

# Homework X

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## Section 4.12 Problems

6. Let  $X$  and  $Y$  be Banach spaces and  $T : X \rightarrow Y$  an injective bounded linear operator. Show that  $T^{-1} : \mathfrak{R}(T) \rightarrow X$  is bounded if and only if  $\mathfrak{R}(T)$  is closed in  $Y$ .

*Proof:* To begin, since  $T$  is injective, we have that  $T^{-1} : \mathfrak{R}(T) \rightarrow X$  exists. Now, recall that  $\mathfrak{R}(T)$  is a vector space since  $T$  is a linear operator. First suppose that  $\mathfrak{R}(T)$  is closed. Then since  $\mathfrak{R}(T)$  is a subspace of  $Y$  and is closed,  $\mathfrak{R}(T)$  is a Banach space. Then since  $T$  is surjective onto  $\mathfrak{R}(T)$ , by the bounded inverse theorem,  $T^{-1}$  is bounded.

Now suppose that  $T^{-1}$  is bounded. We wish to show that  $\mathfrak{R}(T)$  is closed. Let  $\{y_n\}$  be a Cauchy sequence in  $\mathfrak{R}(T)$ . That is, for any  $\varepsilon > 0$ , there exists an index  $N$  such that whenever  $n > m > N$ , we have

$$\|y_n - y_m\| < \frac{\varepsilon}{\|T^{-1}\|}$$

but since each  $y_n \in \mathfrak{R}(T)$ , there exists an associated  $x_n \in X$  such that  $x_n = T^{-1}y_n$ . Now notice for  $n > m > N$ ,

$$\begin{aligned} \|x_n - x_m\| &= \|T^{-1}y_n - T^{-1}y_m\| \\ &= \|T^{-1}(y_n - y_m)\| \\ &\leq \|T^{-1}\| \|y_n - y_m\| \\ &< \|T^{-1}\| \frac{\varepsilon}{\|T^{-1}\|} \\ &= \varepsilon \\ \implies \|x_n - x_m\| &< \varepsilon \end{aligned}$$

so that  $\{x_n\}$  is Cauchy in  $X$ . Since  $X$  is a Banach space,  $x_n \rightarrow x$  for some  $x \in X$ . But then since  $\mathfrak{D}(T) = X$ ,  $Tx = y$  for some  $y \in \mathfrak{R}(T)$ . Now, since  $T$  is bounded,  $T$  is continuous, so that  $Tx_n \rightarrow Tx \implies y_n \rightarrow y$ . Since  $\{y_n\}$  was an arbitrary Cauchy sequence, we have that  $\mathfrak{R}(T)$  is closed.

8. **(Equivalent Norms)** Let  $\|\cdot\|_1$  and  $\|\cdot\|_2$  be norms on a vector space  $X$  such that  $X_1 = (X, \|\cdot\|_1)$  and  $X_2 = (X, \|\cdot\|_2)$  are complete. If  $\|x_n\|_1 \rightarrow 0$  always implies  $\|x_n\|_2 \rightarrow 0$ , show that convergence in  $X_1$  implies convergence in  $X_2$  and conversely, and there are positive numbers  $a$  and  $b$  such that for all  $x \in X$ ,

$$a\|x\|_1 \leq \|x\|_2 \leq b\|x\|_1.$$

*Proof:* First suppose that  $\{x_n\}$  is a sequence that converges to some  $x \in X_1$ . Then by assumption,

$$\begin{aligned} \|x_n - x\|_1 &\rightarrow 0 \quad \text{as } n \rightarrow \infty \\ \implies \|x_n - x\|_2 &\rightarrow 0 \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Thus convergence in  $X_1$  implies convergence in  $X_2$ . Now suppose  $\{x_n\}$  is a sequence converging to  $x \in X_2$ . Define the linear operator  $T : X_1 \rightarrow X_2$  by

$$Tx = x$$

that is, we are sending  $x \in X_1$  to its associated element in  $X_2$ . Notice that  $T$  is bounded since

$$\|Tx\| = \|x\|.$$

Hence  $\|T\| = 1$ . Notice that  $T$  is surjective by definition. Since  $X_1$  and  $X_2$  are complete spaces, by the bounded inverse theorem, we have that  $T^{-1}$  is bounded. Now notice  $\{x_n\}$  and  $x$  as elements of  $X_1$ , we have  $x_n = T^{-1}x_n$ ,  $x = T^{-1}x$  and so

$$\begin{aligned}\|x_n - x\|_1 &= \|T^{-1}x_n - T^{-1}x\|_2 \\ &\leq \|T^{-1}\| \|x_n - x\|_2 \rightarrow 0 \quad \text{as } n \rightarrow \infty\end{aligned}$$

so that convergence in  $X_2$  implies convergence in  $X_1$ . Notice from above, we have

$$\frac{1}{\|T^{-1}\|} \|x\|_1 \leq \|x\|_2 \leq \|T\| \|x\|_1.$$

## Section 4.13 Problems

8. Let  $X$  and  $Y$  be normed spaces and let  $T : X \rightarrow Y$  be a closed linear operator. (a) Show that the image  $A$  of a compact subset  $C \subset X$  is closed in  $Y$ . (b) Show that the inverse image  $B$  of a compact subset  $K \subset Y$  is closed in  $X$ .

*Proof:* (a) Let  $\{a_n\}$  be a sequence in  $A$  that converges to some  $a \in Y$ . We wish to show that  $a \in A$ . Since  $a_n \in A$  and  $A = T(C)$ , there exists, for each  $n$ ,  $c_n \in C$  such that  $Tc_n = a_n$ . And since  $C$  is compact, it is sequentially compact, so  $\{c_n\}$  admits a convergent subsequence,  $c_{n_k} \rightarrow c \in C$ . Now, since  $T$  is closed, and  $c_{n_k} \rightarrow c$ ,  $Tc_{n_k} = a_{n_k} \rightarrow a$ , we have that  $a = Tc$ , so that  $a \in A$ . Hence  $A$  is closed.

(b) Let  $\{x_n\}$  be a sequence in  $B$  such that  $x_n \rightarrow x \in X$ . We wish to show that  $x \in B$ . By definition of preimage, there exists, for each  $n$ ,  $k_n \in K$  such that  $T^{-1}k_n = x_n$ . Thus, since  $K$  is compact,  $K$  is sequentially compact, so  $\{k_n\}$  admits a convergent subsequence, say  $k_{n_\ell} \rightarrow k \in K$ . But since  $k \in K$ , there exists some  $z \in B$  such that  $z = T^{-1}k$ . But this says that  $(z, k) \in \mathcal{G}(T)$  and so, since  $T$  is closed,  $z = x$ , so that  $B$  is closed.

## Assigned Exercise X.1

Let  $X$  and  $Y$  be normed spaces and let  $T : X \rightarrow Y$  be a closed linear operator. Suppose that for every convergent sequence  $(x_n)$  in  $X$ , the sequence  $(y_n = Tx_n)$  admits a convergent subsequence  $(y_{n_k})$ . Prove that  $T$  is bounded.

*Proof:* Let  $M \subseteq Y$  be a closed subset of  $Y$ , and let  $A = T^{-1}(M)$ , the preimage of  $M$  under  $T$ . Let  $x$  be a limit point of  $M$ . Then there exists a sequence  $\{x_n\}$  in  $A$  such that  $x_n \rightarrow x \in X$ . We must show  $x \in A$ . But by hypothesis, we have that  $\{y_n = Tx_n\}$  admits a convergent subsequence,  $\{y_{n_k}\}$  converging to some  $y$ . Since  $M$  is closed, we have that  $y \in M$ . And since  $T$  is a closed linear operator, we have that  $x_{n_k} \rightarrow x' \in A$  where  $Tx' = y$ . But since  $x_n \rightarrow x$  and  $x_{n_k} \rightarrow x'$ , we have  $x' = x$  by uniqueness. Thus,  $x \in A$  as desired. Hence,  $A$  is closed in  $X$ , and by problem 1.3 #14, we have that  $T$  is continuous and is therefore bounded.