

Research Statement

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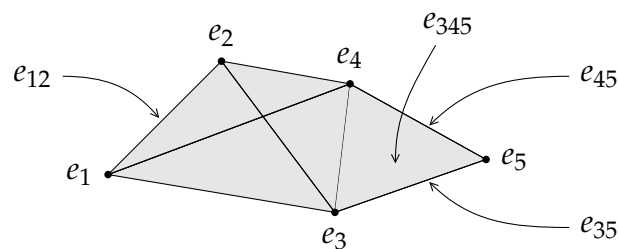
Introduction

My research focuses on free resolutions. More specifically, let $(R, \mathfrak{m}, \mathbb{k})$ be a local noetherian (or standard graded) ring, let I be an ideal of R , and let $F = (F, d)$ be the minimal R -free resolution of R/I . The usual multiplication map $m: R/I \otimes_R R/I \rightarrow R/I$ can be lifted to a chain map $\mu: F \otimes_R F \rightarrow F$, denoted $a_1 \otimes a_2 \mapsto a_1 \star_\mu a_2 = a_1 a_2$ where $a_1, a_2 \in F$ (where we make the further simplification $a_1 \star_\mu a_2 = a_1 a_2$ whenever μ is clear from context). Up to homotopy, μ is unital, strictly graded-commutative, and associative. It is clear that we can always choose μ to be unital on the nose (with $1 \in F$ being the identity element). It was shown in [BE77] that μ can even be chosen to be strictly graded-commutative on the nose as well. The first part of my research has been dedicated to the following question, which we call Question 1:

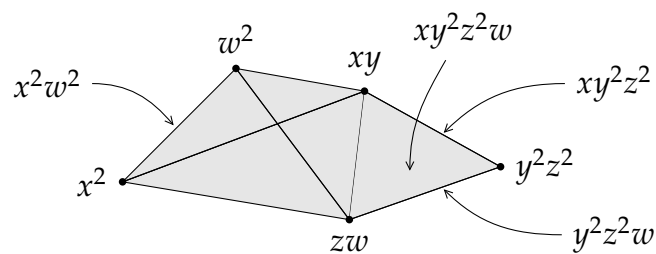
Question 1: Can μ be chosen such that it is associative on the nose?

The reason this question is interesting is because we gain a lot of information about the “shape” of F when we know the answer to that question is “yes”. Indeed, it was shown in [BE77] that if we assume R is a domain and I is perfect, and we know that an associative multiplication on F exists, then one obtains important lower bounds of the betti numbers β_i of R/I . In particular, let $t = t_1, \dots, t_m$ be a maximal R -sequence contained in I and let $E = \mathcal{K}(t)$ be the koszul R -algebra resolution of R/t . Then the natural map $R/t \rightarrow R/I$ induces an algebra homomorphism $E \rightarrow F$ which can be shown to be injective, whence we get the lower bound $\binom{m}{i} \leq \beta_i$ for each i . With this in mind, Buchsbaum and Eisenbud conjectured that the answer to Question 1 was always “yes”. However this conjecture turned out to be false (see [Avr81]), and many counterexamples have been found ever since.

Example 0.1. Let Δ be the simplicial complex whose vertex set is $\{e_1, e_2, e_3, e_4, e_5\}$ and whose faces consists of all subsets of $e_{1234} = \{e_1, e_2, e_3, e_4\}$ and $e_{345} = \{e_3, e_4, e_5\}$, pictured below:



Next suppose $R = \mathbb{k}[x, y, z, w]$ and let $\mathbf{m}_K = x^2, w^2, xy, zw, y^2z^2$. Then we obtain an \mathbf{m}_K -labeled simplicial complex $\Delta = (\Delta, \mathbf{m}_K)$ which is pictured below:



Let F_K be the \mathbb{N}^4 -graded R -complex induced by Δ (see the Appendix for details on how this is constructed). Let's write down the homogeneous components of F_K as a graded module: we have

$$\begin{aligned}
F_{K,0} &= R \\
F_{K,1} &= Re_1 + Re_2 + Re_3 + Re_4 + Re_5 \\
F_{K,2} &= Re_{12} + Re_{13} + Re_{14} + Re_{23} + Re_{24} + Re_{34} + Re_{35} + Re_{45} \\
F_{K,3} &= Re_{123} + Re_{124} + Re_{134} + Re_{234} + Re_{345} \\
F_{K,4} &= Re_{1234}
\end{aligned}$$

The differential $d: F_K \rightarrow F_K$ behaves just like the usual boundary map except some monomials can show up as coefficients. For instance,

$$d(e_{1234}) = -ye_{123} + ze_{124} - we_{134} + xe_{234}.$$

Now, choose a multiplication μ on F_K which respects the multigrading. Since μ respects the multigrading and satisfies Leibniz law, we are forced to have

$$\begin{aligned}
e_1 \star e_5 &= yz^2e_{14} + xe_{45} \\
e_1 \star e_2 &= e_{12} \\
e_2 \star e_5 &= y^2ze_{23} + we_{35} \\
e_2 \star e_{45} &= -yze_{234} + we_{345} \\
e_1 \star e_{35} &= yze_{134} - xe_{345} \\
e_1 \star e_{23} &= e_{123} \\
e_2 \star e_{14} &= -e_{124}
\end{aligned}$$

At this point however, one can conclude that F_K is not associative since

$$[e_1, e_5, e_2] = (e_1 \star e_5) \star e_2 - e_1 \star (e_5 \star e_2) = -yze_{1234} \neq 0. \quad (1)$$

One can work (1) out by hand, however one of the main results of our research is a method for calculating associators like (1) using tools from the theory of Gröbner bases. For instance, we used the following Singular code below to calculate the associator $[e_1, e_5, e_2]$:

```
LIB "ncalg.lib";

intvec v= 1:3, 2:5, 3:5;
ring A=(0,x,y,z,w),(e1,e2,e5,e12,e14,e23,e35,e45,e123,e124,e134,e234,e345),Wp(v);

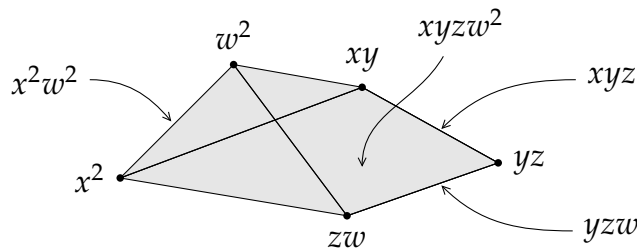
matrix C[13][13]; matrix D[13][13]; int i; int j;
for (i=1; i<=13; i++) {for (j=1; j<=13; j++) {C[i,j]=(-1)^(v[i]*v[j]);}}
ncalgebra(C,D);

poly f(1)(5) = e1*e5-yz2*e14-x*e45;
poly f(1)(2) = e1*e2-e12;
poly f(2)(5) = e2*e5-y2z*e23-w*e35;
poly f(2)(45) = e2*e45+yz*e234-w*e345;
poly f(1)(35) = e1*e35-yz*e134+x*e345;
poly f(1)(23) = e1*e23-e123;
poly f(2)(14) = e2*e14+e124;
poly S(1)(5)(2) = f(1)(5)*e2+e1*f(2)(5);

ideal I = f(2)(14), f(2)(45), f(1)(23), f(1)(35), f(2)(5), f(1)(5);
reduce(S(1)(5)(2), b);

// [e1,e5,e2] = (-y^2*z)*e123+(y*z^2)*e124+(-y*z*w)*e134+(x*y*z)*e234
```

Example 0.2. Let $R = \mathbb{k}[x, y, z, w]$, let $\mathbf{m}_A = x^2, w^2, xy, zw, yz$, and let F_A be the minimal R -free resolution of R/\mathbf{m}_A . Then F_A can be realized as the R -complex induced by the \mathbf{m}_A -labeled cellular complex pictured below:



Let's write down the homogeneous components of F_A as a graded module: we have

$$\begin{aligned}
 F_{A,0} &= R \\
 F_{A,1} &= R\varepsilon_1 + R\varepsilon_2 + R\varepsilon_3 + R\varepsilon_4 + R\varepsilon_5 \\
 F_{A,2} &= R\varepsilon_{12} + R\varepsilon_{13} + R\varepsilon_{14} + R\varepsilon_{23} + R\varepsilon_{24} + R\varepsilon_{35} + R\varepsilon_{45} \\
 F_{A,3} &= R\varepsilon_{123} + R\varepsilon_{124} + R\varepsilon_{1345} + R\varepsilon_{2345} \\
 F_{A,4} &= R\varepsilon_{12345}
 \end{aligned}$$

The differential $d: F_A \rightarrow F_A$ on the non-simplex faces are given below

$$\begin{aligned}
 d\varepsilon_{12345} &= x\varepsilon_{2345} - z\varepsilon_{124} + w\varepsilon_{1345} - y\varepsilon_{123} \\
 d\varepsilon_{1345} &= x^2\varepsilon_{35} - xw\varepsilon_{45} - zw\varepsilon_{14} + y\varepsilon_{13} \\
 d\varepsilon_{2345} &= xw\varepsilon_{35} - w^2\varepsilon_{45} - z\varepsilon_{24} + xy\varepsilon_{23}.
 \end{aligned}$$

Note that the canonical map $R/\mathfrak{m}_K \rightarrow R/\mathfrak{m}_A$ induces a comparison map $\varphi: F_K \rightarrow F_A$ which we can choose to be multigraded. We have

$$\begin{aligned}
 \varphi(e_5) &= yz\varepsilon_5 \\
 \varphi(e_{35}) &= yz\varepsilon_{35} \\
 \varphi(e_{45}) &= yz\varepsilon_{45} \\
 \varphi(e_{34}) &= x\varepsilon_{35} - w\varepsilon_{45} \\
 \varphi(e_{345}) &= 0 \\
 \varphi(e_{234}) &= \varepsilon_{2345} \\
 \varphi(e_{134}) &= \varepsilon_{1345} \\
 \varphi(e_{1234}) &= \varepsilon_{12345}
 \end{aligned}$$

and we have $\varphi(e_\sigma) = \varepsilon_\sigma$ for all other $e_\sigma \in F$. On the other hand, the multiplication by yz map $R/\mathfrak{m}_A \rightarrow R/\mathfrak{m}_K$ induces a comparison map $\psi: F_A \rightarrow F_K$ which we can choose to be multigraded. We have

$$\begin{aligned}
 \psi(\varepsilon_5) &= e_5 \\
 \psi(\varepsilon_{35}) &= e_{35} \\
 \psi(\varepsilon_{45}) &= e_{45} \\
 \psi(\varepsilon_{2345}) &= yze_{234} - e_{345} \\
 \psi(\varepsilon_{1345}) &= yze_{134} - e_{345}
 \end{aligned}$$

and we have $\psi(\varepsilon_\sigma) = yze_\sigma$ for all other $e_\sigma \in F_A$. We define

$$\varepsilon_\sigma \star \varepsilon_\tau := \varphi(\psi(\varepsilon_\sigma) \star \psi(\varepsilon_\tau))$$

for all $\varepsilon_\sigma, \varepsilon_\tau$ in the homogeneous basis of F_A .

Measuring the Failure for μ to being Associative

We now equip F with a fixed multiplication μ (which is assumed to be unital and strictly graded-commutative on the nose). We simplify our notation by referring to the triple (F, d, μ) via its underlying graded R -module F , where we think of F as a graded R -module which is equipped with a differential $d: F \rightarrow F$, giving it the structure of an R -complex, and which is further equipped with a chain map $\mu: F \otimes_R F \rightarrow F$. For instance, if μ

satisfies a property (such as being associative), then we also say F satisfies that property. With this notation in mind, we are interested in the following question:

Question 2: How can we measure how far away F is from being associative?

There are several approaches to answering this question and they all involve the maximal associative quotient of F . In order to explain this further, we make the following definitions:

1. The **associator** of F is the chain map, denoted $[\cdot]_\mu$ (or more simply by $[\cdot]$ if μ is understood from context), from $F \otimes_R F \otimes_R F$ to F defined by

$$[\cdot] := \mu(\mu \otimes 1 - 1 \otimes \mu).$$

We denote by $[\cdot, \cdot, \cdot]: F^3 \rightarrow F$ to be the unique R -trilinear map which corresponds to $[\cdot]$ via the universal mapping property of tensor products. Thus we have

$$[a_1 \otimes a_2 \otimes a_3] = (a_1 \star a_2) \star a_3 - a_1 \star (a_2 \star a_3) = [a_1, a_2, a_3]$$

for all $a_1, a_2, a_3 \in F$.

2. The **associator R -subcomplex** of F , denoted $[F]$, is the R -subcomplex of F given by the image of the associator of μ . Thus the underlying graded R -module of $[F]$ is

$$[F] = \text{span}_R \{[a_1, a_2, a_3] \mid a_1, a_2, a_3 \in F\},$$

and the differential of $[F]$ is simply the restriction of the differential of F to $[F]$.

3. The **associator F -submodule** of F , denoted $\langle F \rangle$, is defined to be the smallest F -submodule of F which contains $[F]$. The underlying graded R -module of $\langle F \rangle$ also has a simple description. Indeed, observe that

$$a_1(a_2[a_3, a_4, a_5]) = (a_1 a_2)[a_3, a_4, a_5] - [a_1, a_2, [a_3, a_4, a_5]] \quad (2)$$

for all $a_1, a_2, a_3, a_4, a_5 \in F$. Using identities like (5) together with graded-commutativity, one can show that the underlying graded R -module of $\langle F \rangle$ is given by

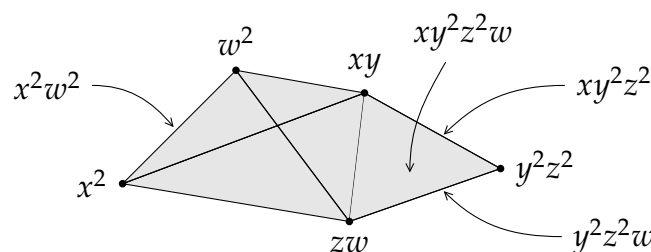
$$\langle F \rangle = \text{span}_R \{a_1[a_2, a_3, a_4] \mid a_1, a_2, a_3, a_4 \in F\}.$$

4. The **maximal associative quotient** of F is the quotient $F/\langle F \rangle$.

Theorem 0.1. *With notation as above, we have the following:*

1. I kills $H(F/\langle F \rangle)$. In other words, $H(F/\langle F \rangle)$ is an (R/I) -module.
2. F is associative if and only if $H(F/\langle F \rangle) = 0$.

Example 0.3. Let us revisit Example (0.5) where $R = \mathbb{k}[x, y, z, w]$, $\mathbf{m} = x^2, w^2, zw, xy, y^2 z^2$, and where F is the R -complex included by the labeled simplicial complex pictured below:



Choose a multiplication μ on F which respects the multigrading. Recall that since μ respects the multigrading and satisfies Leibniz law, we are forced to have

$$\begin{aligned} e_1 \star e_5 &= yz^2 e_{14} + x e_{45} \\ e_1 \star e_2 &= e_{12} \\ e_2 \star e_5 &= y^2 z e_{23} + w e_{35} \\ e_2 \star e_{45} &= -y z e_{234} + w e_{345} \\ e_1 \star e_{35} &= y z e_{134} - x e_{345} \\ e_1 \star e_{23} &= e_{123} \\ e_2 \star e_{14} &= -e_{124} \end{aligned}$$

We want to calculate the associator homology of F . Observe that

$$\begin{aligned}\frac{e_1}{x}[e_1, e_5, e_2] &= \frac{1}{x} \left([e_1^2, e_5, e_2] - [e_1, e_1 e_5, e_2] + [e_1, e_1, e_5 e_2] - [e_1, e_1, e_5] e_2 \right) \\ &= -\frac{1}{x} [e_1, e_1 e_5, e_2] \\ &= -\frac{1}{x} [e_1, yz^2 e_{14} + x e_{45}, e_2] \\ &= -\frac{yz^2}{x} [e_1, e_{14}, e_2] - [e_1, e_{45}, e_2] \\ &= -[e_1, e_{45}, e_2].\end{aligned}$$

It follows that $d(e_1/x) = x$ annihilates $H\langle F \rangle$. Similar calculations like this shows that $m = \langle x, y, z, w \rangle$ annihilates $H\langle F \rangle$. It follows that

$$H_i\langle F \rangle \simeq \begin{cases} \mathbb{k} & \text{if } i = 3 \\ 0 & \text{else} \end{cases}$$

One can interpret this as saying that the multiplication μ is very close to being associative. Homologically speaking, the failure for μ to being associative is reflected in the fact that $\ell(H\langle F \rangle) = 1$. Note however that μ is not associative in homological degree 4 since

$$[e_1, e_{45}, e_2] = x y z e_{1234} \neq 0.$$

In particular we have $u_h(F) = l_h(F) = 3$, but $u_a(F) = 4$. In some sense however, the nonzero associator $[e_1, e_{45}, e_2]$ isn't really anything *new*. Indeed, we obtained the nonzero associator $[e_1, e_{45}, e_2]$ from the nonzero associator $[e_1, e_5, e_2]$, so one could argue that $[e_1, e_{45}, e_2]$ being nonzero is simply a direct consequence of $[e_1, e_5, e_2]$ being nonzero. More generally, a nonzero associator $\chi \in \langle F \rangle$ should only be thought of as contributing something new towards the failure for μ to being associative if $d(\chi) = 0$ (otherwise one could argue that χ being nonzero is simply a direct consequence of the associators in $d(\chi)$ being nonzero). Similarly, if $\chi = d(\chi')$ for some other nonzero associator $\chi' \in \langle F \rangle$, then again χ isn't contributing anything new towards the failure for μ to being associative, since one could argue that χ being nonzero is a direct consequence of χ' being nonzero. Thus the associators which really do contribute something new towards the failure for μ being associative should be the ones which represent nonzero elements in homology. In this case, we have precisely one nontrivial nonzero associator $[e_1, e_5, e_2]$ which represents a nontrivial element in homology (all other nonzero associators can be derived from the fact that $[e_1, e_5, e_2] \neq 0$).

Presentation of the Maximal Associative Quotient

In this section, we will construct the symmetric DG algebra of F , which we denote by $S(F)$. The underlying R -module of $S(F)$ has a bi-graded structure, more specifically, we can decompose $S(F)$ into R -modules as:

$$S(F) = \bigoplus_{i \geq 0} S_i(F) = \bigoplus_{m \geq 0} S^m(F) = \bigoplus_{i, m \geq 0} S_i^m(F)$$

We refer to the i in the subscript as **homological degree** and we refer to the m in the superscript as **total degree**. The R -module $S_i^m(F)$ can be described as follows: first we have

$$S_0(F) = S^0(F) = S_0^0(F) = R.$$

Next, for $i, m \geq 1$, the R -module $S_i^m(F)$ is the R -span of all elementary products of the form $a_1 \cdots a_m$ where $a_1, \dots, a_m \in F_+$ are homogeneous such that

$$|a_1| + \cdots + |a_m| = i.$$

We identify A with its image in $S(F)$ and let $\iota: F \rightarrow S(F)$ denote the inclusion map. Thus we have

$$F = S^0(F) + S^1(F) = R + F_+.$$

The differential of $S(F)$ extends the differential of F and is defined on elementary products of the form $a_1 \cdots a_m$ where $a_1, \dots, a_m \in A_+$ are homogeneous by

$$d(a_1 \cdots a_m) = \sum_{j=1}^m (-1)^{|a_1| + \cdots + |a_{j-1}|} a_1 \cdots d(a_j) \cdots a_m.$$

Example 0.4. Let $R = \mathbb{k}[x, y]$, let $I = \langle x^2, xy \rangle$, and let F be Taylor resolution of R/I . Let's write down the homogeneous components of F as a graded R -module: we have

$$\begin{aligned} F_0 &= R \\ F_1 &= Re_1 + Re_2 \\ F_2 &= Re_{12}, \end{aligned}$$

and if $i \notin \{0, 1, 2\}$, then $F_i = 0$. The differential of F is defined on the homogeneous basis elements by

$$\begin{aligned} d(e_1) &= x^2 \\ d(e_2) &= xy \\ d(e_{12}) &= xe_2 - ye_1. \end{aligned}$$

Note that the Taylor resolution usually comes equipped with a multiplication called the Taylor multiplication. Let us denote this by \star so as not to confuse it with the multiplication \cdot of $S(F)$. Now let's write down the homogeneous components of $S(F)$ as a graded R -module (with respect to homological degree): we have

$$\begin{aligned} S_0(F) &= R \\ S_1(F) &= Re_1 + Re_2 \\ S_2(F) &= Re_{12} + Re_1e_2 \\ S_3(F) &= Re_1e_{12} + Re_2e_{12} \\ S_4(F) &= Re_{12}^2 + Re_1e_2e_{12} \\ &\vdots \end{aligned}$$

Note that $S_4^3(F) = Re_1e_2e_{12}$ and $S_4^2(F) = Re_{12}^2$. Also note that

$$\begin{aligned} d(e_1e_2 - e_1 \star e_2) &= d(e_1e_2 - xe_{12}) \\ &= d(e_1)e_2 - e_1d(e_2) - xd(e_{12}) \\ &= x^2e_2 - xye_1 - x(xe_2 - ye_1) \\ &= x^2e_2 - xye_1 - x^2e_2 + xye_1 \\ &= 0. \end{aligned}$$

Note that the multiplier of $\iota: F \rightarrow S(F)$ has the form

$$[a_1, a_2] = \iota(a_1 \star a_2) - \iota(a_1)\iota(a_2) = a_1 \star a_2 - a_1a_2$$

for all $a_1, a_2 \in A$. Let \mathfrak{b} be the DG $S(A)$ -ideal generated by the multiplier complex $[B]_\iota$. Since B is associative, we have

$$\mathfrak{b} = \text{span}_B\{[a_1, a_2] \mid a_1, a_2 \in A\}.$$

Let $\rho_1: A \rightarrow A/\langle A \rangle$ and $\rho_2: S(A) \rightarrow S(A)/\mathfrak{b}$ denote the corresponding quotient maps.

Theorem 0.2. *With the notation as above, we have $\langle F \rangle = F \cap \mathfrak{b}$. In particular, the composite $\rho_2\iota: F \rightarrow S(F) \rightarrow S(F)/\mathfrak{b}$ induces an isomorphism $F/\langle F \rangle \simeq S(F)/\mathfrak{b}$ of DG R -algebras.*

An Application Using Gröbner Bases

Throughout this subsection, we assume that R is an integral domain with quotient field K and we further assume that the underlying graded R -module of F is a finite and free. Let e_1, e_2, \dots, e_n be an ordered homogeneous basis of F_+ as a graded R -module which is ordered in such a way that if $|e_{i'}| > |e_i|$, then $i' > i$. We denote by $R[e] = R[e_1, \dots, e_n]$ to be the free *non-strict* graded-commutative R -algebra generated by e_1, \dots, e_n . In particular, if e_i and e_j are distinct, then we have

$$e_ie_j = (-1)^{|e_i||e_j|}e_je_i,$$

in $R[e]$, however odd elements do not square to zero in $R[e]$. The reason we do not allow odd elements to square to zero is because later on we want to calculate the Gröbner basis of an ideal of $K[e]$, and the theory of Gröbner bases for $K[e]$ is simpler when we don't have any zerodivisors. We identify F with $R + Re_1 + \dots + Re_n$ and

let $\iota: F \rightarrow R[e]$ denote the inclusion map. We extend the differential of F to a differential on $R[e]$. For each $1 \leq i, j \leq n$, let $f_{i,j}$ be the polynomial in $R[e]$ defined by $f_{i,j} := -[e_i, e_j]$. Thus we have

$$f_{i,j} = e_i e_j - \sum_k r_{i,j}^k e_k = e_i e_j - e_i \star e_j.$$

Let $\mathcal{F} = \{f_{i,j} \mid 1 \leq i, j \leq n\}$ and let \mathfrak{b} be the DG $K[e]$ -ideal generated by \mathcal{F} . We equip $K[e]$ with a weighted lexicographical ordering $>$ with respect to the weighted vector $(|e_1|, \dots, |e_n|)$. More specifically, given two monomials e^α and e^β in $K[e]$, we say $e^\beta > e^\alpha$ if either

1. $|e^\beta| > |e^\alpha|$ or;
2. $|e^\beta| = |e^\alpha|$ and $\beta_1 > \alpha_1$ or;
3. $|e^\beta| = |e^\alpha|$ and there exists $1 < j \leq n$ such that $\beta_j > \alpha_j$ and $\beta_i = \alpha_i$ for all $1 \leq i < j$.

Finally let \mathcal{G} be the Gröbner basis of \mathfrak{b} obtained by applying Buchberger's algorithm to \mathcal{F} .

Theorem 0.3. *We have the following:*

1. $R[e]/\mathfrak{b} \simeq F/\langle F \rangle$.
2. $K[e]/\mathfrak{b}_K \simeq F_K/\langle F_K \rangle$
3. $\mathcal{G} \cap F$

Using the Gröbner basis we constructed above, we can measure the failure for F to being associative in degree i . In particular, observe that

$$\begin{aligned} \text{rank}_R(F_i/\langle F \rangle_i) &= \dim_K((F_K/\langle F_K \rangle)_i) \\ &= \dim_K(K[e]_i/\mathfrak{b}_{K,i}) \\ &= \dim_K(F_i) - \#\{e_j \mid |e_j| = \text{LM}(f) \text{ for some } f \in \langle F \rangle_i\} \\ &= \text{rank}_R(F_i) - \#\{e_j \mid |e_j| = \text{LM}(f) \text{ for some } f \in \langle F \rangle_i\}. \end{aligned}$$

Thus we have

$$\text{rank}_R(F_i) - \text{rank}_R(F_i/\langle F \rangle_i) = \#\{e_j \mid |e_j| = \text{LM}(f) \text{ for some } f \in \langle F \rangle_i\}$$

just as we did before giving $R[e]$ the structure of a DG R -algebra so that $\iota: F \rightarrow R[e]$ can be viewed as a chain map which satisfies $\iota(1) = 1$.

Clearly, =

With the lower associative index of X and the lower homological associative index of X defined, we see after analyzing the proof of Theorem (0.4), that if R is local, $\langle X \rangle$ is minimal and bounded below, and each $\langle X \rangle_i$ is finitely generated as an R -module, then we have $\text{la}\langle X \rangle = \text{lha}\langle X \rangle$. On the other hand, even if these conditions are satisfied, we often have $\text{ua}\langle X \rangle > \text{uha}\langle X \rangle$. For instance, we will see in Example (0.3) that $\text{ua}\langle F \rangle = 4$ and $\text{uha}\langle F \rangle = 3$. In the case that we're mostly interested in, R is a local noetherian ring and F is the minimal free R -resolution of R/I . In this case, we are interested in the quantity:

$$a(R/I) := \inf_{\mu \in \text{Mult}(F)} \{\text{uha}\langle F_\mu \rangle - \text{lha}\langle F_\mu \rangle + 1\},$$

where F_μ denotes F equipped with the multiplication μ . We call $a(R/I)$ the **associative index** of R/I . One can think of $a(R/I)$ as measuring the failure to put a DG algebra structure on F . In particular, there exists a DG algebra structure on F if and only if $a(R/I) = 0$. In Example (0.3), we have $a(R/I) = 1$. Thus there is no DG algebra structure on F in this case, but the fact that $a(R/I) = 1$ tells us that we can get extremely close.

Next let $\alpha = (1, 2, 2, 1)$. As a \mathbb{k} -vector space, F_α looks like:

$$F_\alpha = \mathbb{k} + \mathbb{k}xy^2ze_3 + \mathbb{k}yz^2we_4 + \mathbb{k}xwe_5 + \mathbb{k}yze_{34} + \mathbb{k}xe_{35} + \mathbb{k}we_{45} + \mathbb{k}e_{345}.$$

However F_α is more than just a \mathbb{k} -vector space: it has the structure of a \mathbb{k} -complex. Let's write down the homogeneous components of F_α as a graded \mathbb{k} -vector space

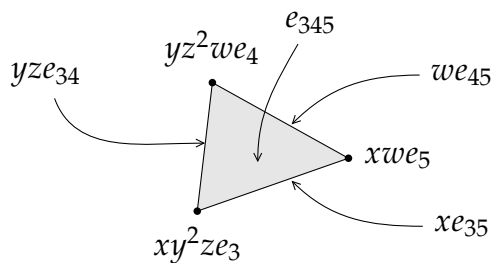
$$F_{0,\alpha} = \mathbb{k}$$

$$F_{1,\alpha} = \mathbb{k}xy^2ze_3 + \mathbb{k}yz^2we_4 + \mathbb{k}xwe_5$$

$$F_{2,\alpha} = \mathbb{k}yze_{34} + \mathbb{k}xe_{35} + \mathbb{k}we_{45}$$

$$F_{3,\alpha} = \mathbb{k}e_{345}$$

we think of this complex as corresponding to Δ_a pictured below



Now, choose a multiplication μ on F which respects the multigrading. Since μ respects the multigrading and satisfies Leibniz law, we are forced to have

$$e_1 \star e_5 = yz^2e_{14} + xe_{45}$$

$$e_1 \star e_2 = e_{12}$$

$$e_2 \star e_5 = y^2ze_{23} + we_{35}$$

$$e_2 \star e_{45} = -yze_{234} + we_{345}$$

$$e_1 \star e_{35} = yze_{134} - xe_{345}$$

$$e_1 \star e_{23} = e_{123}$$

$$e_2 \star e_{14} = -e_{124}$$

At this point however, one can conclude that F is not associative since

$$[e_1, e_5, e_2] = (e_1 \star e_5) \star e_2 - e_1 \star (e_5 \star e_2) = -yzd(e_{1234}) \neq 0. \quad (4)$$

One can work (??) out by hand, however one of the main results of this paper is a method for calculating associators like (??) using tools from the theory of Gröbner bases. For instance, we used the following Singular code below to calculate the associator $[e_1, e_5, e_2]$:

```

LIB "ncalg.lib";

intvec v= 1:3, 2:5, 3:5;
ring A=(o,x,y,z,w),(e1,e2,e5,e12,e14,e23,e35,e45,e123,e124,e134,e234,e345),Wp(v);

matrix C[13][13]; matrix D[13][13]; int i; int j;
for (i=1; i<=13; i++) {for (j=1; j<=13; j++) {C[i,j]=(-1)^(v[i]*v[j]);}}
ncalgebra(C,D);

poly f(1)(5) = e1*e5-yz2*e14-x*e45;
poly f(1)(2) = e1*e2-e12;
poly f(2)(5) = e2*e5-y2z*e23-w*e35;
poly f(2)(45) = e2*e45+yz*e234-w*e345;
poly f(1)(35) = e1*e35-yz*e134+x*e345;
poly f(1)(23) = e1*e23-e123;
poly f(2)(14) = e2*e14+e124;
poly S(1)(5)(2) = f(1)(5)*e2+e1*f(2)(5);

ideal I = f(2)(14), f(2)(45), f(1)(23), f(1)(35), f(2)(5), f(1)(5);
reduce(S(1)(5)(2),b);

// [e1,e5,e2] = (y^2*z)*e123+(-x*y*z^2)*e124+(y*z*w)*e134+(-x*y*z)*e234

(-y^2*z)*e123+(y*z^2)*e124+(-y*z*w)*e134+(x*y*z)*e234

```

In any case, we will call μ a **multiplication on F** when it is unital and strictly graded-commutative (though not necessarily associative), and we will call $F = (F, d, \mu)$ an **MDG R -algebra**. The “M” stands for multiplication, the “D” stands for differential, and the “G” stands for grading; this explains our terminology. If μ also satisfies the associativity axiom, then we will also call F a **DG R -algebra**.

Question 2

We are next led to the following question:

Question 2: Given a multiplication μ on F , can we provide a “good” measure as to how far away μ is from being associative?

Question 2 has different answers, depending on what “good” means. We provide a possible answer by studying the homology of the image of the associator map as well as studying the maximal associative quotient of μ . The **associator** of μ is the chain map, denoted $[\cdot]_\mu$ (or more simply by $[\cdot]$ if μ is understood from context), from $F \otimes_R F \otimes_R F$ to F defined by

$$[\cdot] := \mu(\mu \otimes 1 - 1 \otimes \mu).$$

We denote by $[\cdot, \cdot, \cdot]: F^3 \rightarrow F$ to be the unique R -trilinear map which corresponds to $[\cdot]$ via the universal mapping property of tensor products. Thus we have

$$[a_1 \otimes a_2 \otimes a_3] = (a_1 \star a_2) \star a_3 - a_1 \star (a_2 \star a_3) = [a_1, a_2, a_3]$$

for all $a_1, a_2, a_3 \in F$. The **associator R -complex** of μ , denoted $[\mu]$, is the R -subcomplex of F given by the image of the associator of μ . Thus the underlying graded R -module of $[\mu]$ is

$$[\mu] = \text{span}_R\{[a_1, a_2, a_3] \mid a_1, a_2, a_3 \in F\},$$

and the differential of $[\mu]$ is simply the restriction of the differential of F to $[\mu]$. The **associator A -submodule** of X , denoted $\langle X \rangle$, is defined to be the smallest A -submodule of X which contains $[X]$. The underlying graded R -module of $\langle X \rangle$ also has a simple description. Indeed, observe that

$$a_1(a_2[a_3, a_4, x]) = (a_1a_2)[a_3, a_4, x] - [a_1, a_2, [a_3, a_4, x]] \quad (5)$$

for all $a_1, a_2, a_3, a_4 \in A$ and $x \in X$. Using identities like (5) together with graded-commutativity, one can show that the underlying graded R -module of $\langle X \rangle$ is given by

$$\langle X \rangle = \text{span}_R \{a_1[a_2, a_3, x] \mid a_1, a_2, a_3 \in A \text{ and } x \in X\}$$

The quotient $X/\langle X \rangle$ is an associative A -module. We denote by $\rho: X \rightarrow X/\langle X \rangle$ to be the canonical quotient map and we call $X/\langle X \rangle$ (together with its canonical quotient map ρ) the **maximal associative quotient** of X . It satisfies the following universal mapping property: every MDG A -module homomorphism $\varphi: X \rightarrow Y$ in which Y is associative factors through a unique MDG A -module homomorphism $\bar{\varphi}: X/\langle X \rangle \rightarrow Y$, meaning $\bar{\varphi}\rho = \varphi$. We express this in terms of a commutative diagram as below:

$$\begin{array}{ccc} X & \xrightarrow{\rho} & X/\langle X \rangle \\ & \searrow \varphi & \downarrow \bar{\varphi} \\ & & Y \end{array} \quad (6)$$

Indeed, suppose $\varphi: X \rightarrow Y$ is any MDG A -module homomorphism where Y is associative. In particular, we must have $[X] \subseteq \ker \varphi$, and since $\langle X \rangle$ is the smallest MDG A -submodule of X which contains $[X]$, it follows that $\langle X \rangle \subseteq \ker \varphi$. Thus the map $\bar{\varphi}: X/\langle X \rangle \rightarrow Y$ given by $\bar{\varphi}(\bar{x}) := \varphi(x)$ where $\bar{x} \in X/\langle X \rangle$ is well-defined. Furthermore, it is easy to see that $\bar{\varphi}$ is an MDG A -module homomorphism and the unique such one which makes the diagram (6) commute.

Homological Associativity

Definition 0.1. Let A be an MDG R -algebra and let X be an A -module. The **associator homology** of X is the homology of the associator A -submodule of X . We often simplify notation and denote the associator homology of X by $H\langle X \rangle$ instead of $H(\langle X \rangle)$. We say X is **homologically associative** if $H\langle X \rangle = 0$ and we say X is homologically associative in degree i if $H_i\langle X \rangle = 0$. Similarly we say X is associative in degree i if $\langle X \rangle_i = 0$.

Clearly, if X is associative, then X is homologically associative. The converse holds under certain conditions.

Theorem 0.4. Assume that (R, \mathfrak{m}) is a local ring, that $\langle X \rangle$ is minimal (meaning $d\langle X \rangle \subseteq \mathfrak{m}\langle X \rangle$), and that each $\langle X \rangle_i$ is a finitely generated R -module. If X is associative in degree i , then X is associative in degree $i+1$ if and only if X is homologically associative in degree $i+1$. In particular, if $\langle X \rangle$ is also bounded below (meaning $\langle X \rangle_i = 0$ for $i \ll 0$), then X is associative if and only if X is homologically associative.

Proof. Clearly if X is associative in degree $i+1$, then it is homologically associative in degree $i+1$. To show the converse, assume for a contradiction that X is homologically associative in degree $i+1$ but that it is not associative in degree $i+1$. In other words, assume

$$H_{i+1}\langle X \rangle = 0 \quad \text{and} \quad \langle X \rangle_{i+1} \neq 0.$$

By Nakayama's Lemma, we can find homogeneous $a_1, a_2, a_3 \in A$ and homogeneous $x \in X$ such that $|a_1| + |a_2| + |a_3| + |x| = i+1$ and such that $a_1[a_2, a_3, x] \notin \mathfrak{m}\langle X \rangle_{i+1}$. Since $\langle X \rangle_i = 0$ by assumption, we have $d(a_1[a_2, a_3, x]) = 0$. Also, since $\langle X \rangle$ is minimal, we have $d\langle X \rangle \subseteq \mathfrak{m}\langle X \rangle$. Thus $a_1[a_2, a_3, x]$ represents a nontrivial element in homology in degree $i+1$. This is a contradiction. \square

The proof of Theorem (0.4) tells us something a bit more than what was stated in the proposition. To see this, we first need a definition:

Definition 0.2. Let X be an MDG A -module.

1. Assume that $\langle X \rangle$ is bounded below. The **lower associative index** of X , denoted $\text{la}\langle X \rangle$, is defined to be the smallest $i \in \mathbb{Z} \cup \{\infty\}$ such that $\langle X \rangle_i \neq 0$ where we set $\text{la}\langle X \rangle = \infty$ if X is associative. We extend this definition to case where $\langle X \rangle$ is not bounded below by setting $\text{la}\langle X \rangle = -\infty$.
2. Assume that $H\langle X \rangle$ is bounded below. The **lower homological associative index** of X , denoted $\text{lha}\langle X \rangle$, is defined to be the smallest $i \in \mathbb{Z} \cup \{\infty\}$ such that $H_i\langle X \rangle \neq 0$ where we set $\text{lha}\langle X \rangle = \infty$ if X is homologically associative. We extend this definition to case where $H\langle X \rangle$ is not bounded below by setting $\text{lha}\langle X \rangle = -\infty$.
3. Assume that $\langle X \rangle$ is bounded above. The **upper associative index** of X , denoted $\text{ua}\langle X \rangle$, is defined to be the largest $i \in \mathbb{Z} \cup \{\infty\}$ such that $\langle X \rangle_i \neq 0$ where we set $\text{ua}\langle X \rangle = -\infty$ if X is associative. We extend this definition to case where $\langle X \rangle$ is not bounded above by setting $\text{ua}\langle X \rangle = \infty$.
4. Assume that $H\langle X \rangle$ is bounded above. The **upper homological associative index** of X , denoted $\text{uha}\langle X \rangle$, is defined to be the largest $i \in \mathbb{Z} \cup \{\infty\}$ such that $H_i\langle X \rangle \neq 0$ where we set $\text{uha}\langle X \rangle = -\infty$ if X is homologically associative. We extend this definition to case where $H\langle X \rangle$ is not bounded above by setting $\text{uha}\langle X \rangle = \infty$.

With the lower associative index of X and the lower homological associative index of X defined, we see after analyzing the proof of Theorem (0.4), that if R is local, $\langle X \rangle$ is minimal and bounded below, and each $\langle X \rangle_i$ is finitely generated as an R -module, then we have $\text{la}\langle X \rangle = \text{lha}\langle X \rangle$. On the other hand, even if these conditions are satisfied, we often have $\text{ua}\langle X \rangle > \text{uha}\langle X \rangle$. For instance, we will see in Example (0.3) that $\text{ua}\langle F \rangle = 4$ and $\text{uha}\langle F \rangle = 3$. In the case that we're mostly interested in, R is a local noetherian ring and F is the minimal free R -resolution of R/I . In this case, we are interested in the quantity:

$$a(R/I) := \inf_{\mu \in \text{Mult}(F)} \{\text{uha}\langle F_\mu \rangle - \text{lha}\langle F_\mu \rangle + 1\},$$

where F_μ denotes F equipped with the multiplication μ . We call $a(R/I)$ the **associative index** of R/I . One can think of $a(R/I)$ as measuring the failure to put a DG algebra structure on F . In particular, there exists a DG algebra structure on F if and only if $a(R/I) = 0$. In Example (0.3), we have $a(R/I) = 1$. Thus there is no DG algebra structure on F in this case, but the fact that $a(R/I) = 1$ tells us that we can get extremely close.

Remark 2. Let X be an MDG A -module. Then the short exact sequence of graded $H(A)$ -modules

$$0 \longrightarrow \langle X \rangle \xrightarrow{\iota} X \xrightarrow{\rho} X/\langle X \rangle \longrightarrow 0$$

induces a long exact sequence of R -modules:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H_{i+1}\langle X \rangle & \longrightarrow & H_{i+1}(X/\langle X \rangle) & & \\ & & & & \downarrow d_i & & \\ & \longleftarrow & H_i\langle X \rangle & \longrightarrow & H_i(X) & \longrightarrow & H_i(X/\langle X \rangle) \\ & & & & \downarrow d_{i-1} & & \\ & \longleftarrow & H_{i-1}\langle X \rangle & \longrightarrow & H_{i-1}(X) & \longrightarrow & \cdots \end{array} \quad (7)$$

where the connecting map is induced by the differential $d: X \rightarrow X$. In particular, we obtain a sequence of graded $H(A)$ -modules:

$$H(X) \xrightarrow{\rho} H(X/\langle X \rangle) \xrightarrow{d} H\langle X \rangle(-1) \xrightarrow{\iota} H(X)(-1)$$

which is exact at $H(X/\langle X \rangle)$ and $H\langle X \rangle(-1)$.

Appendix

Before we dive into the theory of MDG R -algebras, we provide some motivation for their study by discussing a combinatorial setting where they show up. The following construction was first described in [BPS98]: let $R = \mathbb{k}[x] = \mathbb{k}[x_1, \dots, x_d]$ where \mathbb{k} is a field and let $I = \langle \mathbf{m} \rangle = \langle m_1, \dots, m_r \rangle$ is a monomial ideal in R . For each subset $\sigma \subseteq \{1, \dots, r\}$, we denote $e_\sigma := \{e_i \mid i \in \sigma\}$ (thus $e_{123} = \{e_1, e_2, e_3\}$). We also set $m_\sigma := \text{lcm}(m_i \mid i \in \sigma)$ and we set $\alpha_\sigma \in \mathbb{Z}^n$ to be the exponent vector of m_σ . Let Δ be a finitely simplicial complex with r -vertices denoted e_1, \dots, e_r . The sequence of monomials \mathbf{m} induces a labeling of the faces of Δ as follows: we label the vertices e_1, \dots, e_r of Δ by the monomials m_1, \dots, m_r (so e_i is labeled by m_i). More generally, if e_σ a face of Δ , then we label it by m_σ . With the faces labeled this way, we call Δ an **\mathbf{m} -labeled simplicial complex** (or a labeled simplicial complex if \mathbf{m} is understood from context). Also, for each $\alpha \in \mathbb{Z}^n$, let Δ_α be the subcomplex of Δ defined by

$$\Delta_\alpha = \{\sigma \in \Delta \mid m_\sigma \text{ divides } x^\alpha\}.$$

We often denote the faces of Δ_α by $(x^\alpha / m_\sigma)e_\sigma$ instead of σ whenever context is clear.

Definition 0.3. We define an R -complex, denoted F_Δ (or more simply denoted F if Δ is understood from context) and called the **R -complex induced by Δ** as follows: the homogeneous component in homological degree $k \in \mathbb{Z}$ of the underlying graded R -module of F is given by

$$F_k := \begin{cases} \bigoplus_{\dim \sigma = k-1} R e_\sigma & \text{if } \sigma \in \Delta \text{ and } 0 \leq k \leq \dim \Delta + 1 \\ 0 & \text{else} \end{cases}$$

and the differential d is defined on the homogeneous generators of F by $d(e_\emptyset) = 0$ and

$$d(e_\sigma) = \sum_{i \in \sigma} (-1)^{\text{pos}(i, \sigma)} \frac{m_\sigma}{m_{\sigma \setminus i}} e_{\sigma \setminus i}$$

for all $\sigma \in \Delta \setminus \{\emptyset\}$ where $\text{pos}(i, \sigma)$, the **position of vertex i** in σ , is the number of elements preceding i in the ordering of σ , and $\sigma \setminus i$ denotes the face obtained from σ by removing i . In the case where Δ is the r -simplex, we call F the **Taylor complex**.

Observe that F also has the structure of a **multigraded \mathbb{k} -complex** (or an \mathbb{N}^n -graded \mathbb{k} -complex) since the differential d respects the multigrading. In other words, we have a decomposition of \mathbb{k} -complexes

$$F = \bigoplus_{\alpha \in \mathbb{N}^n} F_\alpha,$$

where the \mathbb{k} -complex F_α in multidegree $\alpha \in \mathbb{N}^n$ is defined as follows: the homogeneous component in homological degree $k \in \mathbb{Z}$ of the underlying graded \mathbb{k} -vector space is given by

$$F_{k, \alpha} := \begin{cases} \bigoplus_{\dim \sigma = k-1} \mathbb{k} \frac{x^\alpha}{m_\sigma} e_\sigma & \text{if } \sigma \in \Delta_\alpha \text{ and } 0 \leq k \leq \dim \Delta + 1 \\ 0 & \text{else} \end{cases}$$

and the differential d_α of F_α is just the restriction of d to F_α . Notice that the differential behaves exactly like boundary map of Δ_α does:

$$\begin{aligned} d_\alpha \left(\frac{x^\alpha}{m_\sigma} e_\sigma \right) &= \frac{x^\alpha}{m_\sigma} d(e_\sigma) \\ &= \frac{x^\alpha}{m_\sigma} \sum_{i \in \sigma} (-1)^{\text{pos}(i, \sigma)} \frac{m_\sigma}{m_{\sigma \setminus i}} e_{\sigma \setminus i} \\ &= \sum_{i \in \sigma} (-1)^{\text{pos}(i, \sigma)} \frac{x^\alpha m_\sigma}{m_\sigma m_{\sigma \setminus i}} e_{\sigma \setminus i} \\ &= \sum_{i \in \sigma} (-1)^{\text{pos}(i, \sigma)} \frac{x^\alpha}{m_{\sigma \setminus i}} e_{\sigma \setminus i}. \end{aligned}$$

Thus if we define $\varphi_\alpha: F_\alpha(1) \rightarrow S(\Delta_\alpha)$ to be the unique graded \mathbb{k} -linear isomorphism such that $\frac{x^\alpha}{m_\sigma} e_\sigma \mapsto \sigma$, then from the computation above, we see that $d_\alpha \varphi_\alpha = \partial_\alpha d_\alpha$, and hence φ_α gives an isomorphism of \mathbb{k} -complexes

$\varphi: \Sigma^{-1}F_{\alpha} \simeq C(\Delta_{\alpha}; \mathbb{k})$, where $C(\Delta_{\alpha}, \mathbb{k})$ is the reduced chain complex of Δ_{α} over \mathbb{k} . In particular, this implies

$$\begin{aligned} H(F) &= \ker d / \operatorname{im} d \\ &= \left(\bigoplus_{\alpha \in \mathbb{Z}^n} \ker d_{\alpha} \right) / \left(\bigoplus_{\alpha \in \mathbb{Z}^n} \operatorname{im} d_{\alpha} \right) \\ &\cong \bigoplus_{\alpha \in \mathbb{Z}^n} (\ker d_{\alpha} / \operatorname{im} d_{\alpha}) \\ &= \bigoplus_{\alpha \in \mathbb{Z}^n} H(F_{\alpha}) \\ &\cong \bigoplus_{\alpha \in \mathbb{Z}^n} \tilde{H}(\Delta_{\alpha}, \mathbb{k})(-1). \end{aligned}$$

In other words, we have

$$H_i(F) \cong \bigoplus_{\alpha \in \mathbb{Z}^n} H_i(F_{\alpha}) \cong \bigoplus_{\alpha \in \mathbb{Z}^n} \tilde{H}_{i-1}(\Delta; \mathbb{k}).$$

for all $i \in \mathbb{Z}$. From this we easily get the following theorem:

Theorem 0.5. *F is an R-free resolution of R/\mathfrak{m} if and only if for all $\alpha \in \mathbb{Z}^n$ either Δ_{α} is the void complex or Δ_{α} is acyclic. In particular, the Taylor complex is an R-free resolution of R/\mathfrak{m} . Moreover, F is minimal if and only if $m_{\sigma} \neq m_{\sigma'}$ for every proper subface σ' of a face σ .*

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