivoted on the image for the text back-translation. For example, with Image \rightarrow English and Image \rightarrow German, the generated pseudo (English, German) pair is then pivoted on the Image. Precisely, similar to back-translation, we use the two captioning models to

generate captions in two languages depicting the same image, *i.e.*, $c_x^*(\mathbf{z}_x) = \operatorname{argmax}_{p_{z \rightarrow x}}(\mathbf{x}|\mathbf{z}_x)$ and $c_y^*(\mathbf{z}_x) = \operatorname{argmax}_{p_{z \rightarrow y}}(\mathbf{y}|\mathbf{z}_x)$. The generated pivoted captions enables the following two objectives.

Pivoted Captioning for Back-Translation We utilize the synthetic multilingual captions (*i.e.*, $c_x^*(\mathbf{z}_x)$, $c_y^*(\mathbf{z}_x)$ from the source images and $c_x^*(\mathbf{z}_y)$, $c_y^*(\mathbf{z}_y)$ from the target images) to reversely reconstruct the synthetic captions from their translations in both directions. Formally, we compute the following caption-based back-translation loss:

$$\begin{aligned} \mathcal{L}_{x \leftrightarrow y}^{CBT} = & \mathbb{E}_{\mathbf{z}_x} \left[-\log p_{y \rightarrow x}(c_x^*(\mathbf{z}_x) | g^*(c_x^*(\mathbf{z}_x), \mathbf{z}_x), \mathbf{z}_x) \right. \\ & \left. -\log p_{x \rightarrow y}(c_y^*(\mathbf{z}_x) | g^*(c_y^*(\mathbf{z}_x), \mathbf{z}_x), \mathbf{z}_x) \right] \\ & + \mathbb{E}_{\mathbf{z}_y} \left[-\log p_{y \rightarrow x}(c_x^*(\mathbf{z}_y) | h^*(c_x^*(\mathbf{z}_y), \mathbf{z}_y), \mathbf{z}_y) \right. \\ & \left. -\log p_{x \rightarrow y}(c_y^*(\mathbf{z}_y) | h^*(c_y^*(\mathbf{z}_y), \mathbf{z}_y), \mathbf{z}_y) \right] \end{aligned} \quad (10)$$

Pivoted Captioning for Paired-Translation With the synthetic “pseudo” paired (source, target) captions pivoted on a image (*e.g.*, $(c_y^*(\mathbf{z}_x), c_x^*(\mathbf{z}_x))$), the caption-based paired-translation loss is defined as:

$$\begin{aligned} \mathcal{L}_{x \leftrightarrow y}^{CPT} = & \mathbb{E}_{\mathbf{z}_x} \left[-\log p_{x \rightarrow y}(c_y^*(\mathbf{z}_x) | c_x^*(\mathbf{z}_x), \mathbf{z}_x) \right] \\ & + \mathbb{E}_{\mathbf{z}_y} \left[-\log p_{y \rightarrow x}(c_x^*(\mathbf{z}_y) | c_y^*(\mathbf{z}_y), \mathbf{z}_y) \right] \end{aligned} \quad (11)$$

Note that similar to the text back-translation, for $\mathcal{L}_{x \leftrightarrow y}^{CPT}$ and $\mathcal{L}_{x \leftrightarrow y}^{CBT}$, we do not back-prop through the captioning step. For optimization, we sample mini-batches and minimizing the following loss:

$$\mathcal{L} = \mathcal{L}_{x \leftrightarrow y}^{MBT} + \mathcal{L}_{x,y,z}^{VSE} + \mathcal{L}_{z \leftrightarrow x,y}^{CAP} + \mathcal{L}_{x \leftrightarrow y}^{CBT} + \mathcal{L}_{x \leftrightarrow y}^{CPT} \quad (12)$$

Here we drop the weights w of each loss for clarity. In practice, all the weights are set to 1.0 except for w_{CPT} where we employ a decreasing scheduler detailed in the next section.

4 Experiments and Results

We first describe the implementation details and the experimental setup. Then we compare our approach with baselines with detailed analysis.

4.1 Dataset and Preprocessing

We conduct experiments on the Multi30K (Elliott et al., 2016) dataset, the benchmark dataset for multimodal MT. It contains 29K training, 1K validation, and 1K testing images. Each image has three descriptions in English/German/French which are translations of each other.

To ensure the model never learn from parallel sentences, we randomly split Multi30K training and validation sets in half for one language and use the complementary half for the other. The resulting M30k-half are two corpora with non-overlapping 14,500 training and 507 validation image-sentence pairs, respectively.

For text pre-processing, we use Moses (Koehn et al., 2007) scripts for tokenization and apply the Byte Pair Encoding (BPE) (Sennrich et al., 2016b) from XLM. To identify and extract features of visual objects in images, we use the Faster-RCNN (Ren et al., 2015) model in (Anderson et al., 2018) to detect up to 36 salient visual objects per image and extract their corresponding 2048-dim regional features.

4.2 Implementation

We use Transformer as the basic architecture for our translation and captioning modules. Each encoder/decoder of the translator is with 6-layer stacked Transformer network, 8 heads, 1024 hidden units, and 4096 feed-forward filter size. The captioner is a 6-layer Transformer decoder with the same configuration. The visual encoder is a 1-layer MLP which maps visual feature to the shared 1,024-dim embedding space then adds the positional encoding to encode spatial locations (normalized top-left and bottom-right coordinates) of visual objects. Our implementation is based on the codebase of XLM and MASS. We will open-source our model and code for reproducing our results.

4.3 Experimental Details

We respectively conduct unsupervised MMT experiments on Multi30K-half for two language pairs: English-French and English-German.

Pre-Training Pre-training is a critical step for unsupervised MT. We follow the setup in UMMT (Su et al., 2019) for a fair comparison. For each language, we create a text-only pre-training set by combining the shuffled first 10 million sentences of the WMT News Crawl datasets from 2007 to 2017 with 10 times of M30k-half, resulting in a text-only dataset with 10.145 million unparalleled sentences in English, French, German respectively.

For text pre-training, we leverage the script and the masked seq-to-seq objective proposed in MASS which randomly masks a span in a sentence then encourage the model to decode and reconstruct the masked sequence. More details can be found in the original paper.

For multimodal pre-training of the captioning modules, we use the MS-COCO (Lin et al., 2014) dataset. We randomly split the training set into two disjoint subsets. Each set contains 56,643 images and 283,215 sentences. We use the translate-train strategy as in XNLI (Conneau et al., 2018b) for training captioners in each language. We leverage Google translate to translate one set of English sentences into French and German. Note that the captioning modules are trained on non-parallel sentences with disjoint image subsets, which implies no overlap between English-German or English-French sentences.

Fine-tuning on Multi30K-half We fine-tune on the training set of Multi-30K half for 20 epochs. We train our model with the Adam optimizer (Kingma and Ba, 2014) with a linear warm-up and a learning rate varying from 10^{-7} to 10^{-5} . We set all the weights of losses to 1.0 except applying a linear decreasing schedule from 1.0 to 0.1 at 10-th epoch for w^{CPT} as we empirically observe that the generated captions are relatively too noisy to serve as good pseudo translation pairs in the later stage of training. The margin γ in VSE is set to 0.1. Other hyper-parameters in Transformer follow the default setting in XLM and MASS. Our models are trained with 4 Titan Xp GPUs with in 1,000 tokens in each mini-batch.

Evaluation and Model selection For evaluation, we report the BLEU score with multi-bleu.pl¹ in Moses on the Multi30K testing set. The Meteor, Rouge score is computed with NLG-eval.²

For model selection without a parallel validation corpus, we consider the unsupervised criterion proposed in (Lample et al., 2018a) based on the BLEU scores of “round-trip” translations (source \rightarrow target \rightarrow source and target \rightarrow source \rightarrow target) which have been empirically shown to correlate well with the testing metrics.

4.4 Baseline Models

We compare recent unsupervised text-only and multimodal MT baselines listed in the following: (1) MUSE (Conneau et al., 2018a) is a word-to-word MT model with pre-trained Wikipedia embeddings. (2) UNMT (Lample et al., 2018a) sets the tone of using denoising autoencoder and back-translation for unsupervised MT. (3) XLM (Lample et al., 2019) deploys masked language model from BERT.

¹<https://github.com/moses-smt/mosesdecoder/blob/master/scripts/generic/multi-bleu.perl>

²<https://github.com/Maluuba/nlg-eval>

(4) MASS (Song et al., 2019) uses a masked seq-to-seq pre-training objective, achieves the current state-of-the-art performance in text-only unsupervised MT. (5) Game-MMT (Chen et al., 2018) is a reinforcement learning-based unsupervised MMT. (6) UMMT (Su et al., 2019) use visual feature for denoising autoencoder and back-translation. UMMT is the current state of the art in unsupervised MMT. We either use the reported scores in the original papers or use their best scripts with their pre-trained language models publicly available for fine-tuning on Multi30K-half.

4.5 Main Results

4.5.1 Comparison with the Baseline Models

Table 1 shows the benchmark results with other state-of-the-art unsupervised MT and MMT models. The first four rows shows the results of the recent text-only MT models. Game-MMT and UMMT are MMT models using both image and text inputs. Our full model (T+V+VSE+CAP+CBT+CPT) yields new state-of-the-art performance in BLEU and in other metrics, out-performing recent text-only and multimodal baseline model by a large margin. Notably, our full model outperforms UMMT by +5.5~12.5 BLEU scores, sets new state of the art in unsupervised MMT.

Although pre-training plays an important role for unsupervised MT, comparing Ours-Text only and Ours-Full, the results suggest that multimodal content can further boost the performance. The improvement with visual content is +2.7~3.7 BLEU across 4 tasks. Note that our model use different monolingual pre-training corpora to MASS and XLM for the fair comparison with UMMT. With the similar pre-training objective, our text-only model is worse than MASS, while Ours-Full outperforms MASS by +2.3~3.7 in BLEU with the visual information.

Comparing the differences in (UMMT-T, UMMT-Full) and (Ours-T,Ours-Full), our model achieves +2.5~3.7 improvement in BLEU with the visual information while +1.4~2.5 for UMMT. The results implies that the proposed model are more effective in utilizing visual information even with a higher text-only baseline (*e.g.* 49.5 vs 37.2 in en \rightarrow fr). Fig. 3 illustrates the qualitative results on the Multi30K-half testing set, where our full model improves the unsupervised translation quality.

Model	en→fr			fr→en			en→de			de→en		
	BLEU	Meteor	Rogue	BLEU	Meteor	Rogue	BLEU	Meteor	Rogue	BLEU	Meteor	Rogue
MUSE [†] (Conneau et al., 2018a)	8.5	-	-	16.8	-	-	15.7	-	-	5.4	-	-
UNMT [†] (Lample et al., 2018a)	32.8	-	-	32.1	-	-	22.7	-	-	26.3	-	-
XLM [†] (Lample et al., 2019)	46.3	36.5	69.2	42.0	38.1	70.1	27.4	28.3	57.6	30.7	31.0	60.9
MASS [†] (Song et al., 2019)	49.8	38.8	72.5	43.7	38.7	72.1	30.2	30.7	62.3	32.5	33.4	64.5
Game-MMT (Chen et al., 2018)	-	-	-	-	-	-	16.6	-	-	19.6	-	-
UMMT-T [†] (Su et al., 2019)	37.2	33.7	65.2	38.5	36.4	48.9	21.0	25.4	53.9	25.0	28.4	58.5
UMMT-Full (Su et al., 2019)	39.8	35.5	67.3	40.5	37.2	69.9	23.5	26.1	55.1	26.4	29.7	59.7
Ours-Text only [†]	49.5	38.5	71.3	43.5	38.5	71.6	30.1	30.5	62.3	32.4	33.0	63.9
Ours-Full	52.3	40.3	73.7	46.0	39.8	73.6	33.9	32.0	64.2	36.1	34.7	66.0

Table 1: **Results on unsupervised MT.** Comparison with benchmarks on the Multi30K testing set. Text-only[†]. Our full model is with T+V+VSE+CAP+CBT+CPT. The best score is marked bold.





	T: un homme marchant en train de peindre un mur de mur coloré . T+V: un homme marchant devant une fresque murale colorée . GT: un homme marchant devant une fresque murale colorée . SRC: a man walking in front of a colorful wall mural .
	T: un chat assis sur le sommet d & apos ; un magasin de vêtements . T+V: un chat est assis sur un panneau de magasin . GT: un chat est assis sur une enseigne de magasin . SRC: a cat sits on top of a store sign .
	T: ein mann neben einem rad spielt eine teigrolle . T+V: ein mann neben einem fahrrad spielt eine art flöte . GT: ein mann spielt neben einem fahrrad panflöte . SRC: a man next to a bicycle is playing a pan flute .
	T: mann springt mit einem felsbrocken im hintergrund . T+V: mann springt vor einer felsformation im hintergrund in die luft . GT: mann springt vor einer felsformation im hintergrund . SRC: man jumping with a rock formation in background .

Figure 3: Qualitative results of the proposed model. GT: ground truth. T+V: Our full model.

4.5.2 Ablation Study

To quantify module-wise contribution, we summarize our ablation study in Table 2. Comparing the performance improvement from text-only to the model with regional visual feature (T+V), the feature of salient visual objects contribute +0.9~1.5 BLEU score over a much higher text-only baseline compared to UMMT.

The alignment of multilingual VSE spaces is shown to be important, which provides +0.9~1.6 improvement in BLEU. This improvement validates our hypothesis that the visual space can be used as a bridge connecting the spaces of source and target languages. Similar to back-translation in MT, the generated pseudo caption pairs effectively provide weak supervision which improves unsupervised MMT. We observe that the pivoted captions for paired translation (CPT) is more effective than treating them as back-translation pairs (CBT). Utilizing generated image-pivoted captions is likely be a reasonable approach for weakly supervised or unsupervised MT. The full model using VSE, CBT, and CPT achieves +1.5~2.7 improvement to the our multimodal baseline (row 2, feature-only).

Model (Ours)	en→fr	fr→en	en→de	de→en
Text only	49.52	43.48	30.10	32.35
T+V	50.75	44.36	31.61	33.32
T+V+VSE	51.72	45.73	32.67	34.94
T+V+CPT*	51.64	45.55	33.04	35.02
T+V+CBT*	51.23	45.21	32.51	33.87
T+V+VSE+CBT*	51.81	45.83	33.01	34.38
T+V+CPT+CBT*	51.85	45.65	33.61	35.85
T+V+VSE+CPT*	52.19	46.10	33.73	35.60
Full Model*	52.29	45.98	33.85	36.07

Table 2: Ablation study. BLEU comparison with different objective configuration. * means CAP included.

4.5.3 Generalizability

How does our unsupervised MMT model generalize when the visual data are not available? Table 3 shows the testing result *without* images. As can be observed, our model generalize well even when the information from the visual modality is unavailable. The differences are mostly less than 1.0 in BLEU. Our model still outperforms other text only or multimodal MT models in Table 1 without visual information at the testing time.

An interesting question is: How much does the visual information contribute? As in leave-one-feature-out cross-validation, we compare the difference of performance between inferencing with and without visual information. The larger the difference implies that the model utilizes more visual information. Comparing UMMT and our model, our full model utilizes more visual information. Note that our model already stands on a higher baseline performance.

Another interesting question is: What makes our model leverage visual information better? We observe that the key to the difference is the VSE objective. The model without aligning VSE spaces will utilize less visual information, as expected.

Model	en→fr	fr→en	en→de	de→en
UMMT	39.44 _{-0.35}	40.30 _{-0.23}	23.18 _{-0.34}	25.47 _{-0.92}
Ours-no VSE	51.60 _{-0.25}	45.39 _{-0.26}	33.25 _{-0.36}	35.15 _{-0.70}
Ours-Full	51.64 _{-0.65}	45.48 _{-0.50}	33.32 _{-0.53}	35.04 _{-1.03}

Table 3: BLEU for full T+V model tested with text only input. Subscripts are the difference to testing with T+V.

4.5.4 Real-pivoting & Low-resource Corpora

Will our model benefit from “real” pivoting (src-img₁, img₁-tgt, overall src-img₁-tgt)? We train our models with overlapped images while leaving sentences in the source and target languages unpaired (no translation pairs are used). From the first 3 rows in Table 4, the performance is improved when trained with the overlapped images and corresponding sentences. Comparing the improvement from 0% to 100% of the text-only model and the full model, a larger gain is observed with the proposed approaches which aligns and reduces uncertainty in the VSE as well as the language latent spaces.

Furthermore, under the low-resource setting (3.0K non-parallel data, row 6 and 7), a solid improvement over text-only model is still observed. These results suggest that our model is likely to generalize to the semi-supervised and low-resource setting, which we consider as our future work.

5 Related Work

Unsupervised MT For pivoting with a third language, [Firat et al. \(2016\)](#) pre-train a multi-way multilingual model to generate pseudo pairs to improve zero-shot translation. [Chen et al. \(2017\)](#) use a teacher-student framework and assume parallel sentences share similar likelihood for generating sentence in the third language while [\(Cheng et al., 2017\)](#) maximize the expected likelihood. Our model does not rely on the third language. Our framework is along the line of research in [\(Lample et al., 2018a,b, 2019\)](#) which aims at learning a aligned latent space between the two languages to translate by reconstruction. Nevertheless, we focus on the multimodal setup where the visual space is dissimilar to the language space with challenging asymmetric interactions between modalities.

Unsupervised MMT MMT is introduced in [\(Specia et al., 2016\)](#) as a multi-encoder single-decoder framework. As analyzed in [\(Caglayan et al., 2019\)](#), visual content is more critical when the textual content is limited or uncertain in MMT. To our best knowledge, there are three works generalizing MMT to the unsupervised setting. [Nakayama](#)

Img overlap % (# imgs/sents)	en→fr	fr→en	en→de	de→en
0% (14.5K/14.5K)	52.29	45.98	33.85	36.07
50% (22K/22K)	55.13	47.54	34.61	37.01
100% (29K/29K)	58.34	50.57	35.45	38.55
0% (T only/14.5K)	49.52	43.48	30.10	32.35
100% (T only/29K)	53.35	46.27	31.35	34.06
0% (3.0K/3.0K)	31.48	27.91	23.94	26.60
0% (T only/3.0K)	30.33	26.95	21.65	23.47

Table 4: BLEU of full/text-only model trained with overlapped images or low-resource unpaired corpora.

and [Nishida \(2017\)](#) learn modal-agnostic fixed length image/sentence embeddings. In contrast, our model promotes fine-grained (object-token) varying-length embedding which better aligns VSE space. Game-MMT [\(Chen et al., 2018\)](#) use a captioning and a translation model maximizing the likelihood of translated captions to original sentences. We synthesize captions for symmetric back-translation and considers no ground truth image annotation in the loop. Empirically, it is preferred to separate real and generated captions. UMMT [\(Su et al., 2019\)](#) uses Transformers, autoencoder loss and multimodal back-translation. We do not use autoencoder. Our model leverages object detection for multimodal back-translation and equips pseudo visual pivoting.

Image Captioning and VSE Our method draws inspiration from image captioning research. Recent progress in captioning aims at using reinforcement learning to improve diversity [\(Dai et al., 2017\)](#) or maximize metric [\(Rennie et al., 2017\)](#). We use a vanilla MLE objective and learn multilingual VSE spaces with a retrieval-based objective [\(Faghri et al., 2018\)](#). For learning VSE space, we generalize the image-text attention from SCAN [\(Lee et al., 2018\)](#) to the multilingual scenario.

6 Conclusion

We have presented a novel framework for unsupervised multimodal MT with pseudo visual pivoting. Our model learns multilingual VSE spaces and use the visual space as the approximate pivot to associate language latent spaces. Additionally, our model synthesizes image-pivoted pseudo sentences in two languages and pairs them to translate for reconstruction without parallel corpora. The experimental results on Multi30K show that proposed model generalizes well and significantly outperforms other state-of-the-art methods.

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