

# Algebro-Geometric Classification

Let  $\mathbb{k}$  be a commutative ring and let  $F$  be a finite free graded  $\mathbb{k}$ -module such that  $F_0 = \mathbb{k}$ ,  $F_i = 0$  for all  $i < 0$ , and  $F_+ \neq 0$ . In this note, we give an algebro-geometric classification of various structures we can attach to  $F$ . We begin by classifying all  $\mathbb{k}$ -complex structures on  $F$  which fixed the identity element  $1 \in \mathbb{k} = F_0$ .

## Classifying $\mathbb{k}$ -Complex Structures on $F$

Let us state up front what we wish to prove:

**Theorem 0.1.** *We have the following bijection of sets:*

$$\left\{ \text{GL}_n(\mathbb{k})\text{-orbits of } h_{A_{\mathbb{k}}^d(F)}(\mathbb{k}) \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{isomorphism classes of } \mathbb{k}\text{-complex} \\ \text{structures on } F \text{ with fixed identity} \end{array} \right\}$$

where  $A_{\mathbb{k}}^d(F)$  is a  $\mathbb{k}$ -algebra (to be constructed below) and where

$$h_{A_{\mathbb{k}}^d(F)}(\mathbb{k}) := \text{Hom}_{\mathbb{k}\text{-alg}}(A_{\mathbb{k}}^d(F), \mathbb{k})$$

is the  $\mathbb{k}$ -valued points of  $A_{\mathbb{k}}^d(F)$ . Two  $\mathbb{k}$ -complex structures  $(F, d)$  and  $(F, d')$  on  $F$  are said to be isomorphic with fixed identity if there exists a chain map  $\varphi: F \rightarrow F$  such that  $\varphi(1) = 1$ .

The proof of this theorem mostly involves setting up our notation which will be used later on when we wish to classify other algebraic structures on  $F$ .

*Proof.* Let  $d$  be a  $\mathbb{k}$ -linear differential on  $F$ , meaning  $d: F \rightarrow F$  is a graded  $\mathbb{k}$ -linear map of degree  $-1$  which satisfies  $d^2 = 0$ . Choose an ordered homogeneous basis  $e = (e_0, e_1, \dots, e_n)$  of  $F$  where we set  $e_0 = 1$  and let  $d = (d_j^i)$  be the matrix representation of the differential  $d$  with respect to the ordered homogeneous basis  $e$ . Thus we have  $de = ed$  where  $de = (0, de_1, \dots, de_n)$  and  $ed$  is the product of the row vector  $e$  on the left with the matrix  $d$  on the right. Alternatively we could express this in terms of the matrix entries of  $d$ : for each  $0 \leq j \leq n$  we have

$$de_j = \sum_{0 \leq i \leq n} d_j^i e_i.$$

Note that since  $d$  is graded of degree  $-1$ , we necessarily have  $d_j^i = 0$  whenever  $|e_i| \neq |e_j| - 1$ . Also note that since  $d^2 = 0$ , we have  $d^2 = 0$ . Again we can express this in terms of matrix entries of  $d$ : for each  $0 \leq i, j \leq n$  we have

$$\sum_{0 \leq t \leq n} d_j^t d_t^i = 0 \tag{1}$$

Now consider the following polynomial ring following polynomial ring

$$\mathbb{k}[D] = \mathbb{k}[\{D_j^i \mid 0 \leq i, j \leq n\}]$$

where the  $D_j^i$  are coordinates which correspond to the matrix entries of  $d$ . Let  $e_d: \mathbb{k}[D] \rightarrow \mathbb{k}$  be the  $\mathbb{k}$ -algebra homomorphism given by  $e_d(D) = d$  and set  $\mathfrak{q}_d = \langle D - d \rangle$  to be the kernel of this evaluation map: it is the  $\mathbb{k}[D]$ -ideal generated by  $D_j^i - d_j^i$  for all  $0 \leq i, j \leq n$ . Note that if  $\mathbb{k}$  is an integral domain, then  $\mathfrak{q}_d$  is a prime ideal since  $\mathbb{k}[D]/\mathfrak{q}_d \cong \mathbb{k}$ , and if  $\mathbb{k}$  is a field, then  $\mathfrak{q}_d$  is a maximal ideal of  $\mathbb{k}[D]$  and  $\mathbb{k} \rightarrow \mathbb{k}[D]/\mathfrak{q}_d$  is a finite extension of fields. For each  $0 \leq i, j \leq n$  we define the quadratic polynomials  $\Delta_j^i \in \mathbb{k}[D]$  by:

$$\Delta_j^i := \sum_{0 \leq t \leq n} D_j^t D_t^i.$$

Then we see that the evaluation map  $e_d: \mathbb{k}[\mathbf{D}] \rightarrow R$  factors through a unique  $\mathbb{k}$ -algebra homomorphism  $\bar{e}_d: A_{\mathbb{k}}^d(F) \rightarrow \mathbb{k}$  where we set

$$A_{\mathbb{k}}^d(F) := \mathbb{k}[\mathbf{D}] / \langle \Delta \rangle \cup \langle \{D_j^i \mid |e_i| \neq |e_j| - 1\} \rangle$$

where we set  $\Delta = (\Delta_j^i)$ . Conversely, suppose  $e_r: \mathbb{k}[\mathbf{D}] \rightarrow \mathbb{k}$  is another  $\mathbb{k}$ -algebra homomorphism where  $e_r(\mathbf{D}) = \mathbf{r}$  where  $\mathbf{r} = (r_j^i)$ . Then we define a differential  $d_r$  on  $F$  by  $d_r e := e_r$ . Thus if we set  $\text{Diff}_{\mathbb{k}}(F)$  be the set of all  $\mathbb{k}$ -linear differentials on  $F$ , then we have a bijection of sets:

$$h_{A_{\mathbb{k}}^d(F)}(\mathbb{k}) := \text{Hom}_{\mathbb{k}\text{-alg}}(A_{\mathbb{k}}^d(F), \mathbb{k}) \simeq \text{Diff}_{\mathbb{k}}(F).$$

Now suppose that  $e' = (1, e'_1, \dots, e'_n)$  is another ordered homogeneous basis of  $F$ . Thus there is a graded  $\mathbb{k}$ -linear isomorphism  $\varphi: F \rightarrow F$  such that  $\varphi e = e'$ . Let  $\tilde{\gamma}_\varphi = \begin{pmatrix} 1 & 0 \\ 0 & \gamma_\varphi \end{pmatrix}$  be the matrix representation of  $\varphi$  with respect to  $e$  where  $\gamma_\varphi \in \text{GL}_n(\mathbb{k})$ . Thus we have  $\varphi e = e' = e' \tilde{\gamma}_\varphi$ . Then the matrix representation of  $d$  in the  $e'$  coordinates is given by  $d' = \tilde{\gamma}_\varphi^{-1} d \tilde{\gamma}_\varphi$  since

$$\begin{aligned} d e' &= d e \tilde{\gamma}_\varphi \\ &= e d \tilde{\gamma}_\varphi \\ &= e' \tilde{\gamma}_\varphi^{-1} d \tilde{\gamma}_\varphi \\ &= e' d'. \end{aligned}$$

Thus we see that  $\text{GL}_n(\mathbb{k})$  acts on  $h_{A_{\mathbb{k}}^d(F)}(\mathbb{k})$  by conjugation  $e_d \mapsto e_{\tilde{\gamma}_\varphi^{-1} d \tilde{\gamma}_\varphi}$ . On the other hand, if we define  $d': F \rightarrow F$  by  $d' = \varphi^{-1} d \varphi$ , then we obtain  $d' e = e d'$ , hence  $d'$  is the differential on  $F$  whose matrix representation with respect to our original ordered basis  $e$  is  $d'$ . In particular,  $e_d$  and  $e_{d'}$  belong to the same  $\text{GL}_n(\mathbb{k})$ -orbit in  $h_{A_{\mathbb{k}}^d(F)}(\mathbb{k})$  if and only if the corresponding differentials  $d$  and  $d'$  give isomorphic  $\mathbb{k}$ -complex structures on  $F$  with fixed identity.  $\square$

## Base Change

Suppose that  $R$  is a  $\mathbb{k}$ -algebra. Then  $G := F \otimes_{\mathbb{k}} R$  is a finite free graded  $R$ -module with  $G_0 \simeq R$ ,  $G_i = 0$  for all  $i < 0$ , and  $G_+ \neq 0$ . We set

$$A_R^d(G) := A_{\mathbb{k}}^d(F) \otimes_{\mathbb{k}} R \simeq R[\mathbf{D}] / \langle \Delta \rangle \cup \langle \{D_j^i \mid |e_i| \neq |e_j| - 1\} \rangle.$$

It is clear that we have an inclusion of sets  $h_{A_{\mathbb{k}}^d(F)}(R) \subseteq h_{A_R^d(G)}(R)$ .

**Proposition 0.1.** *Let  $G = \text{Aut}(R/\mathbb{k})$ . Then  $G$  acts on  $h_{A_R^d(G)}(R)$  and the set of all fixed points is precisely  $h_{A_{\mathbb{k}}^d(F)}(R)$ .*

## Classifying Other Algebraic Structures on $F$

Let  $\lambda: F \rightarrow F$  and  $\mu: F \otimes_R F \rightarrow F$  be graded  $R$ -linear maps. With  $F$  equipped with  $\lambda$  and  $\mu$  as above, we make the following definitions:

1. We say  $F$  is **unital** if  $\lambda(1) = 1$  and  $\mu(1 \otimes a) = a = \mu(a \otimes 1)$  for all  $a \in F$ .
2. We say  $F$  is **graded-commutative** (or  $\mu$  is **graded-commutative**) if

$$ab = (-1)^{|a||b|} ba$$

for all homogeneous  $a, b \in F$ . We say it is **strictly graded-commutative** if it is graded-commutative and satisfies the additional property that

$$a^2 = 0$$

for all homogeneous  $a \in F$  whenever  $|a|$  is odd.

3. We say  $F$  is **multiplicative** (or  $\lambda$  is  **$\mu$ -multiplicative**) if it satisfies the **multiplicative law**:

$$\lambda(ab) = \lambda(a)\lambda(b)$$

for all  $a, b \in F$

4. We say  $F$  is **hom-associative** (or  $\mu$  is  $\lambda$ -**associative**) if it satisfies the **hom-associative law**:

$$(ab)\lambda(c) = \lambda(a)(bc)$$

for all  $a, b, c \in F$ .

5. We say  $F$  is **permutative** (or  $\mu$  is  $\lambda$ -**permutative**) if it satisfies the **permutative law**:

$$(\lambda(a)\lambda(b))\lambda(cd) = \lambda(ab)(\lambda(c)\lambda(d)) \quad (2)$$

for all  $a, b, c, d \in F$ .

Why are we interested in these definitions? Basically we view permutativity as a mixture between hom-associativity and multiplicativity.

**Proposition 0.2.** *Let  $F = (F, d, \lambda, \mu)$  be an MLDG algebra.*

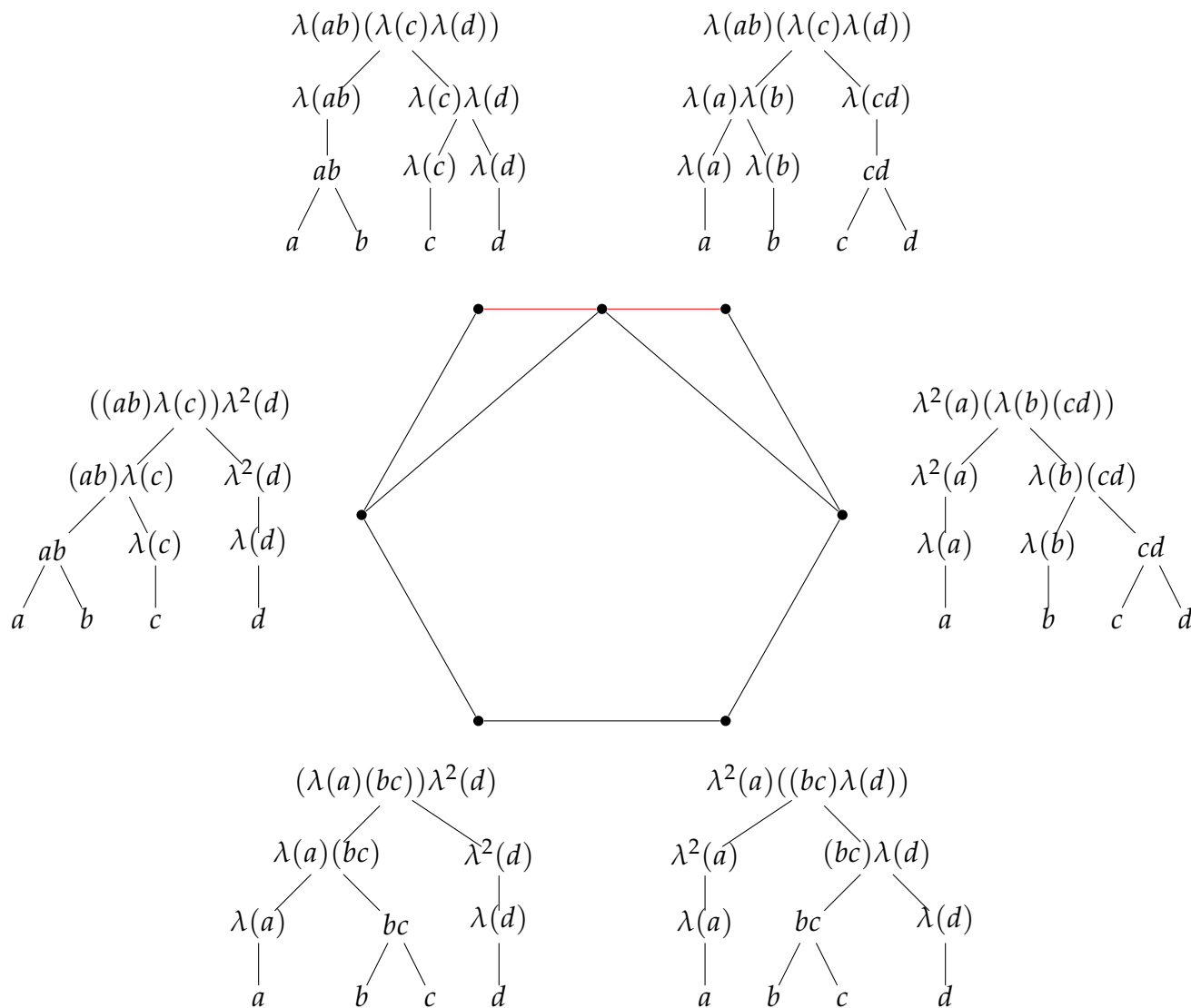
1. *If  $F$  is multiplicative, then  $F$  is permutative. The converse is true if  $F$  is unital.*
2. *If  $F$  is hom-associative, then  $F$  is permutative. In particular, if  $F$  is unital, then hom-associativity implies multiplicativity.*

*Proof.* 1. It is clear that if  $F$  is multiplicative, then  $F$  is permutative. Now suppose that  $F$  is unital and permutative. Then setting  $c = 1 = d$  in (2) shows that  $F$  is multiplicative. In the general case where  $\lambda$  is not necessarily unital, we have  $\lambda(1) = e$  where  $e \in F_0$ . In this case, the permutative law would imply that  $e$  associates with all of the other elements, and furthermore it would tell us that  $e\lambda(ab) = e^2\lambda(a)\lambda(b)$  for all  $a, b \in A$  (which is not quite the same as  $F$  being multiplicative).

2. Suppose  $F$  is hom-associative. Then for all  $a, b, c, d \in F$ , we have

$$\begin{aligned} \lambda(ab)(\lambda(c)\lambda(d)) &= ((ab)\lambda(c))\lambda^2(d) \\ &= (\lambda(a)(bc))\lambda^2(d) \\ &= \lambda^2(a)((bc)\lambda(d)) \\ &= \lambda^2(a)(\lambda(b)(cd)) \\ &= (\lambda(a)\lambda(b))\lambda(cd). \end{aligned}$$

There's a cute way to visualize this by tracing the edges of the permutohedron (the hexagon) below:



Note that the red edge “collapses” to the associahedra (the pentagon) if  $\lambda = 1$ .  $\square$

**Example 0.1.** Let  $\lambda \in R$  and let  $A$  be an MLDG  $R$ -algebra with  $\lambda_A = m_\lambda$  being the multiplication by  $\lambda$  map given by  $a \mapsto \lambda a$ . Recall that  $A$  is  $R$ -linear, so in particular the element  $\lambda$  must be associative with all pairs of elements of  $A$ . It follows that  $A$  is permutative since

$$\begin{aligned} \lambda(ab)(\lambda(c)\lambda(d)) &= \lambda^3((ab)(cd)) \\ &= (\lambda(a)\lambda(b))\lambda(cd). \end{aligned}$$

On the other hand,  $A$  is not necessarily hom-associative. Indeed, we have

$$\lambda(a)(bc) = (ab)\lambda(c) \iff \lambda(a(bc) - (ab)c)$$

for all  $a, b, c \in A$  and the righthand side need not be zero. It is easy to see though that  $A$  is hom-associative if and only if  $\lambda$  kills  $\text{im}[\cdot, \cdot, \cdot]$  where  $[\cdot, \cdot, \cdot]$  is the usual associator map defined by  $[a, b, c] = a(bc) - (ab)c$  for all  $a, b, c \in A$ . Similarly,  $A$  is not necessarily multiplicative. Indeed, we have

$$\begin{aligned} \lambda(ab) - \lambda(a)\lambda(b) &= \lambda(ab - \lambda ab) \\ &= \lambda(1 - \lambda)ab \end{aligned}$$

for all  $a, b \in A$ . If we assume that  $R$  is local and that  $\lambda \in \mathfrak{m}$ , then  $1 - \lambda$  is a unit. Then in this case, it is easy to see that  $A$  is multiplicative if and only if  $\lambda$  kills  $\text{im} \mu$ .

We now repeat the same procedure that we did when classifying  $\mathbb{k}$ -complex structures on  $F$ . Let  $\lambda = (\ell_j^i)$  and let  $m = (m_{i,j}^k)$  be their matrix representations with respect to  $e$  respectively. Thus we have  $\lambda e = e \lambda$  we have  $\mu(e^\top \otimes e) = e^\top m e$ . In terms of the matrix entries, these are given by

$$\lambda(e_j) = \sum_i \ell_j^i e_i \quad \text{and} \quad \mu(e_i \otimes e_j) = \sum_k m_{i,j}^k e_k.$$

for all  $i, j$ .

Let  $\mathbb{k}[D, L, M] = \mathbb{k}[\{D_i^j, L_i^j, M_{i,j}^k\}]$ . We express the algebraic laws introduced above in terms of coordinates in the table below:

Algebraic Law	Equation
Graded-Commutative Law	$\Gamma_{i,j}^k = M_{i,j}^k - (-1)^{ e_i  e_j } M_{j,i}^k$
Leibniz Law	$\Lambda_{i,j}^k = \sum_l (M_{i,j}^l D_l^k - D_i^l M_{l,j}^k - (-1)^{ e_i  e_j } D_j^l M_{i,l}^k)$
Multiplicative Law	$\Theta_{i,j}^k = \sum_l M_{i,j}^l L_l^k - \sum_{l_1, l_2} L_i^{l_1} L_j^{l_2} M_{l_1, l_2}^k$
Hom-Associative Law	$H_{i,j,k}^l = \sum_{l_1, l_2} (M_{i,j}^{l_1} L_k^{l_2} M_{l_1, l_2}^l - M_{j,k}^{l_1} L_i^{l_2} M_{l_2, l_1}^l)$
Permutative Law	$\Pi_{i,j,k,l}^m = \sum_{l_1, l_2, l_3, l_4, l_5} (M_{i,j}^{l_1} L_k^{l_2} L_l^{l_3} - M_{k,l}^{l_1} L_i^{l_2} L_j^{l_3}) M_{l_2, l_3}^{l_4} L_{l_1}^{l_5} M_{l_5, l_4}^k$

We define

$$\begin{aligned}
A_{\mathbb{k}}^p(F) &= \mathbb{k}[L, M] / \langle \Pi \rangle. \\
A_{\mathbb{k}}^{pd}(F) &= \mathbb{k}[D, L, M] / \langle \Delta, \Pi \rangle \\
A_{\mathbb{k}}^h(F) &= \mathbb{k}[L, M] / \langle H \rangle \\
A_{\mathbb{k}}^{\setminus}(F) &= \mathbb{k}[L, M] / \langle \Theta \rangle \\
A_{\mathbb{k}}^c(F) &= \mathbb{k}[M] / \langle \Gamma \rangle,
\end{aligned}$$

and so on.