

# Commutative Algebra Homework 8

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## Problem 1

**Exercise 1.** Let  $R$  be a Dedekind domain and let  $I$  be an ideal of  $R$ . Show that  $I$  can be generated by two elements.

**Solution 1.** Write  $I = \prod_{i=1}^r \mathfrak{p}_i^{a_i}$  where  $\mathfrak{p}_i$ 's are pairwise distinct prime ideals and where the  $a_i$  are nonnegative integers. Let  $\alpha \in I$ . If  $I = \langle \alpha \rangle$  then we are done, so assume  $\langle \alpha \rangle \subset I$  where the inclusion is strict. Since  $\langle \alpha \rangle \subset I$ , the prime factorization of  $\langle \alpha \rangle$  must have the form

$$\langle \alpha \rangle = \prod_{i=1}^r \mathfrak{p}_i^{b_i} \prod_{j=1}^s \mathfrak{q}_j^{d_j},$$

where the  $\mathfrak{p}_i$  and  $\mathfrak{q}_j$  are all pairwise relatively prime, where  $b_i \geq a_i$  for each  $i$ , and where  $d_j$  is a nonnegative integer for each  $j$ . For each  $i$ , choose  $\beta_i \in \mathfrak{p}_i^{a_i} \setminus \mathfrak{p}_i^{a_i+1}$ . Note that  $\mathfrak{p}_i$  and  $\mathfrak{q}_j$  being relatively prime implies  $\mathfrak{p}_i^{a_i+1}$  and  $\mathfrak{q}_j$  are relatively prime. Thus by the Chinese Remainder Theorem, we can find a  $\beta \in R$  such that

$$\beta \equiv \beta_i \pmod{\mathfrak{p}_i^{a_i+1}} \quad \text{and} \quad \beta \equiv 1 \pmod{\mathfrak{q}_j}$$

for all  $i$  and  $j$ . In particular,  $\beta \in \mathfrak{p}_i^{a_i} \setminus \mathfrak{p}_i^{a_i+1}$  for all  $i$  and  $\beta \notin \mathfrak{q}_j$  for all  $j$ . Indeed, it is clear that  $\beta \notin \mathfrak{q}_j$  since  $\beta \equiv 1 \pmod{\mathfrak{q}_j}$  for all  $j$ . To see that  $\beta \in \mathfrak{p}_i^{a_i} \setminus \mathfrak{p}_i^{a_i+1}$  for all  $i$ , observe that  $\beta \equiv \beta_i \pmod{\mathfrak{p}_i^{a_i+1}}$  implies  $\beta = \beta_i + \alpha_i$  for some  $\alpha_i \in \mathfrak{p}_i^{a_i+1}$ . Thus clearly  $\beta \in \mathfrak{p}_i^{a_i}$ . If  $\beta \in \mathfrak{p}_i^{a_i+1}$ , then since  $\beta_i = \alpha_i - \beta$ , we would then have  $\beta_i \in \mathfrak{p}_i^{a_i+1}$ , which is a contradiction.

Note that since  $\beta \in \mathfrak{p}_i^{a_i}$  for all  $i$ , we have

$$\begin{aligned} \beta &\in \bigcap_{i=1}^r \mathfrak{p}_i^{a_i} \\ &= \prod_{i=1}^r \mathfrak{p}_i^{a_i} \\ &= I. \end{aligned}$$

Thus the prime factorization of  $\langle \beta \rangle$  must have the form

$$\langle \beta \rangle = \prod_{i=1}^r \mathfrak{p}_i^{c_i} \prod_{k=1}^t \tilde{\mathfrak{q}}_k^{e_k},$$

where the  $\mathfrak{p}_i$  and  $\tilde{\mathfrak{q}}_k$  are all pairwise relatively prime, where  $c_i \geq a_i$  for each  $i$ , and where  $e_k$  is a nonnegative integer for each  $k$ . However note that we must have  $c_i \leq a_i$  since  $\beta \notin \mathfrak{p}_i^{a_i+1}$  for each  $i$  and we cannot have  $\mathfrak{q}_j = \tilde{\mathfrak{q}}_k$  for some  $j$  and  $k$  since  $\beta \notin \mathfrak{q}_j$  for all  $j$ . It follows that

$$\begin{aligned} \langle \alpha, \beta \rangle &= \prod_{i=1}^r \mathfrak{p}_i^{\min(b_i, c_i)} \prod_{j=1}^s \mathfrak{q}_j^{\min(d_j, 0)} \prod_{k=1}^t \tilde{\mathfrak{q}}_k^{\min(0, e_k)} \\ &= \prod_{i=1}^r \mathfrak{p}_i^{\min(b_i, a_i)} \prod_{j=1}^s \mathfrak{q}_j^{\min(d_j, 0)} \prod_{k=1}^t \tilde{\mathfrak{q}}_k^{\min(0, e_k)} \\ &= \prod_{i=1}^r \mathfrak{p}_i^{a_i} \prod_{j=1}^s \mathfrak{q}_j^0 \prod_{k=1}^t \tilde{\mathfrak{q}}_k^0 \\ &= \prod_{i=1}^r \mathfrak{p}_i^{a_i} \\ &= I. \end{aligned}$$

## Problem 7

### Problem 2

**Exercise 2.** Let  $d \in \mathbb{Z} \setminus \{0, 1\}$  be squarefree, let  $K = \mathbb{Q}(\sqrt{d})$ , let  $\mathcal{O}_K$  be the integral closure of  $\mathbb{Z}$  in  $K$ , and let  $\gamma = (1 + \sqrt{d})/2$ . Then show that

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}[\sqrt{d}] & \text{if } d \equiv 2, 3 \pmod{4} \\ \mathbb{Z}[\gamma] & \text{if } d \equiv 1 \pmod{4} \end{cases}$$

**Solution 2.** Clearly  $\sqrt{d} \in \mathcal{O}_K$  since it is a root of the monic  $X^2 - d$ . Thus  $\mathbb{Z}[\sqrt{d}] \subseteq \mathcal{O}_K$ . We first want to show that either  $\mathcal{O}_K = \mathbb{Z}[\sqrt{d}]$  or  $\mathcal{O}_K = \mathbb{Z}[\gamma]$  depending on the congruence class of  $d$  modulo 4. Let  $\alpha \in \mathcal{O}_K$  and express it as  $\alpha = a + b\sqrt{d}$  for unique  $a, b \in \mathbb{Q}$ . Note that both rational numbers

$$\text{Tr}_{K/\mathbb{Q}}(\alpha) = \alpha + \bar{\alpha} \quad \text{and} \quad \text{N}_{K/\mathbb{Q}}(\alpha) = \alpha\bar{\alpha}$$

are algebraic integers and thus must belong to  $\mathbb{Z}$ . Given that  $\bar{\alpha} = a - b\sqrt{d}$ , a quick computation gives us  $\text{Tr}_{K/\mathbb{Q}}(\alpha) = 2a$  and  $\text{N}_{K/\mathbb{Q}}(\alpha) = a^2 - db^2$ . It follows that  $2a \in \mathbb{Z}$  and  $a^2 - db^2 \in \mathbb{Z}$ . In particular,  $2a \in \mathbb{Z}$  implies either  $a \in \mathbb{Z}$  or  $a = n/2$  where  $n$  is an odd integer.

**Case 1:** First assume that  $a \in \mathbb{Z}$ . Then since  $a^2 - db^2 \in \mathbb{Z}$ , we see that  $db^2 \in \mathbb{Z}$ . But  $d$  is squarefree, so integrality  $db^2$  tells us that we cannot have a prime  $p$  occurring in the denominator of  $b$  as a reduced-form fraction (we would not be able to cancel the denominator factor  $p^2$  for  $b^2$ ). It follows that  $b \in \mathbb{Z}$ , so  $\alpha = a + b\sqrt{d} \in \mathbb{Z}[\sqrt{d}]$ .

**Case 2:** Assume that  $a = n/2$  for some integer  $n$ . Thus,  $a^2 - db^2 = n^2/4 - db^2$  is an integer. In particular we have  $db^2 = n^2/4 + k$  for some  $k \in \mathbb{Z}$ . Observe that

$$\begin{aligned} db^2 &= \frac{n^2}{4} + k \\ &= \frac{n^2 + 4k}{4}. \end{aligned}$$

Since  $n$  is odd, it follows that  $n^2 + 4k$  is odd, and thus  $db^2$  must have a denominator of 4 when written in reduced form. Again, since  $d$  is squarefree, it follows that  $b = m/2$  for some odd integer  $m$ . Thus we can write

$$\gamma = \left( \frac{n-1}{2} + \frac{m-1}{2}\sqrt{d} \right) - \alpha$$

with  $(n-1)/2 \in \mathbb{Z}$  and  $(m-1)/2 \in \mathbb{Z}$ . In particular, we have  $\gamma \in \mathcal{O}_K$

Thus in either case, we see that  $\mathcal{O}_K \subseteq \mathbb{Z}[\gamma]$ . In fact, combining these two cases together tells us  $\mathcal{O}_K = \mathbb{Z}[\sqrt{d}]$  if and only if  $\gamma \notin \mathcal{O}_K$ . Indeed, clearly if  $\mathcal{O}_K = \mathbb{Z}[\sqrt{d}]$ , then  $\gamma \notin \mathcal{O}_K$ . Conversely, if  $\gamma \notin \mathcal{O}_K$  then every  $a + b\sqrt{d} \in \mathcal{O}_K$  must have  $a \in \mathbb{Z}$  (otherwise we would get  $\gamma \in \mathcal{O}_K$  by case 2, a contradiction), and thus by case 1, every  $a + b\sqrt{d} \in \mathcal{O}_K$  belongs to  $\mathbb{Z}[\sqrt{d}]$ .

Now note that  $\gamma \in \mathcal{O}_K$  if and only if  $d \equiv 1 \pmod{4}$ . Indeed, if  $\gamma \in \mathcal{O}_K$ , then  $(1-d)/4 = \text{N}_{K/\mathbb{Q}}(\gamma) \in \mathbb{Z}$ , which is equivalent to  $d \equiv 1 \pmod{4}$ . Conversely, if  $d \equiv 1 \pmod{4}$ , then we have  $d = 1 + 4k$  for some  $k \in \mathbb{Z}$ . Thus

$$\begin{aligned} \gamma^2 &= \left( \frac{1 + \sqrt{d}}{2} \right)^2 \\ &= \frac{1 + d + 2\sqrt{d}}{4} \\ &= \frac{2 + 4k + 2\sqrt{d}}{4} \\ &= \frac{1 + 2k + \sqrt{d}}{2} \\ &= \frac{1 + \sqrt{d}}{2} + k. \\ &= \gamma + k \end{aligned}$$

It follows that  $\gamma \in \mathcal{O}_K$ . Thus

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}[\sqrt{d}] & \text{if } d \equiv 2, 3 \pmod{4} \\ \mathbb{Z}[\gamma] & \text{if } d \equiv 1 \pmod{4} \end{cases}$$

### Problem 3

**Exercise 3.** Let  $R$  be a domain with quotient field  $K$ . We say  $\omega \in K$  is **almost integral** over  $R$  if there is a nonzero  $a \in R$  such that  $a\omega^n \in R$  for all  $n \in \mathbb{N}$ . We say that  $R$  is completely integrally closed if it contains all of its almost integral elements.

1. Show that if  $\omega \in K$  is integral, then  $\omega$  is almost integral over  $R$ .
2. Show that if  $R$  is Noetherian and  $\omega \in K$  is almost integral over  $R$ , then  $\omega$  is integral over  $R$ .
3. Given an example of a domain  $R$  and an element  $\omega \in K$  (where  $K$  is the quotient field of  $R$ ) that is almost integral over  $R$ , but not integral over  $R$ .
4. Show that any UFD is completely integrally closed.

**Solution 3.** 1. Let  $\omega \in K$  be integral over  $R$ . Write  $\omega = a/b$  where  $a, b \in R$  with  $b \neq 0$ . Choose  $k \geq 1$  minimal and  $a_0, a_1, \dots, a_{k-1} \in R$  such that

$$\omega^k + a_{k-1}\omega^{k-1} + \dots + a_1\omega + a_0 = 0. \quad (1)$$

We claim that for any  $n \geq 0$ , we have  $b^k\omega^n \in R$ . Indeed, first note that if  $n > k$ , then we can use the fact that  $\omega$  is integral (so  $R[\omega] = \sum_{i=0}^{k-1} R\omega^i$ ) to write

$$\omega^n = a_{k-1,n}\omega^{k-1} + \dots + a_{1,n}\omega + a_{0,n}$$

for some  $a_{0,n}, a_{1,n}, \dots, a_{k-1,n} \in R$ , so it suffices to show that  $b^k\omega^n \in R$  when  $n \leq k$ . This is clear though since

$$\begin{aligned} b^k\omega^n &= b^k \frac{a^n}{b^n} \\ &= b^{k-n}a^n \\ &\in R. \end{aligned}$$

It follows that  $\omega$  is almost integral over  $R$ .

2. Suppose  $R$  is a Noetherian domain and let  $\omega \in K$  be almost integral over  $R$ . Choose  $a \in R \setminus \{0\}$  such that  $a\omega^n \in R$  for all  $n \in \mathbb{N}$ . Consider the ascending chain of ideals  $(I_n)$  where

$$\begin{aligned} I_0 &= \langle a \rangle \\ I_1 &= \langle a, a\omega \rangle \\ &\vdots \\ I_n &= \langle a, a\omega, \dots, a\omega^n \rangle \\ &\vdots \end{aligned}$$

for all  $n \in \mathbb{N}$ . The ascending chain of ideals  $(I_n)$  must terminate since  $R$  is Noetherian, say at  $m \in \mathbb{N}$ . It follows that  $a\omega^{m+1} \in I_m$ , which implies

$$a\omega^{m+1} = a_m a\omega^m + \dots + a_1 a\omega + a_0 a \quad (2)$$

for some  $a_0, a_1, \dots, a_m \in R$ . Canceling  $a$  from both sides of (2) (we can do this since  $A$  is a domain) and rearranging terms gives us

$$\omega^{m+1} - a_m\omega^m - \dots - a_1\omega - a_0 = 0.$$

This implies  $\omega$  is integral over  $R$ .

3. Consider ring  $A = K[y, \{x/y^n \mid n \in \mathbb{N}\}]$ . We have a strict inclusion of rings

$$K[x, y] \subset A \subset K[x, y, 1/y].$$

In particular,  $A$  is a domain with fraction field  $K(x, y)$ . Note that  $1/y \in K(x, y)$  is almost integral over  $A$  since  $1/y \notin A$  and  $x/y^n \in A$  for all  $n \in \mathbb{N}$ . On the other hand,  $1/y$  is not integral over  $A$ . Indeed, if it were, then there would exist  $m \in \mathbb{N}$  and  $f_0, \dots, f_{m-1} \in A$  such that

$$\frac{1}{y^m} = \frac{f_{m-1}}{y^{m-1}} + \dots + \frac{f_1}{y} + f_0. \quad (3)$$

Multiplying  $y^m$  on both sides of (3) gives us

$$1 = (f_{m-1} + \dots + f_1 y^{m-2} + f_0 y^{m-1})y. \quad (4)$$

Evaluating  $x = 0$  to both sides of (??) gives us

$$1 = (\tilde{f}_{m-1} + \cdots + \tilde{f}_1 y^{m-2} + \tilde{f}_0 y^{m-1})y. \quad (5)$$

where  $\tilde{f}_0, \tilde{f}_1, \dots, \tilde{f}_{m-1}$  are polynomials over  $K$  in the variable  $y$ . Evaluating  $y = 0$  to both sides of (??) gives us  $1 = 0$ , which is a contradiction.

4. Let  $R$  be a UFD, let  $K$  denote its fraction field, and let  $\omega \in K$  be almost integral over  $R$ . Choose a nonzero  $a \in R$  such that  $a\omega^n \in R$  for all  $n \in \mathbb{N}$ .