# Thesis

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May 15, 2022

# Part I

# **Preliminary Material**

# 1 Gröbner Bases

Throughout this section, let K be a field, and let S denote the polynomial ring  $K[x_1, \ldots, x_n]$ . In this section, we state all of our lemmas, propositions, and theorems without proof. All of the proofs can be found in [?] and [?].

# 1.1 Monomials and Polynomials in S

A **monomial** *m* in *S* is a product in *S* of the form

$$m=x_1^{\alpha_1}\cdots x_n^{\alpha_n}$$
,

where all of the exponents  $\alpha_1, \ldots, \alpha_n$  are nonnegative integers. Sometimes we will use the notation  $x^{\alpha}$  to denote a monomial, where  $\alpha = (\alpha_1, \ldots, \alpha_n)$  is an n-tuple of nonnegative integers. Note that  $x^{\alpha} = 1$  when  $\alpha = (0, \ldots, 0)$ . If  $m = x^{\alpha}$  is a monomial in S then the **degree** of m, denoted  $\deg(m)$  or  $|x^{\alpha}|$ , is the sum  $\alpha_1 + \cdots + \alpha_n$ .

A **polynomial** *f* in *S* is a finite linear combination of monomials. We will write a polynomial *f* in the form

$$f=\sum_{\alpha}a_{\alpha}x^{\alpha},\quad a_{\alpha}\in K,$$

where the sum is over a finite number of n-tuples  $\alpha = (\alpha_1, \dots, \alpha_n)$ . We call  $a_\alpha$  the **coefficient** of the monomial  $x^\alpha$ . If  $a_\alpha \neq 0$ , then we call  $a_\alpha x^\alpha$  a **term** of f. The **total degree** of  $f \neq 0$ , denoted  $\deg(f)$ , is the maximum  $|\alpha|$  such that the coefficient  $a_\alpha$  is nonzero.

*Remark.* If we replace the field K with a ring R, then the same terminology applies to  $R[x_1, \ldots, x_n]$ . For instance, a **monomial** m in  $R[x_1, \ldots, x_n]$  is a product of the form  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ , and etc...

#### 1.1.1 Monomial Orderings on S

A **monomial ordering** on *S* is a total ordering > on  $\mathbb{Z}_{\geq 0}^n$ , or equivalently, a total ordering on the set of monomials  $x^{\alpha}$ ,  $\alpha \in \mathbb{Z}_{>0}^n$ , satisfying

$$x^{\alpha} > x^{\beta} \implies x^{\gamma} x^{\alpha} > x^{\gamma} x^{\beta}$$
,

for all  $\alpha$ ,  $\beta$ ,  $\gamma \in \mathbb{Z}_{>0}^n$ . We say > is a **global monomial ordering** if  $x^{\alpha} > 1$  for all  $\alpha \neq 0$ .

*Remark.* By a total ordering, we mean for all distinct pairs of monomials  $x^{\alpha}$  and  $x^{\beta}$ , we either have  $x^{\alpha} > x^{\beta}$  or  $x^{\beta} > x^{\alpha}$ . This property is used in induction arguments.

**Lemma 1.1.** Let > be a monomial ordering, then the following conditions are equivalent.

1. > is a well-ordering, i.e. every nonempty set of monomials has a smallest element, or equivalently, every decreasing sequence

$$x^{\alpha(1)} > x^{\alpha(2)} > x^{\alpha(3)} > \cdots$$

eventually terminates.

- 2.  $x_i > 1$  for i = 1, ..., n.
- 3. > is global.
- 4.  $\alpha \geq_{nat} \beta$  and  $\alpha \neq \beta$  implies  $x^{\alpha} > x^{\beta}$ , where  $\geq_{nat}$  is a partial order on  $\mathbb{Z}_{>0}^n$  defined by

$$(\alpha_1,\ldots,\alpha_n)\geq_{nat}(\beta_1,\ldots,\beta_n)$$
 if and only if  $\alpha_i\geq\beta_i$  for all  $i$ .

### 1.1.2 Examples of Monomial Orderings

We now describe some important examples of global monomial orderings: Let  $\alpha, \beta \in \mathbb{Z}_{>0}^n$ .

1. (Lexicographical ordering): We say  $x^{\alpha} >_{lv} x^{\beta}$  if

there exists 
$$1 \le i \le n$$
 such that  $\alpha_1 = \beta_1, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i > \beta_i$ .

2. (Degree reverse lexicographical ordering) We say  $x^{\alpha} >_{dv} x^{\beta}$  if

$$|\alpha| = \sum_{i=1}^{n} \alpha_i > |\beta| = \sum_{i=1}^{n} \beta_i$$
, or  $|\alpha| = |\beta|$  and there exists  $1 \le i \le n$  such that  $\alpha_n = \beta_n, \dots, \alpha_{i+1} = \beta_{i+1}, \alpha_i < \beta_i$ .

3. (Degree lexicographical ordering) We say  $x^{\alpha} >_{Dp} x^{\beta}$  if

$$|\alpha| = \sum_{i=1}^n \alpha_i > |\beta| = \sum_{i=1}^n \beta_i$$
, or  $|\alpha| = |\beta|$  and there exists  $1 \le i \le n$  such that  $\alpha_1 = \beta_1, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i > \beta_i$ .

**Example 1.1.** With respect to the lexicographical ordering on K[x,y,z], we have  $x^3y^2z >_{lp} x^3yz^3$  and  $xy^2z >_{lp} xyz^2$ . With respect to the degree reverse lexicographical ordering on K[x,y,z], we have  $x^2y^2z^2 >_{dp} x^3yz^3$  and  $z^2 >_{dp} x$ . With respect to the degree lexicographical ordering on K[x,y,z], we have  $x^3yz^3 >_{Dp} x^2y^2z^2$  and  $z^2 >_{Dp} x$ .

## 1.1.3 Multidegree, Leading Coefficients, Leading Monomials, and Leading Terms

Let  $f = \sum_{\alpha} c_{\alpha} x^{\alpha}$  be a nonzero polynomial in  $K[x_1, \dots, x_n]$  and let > be a monomial order.

1. The **multidegree** of f is

$$\operatorname{multdeg}(f) = \max(\alpha \in \mathbb{Z}_{>0}^n \mid c_\alpha \neq 0).$$

2. The **leading coefficient** of f is

$$LC(f) = c_{\mathbf{multdeg}(f)} \in K.$$

3. The **leading monomial** of f is

$$LM(f) = x^{multdeg(f)}$$
.

4. The **leading term** of f is

$$LT(f) = LC(f) \cdot LM(f)$$
.

**Example 1.2.** Let  $f = 4xy^2z + 4z^2 - 5x^3 + 7x^2z^2$ . With respect to lexicographical ordering we have

multdeg
$$(f) = (3,0,0)$$
  
 $LC(f) = -5$   
 $LM(f) = x^3$   
 $LT(f) = -5x^3$ .

With respect to degree reverse lexicographical ordering we have

multdeg
$$(f) = (2,0,2)$$
  
 $LC(f) = 7$   
 $LM(f) = x^2z^2$   
 $LT(f) = 7x^2z^2$ .

## 1.2 Monomial Ideals

An ideal  $I \subseteq S$  is a called a **monomial ideal** if there is a subset  $A \subset \mathbb{Z}_{\geq 0}^n$  (possibly infinite) such that I consists of all polynomials which are finite sums of the form  $\sum_{\alpha \in A} h_{\alpha} x^{\alpha}$ , where  $h_{\alpha} \in K[x_1, \ldots, x_n]$ . In this case, we write  $I = \langle x^{\alpha} \mid \alpha \in A \rangle$ .

**Example 1.3.** An example of a monomial ideal is given by  $I = \langle x^4y^2, x^3y^4, x^2y^5 \rangle \subseteq K[x, y]$ . A nontrivial example of a monomial ideal is given by  $J = \langle f_1, f_2, f_3, f_4 \rangle = \langle x^2 + x^2y^3, -x^2y^3 + y^3, x^4, y^6 \rangle$ . It's easy to see that  $J \subset \langle x^2, y^3 \rangle$ . For the reverse inclusion, note that

$$x^{2} = f_{1} - x^{2}f_{2} - y^{3}f_{3}$$
$$y^{3} = f_{1} + y^{3}f_{2} - x^{2}f_{4}.$$

So 
$$\langle x^2, y^3 \rangle \subset J$$
. Therefore  $J = \langle x^2, y^3 \rangle$ .

#### 1.2.1 Monomials Ideals are Finitely-Generated

The next theorem tells us that monomials ideals are finitely generated.

**Theorem 1.2.** (Dickson's Lemma.) Let  $I = \langle x^{\alpha} \mid \alpha \in A \rangle$  be a monomial ideal. Then I can be written as  $I = \langle x^{\alpha(1)}, \dots, x^{\alpha(s)} \rangle$  where  $\alpha(1), \dots, \alpha(s) \in A$ .

## 1.3 Hilbert Basis Theorem

Throughout the rest of this section, fix a monomial ordering on *S*.

#### 1.3.1 Lead Term Ideal

Let *I* be a nonzero ideal in *S*.

1. We denote by LT(I) the set of leading terms of nonzero elements of I. Thus,

$$LT(I) = \{cx^{\alpha} \mid \text{ there exists } f \in I \setminus \{0\} \text{ with } LT(f) = cx^{\alpha}\}.$$

2. We denote by  $\langle LT(I) \rangle$  be the ideal generated by the elements of LT(I).

It is easy to see that  $\langle LT(I) \rangle$  is a monomial ideal. Therefore Theorem (1.2) implies that it is finitely-generated. Thus, there are  $g_1, \ldots, g_t \in I$  such that  $LT(I) = \langle LT(g_1), \ldots, LT(g_t) \rangle$ . If we are given an arbitrary finite generating set for I, say  $I = \langle f_1, \ldots, f_s \rangle$ , then  $\langle LT(f_1), \ldots, LT(f_s) \rangle$  and  $\langle LT(I) \rangle$  may be *different* ideals. To see this, consider the following example.

**Example 1.4.** Let  $I = \langle f_1, f_2 \rangle$ , where  $f_1 = x^3 - 2xy$  and  $f_2 = x^2y - 2y^2 + x$ , and use grlex ordering on monomials in K[x, y]. Then

$$x \cdot (x^2y - 2y^2 + x) - y \cdot (x^3 - 2xy) = x^2,$$

so that  $x^2 \in I$ . Thus  $x^2 = LT(x^2) \in \langle LT(I) \rangle$ . However  $x^2$  is not divisible by  $LT(f_1) = x^3$  or  $LT(f_2) = x^2y$ , so that  $x^2 \notin \langle LT(f_1), LT(f_2) \rangle$ .

### 1.3.2 Hilbert Basis Theorem

**Theorem 1.3.** (Hilbert Basis Theorem). Let I be an ideal in S. Then I is finitely-generated.

#### 1.4 Gröbner Bases

Let *I* be a nonzero ideal in *S*. A finite subset  $G = \{g_1, \dots, g_t\}$  is said to be a **reduced Gröbner basis** if

- 1.  $\langle LT(g_1), \ldots, LT(g_t) \rangle = \langle LT(I) \rangle$
- 2. LC(g) = 1 for all  $g \in G$ .
- 3. For all  $g \in G$ , no monomial of g lies in  $\langle LT(G \setminus \{g\}) \rangle$ .

Let I be an ideal in S and let  $G = \{g_1, \ldots, g_t\}$  be the reduced Gröbner basis for I. Then given a polynomial f in S, it can be shown that there are unique polynomials  $\pi(f)$  and  $f^G$  in S such that  $f = \pi(f) + f^G$  and no term of  $f^G$  is divisible by any of  $LT(g_1), \ldots, LT(g_t)$ . We call  $f^G$  the **normal form of** f **with respect to** G. It follows from uniqueness of  $f^G$  and  $\pi(f)$  that taking the normal form of a polynomial is a K-linear map:

$$c_1 f_1^G + c_2 f_2^G = (c_1 f_1 + c_2 f_2)^G$$
(1)

for all  $c_1, c_2 \in K$  and  $f_1, f_2 \in S$ . We will denote this map as  $-^G$ . An important property of  $-^G$  is that it preserves homogeneity. The details can be found in \cite{GPo8} and \cite{CLO15}.

# 2 Graded Rings and Modules

# 2.1 Graded Rings

A **graded ring** *R* is a ring together with a direct sum decomposition

$$R=\bigoplus_{i\in\mathbb{Z}_{\geq 0}}R_i,$$

where the  $R_i$  are abelian groups which satisfies the condition that if  $r_i \in R_i$  and  $r_j \in R_j$ , then  $r_i r_j \in R_{i+j}$ . The  $R_i$  are called **homogeneous components** of R and the elements of  $R_i$  are called **homogeneous elements** of **degree** i. If r is a homogeneous element in R, then we denote the degree of r as deg(r). When we say "Let R be a graded ring", we denote the homogeneous components of R as  $R_i$ .

*Remark.* The condition that  $r_i \in R_i$  and  $r_j \in R_j$ , then  $r_i r_j \in R_{i+j}$  is equivalent to the condition that  $R_i R_j \subset R_{i+j}$ .

**Example 2.1.** An important example of a graded ring is a ring R endowed with the **trivial grading**: The homogoneous components of R being  $R_0 := R$  and  $R_i := 0$  for all i > 0. If R is a field, then will *always* assume that R is a graded ring endowed with the trivial grading.

**Example 2.2.** Let R be a ring and let Q be an ideal in R. The **associated graded ring of** R **with respect to** Q is

$$\operatorname{Gr}_{Q}(R) := \bigoplus_{i=0}^{\infty} Q^{i}/Q^{i+1}.$$

Multiplication in  $Gr_Q(R)$  is induced by the multiplication  $Q^i \times Q^j \to Q^{i+j}$ .

#### 2.1.1 Weighted Polynomial Rings

Let  $w := (w_1, ..., w_n)$  be an n-tuple of positive integers. We define the **weighted polynomial ring**  $S_w$  with respect to the **weighted vector** w to be the polynomial ring  $R[x_1, ..., x_n]$  endowed with the unique grading such that  $\deg(x_\lambda) = \alpha_\lambda$  for all  $\lambda = 1, ..., n$ . We define the **weighted degree** of a monomial  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  in  $S_w$ , denoted  $\deg_w(m)$ , to be

$$\deg_w(m) := \sum_{\lambda=1}^n w_\lambda \alpha_\lambda.$$

This grading gives  $S_w$  the structure of a graded ring, where the homogeneous components are given by

$$(S_w)_i := \operatorname{Span}_R \langle m \in S_w \mid m \text{ is monomial of weighted degree } i \rangle.$$

*Remark.* If w = (1, ..., 1), then we recover the polynomial ring  $R[x_1, ..., x_n]$  with the usual grading. If the context is clear, we simply use the letter S to denote this graded ring.

**Example 2.3.** Let K be a field and let  $S_w$  denote the weighted polynomial ring K[x,y,z] with respect to the weighted vector w := (1,2,3). The first few homogeneous components of  $S_w$  start out as

$$(S_w)_0 = K$$

$$(S_w)_1 = Kx$$

$$(S_w)_2 = Kx^2 + Ky$$

$$(S_w)_3 = Kx^3 + Kxy + Kz$$

$$\vdots$$

### 2.2 Graded R-Modules

Let R be a graded ring. An R-module M, together with a direct sum decomposition

$$M = \bigoplus_{i \in \mathbb{Z}} M_i$$

into abelian groups  $M_i$  is called a **graded** R-module if  $R_iM_j \subset M_{i+j}$  for all  $i, j \in \mathbb{Z}$ . The  $M_i$  are called **homogeneous components** of M and the elements of  $M_i$  are called **homogeneous** of **degree** i. If m is a homogeneous element in M, then we denote the degree of m as deg(m). When we say "Let M be a graded R-module", then the homogeneous components of M are denoted  $M_i$ .

*Remark.* Unlike in the case of graded rings, we do *not* usually assume that  $M_i = 0$  for i < 0.

**Example 2.4.** Here's an important example of a graded R-module where we do not necessarily have  $M_i = 0$  for i < 0: If M is a graded R-module, then for  $j \in \mathbb{Z}$ , we define the j'th twist or the j'th shift of M to be the graded R-module

$$M(j) := \bigoplus_{i \in \mathbb{Z}} M(j)_i$$

where  $M(j)_i := M_{i+j}$ .

#### 2.2.1 Graded R-Submodules

**Lemma 2.1.** Let M be a graded R-module and  $N \subset M$  a submodule. The following conditions are equivalent:

- 1. N is graded R-module whose homogeneous components are  $M_i \cap N$ .
- 2. *N* is generated by homogeneous elements.
- 3. Let  $m = \sum m_i$  with  $m_i \in M_i$ . Then  $m \in N$  if and only if  $m_i \in N$  for all  $i \in \mathbb{Z}$ .

*Proof.* The proof is straightforward and can be found in \cite{GPo8}.

A submodule  $N \subset M$  satisfying the equivalent conditions of Lemma (2.1) is called a **graded** (or **homogeneous**) R-submodule.

**Example 2.5.** Let K be a field,  $S_w$  be the polynoimal ring K[x,y,z] with respect to the weight w=(5,6,15), and let  $I=\langle y^5-z^2,x^3-z,x^6-y^5\rangle$  be an ideal  $S_w$ . Then I is a homogeneous ideal in  $S_w$ .

*Remark.* Let R be a graded ring, and let I be a homogeneous ideal in R. Then the quotient R/I has an induced structure as a graded ring, where the homogeneous component of R/I is

$$(R/I)_i := (R_i + I)/I \cong R_i/I \cap R_i$$

#### 2.2.2 Homomorphisms of Graded R-Modules

Let M and N be graded R-modules. A homomorphism  $\varphi: M \to N$  is called **homogeneous** (or **graded**) of degree j if  $\varphi(M_i) \subset N_{i+j}$  for all  $i \in \mathbb{Z}$ . If  $\varphi$  is homogeneous of degree zero then we will simply say  $\varphi$  is **homogeneous**.

**Example 2.6.** Let R denote the polynomial ring K[x, y, z, t] with the natural grading. Then the matrix

$$U := \begin{pmatrix} x+y+z & w^2-x^2 & x^3 \\ 1 & x & xy+z^2 \end{pmatrix}$$

defines a homomorphism  $U: R(-1) \oplus R(-2) \oplus R(-3) \to R \oplus R(-1)$  which is graded of degree zero.

# 2.3 Graded *R*-Algebras

Let *R* be a graded ring and let *A* be an *R*-algebra. We say *A* is a **graded** *R***-algebra** if *A* is graded as a ring and  $A_0 = R$ .

Remark. We do not require A to be a commutative ring.

**Example 2.7.** Let Q be an ideal in R. The **blowup algebra of** Q **in** R is the R-algebra

$$B_Q(R) := R + tQ + t^2Q^2 + t^3Q^3 + \cdots \cong R \oplus Q \oplus Q^2 \oplus Q^3 \oplus \cdots$$

The multiplication in  $B_Q(A)$  is induced by the multiplication  $Q^i \times Q^j \to Q^{i+j}$ .

### 2.3.1 Homomorphisms of Graded *R*-Algebras

Let A and A' be graded R-algebras. We say  $\varphi: A \to A'$  is an R-algebra homomorphism if

1.  $\varphi$  is a homomorphism when viewed as a map of *R*-modules. In other words,

$$\varphi(r_1a_1 + r_2a_2) = r_1\varphi(a_1) + r_2\varphi(a_2)$$

for all  $r_1, r_2 \in R$  and  $a_1, a_2 \in A$ .

2.  $\varphi$  preserves the algebra structure. In other words

$$\varphi(ab) = \varphi(a)\varphi(b)$$

for all  $a, b \in A$ .

Moreover, we say  $\varphi$  is **graded** if  $\varphi$  is a graded homomorphism when viewed as a map of graded *R*-modules.

#### 2.3.2 Finitely-Generated Graded R-Algebras

An graded *R*-algebra *A* is said to be **finitely-generated** if it is finitely-generated as an *R*-algebra. The next proposition gives a classification of all finitely-generated commutative *R*-algebras.

**Proposition 2.1.** Every finitely-generated commutative graded R-algebra is isomorphic to  $S_w/I$ , where  $S_w$  denotes the polynomial ring  $R[x_1, \ldots, x_n]$  with respect to the weighted vector  $w \in \mathbb{Z}_{\geq 0}^n$  and I is a homogeneous ideal in  $S_w$ .

*Proof.* Let A be a finitely-generated commutative R-algebra with generators  $a_1, \ldots, a_n$ . Then for each  $\lambda = 1, \ldots, n$  we have  $a_{\lambda} \in A_{w_{\lambda}}$ , where  $w_{\lambda} \in \mathbb{Z}_{\geq 0}$ . Let  $\varphi : S_w \to A$  be the unique morphism of graded R-algebras such that  $\varphi(x_{\lambda}) = a_{\lambda}$  for all  $\lambda = 1, \ldots, n$ . Then A is isomorphic to  $S_w/\text{Ker}(\varphi)$  as graded R-algebras.

#### 2.3.3 Algorithmic Computations in the R-algebra S/I using Gröbner Bases

Let K be a field, S denote the polynomials ring  $K[x_1, ..., x_n]$ , and I be a homogeneous ideal in S. Then S/I is a graded K-algebra, where the homogeneous component  $S_i$  is the K-vector space of all homogeneous polynomials  $f \in S$  of degree i. Now fix a monomial ordering and let G be the reduced Gröbner basis of I with respect to this ordering. Define

$$S_I := \operatorname{Span}_K(x^{\alpha} \mid x^{\alpha} \notin \langle \operatorname{LT}(I) \rangle)$$

There is an obvious decompostion of  $S_I$  into K-vector spaces  $(S_I)_i$ , where

$$(S_I)_i = \operatorname{Span}_K(x^{\alpha} \mid x^{\alpha} \notin \langle \operatorname{LT}(I) \rangle \text{ and } \deg(x^{\alpha}) = i).$$

In fact, S/I and  $S_I$  are isomorphic as graded K-modules. The isomorphism is given by mapping  $\overline{f} \in S/I$  to  $f^G \in S_I$ . Indeed, K-linearity follows from (1), and the grading is preserved since  $-^G$  preserves homogeneity. This makes S/I isomorphic to  $S_I$  as graded K-modules. Using this isomorphism, we can carry multiplication from S/I over to  $S_I$  to turn  $S_I$  into a graded K-algebra: For  $f_1, f_2 \in S_I$ , we define multiplication as

$$f_1 \cdot f_2 = (f_1 f_2)^G. \tag{2}$$

Defining multilpication this way makes  $S_I$  isomorphic to S/I as graded K-algebras. For computational purposes, it is easier to work with  $S_I$  rather than S/I.

**Example 2.8.** Consider S = K[x,y] and  $I = \langle xy^2 + y^3, x^3 + x^2y \rangle$ . Then  $G = \{xy^2 + y^3, x^3 + x^2y\}$  is the reduced Gröbner basis with respect to graded reverse lexicographical order. Thus  $LT(I) = \langle xy^2, x^3 \rangle$ . Let's do some computations in  $S_I$ . First, let's write the first few homogeneous terms of  $S_I$ :

$$(S_I)_0 = K$$
  
 $(S_I)_1 = Kx + Ky$   
 $(S_I)_2 = Kx^2 + Kxy + Ky^2$   
 $(S_I)_3 = Kx^2y + Ky^3$   
 $(S_I)_4 = Ky^4$   
 $(S_I)_5 = Ky^5$   
:

Next, we multiply some elements together in  $S_I$  in the multiplication table below

**Example 2.9.** Consider S = K[x, y] and  $I = \langle xy + y^2, x^3 \rangle$ . We first use Singular to compute a Gröbner basis G of I with respect to graded reverse lexicographical ordering. We obtain  $G = \{g_1, g_2, g_3\}$ . where  $g_1 = xy + y^2$ ,  $g_2 = x^3$ , and  $g_3 = y^4$ . Then the first few homogeneous components of I, S/I and  $S_I$  are given below

$$I_{0} = 0$$
  $(S/I)_{0} = K \cdot \overline{1}$   $(S_{I})_{0} = K$   
 $I_{1} = 0$   $(S/I)_{1} = K\overline{x} + K\overline{y}$   $(S_{I})_{1} = Kx + Ky$   
 $I_{2} = Kg_{1}$   $(S/I)_{2} = K\overline{x}^{2} + K\overline{y}^{2}$   $(S_{I})_{2} = Kx^{2} + Ky^{2}$   
 $I_{3} = Kxg_{1} + Kyg_{1} + Kg_{2}$   $(S/I)_{3} = K\overline{y}^{3}$   $(S_{I})_{3} = Ky^{3}$   
 $I_{4} = S_{4}$   $(S/I)_{4} = 0$   $(S_{I})_{4} = 0$   
 $\vdots$   $\vdots$   $\vdots$ 

# 3 Homological Algebra

Throughout this section, let *R* be a ring.

## 3.1 Chain Complexes over R

A **chain complex** (A, d) **over** R, or simply a **chain complex** if the base ring R is understood from context, is a sequence of R-modules  $A_i$  and morphisms  $d_i : A_i \to A_{i-1}$ 

$$(A,d) := \cdots \longrightarrow A_{i+1} \xrightarrow{d_{i+1}} A_i \xrightarrow{d_i} A_{i-1} \longrightarrow \cdots$$

such that  $d_i \circ d_{i+1} = 0$  for all  $i \in \mathbb{Z}$ . The condition  $d_i \circ d_{i+1} = 0$  is equivalent to the condition  $\operatorname{Ker}(d_i) \supset \operatorname{Im}(d_{i+1})$ . With this in mind, we define the *i*th homology of the chain complex (A, d) to be

$$H_i(A,d) := \operatorname{Ker}(d_i)/\operatorname{Im}(d_{i+1}).$$

Let (A,d) and (A',d') be two chain complexes. A **chain map**  $\varphi:(A,d)\to (A',d')$  is a sequence of R-module homomorphisms  $\varphi_i:A_i\to A'_i$  such that  $d'_i\varphi_i=\varphi_{i-1}d'_i$  for all  $i\in\mathbb{Z}$ . We can view a chain map visually as illustrated in the diagram below:

$$(A,d) := \cdots \longrightarrow A_{i+1} \xrightarrow{d_{i+1}} A_i \xrightarrow{d_i} A_{i-1} \longrightarrow \cdots$$

$$\downarrow^{\varphi_{i+1}} \qquad \downarrow^{\varphi_i} \qquad \downarrow^{\varphi_{i-1}}$$

$$(A',d') := \cdots \longrightarrow A'_{i+1} \xrightarrow{d'_{i+1}} A'_i \xrightarrow{d'_i} A'_{i-1} \longrightarrow \cdots$$

#### 3.1.1 Simplifying Notation

To simplify notation in what follows, we think of R as a trivially graded ring. If (A,d) is a chain complex over R, then we think of (A,d) as a graded R-module A together with a graded endomorphism  $d:A\to A$  of degree -1 such that  $d^2=0$ . We think of  $d_i$  as being the restriction of d to  $A_i$  and we often refer to d as the **differential**. An element in Ker(d) is called a **cycle** of (A,d) and an element in Im(d) is called a **boundary** of (A,d). We define the **homology** of (A,d) to be

$$H(A,d) := \text{Ker}(d)/\text{Im}(d)$$

Note that  $H(A, d) = \bigoplus_{i \in \mathbb{Z}} H_i(A, d)$ . We sometimes write H(A) instead of H(A, d) if the differential is understood from context.

Let (A,d) and (A',d') be chain complexes. A chain map  $\varphi:(A,d)\to(A',d')$  can be thought of as a homogeneous homomorphism of graded R-modules such that  $\varphi d=d'\varphi$ .

## 3.1.2 Homotopy Equivalence

Let  $\varphi$  and  $\psi$  be chain maps of chain complexes (A,d) and (A',d'). We say  $\varphi$  is **homotopic** to  $\psi$  if there is a graded homomorphism  $h:A\to A'$  of degree 1 such that  $\varphi-\psi=d'h+hd$ .

**Proposition 3.1.** Let  $\varphi$  and  $\psi$  be chain maps of chain complexes (A, d) and (A', d'). Then  $\varphi$  and  $\psi$  induce the same map on homology.

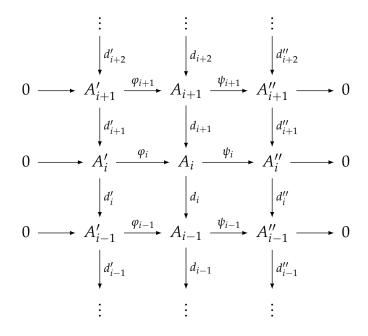
*Proof.* The proof is straightforward and can be found in [?].

# **3.2** Exact Sequences of Chain Complexes over *R*

Let (A,d), (A',d'), and (A'',d'') be chain complexes and let  $\varphi:(A',d')\to (A,d)$  and  $\psi:(A,d)\to (A'',d'')$  be chain maps. Then we say that

$$0 \longrightarrow A' \stackrel{\varphi}{\longrightarrow} A \stackrel{\psi}{\longrightarrow} A'' \longrightarrow 0$$

is a **short exact sequence** of chain complexes if the following diagram is commutative with exact rows:



Given such a short exact sequence, we get induced maps  $\varphi_i : H_i(A') \to H_i(A)$  and  $\psi_i : H_i(A) \to H_i(A'')$ , and **connecting homomorphisms**  $\gamma_i : H_i(A'') \to H_{i-1}(A')$  which gives rise a long exact sequence in homology:

Remark. It is a nice exercise in homological algebra to work out the details of the connecting map.

# 3.3 Differential Graded R-Algebras

A **differential graded** R**-algebra** is a chain complex (A, d) such that A is a graded R-algebra and the differential d satisfies the **Leibniz law** with respect to this algebra structure:

$$d(ab) = d(a)b + (-1)^{\deg(a)}ad(b).$$
(3)

for all  $a, b \in A$ . We say that the differential graded R-algebra is **commutative** if  $ab = (-1)^{\deg(a) \deg(b)} ba$ . We say that the differential graded R-algebra is **strictly commutative** if in addition  $a^2 = 0$  for  $\deg(a)$  odd.

#### 3.3.1 Homomorphisms of Differential Graded R-Algebras

Let (A,d) and (A',d') be differential graded R-algebras. We say  $\varphi:(A,d)\to (A',d')$  is **homomorphism of differential graded** R-algebras if  $\varphi$  is both a chain map and an R-algebra homomorphism.

#### 3.3.2 Differential Graded A-Modules

Let (A, d) be a differential graded R-algebra. A **differential graded** A-**module** (M, d) is a chain complex (M, d) over R such that M is an A-module and such that the differential d satisfies the **Leibniz law** with respect to the algebra structure in A:

$$d(am) = d(a)m + (-1)^{\deg(a)}ad(m).$$
(4)

for all  $a \in A$  and  $m \in M$ .

#### 3.3.3 Obtaining a Differential Graded A-Module from a Chain Complex over R

Let  $(A, d_A)$  be a differential graded R-algebra. If we start with a chain complex over R, then we can construct a differential graded A-module. Indeed, suppose that  $(B, d_B)$  is a chain complex over R. Then  $A \otimes_R B$  is an A-module and a graded R-module whose homogeneous component in degree k is

$$(A \otimes_R B)_k := \bigoplus_{i+j=k} A_i \otimes_R B_j.$$

We define a differential d on  $A \otimes_R B$  by first definining it on the elementary tensors as

$$d(a \otimes b) := d_A(a) \otimes b + (-1)^{\deg(a)} a \otimes d_B(b),$$

for all  $a \in A$  and  $b \in B$ , and then extending it R-linearly everywhere else. A straightforward calculation shows that  $d^2 = 0$  and that the differential satisfies Leibniz law (4). Moreover, if B is a differential graded R-algebra, then  $A \otimes_R B$  can realized as a differential graded A-algebra and a differential graded B-algebra. Multiplication in  $A \otimes_R B$  is defined by

$$(a \otimes b)(a' \otimes b') = (-1)^{\deg(a')\deg(b)}aa' \otimes bb'.$$

for all  $a, a' \in A$  and  $b, b \in B$ .

*Remark.* In particular, if M is an R-module endowed with the trivial grading, then  $(A \otimes_R M, d)$  is a differential graded A-module where the homogeneous component of degree k in  $A \otimes_R M$  is  $(A \otimes_R M)_k := A_k \otimes_R M$ , and d acts on elementary tensors as  $d(a \otimes m) = d(a) \otimes m$ .

## 3.4 Exterior Algebras and Koszul Complexes

#### 3.4.1 Exterior Algebras

Let R be a ring and M an R-module. For  $k \geq 2$ , the kth **exteror power** of M, denoted  $\Lambda^k(M)$ , is the R-module  $M^{\otimes k}/J_k$  where  $J_k$  is the submodule of  $M^{\otimes k}$  spanned by all  $m_1 \otimes \cdots \otimes m_k$  with  $m_i = m_j$  for  $i \neq j$ . For any  $m_1, \ldots, m_k \in M$ , the coset of  $m_1 \otimes \cdots \otimes m_k$  in  $\Lambda^k(M)$  is denoted  $m_1 \wedge \cdots \wedge m_k$ . For completeness, we set  $\Lambda^0(M) = R$  and  $\Lambda^1(M) = M$ . A general element in  $\Lambda^k(M)$  will be denoted as  $\omega$  or  $\eta$ . Since  $M^{\otimes k}$  is spanned by tensors  $m_1 \otimes \cdots \otimes m_k$ , the quotient module  $M^{\otimes k}/J_k = \Lambda^k(M)$  is spanned by their images  $m_1 \wedge \cdots \wedge m_k$ . That is, any  $\omega \in \Lambda^k(M)$  is a finite R-linear combination

$$\omega = \sum r_{i_1,\ldots,i_k} m_{i_1} \wedge \cdots \wedge m_{i_k},$$

where there coefficients  $r_{i_1,...,i_k}$  are in R and the  $m_i$ 's are in M. We call  $m_1 \wedge \cdots \wedge m_k$  an **elementary wedge product**. Since  $r(m_1 \wedge \cdots \wedge m_k) = (rm_1) \wedge \cdots \wedge m_k$ , every element of  $\Lambda^k(M)$  is a sum (not just a linear combination) of elementary wedge products.

We define the **exterior algebra** of *M* to be

$$\Lambda(M) := \bigoplus_{k \ge 0} \Lambda^k(M),$$

where the multiplication rule given by the wedge product. The exterior algebra of M is a graded R-algebra, where the degree k homogeneous component is  $\Lambda^k(M)$ . If R does not have characteristic 2, then the exterior algebra of M is **skew commutative**. This means that if  $\omega_1$  and  $\omega_2$  are homogeneous elements, then

$$\omega_1 \wedge \omega_2 = (-1)^{\deg(\omega_1)\deg(\omega_2)}\omega_2 \wedge \omega_1.$$

The construction of  $\Lambda(M)$  is functional in M. This means that if N is another R-module and  $\varphi: M \to N$  is an R-module homomorphism. Then  $\varphi$  induces a graded R-algebra homomorphism  $\wedge \varphi: \Lambda(M) \to \Lambda(N)$ , where  $\wedge \varphi$  takes the elementary wedge product  $m_1 \wedge \cdots \wedge m_k$  in  $\Lambda(M)$  and maps it to the wedge product  $\varphi(m_1) \wedge \cdots \wedge \varphi(m_k)$  in  $\Lambda(N)$ . We will write  $\wedge^k \varphi$  to be the induced R-module homomorphism from  $\Lambda^k(M)$  to  $\Lambda^k(N)$ . In particular, if N is free of rank n, then  $\Lambda^n(N) \cong R$ , and if  $\varphi: N \to N$  is an R-module homomorphism, then  $\wedge^n \varphi$  is multiplication by the determinant of any matrix representing  $\varphi$ .

**Example 3.1.** Let R be a ring,  $M = Rx_1 \oplus Rx_2 \oplus Rx_3 \cong R^3$ , and let  $\varphi : M \to M$  be the R-module homomorphism induced by setting  $\varphi(x_\mu) = \sum_{\lambda=1}^n a_{\lambda\mu}x_{\lambda}$  for  $1 \le \lambda, \mu \le 3$ . The matrix representation of  $\varphi$  with respect to the ordered basis  $\beta_1 = \{x_1, x_2, x_3\}$  is given by

$$[\varphi]_{\beta_1}^{\beta_1} := \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$$

To calculate  $\wedge^2 \varphi$ , we need to see how it acts on the basis vectors  $x_{\lambda} \wedge x_{\mu}$  where  $1 \leq \lambda < \mu \leq 3$ :

$$\varphi(x_1) \wedge \varphi(x_2) = (a_{11}x_1 + a_{21}x_2 + a_{31}x_3) \wedge (a_{12}x_1 + a_{22}x_2 + a_{32}x_3)$$
  
=  $(a_{11}a_{22} - a_{21}a_{12})x_1 \wedge x_2 + (a_{11}a_{32} - a_{31}a_{12})x_1 \wedge x_3 + (a_{21}a_{32} - a_{31}a_{22})x_2 \wedge x_3$ 

$$\varphi(x_1) \wedge \varphi(x_3) = (a_{11}x_1 + a_{21}x_2 + a_{31}x_3) \wedge (a_{13}x_1 + a_{23}x_2 + a_{33}x_3)$$
  
=  $(a_{11}a_{23} - a_{21}a_{13})x_1 \wedge x_2 + (a_{11}a_{33} - a_{31}a_{13})x_1 \wedge x_3 + (a_{21}a_{33} - a_{31}a_{23})x_2 \wedge x_3$ 

$$\varphi(x_2) \wedge \varphi(x_3) = (a_{12}x_1 + a_{22}x_2 + a_{32}x_3) \wedge (a_{13}x_1 + a_{23}x_2 + a_{33}x_3)$$
  
=  $(a_{12}a_{23} - a_{22}a_{13})x_1 \wedge x_2 + (a_{12}a_{33} - a_{32}a_{13})x_1 \wedge x_3 + (a_{22}a_{33} - a_{32}a_{23})x_2 \wedge x_3.$ 

So the matrix representation of  $\wedge^2 \varphi$  with respect to the ordered basis  $\beta_2 = \{x_1 \wedge x_2, x_1 \wedge x_3, x_2 \wedge x_3\}$  is

$$[\varphi]_{\beta_2}^{\beta_2} = \begin{pmatrix} a_{11}a_{22} - a_{21}a_{12} & a_{11}a_{23} - a_{21}a_{13} & a_{12}a_{23} - a_{22}a_{13} \\ a_{11}a_{32} - a_{31}a_{12} & a_{11}a_{33} - a_{31}a_{13} & a_{12}a_{33} - a_{32}a_{13} \\ a_{21}a_{32} - a_{31}a_{22} & a_{21}a_{33} - a_{31}a_{23} & a_{22}a_{33} - a_{32}a_{23} \end{pmatrix}$$

To calculate  $\wedge^3 \varphi$ , we need to see how it acts on the basis vector  $x_1 \wedge x_2 \wedge x_3$ :

$$\varphi(x_1) \wedge \varphi(x_2) \wedge \varphi(x_3) = (a_{11}x_1 + a_{21}x_2 + a_{31}x_3) \wedge (a_{12}x_1 + a_{22}x_2 + a_{32}x_3) \wedge (a_{13}x_1 + a_{23}x_2 + a_{33}x_3)$$

$$= (a_{11}a_{22}a_{33} - a_{11}a_{32}a_{23} + a_{21}a_{32}a_{13} - a_{21}a_{12}a_{33} + a_{31}a_{12}a_{23} - a_{31}a_{22}a_{13})x_1 \wedge x_2 \wedge x_3$$

$$= \det\left( [\varphi]_{\beta_1}^{\beta_1} \right) e_1 \wedge e_2 \wedge e_3.$$

#### 3.4.2 Koszul Complexes

Let *R* be a ring, *M* an *R*-module, and  $\varphi: M \to R$  an *R*-module homomorphism. The assignment

$$(m_1,\ldots,m_k)\mapsto \sum_{i=1}^k (-1)^{i+1}\varphi(m_i)m_1\wedge\cdots\wedge\widehat{m}_i\wedge\cdots\wedge m_k$$

defines an alternating n-linear map  $M^k \to \Lambda^{k-1}(M)$ . By the universal property of the kth exterior power, there exists an R-linear map  $d_{\varphi}^{(k)}: \Lambda^k(M) \to \Lambda^{k-1}(M)$  with

$$d_{\varphi}^{(k)}(m_1 \wedge \cdots \wedge m_k) = \sum_{i=1}^n (-1)^{i+1} \varphi(m_i) m_1 \wedge \cdots \wedge \widehat{m}_i \wedge \cdots \wedge m_k$$

for all  $m_1, \ldots, m_k \in L$ . The collection of the maps  $d_{\varphi}^{(k)}$  defines a graded *R*-homomorphism

$$d_{\varphi}: \Lambda(M) \to \Lambda(M)$$

of degree -1. A straightforward calculation shows that  $d_{\varphi}$  gives  $\Lambda(M)$  the structure of a differential graded R-algebra. This differential graded R-algebra is called the **Koszul complex** of  $\varphi$  and is denoted  $\mathcal{K}_{\bullet}(\varphi)$ . The **dual Koszul complex** of  $\varphi$ , denoted  $\mathcal{K}^{\bullet}(\varphi)$ , is the chain complex over R whose underlying graded R-module is  $\operatorname{Hom}_R(\mathcal{K}_{\bullet}(\varphi), R)$  and whose differential is  $d^*$ , where  $d^*$  is obtained by applying the functor  $\operatorname{Hom}_R(-, R)$  to d.

**Example 3.2.** Let R be a ring of characteristic 2, S denote the polynomial ring  $R[x_1, \ldots, x_n]$ , and let  $\varphi: S_1 := \bigoplus_{\lambda=1}^n Rx_\lambda \to R$  be the unique R-linear map such that  $\varphi(x_\lambda) = r_\lambda \in R$  for all  $\lambda = 1, \ldots, n$ . Then  $\Lambda(S_1)$  is isomorphic to  $S/\langle x_1^2, \ldots, x_n^2 \rangle$  as graded R-algebras. Using this isomorphism, we give  $S/\langle x_1^2, \ldots, x_n^2 \rangle$  the structure of a differential graded R-algebra by carrying over the differential d for  $A(S_1)$  to a differential  $A(S_1)$  for  $A(S_$ 

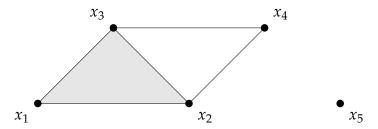
# 4 Simplicial Complexes

A **simplicial complex**  $\Delta$  on the set  $\{x_1, \ldots, x_n\}$  is a collection of subsets of  $\{x_1, \ldots, x_n\}$  such that

- 1. The simplicial complex Δ contains all singletons:  $\{x_{\lambda}\} \in \Delta$  for all  $\lambda = 1, ..., n$ .
- **2**. The simplicial complex  $\Delta$  is closed under containment: if  $\sigma \subseteq \{x_1, \ldots, x_n\}$  and  $\tau \supset \sigma$ , then  $\tau \in \Delta$ .

An element of a simplicial complex is called a **face** or **simplex**, and a simplex of  $\Delta$  not properly contained in another simplex of  $\Delta$  is called a **facet**. A simplex  $\sigma \in \Delta$  of cardinality i+1 is called an i-dimensional face or an i-face of  $\Delta$ . The empty set  $\emptyset$ , is the unique face of dimension -1, as long as  $\Delta$  is not the **void complex**  $\{\}$  consisting of no subsets of  $\{1, \ldots, n\}$ . The **dimension** of  $\Delta$ , denoted  $\dim(\Delta)$ , is defined to be the maximum of the dimensions of its faces (or  $-\infty$  if  $\Delta = \{\}$ ).

**Example 4.1.** The simplicial complex  $\Delta$  on  $\{x_1, x_2, x_3, x_4, x_5\}$  consisting of all subsets of  $\{x_1, x_2, x_3\}$ ,  $\{x_2, x_4\}$ ,  $\{x_3, x_4\}$ , and  $\{x_4\}$  is pictured below



# 4.1 Simplicial Homology

Let  $\Delta$  be a simplicial complex on  $\{x_1, \ldots, x_n\}$ . For  $i \in \mathbb{Z}$ , let

$$S_i(\Delta) := \operatorname{Span}_K (\sigma \in \Delta \mid \dim(\sigma) = i)$$
 and  $S(\Delta) := \bigoplus_{i \in \mathbb{Z}} S_i(\Delta)$ .

Then  $S(\Delta)$  is a graded K-module. Let  $\partial: S(\Delta) \to S(\Delta)$  be the unique graded endomorphism of degree -1 such that

$$\partial(\sigma) = \sum_{\lambda \in \sigma} \sigma \setminus \{\lambda\}.$$

By a direct calculation, we have  $\partial^2 = 0$ , and so  $(S(\Delta), \partial)$  forms a chain complex over K; it is called the (**augmented** or **reduced**) **chain complex of**  $\Delta$  **over** K. The ith homology of  $(S(\Delta), \partial)$  is called the ith **reduced homology** of  $\Delta$  over K, and is commonly denoted as  $\widetilde{H}_i(\Delta, K)$ .

**Example 4.2.** For  $\Delta$  as in Example (4.1), we have

$$S_2(\Delta) = \{\{1,2,3\}\}\$$

$$S_1(\Delta) = \{\{1,2\}, \{1,3\}, \{2,3\}, \{2,4\}, \{3,4\}\}\}\$$

$$S_0(\Delta) = \{\{1\}, \{2\}, \{3\}, \{4\}, \{5\}\}\}\$$

$$S_{-1}(\Delta) = \{\emptyset\}$$

Choosing bases for the  $S_i(\Delta)$  as suggested by the ordering of the faces listed above, the chain complex for  $\Delta$  becomes

$$0 \longrightarrow K \xrightarrow{\begin{pmatrix} 1 \\ 1 \\ 1 \\ 0 \\ 0 \end{pmatrix}} K^{5} \xrightarrow{\begin{pmatrix} 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}} K^{5} \xrightarrow{\begin{pmatrix} 1 & 1 & 1 & 1 & 1 \end{pmatrix}} K \longrightarrow 0$$

For example,  $\partial_2(e_{\{1,2,3\}}) = e_{\{2,3\}} + e_{\{1,3\}} + e_{\{1,2\}}$ , which we identify with the vector (1,1,1,0,0). The mapping  $\partial_1$  has rank 3, so  $\widetilde{H}_0(\Delta;K) \cong \widetilde{H}_1(\Delta;K) \cong K$  and the other homology groups are 0. Geometrically,  $\widetilde{H}_0(\Delta;K)$  is nontrivial since  $\Delta$  is disconnected and  $\widetilde{H}_1(\Delta;K)$  is nontrivial since  $\Delta$  contains a triangle which is not the boundary of an element of  $\Delta$ .

#### Part II

# Homological Constructions over a Field of Characteristic 2

Throughout this section, let K be a field of characteristic 2, S denote the polynomial ring  $K[x_1, ..., x_n]$ , I be a homogeneous ideal in S, and let G be the reduced Gröbner basis for I with respect to some fixed monomial ordering.

# 5 Constructing the Chain Complexes (S, d), $(S_I, d)$ , and $(I, \underline{d})$ .

# 5.1 Construction of (S, d)

Let  $d: S \to S$  be the graded K-linear map of degree -1 given by  $d:=\sum_{j=1}^n \partial_{x_j}$ . Since K has characteristic 2, we have  $d^2=0$ . Indeed, it suffices to show that  $d^2(m)=0$  for all monomials m in S. So let  $m=x_1^{\alpha_1}\cdots x_n^{\alpha_n}$  be a monomial in S. Then

$$d^{2}(m) = \left(\sum_{k=1}^{n} \partial_{x_{k}}\right)^{2} \left(x_{1}^{\alpha_{1}} \cdots x_{n}^{\alpha_{n}}\right)$$

$$= \left(\sum_{k=1}^{n} \partial_{x_{k}}^{2}\right) \left(x_{1}^{\alpha_{1}} \cdots x_{n}^{\alpha_{n}}\right)$$

$$= \sum_{k=1}^{\infty} \alpha_{k} (\alpha_{k} - 1) x_{1}^{\alpha_{k} - 2}$$

$$= 0$$

Thus the differential *d* gives the graded *K*-module *S* the structure of a chain complex over *K*.

# 5.2 Construction of $(S_I, d)$

Let  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial of degree i in S. We denote

$$[m]_o = \{1 \le \lambda \le n \mid \alpha_\lambda \text{ is odd}\}$$
 and  $[m]_e = \{1 \le \mu \le n \mid \alpha_\mu \text{ is even}\}$ 

Using this notation, we can express the differential in another way:

$$d(m) = \sum_{\lambda \in [m]_{2}} x_{\lambda}^{-1} m.$$

This makes it clear that the differential d maps  $S_I$  into  $S_I$ . Indeed, if m is not in LT(I), then every term  $x_{\lambda}^{-1}m$  of d(m) is not in LT(I) either. Thus the differential d gives the graded K-module  $S_I$  the structure of a chain complex over K.

# **5.3** Construction of $(S/I, \overline{d})$

**Definition 5.1.** We say I is d-stable if d maps I into I.

Suppose *I* is *d*-stable. Then the differential  $d: S \to S$  induces a graded linear map of degree -1, denoted  $\overline{d}: S/I \to S/I$ , where

$$\overline{d}(\overline{f}) = \overline{d(f)}$$
 for all  $f \in S$ .

Indeed, the map  $\overline{d}$  is well-defined since d is I-stable. To see why, let f+g and f, where  $g \in I$  and  $f \in S$ , be two different representatives of a class in S/I, i.e.  $\overline{f+g}=\overline{f}\in S/I$ . Then

$$\overline{d}\left(\overline{f+g}\right) = \overline{d(f+g)}$$

$$= \overline{d(f) + d(g)}$$

$$= \overline{d(f)}$$

$$= \overline{d(f)}.$$

where  $\overline{d(f) + d(g)} = \overline{d(f)}$  since  $d(g) \in I$ .

Moreover, the differential  $\overline{d}$  gives S/I the structure of a differential graded K-algebra. Indeed,  $\overline{d}$  is a graded linear map of degree -1 such that  $\overline{d}^2=0$  and such that  $\overline{d}$  satisfies Leibniz law. This is because  $\overline{d}$  inherits all of the properties from d. For instance, to see that  $\overline{d}$  satisfies Leibniz law, let  $\overline{f}_1$  and  $\overline{f}_2$  be in S/I. Then

$$\overline{d}(\overline{f_1f_2}) = \overline{d(f_1f_2)}$$

$$= \overline{d(f_1)f_2 + f_1d(f_2)}$$

$$= \overline{d(f_1)f_2} + \overline{f_1d(f_2)}$$

$$= \overline{d(\overline{f_1})\overline{f_2} + \overline{f_1d(\overline{f_2})}.$$

Thus if I is d-stable, then the differential  $\overline{d}$  gives the graded K-algebra S/I the structure of a differential graded K-algebra.

# 5.4 Construction of $(I, \underline{d})$

Our final construction involves the graded *K*-module *I*. Let  $\underline{d}: I \to I$  be the graded *K*-linear map of degree -1 given by

$$\underline{d}(f) := d(f) + d(f)^{G} = \pi(d(f))$$

for all  $f \in I$ . Then  $\underline{d}^2 = 0$ . Indeed, for all  $f \in I$ , we have

$$\underline{d}(\underline{d}(f)) = \underline{d}(d(f) + d(f)^{G})$$

$$= d(d(f) + d(f)^{G}) + d(d(f) + d(f)^{G})^{G}$$

$$= d(d(f)^{G}) + d(d(f)^{G})^{G}$$

$$= d(d(f)^{G}) + d(d(f)^{G})$$

$$= 0,$$

where  $d(d(f)^G)^G = d(d(f)^G)$  since every term in  $d(d(f)^G)$  is not in I. Thus the differential  $\underline{d}$  gives the graded K-module I the structure of a chain complex over K.

*Remark.* Let *J* be a homogeneous ideal in *S* such that  $I \supset J$ . If *J* is  $\underline{d}$ -stable, then the differential  $\underline{d}$  gives the graded *K*-module I/J the structure of a chain complex over *K*, which we denote by  $(I/J,\underline{d})$ .

# 6 Differential Graded K-Algebras

Since d is defined in terms of partial derivatives, it is clear that d satisfies Leibniz law. Thus (S,d) is more than just a chain complex over K; it is a differential graded K-algebra. Since  $S_I$  is a graded K-algebra, it is natural wonder if  $(S_I,d)$  is also a differential graded K-algebra. A quick counterexample shows that this is not necessarily the case:

**Example 6.1.** Consider S = K[x] and  $I = \langle x^5 \rangle$ . Then

$$d(x \cdot x^4) = d((x^5)^G)$$
$$= d(0)$$
$$= 0,$$

but

$$d(x) \cdot x^{4} + x \cdot d(x^{4}) = 1 \cdot x^{4} + x \cdot 0$$
  
=  $(x^{4})^{G} + 0^{G}$   
=  $x^{4}$ ,

so  $d(x \cdot x^5) \neq d(x) \cdot x^4 + x \cdot d(x^4)$ .

## 6.1 When $(S_I, d)$ Has the Structure of a Differential Graded K-Algebra

The next theorem tells us precisely when  $(S_I, d)$  is a differential graded K-algebra.

**Theorem 6.1.**  $(S_I, d)$  is a differential graded K-algebra if and only if d(g) = 0 for all  $g \in G$ .

*Proof.* Assume that d(g) = 0 for all  $g \in G$ . We first prove that  $d(f^G) = d(f)^G$  for all  $f \in S$ . Let  $f \in S$ . From the division algorithm, we have  $f = g_1q_1 + \cdots + g_rq_r + f^G$  for some  $q_1, \ldots, q_r \in S$ . Thus

$$d(f) = d(g_1q_1 + \dots + g_rq_r + f^G)$$
  
=  $d(g_1q_1) + \dots + d(g_rq_r) + d(f^G)$   
=  $g_1d(q_1) + \dots + g_rd(q_r) + d(f^G)$ .

Since  $g_1d(h_1) + \cdots + g_rd(h_r) \in I$  and no term of  $d(f^G)$  is divisible by any element of LT(I), it follows from uniqueness of normal forms that  $d(f^G) = d(f)^G$ .

Now we show that this implies that  $(S_I, d)$  is a differential graded K-algebra. Let  $f_1, f_2 \in S_I$ . Then

$$d(f_1 \cdot f_2) = d((f_1 f_2)^G)$$

$$= (d(f_1 f_2))^G$$

$$= (d(f_1) f_2 + f_1 d(f_2))^G$$

$$= (d(f_1) f_2)^G + (f_1 d(f_2))^G$$

$$= d(f_1) \cdot f_2 + f_1 \cdot d(f_2).$$

Therefore  $(S_I, d)$  is a differential graded K-algebra.

Now we prove the converse. Assume  $(S_I, d)$  is a differential graded K-algebra. Let  $g \in G$  and let m be the lead term of g. We may assume g is not a constant (otherwise we'd clearly have d(g) = 0). Thus, there exists some  $x_{\lambda}$  such that  $x_{\lambda}$  divides m. Then on the one hand, we have

$$d(x_{\lambda} \cdot x_{\lambda}^{-1}m) = d(m^{G})$$

$$= d(g + m)$$

$$= d(g) + d(m),$$

since  $m^G = g + m$ . On the other hand, we have

$$d(x_{\lambda}) \cdot x_{\lambda}^{-1}m + x_{\lambda} \cdot d(x_{\lambda}^{-1}m) = (x_{\lambda}^{-1}m)^{G} + (x_{\lambda}d(x_{\lambda}^{-1}m))^{G}$$

$$= x_{\lambda}^{-1}m + (x_{\lambda}d(x_{\lambda}^{-1}m))^{G}$$

$$= x_{\lambda}^{-1}m + (x_{\lambda}(x_{\lambda}^{-2}m + x_{\lambda}^{-1}d(m)))^{G}$$

$$= x_{\lambda}^{-1}m + (x_{\lambda}^{-1}m + d(m))^{G}$$

$$= x_{\lambda}^{-1}m + (x_{\lambda}^{-1}m)^{G} + d(m)^{G}$$

$$= x_{\lambda}^{-1}m + x_{\lambda}^{-1}m + d(m)^{G}$$

$$= d(m),$$

since  $(x_{\lambda}^{-1}m)^G = x_{\lambda}^{-1}m$  and  $d(m)^G = d(m)$  (every term of d(m) does not lie in  $\langle LT(G) \rangle$ ). Since  $(S_I, d)$  is a differential graded K-algebra, we must have d(g) = 0. This establishes this theorem.

*Remark.* We should note that the identity  $x_{\lambda}d(x_{\lambda}^{-1}m) = x_{\lambda}(x_{\lambda}^{-2}m + x_{\lambda}^{-1}d(m)) = x_{\lambda}^{-1}m + d(m)$  follows since d satisfies Leibniz law not just in S, but also in  $S[x_1^{-1}, \ldots, x_n^{-1}]$ . Again, this is because d is defined in terms of partial derivatives.

**Example 6.2.** Going back to Example (2.8), where S = K[x,y],  $I = \langle xy^2 + y^3, x^3 + x^2y \rangle$ , and  $G = \{xy^2 + y^3, x^3 + x^2y\}$ . We have  $d(xy^2 + y^3) = d(x^3 + x^2y) = 0$ . Therefore Proposition (??) implies  $(S_I, d)$  is a differential graded K-algebra.

Now we want to show that  $(S_I, d)$  is a differential graded K-algebra if and only if  $(S/I, \overline{d})$  is a differential graded K-algebra, and moreover, they are isomorphic to each other.

**Lemma 6.2.** Let I be a homogeneous ideal in the polynomial ring S, and let  $G = \{g_1, g_2, ..., g_r\}$  be the reduced Gröbner basis for I. Then  $d(g) = d(g)^G$  for all  $g \in G$ .

*Proof.* Let  $g \in G$ . If d(g) = 0, then clearly we have  $d(g) = d(g)^G$ , so assume  $d(g) \neq 0$ . We need to prove that  $d(g) = d(g)^G$ . This is equivalent to saying that no term of d(g) belongs to  $\langle LT(G) \rangle := \langle LT(g_1), LT(g_2), \dots, LT(g_r) \rangle$ , since G is a Gröbner basis. Every term in of d(g) has the form  $x_{\lambda}^{-1}m$  where m is some term of g. It is easy to see that this term cannot belong to  $\langle LT(G) \rangle$ . Indeed, if  $x_{\lambda}^{-1}m \in \langle LT(G) \rangle$ , then  $m \in \langle LT(g_2), \dots, LT(g_r) \rangle$ , and this contradicts the fact that G is a *reduced* Gröbner basis.

**Proposition 6.1.** *I is d-stable if and only if*  $d(g) \in I$  *for all*  $g \in G$ .

*Proof.* One direction is trivial, so let's prove the other direction. Suppose  $d(g) \in I$  for all  $g \in G$  and let  $f \in I$ . Since G generates I, we can write  $f = \sum_{\lambda=1}^{r} q_{\lambda} g_{\lambda}$  for some  $q_1, \ldots, q_r \in S$ . Thus, by Leibniz law, we have

$$d(f) = d\left(\sum_{\lambda=1}^{r} q_{\lambda} g_{\lambda}\right)$$

$$= \sum_{\lambda=1}^{r} d(q_{\lambda} g_{\lambda})$$

$$= \sum_{\lambda=1}^{r} (d(q_{\lambda}) g_{\lambda} + q_{\lambda} d(g_{\lambda})) \in I.$$

Thus, *I* is *d*-stable.

Combining Lemma (6.2) and Proposition (10.1), we find that that d(g) = 0 for all  $g \in G$  if and only if  $d(g) \in I$  for all  $g \in G$  if and only if I is d-stable. Combining this with Theorem (6.1), we find that  $(S_I, d)$  is a differential graded K-algebra if and only if  $(S/I, \overline{d})$  is a differential graded K-algebra. Now we will show that they are in fact isomorphic to each other.

**Theorem 6.3.** Suppose I is d-stable. Then  $(S_I, d)$  is isomorphic to  $(S/I, \overline{d})$  as differential graded K-algebras.

*Proof.* Recall that S/I is isomorphic to  $S_I$  as graded K-algebras, where the isomorphism is given by mapping  $\overline{f} \in S/I$  to  $f^G \in S_I$ . It remains to show that this isomorphism respects the differential graded algebra structure. In particular, we need to show that  $d(f^G) = d(f)^G$  for all  $f \in S$ . This was already proven in Theorem (6.1).

# 6.2 More Differential Graded K-algebras

**Proposition 6.2.** Suppose I is d-stable and let g be a homogeneous polynomial such that d(g) = 0. Then  $(S_{\langle I,g\rangle}, d)$  and  $(S_{I:g}, d)$  are differential graded K-algebras.

*Proof.* We just need to show that  $\langle I, g \rangle$  and I : g are both d-stable. Since d(g) = 0, it follows that  $\langle I, g \rangle$  is d-stable. To prove that I : g is d-stable, let  $f \in I : g$ . Then since  $fg \in I$ , d(g) = 0, and I is d-stable, it follows that

$$d(f)g = d(f)g + fd(g) = d(fg) \in I$$

Therefore  $d(f) \in I : g$ , which implies that I : g is d-stable.

**Example 6.3.** Consider S = K[x, y, z],  $g = x^2y + x^2z$ , and  $I = \langle f_1, f_2, f_3 \rangle$  where

$$f_1 = xy + xz + yz$$
  

$$f_2 = x^4y + x^5$$
  

$$f_3 = y^3 + y^2z$$

Then  $d(f_1) = d(f_2) = d(f_3) = 0$  implies that  $(S_I, d)$  is a differential graded K-algebra. The reduced Gröbner basis for I with respect to graded lexicographical ordering is  $G = \{g_1, g_2, g_3, g_4, g_5, g_6\}$ , where

$$g_{1} = xy + xz + yz$$

$$g_{2} = y^{3} + y^{2}z$$

$$g_{3} = y^{2}z^{2}$$

$$g_{4} = xz^{4} + yz^{4}$$

$$g_{5} = x^{5} + x^{4}z + x^{3}z^{2} + x^{2}z^{3}$$

$$g_{6} = x^{4}z^{2}$$

Since d(g) = 0, we know that  $(S_{\langle I,g \rangle}, d)$  and  $(S_{I:g}, d)$  are also differential graded K-algebras. The reduced Gröbner basis for I:g with respect to graded lexicographical ordering is  $G'' = \{g_1'', g_2'', g_3''\}$ , where

$$g_1'' = y + z$$

$$g_2'' = z^2$$

$$g_3'' = x^3 + x^2 z$$

and the reduced Gröbner basis for  $\langle I, g \rangle$  with respect to graded lexicographical ordering is  $G' = \{g'_1, g'_2, g'_3, g'_4, g'_5\}$ , where

$$g'_{1} = xy + xz + yz$$

$$g'_{2} = y^{3} + y^{2}z$$

$$g'_{3} = xz^{2} + yz^{2}$$

$$g'_{4} = y^{2}z^{2}$$

$$g'_{5} = x^{5} + x^{4}z + x^{3}z^{2} + x^{2}z^{3}$$

# 7 Constructing the Cochain Complexes $(S, \delta)$ , $(S_I, \delta)$ , and $(I, \delta)$

For a K-vector space V, let  $V^* := \operatorname{Hom}_K(V,K)$ . We refer to  $V^*$  as the **dual** of V. If  $\varphi : V \to W$  is a K-linear map from the vector space V to the vector space W, then we denote the K-linear map  $\operatorname{Hom}_K(\varphi,K) : \operatorname{Hom}_K(W,K) \to \operatorname{Hom}_K(V,K)$  simply as  $\varphi^*$  and call it the **dual** of  $\varphi$ .

# 7.1 Construction of $(S, \delta)$ and $(I, \delta)$

The duals of  $S_I$ , S, and I are all graded K-modules, where the homogeneous components are simply the duals of  $(S_I)_i$ ,  $S_i$ , and  $I_i$  respectively. In fact,  $S_I$ , S/I, S, and I are all isomorphic as graded K-modules to their duals: To get an isomorphism from  $S_i$  to  $S_i^{\star}$ , we map the monomial  $x^{\alpha} \in S_i$  to the element  $\underline{x}^{\alpha} \in S_i^{\star}$ , where  $\underline{x}^{\alpha}$  is defined on the monomial  $x^{\beta} \in S_i$  as

$$\underline{x}^{\alpha}(x^{\beta}) = \begin{cases} 0 & \text{if } \alpha \neq \beta \\ 1 & \text{if } \alpha = \beta \end{cases}$$

and is extended linearly everywhere else. The isomorphisms  $(S_I)_i^* \cong (S_I)_i$  and  $I_i^* \cong I_i$  are induced from this isomorphism.

We can describe  $d^*$  as follows: Let  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial of degree i-1 in S. Then

$$d^{\star}(\underline{m}) = \sum_{\mu \in [m]_e} \underline{x^{\mu} m}.$$

Using the isomorphism from S to  $S^*$  described above, we pull  $d^*$  back to a map on S and we denote this map as  $\delta$  and call it the **codifferential**. Thus, for each monomial  $m \in S$ , we have

$$\delta(m) = \sum_{\mu \in [m]_e} x_{\mu} m. \tag{5}$$

It is clear that  $\delta$  is a graded endomorphism of S of degree 1 such that  $\delta^2 = 0$ , and thus gives S the structure of a cochain complex over K.

*Remark.* Note that  $(S, \delta)$  is not a differential graded K-algebra with respect to the usual multiplication maps. For instance, consider S = K[x,y]. Then  $\delta(xy) = 0$  but  $\delta(x)y + x\delta(y) = x^2y + xy^2$ . Later on we will introduce a product, called **cup product**, which will give  $(S, \delta)$  the structure of a differential graded K-algebra with respect to this product.

# 7.2 Construction of $(I, \delta)$

Using the description of  $\delta$  in (5) and the fact that I is an ideal, we see that  $\delta$  restricts to a map  $\delta: I \to I$ , which is also a graded endomorphism of S of degree 1 such that  $\delta^2 = 0$ , and thus gives I the structure of a cochain complex over K.

#### 7.2.1 Kronecker Pairing

When we identify monomials  $\underline{x}^{\alpha}$  in  $S^{\star}$  with monomials  $x^{\alpha}$  in  $S_i$ , we are forgetting the way monomials in  $S_i^{\star}$  act on monomials in  $S_i$ . To make up for this, we introduce a symmetric bilinear form  $\langle \cdot, \cdot \rangle$  on  $S_i$  called the **Kronecker pairing**: For monomials  $x^{\alpha}$  and  $x^{\beta}$  in  $S_i$ , we set

$$\langle x^{\alpha}, x^{\beta} \rangle = \underline{x}^{\alpha}(x^{\beta}) = \begin{cases} 0 & \text{if } \alpha \neq \beta \\ 1 & \text{if } \alpha = \beta \end{cases}.$$

Then we extend this linearily to  $\langle \cdot, \cdot \rangle : S_i \times S_i \to K$ . From the way we constructed  $\delta$ , we have for all  $f_1, f_2 \in S_i$ , we have  $\langle \delta(f_1), f_2 \rangle = \langle f_1, d(f_2) \rangle$ .

#### 7.3 Construction of $(S_I, \delta)$

Let  $\underline{\delta}: S_I \to S_I$  be the graded *K*-linear map of degree 1 given by

$$\underline{\delta}(f) := \delta(f)^G$$

for all  $f \in S_I$ . The map  $\underline{\delta}$  gives the graded K-module  $S_I$  the structure of a cochain complex over K.

# 7.4 Cup and Cap Product

We introduce some notation. Let  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial in S. If  $\alpha_{\lambda} > 0$ , then we say  $x_{\lambda}$  is in the **support** of m. We denote by supp(m) to be the set of all  $x_{\lambda}$  in the support of m. We will assume that  $x_1 > x_2 > \cdots > x_n$ . We say  $x_{\lambda}$  is the **last nonzero coordinate** of m if  $x_{\lambda}$  is the smallest element in supp(m). We say  $x_{\lambda}$  is the **first nonzero coordinate** of m if  $x_{\lambda}$  is the largest element in supp(m).

# 7.4.1 Cup Product

**Definition 7.1.** Let  $m_1$  and  $m_2$  be monomials  $S_i$  and  $S_j$  respectively. The **cup product** of  $m_1$  and  $m_2$  is

 $m_1 \smile m_2 = \begin{cases} \frac{m_1 m_2}{x_\lambda} & \text{if } x_\lambda \text{ is the last nonzero coordinate of } m_1 \text{ and the first nonzero coordinate of } m_2 \\ 0 & \text{otherwise} \end{cases}$ 

This extends to a linear map  $\smile$ :  $S_i \times S_j \to S_{i+j-1}$  which we call the cup product.

**Example 7.1.** Let S = K[x, y, z]. Then

$$(x^{2}y + xy^{2}) \smile (x^{5} + y^{4}z) = x^{2}y \smile x^{5} + xy^{2} \smile x^{5} + x^{2}y \smile y^{4}z + xy^{2} \smile y^{4}z$$
$$= x^{2}y \smile y^{4}z + xy^{2} \smile y^{4}z$$
$$= x^{2}y^{4}z + xy^{5}z.$$

**Proposition 7.1.** Let  $m_1$  and  $m_2$  be two monomials in S. Then

$$\delta(m_1 \smile m_2) = \delta(m_1) \smile m_2 + m_1 \smile \delta(m_2). \tag{6}$$

*Proof.* Let  $x_{\lambda_1}$  be the last nonzero coordinate of  $m_1$  and let  $x_{\lambda_2}$  be the first nonzero coordinate of  $m_2$ . First assume that  $x_{\lambda_1} > x_{\lambda_2}$ . Then  $m_1 \smile m_2 = 0$ , and this implies  $\delta(m_1 \smile m_2) = 0$ . Also, the last nonzero coordinate of every monomial in  $\delta(m_1)$  will be greater than or equal to  $x_{\lambda_1}$  which is strictly greater than  $x_{\lambda_2}$ . Therefore  $\delta(m_1) \smile m_2 = 0$ . Similarly, the first nonzero in  $\delta(m_2)$  will be smaller than or equal to  $x_{\lambda_2}$  which is strictly smaller than  $x_{\lambda_1}$ . Therefore  $m_1 \smile \delta(m_2) = 0$ . So we trivially have (6) in this case.

Now we assume  $x_{\lambda_2} > x_{\lambda_1}$ . Then  $m_1 \smile m_2 = 0$ , and this implies  $\delta(m_1 \smile m_2) = 0$ . Also, since  $x_{\lambda_1} \in [m_2]_e$  and  $x_{\lambda_2} \in [m_1]_e$ , we will have  $\delta(m_1) \smile m_2 = m_1 m_2$  and  $m_1 \smile \delta(m_2) = m_1 m_2$ . Adding everything together, we get

$$\delta(m_1 \smile m_2) = 0 = \delta(m_1) \smile m_2 + m_1 \smile \delta(m_2).$$

Finally, assume  $x_{\lambda_1} = x_{\lambda_2}$ . Let's denote this common variable as  $x_{\mu}$ . On the one hand, we have

$$\delta(m_1 \smile m_2) = \delta\left(\frac{m_1 m_2}{x_{\mu}}\right) = \sum_{\substack{x_{\lambda} \in [m_1]_e \\ x_{\lambda} \le x_{\mu}}} \frac{x_{\lambda} m_1 m_2}{x_{\mu}} + \sum_{\substack{x_{\lambda} \in [m_2]_e \\ x_{\lambda} \ge x_{\mu}}} \frac{x_{\lambda} m_1 m_2}{x_{\mu}}$$

On the other hand, we have

$$\delta(m_1)\smile m_2=\sum_{\substack{x_\lambda\in[m_1]_e\\x_\lambda\leq x_\mu}}\frac{x_\lambda m_1 m_2}{x_\mu}\qquad\text{and}\qquad m_1\smile \delta(m_2)=\sum_{\substack{x_\lambda\in[m_2]_e\\x_\lambda\geq x_\mu}}\frac{x_\lambda m_1 m_2}{x_\mu}.$$

Combining these together gives the desired result.

#### 7.4.2 Cap Product

**Definition 7.2.** Let  $m_1$  and  $m_2$  be monomials  $S_i$  and  $S_j$  respectively. The **cap product** of  $m_1$  and  $m_2$  is

 $m_1 
ightharpoonup m_2 = \begin{cases} x_\lambda \frac{m_2}{m_1} & \text{if } m_1 \mid m_2, \ x_\lambda \text{ is the last nonzero coordinate of } m_1, \text{ and } x_\lambda \text{ is the first nonzero coordinate of } \frac{x_\lambda m_2}{m_1}. \\ 0 & \text{otherwise} \end{cases}$ 

The cap product extends linearly to a map  $\sim: S_i \times S_j \to S_{i-j+1}$ .

# 8 Topological Interpretation of $H(S_I)$

In this section, we give a topological interpretation of  $H(S_I)$ . Since  $H(S_{LT(I)}) \cong H(S_I)$ , we only need to consider the case where I is a monomial ideal. Thus, throughout this section, we will assume that I is a monomial ideal.

## 8.1 Homology Calculations

**Proposition 8.1.** *Suppose I is d-stable. Then*  $H(S_I) = 0$ .

*Proof.* Let f be a homogeneous polynomial in  $S_I$  such that d(f) = 0. Then for any  $x_{\lambda} \in (S_I)_1$ , we have

$$d(x_{\lambda}f) = d(x_{\lambda})f + x_{\lambda}d(f) = f.$$

Therefore Ker(d) = Im(d), hence  $H(S_I) = 0$ .

*Remark.* Taking I = 0 shows that H(S) = 0.

**Proposition 8.2.** Let J be a monomial ideal such that  $I \supset J$  and such that J is d-stable. Then the differential d induces isomorphisms  $H_i(I/J) \cong H_{i-1}(S_I)$  for all i > 0.

*Proof.* First we show that  $d\pi = \pi d$ . For all  $f \in S$ , we have

$$\begin{split} \underline{d}(\pi(f)) &= \underline{d}(f + f^G) \\ &= d(f + f^G) + d(f + f^G)^G \\ &= d(f) + d(f^G) + d(f)^G + d(f^G)^G \\ &= d(f) + d(f^G) + d(f)^G + d(f^G) \\ &= d(f) + d(f)^G \\ &= \pi(d(f)), \end{split}$$

where  $d(f^G)^G = d(f^G)$  because no term in  $d(f^G)$  lies in LT(*I*).

Therefore we have a short exact sequence of chain complexes over *K*:

$$0 \longrightarrow (S_I,d) \longrightarrow (S_J,d) \stackrel{\pi}{\longrightarrow} (I/J,\underline{d}) \longrightarrow 0.$$

From this, we obtain a long exact sequence in homology, which gives for each i > 0, the following short exact sequences:

$$0 = H_i(S_I) \longrightarrow H_i(I/J) \stackrel{d}{\longrightarrow} H_{i-1}(S_I) \longrightarrow H_{i-1}(S_I) = 0.$$

where d is obtained from the connecting map. In more detail, d maps the element  $[f] \in H_i(I/J)$  to the element  $[d(f)] \in H_{i-1}(S_I)$ .

**Proposition 8.3.** The differential d induces isomorphisms  $H_i(I) \cong H_{i-1}(S_I)$  for all i > 0.

*Proof.* First we show that  $d\pi = \pi d$ . For all  $f \in S$ , we have

$$\underline{d}(\pi(f)) = \underline{d}(f + f^{G}) 
= d(f + f^{G}) + d(f + f^{G})^{G} 
= d(f) + d(f^{G}) + d(f)^{G} + d(f^{G})^{G} 
= d(f) + d(f^{G}) + d(f)^{G} + d(f^{G}) 
= d(f) + d(f)^{G} 
= \pi(d(f)),$$

where  $d(f^G)^G = d(f^G)$  because no term in  $d(f^G)$  lies in LT(I).

Therefore we have a short exact sequence of chain complexes over *K*:

$$0 \longrightarrow (S_I, d) \longrightarrow (S, d) \stackrel{\pi}{\longrightarrow} (I, \underline{d}) \longrightarrow 0.$$

From this, we obtain a long exact sequence in homology, which gives for each i > 0, the following short exact sequences:

$$0 = H_i(S) \longrightarrow H_i(I) \stackrel{d}{\longrightarrow} H_{i-1}(S_I) \longrightarrow H_{i-1}(S) = 0.$$

where d is obtained from the connecting map. In more detail, d maps the element  $[f] \in H_i(I)$  to the element  $[d(f)] \in H_{i-1}(S_I)$ .

# 8.2 Decomposing $H_i(S_I)$

Let g be a homogeneous polynomial of degree j and let G' be the reduced Gröbner basis for  $\langle I, g \rangle$  with respect to our fixed monomial ordering. In Commutative Algebra, we learn about the following short exact sequence of graded S-modules

$$0 \longrightarrow (S/(I:g))(-j) \stackrel{g}{\longrightarrow} S/I \longrightarrow S/\langle I,g \rangle \longrightarrow 0$$

$$\overline{f} \longmapsto \overline{fg}$$

We want to use this short exact sequence to our advantage. First, using the isomorphisms  $S_{I:g} \cong S/(I:g)$ ,  $S_I \cong S/I$ , and  $S_{\langle I,g \rangle} \cong S/\langle I,g \rangle$ , we get, for each i, a short exact sequence of K-vector spaces

$$0 \longrightarrow (S_{I:g})_{j-i} \stackrel{\cdot g}{\longrightarrow} (S_I)_i \stackrel{-G'}{\longrightarrow} (S_{\langle I,g \rangle})_i \longrightarrow 0$$

$$f \longmapsto (fg)^G$$

$$f \longmapsto f^{G'}$$

or in other words, a short exact sequence of graded K-vector spaces

$$0 \longrightarrow (S_{I:g})(-j) \stackrel{\cdot g}{\longrightarrow} S_I \stackrel{-G'}{\longrightarrow} S_{\langle I,g\rangle} \longrightarrow 0$$

We want to know under what conditions this becomes a short exact sequence of chain complexes over *K*, that is, when does the following diagram commute?

After some thought, we find that the conditions which need to be satisfied are the following:

$$(gd(m))^G = d((gm)^G)$$
 for all monomials  $m$  which are not in  $LT(I:g)$  (7)

$$d(m)^{G'} = d(m^{G'})$$
 for all monomials  $m$  which are not in  $LT(I)$  (8)

For the moment, let's assume that these conditions are satisfied so that we have a short exact sequence of chain complexes. Then by the usual argument, the short exact sequence of chain complexes gives rise to a long exact sequence in homology:

It's easy to see that the connecting maps  $\lambda$  all induce the zero map. So in fact, we get for each i, the short exact sequence of K-vector spaces:

$$0 \longrightarrow H_{i-j}(S_{I:g}) \stackrel{\cdot g}{\longrightarrow} H_i(S_I) \stackrel{-G'}{\longrightarrow} H_i(S_{\langle I,g\rangle}) \longrightarrow 0,$$

and since the inclusion map  $S_{\langle I,g\rangle} \hookrightarrow S_I$  splits the map -G', we obtain the following isomorphism

$$H_{i-j}(S_{I:g}) \oplus H_i(S_{\langle I,g \rangle}) \cong H_i(S_I)$$
 (9)

where we map the representative  $(f_1, f_2)$  in  $H_{i-j}(S_{I:g}) \oplus H_i(S_{\langle I,g \rangle})$  to the representative  $gf_1 + f_2$  in  $H_i(S_I)$ .

## 8.2.1 Decomposing $H_i(S_I)$ in a Special Case and an Example

We will now discuss a special case of when the conditions in Theorem (??) are satisfied. Consider the case where I is a monomial ideal and g is a monomial of degree j which is not in I. Then condition (7) is satisfied since if m is not in I: g, then gm is not in I, and so  $(gm)^G = gm$  which implies  $(gd(m))^G = gd(m)$ .

For condition (8) first assume that m is not in  $\langle I,g\rangle$ . Then then  $m^{G'}=m$ , which implies  $d(m)^{G'}=d(m)=d(m^{G'})$ . Thus condition (8) is satisfied in this case. Now assume that m=g. Then  $m^{G'}=0$ , which implies  $d(m^{G'})=0$ . Thus, we must have d(g)=0 in order for condition (8) to be satisfied in this case. So assume d(g)=0 and consider the final case where  $m=m_1g$ . Since d(g)=0, we obtain  $d(m)^{G'}=(d(m_1)g)^{G'}=0$ , and thus (8) is satisfied in this case as well.

In the next example, we show how we can apply Theorem (??) recursively. In what follows, we frequently use the notation I,g to mean  $\langle I,g \rangle$  and I:g to mean  $I:\langle g \rangle$ . For example,  $I,g_1:g_2=\langle I,g_1\rangle:\langle g_2\rangle$ , and  $I:g_1,g_2=\langle (I:g_1),\langle g_2\rangle\rangle$ , and so on. We also note that  $I:g_1:g_2=I:g_1g_2$ .

**Example 8.1.** Consider S = K[x, y, z] and  $I = \langle x^3y, yz^3 \rangle$ . Then  $d(x^2) = d(z^2) = 0$ , and so

$$\begin{split} H_{i}(S_{I}) &= x^{2} H_{i-2}(S_{I:x^{2}}) \oplus H_{i}(S_{I,x^{2}}) \\ &= x^{2} (z^{2} H_{i-4}(S_{I:x^{2}z^{2}}) \oplus H_{i-2}(S_{I:x^{2},z^{2}})) \oplus z^{2} H_{i-2}(S_{I,x^{2}:z^{2}}) \oplus H_{i}(S_{I,x^{2},z^{2}}) \\ &= x^{2} z^{2} H_{i-4}(S_{I:x^{2}z^{2}}) \oplus x^{2} H_{i-2}(S_{I:x^{2},z^{2}}) \oplus z^{2} H_{i-2}(S_{I,x^{2}:z^{2}}) \oplus H_{i}(S_{I,x^{2},z^{2}}) \end{split}$$

We calculate

$$I: x^{2}z^{2} = \langle xy, yz \rangle$$

$$I, x^{2}: z^{2} = \langle x^{2}, yz \rangle$$

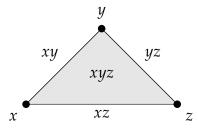
$$I: x^{2}, z^{2} = \langle xy, z^{2} \rangle$$

$$I, x^{2}, z^{2} = \langle x^{2}, z^{2} \rangle$$

The only part which has nontrivial homology is  $S_{I:x^2z^2}$ . Thus,  $H_5(S_I) = [d(x^3yz^2)]K$  and  $H_i(S_I) = 0$  for all  $i \neq 5$ .

# 8.3 Reinterpreting Simplicial Complexes

We want to reinterpret the theory simplicial complexes using the language of monomials. There is a bijection between the set of subsets of  $\{x_1, \ldots, x_n\}$  and the set of squarefree monomials in the variables  $x_1, \ldots, x_n$ . Indeed, if m is a squarefree monomial, then the corresponding subset of  $\{x_1, \ldots, x_n\}$  is  $\operatorname{supp}(m)$ . Moreover, if m and m' are squarefree monomials, then m divides m' if and only if  $\operatorname{supp}(m) \subseteq \operatorname{supp}(m')$ . Here's how we think of the squarefree monomials in x, y, z sit on the 2-simplex:



#### 8.4 Stanley-Reisner Rings

# 8.4.1 Stanley-Reisner Ring

Let  $\Delta$  be a simplicial complex on  $\{x_1, \ldots, x_n\}$ . We denote by  $I_{\Delta}$  to be the ideal of nonfaces of  $\Delta$ , that is,  $I_{\Delta}$  is generated by the squarefree monomials m in S which are not in  $\Delta$ . We define the **Stanley-Reisner ring**  $K[\Delta]$  of the simplicial complex  $\Delta$  to be the K-algebra  $K[\Delta] := S/I_{\Delta}$ . We will also denote by  $I_{\Delta}^{\text{sq}}$  to mean  $I_{\Delta}^{\text{sq}} := \langle I_{\Delta}, x_1^2, \ldots, x_n^2 \rangle$ .

Conversely, if *I* is a squarefree monomial ideal in *S*. Then we denote by  $\Delta_I$  the simplicial complex on  $\{x_1, \ldots, x_n\}$  whose ideal of nonfaces is *I*, that is,  $\Delta_I$  consists of all squarefree monomials which do not belong to *I*.

**Lemma 8.1.** Let I be a monomial ideal. If  $H(S_I) = 0$ , then  $H(S_{I,x_\lambda^2}) = H(S_{I:x_\lambda^2}) = 0$ .

*Proof.* From Theorem (??), we have a decomposition

$$H_i(S_I) \cong x_\lambda^2 H_{i-2}(S_{I:x_\lambda^2}) \oplus H_i(S_{I,x_\lambda^2})$$

for all  $i \in \mathbb{Z}$ . Thus,  $H(S_I) = 0$  implies  $H(S_{I,x_1^2}) = H(S_{I:x_1^2}) = 0$ .

**Lemma 8.2.** Let  $\Delta$  be a simplicial complex on  $\{x_1, \ldots, x_n\}$ . Then

$$H(S_{I_{\Delta},x_1^2,\dots,x_{\lambda-1}^2:x_{\lambda}^2})=0$$

for all  $\lambda = 1, \ldots, n$ .

*Proof.* We prove this by induction. For the base case, let f represent an element in  $H(S_{I_{\Delta}:x_1^2})$  and write f in terms of its monomial basis as

$$f = \sum_{\lambda=1}^{s} a_{\lambda} m_{\lambda},$$

where  $a_{\lambda} \in K$  for all  $\lambda = 1, \ldots, s$ . Since  $I_{\Delta}$  is a squarefree monomial ideal, we have  $I_{\Delta} : x_1^2 = I_{\Delta} : x_1$ . We claim that  $x_1 f \in S_{I_{\Delta}:x_1^2}$ . Indeed, for all  $\lambda = 1, \ldots, s$ , we have  $x_1 m_{\lambda} \in S_{I_{\Delta}:x_1} = S_{I_{\Delta}:x_1^2}$ . This implies our claim. Now since  $x_1 f \in S_{I_{\Delta}:x_1^2}$  and  $d(x_1 f) = f$ , it follows that f represents the zero element in  $H(S_{I_{\Delta}:x_1^2})$ .

Now assume that  $H(S_{I_{\Delta},x_1^2,...,x_{\lambda-1}^2:x_{\lambda}^2})=0$  for some  $1 \leq \lambda < n$ . We prove that this implies  $H(S_{I_{\Delta},x_1^2,...,x_{\lambda}^2:x_{\lambda+1}^2})=0$ . First note that

$$H(S_{I_{\Delta},x_{1}^{2},...,x_{\lambda}^{2}:x_{\lambda+1}^{2}}) = H(S_{I_{\Delta}:x_{\lambda+1}^{2},x_{1}^{2},...,x_{\lambda}^{2}}) = 0,$$

The same argument in the paragraph above implies  $H(S_{I_{\Delta}:x_{\lambda+1}^2})=0$ . Now we inductively apply Lemma (8.1) to get

$$H(S_{I_{\Delta}:x_{\lambda+1}^2,x_1^2,\ldots,x_{\lambda}^2})=0.$$

**Theorem 8.3.** Let  $\Delta$  be a simplicial complex on  $\{x_1, \ldots, x_n\}$ . Then

$$H_i(S_{I_{\Delta}}) \cong H_i(S_{I_{\Delta}^{sq}}) \cong \widetilde{H}_{i-1}(\Delta; K)$$

for all  $i \in \mathbb{Z}$ .

*Proof.* Let us first show that  $H_i(S_{I^{\operatorname{sq}}_{\Delta}}) \cong \widetilde{H}_{i-1}(\Delta;K)$ . The map  $\varphi: S_{I^{\operatorname{sq}}_{\Delta}} \to S(\Delta)$ , given by  $\varphi(m) = [m]_0$  for all monomials  $m \in S_{I^{\operatorname{sq}}_{\Delta}}$ , is a graded isomorphism of degree -1. Moreover, it is easy to check that  $\varphi d = \partial \varphi$ . Thus  $\varphi$  induces an isomorphism  $H_i(S_{I^{\operatorname{sq}}_{\Delta}}) \cong \widetilde{H}_{i-1}(\Delta;K)$ .

Now we will prove that  $H_i(S_{I_{\Delta}}) \cong H_i(S_{I_{\Delta}})$ . We do this by combining Theorem (??) and Lemma (8.2). We have

$$H_{i}(S_{I_{\Delta}}) \cong x_{1}^{2}H_{i-2}(S_{I_{\Delta}:x_{1}^{2}}) \oplus H_{i}(S_{I_{\Delta},x_{1}^{2}})$$

$$\cong H_{i}(S_{I_{\Delta},x_{1}^{2}})$$

$$\cong x_{2}^{2}H_{i}(S_{I_{\Delta},x_{1}^{2}:x_{2}^{2}}) \oplus H_{i}(S_{I_{\Delta},x_{1}^{2},x_{2}^{2}})$$

$$\cong H_{i}(S_{I_{\Delta},x_{1}^{2},x_{2}^{2}})$$

$$\vdots$$

$$\cong H_{i}(S_{I_{\Delta}^{sq}})$$

for all  $i \in \mathbb{Z}$ .

**Example 8.2.** Consider  $S = K[x_1, x_2, x_3, x_4, x_5]$  and  $I_{\Delta} = \langle x_1x_4, x_1x_5, x_2x_5, x_2x_3x_4, x_3x_5, x_4x_5 \rangle$ . Then  $S/I_{\Delta}$  is the Stanley-Reisner ring of the simplex  $\Delta$  given in Example (4.1). Let's write down each homogeneous piece side by

side:

$$S_{2}(\Delta) = K\{1,2,3\}$$
 
$$(S_{I_{\Delta}^{sq}})_{3} = Kx_{1}x_{2}x_{3}$$
 
$$S_{1}(\Delta) = K\{1,3\} + K\{1,2\} + K\{2,3\} + K\{2,4\} + K\{3,4\}$$
 
$$(S_{I_{\Delta}^{sq}})_{2} = Kx_{1}x_{3} + Kx_{1}x_{2} + Kx_{2}x_{3} + Kx_{2}x_{4} + Kx_{3}x_{4}$$
 
$$S_{0}(\Delta) = K\{1\} + K\{2\} + K\{3\} + K\{4\} + K\{5\}$$
 
$$(S_{I_{\Delta}^{sq}})_{1} = Kx_{1} + Kx_{2} + Kx_{3} + Kx_{4} + Kx_{5}$$
 
$$(S_{I_{\Delta}^{sq}})_{0} = K \cdot 1$$

# **Part III**

# Homological Constructions over a Ring of Characteristic 2

Throughout this chapter, let *R* be a ring of characteristic 2.

# 9 Constructing All Finitely-Generated Differential Graded R-Algebras

**Theorem 9.1.** Let  $S_w$  denote the weighted polynomial ring  $R[x_1,...,x_n]$  with respect to the weighted vector  $w=(w_1,...,w_n)$ . Define the map

$$d:=\sum_{\lambda=1}^n f_\lambda \partial_{x_\lambda},$$

where  $f_{\lambda}$  is a nonzero homogeneous polynomial in  $S_w$  of weighted degree  $w_{\lambda}-1$  for all  $\lambda=1,\ldots,n$ . Then

- 1. d is a graded endomorphism  $d: S_w \to S_w$  of degree -1 which satisfies Leibniz law.
- 2. Moreover, let  $I \subset S_w$  be any d-stable homogeneous ideal such that  $d(f_\lambda) \in I$  for all  $\lambda = 1, ..., n$ . Then d induces a map  $\overline{d}: S_w/I \to S_w/I$ , given by  $\overline{d}(\overline{f}) = \overline{d(f)}$  for all  $\overline{f} \in S_w/I$ , and  $(S_w/I, \overline{d})$  is a differential graded R-algebra.

*Proof.* We first show that d is a graded endomorphism  $d: S_w \to S_w$  of degree -1 which satisfies Leibniz law:

• *R*-linearity: We have

$$d(r_{1}g_{1} + r_{2}g_{2}) = \sum_{\lambda=1}^{n} f_{\lambda} \partial_{x_{\lambda}} (r_{1}g_{1} + r_{2}g_{2})$$

$$= \sum_{\lambda=1}^{n} f_{\lambda} (r_{1}\partial_{x_{\lambda}} (g_{1}) + r_{2}\partial_{x_{\lambda}} (g_{2}))$$

$$= r_{1} \sum_{\lambda=1}^{n} f_{\lambda} \partial_{x_{\lambda}} (g_{1}) + r_{2} \sum_{\lambda=1}^{n} f_{\lambda} \partial_{x_{\lambda}} (g_{2})$$

$$= r_{1}d(g_{1}) + r_{2}d(g_{2}),$$

for all  $r_1, r_2 \in R$  and  $g_1, g_2 \in S_w$ .

• Leibniz law: We have

$$d(g_1g_2) = \sum_{\lambda=1}^n f_\lambda \partial_{x_\lambda}(g_1g_2)$$

$$= \sum_{\lambda=1}^n f_\lambda (\partial_{x_\lambda}(g_1)g_2 + g_1\partial_{x_\lambda}(g_2))$$

$$= \left(\sum_{\lambda=1}^n f_\lambda \partial_{x_\lambda}(g_1)\right) g_2 + g_1 \left(\sum_{\lambda=1}^n f_\lambda \partial_{x_\lambda}(g_2)\right)$$

$$= d(g_1)g_2 + g_1 d(g_2),$$

for all  $g_1, g_2 \in S_w$ .

• Graded of degree -1: By R-linearity, we only need to check this on monomials. Let  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial of weighted degree i. A term in  $d(x_1^{\alpha_1} \cdots x_n^{\alpha_n})$  has the form  $\alpha_{\lambda} f_{\lambda} x_1^{\alpha_1} \cdots x_{\lambda}^{\alpha_n} \cdots x_n^{\alpha_n}$  where  $\alpha_{\lambda} \equiv 1 \mod 3$ , and

$$\deg_{w}\left(\alpha_{\lambda}f_{\lambda}x_{1}^{\alpha_{1}}\cdots x_{\lambda}^{\alpha_{\lambda}-1}\cdots x_{n}^{\alpha_{n}}\right) = \deg_{w}\left(f_{\lambda}x_{1}^{\alpha_{1}}\cdots x_{\lambda}^{\alpha_{\lambda}-1}\cdots x_{n}^{\alpha_{n}}\right)$$

$$= \deg_{w}\left(f_{\lambda}\right) + \deg_{w}\left(x_{1}^{\alpha_{1}}\cdots x_{\lambda}^{\alpha_{\lambda}-1}\cdots x_{n}^{\alpha_{n}}\right)$$

$$= w_{\lambda} - 1 + w_{1}\alpha_{1} + \cdots + w_{\lambda}(\alpha_{\lambda} - 1) + \cdots + w_{n}\alpha_{n}$$

$$= -1 + w_{1}\alpha_{1} + \cdots + w_{\lambda}\alpha_{\lambda} + \cdots + w_{n}\alpha_{n}$$

$$= -1 + i.$$

So every term in  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  has weighted degree -1 + i. This implies that d is graded of degree -1.

Now we will show that  $(S_w/I, \overline{d})$  is a differential graded R-algebra. Since I is d-stable, the map  $\overline{d}$  is well-defined. The map  $\overline{d}$  inherits the properties of being a graded endomorphism of degree -1 which satisfies Leibniz law from d, thus we just need to show that  $\overline{d}^2 = 0$ , or in other words, that  $d^2(g) \in I$  for all  $g \in S_w$ . So let  $g \in S_w$ . Then

$$d^{2}(g) = d\left(\sum_{\lambda=1}^{n} f_{\lambda} \partial_{x_{\lambda}}(g)\right)$$

$$= \sum_{\lambda=1}^{n} d(f_{\lambda} \partial_{x_{\lambda}}(g))$$

$$= \sum_{\lambda=1}^{n} d(f_{\lambda}) \partial_{x_{\lambda}}(g) + f_{\lambda} d(\partial_{x_{\lambda}}(g))$$

$$= \sum_{\lambda=1}^{n} d(f_{\lambda}) \partial_{x_{\lambda}}(g) \in I,$$

where we used the fact that  $\partial^2_{x_\lambda}=0$  and  $\partial_{x_\mu}\partial_{x_\lambda}=\partial_{x_\lambda}\partial_{x_\mu}$  to conclude that

$$\sum_{\lambda=1}^{n} f_{\lambda} d(\partial_{x_{\lambda}}(g)) = \sum_{\lambda=1}^{n} f_{\lambda} \sum_{\mu=1}^{n} f_{\mu} \partial_{x_{\mu}}(\partial_{x_{\lambda}}(g))$$
$$= 0.$$

Remark.

1. We often denote this differential graded *R*-algebra as  $(S_w/I, f_1, \dots f_n)$  instead of  $(S_w/I, \overline{d})$ .

2. When we write "let  $(S_w/I, f_1, \dots f_n)$  be a differential graded R-algebra", it is understood that the conditions in Theorem (9.1) are satisfied. Note that I is a *proper* ideal of  $S_w$ .

**Proposition 9.1.** Let  $(S_w/I, f_1, ..., f_n)$  be a differential graded R-algebra and let g be a homogeneous polynomial in S of degree j such that d(g) is in I. Then  $(S_w/\langle I, g \rangle, f_1, ..., f_n)$  and  $(S/(I:g), f_1, ..., f_n)$  are differential graded R-algebras.

*Proof.* First note that  $d(f_{\lambda}) \in I$  implies  $d(f_{\lambda}) \in \langle I, g \rangle$  and  $d(f_{\lambda}) \in I : g$  for all  $\lambda = 1, ..., n$ . So we just need to show that  $\langle I, g \rangle$  and I : g are d-stable. Since d(g) is in I, Proposition (10.1) implies that  $\langle I, g \rangle$  is d-stable. Therefore  $S/\langle I, g \rangle$  is a differential graded R-algebra. To prove that I : g is d-stable, let  $f \in I : g$ . Then since  $fg \in I$  and I is d-stable, it follows that  $d(fg) = d(f)g + fd(g) \in I$ . Which implies  $d(f)g \in I$ , since  $d(g) \in I$ . Therefore  $d(f) \in I : g$ , which implies that I : g is d-stable.

# 9.1 Classification of all Finitely-Generated Commutative Differential Graded R-Algebras

**Theorem 9.2.** Every finitely-generated commutative differential graded R-algebra is isomorphic to one described in Theorem (9.1).

*Proof.* Let  $(A, d_A)$  be a finitely generated differential graded R-algebra with generators  $a_1, \ldots, a_n$ . Then for each  $\lambda = 1, \ldots, n$ , we have  $a_{\lambda} \in A_{w_{\lambda}}$ , where  $w_{\lambda} \in \mathbb{Z}_{\geq 0}$ . Let  $S_w$  denote the weighted polynomial ring  $R[x_1, \ldots, x_n]$  with respect to the weighted vector  $w = (w_1, \ldots, w_n)$ , and let  $\varphi : S_w \to A$  be the unique morphism of graded

*R*-algebras such that  $\varphi(x_{\lambda}) = a_{\lambda}$  for all  $\lambda = 1, ..., n$ . Then *A* is isomorphic to  $S_w/\text{Ker}(\varphi)$  as graded *R*-algebras. Choose  $f_{\lambda} \in S$  such that  $\varphi(f_{\lambda}) = d_A(a_{\lambda})$  and define the map  $d: S_w \to S_w$  as

$$d:=\sum_{\lambda=1}^n f_\lambda \partial_{x_\lambda}.$$

Then d is a graded endomorphism of degree -1 which satisfies Leibniz law, by Theorem (9.1). We claim that  $Ker(\varphi)$  is d-stable and that  $d(f_{\lambda}) \in Ker(\varphi)$  for all  $\lambda = 1, ..., n$ . We do this in two steps:

**Step 1:** We will show that  $\varphi d = d_A \varphi$ . It suffices to show that for all monomials m, we have  $\varphi(d(m)) = d_A(\varphi(m))$ . We prove this by induction on  $\deg(m)$ . For the base case  $\deg(m) = 1$ , we have  $m = x_\lambda$  for some  $\lambda \in \{1, \ldots, n\}$ . Then

$$\varphi(d(x_{\lambda})) = \varphi(f_{\lambda})$$

$$= d_{A}(a_{\lambda})$$

$$= d_{A}(\varphi(x_{\lambda})).$$

Now suppose that  $\varphi(d(m)) = d_A(\varphi(m))$  for all monomials m in S of degree less than i, where i > 1. Let  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial in S whose degree is i + 1. We may assume that  $\alpha_1, \alpha_\lambda \ge 1$  for some  $\lambda \in \{1, \ldots, n\}$ . Then using Leibniz law together with induction, we obtain

$$\varphi(d(x_{1}^{\alpha_{1}}x_{2}^{\alpha_{2}}\cdots x_{n}^{\alpha_{n}})) = \varphi(d(x_{1}^{\alpha_{1}})x_{2}^{\alpha_{2}}\cdots x_{n}^{\alpha_{n}} + x_{1}^{\alpha_{1}}d(x_{2}^{\alpha_{2}}\cdots x_{n}^{\alpha_{n}})) 
= \varphi(d(x_{1}^{\alpha_{1}})\varphi(x_{2}^{\alpha_{2}}\cdots x_{n}^{\alpha_{n}}) + \varphi(x_{1}^{\alpha_{1}})\varphi(d(x_{2}^{\alpha_{2}}\cdots x_{n}^{\alpha_{n}})) 
= \varphi(d(x_{1}^{\alpha_{1}}))a_{2}^{\alpha_{2}}\cdots a_{n}^{\alpha_{n}} + a_{1}^{\alpha_{1}}\varphi(d(x_{2}^{\alpha_{2}}\cdots x_{n}^{\alpha_{n}})) 
= d_{A}(a_{1}^{\alpha_{1}})a_{2}^{\alpha_{2}}\cdots a_{n}^{\alpha_{n}} + a_{1}^{\alpha_{1}}d_{A}(a_{2}^{\alpha_{2}}\cdots a_{n}^{\alpha_{n}}) 
= d_{A}(a_{1}^{\alpha_{1}}a_{2}^{\alpha_{2}}\cdots a_{n}^{\alpha_{n}}) 
= d_{A}(\varphi(x_{1}^{\alpha_{1}}x_{2}^{\alpha_{2}}\cdots x_{n}^{\alpha_{n}})).$$

This establishes Step 1.

**Step 2:** We show that  $Ker(\varphi)$  is d-stable and that  $d(f_{\lambda}) \in Ker(\varphi)$  for all  $\lambda = 1, ..., n$ . Let  $g \in Ker(\varphi)$ . Then by Step 1, we have

$$\varphi(d(f)) = d_A(\varphi(f))$$

$$= d_A(0)$$

$$= 0.$$

Thus  $d(f) \in \text{Ker}(\varphi)$ , which implies  $\text{Ker}(\varphi)$  is d-stable. Step 1 also implies

$$\varphi(d(f_{\lambda})) = d_{A}(\varphi(f_{\lambda}))$$

$$= d_{A}(d_{A}(f_{\lambda}))$$

$$= 0,$$

for all  $\lambda = 1, ..., n$ .

Now Theorem (9.1) implies that  $(S_w/\text{Ker}(\varphi), \overline{d})$  is a differential graded R-algebra. Moreover, Step 1 implies  $\varphi: (S_w/\text{Ker}(\varphi), \overline{d}) \to (A, d_A)$  is an isomorphism of differential graded R-algebras.

# 10 Constructing the Differential Graded R-algebra $(S/I, r_1, \ldots, r_n)$

We now want to consider some special cases of Theorem (9.1). In particular, we want to consider the case where the weighted vector is w = (1, ..., 1). We will write S to denote the polynomial ring  $R[x_1, ..., x_n]$  equipped with this grading. Let  $r_1, ..., r_n$  be nonzero elements in R, and define  $d : S \to S$  by

$$d:=\sum_{\lambda=1}^n r_\lambda \partial_{x_\lambda}.$$

Since  $d(r_{\lambda}) = 0$  for all  $\lambda = 1, ..., n$ , it follows from Theorem (9.1) that  $(S, r_1, ..., r_n)$  is a differential graded R-algebra. Moreover, if I is a d-stable ideal, then  $(S/I, r_1, ..., r_n)$  is a differential graded R-algebra. The next proposition gives a necessary and sufficient condition for a finitely generated ideal I to be d-stable.

**Proposition 10.1.** Let I be a homogeneous ideal in S. Then I is d-stable if and only if for some generating set  $F = \{f_1, \ldots, f_r\}$  of I, we have  $d(f_{\lambda}) \in I$  for all  $\lambda = 1, \ldots, r$ .

*Proof.* One direction is trivial, so let's prove the other direction. Let  $F = \{f_1, \ldots, f_r\}$  be a generating set for I such that  $d(f_{\lambda}) \in I$  for all  $\lambda = 1, \ldots, r$  and let  $f \in I$ . Since  $\{f_1, \ldots, f_r\}$  generates I, we can write  $f = \sum_{\lambda=1}^r q_{\lambda} f_{\lambda}$  for some  $q_1, \ldots, q_r \in S$ . Thus, by Leibniz law, we have

$$d(f) = d\left(\sum_{\lambda=1}^{r} q_{\lambda} f_{\lambda}\right)$$

$$= \sum_{\lambda=1}^{r} d(q_{\lambda} f_{\lambda})$$

$$= \sum_{\lambda=1}^{r} (d(q_{\lambda}) f_{\lambda} + q_{\lambda} d(f_{\lambda})) \in I.$$

Thus, *I* is *d*-stable.

# 10.1 Koszul Complex

Recall from Example (3.2) that the Koszul complex  $\mathcal{K}(r_1,\ldots,r_n)$  is a differential graded R-algebra. Indeed,  $\mathcal{K}(r_1,\ldots,r_n)$  is isomorphic to the differential graded R-algebra  $(S/I,r_1,\ldots,r_n)$ , where I is generated by  $\{x_1^2,\ldots,x_n^2\}$ . C learly I is d-stable since  $d(x_\lambda^2)=0$  for all  $\lambda=1,\ldots,n$ .

**Example 10.1.** Let  $R = \mathbb{F}_2[x,y]/\langle xy \rangle$  and let  $r_1 = x$  and  $r_2 = y$ . Then S = R[u,v] has a differential graded R-algebra structure with the differential d given by

$$d := x \partial_u + y \partial_v$$
.

Using graded lexicographical ordering on the monomials, we can explicitly write *S* as a chain complex over *R* using matrices as the linear maps:

Now let *I* be the homogeneous ideal in *S* generated by  $\{x^2, y^2\}$ . Then  $(S/I, r_1, r_2)$  is isomorphic to the Koszul complex  $K(r_1, r_2)$ . Using graded lexicographical ordering on the monomials, we can explicitly write S/I as a chain complex over *R* using matrices as the linear maps:

$$0 \longrightarrow R \xrightarrow{\begin{pmatrix} y \\ x \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} x & y \end{pmatrix}} R \longrightarrow 0$$

#### 10.2 Blowup algebras

**Proposition 10.2.** Let Q be a finitely generated ideal in R with generating set  $\{a_1, \ldots, a_n\}$ . Then the blowup algebra  $B_Q(R)$  can be given the structure of differential graded R-algebra.

*Proof.* Let  $\varphi: S \to B_Q(R)$  be the unique graded R-algebra homomorphism such that  $\varphi(x_\lambda) = ta_\lambda$  for all  $\lambda = 1, \ldots, n$  and let  $d := \sum_{\lambda=1}^n a_\lambda \partial_\lambda$ . We claim that  $\operatorname{Ker}(\varphi)$  is d-stable. Indeed, let  $f \in \operatorname{Ker}(\varphi)$ . Since  $\operatorname{Ker}(\varphi)$  is homogeneous, we may assume that f is homogeneous. Write f and d(f) in terms of the monomial basis:

$$f = \sum_{\lambda=1}^{r} b_{\lambda} x_{1}^{\alpha_{1\lambda}} \cdots x_{n}^{\alpha_{n\lambda}} \quad \text{and} \quad d(f) = \sum_{\substack{1 \leq \mu \leq n \\ 1 \leq \lambda \leq r}} \alpha_{\mu\lambda} a_{\mu} b_{\lambda} x_{1}^{\alpha_{1\lambda}} \cdots x_{\mu}^{\alpha_{\mu\lambda}-1} \cdots x_{n}^{\alpha_{n\lambda}}.$$

where  $b_{\lambda} \in R$  and  $\alpha_{\mu\lambda} \in \mathbb{Z}_{\geq 0}$  for all  $\lambda = 1, \dots, r$  and  $\mu = 1, \dots n$ . Observe that

$$0 = \varphi(f)$$

$$= \varphi\left(\sum_{\lambda=1}^{r} b_{\lambda} x_{1}^{\alpha_{1\lambda}} \cdots x_{n}^{\alpha_{n\lambda}}\right)$$

$$= \sum_{\lambda=1}^{r} b_{\lambda} \varphi(x_{1})^{\alpha_{1\lambda}} \cdots \varphi(x_{n})^{\alpha_{n\lambda}}$$

$$= t^{i} \left(\sum_{\lambda=1}^{r} b_{\lambda} a_{1}^{\alpha_{1\lambda}} \cdots a_{n}^{\alpha_{n\lambda}}\right)$$

implies that  $\sum_{\lambda=1}^{r} b_{\lambda} a_{1}^{\alpha_{1\lambda}} \cdots a_{n}^{\alpha_{n\lambda}} = 0$ . Therefore

$$\varphi(d(f)) = \varphi \left( \sum_{\substack{1 \leq \mu \leq n \\ 1 \leq \lambda \leq r}} \alpha_{\mu\lambda} a_{\mu} b_{\lambda} x_{1}^{\alpha_{1\lambda}} \cdots x_{\mu}^{\alpha_{\mu\lambda}-1} \cdots x_{n}^{\alpha_{n\lambda}} \right) \\
= \sum_{\substack{1 \leq \mu \leq n \\ 1 \leq \lambda \leq r}} \alpha_{\mu\lambda} a_{\mu} b_{\lambda} \varphi(x_{1})^{\alpha_{1\lambda}} \cdots \varphi(x_{\mu})^{\alpha_{\mu\lambda}-1} \cdots \varphi(x_{n})^{\alpha_{n\lambda}} \\
= t^{i-1} \left( \sum_{\substack{1 \leq \mu \leq n \\ 1 \leq \lambda \leq r}} \alpha_{\mu\lambda} a_{\mu} b_{\lambda} a_{1}^{\alpha_{1\lambda}} \cdots a_{\mu}^{\alpha_{\mu\lambda}-1} \cdots a_{n}^{\alpha_{n\lambda}} \right) \\
= t^{i-1} \left( \left( \sum_{\mu=1}^{n} \alpha_{\mu\lambda} \right) \left( \sum_{\lambda=1}^{r} b_{\lambda} a_{1}^{\alpha_{1\lambda}} \cdots a_{n}^{\alpha_{n\lambda}} \right) \right) \\
= 0.$$

Therefore  $(S/\text{Ker}(\varphi), a_1, \dots, a_n)$  is a differential graded R-algebra where  $S/\text{Ker}(\varphi) \cong B_O(R)$ .

*Remark.* It isn't too difficult to show that this differential graded R-algebra is  $(B_Q(R), \partial_t)$ , where  $\partial_t$  is defined in the obvious way.

**Example 10.2.** Let  $R = \mathbb{F}_2[x,y]/\langle y^2 + x^3 + x^2 \rangle$ ,  $\mathfrak{m}$  be the maximal ideal in R generated by  $\{\overline{x},\overline{y}\}$ , S denote the polynomial ring R[u,v], and  $d=\overline{x}\partial_u+\overline{y}\partial_v$ . There is a surjective R-algebra homomorphism from S to the blowup algebra at  $\mathfrak{m}$  given by

$$\varphi: S := \mathbb{F}_2[x, y, u, v] / \langle y^2 + x^3 + x^2 \rangle \to B_{\mathfrak{m}}(R),$$

where  $\varphi$  is induced by  $\varphi(u) = t\overline{x}$  and  $v \mapsto t\overline{y}$ . Using Singular, we find that the kernel of  $\varphi$  is an ideal which is homogeneous in the variables u, v, and is generated by the set  $\{f_1, f_2, f_3\}$ , where

$$f_1 = \overline{x}v + \overline{y}u$$
  

$$f_2 = \overline{x}u^2 + u^2 + v^2$$
  

$$f_3 = \overline{x}^2u + \overline{x}u + \overline{y}v$$

Note that  $d(f_1) = d(f_2) = d(f_3) \in \text{Ker}(\varphi)$ . It follows from Proposition (10.1) that  $\text{Ker}(\varphi)$  is d-stable, which we already knew from Proposition (10.2).

# 10.3 Homology Calculations

**Proposition 10.3.** Let  $(S/I, r_1, ..., r_n)$  be a differential graded R-algebra. Suppose that there are  $t_1, ..., t_n \in R$  such

$$\sum_{\lambda=1}^{n} t_{\lambda} r_{\lambda} = 1. \tag{10}$$

Then  $H(S/I, r_1, ..., r_n) = 0$ .

*Proof.* First note that  $\sum_{\lambda=1}^{n} t_{\lambda} x_{\lambda} \notin I$ , otherwise  $d\left(\sum_{\lambda=1}^{n} t_{\lambda} x_{\lambda}\right) = 1 \notin I$  would imply that I is not d-stable. Let f be a homogeneous polynomial of degree i such  $d(f) \in I$ ; so f represents a cycle of  $(S/I, \overline{d})$ . Then

$$d\left(\left(\sum_{\lambda=1}^{n} t_{\lambda} x_{\lambda}\right) f\right) = d\left(\sum_{\lambda=1}^{n} t_{\lambda} x_{\lambda}\right) f + \left(\sum_{\lambda=1}^{n} t_{\lambda} x_{\lambda}\right) d(f)$$

$$= \left(\sum_{\lambda=1}^{n} t_{\lambda} r_{\lambda}\right) f + \left(\sum_{\lambda=1}^{n} t_{\lambda} x_{\lambda}\right) d(f)$$

$$= f + \left(\sum_{\lambda=1}^{n} t_{\lambda} x_{\lambda}\right) d(f)$$

$$\equiv f \mod I.$$

thus  $Ker(\overline{d}) = Im(\overline{d})$ , which proves the claim.

Remark.

- 1. By setting I = 0, we also find that H(S) = 0.
- 2. The condition (10) is equivalent to saying that  $\{r_1, \ldots, r_n\}$  generates the unit ideal.

#### 10.3.1 Long Exact Sequence

It is straightforward to check that

$$0 \longrightarrow (S_w(-j)/(I:g),\overline{d}) \stackrel{\cdot g}{\longrightarrow} (S/I,\overline{d}) \longrightarrow (S/\langle I,g\rangle,\overline{d}) \longrightarrow 0$$

$$\overline{f} \longmapsto \overline{fg}$$

$$(11)$$

is short exact sequence of chain complexes. The short exact sequence (11) gives rise to a long exact sequence in homology:

Let us work out the details of the connecting map: Let  $\overline{f}$  be a homogeneous element in  $S_w/\langle I,g\rangle$  which represents a class in  $H_i(S_w/\langle I,g\rangle)$ . In particular,  $f \in S$  and  $d(f) \in \langle I,g\rangle$ . We lift  $\overline{f} \in S_w/\langle I,g\rangle$  to  $S_w/I$  and then apply d to get  $\overline{d(f)} \in S_w/I$ . Since  $d(f) \in \langle I,g\rangle$ , we can write d(f) = p + gq where  $p \in I$ . Thus,  $\overline{d(f)} = \overline{gq}$ , and this pulls back to  $\overline{q}$  in  $S_w/(I:g)$ .

### 11 Extra

## 11.1 Classifying *d*-Stable Ideals

Let  $(R[x_1,...,x_n]/I,r_1,...,r_n)$  be a differential graded R-algebra. Suppose that there are  $t_1,...,t_m \in R$  such that  $\langle r_1,...,r_n\rangle = \langle t_1,...,t_m\rangle$  and  $(R[y_1,...,y_m]/I,t_1,...,t_m)$  is also a differential graded R-algebra. Then for all  $1 \le \lambda \le n$  and  $1 \le \mu \le n$ , there are  $a_{\lambda\mu}$  and  $b_{\lambda\mu}$  in R such that

$$r_{\lambda} = \sum_{\mu=1}^{m} a_{\lambda\mu} t_{\mu}$$
 and  $t_{\mu} = \sum_{\lambda=1}^{n} b_{\lambda\mu} r_{\lambda}$ .

Let  $\varphi: R[x_1,\ldots,x_n] \to R[y_1,\ldots,y_m]$  be the unique graded R-algebra homomorphism such that  $\varphi(x_\lambda) = \sum_{\mu=1}^m a_{\lambda\mu}y_\mu$  for all  $\lambda=1,\ldots,n$ . Then  $\varphi$  induces a graded R-algebra homomorphism  $\overline{\varphi}: R[x_1,\ldots,x_n]/I \to R[y_1,\ldots,y_m]/\langle \varphi(I) \rangle$  which in turn induces a homomorphism of differential graded R-algebras  $\overline{\varphi}: (R[x_1,\ldots,x_n]/I,r_1,\ldots,r_n) \to (R[y_1,\ldots,y_m]/\langle \varphi(I) \rangle,t_1,\ldots,t_m)$ . Indeed, let us denote the differentials as

$$d_r := \sum_{\lambda=1}^n r_\lambda \partial_{x_\lambda}$$
 and  $d_t := \sum_{\mu=1}^m t_\mu \partial_{y_\mu}$ .

We first show that  $\varphi d_r = d_t \varphi$ . It is enough to show that  $\varphi d_r(x_\lambda) = d_t \varphi(x_\lambda)$  for all  $\lambda = 1, \dots, n$ . We have

$$d_t \varphi(x_{\lambda}) = d_t \left( \sum_{\mu=1}^m a_{\lambda \mu} y_{\mu} \right)$$

$$= \sum_{\mu=1}^m a_{\lambda \mu} t_{\mu}$$

$$= r_{\lambda}$$

$$= d_r(x_{\lambda})$$

$$= \varphi(d_r(x_{\lambda})).$$

Now we show that  $(R[y_1,...,y_m]/\langle \varphi(I)\rangle,t_1,...,t_m)$  is a differential graded R-algebra. We do this by showing that  $\langle \varphi(I)\rangle$  is  $d_t$ -stable. Let  $\sum_{\kappa=1}^r g_\kappa \varphi(f_\kappa) \in \varphi(I)$ . Then

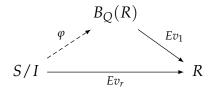
$$d_t \left( \sum_{\kappa=1}^r g_{\kappa} \varphi(f_{\kappa}) \right) = \sum_{\kappa=1}^r d_t(g_{\kappa}) \varphi(f_{\kappa}) + \sum_{\kappa=1}^r g_{\kappa} d_t(\varphi(f_{\kappa}))$$
$$= \sum_{\kappa=1}^r d_t(g_{\kappa}) \varphi(f_{\kappa}) + \sum_{\kappa=1}^r g_{\kappa} \varphi(d_r(f_{\kappa})) \in \langle \varphi(I) \rangle.$$

Similarly, let  $\psi: R[y_1, \ldots, y_m] \to R[x_1, \ldots, x_n]$  be the unique graded R-algebra homomorphism such that  $\psi(y_\mu) = \sum_{\lambda=1}^n b_{\lambda\mu} x_\lambda$  for all  $\mu=1,\ldots,m$ . Then  $\varphi$  induces a graded R-algebra homomorphism  $\overline{\psi}: R[y_1,\ldots,y_m]/\langle \varphi(I)\rangle \to R[x_1,\ldots,x_n]/\langle \psi(\varphi(I))\rangle$  which in turn induces a homomorphism of differential graded R-algebras  $\overline{\psi}(R[y_1,\ldots,y_m]/\langle \varphi(I)\rangle,t_1,\ldots,t_m) \to (R[x_1,\ldots,x_n]/\langle \psi(\varphi(I))\rangle,r_1,\ldots,r_n)$ .

#### 11.1.1 Evalutation Map

Let  $(S/I, r_1, \ldots, r_n)$  be a differential graded R-algebra such that I is contained in  $\langle x_1, \ldots, x_n \rangle$ . Let  $Q = \langle r_1, \ldots, r_n \rangle$  and  $\operatorname{Ev}_r : S \to R$  be the unique R-algebra homomorphism such that  $\operatorname{Ev}_r(x_\lambda) = r_\lambda$  for all  $\lambda = 1, \ldots, n$ . We are interested in the ideal  $\operatorname{Ev}_r(I)$  in R. Clearly we have  $\operatorname{Ev}_r(I) \subset Q$ . Suppose  $a \in Q \setminus \operatorname{Ev}_r(I)$ . Then  $a = \sum_{\lambda=1}^n a_\lambda r_\lambda$  for some  $a_\lambda \in R$ . This implies  $x := \sum_{\lambda=1}^n a_\lambda x_\lambda \notin I$ . Now  $J = I + \langle x, a \rangle$  is an ideal strictly larger than I such that I is I-stable I-stable

**Proposition 11.1.** Let  $(S/I, r_1, ..., r_n)$  be a differential graded R-algebra and let  $Q = \langle r_1, ..., r_n \rangle$  be an ideal in R. Suppose that  $Ev_r(I) = 0$ . Then there exists a unique homomorphism  $\varphi$  which makes the following diagram commute



#### 11.1.2 Tensor product of differential graded R-algebras

Let  $(R[x_1,...,x_n]/I,d_r)$  and  $(R[y_1,...,y_m]/J,d_t)$  be two differential graded R-algebras, where

$$d_r := \sum_{\lambda=1}^n r_{\lambda} \partial_{x_{\lambda}}$$
 and  $d_t := \sum_{\mu=1}^m t_{\mu} \partial_{y_{\mu}}$ .

for  $r_{\lambda}$ ,  $t_{\mu} \in R$  for all  $\lambda = 1, ..., n$  and  $\mu = 1, ..., m$ . Then their tensor product over R is

$$(R[x_1,\ldots,x_n]/I,d_r)\otimes_R (R[y_1,\ldots,y_m]/J,d_t)\cong (R[x_1,\ldots,x_n,y_1,\ldots,y_m]/(I+J),d_r+d_t).$$

**Example 11.1.** The Koszul complex  $\mathcal{K}(r_1,\ldots,r_n)$  can be realized as a tensor product:

$$\mathcal{K}(r_1,\ldots,r_n)\cong\mathcal{K}(r_1)\otimes\cdots\otimes\mathcal{K}(r_n).$$

Let M be an R-module, and let  $(S/I, r_1, \ldots, r_n)$  be a differential graded R-algebra. Recall that  $(M \otimes_R S/I, d)$  is an (S/I)-module.