MATH 5350

# Homework III

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#### Section 2.3 Problems

**2.** Show that  $c_0$  in Prob. 1 is a *closed* subspace of  $\ell^{\infty}$ , so that  $c_0$  is complete by 1.5-2 and 1.4-7.

*Proof*: Let x be a limit point of  $c_0$ . We will show that  $x \in c_0$ . Since x is a limit point of  $c_0$ , there exists a sequence  $\{x_n\}$  of points in  $c_0$  such that for any  $\varepsilon > 0$ , there exists a natural number  $N_0$  such that

$$||x_n - x|| < \frac{\varepsilon}{2}.$$

whenever  $n > N_0$ . Similarly, since  $x_n \in c_0$ , there exists a natural number  $N_1$  such that

$$|x_n^{(m)}| < \frac{\varepsilon}{2}$$

whenever  $m > N_1$  where the superscript (m) denotes the  $m^{\text{th}}$  element of  $x_n$ . Now notice, for  $m > N_1$ ,  $n > N_0$ ,

$$\begin{split} |x^{(m)}| &= |x^{(m)} - x_n^{(m)} + x_n^{(m)}| \\ &\leq |x^{(m)} - x_n^{(m)}| + |x_n^{(m)}| \\ &\leq \sup_{m > N_1} |x^{(m)} - x_n^{(m)}| + |x_n^{(m)}| \\ &= ||x^{(m)} - x_n^{(m)}|| + |x_n^{(m)}| \\ &< \frac{\varepsilon}{2} + \frac{\varepsilon}{2}. \\ &= \varepsilon \end{split}$$

So  $|x^{(m)}| < \varepsilon$  for  $m > N_1$ . Since  $\varepsilon$  is an upper bound for  $|x^{(m)}|$  for all  $m > N_1$ ,

$$\sup_{m>N_1}|x^{(m)}|<\varepsilon$$

Thus,  $x \to 0$ , so  $x \in c_0$  and  $c_0$  is a closed subspace of  $\ell^{\infty}$ .

**5.** Show that  $x_n \to x$  and  $y_n \to y$  implies  $x_n + y_n \to x + y$ . Show that  $\alpha_n \to \alpha$  and  $x_n \to x$  implies  $\alpha_n x_n \to \alpha x$ .

*Proof*: To start, let  $x_n \to x$  and  $y_n \to y$ . Fix  $\varepsilon > 0$ . Then there exist indices  $N_1, N_2 \in \mathbb{N}$  such that, whenever  $n > N_1$ ,

$$||x_n - x|| < \frac{\varepsilon}{2}$$

and similarly, when  $n > N_2$ ,

$$||y_n - y|| < \frac{\varepsilon}{2}.$$

Let  $N = \max\{N_1, N_2\}$ . Then for n > N, notice

$$||(x_n + y_n) - (x + y)|| = ||(x_n - x) + (y_n - y)||$$

$$\leq ||x_n - x|| + ||y_n - y||$$

$$< \frac{\varepsilon}{2} + \frac{\varepsilon}{2}$$

$$= \varepsilon.$$

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That is,  $||(x_n + y_n) - (x + y)|| < \varepsilon$  for n > N, so  $x_n + y_n \to x + y$ .

Now let  $\alpha_n \to \alpha$ . We wish to show that  $\alpha_n x_n \to \alpha x$ . Notice the following:

$$||x_n\alpha_n - x\alpha|| = ||x_n\alpha_n - x\alpha_n + x_n\alpha - x\alpha||$$

$$\leq ||x_n\alpha_n - x_n\alpha|| + ||x_n\alpha - x\alpha||$$

$$= |\alpha_n - \alpha||x_n|| + |\alpha||x_n - x||.$$
 (Homogeneity of the norm)

Since  $x_n$  converges,  $\{x_n\}$  is a bounded sequence, hence there exists some positive number M such that

$$||x_n|| \le M$$

for all n. Now, fix  $\varepsilon > 0$ . Since  $\alpha_n \to \alpha$ , there exists a natural number  $N_1$  such that

$$|\alpha_n - \alpha| < \frac{\varepsilon}{2M}$$

whenever  $n > N_1$ . Similarly, since  $x_n \to x$ , there exists a natural number  $N_2$  such that

$$||x_n - x|| < \frac{\varepsilon}{2|\alpha|}$$

whenever  $n > N_2$ . Take  $N = \max\{N_1, N_2\}$  so that whenever n > N,

$$||x_n|||\alpha_n - \alpha| + |\alpha|||x_n - x|| \le M|\alpha_n - \alpha| + |\alpha|||x_n - x||$$

$$< M\frac{\varepsilon}{2M} + |\alpha|\frac{\varepsilon}{2|\alpha|}$$

$$= \frac{\varepsilon}{2} + \frac{\varepsilon}{2}$$

$$= \varepsilon.$$

Thus,  $\alpha_n x_n \to \alpha x$ .

10. (Schauder Basis) Show that if a normed space has a Schauder basis, it is separable.

*Proof*: Let  $X = (X, \|\cdot\|)$  be a normed space that has a Schauder basis. That is, for each  $x \in X$ , there exists a unique sequence of scalars  $\{\alpha_n\}$  and a sequence of "basis" vectors  $\{e_n\}$  such that  $\|x - (\alpha_1 e_1 + \cdots + \alpha_n e_n)\| \to 0$  as  $n \to \infty$ . Now, define the set

$$M = \{x \in X \mid x = q_1 e_1 + \dots + q_k e_k\}.$$

where  $q_i \in \mathbb{Q}$  if the scalar field is  $\mathbb{R}$ , or  $q_i = q_i^R + iq_i^I$ ,  $q_i^R, q_i^I \in \mathbb{R}$  if the scalar field is  $\mathbb{C}$ . That is, M is the set of vectors in X that can be expressed as a linear combination of basis vectors whose coefficients are dense (but countable) in the scalar field. We will begin by showing that M is dense in X.

Fix  $\varepsilon > 0$  and let  $y \in X$ . Since X has a Schauder basis, there exists a unique sequence of scalars  $\{\alpha_n\}$  and an index  $N \in \mathbb{N}$  such that whenever n > N,

$$||y - (\alpha_1 e_1 + \dots + \alpha_2 e_n)|| < \frac{\varepsilon}{2}$$

Now, for each i, we may find  $q_i$  such that

$$|\alpha_i - q_i| < \frac{\varepsilon}{2n||e_i||}$$

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Then notice

$$||y - (q_{1}e_{1} + \dots + q_{n}e_{n})|| = ||y - (\alpha_{1}e_{1} + \dots + \alpha_{n}e_{n}) + (\alpha_{1}e_{1} + \dots + \alpha_{n}e_{n}) - (q_{1} + \dots + q_{n}e_{n})||$$

$$\leq ||y - (\alpha_{1}e_{1} + \dots + \alpha_{n}e_{n})|| + ||(\alpha_{1} - q_{1})e_{1} + \dots + (\alpha_{n} - q_{n})e_{n}||$$

$$\leq ||y - (\alpha_{1}e_{1} + \dots + \alpha_{n}e_{n})|| + ||\alpha_{1} - q_{1}|||e_{1}|| + \dots + ||\alpha_{n} + q_{n}|||e_{n}||$$

$$< \frac{\varepsilon}{2} + \frac{\varepsilon}{2n} + \dots + \frac{\varepsilon}{2n}$$

$$= \frac{\varepsilon}{2} + \frac{\varepsilon}{2}$$

Since  $(q_1e_1 + \cdots + q_ne_n) \in M$ , we have that M is dense in X. Also, since M has a countable basis, namely,  $\{e_1, \cdots, e_n\}$  and since the scalars are pulled from a countable and dense subset of  $\mathbb{R}$  or  $\mathbb{Q}$ , it follows that M is countable.

Thus, if X has a Schauder basis, then X is a separable space.

#### Section 2.4 Problems

**6.** Theorem 2.4-5 implies that  $\|\cdot\|_2$  and  $\|\cdot\|_{\infty}$  in Prob. 8, Sec. 2.2, are equivalent Give a direct proof of this fact.

*Proof*: Let X be the set of n-tuples of numbers and  $x = (\xi_1, \dots, \xi_n) \in X$ . We wish to show that the 2 and infinity norms defined below are equivalent.

$$||x||_2 = \sqrt{(\xi_1)^2 + \dots + (\xi_n)^2}$$
  
 $||x||_{\infty} = \max\{|\xi_1|, \dots, |\xi_n|\}$ 

To begin, since  $\{|\xi_1|, \cdots, |\xi_n|\}$  is a finite set, there exists an index i such that

$$|\xi_i| = \max\{|\xi_1|, \cdots, |\xi_n|\} = ||x||_{\infty}$$

Now notice that

$$(\xi_i)^2 \le (\xi_1)^2 + \dots + (\xi_i)^2 + \dots + (\xi_n)^2$$
$$|\xi_i| \le \sqrt{(\xi_1)^2 + \dots + (\xi_i)^2 + \dots + (\xi_n)^2}$$
$$\implies ||x||_{\infty} \le ||x||_2.$$

Now, notice that since  $|\xi_i| = \max\{|\xi_1|, \cdots, |\xi_n|\},\$ 

$$(\xi_1)^2 + \dots + (\xi_n)^2 \le (\xi_i)^2 + \dots + (\xi_i)^2$$
  
=  $n(\xi_i)^2$ 

hence,

$$\sqrt{(\xi_1)^2 + \dots + (\xi_n)^2} \le \sqrt{n} |\xi_i|$$

$$\implies ||x||_2 \le \sqrt{n} ||x||_{\infty}.$$

Putting the two inequalities together, we have

$$||x||_{\infty} \le ||x||_2 \le \sqrt{n} ||x||_{\infty}$$

Thus,  $||x||_2$  and  $||x||_{\infty}$  are equivalent norms.

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## **Assigned Exercises**

III.1 Let  $c_0$  be the subspace of  $\ell^{\infty}$  consisting of all sequences that converge to zero. Prove that  $c_0$  has the Schauder basis  $(e_n)$ , where  $e_n = (\delta_{nj})$  is the n-th unit coordinate sequence.

*Proof*: Let  $x \in c_0$ . We wish to show that there exists a unique sequence of scalars  $\{\alpha_n\}$  such that

$$||x - (\alpha_1 e_1 + \dots + \alpha_n e_n)|| \to 0 \text{ as } n \to \infty.$$

Well, take  $\alpha_n = x^{(n)}$ , where the superscript (n) denotes the  $n^{\text{th}}$  element of the sequence. Additionally, since  $x \in c_0$ , for any  $\varepsilon > 0$ , there exists a natural number N such that

$$|x^{(n)}| < \frac{\varepsilon}{2}$$

whenever n > N. That is,  $\frac{\varepsilon}{2}$  is an upper bound for all  $x^{(n)}$  so that  $\sup_{i>n} |x^{(i)}| \leq \frac{\varepsilon}{2} < \varepsilon$ . Now, let  $y = x - (\alpha_1 e_1 + \dots + \alpha_n e_n)$  so that

$$||y|| = ||(0,0,\cdots,0,x^{(n+1)},\cdots)||$$

but since each  $y^{(i)} = 0$  for  $1 \le i \le n$ ,

$$\sup_{i \ge 1} |y^{(i)}| = \sup_{i > n} |y^{(i)}|$$
$$= \sup_{i > n} |x^{(i)}|.$$

Hence, for n > N as above,

$$\sup_{i>n}|x^{(i)}|<\varepsilon.$$

Hence,

$$||x - (\alpha_1 e_1 + \dots + \alpha_n e_n)|| \to 0 \text{ as } n \to \infty$$

so that  $c_0$  has the Schauder basis  $\{e_n\}$ .