

# Homological Algebra

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# 1 Introduction

Homological Algebra is a subject in Mathematics whose origins can be traced back to Topology. Homological Algebra is a very diverse subject, so we will not attempt to give an all encompassing description of what Homological Algebra is, rather we give a partial description instead:

Homological is the study of  $R$ -complexes and their homology.

Here  $R$  is understood to be a commutative ring with identity<sup>1</sup>. Whenever we write, “let  $M$  be an  $R$ -module” or “let  $(A, d)$  be an  $R$ -complex”, then it is understood that  $R$  is a ring.

## 1.1 Notation and Conventions

Unless otherwise specified, let  $K$  be a field and let  $R$  be a commutative ring with identity.

### 1.1.1 Category Theory

In this document, we consider the following categories:

- The category of all sets and functions, denoted **Set**;
- The category of all rings and ring homomorphisms, denoted **Ring**;
- The category of all  $R$ -modules and  $R$ -linear maps, denoted **Mod** $_R$ ;
- The category of all graded  $R$ -modules and graded  $R$ -linear maps, denoted **Grad** $_R$ ;
- The category of all  $R$ -algebras  $R$ -algebra homomorphisms, denoted **Alg** $_R$ ;
- The category of all  $R$ -complexes and chain maps, denoted **Comp** $_R$ ;
- The category of all  $R$ -complexes and homotopy classes of chain maps, denoted **HComp** $_R$ ;
- The category of all DG  $R$ -algebras DG algebra homomorphisms, denoted **DG** $_R$ .

# 2 Graded Rings and Modules

## 2.1 Graded Rings

**Definition 2.1.** Let  $H$  be an additive semigroup with identity 0. An  $H$ -**graded ring**  $R$  is a ring together with a direct sum decomposition

$$R = \bigoplus_{h \in H} R_h,$$

where the  $R_h$  are abelian groups which satisfy the property that if  $r_{h_1} \in R_{h_1}$  and  $r_{h_2} \in R_{h_2}$ , then  $r_{h_1}r_{h_2} \in R_{h_1+h_2}$ . The  $R_h$  are called **homogeneous components of  $R$**  and the elements of  $R_h$  are called **homogeneous elements of degree  $h$** . If  $r$  is a homogeneous element in  $R$ , then unless otherwise specified, we denote the degree of  $r$  as  $|r|$ . When we say “let  $R$  be a graded ring”, then it is understood that the homogeneous components of  $R$  are denoted  $R_h$ .

**Proposition 2.1.** Let  $R$  be an  $H$ -graded ring. Then  $R_0$  is a ring.

*Proof.* First note that  $1 \in R_0$  since if  $r \in R_i$ , the  $1 \cdot r = r \in R_i$ . If  $r, s \in R_0$ , then also  $rs \in R_0$ . It follows that  $R_0$  is an abelian group equipped with a multiplication map with identity  $1 \in R_0$ . This multiplication map satisfies all of the properties which are required for  $R_0$  to be a ring since it inherits these properties from  $R$ .  $\square$

We are mostly interested in the case where  $H = \mathbb{N}^n$  or  $H = \mathbb{N}^2$ . Whenever we write, “let  $R$  be an  $H$ -graded ring”, then it is understood that  $H$  is an additive semigroup with identity 0. If we omit  $H$  and simply write “let  $R$  be a graded ring”, then it is understood that  $R$  is an  $\mathbb{N}$ -graded ring.

It is wrong to think of an  $H$ -grading of  $R$  as a map  $|\cdot| : R \setminus \{0\} \rightarrow H$  be a map such that

$$|rs| = |r| + |s|$$

<sup>1</sup>Unless otherwise specified, all rings discussed in this document are assumed to be commutative and unital.

<sup>2</sup>Our convention is that  $\mathbb{N} = \{0, 1, 2, \dots\}$ .

whenever  $rs \neq 0$ . Indeed, usually there are many nonzero elements  $r \in R$  where  $|r|$  is not defined. What we can say however is that there exists nonzero elements  $r_{h_1}, \dots, r_{h_n}$ , where  $r_{h_k} \in R_{h_k}$  for all  $1 \leq k \leq n$  and  $h_i \neq h_j$  for all  $1 \leq i < j \leq n$ , such that  $r$  can be expressed *uniquely* as

$$r = r_{h_1} + \dots + r_{h_n}. \quad (1)$$

The qualifier “uniquely” here means that if we have another expression for  $r$ , say

$$r = r_{h'_1} + \dots + r_{h'_{n'}},$$

where  $r_{h'_k} \in R_{h'_k} \setminus \{0\}$  for all  $1 \leq k' \leq n'$  and  $h'_{i'} \neq h'_{j'}$  for all  $1 \leq i' < j' \leq n'$ , then we must have  $n = n'$  and, after reordering if necessary, we must have  $r_{h_k} = r_{h'_k}$  for all  $1 \leq k \leq n$ . We call (1) the **decomposition of  $r$  into its homogeneous parts**.

### 2.1.1 Examples of Graded Rings

**Example 2.1.** Let  $R$  be any ring, then  $R_0 := R$  and  $R_i := 0$  for all  $i > 0$  defines a trivial structure of a graded ring for  $R$ . This grading is called the **trivial grading** and we say  $R$  is a **trivially graded ring**. Whenever we introduce a ring without specifying any grading, then we assume  $R$  is equipped with the trivial grading unless otherwise specified.

Sometimes we speak of a graded ring as a **ring equipped with an  $H$ -grading**. If  $R$  is a ring, then it is possible for  $R$  to have both an  $H$ -grading and an  $H'$ -grading. Here is an example of this:

**Example 2.2.** Let  $R$  be a ring and let  $\mathbf{x} = x_1, \dots, x_n$  be a list of indeterminates. Then  $R[\mathbf{x}]$  is both an  $\mathbb{N}$ -graded ring and an  $\mathbb{N}^n$ -graded ring. The homogeneous component in degree  $i$  in the  $\mathbb{N}$ -grading is given by

$$R[\mathbf{x}]_i = \sum_{|\alpha|=i} R\mathbf{x}^\alpha.$$

The homogeneous component in degree  $\alpha = (\alpha_1, \dots, \alpha_n)$  in the  $\mathbb{N}^n$ -grading is given by

$$R[\mathbf{x}]_\alpha = R\mathbf{x}^\alpha.$$

## 2.2 Graded $R$ -Modules

Let  $R$  be an  $H$ -graded ring. An  **$H$ -graded  $R$ -module**  $M$  is an  $R$ -module together with a direct sum decomposition

$$M = \bigoplus_{h \in H} M_h$$

into abelian groups  $M_h$  which satisfies the condition that if  $r_{h_1} \in R_{h_1}$  and  $u_{h_2} \in M_{h_2}$ , then  $r_{h_1}u_{h_2} \in M_{h_1+h_2}$  for all  $h_1, h_2 \in H$ . The  $u_h$  are called **homogeneous components** of  $M$  and the elements of  $M_h$  are called **homogeneous elements of degree  $h$** . Whenever we write “let  $M$  be an  $H$ -graded  $R$ -module”, then it is assumed that  $R$  is an  $H$ -graded ring. In the usual case,  $R$  will be an  $\mathbb{Z}$ -graded ring with  $R_i = 0$  for all  $i < 0$  and  $M$  will be a  $\mathbb{Z}$ -graded  $R$ -module. In this case, we will just say “let  $M$  be a graded  $R$ -module”.

**Definition 2.2.** Let  $M$  be an  $H$ -graded  $R$ -module. For each  $h \in H$ , we define the  **$h$ th twist of  $M$** , denoted  $M(h)$ , to be the  $H$ -graded  $R$ -module whose  $h'$ th homogeneous component is given by  $M(h)_{h'} := M_{h+h'}$  for all  $i \in \mathbb{Z}$ .

### 2.2.1 Graded $R$ -Submodules

**Lemma 2.1.** Let  $M$  be a graded  $R$ -module and  $N \subset M$  be a submodule. The following conditions are equivalent:

1.  $N$  is graded  $R$ -module whose homogeneous components are  $M_i \cap N$ .
2.  $N$  can be generated by homogeneous elements.

*Proof.* We first show that 1 implies 2. Let  $x \in N$ . Since  $N$  is graded with homogeneous components  $M_i \cap N$ , there exists homogeneous elements  $x_{i_k} \in M_{i_k} \cap N$  for  $1 \leq k \leq n$  such that

$$x = x_{i_1} + \dots + x_{i_n}.$$

In particular,  $N$  can be generated by homogeneous elements.

Now we show that 2 implies 1. Let  $\{y_\alpha\}$  be a set of homogeneous generators for  $N$  and let  $x \in N$ . Since  $N \subset M$ , we can uniquely decompose  $x$  as a sum of homogeneous elements,  $x = \sum x_i$ , where each  $x_i \in M$ . We need to

show that each  $x_i \in N$ . To do this, note that  $x = \sum r_\alpha y_\alpha$  where  $r_\alpha$  belongs to  $R$ . If we take  $i$ th homogeneous components, we find that

$$x_i = \sum (r_\alpha)_{i-\deg y_\alpha} y_\alpha,$$

where  $(r_\alpha)_{i-\deg y_\alpha}$  refers to the homogeneous component of  $y_\alpha$  concentrated in the degree  $i - \deg y_\alpha$ . From this it is easy to see that each  $x_i$  is a linear combination of the  $y_\alpha$  and consequently lies in  $N$ .  $\square$

**Definition 2.3.** A submodule  $N \subset M$  satisfying the equivalent conditions of Lemma (2.1) is called a **graded** (or **homogeneous**) submodule. A graded submodule of a graded ring is called a **graded** (or **homogeneous**) ideal.

**Example 2.3.** Consider the graded ring  $R = k[x, y, z]_{(5,6,15)}$ . Then the ideal  $I = \langle y^5 - z^2, x^3 - z, x^6 - y^5 \rangle$  is a homogeneous ideal in  $R$ .

*Remark.* Let  $R$  be a graded ring and let  $I$  be a homogeneous ideal in  $R$ . Then the quotient ring  $R/I$  has an induced structure as a graded ring, where the  $i$ th homogeneous component of  $R/I$  is

$$(R/I)_i := (R_i + I)/I \cong R_i / (I \cap R_i)$$

**Proposition 2.2.** Let  $\mathfrak{p} \subset R$  be a homogeneous ideal. In order that  $\mathfrak{p}$  be prime, it is necessary and sufficient that whenever  $x, y$  are homogeneous elements such that  $xy \in \mathfrak{p}$ , then at least one of  $x, y \in \mathfrak{p}$ .

*Proof.* Necessity is immediate. For sufficiency, suppose  $a, b \in R$  and  $ab \in \mathfrak{p}$ . We must prove that one of these is in  $\mathfrak{p}$ . Write

$$a = a_{i_1} + \cdots + a_{i_m} \quad \text{and} \quad b = b_{j_1} + \cdots + b_{j_n}$$

as a decomposition into homogeneous components where  $a_{i_m}$  and  $b_{j_n}$  are nonzero and of the highest degree.

We will prove that one of  $a, b \in \mathfrak{p}$  by induction on  $m + n$ . When  $m + n = 2$ , then it is just the condition of the lemma. Suppose it is true for smaller values of  $m + n$ . Then  $ab$  has highest homogeneous component  $a_{i_m} b_{j_n}$ , which must be in  $\mathfrak{p}$  by homogeneity. Thus one of  $a_{i_m}, b_{j_n}$  belongs to  $\mathfrak{p}$ , say for definiteness it is  $a_{i_m}$ . Then we have

$$(a - a_{i_m})b \equiv ab \equiv 0 \pmod{\mathfrak{p}}$$

so that  $(a - a_{i_m})b \in \mathfrak{p}$ . But the resolutions of  $a - a_{i_m}$  and  $b$  have a smaller  $m + n$  value:  $a - a_{i_m}$  can be expressed with  $m - 1$  terms. By the inductive hypothesis, it follows that one of these is in  $\mathfrak{p}$ , and since  $a_{i_m} \in \mathfrak{p}$ , we find that one of  $a, b \in \mathfrak{p}$ .  $\square$

## 2.3 Homomorphisms of Graded $R$ -Modules

**Definition 2.4.** Let  $M$  and  $N$  be graded  $R$ -modules. A homomorphism  $\varphi: M \rightarrow N$  is called **homogeneous** (or **graded**) of degree  $j$  if  $\varphi(M_i) \subset N_{i+j}$  for all  $i \in \mathbb{Z}$ . If  $\varphi$  is homogeneous of degree zero then we will simply say  $\varphi$  is **homogeneous**.

**Example 2.4.** Consider the graded ring  $R = k[X, Y, Z, W]$ . Then the matrix

$$U := \begin{pmatrix} X + Y + Z & W^2 - X^2 & X^3 \\ 1 & X & XY + Z^2 \end{pmatrix}$$

defines a homomorphism  $U: R(-1) \oplus R(-2) \oplus R(-3) \rightarrow R \oplus R(-1)$  which is graded of degree zero.

**Example 2.5.** Let  $R$  be a graded ring and let

$$A = \begin{pmatrix} a_{11} & \cdots & a_{1m} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nm} \end{pmatrix}$$

be an  $n \times m$  matrix with entries  $a_{ij} \in R_{\pi(i,j)}$  where  $\pi(i, j) \in \mathbb{N}$  for all  $1 \leq i \leq m$  and  $1 \leq j \leq n$ . Can we realize  $A: R^m \rightarrow R^n$  as the matrix representation of a graded homomorphism between free  $R$ -modules? This answer is no. Indeed, consider the free  $R$ -modules  $F$  and  $F'$  generated by  $e_1, e_2$  and  $e'_1, e'_2$  respectively. Let  $\varphi: F \rightarrow F'$  be the unique  $R$ -linear map such that

$$\begin{aligned} \varphi(e_1) &= a_{11}e'_1 + a_{21}e'_2 \\ \varphi(e_2) &= a_{12}e'_1 + a_{22}e'_2 \end{aligned}$$

where  $a_{11} \in R_1, a_{12} \in R_2, a_{21} \in R_3$ , and  $a_{22} \in R_5$ . Then  $\varphi$  has matrix representation with respect to these bases as

$$[\varphi] = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix},$$



but this is not graded. Indeed, the system of equations

$$\begin{aligned}\varphi(e_1) &= a_{11}e'_1 + a_{21}e'_2 \\ \varphi(e_2) &= a_{12}e'_1 + a_{22}e'_2\end{aligned}$$

gives us the system of equations

$$\begin{aligned}\deg(e_1) &= 1 + \deg(e'_1) \\ \deg(e_1) &= 2 + \deg(e'_2) \\ \deg(e_2) &= 3 + \deg(e'_1) \\ \deg(e_2) &= 5 + \deg(e'_2),\end{aligned}$$

but not such solution exists.

**Definition 2.5.** Let  $R$  and  $S$  be graded rings. A ring homomorphism  $\varphi: R \rightarrow S$  is said to be **graded** if it respects the grading. Thus if  $a \in R_i$ , then  $\varphi(a) \in S_i$ .

**Example 2.6.** Let  $\varphi: K[x, y, z]_{(1,2,3)} \rightarrow K[x, y, z]$  be the unique ring homomorphism map such that  $\varphi(x) = x$ ,  $\varphi(y) = y^2$ , and  $\varphi(z) = z^3$ . Then  $\varphi$  is a graded ring isomorphism onto its image  $K[x, y^2, z^3]$ . Indeed, the inverse  $\psi: K[x, y^2, z^3] \rightarrow K[x, y, z]_{(1,2,3)}$  is the unique ring homomorphism such that  $\psi(x) = x$ ,  $\psi(y^2) = y$ , and  $\psi(z^3) = z$ .

## 2.4 Category of all Graded $R$ -Modules

### 2.4.1 Products in the Category of Graded $R$ -Modules

Let  $\Lambda$  be a set and let  $M_\lambda$  be a graded  $R$ -module for all  $\lambda \in \Lambda$ . For each  $\lambda \in \Lambda$  denote the homogeneous component of  $M_\lambda$  in degree  $i$  by  $M_{\lambda,i}$ . If  $\Lambda$  is finite, then

$$\begin{aligned}\prod_{\lambda \in \Lambda} M_\lambda &= \prod_{\lambda \in \Lambda} \bigoplus_{i \in \mathbb{Z}} M_{\lambda,i} \\ &\cong \bigoplus_{i \in \mathbb{Z}} \prod_{\lambda \in \Lambda} M_{\lambda,i}.\end{aligned}$$

Therefore, if  $\Lambda$  is finite, we may view  $\prod_{\lambda} M_\lambda$  as a graded  $R$ -module whose homogeneous component in degree  $i$  is  $\prod_{\lambda} M_{\lambda,i}$ . On the other hand, if  $\Lambda$  is infinite, then we only have an injective map

$$\bigoplus_{i \in \mathbb{Z}} \prod_{\lambda \in \Lambda} M_{\lambda,i} \rightarrow \prod_{\lambda \in \Lambda} \bigoplus_{i \in \mathbb{Z}} M_{\lambda,i}.$$

In particular,  $\prod_{\lambda} M_\lambda$  is not the correct product in  $\mathbf{Grad}_R$ . The correct product is **graded product**, given by the graded  $R$ -module

$$\prod_{\lambda \in \Lambda}^* M_\lambda := \bigoplus_{i \in \mathbb{Z}} \prod_{\lambda \in \Lambda} M_{\lambda,i}$$

together with its projection maps  $\pi_\lambda: \prod_{\lambda}^* M_\lambda \rightarrow M_\lambda$  for all  $\lambda \in \Lambda$ . A homogeneous element of degree  $i$  in  $\prod_{\lambda}^* M_\lambda$  is a sequence of the form  $(u_{\lambda,i})_\lambda$  where  $u_{\lambda,i} \in M_{\lambda,i}$  for all  $\lambda \in \Lambda$ . Thus any element in  $\prod_{\lambda}^* M_\lambda$  can be expressed as a finite sum of the form

$$(u_{\lambda,i_1} + u_{\lambda,i_2} + \cdots + u_{\lambda,i_n})$$

where we often assume without loss of generality that  $i_1 < i_2 < \cdots < i_n$ .

Let us check that this is in fact the correct product in  $\mathbf{Grad}_R$ . To show that the pair  $(\prod_{\lambda}^* M_\lambda, \pi_\lambda)$  is the correct product we have to show it satisfies the universal property: for any other such pair  $(M, \psi_\lambda)$ , where  $M$  is a graded  $R$ -module and  $\psi_\lambda: M \rightarrow M_\lambda$  are graded  $R$ -linear maps, there is a unique graded  $R$ -linear map  $\psi: M \rightarrow \prod_{\lambda}^* M_\lambda$  such that  $\pi_\lambda \psi = \psi_\lambda$  for all  $\lambda \in \Lambda$ . So let  $(M, \psi_\lambda)$  be such a pair. We define  $\psi: M \rightarrow \prod_{\lambda}^* M_\lambda$  by

$$\psi(u) = (\psi_\lambda(u))$$

for  $u \in M_i$ . Clearly  $\psi$  is a graded  $R$ -linear map since  $\psi_\lambda$  is a graded  $R$ -linear map for each  $\lambda \in \Lambda$ . Moreover, for all  $u \in M_i$ , we have

$$\begin{aligned}(\pi_\lambda \psi)(u) &= \pi_\lambda(\psi(u)) \\ &= \pi_\lambda((\psi_\lambda(u))) \\ &= \psi_\lambda(u).\end{aligned}$$



This implies  $\pi_\lambda \psi = \psi_\lambda$ . This establishes existence of  $\psi$ . For uniqueness, suppose  $\tilde{\psi}: M \rightarrow \prod_\lambda^* M_\lambda$  is another such map. Then for all  $u \in M_i$ , we have

$$\begin{aligned} \tilde{\psi}(u) = \psi(u) &\iff \pi_\lambda(\tilde{\psi}(u)) = \pi_\lambda(\psi(u)) \text{ for all } \lambda \in \Lambda \\ &\iff (\pi_\lambda \tilde{\psi})(u) = (\pi_\lambda \psi)(u) \text{ for all } \lambda \in \Lambda \\ &\iff \psi_\lambda(u) = \psi_\lambda(u) \text{ for all } \lambda \in \Lambda. \end{aligned}$$

It follows that  $\tilde{\psi} = \psi$ .

#### 2.4.2 Inverse Systems and Inverse Limits in the Category Graded $R$ -Modules

**Definition 2.6.** Let  $(\Lambda, \leq)$  be a preordered set (i.e.  $\leq$  is reflexive and transitive). An **inverse system**  $(M_\lambda, \varphi_{\lambda\mu})$  of graded  $R$ -modules and graded  $R$ -linear maps over  $\Lambda$  consists of a family of graded  $R$ -modules  $\{M_\lambda\}$  indexed by  $\Lambda$  and a family of graded  $R$ -linear maps  $\{\varphi_{\lambda\mu}: M_\mu \rightarrow M_\lambda\}_{\lambda \leq \mu}$  such that for all  $\lambda \leq \mu \leq \kappa$ ,

$$\varphi_{\lambda\lambda} = 1_{M_\lambda} \quad \text{and} \quad \varphi_{\lambda\kappa} = \varphi_{\lambda\mu} \varphi_{\mu\kappa}.$$

We say the pair  $(M, \psi_\lambda)$  is **compatible** with the inverse system  $(M_\lambda, \varphi_{\lambda\mu})$  if

$$\varphi_{\lambda\mu} \psi_\mu = \psi_\lambda$$

for all  $\lambda \leq \mu$ .

Suppose  $(M_\lambda, \varphi_{\lambda\mu})$  and  $(M'_\lambda, \varphi'_{\lambda\mu})$  are two direct systems over a partially ordered set  $(\Lambda, \leq)$ . A **morphism**  $\psi: (M_\lambda, \varphi_{\lambda\mu}) \rightarrow (M'_\lambda, \varphi'_{\lambda\mu})$  of inverse systems consists of a collection of graded  $R$ -linear maps  $\psi_\lambda: M_\lambda \rightarrow M'_\lambda$  indexed by  $\Lambda$  such that for all  $\lambda \leq \mu$  we have

$$\varphi'_{\lambda\mu} \psi_\mu = \psi_\lambda \varphi_{\lambda\mu}.$$

**Proposition 2.3.** Let  $(M_\lambda, \varphi_{\lambda\mu})$  be an inverse system of graded  $R$ -modules and graded  $R$ -linear maps over a preordered set  $(\Lambda, \leq)$ . The inverse limit of this system, denoted  $\varprojlim^* M_\lambda$ , is (up to unique isomorphism) given by the graded  $R$ -module

$$\varprojlim^* M_\lambda = \left\{ (u_\lambda) \in \prod_{\lambda \in \Lambda}^* M_\lambda \mid \varphi_{\lambda\mu}(u_\mu) = u_\lambda \text{ for all } \lambda \leq \mu \right\}$$

together with the projection maps

$$\pi_\lambda: \varprojlim^* M_\lambda \rightarrow M_\lambda$$

for all  $\lambda \in \Lambda$ . In particular, the homogeneous component of degree  $i$  in  $\varprojlim^* M_\lambda$  is given by

$$(\varprojlim^* M_\lambda)_i = \varprojlim^* M_{\lambda,i}.$$

*Remark.* We put a  $\star$  above  $\varprojlim$  to remind ourselves that this is the inverse limit in the category of all graded  $R$ -modules. In the category of all  $R$ -modules, the inverse limit is denoted by  $\varprojlim M_\lambda$ . If  $\Lambda$  is finite, then  $\varprojlim M_\lambda$  already has a natural interpretation of a graded  $R$ -module.

*Proof.* We need to show that  $\varprojlim^* M_\lambda$  satisfies the universal mapping property. Let  $(M, \psi_\lambda)$  be compatible with respect to the inverse system  $(M_\lambda, \varphi_{\lambda\mu})$ , so  $\varphi_{\lambda\mu} \psi_\mu = \psi_\lambda$  for all  $\lambda \leq \mu$ . By the universal mapping property of the graded product, there exists a unique graded  $R$ -linear map  $\psi: M \rightarrow \prod_\lambda^* M_\lambda$  such that  $\pi_\lambda \psi = \psi_\lambda$  for all  $\lambda \in \Lambda$ . In fact, this map lands in  $\varprojlim^* M_\lambda$  since

$$\begin{aligned} \varphi_{\lambda\mu} \pi_\mu \psi(u) &= \varphi_{\lambda\mu} \psi_\mu(u) \\ &= \psi_\lambda(u) \\ &= \pi_\lambda \psi(u) \end{aligned}$$

for all  $u \in M$ . This establishes existence and uniqueness, and thus  $\varprojlim^* M_\lambda$  satisfies the universal mapping property.  $\square$

### 2.4.3 Pullbacks in the Category of Graded $R$ -Modules

Here is an interesting example of a limit in the case where  $\Lambda$  is finite. Let  $\psi: N \rightarrow M$  and  $\varphi: P \rightarrow M$  be graded  $R$ -linear maps. The **pullback of  $\psi: N \rightarrow M$  and  $\varphi: P \rightarrow M$**  is defined to be graded  $R$ -module

$$N \times_M P = \{(u, v) \in N \times P \mid \psi(u) = \varphi(v)\}$$

endowed with the projection maps

$$\pi_1: N \times_M P \rightarrow N \quad \text{and} \quad \pi_2: N \times_M P \rightarrow P.$$

One can check that the pullback satisfies the universal mapping property of the system

$$\begin{array}{ccc} & P & \\ & \downarrow \varphi & \\ N & \xrightarrow{\psi} & M \end{array}$$

Thus there exists a *unique* isomorphism from  $N \times_M P$  to the limit of this system which makes everything commute.

### 2.4.4 Pullbacks Preserves Surjective Maps

**Proposition 2.4.** *Let  $\varphi_{13}: M_3 \rightarrow M_1$  and  $\varphi_{12}: M_2 \rightarrow M_1$  be graded  $R$ -linear maps. Consider their pullback*

$$\begin{array}{ccc} M_3 \times_{M_1} M_2 & \xrightarrow{\pi_2} & M_2 \\ \pi_1 \downarrow & & \downarrow \varphi_{12} \\ M_3 & \xrightarrow{\varphi_{13}} & M_1 \end{array}$$

1. *If both  $\varphi_{12}$  and  $\varphi_{13}$  are injective, then both  $\pi_1$  and  $\pi_2$  are injective.*
2. *If  $\varphi_{12}$  is surjective, then  $\pi_1$  is surjective. Similarly, if  $\varphi_{13}$  is surjective, then  $\pi_2$  is surjective.*

*Proof.* 1. Suppose both  $\varphi_{12}$  and  $\varphi_{13}$  are injective. We want to show that  $\pi_1$  is injective. Let  $(u_3, u_2) \in \ker \pi_1$ . So  $(u_3, u_2) \in M_3 \times_{M_1} M_2$ , which means  $\varphi_{13}(u_3) = \varphi_{12}(u_2)$ , and  $\pi_1(u_3, u_2) = 0$ , which means  $u_3 = 0$ . Thus

$$\begin{aligned} \varphi_{12}(u_2) &= \varphi_{13}(u_3) \\ &= \varphi_{13}(0) \\ &= 0. \end{aligned}$$

Since  $\varphi_{12}$  is injective, this implies  $u_2 = 0$ , which implies  $\varphi_{13}(u_3) = 0$ . Since  $\varphi_{13}$  is injective, this implies  $u_3 = 0$ .

2. Suppose  $\varphi_{12}$  is surjective. We want to show that  $\pi_1$  is surjective. Let  $u_3 \in M_3$ . Using the fact that  $\varphi_{12}$  is surjective, we choose a lift of  $\varphi_{13}(u_3)$  with respect to  $\varphi_{12}$ , say  $u_2 \in M_2$ . So  $\varphi_{12}(u_2) = \varphi_{13}(u_3)$ , but this means  $(u_3, u_2) \in M_3 \times_{M_1} M_2$ , which implies  $\pi_1$  is surjective since  $\pi_1(u_3, u_2) = u_3$ . The proof that  $\varphi_{13}$  surjective implies  $\pi_2$  surjective follows in a similar manner. □

### 2.4.5 Coproducts in the Category of Graded $R$ -Modules

Let  $\Lambda$  be a set and let  $M_\lambda$  be a graded  $R$ -module for all  $\lambda \in \Lambda$ . For each  $\lambda \in \Lambda$  denote the homogeneous component of  $M_\lambda$  in degree  $i$  by  $M_{\lambda,i}$ . Then observe that

$$\begin{aligned} \bigoplus_{\lambda \in \Lambda} M_\lambda &= \bigoplus_{\lambda \in \Lambda} \bigoplus_{i \in \mathbb{Z}} M_{\lambda,i} \\ &\cong \bigoplus_{i \in \mathbb{Z}} \bigoplus_{\lambda \in \Lambda} M_{\lambda,i}. \end{aligned}$$

Therefore  $\bigoplus_{\lambda} M_\lambda$  has a natural interpretation as a graded  $R$ -module with the homogeneous component in degree  $i$  being given by  $\bigoplus_{\lambda} M_{\lambda,i}$ . One can check that  $\bigoplus_{\lambda} M_\lambda$  together with the inclusion maps  $\iota_\lambda: M_\lambda \rightarrow \bigoplus_{\lambda} M_\lambda$  is the correct coproduct in  $\mathbf{Grad}_R$ .

### 2.4.6 Direct Systems and Direct Limits in the Category of Graded $R$ -Modules

**Definition 2.7.** Let  $(\Lambda, \leq)$  be a preordered set (i.e.  $\leq$  is reflexive and transitive). A **direct system**  $(M_\lambda, \varphi_{\lambda\mu})$  of graded  $R$ -modules and graded  $R$ -linear maps over  $\Lambda$  consists of a family of graded  $R$ -modules  $\{M_\lambda\}$  indexed by  $\Lambda$  and a family of graded  $R$ -linear maps  $\{\varphi_{\lambda\mu}: M_\lambda \rightarrow M_\mu\}_{\lambda \leq \mu}$  such that for all  $\lambda \leq \mu \leq \kappa$ ,

$$\varphi_{\lambda\lambda} = 1_{M_\lambda} \quad \text{and} \quad \varphi_{\lambda\kappa} = \varphi_{\mu\kappa} \varphi_{\lambda\mu}.$$

If  $(\Lambda, \leq)$  is also directed set, then we say  $(M_\lambda, \varphi_{\lambda\mu})$  is a **directed system**. We say the pair  $(M, \psi_\lambda)$  is **compatible** with the inverse system  $(M_\lambda, \varphi_{\lambda\mu})$  if

$$\psi_\mu \varphi_{\lambda\mu} = \psi_\lambda$$

for all  $\lambda \leq \mu$ .

Suppose  $(M_\lambda, \varphi_{\lambda\mu})$  and  $(M'_\lambda, \varphi'_{\lambda\mu})$  are two direct systems over a partially ordered set  $(\Lambda, \leq)$ . A **morphism**  $\psi: (M_\lambda, \varphi_{\lambda\mu}) \rightarrow (M'_\lambda, \varphi'_{\lambda\mu})$  of direct systems consists of a collection of graded  $R$ -linear maps  $\psi_\lambda: M_\lambda \rightarrow M'_\lambda$  indexed by  $\Lambda$  such that for all  $\lambda \leq \mu$  we have

$$\varphi'_{\lambda\mu} \psi_\lambda = \psi_\mu \varphi_{\lambda\mu}.$$

The morphism  $\psi$  induces a graded  $R$ -linear map  $\varinjlim \psi_\lambda: \varinjlim M_\lambda \rightarrow \varinjlim M'_\lambda$  uniquely determined by

$$\varinjlim \psi_\lambda(\overline{u_\lambda}) = \overline{\psi_\lambda(u_\lambda)}$$

for all  $u_\lambda \in M_\lambda$  for all  $\lambda \in \Lambda$ .

**Proposition 2.5.** Let  $(M_\lambda, \varphi_{\lambda\mu})$  be a direct system of graded  $R$ -modules and graded  $R$ -linear maps over a preordered set  $(\Lambda, \leq)$ . The **direct limit** of this system, denoted  $\varinjlim M_\lambda$ , is (up to unique isomorphism) given by the graded  $R$ -module

$$\varinjlim M_\lambda := \bigoplus_{\lambda \in \Lambda} M_\lambda / \langle \{(\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_\lambda) \mid u_\lambda \in M_\lambda \text{ and } \lambda \leq \mu\} \rangle$$

together with the inclusion maps

$$\iota_\lambda: M_\lambda \rightarrow \varinjlim M_\lambda$$

for all  $\lambda \in \Lambda$ . In particular, the homogeneous component of degree  $i$  in  $\varinjlim M_\lambda$  is given by

$$(\varinjlim M_\lambda)_i = \varinjlim M_{\lambda,i}.$$

*Proof.* First observe that the submodule

$$\langle \{(\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_\lambda) \mid u_\lambda \in M_\lambda \text{ and } \lambda \leq \mu\} \rangle$$

of  $\bigoplus_\lambda M_\lambda$  is generated by homogeneous elements. Indeed, for any  $(\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_\lambda)$ , we express  $u_\lambda$  into its homogeneous parts, say

$$u_\lambda = u_{\lambda,i_1} + \cdots + u_{\lambda,i_n},$$

then since  $\iota_\lambda - \iota_\mu \varphi_{\lambda\mu}$  is a graded  $R$ -linear map, we have

$$\begin{aligned} (\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_\lambda) &= (\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_{\lambda,i_1} + \cdots + u_{\lambda,i_n}) \\ &= (\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_{\lambda,i_1}) + (\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_{\lambda,i_n}), \end{aligned}$$

where each  $(\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_{\lambda,i_m})$  is homogeneous. Thus any such  $(\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_\lambda)$  can be expressed as a sum of finitely many homogeneous terms. It follows that  $\varinjlim M_\lambda$  has a natural graded  $R$ -module structure.

We need to show that  $\varinjlim M_\lambda$  satisfies the universal mapping property. Let  $(M, \psi_\lambda)$  be compatible with respect to the direct system  $(M_\lambda, \varphi_{\lambda\mu})$ , so  $\varphi_{\lambda\mu} \psi_\lambda = \psi_\mu$  for all  $\lambda \leq \mu$ . By the universal mapping property of the coproduct, there exists a unique graded  $R$ -linear map  $\psi: \bigoplus_\lambda M_\lambda \rightarrow M$  such that  $\psi \iota_\lambda = \psi_\lambda$  for all  $\lambda \in \Lambda$ . In fact, this map induces a well-defined graded  $R$ -linear map  $\bar{\psi}: \varinjlim M_\lambda \rightarrow M$  since

$$\begin{aligned} \psi(\iota_\lambda - \iota_\mu \varphi_{\lambda\mu})(u_\lambda) &= \psi \iota_\lambda(u_\lambda) - \psi \iota_\mu \varphi_{\lambda\mu}(u_\lambda) \\ &= \psi_\lambda(u_\lambda) - \psi_\mu \varphi_{\lambda\mu}(u_\lambda) \\ &= \psi_\lambda(u_\lambda) - \psi_\lambda(u_\lambda) \\ &= 0 \end{aligned}$$

for all  $u_\lambda \in M_\lambda$  and  $\lambda \in \Lambda$ . □

**Proposition 2.6.** Let  $(M_\lambda, \varphi_{\lambda\mu})$  be a directed system of graded  $R$ -modules and graded  $R$ -linear maps.

1. Each element of  $\varinjlim M_\lambda$  has the form  $\overline{u_\lambda}$  for some  $u_\lambda \in M_\lambda$ .
2.  $\overline{u_\lambda} = 0$  if and only if  $\varphi_{\lambda\mu}(u_\lambda) = 0$  for some  $\lambda \leq \mu$ .

*Proof.* 1. An element in  $\varinjlim M_\lambda$  has the form  $\overline{u_{\lambda_1} + \cdots + u_{\lambda_n}}$ , where  $\lambda_1, \dots, \lambda_n \in \Lambda$  and  $u_{\lambda_i} \in M_{\lambda_i}$  for all  $1 \leq i \leq n$ . Since  $\Lambda$  is directed, there exists a  $\lambda \in \Lambda$  such that  $\lambda_i \leq \lambda$  for all  $1 \leq i \leq n$ . Then we have

$$\begin{aligned} \overline{u_{\lambda_1} + \cdots + u_{\lambda_n}} &= \overline{u_{\lambda_1}} + \cdots + \overline{u_{\lambda_n}} \\ &= \overline{\varphi_{\lambda_1, \lambda}(u_{\lambda_1})} + \cdots + \overline{\varphi_{\lambda_n, \lambda}(u_{\lambda_n})} \\ &= \overline{\varphi_{\lambda_1, \lambda}(u_{\lambda_1}) + \cdots + \varphi_{\lambda_n, \lambda}(u_{\lambda_n})} \\ &= \overline{u_\lambda}, \end{aligned}$$

where  $u_\lambda = \varphi_{\lambda_1, \lambda}(u_{\lambda_1}) + \cdots + \varphi_{\lambda_n, \lambda}(u_{\lambda_n})$ . Each  $\varphi_{\lambda_i, \lambda}(u_{\lambda_i})$  lands in  $M_\lambda$ , so  $u_\lambda \in M_\lambda$ .

2. If  $\varphi_{\lambda\mu}(u_\lambda) = 0$  for some  $\lambda \leq \mu$ , then  $\overline{u_\lambda} = \overline{\varphi_{\lambda\mu}(u_\lambda)} = 0$ . Conversely, suppose  $\overline{u_\lambda} = 0$ . Then we have

$$u_\lambda = u_{\lambda_1} - \varphi_{\lambda_1\mu_1}(u_{\lambda_1}) + \cdots + u_{\lambda_n} - \varphi_{\lambda_n\mu_n}(u_{\lambda_n})$$

for some  $\lambda_1, \dots, \lambda_n, \mu_1, \dots, \mu_n \in \Lambda$  and  $u_{\lambda_i} \in M_{\lambda_i}$  for all  $1 \leq i \leq n$ . Choose  $\mu \in \Lambda$  such that  $\lambda, \lambda_i, \mu_i \leq \mu$  for all  $1 \leq i \leq n$ . Then

$$\begin{aligned} \varphi_{\lambda\mu}(u_\lambda) &= \varphi_{\lambda\mu}(u_\lambda) - u_\lambda + u_\lambda \\ &= (\varphi_{\lambda\mu}(u_\lambda) - u_\lambda) + (u_{\lambda_1} - \varphi_{\lambda_1\mu_1}u_{\lambda_1}) + \cdots + (u_{\lambda_n} - \varphi_{\lambda_n\mu_n}u_{\lambda_n}) \\ &= (\varphi_{\lambda\mu}(u_\lambda) - u_\lambda) + (u_{\lambda_1} - \varphi_{\lambda_1\mu_1}u_{\lambda_1} + \varphi_{\lambda_1\mu}u_{\lambda_1} - \varphi_{\lambda_1\mu}u_{\lambda_1}) + \cdots + (u_{\lambda_n} - \varphi_{\lambda_n\mu_n}u_{\lambda_n} + \varphi_{\lambda_n\mu}u_{\lambda_n} - \varphi_{\lambda_n\mu}u_{\lambda_n}) \\ &= (\varphi_{\lambda\mu}(u_\lambda) - u_\lambda) + (u_{\lambda_1} - \varphi_{\lambda_1\mu_1}u_{\lambda_1} + \varphi_{\lambda_1\mu_1}\varphi_{\mu_1\mu}u_{\lambda_1} - \varphi_{\lambda_1\mu}u_{\lambda_1}) + \cdots + (u_{\lambda_n} - \varphi_{\lambda_n\mu_n}u_{\lambda_n} + \varphi_{\lambda_n\mu_1}\varphi_{\mu_1\mu}u_{\lambda_n} - \varphi_{\lambda_n\mu}u_{\lambda_n}) \\ &= (\varphi_{\lambda\mu}(u_\lambda) - u_\lambda) + (u_{\lambda_1} - \varphi_{\lambda_1\mu}u_{\lambda_1}) + \varphi_{\lambda_1\mu_1}(\varphi_{\mu_1\mu}u_{\lambda_1} - u_{\lambda_1}) + \cdots + (u_{\lambda_n} - \varphi_{\lambda_n\mu}u_{\lambda_n}) + \varphi_{\lambda_n\mu_n}(\varphi_{\mu_n\mu}u_{\lambda_n} - u_{\lambda_n}) \\ &= \varphi_{\lambda\mu}(u_\lambda) - u_{\lambda_1} + \varphi_{\lambda_1\mu_1}u_{\lambda_1} + \cdots - u_{\lambda_n} + \varphi_{\lambda_n\mu_n}u_{\lambda_n} + u_{\lambda_1} - \varphi_{\lambda_1\mu}u_{\lambda_1} + \varphi_{\lambda_1\mu_1}(\varphi_{\mu_1\mu}u_{\lambda_1} - u_{\lambda_1}) + \cdots + u_{\lambda_n} - \varphi_{\lambda_n\mu}u_{\lambda_n} + \varphi_{\lambda_n\mu_n}(\varphi_{\mu_n\mu}u_{\lambda_n} - u_{\lambda_n}) \\ &= \varphi_{\lambda\mu}(u_\lambda) + \cdots - \varphi_{\lambda_1\mu}u_{\lambda_1} + \varphi_{\lambda_1\mu_1}\varphi_{\mu_1\mu}u_{\lambda_1} + \cdots - \varphi_{\lambda_n\mu}u_{\lambda_n} + \varphi_{\lambda_n\mu_n}\varphi_{\mu_n\mu}u_{\lambda_n} \end{aligned}$$

□

## 2.4.7 Taking Directed Limits is an Exact Functor

**Proposition 2.7.** Let

$$0 \longrightarrow (M_\lambda, \varphi_\lambda) \xrightarrow{\psi} (M'_\lambda, \varphi'_\lambda) \xrightarrow{\psi'} (M''_\lambda, \varphi''_\lambda) \longrightarrow 0$$

be a short exact sequence of directed systems of graded  $R$ -modules and graded  $R$ -linear maps. Then

$$0 \longrightarrow \varinjlim M_\lambda \xrightarrow{\varinjlim \psi_\lambda} \varinjlim M'_\lambda \xrightarrow{\varinjlim \psi'_\lambda} \varinjlim M''_\lambda \longrightarrow 0$$

is a short exact sequence of graded  $R$ -modules and graded  $R$ -linear maps.

*Proof.* We first show  $\varinjlim \psi_\lambda$  is injective. Let  $\overline{u_\lambda} \in \varinjlim M_\lambda$  and suppose  $\overline{\psi_\lambda u_\lambda} = 0$ . Then there exists  $\mu \geq \lambda$  such that  $\varphi'_{\lambda\mu}\psi_\lambda u_\lambda = 0$ . In other words,

$$\begin{aligned} 0 &= \varphi'_{\lambda\mu}\psi_\lambda u_\lambda \\ &= \psi_\mu \varphi_{\lambda\mu} u_\lambda. \end{aligned}$$

This implies  $\varphi_{\lambda\mu} u_\lambda = 0$  since  $\psi_\mu$  is injective. Thus

$$\begin{aligned} \overline{u_\lambda} &= \overline{\varphi_{\lambda\mu} u_\lambda} \\ &= 0. \end{aligned}$$

So  $\varinjlim \psi_\lambda$  is injective. Next we show exactness at  $\varinjlim M'_\lambda$ . Let  $\overline{u'_\lambda} \in \varinjlim M'_\lambda$  and suppose  $\overline{\psi'_\lambda u'_\lambda} = 0$ . Then there exists  $\mu \geq \lambda$  such that  $\varphi''_{\lambda\mu} \psi'_\lambda u'_\lambda = 0$ . In other words,

$$\begin{aligned} 0 &= \varphi''_{\lambda\mu} \psi'_\lambda u'_\lambda \\ &= \psi'_\mu \varphi'_{\lambda\mu} u'_\lambda. \end{aligned}$$

This implies  $\varphi'_{\lambda\mu} u'_\lambda = \psi_\mu u_\mu$  for some  $u_\mu \in M_\mu$ , by exactness at  $(M'_\lambda, \varphi'_\lambda)$ . Thus

$$\begin{aligned} \overline{u'_\lambda} &= \overline{\varphi'_{\lambda\mu} u'_\lambda} \\ &= \overline{\psi_\mu u_\mu}. \end{aligned}$$

This implies exactness at  $\varinjlim M'_\lambda$ . Exactness at  $\varinjlim M''_\lambda$  is easy and is left as an exercise.  $\square$

#### 2.4.8 Contravariant Hom Converts Direct Limits to Inverse Limits

**Proposition 2.8.** *Let  $(M_\lambda, \varphi_{\lambda\mu})$  be a direct system of graded  $R$ -linear module. Then there exists an isomorphism*

#### 2.4.9 Tensor Products

Let  $M$  and  $N$  be graded  $R$ -modules. As  $R$ -modules, their tensor product is given by

$$\begin{aligned} M \otimes_R N &= \left( \bigoplus_{i \in \mathbb{Z}} M_i \right) \otimes \left( \bigoplus_{j \in \mathbb{Z}} N_j \right) \\ &\cong \bigoplus_{i \in \mathbb{Z}} \bigoplus_{j \in \mathbb{Z}} (M_i \otimes N_j) \\ &= \bigoplus_{i \in \mathbb{Z}} \left( \bigoplus_{j \in \mathbb{Z}} M_j \otimes N_{i-j} \right). \end{aligned}$$

In particular,  $M \otimes_R N$  has a natural interpretation as a graded  $R$ -module with the homogeneous component in degree  $i$  given by

$$(M \otimes_R N)_i = \bigoplus_{j \in \mathbb{Z}} M_j \otimes N_{i-j}.$$

Indeed, if  $x \in M_i$ ,  $y \in N_j$ , and  $a \in R_k$ , then

$$a(x \otimes y) = ax \otimes y = x \otimes ay \in (M \otimes_R N)_{i+j+k}.$$

So the grading is preserved upon  $R$ -scaling.

#### 2.4.10 Graded Hom

Unlike the case of tensor products, hom does not have a natural interpretation as a graded  $R$ -module. Instead we consider the graded version of hom: let  $M$  and  $N$  be graded  $R$ -modules. Their **graded hom**, denoted  $\text{Hom}_R^*(M, N)$ , is the graded  $R$ -module whose homogeneous component in degree  $i$  is

$$\text{Hom}_R^*(M, N)_i = \{\text{graded homomorphisms } \alpha: M \rightarrow N \text{ of degree } i\}.$$

Observe that we have a natural inclusion of  $R$ -modules

$$\text{Hom}_R^*(M, N) \subseteq \text{Hom}_R(M, N).$$

In particular, many properties which  $\text{Hom}_R(M, N)$  satisfies are inherited by  $\text{Hom}_R^*(M, N)$ .

#### 2.4.11 Graded Hom Properties

**Proposition 2.9.** *Let  $M$  be a graded  $R$ -module, let  $\Lambda$  be a set, and let  $N_\lambda$  be a graded  $R$ -module for each  $\lambda \in \Lambda$ . Then we have natural isomorphisms*

$$\text{Hom}_R^* \left( M, \prod_{\lambda \in \Lambda}^* N_\lambda \right) \cong \prod_{\lambda \in \Lambda}^* \text{Hom}_R^*(M, N_\lambda) \quad \text{and} \quad \text{Hom}_R^* \left( \bigoplus_{\lambda \in \Lambda} M_\lambda, - \right) \cong \prod_{\lambda \in \Lambda}^* \text{Hom}_R^*(M_\lambda, -)$$

*Proof.* Let  $i \in \mathbb{Z}$ . Define a map  $\Psi: \text{Hom}_R^*(M, \prod_{\lambda \in \Lambda} N^\lambda)_i \rightarrow \prod_{\lambda \in \Lambda} \text{Hom}_R^*(M, N^\lambda)_i$  by

$$\Psi(\varphi) = (\pi_\lambda \varphi)_{\lambda \in \Lambda}$$

for all  $\varphi \in \text{Hom}_R^*(M, \prod_{\lambda \in \Lambda} N^\lambda)_i$ , where  $\pi_\lambda: \prod_{\lambda \in \Lambda} N^\lambda \rightarrow N^\lambda$  is the projection to the  $\lambda$ th coordinate. We claim that  $\Psi$  is a graded isomorphism.

We first check that it is  $R$ -linear. Let  $a, b \in R$  and  $\varphi, \psi \in \text{Hom}_R(M, \prod_{i \in I} N_i)$ . Then

$$\begin{aligned} \Psi(a\varphi + b\psi) &= (\pi_i \circ (a\varphi + b\psi)) \\ &= (a(\pi_i \circ \varphi) + b(\pi_i \circ \psi)) \\ &= a(\pi_i \circ \varphi) + b(\pi_i \circ \psi) \\ &= a\Psi(\varphi) + b\Psi(\psi). \end{aligned}$$

Thus  $\Psi$  is  $R$ -linear. To show that  $\Psi$  is an isomorphism, we construct its inverse. Let  $(\varphi_i) \in \prod_{i \in I} \text{Hom}_R(M, N_i)$ . Define  $\Phi((\varphi_i)): M \rightarrow \prod_{i \in I} N_i$  by

$$\Phi((\varphi_i))(x) := (\varphi_i(x))$$

for all  $x \in M$ . Then clearly  $\Phi$  and  $\Psi$  are inverse to each other. Indeed, let  $\varphi \in \text{Hom}_R(M, \prod_{i \in I} N_i)$ . Then

$$\begin{aligned} \Phi(\Psi(\varphi))(x) &= \Phi((\pi_i \circ \varphi))(x) \\ &= ((\pi_i \circ \varphi)(x)) \\ &= \varphi(x) \end{aligned}$$

for all  $x \in M$ . Thus  $\Phi(\Psi(\varphi)) = \varphi$ . Conversely, let  $(\varphi_i) \in \prod_{i \in I} \text{Hom}_R(M, N_i)$ . Then

$$\begin{aligned} \Psi(\Phi(\varphi_i)) &= (\pi_i \circ \Phi(\varphi_i)) \\ &= (\pi_i \circ \varphi) \\ &= \varphi(x) \end{aligned}$$

Finally, note that  $\Psi$  is graded since  $\pi_\lambda$  is graded of degree 0 for all  $\lambda \in \Lambda$ . □

In fact we can generalize the above proposition as follows:

**Proposition 2.10.** Let  $(\Lambda, \leq)$  be a preordered set, let  $(M_\lambda, \phi_{\lambda\mu})$  be a direct system of graded  $R$ -modules and graded  $R$ -linear maps over  $\Lambda$  and let  $(N_\lambda, \phi_{\lambda\mu})$  be an inverse system of graded  $R$ -modules and graded  $R$ -linear maps over  $\Lambda$ . Then we have natural isomorphisms

$$\text{Hom}_R^*(M, \varprojlim^* N_\lambda) \cong \varprojlim^* \text{Hom}_R^*(M, N_\lambda) \quad \text{and} \quad \text{Hom}_R^*(\varprojlim^* M_\lambda, N) \cong \varinjlim \text{Hom}_R^*(M_\lambda, N)$$

*Proof.* Let  $i \in \mathbb{Z}$ . Define a map  $\Psi: \text{Hom}_R^*(M, \varprojlim^* N_\lambda)_i \rightarrow \varprojlim^* \text{Hom}_R^*(M, N_\lambda)_i$  by

$$\Psi(\varphi) = (\pi_\lambda \varphi)$$

for all  $\varphi \in \text{Hom}_R^*(M, \varprojlim^* N_\lambda)_i$ , where  $\pi_\lambda$  is the projection to the  $\lambda$ th coordinate. Observe that  $\Psi$  lands in  $\varprojlim^* \text{Hom}_R^*(M, N_\lambda)_i$  since  $\pi_\mu \varphi = \phi_{\lambda\mu} \pi_\lambda \varphi$  for all  $\lambda \leq \mu$ . We claim that  $\Psi$  is a graded isomorphism.

We first check that it is  $R$ -linear. Let  $a, b \in R$  and  $\varphi, \psi \in \text{Hom}_R(M, \prod_{i \in I} N_i)$ . Then

$$\begin{aligned} \Psi(a\varphi + b\psi) &= (\pi_i \circ (a\varphi + b\psi)) \\ &= (a(\pi_i \circ \varphi) + b(\pi_i \circ \psi)) \\ &= a(\pi_i \circ \varphi) + b(\pi_i \circ \psi) \\ &= a\Psi(\varphi) + b\Psi(\psi). \end{aligned}$$

Thus  $\Psi$  is  $R$ -linear. To show that  $\Psi$  is an isomorphism, we construct its inverse. Let  $(\varphi_i) \in \prod_{i \in I} \text{Hom}_R(M, N_i)$ . Define  $\Phi((\varphi_i)): M \rightarrow \prod_{i \in I} N_i$  by

$$\Phi((\varphi_i))(x) := (\varphi_i(x))$$

for all  $x \in M$ . Then clearly  $\Phi$  and  $\Psi$  are inverse to each other. Indeed, let  $\varphi \in \text{Hom}_R(M, \prod_{i \in I} N_i)$ . Then

$$\begin{aligned} \Phi(\Psi(\varphi))(x) &= \Phi((\pi_i \circ \varphi))(x) \\ &= ((\pi_i \circ \varphi)(x)) \\ &= \varphi(x) \end{aligned}$$

for all  $x \in M$ . Thus  $\Phi(\Psi(\varphi)) = \varphi$ . Conversely, let  $(\varphi_i) \in \prod_{i \in I} \text{Hom}_R(M, N_i)$ . Then

$$\begin{aligned}\Psi(\Phi(\varphi_i)) &= (\pi_i \circ \Phi(\varphi_i)) \\ &= (\pi_i \circ \varphi) \\ &= \varphi(x)\end{aligned}$$

Finally, note that  $\Psi$  is graded since  $\pi_\lambda$  is graded of degree 0 for all  $\lambda \in \Lambda$ .  $\square$

#### 2.4.12 Left Exactness of $\text{Hom}_R^*(M, -)$ and $\text{Hom}_R^*(-, N)$

Let  $M$  and  $N$  be graded  $R$ -modules. Recall that both  $\text{Hom}_R(M, -)$  and  $\text{Hom}_R(-, N)$  are left exact functors from the category of  $R$ -modules to itself. The graded version of these functors are

$$\text{Hom}_R^*(M, -): \text{Grad}_R \rightarrow \text{Grad}_R \quad \text{and} \quad \text{Hom}_R^*(-, N): \text{Grad}_R \rightarrow \text{Grad}_R.$$

We want to check that they are also left exact functors. Let's focus on  $\text{Hom}_R^*(-, N)$  first:

**Proposition 2.11.** *The sequence of graded  $R$ -modules and graded homomorphisms*

$$M_1 \xrightarrow{\varphi_1} M_2 \xrightarrow{\varphi_2} M_3 \longrightarrow 0 \quad (2)$$

*is exact if and only if for all  $R$ -modules  $N$  the induced sequence*

$$0 \longrightarrow \text{Hom}_R^*(M_3, N) \xrightarrow{\varphi_2^*} \text{Hom}_R^*(M_2, N) \xrightarrow{\varphi_1^*} \text{Hom}_R^*(M_1, N) \quad (3)$$

*is exact.*

*Proof.* Suppose that (2) is exact and let  $N$  be any  $R$ -module. Exactness at  $\text{Hom}_R^*(M_3, N)$  follows from the fact that  $\varphi_2^*$  is injective (which follows from the fact that  $\text{Hom}_R(-, N)$  is left exact). Next we show exactness at  $\text{Hom}_R^*(M_2, N)$ . Let  $\psi_2: M_2 \rightarrow N$  be a graded homomorphism of degree  $i$  such that  $\psi_2 \varphi_1 = 0$ . By left exactness of  $\text{Hom}_R(-, N)$ , there exists a  $\psi_3 \in \text{Hom}_R(M, N)$  such that  $\psi_2 = \psi_3 \varphi_2$ . Since  $\varphi_2$  is surjective,  $\psi_3$  is graded of degree  $i$ . Thus  $\psi_3 \in \text{Hom}_R^*(M, N)$ . Thus we have exactness at  $\text{Hom}_R^*(M_2, N)$ .  $\square$

#### 2.4.13 Projective Objects and Injective Objects in $\text{Grad}_R$

$$\text{Hom}_R^*(\bigoplus_\lambda P_\lambda, B) \cong \prod_\lambda \text{Hom}_R^*(P_\lambda, B) \quad \text{and} \quad \text{Hom}_R^*(A, \prod_\lambda E_\lambda) \cong \prod_\lambda \text{Hom}_R^*(A, E_\lambda).$$

## 2.5 Noetherian Graded Rings and Modules

### 2.5.1 The Irrelevant Ideal

**Definition 2.8.** Let  $R$  be a graded ring. The **irrelevant ideal** of  $R$  is defined to be

$$R_+ := \bigoplus_{i>0} R_i.$$

It is straightforward to check that  $R_+$  is in fact an ideal of  $R$  and that  $R/R_+ \cong R_0$ .

### 2.5.2 Noetherian Graded Rings

The following lemma will be used many times without mention.

**Lemma 2.2.** *Let  $R$  be a ring and let  $S \subseteq R$ . Suppose the ideal  $\langle S \rangle$  generated by  $S$  is finitely generated. Then we can choose the generators to be in  $S$ .*

*Proof.* Since  $\langle S \rangle$  is finitely generated, there are  $x_1, \dots, x_n \in \langle S \rangle$  such that  $\langle S \rangle = \langle x_1, \dots, x_n \rangle$ . In particular we have

$$x_i = \sum_{j=1}^{n_i} r_{ji} s_{ji}$$

where for each  $1 \leq i \leq n$  we have  $n_i \in \mathbb{N}$ , and for each  $1 \leq j \leq n_i$  we have  $r_{ji} \in R$  and  $s_{ji} \in S$ . In particular, this means

$$\langle S \rangle = \langle s_{ji} \mid 1 \leq i \leq n \text{ and } 1 \leq j \leq n_i \rangle.$$

$\square$



**Definition 2.9.** A **Noetherian** graded ring is a graded ring whose underlying ring is Noetherian.

**Proposition 2.12.** Let  $R$  be a graded ring. Suppose  $R_+ = \langle \{x_\lambda\}_{\lambda \in \Lambda} \rangle$ . Then the  $R_0$ -algebra map

$$\varphi: R_0[\{X_\lambda\}] \rightarrow R$$

given by  $\varphi(X_\lambda) = x_\lambda$  for all  $\lambda \in \Lambda$  is surjective. In other words, if a subset  $S \subset R_+$  generates the irrelevant ideal  $R_+$  as an  $R$ -ideal, then it generates  $R$  as an  $R_0$ -algebra.

*Proof.* It suffices to show that  $R_k \subset \text{im } \varphi$  for all  $k \in \mathbb{N}$ . We prove this by induction on  $k$ . The base case  $k = 0$  is trivial. Now suppose it is true for all  $i < k$  for some  $k > 0$  and let  $a \in R_k$ . Since  $R = R_0 \oplus R_+$ , we have a unique decomposition

$$a = a_0 + x$$

where  $a_0 \in R_0$  and  $x \in R_+$ . Since  $R_+ = \langle \{x_\lambda\} \rangle$  and  $x \in R_+$ , there exists  $x_{\lambda_1}, \dots, x_{\lambda_n} \in \{x_\lambda\}$  and  $a_m \in R_{k-\deg x_{\lambda_m}}$  for all  $1 \leq m \leq n$  such that

$$x = a_1 x_{\lambda_1} + \dots + a_n x_{\lambda_n}.$$

Choose  $A_m \in R_0[\{X_\lambda\}]$  such that  $\varphi(A_m) = a_m$  for all  $0 \leq m \leq n$  (we can do this by induction). Then

$$\begin{aligned} a &= a_0 + a_1 x_{\lambda_1} + \dots + a_n x_{\lambda_n} \\ &= \varphi(A_0) + \varphi(A_1)\varphi(X_{\lambda_1}) + \dots + \varphi(A_n)\varphi(X_{\lambda_n}) \\ &= \varphi(A_0 + A_1 X_{\lambda_1} + \dots + A_n X_{\lambda_n}). \end{aligned}$$

This implies  $R_k \subset \text{im } \varphi$ . Therefore  $\varphi$  is surjective.  $\square$

**Proposition 2.13.** Let  $R$  be a graded ring. Then  $R$  is Noetherian if and only if  $R_0$  is Noetherian and  $R$  is finitely-generated as an  $R_0$ -algebra.

*Proof.* Suppose  $R_0$  is Noetherian and  $R$  is finitely-generated as an  $R_0$ -algebra. Then there exists an  $n \geq 0$  and a surjection

$$R_0[X_1, \dots, X_n] \rightarrow R.$$

where  $R_0[X_1, \dots, X_n]$  is a polynomial algebra over Noetherian ring, and hence Noetherian, which implies that  $R$  is Noetherian, as it is a quotient of a Noetherian ring.

Now suppose  $R$  is Noetherian. Since  $R_0 \cong R/R_+$ , we see that  $R_0$  must be Noetherian since it is the quotient of a Noetherian ring. Since  $R$  is Noetherian, the irrelevant ideal  $R_+$  is finitely-generated, say by  $x_1, \dots, x_n \in R_+$ . Since  $R$  is graded, we have a surjective  $R_0$ -algebra map

$$R_0[X_1, \dots, X_n] \rightarrow R$$

sending  $X_i \mapsto x_i$  for all  $1 \leq i \leq n$ . It follows that  $R$  is a finitely-generated  $R_0$ -algebra.  $\square$

## 2.6 Localization of Graded Rings

**Definition 2.10.** If  $S \subset R$  is a multiplicative subset of a graded ring  $R$  consisting of homogeneous elements, then  $S^{-1}R$  is a  $\mathbb{Z}$ -graded ring: we let the homogeneous elements of degree  $n$  be of the form  $r/s$  where  $r \in R_{n+\deg s}$ . We write  $R_{(S)}$  for the subring of elements of degree zero; there is thus a map  $R_0 \rightarrow R_{(S)}$ .

If  $S$  consists of the powers of a homogeneous element  $f$ , we write  $R_{(f)}$  for  $R_S$ . If  $\mathfrak{p}$  is a homogeneous ideal and  $S$  is the set of homogeneous elements of  $R$  not in  $\mathfrak{p}$ , we write  $R_{(\mathfrak{p})}$  for  $R_{(S)}$ .

More generally if  $M$  is a graded  $R$ -module, then we define  $M_{(S)}$  to be the submodule of  $S^{-1}M$  consisting of elements of degree zero. When  $S$  consists of powers of a homogeneous element  $f \in R$ , then we write  $M_{(f)}$  instead of  $M_{(S)}$ . We similarly define  $M_{(\mathfrak{p})}$  for a homogeneous prime ideal  $\mathfrak{p}$ .

## 2.7 Graded $R$ -Algebras

An  $R$ -algebra  $A$  is an  $R$ -module equipped with an  $R$ -linear map  $A \otimes_R A \rightarrow A$ , denoted  $a \otimes b \mapsto ab$ . This means that for all  $r \in R$  and  $a, b \in A$ , we have

$$r(ab) = (ra)b = a(rb),$$

and for all  $a, b, c \in A$ , we have

$$(a + b)c = ab + ac \quad \text{and} \quad a(b + c) = ab + ac.$$

We say the  $R$ -algebra is **associative** when for all  $a, b, c \in A$ , we have

$$(ab)c = a(bc).$$

We say the  $R$ -algebra is **unital** when there exists an element  $e \in A$  such that for all  $a \in A$ , we have

$$ae = a = ea.$$

Unless otherwise specified, all  $R$ -algebras discussed are assumed to be associative and unital, so they are genuinely rings (perhaps not commutative) and being an  $R$ -algebra just means they have a little extra structure related to scaling by  $R$ . If  $A$  is an  $R$ -algebra, then can view  $R$  as sitting inside  $A$  via the map  $\varphi: R \rightarrow A$ , given by

$$\varphi(r) = 1 \cdot r$$

for all  $r \in R$ , though this map need not be injective.

**Definition 2.11.** An  $H$ -graded  $R$ -algebra  $A$  is an  $R$ -algebra which is also  $H$ -graded as a ring. So there is a direct sum decomposition

$$A = \bigoplus_{h \in H} A_h,$$

where the  $A_h$  are abelian groups which satisfy the property that if  $a_{h_1} \in A_{h_1}$  and  $a_{h_2} \in A_{h_2}$ , then  $a_{h_1}a_{h_2} \in A_{h_1+h_2}$ . If  $R$  is also an  $H$ -graded ring, then we also require  $A$  to be an  $H$ -graded left  $R$ -module. This means that if  $r_{h_1} \in R_{h_1}$  and  $a_{h_2} \in A_{h_2}$ , then  $r_{h_1}a_{h_2} \in A_{h_1+h_2}$ .

### 2.7.1 Examples of Graded $R$ -Algebras

**Example 2.7.** Let  $R$  be a graded ring and let  $x = x_1, \dots, x_n$ . The polynomial ring  $R[x]$  over  $R$  is both an  $\mathbb{N}$ -graded  $R$ -algebra and an  $\mathbb{N}^n$ -graded  $R$ -algebra. The homogeneous component in degree  $i$  with respect to the  $\mathbb{N}$ -grading is given by

$$R[x]_i = \sum_{\alpha} R_{i-|\alpha|} x^\alpha.$$

The homogeneous component in degree  $\alpha = (\alpha_1, \dots, \alpha_n)$  with respect to the  $\mathbb{N}^n$ -grading is given by

More generally, let  $w := (w_1, \dots, w_n)$  be an  $n$ -tuple of positive integers. We define the **weighted degree of a monomial** of a monomial  $x^\alpha = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$ , denoted  $\deg_w(x^\alpha)$ , by the formula

$$\deg_w(x^\alpha) := \langle w, \alpha \rangle := \sum_{\lambda=1}^n w_\lambda \alpha_\lambda.$$

The **weighted polynomial ring with respect to the weighted vector  $w$** , denoted  $R[x]^w$ , is the polynomial ring  $R[x]$  equipped with the **weighted grading**: the homogeneous component in degree  $i$  is given by

$$R[x]^w_i = \sum_{\alpha} R_{i-\langle w, \alpha \rangle} x^\alpha.$$

**Example 2.8.** Let  $K$  be a field, let  $R = K[x, y]/\langle xy \rangle$ , and let  $A = R[z, w]$ . View  $R$  as a graded  $K$ -algebra with  $|x| = 1$  and  $|y| = 2$  and view  $A$  as a graded  $R$ -algebra with  $|z| = 1$  and  $|w| = 3$ . Then the homogeneous components of  $A$  start out as

$$\begin{aligned} A_0 &= K \\ A_1 &= K\bar{x} + Kz \\ A_2 &= K\bar{x}^2 + K\bar{x}z + K\bar{y} \\ A_3 &= K\bar{x}^3 + K\bar{x}^2z + K\bar{x}\bar{y} + K\bar{x}z^2 + K\bar{y}z + Kw \\ &\vdots \end{aligned}$$

**Example 2.9.** Let  $R$  be a ring and let  $Q$  be an ideal in  $R$ . The **blowup algebra of  $Q$  in  $R$**  is defined by

$$B_Q(R) := R + tQ + t^2Q^2 + t^3Q^3 + \cdots \cong \bigoplus_{i=0}^{\infty} Q^i.$$

Elements in  $B_Q(R)$  have the form

$$t^{i_1}x_{i_1} + \cdots + t^{i_m}x_{i_m}$$

where  $0 \leq i_1 < \cdots < i_m$  and  $x_{i_\lambda} \in Q^{i_\lambda}$  for all  $1 \leq \lambda \leq m$ . The  $t^{i_\lambda}$  part keeps track of what degree we are in. We define multiplication on elements of the form  $t^i x$  and  $t^j y$  by

$$(t^i x)(t^j y) = t^{i+j} xy,$$

and we extend this to all of  $B_Q(R)$  in the obvious way. This gives  $B_Q(R)$  the structure of a graded  $R$ -algebra.

If  $Q$  is finitely generated, say  $Q = \langle a_1, \dots, a_n \rangle$ , then there is a unique  $R$ -algebra homomorphism

$$\varphi: R[u_1, \dots, u_n] \rightarrow B_Q(R),$$

such that  $\varphi(u_\lambda) = ta_\lambda$  for all  $1 \leq \lambda \leq n$ .

### 2.7.2 Graded Associative $R$ -Algebras

Let  $R$  be a ring and let  $\mathbf{x} = x_1, \dots, x_n$  be a list of indeterminates. We denote by  $R\langle \mathbf{x} \rangle$  to be the **free  $R$ -algebra generated by  $\mathbf{x}$** . A basis of  $R\langle \mathbf{x} \rangle$  as an  $R$ -module consists of **words**:

$$\mathbf{x}^{\alpha_1} \dots \mathbf{x}^{\alpha_k}$$

where  $k \in \mathbb{N}$  and  $\alpha_j \in \mathbb{N}^n$  for all  $1 \leq j \leq k$ . For example, in  $R\langle x_1, x_2, x_3 \rangle$ , we have

$$\mathbf{x}^{\alpha_1} \mathbf{x}^{\alpha_2} \mathbf{x}^{\alpha_3} = x_3^2 x_1^3 x_2 x_3 x_2,$$

where

$$\begin{aligned} \alpha_1 &= (0, 0, 2) \\ \alpha_2 &= (3, 2, 1) \\ \alpha_3 &= (0, 1, 0). \end{aligned}$$

The set of all words is denoted  $W(\mathbf{x})$ . Words of the form  $\mathbf{x}^\alpha$  are called **standard words** and form a subset of the set of all words. A **standard polynomial** in  $R\langle \mathbf{x} \rangle$  is a finite linear combination of standard words.

**Example 2.10.** Let  $R$  be a graded ring, let  $\mathbf{x} = x_1, \dots, x_n$  be a list of indeterminates, and let  $\mathbf{w} := (w_1, \dots, w_n)$  be an  $n$ -tuple of positive integers. We define  $R\langle \mathbf{x} \rangle^{\mathbf{w}}$  to be the graded  $R$ -algebra whose homogeneous component in degree  $i$  is given by

$$R\langle \mathbf{x} \rangle_i^{\mathbf{w}} = \sum_{\mathbf{x}^{\alpha_1} \dots \mathbf{x}^{\alpha_k} \in W(\mathbf{x})} R_{i - \sum_{j=1}^k \langle \mathbf{w}, \alpha_j \rangle} \mathbf{x}^{\alpha_1} \dots \mathbf{x}^{\alpha_k}.$$

### 2.7.3 Graded Commutative $R$ -Algebras

**Definition 2.12.** Let  $A$  be a  $\mathbb{Z}$ -graded  $R$ -algebra. We say  $A$  is **graded-commutative** if for all  $a \in A_i$  and  $b \in A_j$ , we have

$$ab = (-1)^{ij}ba. \quad (4)$$

We say  $A$  is **strictly graded-commutative** if, in addition to (4), we also have  $a^2 = 0$  for all odd degree elements  $a \in A$ .

*Remark.* Cohomology rings are a natural source of graded-commutative rings.

Every finitely-presented  $R$ -algebra  $A$  is isomorphic to  $R\langle \mathbf{x} \rangle / I$  where  $\mathbf{x} = x_1, \dots, x_n$  and where  $I$  is a two-sided ideal in  $R\langle \mathbf{x} \rangle$ . For our purposes we will be interested in the following finitely-presented  $R$ -algebra.

**Definition 2.13.** Let  $R$  be a ring, let  $\mathbf{x} = x_1, \dots, x_n$  be indeterminates, and let  $\mathbf{w} = (w_1, \dots, w_n)$  be their respective weights. Set

$$J = \langle \{fg - (-1)^{ij}gf \mid f \in R\langle \mathbf{x} \rangle_i^{\mathbf{w}} \text{ and } g \in R\langle \mathbf{x} \rangle_j^{\mathbf{w}}\} \cup \{f^2 \mid f \in R\langle \mathbf{x} \rangle_i^{\mathbf{w}} \text{ where } i \text{ is odd}\} \rangle.$$

We define the **free graded-(strictly)-commutative  $R$ -algebra generated by  $\mathbf{x}$  with respect to the weighted vector  $\mathbf{w}$** , denoted  $R[\mathbf{x}]_{\mathbf{w}}$ , to be the graded  $R$ -algebra

$$R[\mathbf{x}]^{\mathbf{w}} := R\langle \mathbf{x} \rangle^{\mathbf{w}} / J.$$

Since  $x_\lambda x_\mu - (-1)^{w_\lambda w_\mu} x_\mu x_\lambda \in J$  for all  $1 \leq \lambda < \mu \leq n$ , we see that every  $\bar{f} \in R[\mathbf{x}]^{\mathbf{w}}$  can be represented by a standard polynomial  $f \in R\langle \mathbf{x} \rangle^{\mathbf{w}}$ . We typically dispense with the overline notation and just write  $f \in R[\mathbf{x}]^{\mathbf{w}}$ . In particular, any  $f \in R[\mathbf{x}]^{\mathbf{w}}$  can be expressed as

$$f = \sum_{\alpha} r_{\alpha} \mathbf{x}^{\alpha}$$

where the sum ranges over all  $\alpha \in \mathbb{N}^n$  with  $r_{\alpha} = 0$  for almost all  $\alpha \in \mathbb{N}^n$ .

## 2.8 Hilbert Function and Dimension

The Hilbert function of a graded module associates to an integer  $i$  the dimension of the  $i$ th graded part of the given module. For sufficiently large  $i$ , the values of this function are given by a polynomial, the Hilbert polynomial.

**Definition 2.14.** Let  $R$  be a Noetherian graded  $K$ -algebra and let  $M$  be a finitely-generated graded  $R$ -module. The **Hilbert function**  $H_M: \mathbb{Z} \rightarrow \mathbb{Z}$  of  $M$  is defined by

$$H_M(i) := \dim_K(M_i)$$

**Lemma 2.3.** Let  $R$  be a Noetherian graded ring and let  $i \in \mathbb{Z}$ . Then  $R_i$  is a finitely-generated  $R_0$ -module.

*Proof.* The ideal  $\langle R_i \rangle$  is finitely-generated since  $R$  is Noetherian. Choose generators in  $\langle R_i \rangle$  such that each generator belongs to  $R_i$ , say  $x_1, \dots, x_n \in R_i$ . In particular,  $\langle R_i \rangle$  is a graded ideal with  $\langle R_i \rangle_0 = R_i$ . It follows that

$$R_i = R_0x_1 + \dots + R_0x_n,$$

and so  $R_i$  is a finitely-generated  $R_0$ -module. □

**Corollary.** Let  $R$  be a Noetherian graded ring and let  $M$  be a finitely-generated graded  $R$ -module. Then  $M_i$  is a finitely-generated  $R_0$ -module for all  $i \in \mathbb{Z}$ . Moreover, there exists  $k \in \mathbb{Z}$  such that  $M_j = 0$  for all  $j < k$ .

*Proof.* Choose homogeneous generators of  $M$ , say  $u_1, \dots, u_n$ , and let  $i \in \mathbb{Z}$ . Then

$$M_i = R_{i-\deg(u_1)}u_1 + \dots + R_{i-\deg(u_n)}u_n.$$

This implies that  $M_i$  is a finitely-generated  $R_0$ -module since the  $R_i$ 's are finitely generated  $R_0$ -modules by Lemma (2.3).

For the moreover part, let

$$k = \min\{\deg(u_i) \mid 1 \leq i \leq n\}.$$

Then  $M_j = 0$  for all  $j < k$  since  $R_i = 0$  for all  $i < 0$ . □

## 2.9 Semigroup Ordering

**Definition 2.15.** Let  $H$  be an additive semigroup with identity 0. A **semigroup ordering** on  $H$  is a partial ordering  $>$  on  $H$  such that

1.  $>$  is a total ordering, i.e. either  $h_1 > h_2$  or  $h_2 > h_1$  for all  $h_1, h_2 \in H$ .
2.  $>$  is translate invariant, i.e.  $h_1 > h_2$  implies  $h_1 + h_3 > h_2 + h_3$  for all  $h_1, h_2, h_3 \in H$ .

If  $>$  is a semigroup ordering on  $H$ , then we call the pair  $(H, >)$  an **additive ordered semigroup**.

**Example 2.11.** The integers  $\mathbb{Z}$  (or the natural numbers  $\mathbb{N}$ ) equipped with the natural order  $>$  forms an additive ordered semigroup.

**Example 2.12.** For  $n > 1$ , there are many different semigroup orderings we can equip  $\mathbb{N}^n$  (or even  $\mathbb{Z}^n$ ). For example, one of them is call **lexicographical ordering**, which is defined as follows: for  $\alpha, \beta \in \mathbb{N}^n$  where  $\alpha = (\alpha_1, \dots, \alpha_n)$  and  $\beta = (\beta_1, \dots, \beta_n)$ , we say  $\alpha >_{\text{lex}} \beta$  if for some  $1 \leq i \leq n$  we have

$$\begin{aligned} \alpha_1 &= \beta_1 \\ &\vdots \\ \alpha_{i-1} &= \beta_{i-1} \\ \alpha_i &> \beta_i \end{aligned}$$

**Theorem 2.4.** Let  $(H, >)$  be an additive ordered semigroup, let  $R$  be a Noetherian  $H$ -graded ring, and let  $M$  be a Noetherian  $H$ -graded  $R$ -module. Then every associated prime  $\mathfrak{p}$  of  $M$  is a homogeneous ideal.

*Proof.* If  $\mathfrak{p}$  is an associated prime of  $M$ , it is the annihilator of a nonzero element

$$u = u_{j_1} + \dots + u_{j_t} \in M,$$

where the  $u_{j_v}$  are nonzero homogeneous elements of degrees  $j_1 < \dots < j_t$ . Choose  $u$  such that  $t$  is as small as possible. Suppose that

$$a = a_{i_1} + \dots + a_{i_s}$$

kills  $u$ , where for every  $v$ ,  $a_{i_v}$  has degree  $i_v$ , and  $i_1 < \cdots < i_s$ . We shall show that every  $a_{i_v}$  kills  $u$ , which proves that  $\mathfrak{p}$  is homogeneous. It suffices to show that  $a_{i_1}$  kills  $u$  (since  $a - a_{i_1}$  kills  $u$  and we can proceed by induction). Since  $au = 0$ , the unique least degree term  $a_{i_1}u_{j_1} = 0$ . Therefore

$$u' = a_{i_1}u = a_{i_1}u_{j_2} + \cdots + a_{i_1}u_{j_t}.$$

If this element is nonzero, its annihilator is still  $\mathfrak{p}$ , since  $Ru \cong R/\mathfrak{p}$  and every nonzero element has annihilator  $\mathfrak{p}$ . Since  $a_{i_1}u_{j_v}$  is homogeneous of degree  $i_1 + j_v$ , or else is 0,  $u'$  has fewer nonzero homogeneous components than  $u$  does, contradicting our choice of  $u$ .  $\square$

**Corollary.** *If  $I$  is a homogeneous ideal of a Noetherian ring  $R$  graded by a semigroup  $H$  equipped with a semigroup ordering  $>$ , then every minimal prime of  $I$  is homogeneous.*

*Proof.* This is immediate, since the minimal primes of  $I$  are among the associated primes of  $R/I$ .  $\square$

**Proposition 2.14.** *Let  $(H, >)$  be an additive ordered semigroup, let  $R$  be a  $H$ -graded ring, and let  $I$  be a homogeneous ideal. Then  $\sqrt{I}$  is homogeneous.*

*Proof.* Let

$$f_{i_1} + \cdots + f_{i_k} \in \sqrt{I}$$

with  $i_1 < \cdots < i_k$  and each  $f_{i_j}$  nonzero of degree  $i_j$ . We need to show that every  $f_{i_j} \in \sqrt{I}$ . If any of the components are in  $\sqrt{I}$ , we may subtract them off, giving a similar sum whose terms are the homogeneous components not in  $\sqrt{I}$ . Therefore it suffices to show that  $f_{i_1} \in \sqrt{I}$ . But

$$(f_{i_1} + \cdots + f_{i_k})^N \in I$$

for some  $N > 0$ . When we expand, there is a unique term formally of least degree, namely  $f_{i_1}^N$ , and therefore this term is in  $I$ , since  $I$  is homogeneous. But this means that  $f_{i_1} \in \sqrt{I}$ , as required.  $\square$

**Corollary.** *Let  $R$  be a finitely-generated graded  $K$ -algebra and let  $\mathfrak{m} = \bigoplus_{i=1}^{\infty} R_i$  be the homogeneous maximal ideal of  $R$ . Then*

$$\dim R = \text{height } \mathfrak{m} = \dim R_{\mathfrak{m}}.$$

*Proof.* The dimension of  $R$  will be equal to the dimension of  $R/\mathfrak{p}$  for one of the minimal primes  $\mathfrak{p}$  of  $R$ . Since  $\mathfrak{p}$  is minimal, it is an associated prime and therefore is homogeneous. Hence,  $\mathfrak{p} \subseteq \mathfrak{m}$ . The domain  $R/\mathfrak{p}$  is finitely-generated over  $K$ , and therefore its dimension is equal to the height of every maximal ideal including, in particular,  $\mathfrak{m}/\mathfrak{p}$ . Thus,

$$\begin{aligned} \dim R &= \dim R/\mathfrak{p} \\ &= \dim (R/\mathfrak{p})_{\mathfrak{m}} \\ &\leq \dim R_{\mathfrak{m}} \\ &\leq \dim R, \end{aligned}$$

and so equality holds throughout, as required.  $\square$

### 3 Homological Algebra

Throughout this section, let  $R$  be a ring (trivially graded).

### 3.1 R-Complexes

#### 3.1.1 R-Complexes and Chain Maps

**Definition 3.1.** An *R-complex*  $(A, d)$  is a graded  $R$ -module  $A$  equipped with graded  $R$ -linear map  $d: A \rightarrow A$  of degree  $-1$  such that  $d^2 = 0$ . Any such map  $d$  which satisfies these properties is called an *R-linear differential*. If we denote the  $i$ th homogeneous component of  $A$  as  $A_i$  and if we denote  $d_i = d|_{A_i}$ , then we may view an  $R$ -complex as a sequence of  $R$ -modules  $A_i$  and  $R$ -linear maps  $d_i: A_i \rightarrow A_{i-1}$  as below

$$\cdots \longrightarrow A_{i+1} \xrightarrow{d_{i+1}} A_i \xrightarrow{d_i} A_{i-1} \longrightarrow \cdots \quad (5)$$

such that  $d_i d_{i+1} = 0$  for all  $i \in \mathbb{Z}$ . An element in  $\ker d$  is called a **cycle** of  $(A, d)$  and an element in  $\operatorname{im} d$  is called a **boundary** of  $(A, d)$ .

A **chain map**  $\varphi: (A, d) \rightarrow (A', d')$  between  $R$ -complexes  $(A, d)$  and  $(A', d')$  is a graded  $R$ -linear map  $\varphi: A \rightarrow A'$  of degree 0 which commutes with the differentials:

$$d' \varphi = \varphi d.$$

If we denote  $\varphi_i = \varphi|_{A_i}$ , then we may view  $\varphi$  as a sequence of  $R$ -linear maps  $\varphi_i: A_i \rightarrow A'_i$  as below

$$\begin{array}{ccccccc} \cdots & \longrightarrow & A_{i+1} & \xrightarrow{d_{i+1}} & A_i & \xrightarrow{d_i} & A_{i-1} \longrightarrow \cdots \\ & & \downarrow \varphi_{i+1} & & \downarrow \varphi_i & & \downarrow \varphi_{i-1} \\ \cdots & \longrightarrow & A'_{i+1} & \xrightarrow{d'_{i+1}} & A'_i & \xrightarrow{d'_i} & A'_{i-1} \longrightarrow \cdots \end{array}$$

such that  $d'_i \varphi_i = \varphi_{i-1} d'_i$  for all  $i \in \mathbb{Z}$ . It is easy to check that the identity map  $1_{(A, d)}: (A, d) \rightarrow (A, d)$  from an  $R$ -complex  $(A, d)$  to itself is a chain map. It is also easy to check that the composition of two chain maps is a chain map. We obtain the category  $\mathbf{Comp}_R$ , whose objects are  $R$ -complexes and whose morphisms chain maps.

*Remark.* To simplify notation, we often write  $A$  instead of  $(A, d)$  if the differential is understood from context. For instance, we may introduce an  $R$ -complex as “ $(A, d)$ ” but later refer to it as “ $A$ ”, but we also may introduce an  $R$ -complex as “ $A$ ” with the differential understood to be denoted “ $d_A$ ”. In that case, we will denote  $d_{A,i} = (d_A)|_{A_i}$ . Also a chain map is always understood to be a map between  $R$ -complexes. For instance, if we write “let  $\varphi: A \rightarrow A'$  be a chain map” without first introducing  $A$  or  $A'$ , then it is understood that  $A$  and  $A'$  are  $R$ -complexes.

#### 3.1.2 Homology

Let  $(A, d)$  be an  $R$ -complex. The condition  $d^2 = 0$  is equivalent to the condition  $\ker d \supseteq \operatorname{im} d$ . Since  $d$  is graded, we see that both  $\ker d$  and  $\operatorname{im} d$  are graded submodules of  $A$ . Therefore we have

$$\ker d = \bigoplus_{i \in \mathbb{Z}} \ker d_i \quad \text{and} \quad \operatorname{im} d = \bigoplus_{i \in \mathbb{Z}} \operatorname{im} d_i,$$

and for each  $i \in \mathbb{Z}$ , we have  $\ker d_i \supseteq \operatorname{im} d_{i+1}$ . Therefore  $\ker d / \operatorname{im} d$  is a graded  $R$ -module. With this in mind, we are justified in making the following definitions:

**Definition 3.2.** Let  $(A, d)$  be an  $R$ -complex.

1. We say  $A$  is **exact** if  $\ker d = \operatorname{im} d$  and we say  $A$  is **exact at**  $A_i$  if  $\ker d_i = \operatorname{im} d_i$ .
2. The **homology** of  $A$  is defined to be the graded  $R$ -module

$$H(A, d) := \ker d / \operatorname{im} d.$$

The  $i$ th homogeneous component of  $H(A, d)$  is denoted

$$H_i(A, d) := \ker d_i / \operatorname{im} d_i.$$

*Remark.* If the differential  $d$  is clear from context, then we will simplify our notation by denoting the homology of  $A$  as  $H(A)$  rather than  $H(A, d)$ .

### 3.1.3 Positive, Negative, and Bounded Complexes

**Definition 3.3.** Let  $A$  be an  $R$ -complex.

1. We say  $A$  is **positive** if  $A_i = 0$  for all  $i < 0$ .
2. We say  $A$  is **bounded below** if  $A_i = 0$  for  $i \ll 0$ . In other words, if  $A_i$  is eventually 0, that is, if there exists  $n \in \mathbb{Z}$  such that  $A_i = 0$  for all  $i < n$ .
3. We say  $A$  is **homologically bounded below** if  $H_i(A) = 0$  for  $i \ll 0$ .

Similarly,

1. We say  $A$  is **negative** if  $A_i = 0$  for all  $i > 0$ .
2. We say  $A$  is **bounded above** if  $A_i = 0$  for  $i \gg 0$ .
3. We say  $A$  is **homologically bounded above** if  $H_i(A) = 0$  for  $i \gg 0$ .

If  $A$  is both bounded below and bounded above, then we will say  $A$  is **bounded**. Similarly, if  $A$  is both homologically bounded above and homologically bounded below, then we will say  $A$  is **homologically bounded**.

### 3.1.4 Supremum and Infimum

**Definition 3.4.** Let  $A$  be an  $R$ -complex. We define its **supremum** to be

$$\sup A := \begin{cases} -\infty & \text{if } A \text{ is exact} \\ \sup\{i \in \mathbb{Z} \mid H_i(A) \neq 0\} & \text{if } A \text{ is not exact and is homologically bounded above} \\ \infty & \text{if } A \text{ is not homologically bounded above.} \end{cases}$$

Similarly, we define its **infimum** to be

$$\inf A := \begin{cases} \infty & \text{if } A \text{ is exact} \\ \inf\{i \in \mathbb{Z} \mid H_i(A) \neq 0\} & \text{if } A \text{ is not exact and is homologically bounded below} \\ -\infty & \text{if } A \text{ is not homologically bounded below.} \end{cases}$$

The **amplitude** of  $A$  is defined to be

$$\text{amp } A := \begin{cases} -\infty & \text{if } A \text{ is exact} \\ \infty & \text{if } A \text{ is homologically bounded above but not homologically bounded below} \\ \sup A - \inf A & \text{if } A \text{ is not exact and homologically bounded} \\ \infty & \text{if } A \text{ is homologically bounded below but not homologically bounded above} \\ \infty & \text{if } A \text{ is not homologically bounded above or below.} \end{cases}$$

## 3.2 Category of $R$ -Complexes

The set of all  $R$ -complexes together with the set of all chain maps forms a category, which we denote  $\mathbf{Comp}_R$ . Similarly, the set of all graded  $R$ -modules together with the set of all graded homomorphisms (of degree 0) forms a category, which we denote  $\mathbf{Grad}_R$ .

### 3.2.1 Homology Considered as a Functor

We've already seen that if  $(A, d)$  is an  $R$ -complex, then  $H(A)$  is a graded  $R$ -module. We would like to extend this observation to get a functor  $H: \mathbf{Comp}_R \rightarrow \mathbf{Grad}_R$ . This will follow from the following three propositions:

**Proposition 3.1.** Let  $\varphi: (A, d) \rightarrow (A', d')$  be a chain map. Then  $\varphi$  induces a graded homomorphism  $H(\varphi): H(A) \rightarrow H(A')$ , where

$$H(\varphi)(\bar{a}) = \overline{\varphi(a)} \quad (6)$$

for all  $\bar{a} \in H(A)$ .



*Proof.* First let us check that the target of each element in  $H(A)$  under  $H(\varphi)$  lands in  $H(A')$ . Let  $\bar{a} \in H(A)$  (so  $d(a) = 0$ ). Then  $\overline{\varphi(a)} \in H(A')$  since

$$\begin{aligned} d'(\varphi(a)) &= \varphi(d(a)) \\ &= 0. \end{aligned}$$

Next let us check that  $H(\varphi)$  is well-defined. Let  $a + d(b)$  be another representative of the coset class  $\bar{a} \in H(A)$ . Then

$$\begin{aligned} H(\varphi)(\overline{a + d(b)}) &= \overline{\varphi(a + d(b))} \\ &= \overline{\varphi(a) + \varphi(d(b))} \\ &= \overline{\varphi(a)} + \overline{\varphi(d(b))} \\ &= \overline{\varphi(a)} + \overline{d'(\varphi(b))} \\ &= \overline{\varphi(a)} \\ &= H(\varphi)(\bar{a}). \end{aligned}$$

Thus  $H(\varphi)$  is well-defined.

So far we have shown that  $H(\varphi)$  is a function. To see that  $H(\varphi)$  is an  $R$ -module homomorphism, let  $r, s \in R$  and  $a, b \in A$ . Then

$$\begin{aligned} H(\varphi)(\overline{ra + sb}) &= \overline{\varphi(ra + sb)} \\ &= \overline{r\varphi(a) + s\varphi(b)} \\ &= \overline{r\varphi(a)} + \overline{s\varphi(b)} \\ &= rH(\varphi)(\bar{a}) + sH(\varphi)(\bar{b}). \end{aligned}$$

Finally, to see that  $H(\varphi)$  is graded, let  $\bar{a}_i \in H_i(A)$  (so  $a_i \in A_i$ ). Then

$$\begin{aligned} H(\varphi)(\bar{a}_i) &= \overline{\varphi(a_i)} \\ &\in H_i(A') \end{aligned}$$

since  $\varphi$  is graded. □

**Proposition 3.2.** Let  $\varphi: (A, d) \rightarrow (A', d')$  and  $\varphi': (A', d') \rightarrow (A'', d'')$  be two chain maps. Then

$$H(\varphi' \circ \varphi) = H(\varphi') \circ H(\varphi).$$

*Proof.* Let  $\bar{a} \in H(A)$ . Then we have

$$\begin{aligned} H(\varphi' \circ \varphi)(\bar{a}) &= \overline{(\varphi' \circ \varphi)(a)} \\ &= \overline{\varphi'(\varphi(a))} \\ &= H(\varphi')(\overline{\varphi(a)}) \\ &= H(\varphi')(H(\varphi)(\bar{a})) \\ &= (H(\varphi') \circ H(\varphi))(\bar{a}). \end{aligned}$$

□

**Proposition 3.3.** Let  $(A, d)$  be an  $R$ -complex. Then we have

$$H(\text{id}_{(A, d)}) = \text{id}_{H(A)}.$$

In particular, if  $\varphi: (A, d) \rightarrow (A', d')$  is a chain map isomorphism, then  $H(\varphi): H(A) \rightarrow H(A')$  is an isomorphism between graded  $R$ -modules  $H(A)$  and  $H(A')$ .

*Proof.* Let  $\bar{a} \in H(A)$ . Then

$$\begin{aligned} H(\text{id}_{(A, d)})(\bar{a}) &= \overline{\text{id}_{(A, d)}(a)} \\ &= \bar{a} \\ &= \text{id}_{H(A)}(\bar{a}). \end{aligned}$$

For the latter statement, let  $\varphi: (A, d) \rightarrow (A', d')$  be a chain map isomorphism and let  $\psi: (A', d') \rightarrow (A, d)$  be its inverse. Then

$$\begin{aligned} \text{id}_{H(A)} &= H(\text{id}_{(A, d)}) \\ &= H(\psi \circ \varphi) \\ &= H(\psi) \circ H(\varphi). \end{aligned}$$

A similar computation gives  $H(\varphi) \circ H(\psi) = \text{id}_{H(A')}$ . □

### 3.2.2 $\text{Comp}_R$ is an $R$ -linear category

There is more structure on the categories  $\text{Comp}_R$  and  $\text{Grad}_R$  which we haven't discussed so far. They are examples of  $R$ -linear categories<sup>3</sup>. Moreover, homology can be viewed as an additive functor from  $\text{Comp}_R$  to  $\text{Grad}_R$ .

**Proposition 3.4.**  *$\text{Comp}_R$  is an  $R$ -linear category.*

*Proof.* Let  $(A, d)$  and  $(A', d')$  be two  $R$ -complexes. We define  $\mathcal{C}(A, A')$

$$\mathcal{C}(A, A') := \text{Hom}((A, d), (A', d')) := \{\varphi: (A, d) \rightarrow (A', d') \mid \varphi \text{ is a chain map}\}.$$

Then  $\mathcal{C}(A, A')$  has the structure of an  $R$ -module. Indeed, if  $\varphi, \psi \in \mathcal{C}(A, A')$  and  $r \in R$ , then we define addition and scalar multiplication by

$$(\varphi + \psi)(a) := \varphi(a) + \psi(a) \quad \text{and} \quad (r\varphi)(a) = \varphi(ra)$$

for all  $a \in A$ . Since  $d$  is an  $R$ -linear map, it is clear that  $\varphi + \psi$  and  $r\varphi$  are chain maps (that is, they are graded  $R$ -linear maps which commute with the differentials).

Moreover, let  $(A'', d'')$  be another  $R$ -complex. We define composition

$$\circ: \mathcal{C}(A', A'') \times \mathcal{C}(A, A') \rightarrow \mathcal{C}(A, A''),$$

in the usual way: if  $(\varphi', \varphi) \in \mathcal{C}(A', A'') \times \mathcal{C}(A, A')$ , then we define  $\varphi' \circ \varphi \in \mathcal{C}(A, A'')$  by

$$(\varphi' \circ \varphi)(a) = \varphi'(\varphi(a))$$

for all  $a \in A$ . Again one checks that  $\varphi' \circ \varphi$  is indeed a chain map. Observe that composition is an  $R$ -bilinear map. For instance, let  $\varphi', \psi' \in \mathcal{C}(A', A'')$  and  $\varphi \in \mathcal{C}(A, A')$ . Then

$$\begin{aligned} ((\varphi' + \psi') \circ \varphi)(a) &= (\varphi' + \psi')(\varphi(a)) \\ &= \varphi'(\varphi(a)) + \psi'(\varphi(a)) \\ &= (\varphi' \circ \varphi)(a) + (\psi' \circ \varphi)(a) \end{aligned}$$

for all  $a \in A$ . Thus  $(\varphi' + \psi') \circ \varphi = \varphi' \circ \varphi + \psi' \circ \varphi$ . A similar proof gives the other properties of  $R$ -bilinearity. □

*Remark.* To clean notation, we often drop the  $\circ$  symbol when denoting composition. For instance, we often write  $\varphi\psi$  rather than  $\varphi \circ \psi$ .

### 3.2.3 The inclusion functor from $\text{Grad}_R$ to $\text{Comp}_R$ is fully faithful

Every graded  $R$ -module  $M$  can be viewed as an  $R$ -complex with differential  $d = 0$ . In fact, we obtain a functor

$$\iota: \text{Grad}_R \rightarrow \text{Comp}_R,$$

where the graded  $R$ -module  $M$  is mapped to the trivially  $R$ -complex  $(M, 0)$ , and where graded homomorphisms  $\varphi: M \rightarrow M'$  is mapped to the chain map  $\varphi: (M, 0) \rightarrow (M', 0)$  of trivially  $R$ -complexes. Clearly  $\varphi$  is in fact chain map since these are trivial  $R$ -complexes. The functor  $\iota$  is full and faithful. It is left-adjoint to the forgetful functor

$$\rho: \text{Comp}_R \rightarrow \text{Grad}_R$$

where  $\rho$  maps the  $R$ -complex  $(M, d)$  to the graded  $R$ -module  $M$ , and where  $\rho$  maps the chain map  $\varphi: (M, d) \rightarrow (M', d')$  to the graded homomorphism  $\varphi: M \rightarrow M'$ . Then  $\rho$  is still faithful, but it is not full since there may be many graded homomorphism  $M \rightarrow M'$  which do not come from forgetting a chain map  $(M, d) \rightarrow (M', d')$ .

<sup>3</sup>See Appendix for definition of  $R$ -linear categories.

### 3.2.4 The homology functor from $\mathbf{Comp}_R$ to $\mathbf{Grad}_R$

There is another functor which goes from  $\mathbf{Comp}_R$  to  $\mathbf{Grad}_R$  which is called the **homology functor**. It is denoted

$$H: \mathbf{Comp}_R \rightarrow \mathbf{Grad}_R,$$

and is given by mapping an  $R$ -complex  $(M, d)$  to the graded  $R$ -module  $H(M, d)$ , and by mapping the chain map  $\varphi: (M, d) \rightarrow (M', d')$  to the graded  $R$ -linear map  $H(\varphi): H(M, d) \rightarrow H(M', d')$ . Let us show that  $H$  is an  $R$ -linear functor.

**Proposition 3.5.** *Let  $\varphi, \psi: (A, d) \rightarrow (A', d')$  be two chain maps and let  $r, s \in R$ . Then*

$$H(r\varphi + s\psi) = rH(\varphi) + sH(\psi)$$

*Proof.* Let  $\bar{a} \in H(A)$ . Then

$$\begin{aligned} H(r\varphi + s\psi)(\bar{a}) &= \overline{(r\varphi + s\psi)(a)} \\ &= \overline{r\varphi(a) + s\psi(a)} \\ &= \overline{r\varphi(a)} + \overline{s\psi(a)} \\ &= rH(\varphi)(a) + sH(\psi)(a). \end{aligned}$$

□

### 3.2.5 Inverse Systems and Inverse Limits in the Category of $R$ -Complexes

**Definition 3.5.** Let  $(\Lambda, \leq)$  be a preordered set (i.e.  $\leq$  is reflexive and transitive). An **inverse system**  $(A_\lambda, \varphi_{\lambda\mu})$  of  $R$ -complexes and chains maps over  $\Lambda$  consists of a family of  $R$ -complexes  $\{(A_\lambda, d_\lambda)\}$  indexed by  $\Lambda$  and a family of chain maps  $\{\varphi_{\lambda\mu}: A_\mu \rightarrow A_\lambda\}_{\lambda \leq \mu}$  such that for all  $\lambda \leq \mu \leq \kappa$ ,

$$\varphi_{\lambda\lambda} = 1_{M_\lambda} \quad \text{and} \quad \varphi_{\lambda\kappa} = \varphi_{\lambda\mu} \varphi_{\mu\kappa}.$$

Suppose  $(M_\lambda, \varphi_{\lambda\mu})$  and  $(M'_\lambda, \varphi'_{\lambda\mu})$  are two direct systems over a partially ordered set  $(\Lambda, \leq)$ . A **morphism**  $\psi: (M_\lambda, \varphi_{\lambda\mu}) \rightarrow (M'_\lambda, \varphi'_{\lambda\mu})$  of inverse systems consists of a collection of graded  $R$ -linear maps  $\psi_\lambda: M_\lambda \rightarrow M'_\lambda$  indexed by  $\Lambda$  such that for all  $\lambda \leq \mu$  we have

$$\varphi'_{\lambda\mu} \psi_\mu = \psi_\lambda \varphi_{\lambda\mu}.$$

**Proposition 3.6.** *Let  $(M_\lambda, \varphi_{\lambda\mu})$  be an inverse system of graded  $R$ -modules and graded  $R$ -linear maps over a preordered set  $(\Lambda, \leq)$ . The inverse limit of this system, denoted  $\varprojlim^* M_\lambda$ , is (up to unique isomorphism) given by the graded  $R$ -module*

$$\varprojlim^* M_\lambda = \left\{ (u_\lambda) \in \prod_{\lambda \in \Lambda}^* M_\lambda \mid \varphi_{\lambda\mu}(u_\mu) = u_\lambda \text{ for all } \lambda \leq \mu \right\}$$

together with the projection maps

$$\pi_\lambda: \varprojlim^* M_\lambda \rightarrow M_\lambda$$

for all  $\lambda \in \Lambda$ . In particular, the homogeneous component of degree  $i$  in  $\varprojlim^* M_\lambda$  is given by

$$(\varprojlim^* M_\lambda)_i = \varprojlim M_{\lambda,i}.$$

*Remark.* We put a  $\star$  above  $\varprojlim$  to remind ourselves that this is the inverse limit in the category of all graded  $R$ -modules. In the category of all  $R$ -modules, the inverse limit is denoted by  $\varprojlim M_\lambda$ . If  $\Lambda$  is finite, then  $\varprojlim M_\lambda$  already has a natural interpretation of a graded  $R$ -module.

*Proof.* We need to show that  $\varprojlim^* M_\lambda$  satisfies the universal mapping property. Let  $(M, \psi_\lambda)$  be compatible with respect to the inverse system  $(M_\lambda, \varphi_{\lambda\mu})$ , so  $\varphi_{\lambda\mu} \psi_\mu = \psi_\lambda$  for all  $\lambda \leq \mu$ . By the universal mapping property of the graded product, there exists a unique graded  $R$ -linear map  $\psi: M \rightarrow \prod_{\lambda \in \Lambda}^* M_\lambda$  such that  $\pi_\lambda \psi = \psi_\lambda$  for all  $\lambda \in \Lambda$ .

In fact, this map lands in  $\varprojlim^\star M_\lambda$  since

$$\begin{aligned}\varphi_{\lambda\mu}\pi_\mu\psi(u) &= \varphi_{\lambda\mu}\psi_\mu(u) \\ &= \psi_\lambda(u) \\ &= \pi_\lambda\psi(u)\end{aligned}$$

for all  $u \in M$ . □

### 3.2.6 Homology of Inverse Limit

**Proposition 3.7.** *Let  $(A_\lambda, \varphi_{\lambda\mu})$  be an inverse system of  $R$ -complexes and chain maps indexed over a preordered set  $(\Lambda, \leq)$ . Suppose that each  $\varphi_{\lambda\mu}$  is surjective and induces a surjective map  $\varphi_{\lambda\mu}|_{\ker d_\mu}: \ker d_\mu \rightarrow \ker d_\lambda$ , and suppose that  $H(A_\lambda) = 0$  for all  $\lambda$ . Then*

$$H(\varprojlim A_\lambda) = 0.$$

*Proof.* Let  $\overline{(a^n)} \in H(\varprojlim A^n)$ . So  $d^n(a^n) = 0$  and  $\varphi_{m,n}(a^n) = a^m$  for all  $m \leq n$ . To show that  $\overline{(a^n)} = 0$ , we need to construct a sequence  $(b^n)$  in  $\prod A^n$  such that  $d^n(b^n) = a^n$ . We want to construct a sequence  $(b_\lambda)$  such that

1.  $b_\lambda \in A_\lambda$  for all  $\lambda$
2.  $d_\lambda(b_\lambda) = a_\lambda$  for all  $\lambda$
3.  $\varphi_{\lambda\mu}(b_\mu) = b_\lambda$  for all  $\lambda$

We will do this by induction on  $\lambda$ . In the base case  $\lambda = 1$ , we use the fact that  $H(A_1) = 0$  to get  $b_1 \in A_1$  such that  $d^1(b^1) = a^1$ . Now suppose that for some  $n \in \mathbb{N}$ , we have constructed  $b^m \in A^m$  for all  $m \leq n$  such that  $d^m(b^m) = a^m$  and  $\varphi_{lm}(b^m) = b^l$  for all  $l \leq m \leq n$ . Using the fact that  $\varphi_{n,n+1}$  is surjective on kernels, we choose  $b^{n+1} \in \ker d^{n+1}$  such that  $\varphi_{n,n+1}(b^{n+1}) = b^n$ . Observe that for any  $m \leq n$ , we have

$$\begin{aligned}\varphi_{m,n+1}(b^{n+1}) &= \varphi_{m,n}\varphi_{n,n+1}(b^{n+1}) \\ &= \varphi_{m,n}(b^n) \\ &= b^m,\end{aligned}$$

by induction. Using the fact that  $H^{n+1}(A^{n+1}) = 0$ , we choose  $c^{n+1} \in A^{n+1}$  such that  $d^{n+1}(c^{n+1}) = b^{n+1}$ . □

### 3.2.7 Homology commutes with coproducts

**Proposition 3.8.** *Let  $\lambda$  be an index set and let  $(A_\lambda, d_\lambda)$  be an  $R$ -complex for each  $\lambda \in \Lambda$ . Then*

$$H\left(\bigoplus_{\lambda \in \Lambda} A_\lambda\right) \cong \bigoplus_{\lambda \in \Lambda} H(A_\lambda).$$

### 3.2.8 Homology commutes with graded limits

**Proposition 3.9.** *Let  $\lambda$  be an index set and let  $(A_\lambda, d_\lambda)$  be an  $R$ -complex for each  $\lambda \in \Lambda$ . Then*

$$H\left(\bigoplus_{\lambda \in \Lambda} A_\lambda\right) \cong \bigoplus_{\lambda \in \Lambda} H(A_\lambda).$$

## 3.3 Homotopy

**Definition 3.6.** Let  $\varphi$  and  $\psi$  be two chain maps between  $R$ -complexes  $(A, d)$  and  $(A', d')$ . We say  $\varphi$  is **homotopic to  $\psi$**  if there exists a graded homomorphism  $h: A \rightarrow A'$  of degree 1 such that

$$\varphi - \psi = d'h + hd.$$

We call  $h$  a **homotopy from  $\varphi$  to  $\psi$** . If  $\psi = 0$ , then we say  $\varphi$  is **null-homotopic**.

### 3.3.1 Homotopy is an equivalence relation

**Proposition 3.10.** Let  $\mathcal{C}(A, A')$  denote the set of all chain maps between  $R$ -complexes  $(A, d)$  and  $(A', d')$ . Homotopy gives an equivalence relation on  $\mathcal{C}(A, A')$ : for two elements  $\varphi, \psi \in \mathcal{C}(A, A')$ , write  $\varphi \sim \psi$  if  $\varphi$  is homotopic to  $\psi$ . Then  $\sim$  is an equivalence relation.

*Proof.* First we show reflexivity. Let  $\varphi \in \mathcal{C}(A, A')$ . Then the zero map  $h = 0$  gives a homotopy from  $\varphi$  to itself.

Next we show symmetry. Let  $\varphi, \psi \in \mathcal{C}(A, A')$  and suppose  $\varphi \sim \psi$ . Choose a homotopy  $h$  from  $\varphi$  to  $\psi$ . Then  $-h$  is a homotopy from  $\psi$  to  $\varphi$ .

Finally we show transitivity. Let  $\varphi, \psi, \omega \in \mathcal{C}(A, A')$  and suppose  $\varphi \sim \psi$  and  $\psi \sim \omega$ . Choose a homotopy  $h$  from  $\varphi$  to  $\psi$  and a homotopy  $h'$  from  $\psi$  to  $\omega$ . Then

$$\varphi - \psi = d'h + hd \quad \text{and} \quad \psi - \omega = d'h' + h'd.$$

Adding these together gives us

$$\begin{aligned} \varphi - \omega &= d'h + hd + d'h' + h'd \\ &= d'(h + h') + (h + h')d. \end{aligned}$$

Therefore  $h + h'$  is a homotopy from  $\varphi$  to  $\omega$ . □

### 3.3.2 Homotopy induces the same map on homology

**Proposition 3.11.** Let  $\varphi$  and  $\psi$  be chain maps of chain complexes  $(A, d)$  and  $(A', d')$ . If  $\varphi$  is homotopic to  $\psi$ , then  $H(\varphi) = H(\psi)$ .

*Proof.* Showing  $H(\varphi) = H(\psi)$  is equivalent to showing  $H(\varphi - \psi) = 0$  since  $H$  is additive. Thus, we may assume that  $\varphi$  is null-homotopic and that we are trying to show that  $H(\varphi) = 0$ . Let  $\bar{a} \in H(A, d)$ . Then  $H(a) = 0$ , and so

$$\begin{aligned} H(\varphi)(\bar{a}) &= \overline{\varphi(a)} \\ &= \overline{(d'h + hd)(a)} \\ &= \overline{d'(h(a)) + h(d(a))} \\ &= \overline{d'(h(a))} \\ &= 0. \end{aligned}$$

□

### 3.3.3 The Homotopy Category of $R$ -Complexes

Recall that  $\mathbf{Comp}_R$  is an  $R$ -linear category. In particular, this means that for each pair of  $R$ -complexes  $A$  and  $A'$  we have an  $R$ -module structure on the set of all chain maps between them. This  $R$ -module is denoted by  $\mathcal{C}(A, A')$ . Moreover the composition map

$$\circ: \mathcal{C}(A', A'') \times \mathcal{C}(A, A') \rightarrow \mathcal{C}(A, A'')$$

is  $R$ -bilinear. For any two  $R$ -complexes  $A$  and  $A'$  let us denote

$$[\mathcal{C}(A, A')] := \mathcal{C}(A, A') / \sim,$$

where  $\sim$  is the homotopy equivalence relation. We shall write  $[\varphi]$  for the equivalence class in  $[\mathcal{C}(A, A')]$  with  $\varphi \in \mathcal{C}(A, A')$  as one of its representatives. We want to show that the  $R$ -module structure on  $\mathcal{C}(A, A')$  induces an  $R$ -module structure on  $[\mathcal{C}(A, A')]$  and that the composition map  $\circ$  induces an  $R$ -bilinear map

$$[\circ]: [\mathcal{C}(A', A'')] \times [\mathcal{C}(A, A')] \rightarrow [\mathcal{C}(A, A'')].$$

More generally, we define the **homotopy category** of all  $R$ -complexes, denoted  $\mathbf{HComp}_R$ , to be the category whose objects are  $R$ -complexes and whose morphisms are homotopy classes of chain maps. The next theorem will prove that this is in fact a well-defined  $R$ -linear category.

**Theorem 3.1.**  $\mathbf{HComp}_R$  is an  $R$ -linear category.

*Proof.* Let  $A$  and  $A'$  be  $R$ -complexes. We first show that  $[\mathcal{C}(A, A')]$  has an induced  $R$ -module structure. Let  $[\varphi], [\psi] \in [\mathcal{C}(A, A')]$  and let  $r, s \in R$ . We set

$$r[\varphi] + s[\psi] := [r\varphi + s\psi]. \quad (7)$$

Let us check that (7) is in fact well-defined. Suppose  $\varphi \sim \tilde{\varphi}$  and  $\psi \sim \tilde{\psi}$ . Choose a homotopy  $\sigma$  from  $\varphi$  to  $\tilde{\varphi}$  and choose a homotopy  $\tau$  from  $\psi$  to  $\tilde{\psi}$ . Thus

$$\varphi - \tilde{\varphi} = \sigma d + d' \sigma \quad \text{and} \quad \psi - \tilde{\psi} = \tau d + d' \tau.$$

We claim that  $r\sigma + s\tau$  is a homotopy from  $r\varphi + s\psi$  to  $r\tilde{\varphi} + s\tilde{\psi}$ . Indeed,  $\sigma + \tau$  is a graded  $R$ -linear map of degree 1 from  $A$  to  $A'$ . Moreover, we have

$$\begin{aligned} r\varphi + s\psi - (r\tilde{\varphi} + s\tilde{\psi}) &= r(\varphi - \tilde{\varphi}) + s(\psi - \tilde{\psi}) \\ &= r(\sigma d + d' \sigma) + s(\tau d + d' \tau) \\ &= (r\sigma + s\tau)d + d'(r\sigma + s\tau). \end{aligned}$$

Thus (7) is well-defined.

Now we will show that composition in  $\mathbf{Comp}_R$  induces a well-defined  $R$ -bilinear composition operation in  $\mathbf{HComp}_R$ . Let  $A, A'$ , and  $A''$  be  $R$ -complexes. Let us check that composition map  $\circ$  on chain maps induces an  $R$ -bilinear composition map on homotopy classes of chain maps:

$$[\circ]: [\mathcal{C}(A', A'')] \times [\mathcal{C}(A, A')] \rightarrow [\mathcal{C}(A, A'')].$$

Let  $([\varphi'], [\varphi]) \in [\mathcal{C}(A', A'')] \times [\mathcal{C}(A, A')]$ . We define

$$[\circ]([\varphi'], [\varphi]) = [\varphi' \varphi]. \quad (8)$$

Let us check that (8) is in fact well-defined. Suppose  $\varphi \sim \psi$  and  $\varphi' \sim \psi'$ . Choose a homotopy  $h$  from  $\varphi$  to  $\psi$  and choose a homotopy  $h'$  from  $\varphi'$  to  $\psi'$ . Thus

$$\varphi - \psi = hd + d'h \quad \text{and} \quad \varphi' - \psi' = h'd' + d''h'.$$

We claim that  $\varphi'h + h'\psi$  is a homotopy from  $\varphi'\varphi$  to  $\psi'\psi$ . Indeed,  $\varphi'h + h'\psi$  is a graded  $R$ -linear map of degree 1 from  $A$  to  $A''$ . Moreover we have

$$\begin{aligned} (\varphi'h + h'\psi)d + d''(\varphi'h + h'\psi) &= \varphi'h d + h'\psi d + d''\varphi'h + d''h'\psi \\ &= \varphi'h d + h'd'\psi + \varphi'd'h + d''h'\psi \\ &= \varphi'(\varphi - \psi - d'h) + (\varphi' - \psi' - d''h')\psi + \varphi'd'h + d''h'\psi \\ &= \varphi'\varphi - \varphi'\psi - \varphi'd'h + \varphi'\psi - \psi'\psi - d''h'\psi + \varphi'd'h + d''h'\psi \\ &= \varphi'\varphi - \psi'\psi. \end{aligned}$$

Therefore  $\varphi'\varphi \sim \psi'\psi$ , and so (8) is well-defined. Observe that  $R$ -bilinearity and associativity of (8) follows trivially from  $R$ -bilinearity and associativity of composition in  $\mathbf{Comp}_R$ . Also for each  $R$ -complex  $A$ , the homotopy class of the identity map  $1_A$  serves as the identity morphism for  $A$  in  $\mathbf{HComp}_R$ , which is easily seen to satisfy the left and right unity laws since  $1_A$  satisfies the left and right unity laws in  $\mathbf{Comp}_R$ .  $\square$

### 3.3.4 Homotopy equivalences

**Definition 3.7.** Let  $\varphi: (A, d) \rightarrow (A', d')$  be a chain map. We say  $\varphi$  is a **homotopy equivalence** if there exists a chain map  $\varphi': (A', d') \rightarrow (A, d)$  such that  $\varphi'\varphi \sim 1_A$  and  $\varphi\varphi' \sim 1_{A'}$ . In this case, we call  $\varphi'$  a **homotopy inverse** to  $\varphi$ .

**Proposition 3.12.** Let  $\varphi: (A, d) \rightarrow (A', d')$  be an isomorphism of  $R$ -complexes with  $\varphi': (A', d') \rightarrow (A, d)$  being its inverse. Then both  $\varphi$  is a homotopy equivalence with  $\varphi'$  being a homotopy inverse.

*Proof.* Since  $\varphi$  and  $\varphi'$  are inverse to each other, we see that  $\varphi'\varphi = 1_A$  and  $\varphi\varphi' = 1_{A'}$ . In particular, if we take  $h$  to be the zero map, then we have

$$\begin{aligned} hd + d'h &= 0 \cdot d + d' \cdot 0 \\ &= 0 \\ &= \varphi'\varphi - 1_A. \end{aligned}$$

Thus  $\varphi'\varphi \sim 1_A$ . By a similar argument, we also have  $\varphi\varphi' \sim 1_{A'}$ .  $\square$

*Remark.* Note that a chain map  $\varphi: (A, d) \rightarrow (A', d')$  is a homotopy equivalence if and only if  $[\varphi]$  is an isomorphism.

### 3.4 Quasiisomorphisms

**Definition 3.8.** Let  $\varphi: A \rightarrow A'$  be a chain map. We say  $\varphi$  is a **quasiisomorphism** if the induced map in homology  $H(\varphi): H(A) \rightarrow H(A')$  is an isomorphism of graded  $R$ -modules.

#### 3.4.1 Homotopy equivalence is a quasiisomorphism

**Proposition 3.13.** Let  $\varphi: (A, d) \rightarrow (A', d')$  be a homotopy equivalence with homotopy inverse  $\varphi': (A', d') \rightarrow (A, d)$ . Then both  $\varphi$  and  $\varphi'$  are quasiisomorphisms.

*Proof.* Since  $\varphi'\varphi \sim 1_A$  and since homology takes homotopic maps to equal maps, we see that

$$\begin{aligned} 1_{H(A)} &= H(1_A) \\ &= H(\varphi'\varphi) \\ &= H(\varphi')H(\varphi). \end{aligned}$$

A similar calculation gives us  $H(\varphi')H(\varphi) = 1_{H(A')}$ . Therefore  $H(\varphi): H(A) \rightarrow H(A')$  is an isomorphism of graded  $R$ -modules with  $H(\varphi'): H(A') \rightarrow H(A)$  being its inverse.  $\square$

*Remark.* The converse is not true. That is, there are many examples of quasiisomorphisms which are not homotopy equivalences.

#### 3.4.2 Quasiisomorphism equivalence relation

**Definition 3.9.** Let  $A$  and  $A'$  be  $R$ -complexes. We say  $A$  is **quasiisomorphic** to  $A'$ , denoted  $A \sim_q A'$ , if there exists  $R$ -complexes  $A_0, \dots, A_n$  and  $B_1, \dots, B_n$  where  $A_0 = A$  and  $A_n = A'$ , together with quasiisomorphisms

$$\sigma_m: B_m \rightarrow A_{m-1} \quad \text{and} \quad \tau_m: B_m \rightarrow A_m$$

for each  $0 < m \leq n$ . In terms of arrows, this looks like

$$\begin{array}{ccccccc} & & B_1 & & \dots & & B_n \\ & \swarrow \sigma_1 & & \searrow \tau_1 & & \swarrow \sigma_n & \searrow \tau_n \\ A_0 & & & A_1 & & & A_{n-1} & & A_n \end{array}$$

One can easily check that being quasiisomorphic is an equivalence relation. It turns out that one can easily simplify this equivalence relation quite a bit. This is described in the following proposition.

**Proposition 3.14.** Let  $A$  and  $A'$  be  $R$ -complexes. Then  $A$  is quasiisomorphic to  $A'$  if and only if there exists a semiprojective  $R$ -complex  $P$  together with quasiisomorphisms  $\pi: P \rightarrow A$  and  $\pi': P \rightarrow A'$ .

*Proof.* One direction is clear, so it suffices to prove the other direction. Suppose  $A \sim_q A'$ . Choose  $R$ -complexes  $A_0, \dots, A_n$  and  $B_1, \dots, B_n$  where  $A_0 = A$  and  $A_n = A'$ , together with quasiisomorphisms

$$\sigma_m: B_m \rightarrow A_{m-1} \quad \text{and} \quad \tau_m: B_m \rightarrow A_m$$

for each  $0 < m \leq n$ . Choose a semiprojective resolution  $\pi_0: P \rightarrow A_0$  of  $A_0$ . Let  $\tilde{\pi}_0: P \rightarrow B_1$  be a homotopic lift of  $\pi_0$  with respect to  $\sigma_1$  and denote  $\pi_1 = \tau_1 \tilde{\pi}_0$ . We proceed inductively to construct chain maps  $\tilde{\pi}_{m-1}: P \rightarrow B_m$  and  $\pi_m: P \rightarrow A_m$  where  $\tilde{\pi}_{m-1}$  is a homotopic lift of  $\pi_{m-1}$  with respect to  $\sigma_m$  and where  $\pi_m = \tau_m \tilde{\pi}_{m-1}$ .

We prove by induction on  $1 \leq m \leq n$  that  $\pi_m$  and  $\tilde{\pi}_{m-1}$  are quasiisomorphisms. First we consider the base case  $m = 1$ . Observe that  $\sigma_1 \tilde{\pi}_0 \sim \pi_0$  implies  $H(\sigma_1)H(\tilde{\pi}_0) = H(\pi_0)$ . Then  $H(\tilde{\pi}_0)$  is an isomorphism since both  $H(\sigma_1)$  and  $H(\pi_0)$  are isomorphisms. Therefore  $\tilde{\pi}_0$  is a quasiisomorphism. Similarly,  $\pi_1$  is a quasiisomorphism since it is a composition of quasiisomorphisms.

Now suppose we have shown that  $\pi_m$  and  $\tilde{\pi}_{m-1}$  are quasiisomorphisms for some  $m < n$ . Observe that  $\sigma_m \tilde{\pi}_{m-1} \sim \pi_m$  implies  $H(\sigma_m)H(\tilde{\pi}_{m-1}) = H(\pi_m)$ . Then  $H(\tilde{\pi}_{m-1})$  is an isomorphism since both  $H(\sigma_m)$  and  $H(\pi_m)$  are isomorphisms. Therefore  $\tilde{\pi}_{m-1}$  is a quasiisomorphism. Similarly,  $\pi_{m+1}$  is a quasiisomorphism since it is a composition of quasiisomorphisms.

Thus we have shown by induction that  $\pi_m$  and  $\tilde{\pi}_{m-1}$  are quasiisomorphisms for all  $1 \leq m \leq n$ . In particular,  $\pi_n: P \rightarrow A_n$  is a quasiisomorphism.  $\square$



### 3.5 Exact Sequences of $R$ -Complexes

**Definition 3.10.** Let  $(A, d)$ ,  $(A', d')$ , and  $(A'', d'')$  be  $R$ -complexes and let  $\varphi: A' \rightarrow A$  and  $\psi: A \rightarrow A''$  be chain maps. Then we say that

$$0 \longrightarrow (A', d') \xrightarrow{\varphi} (A, d) \xrightarrow{\psi} (A'', d'') \longrightarrow 0$$

is a **short exact sequence** of  $R$ -complexes if it is a short exact sequence when considered as graded  $R$ -modules. More specifically, this means that following diagram is commutative with exact rows:

$$\begin{array}{ccccccc}
& \vdots & & \vdots & & \vdots & \\
& \downarrow d'_{i+2} & & \downarrow d_{i+2} & & \downarrow d''_{i+2} & \\
0 & \longrightarrow & A'_{i+1} & \xrightarrow{\varphi_{i+1}} & A_{i+1} & \xrightarrow{\psi_{i+1}} & A''_{i+1} \longrightarrow 0 \\
& \downarrow d'_{i+1} & & \downarrow d_{i+1} & & \downarrow d''_{i+1} & \\
0 & \longrightarrow & A'_i & \xrightarrow{\varphi_i} & A_i & \xrightarrow{\psi_i} & A''_i \longrightarrow 0 \\
& \downarrow d'_i & & \downarrow d_i & & \downarrow d''_i & \\
0 & \longrightarrow & A'_{i-1} & \xrightarrow{\varphi_{i-1}} & A_{i-1} & \xrightarrow{\psi_{i-1}} & A''_{i-1} \longrightarrow 0 \\
& \downarrow d'_{i-1} & & \downarrow d_{i-1} & & \downarrow d''_{i-1} & \\
& \vdots & & \vdots & & \vdots & 
\end{array}$$

### 3.5.1 Long exact sequence in homology

**Theorem 3.2.** *Let*

$$0 \longrightarrow (A', d') \xrightarrow{\varphi} (A, d) \xrightarrow{\psi} (A'', d'') \longrightarrow 0$$

be a short exact sequence of  $R$ -complexes. Then there exists a graded homomorphism  $\tilde{\partial}: H(A'') \rightarrow H(A')$  of degree  $-1$  such that

$$\begin{array}{ccccccc}
 & & \cdots & \longrightarrow & \mathbf{H}_{i+1}(A'') & \searrow & \\
 & & & & \bar{\partial}_{i+1} & & \\
 & \nearrow & & & & & \\
 \mathbf{H}_i(A') & \xrightarrow{\mathbf{H}_i(\varphi)} & \mathbf{H}_i(A) & \xrightarrow{\mathbf{H}_i(\psi)} & \mathbf{H}_i(A'') & \searrow & \\
 & & & & \bar{\partial}_i & & \\
 & \nearrow & & & & & \\
 \mathbf{H}_{i-1}(A') & \longrightarrow & \cdots & & & & 
 \end{array} \tag{9}$$

is a long exact sequence of  $R$ -modules.

*Proof.* The proof will consist of three steps. The first step is to construct a graded function  $\bar{\partial}: H(A'') \rightarrow H(A')$  of degree  $-1$  (graded here just means  $\bar{\partial}(H_i(A'')) \subseteq H_{i-1}(A')$  for all  $i \in \mathbb{Z}$ ). The next step will be to show that  $\bar{\partial}$  is  $R$ -linear. The final step will be to show exactness of (17).

**Step 1:** We construct a graded function  $\mathfrak{d}: H(A'') \rightarrow H(A')$  as follows: let  $[a''] \in H_i(A'')$ . Choose a representative of the coset  $[a'']$ , say  $a'' \in A_i''$  (so  $d''(a'') = 0$ ), and choose a lift of  $a''$  in  $A_i$  with respect to  $\psi$ , say  $a \in A_i$  (so  $\psi(a) = a''$ ). We can make such a choice since  $\psi$  is surjective. Since

$$\begin{aligned}\psi(\mathbf{d}(a)) &= \mathbf{d}''(\psi(a)) \\ &= \mathbf{d}''(a'') \\ &= 0,\end{aligned}$$

it follows by exactness of (3.8.3) that there exists a unique  $a' \in A'_{i-1}$  such that  $\varphi(a') = d(a)$ . Observe that  $d'(a') = 0$  since  $\varphi$  is injective and since

$$\begin{aligned}\varphi(d'(a')) &= d(\varphi(a')) \\ &= \varphi(d(a)) \\ &= 0.\end{aligned}$$

Thus  $a'$  represents an element in  $H_{i-1}(A')$ . We define  $\bar{\partial}: H(A'') \rightarrow H(A')$  by

$$\bar{\partial}[a''] = [a'].$$

We need to verify that  $\bar{\partial}$  is well-defined. There were two choices that we made in constructing  $\bar{\partial}$ . The first choice was the choice of a representative of the coset  $[a'']$ . Let us consider another choice, say  $a'' + d''(b'')$  where  $b'' \in A''_{i+1}$  (every representative of the coset  $[a'']$  has this form for some  $b'' \in A''_{i+1}$ ). The second choice that we made was the choice of a lift of  $a''$  in  $A$  with respect to  $\psi$ . This time we have another coset representative of  $[a'']$ , so let  $a + \varphi(b') + d(b)$  be another choice of a lift of  $a'' + d''(b'')$  with respect to  $\psi$  where  $b' \in A'_i$  and  $b \in A_{i+1}$  (every such choice has this form for some  $b' \in A'_i$  and  $b \in A_{i+1}$ ). Now observe that

$$\begin{aligned}\psi d(a + \varphi(b') + d(b)) &= \psi d(a) + \psi d\varphi(b') + \psi dd(b) \\ &= \psi d(a) + \psi d\varphi(b') \\ &= \psi d(a) + \psi \varphi d'(b') \\ &= \psi d(a) \\ &= d''\psi(a) \\ &= d''(a'') \\ &= 0.\end{aligned}$$

Hence there exists a unique element in  $A'_{i-1}$  which maps to  $d(a + \varphi(b') + d(b))$  with respect to  $\varphi$ , and since

$$\begin{aligned}\varphi(a' + d'(b')) &= \varphi(a') + \varphi d'(b') \\ &= d(a) + d\varphi(b') \\ &= d(a + \varphi(b') + d(b)),\end{aligned}$$

this unique element must be  $a' + d'(b')$ . Therefore

$$\begin{aligned}\bar{\partial}[a'' + d''(b'')] &= [a' + d'(b')] \\ &= [a'] \\ &= \bar{\partial}[a''],\end{aligned}$$

which implies  $\bar{\partial}$  is well-defined. Moreover, we see that  $\bar{\partial}(H(A_i)) \subseteq H(A_{i-1})$ , and is hence graded of degree  $-1$ . As usual, we denote  $\bar{\partial}_i := \bar{\partial}|_{A_i}$  for all  $i \in \mathbb{Z}$ .

**Step 2:** Let  $i \in \mathbb{Z}$ , let  $\overline{a''}, \overline{b''} \in H(A'')$ , and let  $r, s \in R$ . Choose a coset representative  $\overline{a''}$  and  $\overline{b''}$ , say  $a'' \in A''_i$  and  $b'' \in A''_i$ . Then  $ra'' + sb''$  is a coset representative of  $\overline{ra'' + sb''}$  (by linearity of taking quotients). Next, choose lifts of  $a''$  and  $b''$  in  $A_i$  under  $\varphi$ , say  $a \in A_i$  and  $b \in A_i$  respectively. Then  $ra + sb$  is a lift of  $ra'' + sb''$  in  $A_i$  under  $\varphi$  (by linearity of  $\psi$ ). Finally, let  $a'$  and  $b'$  be the unique elements in  $A'_{i-1}$  such that  $\varphi(a') = d(a)$  and  $\varphi(b') = d(b)$ . Then  $ra' + sb'$  is the unique element in  $A'_{i-1}$  such that  $\varphi(ra' + sb') = d(ra + sb)$  (by linearity of  $\varphi$ ). Thus, we have

$$\begin{aligned}\bar{\partial}(\overline{ra'' + sb''}) &= \overline{ra' + sb'} \\ &= r\overline{a'} + s\overline{b'} \\ &= r\bar{\partial}(\overline{a''}) + s\bar{\partial}(\overline{b''}).\end{aligned}$$

**Step 3:** To prove exactness of (17), it suffices to show exactness at  $H_i(A'')$ ,  $H_i(A)$ , and  $H_i(A')$ . First we prove exactness at  $H_i(A)$ . Let  $\bar{a} \in \text{Ker}(H_i(\psi))$  (so  $a \in A_i$ ,  $d(a) = 0$ , and  $\overline{\psi(a)} = \bar{0}$ ). Lift  $\psi(a) \in A''_i$  to an element  $a'' \in A''_{i+1}$  under  $d''$  (we can do this since  $\overline{\psi(a)} = \bar{0}$ ). Lift  $a'' \in A''_{i+1}$  to an element  $b \in A_{i+1}$  under  $\psi$  (we can do this since  $\psi$  is surjective). Then

$$\begin{aligned}\psi(d(b) - a) &= \psi(d(b)) - \psi(a) \\ &= d''(a'') - \psi(a) \\ &= \psi(a) - \psi(a) \\ &= 0\end{aligned}$$

implies  $d(b) - a \in \text{Ker}(\psi)$ . Lift  $d(b) - a$  to the unique element  $a' \in A'_i$  under  $\varphi$  (we can do this exactness of (3.8.3)). Since  $\varphi$  is injective,

$$\begin{aligned}\varphi(d'(a')) &= d(\varphi(a')) \\ &= d(d(b) - a) \\ &= d(d(b)) - d(a) \\ &= 0\end{aligned}$$

implies  $d'(a') = 0$ . Hence  $a'$  represents an element in  $H(A')$ . Therefore

$$\begin{aligned}H_i(\varphi)(a') &= \overline{\varphi(a')} \\ &= \overline{d(b) - a} \\ &= \bar{a}\end{aligned}$$

implies  $\bar{a} \in \text{Im}(H_i(\varphi))$ . Thus we have exactness at  $H_i(A)$ .

Next we show exactness at  $H_i(A')$ . Let  $\bar{a}' \in \text{Ker}(H_i(\varphi))$  (so  $a' \in A'_i$ ,  $d(a') = 0$ , and  $\overline{\varphi(a')} = \bar{0}$ ). Lift  $\varphi(a') \in A_i$  to an element  $a \in A'_{i+1}$  under  $d$  (we can do this since  $\overline{\varphi(a)} = \bar{0}$ ). Then

$$\begin{aligned}d(\psi(a)) &= \psi(d(a)) \\ &= \psi(\varphi(a')) \\ &= 0.\end{aligned}$$

Hence  $\psi(a)$  represents an element in  $H_{i+1}(A'')$ . By construction, we have  $\partial(\overline{\psi(a)}) = \bar{a}'$ , which implies  $\bar{a}' \in \text{Im}(\partial_{i+1})$ . Thus we have exactness at  $H_i(A')$ .

Finally we show exactness at  $H_i(A'')$ . Let  $\bar{a}'' \in \text{Ker}(\partial_i)$  (so  $a'' \in A''_i$  and  $d(a'') = 0$ ). Lift  $a''$  to an element  $a \in A_i$  under  $\psi$ . Lift  $d(a)$  to the unique element  $a'$  in  $A'_{i-1}$  under  $\varphi$ . Lift  $a'$  to an element  $b' \in A'_{i+1}$  under  $d$  (we can do this since  $0 = \partial(\bar{a}'') = \bar{a}'$ ). Then

$$\begin{aligned}d(a - \varphi(b')) &= d(a) - d(\varphi(b')) \\ &= d(a) - \varphi(d(b')) \\ &= d(a) - \varphi(a') \\ &= 0,\end{aligned}$$

and hence  $a - \varphi(b')$  represents an element in  $H_i(A)$ . Moreover, we have

$$\begin{aligned}H_i(\psi)(\overline{a - \varphi(b')}) &= \overline{\psi(a - \varphi(b'))} \\ &= \overline{\psi(a) - \psi(\varphi(b'))} \\ &= \overline{\psi(a)} \\ &= \bar{a}'',\end{aligned}$$

which implies  $\bar{a}'' \in \text{Im}(H_i(\psi))$ . Thus we have exactness at  $H_i(A'')$ .  $\square$

**Definition 3.11.** Given a short exact sequence of  $R$ -complexes as in (3.8.3), we refer to the graded homomorphism  $\partial: H(A'') \rightarrow H(A')$  of degree  $-1$  as the **induced connecting map**.

### 3.5.2 When a Graded $R$ -Linear Map is a Chain Map

**Proposition 3.15.** Let  $(A, d)$  and  $(B, \partial)$  be  $R$ -complexes and let  $\varphi: A \rightarrow B$  be a graded  $R$ -linear map of the underlying graded modules. Let  $\bar{B} = B/\text{im}(\partial\varphi - \varphi d)$  and let  $\pi: B \rightarrow \bar{B}$  be the quotient map. Define  $\bar{\partial}: \bar{B} \rightarrow \bar{B}$  by

$$\bar{\partial}(\bar{b}) = \overline{\partial(b)}$$

for all  $a \in A$  and  $\bar{b} \in \bar{B}$ . Then  $(\bar{B}, \bar{\partial})$  is an  $R$ -complex and  $\pi\varphi: A \rightarrow \bar{B}$  is a chain map. Moreover, if  $\varphi$  takes  $\text{im } d$  to  $\text{im } \partial$ , then we have the following short exact sequence of graded  $R$ -modules and graded  $R$ -linear maps:

$$0 \longrightarrow H(B) \xrightarrow{H(\pi)} H(\bar{B}) \xrightarrow{\gamma} \text{im}(\partial\varphi - \varphi d)(-1) \longrightarrow 0 \quad (10)$$

where  $\gamma$  is the connecting map coming from a long exact sequence in homology.

*Proof.* Observe that  $\text{im}(\partial\varphi - \varphi d)$  is a graded  $R$ -submodule of  $B$  since  $\partial\varphi - \varphi d$  is a graded  $R$ -linear map of degree  $-1$ , therefore the grading on  $B$  induces a grading on  $\bar{B}$  which makes  $\pi$  into a graded  $R$ -linear map. Therefore  $\pi\varphi$ , being a composite of two graded  $R$ -linear maps, is a graded  $R$ -linear map. We need to check that  $\bar{\partial}$  is well-defined, that is, we need to check that  $\partial$  sends  $\text{im}(\partial\varphi - \varphi d)$  to itself. Let  $(\partial\varphi - \varphi d)(a) \in \text{im}(\partial\varphi - \varphi d)$  where  $a \in A$ . Then

$$\begin{aligned}\partial(\partial\varphi - \varphi d)(a) &= (\partial\partial\varphi - \partial\varphi d)(a) \\ &= -\partial\varphi d(a) \\ &= (-\partial\varphi d(a) + \varphi dd(a)) \\ &= (-\partial\varphi + \varphi d)(d(a)) \in \text{im}(\partial\varphi - \varphi d).\end{aligned}$$

Thus  $\bar{\partial}$  is well-defined. Also  $\bar{\partial}$  is an  $R$ -linear differential since it inherits these properties from  $\partial$ . Therefore  $(\bar{B}, \bar{\partial})$  is an  $R$ -complex.

Now let us check that  $\pi\varphi$  is a chain map. To see this, we just need to show it commutes with the differentials. Let  $a \in A$ . Then we have

$$\begin{aligned}\bar{\partial}\pi\varphi(a) &= \bar{\partial}(\overline{\varphi(a)}) \\ &= \overline{\partial\varphi(a)} \\ &= \overline{\partial\varphi(a) - (\partial\varphi - \varphi d)(a)} \\ &= \overline{\partial\varphi(a) - \partial\varphi(a) + \varphi d(a)} \\ &= \overline{\varphi d(a)} \\ &= \pi\varphi d(a).\end{aligned}$$

Thus  $\pi\varphi$  is a chain map.

Since  $\partial$  sends  $\text{im}(\partial\varphi - \varphi d)$  to itself, it restricts to a differential on  $\text{im}(\partial\varphi - \varphi d)$ . So we have a short exact sequence of  $R$ -complexes

$$0 \longrightarrow \text{im}(\partial\varphi - \varphi d) \xrightarrow{\iota} B \xrightarrow{\pi} \bar{B} \longrightarrow 0 \quad (11)$$

where  $\iota$  is the inclusion map. The short exact sequence (11) induces the following long exact sequence in homology

$$\begin{array}{ccccccc} & & & \cdots & \longrightarrow & H_{i+1}(\bar{B}) & \longrightarrow \\ & & & & & \gamma_{i+1} & \\ & \longleftarrow & & & & & \\ & & H_i(\text{im}(\partial\varphi - \varphi d)) & \xrightarrow{H_i(\iota)} & H_i(B) & \xrightarrow{H_i(\pi)} & H_i(\bar{B}) \\ & & & & & \gamma_i & \\ & \longleftarrow & & & & & \\ & & H_{i-1}(\text{im}(\partial\varphi - \varphi d)) & \xrightarrow{H_{i-1}(\iota)} & H_{i-1}(B) & \longrightarrow & \cdots \end{array} \quad (12)$$

Let us work out the details of the connecting map  $\gamma$ . Let  $[\bar{b}] \in H_i(\bar{B})$ , so  $\bar{b} \in \bar{B}_i$  is the coset with  $b \in B_i$  as a representative and  $[\bar{b}] \in H_i(\bar{B})$  is the coset with  $\bar{b} \in \bar{B}_i$  as a representative. In particular,  $\bar{\partial}(\bar{b}) = \bar{0}$ , which implies

$$\partial(b) = (\partial\varphi - \varphi d)(a) \quad (13)$$

for some  $a \in A$ . Then (13) implies that  $(\partial\varphi - \varphi d)(a)$  is the unique element in  $\text{im}(\partial\varphi - \varphi d)$  which maps to  $\partial(b)$  (under the inclusion map). Therefore

$$\gamma_i[\bar{b}] = [(\partial\varphi - \varphi d)(a)].$$

Now suppose  $\varphi$  takes  $\text{im } d$  to  $\text{im } \partial$ . We claim that  $\partial$  restricts to the zero map on  $\text{im}(\partial\varphi - \varphi d)$ . Indeed, let  $(\partial\varphi - \varphi d)(a) \in \text{im}(\partial\varphi - \varphi d)$  where  $a \in A$ . Since  $\varphi$  takes  $\text{im } d$  to  $\text{im } \partial$ , there exists a  $b \in B$  such that

$$\varphi d(a) = \partial(b).$$

Choose such a  $b \in B$ . Then observe that

$$\begin{aligned}\partial(\partial\varphi - \varphi d)(a) &= \partial\partial\varphi - \partial\varphi d(a) \\ &= -\partial\varphi d(a) \\ &= -\partial\partial(b) \\ &= 0.\end{aligned}$$

Thus  $\partial$  restricts to the zero map on  $\text{im}(\partial\varphi - \varphi d)$ . In particular,  $H(\text{im}(\partial\varphi - \varphi d)) \cong \text{im}(\partial\varphi - \varphi d)$ .

Next we claim that  $H(\iota)$  is the zero map. Indeed, for any  $(\partial\varphi - \varphi d)(a) \in \text{im}(\partial\varphi - \varphi d)$  where  $a \in A$ , we choose  $b \in B$  such that  $\varphi d(a) = \partial(b)$ , then we have

$$\begin{aligned} (\partial\varphi - \varphi d)(a) &= \partial\varphi(a) - \varphi d(a) \\ &= \partial\varphi(a) - \partial b \\ &= \partial(\varphi(a) - b) \\ &\in \text{im } \partial. \end{aligned}$$

Therefore  $H(\iota)$  takes the coset in  $H(\text{im}(\partial\varphi - \varphi d))$  represented by  $(\partial\varphi - \varphi d)(a)$  to the coset in  $H(B)$  represented by 0. Thus  $H(\iota)$  is the zero map as claimed.

Combining everything together, we see that the long exact sequence (12) breaks up into short exact sequences

$$0 \longrightarrow H_i(B) \xrightarrow{H_i(\pi)} H_i(\overline{B}) \xrightarrow{\gamma_i} \text{im}(\partial_{i-1}\varphi_{i-1} - \varphi_{i-2}d_{i-1}) \longrightarrow 0 \quad (14)$$

for all  $i \in \mathbb{Z}$ . In other words, (11) is a short exact sequence of graded  $R$ -modules. □

## 3.6 Operations on $R$ -Complexes

### 3.6.1 Product of $R$ -complexes

### 3.6.2 Limits

**Definition 3.12.** Let  $(\Lambda, \leq)$  be a preordered set. A system  $(M_\lambda, \varphi_{\lambda\mu})$  of  $R$ -complexes and chain maps over  $\Lambda$  consists of a family of  $R$ -complexes  $\{(M_\lambda, d_\lambda)\}$  indexed by  $\Lambda$  and a family of chain maps  $\{\varphi_{\lambda\mu}: M_\lambda \rightarrow M_\mu\}_{\lambda \leq \mu}$  such that for all  $\lambda \leq \mu \leq \kappa$ ,

$$\varphi_{\lambda\lambda} = 1_{M_\lambda} \quad \text{and} \quad \varphi_{\lambda\kappa} = \varphi_{\mu\kappa}\varphi_{\lambda\mu}.$$

We say  $(M_\lambda, \varphi_{\lambda\mu})$  is a **directed system** if  $\Lambda$  is a directed set.

**Proposition 3.16.** Let  $(M_\lambda, \varphi_{\lambda\mu})$  be a system of  $R$ -complexes and chain maps over  $\Lambda$ . The limit of this system, denoted  $\lim^* M_\lambda$ , is given by the  $R$ -complex  $(\lim^* M_\lambda, \lim^* d_\lambda)$  together with the projection maps

$$\pi_\lambda: \lim^* M_\lambda \rightarrow M_\lambda$$

for all  $\lambda \in \Lambda$ , where  $\lim^* M_\lambda$  is the graded  $R$ -module given by

$$\lim^* M_\lambda = \left\{ (u_\lambda) \in \prod_{\lambda \in \Lambda}^* M_\lambda \mid \varphi_{\lambda\kappa}(u_\lambda) = u_\mu \text{ for all } \lambda \leq \mu \right\}$$

and where the differential  $\lim^* d_\lambda$  is defined pointwise:

$$(\lim^* d_\lambda)((u_\lambda)) = (d_\lambda(u_\lambda))$$

for all  $(u_\lambda) \in \lim^* M_\lambda$ .

*Proof.* We need to show that  $\lim^* M_\lambda$  satisfies the universal mapping property. Let  $(M, \psi_\lambda)$  be compatible with respect to the system  $(M_\lambda, \varphi_{\lambda\mu})$ , so

$$\varphi_{\lambda\mu}\psi_\lambda = \psi_\mu$$

for all  $\lambda \leq \mu$ . By the universal mapping property of the graded limits, there exists a unique graded  $R$ -linear map  $\psi: M \rightarrow \lim^* M_\lambda$  of graded  $R$ -linear maps which commutes with all the arrows. It remains to show that  $\psi$  commutes with the differentials. Indeed, we have

$$\begin{aligned} (\lim^* d_\lambda \psi)(u) &= \lim^* d_\lambda((\psi_\lambda(u))) \\ &= (d_\lambda(\psi_\lambda(u))) \\ &= (\psi_\lambda(d(u))) \\ &= \psi(d(u)) \\ &= (\psi d)(u). \end{aligned}$$

for all  $u \in M$ . □

### 3.6.3 Localization

Let  $(A, d)$  be an  $R$ -complex and let  $S$  be a multiplicatively closed subset of  $R$ . The **localization of  $(A, d)$  with respect to  $S$**  is the  $R_S$ -complex  $(A_S, d_S)$  where  $A_S$  is the graded  $R_S$ -module whose component in degree  $i$  is

$$(A_S)_i = \{a/s \mid a \in A_i \text{ and } s \in S\}.$$

The differential  $d_S$  is defined as follows: if  $a/s \in (A_S)_i$ , then

$$d_S(a/s) = d(a)/s.$$

### 3.6.4 Direct Sum of $R$ -Complexes

**Definition 3.13.** Let  $(A, d)$  and  $(A', d')$  be  $R$ -complexes. We define their **direct sum** to be the  $R$ -complex

$$(A, d) \oplus_R (A', d') := (A \oplus A', d \oplus d')$$

whose graded  $R$ -module  $A \oplus A'$  has

$$(A \oplus A')_i = A_i \oplus A'_i$$

as its  $i$ th homogeneous component and whose differential  $d \oplus d'$  is defined by

$$(d \oplus d')(a, a') = (d(a), d'(a'))$$

for all  $(a, a') \in A \oplus A'$ .

More generally, suppose  $(A_\lambda, d_\lambda)$  is an  $R$ -complex for each  $\lambda$  in some indexing set  $\Lambda$ . We define their **direct sum** to be the  $R$ -complex

$$\bigoplus_{\lambda \in \Lambda} (A_\lambda, d_\lambda) := \left( \bigoplus_{\lambda \in \Lambda} A_\lambda, \bigoplus_{\lambda \in \Lambda} d_\lambda \right).$$

It is easy to check that

$$H \left( \bigoplus_{\lambda \in \Lambda} A_\lambda \right) \cong \bigoplus_{\lambda \in \Lambda} H(A_\lambda).$$

In other words, homology commutes with direct sums.

### 3.6.5 Shifting an $R$ -complex

**Definition 3.14.** Let  $(A, d)$  be an  $R$ -complex. We define the **shift** of  $(A, d)$  to be the  $R$ -complex

$$\Sigma(A, d) := (A(-1), -d).$$

More generally, let  $k \in \mathbb{Z}$ . We define the  $k$ th **shift** of  $(A, d)$  to be the  $R$ -complex

$$\Sigma^k(A, d) = (A(-k), (-1)^k d).$$

**Proposition 3.17.** Let  $A$  be an  $R$ -complex and let  $n \in \mathbb{Z}$ . Then

$$H(\Sigma^n A) = H(A)(-n).$$

In particular,

$$H_i(\Sigma^n A) = H_{i-n}(A)$$

for all  $i \in \mathbb{Z}$ .

*Proof.* We have

$$\begin{aligned} H(\Sigma^n A) &= \ker(d_{\Sigma^n A}) / \operatorname{im}(d_{\Sigma^n A}) \\ &= \ker((-1)^n d_{A(-n)}) / \operatorname{im}((-1)^n d_{A(-n)}) \\ &= \ker(d_{A(-n)}) / \operatorname{im}(d_{A(-n)}) \\ &= H(A)(-n). \end{aligned}$$

□

### 3.7 The Mapping Cone

**Definition 3.15.** Let  $\varphi: A \rightarrow B$  be a chain map. The **mapping cone of  $\varphi$** , denoted  $C(\varphi)$ , is the  $R$ -complex whose underlying graded  $R$ -module is  $C(\varphi) = B \oplus A(-1)$  and whose differential is defined by

$$d_{C(\varphi)}(b, a) := (d_B(b) + \varphi(a), -d_A(a))$$

for all  $(b, a) \in B \oplus A(-1)$ .

*Remark.* To see that we are justified in calling  $C(\varphi)$  an  $R$ -complex, let us check that  $d_{C(\varphi)}d_{C(\varphi)} = 0$ . Let  $(b, a) \in C(\varphi)$ . Then we have

$$\begin{aligned} d_{C(\varphi)}d_{C(\varphi)}(b, a) &= d_{C(\varphi)}(d_B(b) + \varphi(a), -d_A(a)) \\ &= (d_B(d_B(b) + \varphi(a)) + \varphi(-d_A(a)), -d_A d_A(a)) \\ &= (d_B \varphi(a) - \varphi d_A(a), 0) \\ &= (0, 0). \end{aligned}$$

#### 3.7.1 Turning a Chain Map Into a Connecting Map

**Theorem 3.3.** Let  $\varphi: A \rightarrow B$  be a chain map. Then we have a short exact sequence of  $R$ -complexes

$$0 \longrightarrow B \xrightarrow{\iota} C(\varphi) \xrightarrow{\pi} \Sigma A \longrightarrow 0 \quad (15)$$

where  $\iota: B \rightarrow C(\varphi)$  is the inclusion map given by

$$\iota(b) = (b, 0)$$

for all  $b \in B$ , and where  $\pi: C(\varphi) \rightarrow \Sigma A$  is the projection map given by

$$\pi(b, a) = a$$

for all  $(b, a) \in C(\varphi)$ . Moreover the connecting map  $\delta: H(\Sigma A) \rightarrow H(B)$  induced by (15) agrees with  $H(\varphi)$ .

*Proof.* It is straightforward to check that (15) is a short exact sequence of  $R$ -complexes. Let us show that the connecting map agrees with  $H(\varphi)$ . Let  $i \in \mathbb{Z}$  and let  $\bar{a} \in H_i(\Sigma A)$ . Thus  $a \in A_i$  and  $d_A(a) = 0$ . Lift  $a \in A_i$  to the element  $(0, a) \in C_i(\varphi)$ . Now apply  $d_{C(\varphi)}$  to  $(0, a)$  to get  $(\varphi(a), 0) \in C_{i-1}(\varphi)$ . Then  $\varphi(a)$  is the unique element in  $B_{i-1}$  which maps to  $(\varphi(a), 0)$  under  $d_B$ . Therefore

$$\begin{aligned} \delta(\bar{a}) &= \overline{\varphi(a)} \\ &= H(\varphi)(\bar{a}). \end{aligned}$$

It follows that  $\delta$  and  $H(\varphi)$  agree on all of  $H(A)$ . □

*Remark.* In the context of graded  $R$ -modules, it would be incorrect to say  $\delta = H(\varphi)$ . This is because  $\delta$  is graded of degree  $-1$  and  $H(\varphi)$  is graded of degree  $0$ . On the other hand, it would be correct to say  $\delta_i = H_{i-1}(\varphi)$  for all  $i \in \mathbb{Z}$ .

#### 3.7.2 Quasiisomorphism and Mapping Cone

**Corollary.** Let  $\varphi: A \rightarrow B$  be a chain map. Then  $\varphi$  is a quasiisomorphism if and only if  $C(\varphi)$  is an exact complex.

*Proof.* Suppose  $C(\varphi)$  is an exact complex, so  $H(C(\varphi)) \cong 0$ . Then for each  $i \in \mathbb{Z}$ , the long exact sequence induced by (15) gives us

$$0 \cong H_{i+1}(C(\varphi)) \xrightarrow{H(\pi)} H_i(A) \xrightarrow{H(\varphi)} H_i(B) \xrightarrow{H(\iota)} H_i(C(\varphi)) \cong 0$$

which implies  $H_i(A) \cong H_i(B)$  for all  $i \in \mathbb{Z}$ .

Conversely, suppose  $\varphi$  is a quasiisomorphism. Then for each  $i \in \mathbb{Z}$ , the long exact sequence induced by (15) gives us

$$H_i(A) \cong H_i(B) \xrightarrow{H(\iota)} H_i(C(\varphi)) \xrightarrow{H(\pi)} H_{i-1}(A) \cong H_{i-1}(B)$$

which implies  $H_i(C(\varphi)) \cong 0$  for all  $i \in \mathbb{Z}$ . □



### 3.7.3 Translating Mapping Cone With Isomorphisms

**Proposition 3.18.** *Suppose we have a commutative diagram of  $R$ -complexes*

$$\begin{array}{ccc} A & \xrightarrow{\phi} & B \\ \varphi \downarrow & & \downarrow \psi \\ A' & \xrightarrow{\phi'} & B' \end{array}$$

where  $\phi: A \rightarrow B$  and  $\phi': A' \rightarrow B'$  are isomorphisms. Then we have an isomorphism  $C(\phi) \cong C(\psi)$  of  $R$ -complexes.

*Proof.* Define  $\phi' \oplus \phi: C(\phi) \rightarrow C(\psi)$  by

$$(\phi' \oplus \phi)(a', a) = (\phi'(a'), \phi(a))$$

for all  $(a', a) \in C(\phi)$ . Clearly  $\phi' \oplus \phi$  is an isomorphism of the underlying graded  $R$ -modules. To see that it is an isomorphism of  $R$ -complexes, we need to check that it commutes with the differentials. Let  $(a', a) \in C(\phi)$ . We have

$$\begin{aligned} d_{C(\psi)}(\phi' \oplus \phi)(a', a) &= d_{C(\psi)}(\phi'(a'), \phi(a)) \\ &= (d_{B'}\phi'(a') + \psi\phi(a), -d_B\phi(a)) \\ &= (d_{B'}\phi'(a') + \psi\phi(a), -d_B\phi(a)) \\ &= (\phi'd_{A'}(a') + \phi'\varphi(a), -\phi d_A(a)) \\ &= (\phi' \oplus \phi)(d_{A'}(a') + \varphi(a), -d_A(a)) \\ &= (\phi' \oplus \phi)d_{C(\phi)}(a', a). \end{aligned}$$

□

### 3.7.4 Resolutions by Mapping Cones

**Lemma 3.4.** (Lifting Lemma) *Let  $\varphi: M \rightarrow M'$  be an  $R$ -module homomorphism, let  $(P, d)$  be a projective resolution of  $M$ , and let  $(P', d')$  be a projective resolution of  $M'$ . Then there exists a chain map  $\varphi: (P, d) \rightarrow (P', d')$  such that*

$$\begin{array}{ccc} H_0(P) & \xrightarrow{H_0(\varphi)} & H_0(P') \\ \downarrow \cong & & \downarrow \cong \\ M & \xrightarrow{\varphi} & M' \end{array}$$

*Proof.* For each  $i > 0$ , let  $M'_i := \text{Im}(d'_i)$  and let  $M_i := \text{Im}(d_i)$ . We build a chain map  $\varphi: (P, d) \rightarrow (P', d')$  by constructing  $R$ -module homomorphism  $\varphi_i: P_i \rightarrow P'_i$  which commute with the differentials using induction on  $i \geq 0$ .

First consider the base case  $i = 0$ . Let  $\psi_0: P_0 \rightarrow P'_0/M'_0$  be the composition

$$P_0 \rightarrow P_0/M_1 \cong M \rightarrow M' \cong P'_0/M'_1.$$

Since  $P_0$  is projective and since  $d'_0: P'_0 \rightarrow P'_0/M'_1$  is a surjective homomorphism, we can lift  $\psi_0: P_0 \rightarrow P'_0/M'_0$  along  $d'_0: P'_0 \rightarrow P'_0/M'_1$  to a homomorphism  $\varphi_0: P_0 \rightarrow P'_0$  such that  $d'_0\varphi_0 = \psi_0$ .

Now suppose for some  $i > 0$  we have constructed an  $R$ -module homomorphism  $\varphi_i: P_i \rightarrow P'_i$  such that

$$d'_i\varphi_i = \varphi_{i-1}d_i.$$

We need to construct an  $R$ -module homomorphism  $\varphi_{i+1}: P_{i+1} \rightarrow P'_{i+1}$  such that

$$d'_{i+1}\varphi_{i+1} = \varphi_id_{i+1}.$$

First, observe that  $\text{Im}(\varphi_id_{i+1}) \subseteq M'_{i+1}$ . Indeed, we have

$$\begin{aligned} d'_i\varphi_id_{i+1} &= \varphi_{i-1}d_id_{i+1} \\ &= 0, \end{aligned}$$



## 3.8 Tensor Products

### 3.8.1 Definition of tensor product

**Definition 3.16.** Let  $(A, d)$  and  $(A', d')$  be two  $R$ -complexes. Their **tensor product** is the  $R$ -complex  $(A \otimes_R A', d_{(A,A')}^\otimes)$ , where the graded  $R$ -module  $A \otimes_R A'$  has

$$(A \otimes_R A')_i = \bigoplus_{j \in \mathbb{Z}} A_j \otimes A'_{j-i}$$

as its  $i$ th homogeneous component and whose differential is defined on elementary homogeneous tensors (and extended linearly) by

$$d_{(A,A')}^\otimes(a \otimes a') = d(a) \otimes a' + (-1)^i a \otimes d'(a')$$

for all  $a \in A_i$ ,  $a' \in A'_j$  and  $i, j \in \mathbb{Z}$ .

**Proposition 3.19.** The map  $d_{(A,A')}^\otimes$  is well-defined and is in fact a differential.

*Proof.* First we observe that  $d_{(A,A')}^\otimes$  is a well-defined  $R$ -linear map because the map  $A_i \times A'_j \rightarrow A_i \otimes_R A'_j$  given by

$$(a, a') \mapsto d(a) \otimes a' + (-1)^i a \otimes d'(a')$$

for all  $(a, a') \in A_i \times A'_j$  is  $R$ -bilinear for each  $i, j \in \mathbb{Z}$ . Next we observe that  $d_{(A,A')}^\otimes$  is graded of degree  $-1$ . Indeed, if  $a \otimes a' \in A_j \otimes_R A'_{i-j}$ , then

$$d(a) \otimes a' + (-1)^i a \otimes d'(a') \in A_{j-1} \otimes_R A'_{i-j} + A_j \otimes_R A'_{i-j-1}.$$

Lastly we observe that  $d_{(A,A')}^\otimes d_{(A,A')}^\otimes = 0$  since if  $a \otimes a' \in (A \otimes_R A')_k$  where  $a \in A_i$  and  $a' \in A'_j$ , then

$$\begin{aligned} d_{(A,A')}^\otimes d_{(A,A')}^\otimes(a \otimes a') &= d_{(A,A')}^\otimes(d(a) \otimes a' + (-1)^i a \otimes d'(a')) \\ &= d_{(A,A')}^\otimes(d(a) \otimes a') + (-1)^i d_{(A,A')}^\otimes(a \otimes d'(a')) \\ &= dd(a) \otimes a' + (-1)^{i-1} d(a) \otimes d'(a') + (-1)^i (d(a) \otimes d'(a') + (-1)^i a \otimes d'd'(a')) \\ &= (-1)^{i-1} d(a) \otimes d'(a') + (-1)^i d(a) \otimes d'(a') \\ &= 0. \end{aligned}$$

□

### 3.8.2 Commutativity of tensor products

**Proposition 3.20.** Let  $A$  and  $B$  be  $R$ -complexes. Then we have an isomorphism of  $R$ -complexes

$$A \otimes_R B \cong B \otimes_R A, \quad (20)$$

which is natural in  $A$  and  $B$ .

*Proof.* We define  $\tau_{A,B}: A \otimes_R B \rightarrow B \otimes_R A$  on elementary homogeneous tensors (and extend linearly) by

$$\tau_{A,B}(a \otimes b) = (-1)^{ij} b \otimes a$$

for all  $a \otimes b \in A_i \otimes_R B_j$ . The map  $\tau_{A,B}$  is easily seen to be a well-defined graded  $R$ -linear isomorphism. To see that  $\tau_{A,B}$  is an isomorphism of  $R$ -complexes, we need to show that it commutes with the differentials. That is, we need to show

$$\tau_{A,B} d_{(A,B)}^\otimes = d_{(B,A)}^\otimes \tau_{A,B} \quad (21)$$

It suffices to check (21) on elementary homogeneous tensors, so let  $a \otimes b \in A_i \otimes_R B_j$  be such an elementary homogeneous tensor. Then we have

$$\begin{aligned} d_{(B,A)}^\otimes \tau_{A,B}(a \otimes b) &= (-1)^{ij} d_{(B,A)}^\otimes(b \otimes a) \\ &= (-1)^{ij} d_B(b) \otimes a + (-1)^{j+ij} b \otimes d_A(a) \\ &= (-1)^{i+j(j-1)} d_B(b) \otimes a + (-1)^{(i-1)j} b \otimes d_A(a) \\ &= (-1)^{(i-1)j} b \otimes d_A(a) + (-1)^{i+j(j-1)} d_B(b) \otimes a \\ &= \tau_{A,B}(d_A(a) \otimes b + (-1)^i a \otimes d_B(b)) \\ &= \tau_{A,B} d_{(A,B)}^\otimes(a \otimes b). \end{aligned}$$

Finally, being natural in  $A$  and  $B$  means that if  $\varphi: A \rightarrow A'$  and  $\psi: B \rightarrow B'$  are two chain maps, then the following diagram commutes:

$$\begin{array}{ccc} A \otimes_R B & \xrightarrow{\varphi \otimes_R B} & A' \otimes_R B \\ A \otimes_R \psi \downarrow & & \downarrow A' \otimes_R \psi \\ A \otimes_R B' & \xrightarrow{\varphi \otimes_R B'} & A' \otimes_R B' \end{array}$$

We leave it as an exercise for the reader to check that this diagram commutes.  $\square$

### 3.8.3 Associativity of tensor products

Given that the proof of tensor products of  $R$ -complexes was nontrivial, we need to be sure that we have associativity of tensor products of  $R$ -complexes. The proof in this case turns out to be trivial.

**Proposition 3.21.** *Let  $A$ ,  $A'$ , and  $A''$  be  $R$ -complexes. Then we have an isomorphism of  $R$ -complexes*

$$(A \otimes_R A') \otimes_R A'' \cong A \otimes_R (A' \otimes_R A''),$$

which is natural in  $A$ ,  $A'$ , and  $A''$ .

*Proof.* Let  $\eta_{A,A',A''}: (A \otimes_R A') \otimes_R A'' \rightarrow A \otimes_R (A' \otimes_R A'')$  to be the unique graded isomorphism such that

$$\eta_{A,A',A''}((a \otimes a') \otimes a'') = a \otimes (a' \otimes a'')$$

for all  $a \in A_i$ ,  $a' \in A'_j$ , and  $a'' \in A''_k$  and for all  $i, j, k \in \mathbb{Z}$ . To see that  $\eta_{A,A',A''}$  is an isomorphism of  $R$ -complexes, we need to show that

$$\eta_{A,A',A''} d_{((A \otimes_R A'), A'')}^\otimes = d_{(A, (A' \otimes_R A''))}^\otimes \eta_{A,A',A''} \quad (22)$$

It suffices to check (22) on elementary homogeneous tensors. Let  $(a \otimes a') \otimes a'' \in (A_i \otimes_R A_j) \otimes_R A_k$ . To simplify the notation in our calculation, we denote  $\eta = \eta_{A,A',A''}$ . We have

$$\begin{aligned} d_{(A, (A' \otimes_R A''))}^\otimes \eta((a \otimes a') \otimes a'') &= d_{(A, (A' \otimes_R A''))}^\otimes (a \otimes (a' \otimes a'')) \\ &= d_A(a) \otimes (a' \otimes a'') + (-1)^i a \otimes d_{(A', A'')}^\otimes (a' \otimes a'') \\ &= d_A(a) \otimes (a' \otimes a'') + (-1)^i a \otimes (d_{A'}(a') \otimes a'' + (-1)^j a' \otimes d_{A''}(a'')) \\ &= d_A(a) \otimes (a' \otimes a'') + (-1)^i a \otimes (d_{A'}(a') \otimes a'') + (-1)^{i+j} a \otimes (a' \otimes d_{A''}(a'')) \\ &= \eta((d_A(a) \otimes a') \otimes a'') + (-1)^i \eta((a \otimes d_{A'}(a')) \otimes a'') + (-1)^{i+j} \eta((a \otimes a') \otimes d_{A''}(a'')) \\ &= \eta((d_A(a) \otimes a') \otimes a'' + (-1)^i (a \otimes d_{A'}(a')) \otimes a'' + (-1)^{i+j} (a \otimes a') \otimes d_{A''}(a'')) \\ &= \eta(d_{(A, A')}^\otimes (a \otimes a') \otimes a'' + (-1)^{i+j} (a \otimes a') \otimes d_{A''}(a'')) \\ &= \eta d_{((A \otimes_R A'), A'')}^\otimes ((a \otimes a') \otimes a''). \end{aligned}$$

Therefore (22) holds, and thus  $\eta_{A,A',A''}$  is an isomorphism of  $R$ -complexes.

Naturality in  $A$ ,  $A'$ , and  $A''$  means that if  $\varphi: A \rightarrow B$ ,  $\varphi': A' \rightarrow B'$ , and  $\varphi'': A'' \rightarrow B''$  are chain maps, then we have a commutative diagram

$$\begin{array}{ccc} (A \otimes_R A')_R \otimes A'' & \xrightarrow{\eta_{A,A',A''}} & A \otimes_R (A'_R \otimes A'') \\ (\varphi \otimes \varphi') \otimes \varphi'' \downarrow & & \downarrow \varphi \otimes (\varphi' \otimes \varphi'') \\ (B \otimes_R B')_R \otimes B'' & \xrightarrow{\eta_{B,B',B''}} & (B \otimes_R B')_R \otimes B'' \end{array}$$

$\square$

### 3.8.4 Tensor Commutes with Shifts

**Proposition 3.22.** *Let  $n \in \mathbb{Z}$  and let  $A$  and  $A'$  be  $R$ -complexes. Then*

$$(\Sigma^n A) \otimes_R A' \cong \Sigma^n (A \otimes_R A') \cong A \otimes_R (\Sigma^n A')$$

are isomorphisms of  $R$ -complexes.

*Proof.* We will just show that  $(\Sigma^n A) \otimes_R A' \cong \Sigma^n(A \otimes_R A')$ . The other isomorphism follows from a similar argument. As graded  $R$ -modules, we have

$$\begin{aligned} (\Sigma^n A) \otimes_R A' &= A(-n) \otimes_R A' \\ &= (A \otimes_R A')(-n) \\ &= \Sigma^n(A \otimes_R A'). \end{aligned}$$

We define  $\Phi: (\Sigma^n A) \otimes_R A' \rightarrow \Sigma^n(A \otimes_R A')$  by

$$\Phi(a \otimes a') = a \otimes a'$$

for all elementary tensors  $a \otimes a' \in \Sigma^n A \otimes_R A'$ . Then  $\Phi$  is a graded isomorphism of the underlying graded  $R$ -module. We claim that it also commutes with the differentials, making it into an isomorphism of  $R$ -complexes. Indeed, let  $a \otimes a' \in (\Sigma^n A) \otimes_R A'$  with  $a \in A_i$  and  $a' \in A_j$ . Then  $a \in (\Sigma^n A)_{i+n}$ , and so we have

$$\begin{aligned} (\Sigma^n d_{(A,A')}^\otimes \Phi)(a \otimes a') &= (-1)^n d_{(A,A')}^\otimes (\Phi(a \otimes a')) \\ &= (-1)^n d_{(A,A')}^\otimes (a \otimes a') \\ &= (-1)^n d_{(A,A')}^\otimes (a \otimes a') \\ &= (-1)^n (d_A(a) \otimes a' + (-1)^i a \otimes d_{A'}(a')) \\ &= (-1)^n d_A(a) \otimes a' + (-1)^{i+n} a \otimes d_{A'}(a') \\ &= d_{\Sigma^n A}(a) \otimes a' + (-1)^{i+n} a \otimes d_{A'}(a') \\ &= \Phi(d_{\Sigma^n A}(a) \otimes a' + (-1)^{i+n} a \otimes d_{A'}(a')) \\ &= \Phi(d_{(\Sigma^n A, A')}^\otimes (a \otimes a')) \\ &= (\Phi d_{(\Sigma^n A, A')}^\otimes)(a \otimes a') \end{aligned}$$

□

### 3.8.5 Tensor Commutes with Mapping Cone

**Proposition 3.23.** *Let  $X$  be an  $R$ -complex and let  $\varphi: A \rightarrow A'$  be a chain map of  $R$ -complexes. Then*

$$C(\varphi) \otimes_R X \cong C(\varphi \otimes_R X)$$

*is an isomorphism of  $R$ -complexes.*

*Proof.* As graded  $R$ -modules, we have

$$\begin{aligned} C(\varphi) \otimes_R X &= (A' \oplus A(-1)) \otimes_R X \\ &\cong (A' \otimes_R X) \oplus (A(-1) \otimes_R X) \\ &= (A' \otimes_R X) \oplus (A \otimes_R X)(-1) \\ &= C(\varphi \otimes_R X), \end{aligned}$$

where the graded isomorphism in the second line is given by

$$(a', a) \otimes x \mapsto (a' \otimes x, a \otimes x)$$

for all elementary tensors  $(a', a) \otimes x \in (A' \oplus A(-1)) \otimes_R X$ .

Let  $\Phi: C(\varphi) \otimes_R X \rightarrow C(\varphi \otimes_R X)$  be the unique  $R$ -linear map such that

$$\Phi(x \otimes (a', a)) = (x \otimes a', x \otimes a)$$

for all elementary tensors  $(a', a) \otimes x \in C(\varphi) \otimes_R X$ . Then  $\Phi$  is a graded isomorphism of the underlying graded  $R$ -modules. We claim that it also commutes with the differentials, making it into an isomorphism of  $R$ -complexes.

Indeed, let  $(a', a) \otimes x \in C(\varphi) \otimes_R X$  be an elementary tensor with  $a' \in A'_i$ ,  $a \in A_{i-1}$ , and  $x \in X_j$ . Then we have

$$\begin{aligned}
(d_{C(\varphi \otimes_R X)} \Phi)((a', a) \otimes x) &= d_{C(\varphi \otimes_R X)}(\Phi((a', a) \otimes x)) \\
&= d_{C(\varphi \otimes_R X)}(a' \otimes x, a \otimes x) \\
&= (d_{(A', X)}^\otimes(a' \otimes x) + (\varphi \otimes X)(a \otimes x), -d_{(A, X)}^\otimes(a \otimes x)) \\
&= (d_{A'}(a') \otimes x + (-1)^i a' \otimes d_X(x) + \varphi(a) \otimes x, -d_A(a) \otimes x + (-1)^i a \otimes d_X(x)) \\
&= ((d_{A'}(a') \otimes x + \varphi(a) \otimes x + (-1)^i a' \otimes d_X(x), -d_A(a) \otimes x + (-1)^i a \otimes d_X(x)) \\
&= ((d_{A'}(a') + \varphi(a)) \otimes x, -d_A(a) \otimes x) + (-1)^i((a' \otimes d_X(x), a \otimes d_X(x)) \\
&= \Phi((d_{A'}(a') + \varphi(a), -d_A(a)) \otimes x + (-1)^i(a', a) \otimes d_X(x)) \\
&= \Phi(d_{C(\varphi)}(a', a) \otimes x + (-1)^i(a', a) \otimes d_X(x)) \\
&= \Phi(d_{(C(\varphi), X)}^\otimes((a', a) \otimes x)) \\
&= (\Phi d_{(C(\varphi), X)}^\otimes)((a', a) \otimes x).
\end{aligned}$$

It follows that  $d_{C(\varphi \otimes_R X)} \Phi = \Phi d_{(C(\varphi), X)}^\otimes$ . Thus  $\Phi$  gives an isomorphism of  $R$ -complexes. □

**Proposition 3.24.** *Let  $A$  be an  $R$ -complex and let  $\psi: B \rightarrow B'$  be a chain map of  $R$ -complexes. Then*

$$A \otimes_R C(\psi) \cong C(A \otimes_R \psi)$$

*is an isomorphism of  $R$ -complexes.*

*Proof.* Combining Proposition (3.18) and Proposition (3.23) gives us the isomorphisms

$$\begin{aligned}
A \otimes_R C(\psi) &\cong C(\psi) \otimes_R A \\
&\cong C(\psi \otimes_R A) \\
&\cong C(A \otimes_R \psi).
\end{aligned}$$

Following these isomorphisms in terms of an elementary homogeneous element  $a \otimes (b', b) \in A_i \otimes C(\psi)_j$ , we have

$$\begin{aligned}
a \otimes (b', b) &\mapsto (-1)^{ij}(b', b) \otimes a \\
&\mapsto (-1)^{ij}(b' \otimes a, b \otimes a) \\
&\mapsto (-1)^{ij}((-1)^{ij}a \otimes b', (-1)^{i(j-1)}a \otimes b) \\
&= (a \otimes b', (-1)^{ij+i(j-1)}a \otimes b) \\
&= (a \otimes b', (-1)^i a \otimes b)
\end{aligned}$$

Let us check that this really does commute with the differentials. Define  $\Phi: A \otimes_R C(\psi) \rightarrow C(A \otimes_R \psi)$  by

$$\Phi(a \otimes (b', b)) = (a \otimes b', (-1)^i a \otimes b)$$

for all elementary homogeneous tensors  $a \otimes (b', b) \in A_i \otimes_R C(\psi)_j$ . Then we have

$$\begin{aligned}
(d_{C(A \otimes_R \psi)} \Phi)(a \otimes (b', b)) &= d_{C(A \otimes_R \psi)}(a \otimes b', (-1)^i a \otimes b) \\
&= (d_{(A, B')}^\otimes(a \otimes b') + (-1)^i(A \otimes_R \psi)(a \otimes b), -(-1)^i d_{(A, B)}^\otimes(a \otimes b)) \\
&= (d_A(a) \otimes b' + (-1)^i a \otimes d_{B'}(b') + (-1)^i a \otimes \psi(b), -(-1)^i d_A(a) \otimes b - a \otimes d_B(b)) \\
&= (d_A(a) \otimes b', -(-1)^i d_A(a) \otimes b) + ((-1)^i a \otimes d_{B'}(b') + (-1)^i a \otimes \psi(b), a \otimes -d_B(b)) \\
&= \Phi(d_A(a) \otimes (b', b) + (-1)^i a \otimes (d_{B'}(b') + \psi(b), -d_B(b))) \\
&= \Phi(d_A(a) \otimes (b', b) + (-1)^i a \otimes d_{C(\psi)}(b', b)) \\
&= (\Phi d_{A \otimes_R C(\psi)})(a \otimes (b', b)).
\end{aligned}$$
□

### 3.8.6 Tensor Respects Homotopy Equivalences

**Proposition 3.25.** Let  $B$  be an  $R$ -complex, let  $\varphi: A \rightarrow A'$  and  $\psi: A \rightarrow A'$  be two chain maps of  $R$ -complexes, and suppose  $\varphi \sim \psi$ . Then  $\varphi \otimes_R B \sim \psi \otimes_R B$ .

*Proof.* Choose a homotopy  $h: A \rightarrow A'$  from  $\varphi$  to  $\psi$  (so  $\varphi - \psi = d_{A'}h + hd_A$ ). We claim that  $h \otimes_R B: A \otimes_R B \rightarrow A' \otimes_R B$  is a homotopy from  $\varphi \otimes_R B$  to  $\psi \otimes_R B$ . Indeed, let  $a \otimes b$  be an elementary homogeneous tensor in  $A \otimes_R B$ . Then we have

$$\begin{aligned} (d_{(A',B)}^\otimes(h \otimes B) + (h \otimes B)d_{(A,B)}^\otimes)(a \otimes b) &= d_{(A',B)}^\otimes(h(a) \otimes b) + (h \otimes B)(d_A(a) \otimes b + (-1)^{|a|}a \otimes d_B(b)) \\ &= d_{A'}h(a) \otimes b - (-1)^{|a|}h(a) \otimes d_B(b) + hd_A(a) \otimes b + (-1)^{|a|}h(a) \otimes d_B(b) \\ &= d_{A'}h(a) \otimes b + hd_A(a) \otimes b \\ &= (d_{A'}h + hd_A)(a) \otimes b \\ &= (\varphi - \psi)(a) \otimes b \\ &= \varphi(a) \otimes b - \psi(a) \otimes b \\ &= (\varphi \otimes_R B - \psi \otimes_R B)(a \otimes b). \end{aligned}$$

Thus  $h \otimes_R B$  is indeed a homotopy from  $\varphi \otimes_R B$  to  $\psi \otimes_R B$ .  $\square$

**Corollary.** Suppose  $\varphi: A \rightarrow A'$  is a homotopy of equivalence of  $R$ -complexes. Then  $\varphi \otimes_R B: A \otimes_R B \rightarrow A' \otimes_R B$  is a homotopy equivalence of  $R$ -complexes.

*Proof.* Let  $\varphi': A' \rightarrow A$  be a homotopy inverse to  $\varphi$ . Thus  $\varphi\varphi' \sim 1_{A'}$  and  $\varphi'\varphi \sim 1_A$ . It follows that

$$\begin{aligned} 1_{A' \otimes_R B} &= 1_{A'} \otimes_R B \\ &\sim \varphi\varphi' \otimes_R B \\ &= (\varphi \otimes_R B)(\varphi' \otimes_R B). \end{aligned}$$

Similarly, we have  $1_{A \otimes_R B} \sim (\varphi' \otimes_R B)(\varphi \otimes_R B)$ . Therefore  $\varphi \otimes_R B$  is a homotopy equivalence of  $R$ -complexes.  $\square$

### 3.8.7 Twisting the tensor complex with a chain map

**Definition 3.17.** Let  $(A, d)$  be  $R$ -complexes and let  $\alpha: A \rightarrow A$  be a chain map. We define an  $R$ -complex  $A \otimes_R^\alpha A$  as follows: as a graded  $R$ -module,  $A \otimes_R^\alpha A$  is just  $A \otimes_R A$ . We define the differential  $d_\alpha^\otimes: A \otimes_R^\alpha A \rightarrow A \otimes_R^\alpha A$  on elementary tensors  $a \otimes b \in A_i \otimes_R A_j$  by

$$d_\alpha^\otimes(a \otimes b) = d(a) \otimes b + (-1)^i \alpha(a) \otimes d(b) \quad (23)$$

and then we extend  $d_\alpha^\otimes$  linearly everywhere else. Note that  $d_\alpha^\otimes$  is a well-defined  $R$ -linear map since (23) is  $R$ -bilinear in  $a$  and  $b$ . Also note that  $d_\alpha^\otimes$  is graded of degree  $-1$  since  $\alpha$  is a chain map. Let us show that we have  $d_\alpha^\otimes d_\alpha^\otimes = 0$ . Let  $a \otimes b \in A_i \otimes_R A_j$ . Then we have

$$\begin{aligned} d_\alpha^\otimes d_\alpha^\otimes(a \otimes b) &= d_\alpha^\otimes(d(a) \otimes b + (-1)^i \alpha(a) \otimes d(b)) \\ &= d_\alpha^\otimes(d(a) \otimes b) + (-1)^i d_\alpha^\otimes(\alpha(a) \otimes d(b)) \\ &= d^2(a) \otimes b + (-1)^{i-1} \alpha d(a) \otimes d(b) + (-1)^i d\alpha(a) \otimes d(b) + \alpha^2(a) \otimes d^2(b) \\ &= (-1)^{i-1} \alpha d(a) \otimes d(b) + (-1)^i \alpha d(a) \otimes d(b) \\ &= 0. \end{aligned}$$

It follows that  $d_\alpha^\otimes$  is a differential.

If  $\alpha: A \rightarrow A$  is also an  $R$ -algebra homomorphism, then observe that

$$\begin{aligned} d(\alpha(a)(bc) + (ab)\alpha(c)) &= d(\alpha(a))(bc) + \alpha^2(a)d(bc) + d(ab)\alpha(c) + \alpha(ab)d(\alpha(c)) \\ &= \alpha(d(a))(bc) + \alpha^2(a)(d(b)c) + \alpha^2(a)(\alpha(b)d(c)) + (d(a)b)\alpha(c) + (\alpha(a)d(b))\alpha(c) + \alpha(ab)\alpha(d(c)) \\ &= \alpha(d(a))(bc) + (\alpha(a)d(b))\alpha(c) + (\alpha(a)\alpha(b))(\alpha(d(c))) + (d(a)b)\alpha(c) + (\alpha(a)d(b))\alpha(c) + \alpha(ab)\alpha(d(c)) \\ &= (d(a)b)\alpha(c) + (\alpha(a)\alpha(b))(\alpha(d(c))) + (d(a)b)\alpha(c) + \alpha(ab)\alpha(d(c)) \\ &= (\alpha(a)\alpha(b))(\alpha(d(c))) + \alpha(ab)\alpha(d(c)) \\ &= 0. \end{aligned}$$

$$\begin{aligned} d(a(bc) + (ab)c) &= d(a)(bc) + ad(bc) + d(ab)c + (ab)d(c) \\ &= d(a)(bc) + a(d(b)c) + a(bd(c)) + (d(a)b)c + (ad(b))c + (ab)d(c) \\ &= d(a)(bc) + (d(a)b)c + a(d(b)c) + (ad(b))c + a(bd(c)) + (ab)d(c). \end{aligned}$$

### 3.9 Hom

**Definition 3.18.** Let  $(A, d)$  and  $(A', d')$  be two  $R$ -complexes. We define

$$\mathrm{Hom}_R((A, d), (A', d')) := (\mathrm{Hom}_R^*(A, A'), d^{\mathrm{Hom}_R^*(A, A')})$$

to be the  $R$ -complex whose graded  $R$ -module  $\mathrm{Hom}_R^*(A, A')$  has

$$\mathrm{Hom}_R^*(A, A')_i = \prod_{n \in \mathbb{Z}} \mathrm{Hom}_R(A_n, A'_{n+i})$$

as its  $i$ th homogeneous component and whose differential  $d^{\mathrm{Hom}_R^*(A, A')}$  is defined by

$$d^{\mathrm{Hom}_R^*(A, A')}((\varphi_n^i)_{n \in \mathbb{Z}}) = (d' \varphi_n^i - (-1)^i \varphi_{n-1}^i d)_{n \in \mathbb{Z}} \quad (24)$$

for all  $i, n \in \mathbb{Z}$  and  $\varphi_{n,i} \in \mathrm{Hom}_R(A_j, A'_{i+j})$ .

If context is clear, we will denote  $d^{\mathrm{Hom}_R^*(A, A')}$  simply as  $d^*$ . We also write  $(\varphi_n^i)$  instead of  $(\varphi_n^i)_{n \in \mathbb{Z}}$ . The subscript  $n$  will clue us in on the fact that  $(\varphi_n^i)$  is a sequence of homomorphisms. Sometimes we will also write  $\mathrm{Hom}_R^*(A, A')$  (rather than the more cumbersome notation  $\mathrm{Hom}_R((A, d), (A', d'))$ ) and specify that  $\mathrm{Hom}_R^*(A, A')$  refers to the  $R$ -complex hom and not just the graded  $R$ -module hom.

Let us check that  $d^* d^* = 0$ . Let  $(\varphi_n^i) \in \mathrm{Hom}_R^*(A, A')_i$ . Then we have

$$\begin{aligned} d^* d^*(\varphi_n^i) &= d^*(d' \varphi_n^i - (-1)^i \varphi_{n-1}^i d) \\ &= (d'(d' \varphi_n^i - (-1)^i \varphi_{n-1}^i d) - (-1)^{i-1} (d' \varphi_{n-1}^i - (-1)^i \varphi_{n-2}^i d) d) \\ &= -(-1)^i d' \varphi_{n-1}^i d - (-1)^{i-1} d' \varphi_{n-1}^i d \\ &= 0. \end{aligned}$$

Thus  $d^* d^* = 0$ . Note that the sign  $-(-1)^i$  in (24) is a little unusual. In the tensor product differential  $d^\otimes$ , we had

$$d^\otimes(a \otimes a') = d(a) \otimes a' + (-1)^i a \otimes d'(a')$$

whenever  $a \in A_i$  and  $a' \in A'_i$ . If we replace the sign  $-(-1)^i$  with the sign  $(-1)^i$  in (24), we would still get  $d^* d^* = 0$ . However, for reasons to be clarified later on, we keep the sign  $-(-1)^i$ .

Note that if  $A'$  is just an  $R$ -module (so trivially graded with  $d' = 0$ ), then

$$\mathrm{Hom}_R^*(A, A')_i \cong \mathrm{Hom}_R(A_{-i}, A').$$

In this case, we have

$$d^*(\varphi) = -(-1)^i \varphi d$$

whenever  $\varphi \in \mathrm{Hom}_R(A_{-i}, A')$ . Also, if  $A$  is just an  $R$ -module (so trivially graded with  $d = 0$ ), then

$$\mathrm{Hom}_R^*(A, A')_i \cong \mathrm{Hom}_R(A, A'_i).$$

In this case, we have

$$d^*(\varphi) = d' \varphi$$

whenever  $\varphi \in \mathrm{Hom}_R(A, A'_i)$ .

#### 3.9.1 Reinterpretation of Hom

**Definition 3.19.** Let  $A$  and  $A'$  be two  $R$ -complexes. We define their **hom complex**, denoted  $(\mathrm{Hom}_R^*(A, A'), d_{(A, A')}^*)$ , to be the  $R$ -complex whose underlying graded  $R$ -module  $\mathrm{Hom}_R^*(A, A')$  has

$$\mathrm{Hom}_R^*(A, A')_i = \{\alpha: A \rightarrow A' \mid \alpha \text{ is graded of degree } i\}$$

as its homogeneous component in degree  $i$ , and whose differential is defined by

$$d_{(A, A')}^*(\alpha) = d_{A'} \alpha - (-1)^i \alpha d_A$$

for all  $\alpha \in \mathrm{Hom}_R^*(A, A')_i$  for all  $i \in \mathbb{Z}$ .



### 3.9.2 Homology of Hom

**Proposition 3.26.** *Let  $A$  and  $A'$  be two  $R$ -complexes. Then*

$$H_0(\text{Hom}_R^*(A, A')) = \{\text{homotopy classes of chain maps } A \rightarrow A'\}.$$

*Proof.* Recall that homotopy gives an equivalence relation  $\sim$  on the set of all chain maps  $\mathcal{C}(A, A')$  from  $A$  to  $A'$ . Thus we are saying that

$$H_0(\text{Hom}_R^*(A, A')) = \mathcal{C}(A, A') / \sim.$$

Let  $\alpha \in Z_0(\text{Hom}_R^*(A, A'))$ , so  $\alpha: A \rightarrow A'$  be a graded  $R$ -linear map of degree 0 such that

$$\begin{aligned} 0 &= d_{(A, A')}^*(\alpha) \\ &= d_{A'}\alpha - \alpha d_A. \end{aligned}$$

In other words,  $\alpha$  is a chain map. It follows that

$$Z_0(\text{Hom}_R^*(A, A')) = \mathcal{C}(A, A').$$

Next we observe that elements in  $B_0(\text{Hom}_R^*(A, A'))$  are of the form

$$d_{(A, A')}^*(\beta) = d_{A'}\beta + \beta d_A$$

where  $\beta: A \rightarrow A'$  be a graded  $R$ -linear map of degree 1. Thus two chain maps  $\alpha_1$  and  $\alpha_2$  represent the same class in homology if and only if they are homotopic to each other.  $\square$

*Remark.* More generally,  $H_i(\text{Hom}_R^*(A, A'))$  is exact if and only if for all graded  $R$ -linear maps  $\alpha: A \rightarrow A'$  of degree  $i$  such that

$$d_{A'}\alpha = (-1)^i \alpha d_A,$$

there exists a graded  $R$ -linear map  $\beta: A \rightarrow A'$  such that

$$\alpha = d_A\beta + (-1)^i \beta d_{A'}.$$

### 3.9.3 Functorial Properties of Hom

**Proposition 3.27.** *Let  $(A, d_A)$ ,  $(A', d'_A)$ ,  $(B, d_B)$ , and  $(B', d'_B)$  be  $R$ -complexes and let  $\varphi: A \rightarrow B$  and  $\phi: A' \rightarrow B'$  be chain maps. Then we get induced chain maps*

$$\phi_*: \text{Hom}_R^*(A, A') \rightarrow \text{Hom}_R^*(A, B') \quad \text{and} \quad \varphi^*: \text{Hom}_R^*(B, B') \rightarrow \text{Hom}_R^*(A, B')$$

given by

$$\phi_*(\alpha) = \phi\alpha \quad \text{and} \quad \varphi^*(\beta) = \beta\varphi$$

for all  $\alpha \in \text{Hom}_R^*(A, A')$  and  $\beta \in \text{Hom}_R^*(B, B')$ . Furthermore, the following diagram commutes

$$\begin{array}{ccc} \text{Hom}_R^*(A, A') & \xrightarrow{\varphi^*} & \text{Hom}_R^*(B, A') \\ \phi_* \downarrow & & \downarrow \phi_* \\ \text{Hom}_R^*(A, B') & \xrightarrow{\varphi^*} & \text{Hom}_R^*(B, B') \end{array} \quad (25)$$

*Proof.* First let us check that  $\phi_*$  is a chain map. It is a graded  $R$ -linear map since  $\phi$  is a graded  $R$ -linear map of degree 0 and composition is  $R$ -linear. It remains to show that  $\phi_*$  commutes with the differentials. Let  $\alpha \in \text{Hom}_R^*(A, A')_i$ . Then we have

$$\begin{aligned} (d_{(A, B')}^* \phi_*)(\alpha) &= d_{(A, B')}^*(\phi_*(\alpha)) \\ &= d_{(A, B')}^*(\phi\alpha) \\ &= d_{B'}\phi\alpha - (-1)^i \phi\alpha d_A \\ &= \phi d_{A'}\alpha - (-1)^i \phi\alpha d_A \\ &= \phi_*(d_{A'}\alpha - (-1)^i \alpha d_A) \\ &= \phi_*(d_{(A, A')}^*(\alpha)) \\ &= (\phi_* d_{(A, A')}^*)(\alpha). \end{aligned}$$

This implies  $\phi_*$  is a chain map. A similar calculation shows that  $\varphi^*$  is a chain map.

Now we check that the diagram (25) commutes. Let  $\alpha \in \text{Hom}_R^*(A, A')_i$ . Then we have

$$\begin{aligned} (\phi_* \varphi^*)(\alpha) &= \phi_*(\varphi^*(\alpha)) \\ &= \phi_*(\alpha \varphi) \\ &= \phi \alpha \varphi \\ &= \varphi^*(\phi \alpha) \\ &= \varphi^*(\phi_*(\alpha)) \\ &= (\varphi^* \phi_*)(\alpha). \end{aligned}$$

This implies the diagram commutes. □

**Proposition 3.28.** *Let  $A$  be an  $R$ -complex. Then we obtain functors*

$$\text{Hom}_R^*(A, -): \text{Comp}_R \rightarrow \text{Comp}_R \quad \text{and} \quad \text{Hom}_R^*(-, A): \text{Comp}_R \rightarrow \text{Comp}_R$$

*from the category of  $R$ -complexes to itself, where the  $R$ -complex  $B$  is assigned to the  $R$ -complexes*

$$\text{Hom}_R^*(A, B) \quad \text{and} \quad \text{Hom}_R^*(B, A)$$

*respectively, and where the chain map  $\varphi: B \rightarrow B'$  of  $R$ -complexes is assigned to the chain maps*

$$\text{Hom}_R^*(A, \varphi) = \varphi_* \quad \text{and} \quad \text{Hom}_R^*(\varphi, A) = \varphi^*$$

*respectively.*

*Proof.* We will just show that  $\text{Hom}_R^*(A, -)$  is a functor from the category of  $R$ -complexes to itself since a similar argument will show that  $\text{Hom}_R^*(-, A)$  is one too. We need to check that  $\text{Hom}_R^*(A, -)$  preserves compositions and identities. We first check that it preserves compositions. Let  $\varphi: B \rightarrow B'$  and  $\varphi': B' \rightarrow B''$  be two chain maps and let  $\alpha \in \text{Hom}_R^*(A, B)_i$ . Then we have

$$\begin{aligned} (\varphi' \varphi)_*(\alpha) &= \varphi' \varphi \alpha \\ &= \varphi'_*(\varphi \alpha) \\ &= \varphi'_*(\varphi_*(\alpha)) \\ &= (\varphi'_* \varphi_*)(\alpha) \end{aligned}$$

It follows that  $(\varphi' \varphi)_* = \varphi'_* \varphi_*$ . Hence  $\text{Hom}_R^*(A, -)$  preserves compositions. Next we check that  $\text{Hom}_R^*(A, -)$  preserves identities. Let  $B$  be an  $R$ -complex and let  $\alpha: A \rightarrow B$  be a chain map. Then we have

$$\begin{aligned} (1_B)_* &= 1_B \alpha \\ &= \alpha \\ &= 1_{\text{Hom}_R^*(A, B)}(\alpha). \end{aligned}$$

It follows that  $(1_B)_* = 1_{\text{Hom}_R^*(A, -)}$ . Hence  $\text{h}_A$  preserves identities. □

**Proposition 3.29.** *Let  $F$  be a covariant functor from the category of  $R$ -complexes to itself. Then  $F$  is left exact if and only if it is left exact when viewed as a functor of the underlying graded  $R$ -modules.*

*Proof.* One direction is easy, so we prove the other direction. Let

$$M_1 \xrightarrow{\varphi_1} M_2 \xrightarrow{\varphi_2} M_3 \longrightarrow 0 \quad (26)$$

be an exact sequence of  $R$ -complexes and chain maps. Then (26) is an exact sequence of graded  $R$ -modules and graded homomorphisms. Thus

$$F(M_1) \xrightarrow{F(\varphi_1)} F(M_2) \xrightarrow{F(\varphi_2)} F(M_3) \longrightarrow 0 \quad (27)$$

is an exact sequence of graded  $R$ -modules and graded homomorphisms. Since the graded homomorphisms in (27) commute with the differentials, we see that (27) is actually an exact sequence of  $R$ -complexes and chain maps. □

**Proposition 3.30.** (Yoneda's Lemma) Let  $A$  be an  $R$ -complex and let  $\mathcal{F}: \mathbf{Comp}_R \rightarrow \mathbf{Set}$  be a functor. Then we have a bijection

$$\mathrm{Nat}(\mathcal{C}(A, -), \mathcal{F}) \cong \mathcal{F}(A)$$

which is natural in  $A$ . In particular, if  $B$  is another  $R$ -complex, then

$$\mathrm{Nat}(\mathcal{C}(A, -), \mathcal{C}(B, -)) \cong \mathcal{C}(B, A)$$

Note that the diagram (25) tells us that each chain map  $\varphi: A \rightarrow B$  gives rise to a natural transformation  $h^-(\varphi): h_A \rightarrow h_B$ . In light of Yoneda's Lemma, we have a map

$$\mathrm{Nat}(\mathcal{C}(B, -), \mathcal{C}(A, -)) \rightarrow \mathcal{C}(A, B) \rightarrow \mathrm{Nat}(h_A, h_B).$$

### 3.9.4 Left Exactness of Contravariant $\mathrm{Hom}_R^*(-, N)$

Let  $M$  and  $N$  be  $R$ -complexes. We showed earlier that both  $\mathrm{Hom}_R^*(M, -)$  and  $\mathrm{Hom}_R^*(-, N)$  are left exact functors from the category of graded  $R$ -modules to itself. In fact, we will see that they are. The graded version of these functors are

$$\mathrm{Hom}_R^*(M, -): \mathrm{Grad}_R \rightarrow \mathrm{Grad}_R \quad \text{and} \quad \mathrm{Hom}_R^*(-, N): \mathrm{Grad}_R \rightarrow \mathrm{Grad}_R.$$

We want to check that they are also left exact functors. Let's focus on  $\mathrm{Hom}_R^*(-, N)$  first:

**Proposition 3.31.** The sequence of graded  $R$ -modules and graded homomorphisms

$$M_1 \xrightarrow{\varphi_1} M_2 \xrightarrow{\varphi_2} M_3 \longrightarrow 0 \quad (28)$$

is exact if and only if for all  $R$ -modules  $N$  the induced sequence

$$0 \longrightarrow \mathrm{Hom}_R^*(M_3, N) \xrightarrow{\varphi_2^*} \mathrm{Hom}_R^*(M_2, N) \xrightarrow{\varphi_1^*} \mathrm{Hom}_R^*(M_1, N) \quad (29)$$

is exact.

*Proof.* Suppose that (28) is exact and let  $N$  be any  $R$ -module. Exactness at  $\mathrm{Hom}_R^*(M_3, N)$  follows from the fact that  $\varphi_2^*$  is injective (which follows from the fact that  $\mathrm{Hom}_R(-, N)$  is left exact). Next we show exactness at  $\mathrm{Hom}_R^*(M_2, N)$ . Let  $\psi_2: M_2 \rightarrow N$  be a graded homomorphism of degree  $i$  such that  $\psi_2 \varphi_1 = 0$ . By left exactness of  $\mathrm{Hom}_R(-, N)$ , there exists a  $\psi_3 \in \mathrm{Hom}_R(M, N)$  such that  $\psi_2 = \psi_3 \varphi_2$ . Since  $\varphi_2$  is surjective,  $\psi_3$  is graded of degree  $i$ . Thus  $\psi_3 \in \mathrm{Hom}_R^*(M, N)$ . Thus we have exactness at  $\mathrm{Hom}_R^*(M_2, N)$ .  $\square$

### 3.9.5 Tensor-Hom Adjointness

**Proposition 3.32.** Let  $S$  be an  $R$ -algebra, let  $M_1, M_2$  be  $S$ -complexes, and let  $M_3$  be an  $R$ -complex. Then we have an isomorphism of  $S$ -complexes

$$\mathrm{Hom}_S^*(M_1, \mathrm{Hom}_R^*(M_2, M_3)) \cong \mathrm{Hom}_R^*(M_1 \otimes_S M_2, M_3). \quad (30)$$

Moreover (30) is natural in  $M_1, M_2$ , and  $M_3$ .

*Proof.* We define

$$\Psi_{M_1, M_2, M_3}: \mathrm{Hom}_S^*(M_1, \mathrm{Hom}_R^*(M_2, M_3)) \rightarrow \mathrm{Hom}_R^*(M_1 \otimes_S M_2, M_3)$$

to be the map which sends a  $\psi \in \mathrm{Hom}_S^*(M_1, \mathrm{Hom}_R^*(M_2, M_3))$  to the map  $\Psi(\psi) \in \mathrm{Hom}_R^*(M_1 \otimes_S M_2, M_3)$  defined by

$$\Psi(\psi)(u_1 \otimes u_2) = (\psi(u_1))(u_2) \quad (31)$$

for all elementary tensors  $u_1 \otimes u_2 \in M_1 \otimes_S M_2$ . Note that  $\Psi(\psi)$  is a well-defined  $R$ -linear map since the map  $M_1 \times M_2 \rightarrow M_3$  given by

$$(u_1, u_2) \mapsto (\psi(u_1))(u_2)$$

is  $R$ -bilinear. We will show that  $\Psi$  is an isomorphism of  $S$ -complexes by breaking down the proof into several steps:

**Step 1:** We show that  $\Psi$  is  $S$ -linear. Let  $s, s' \in S$  and  $\psi, \psi' \in \mathrm{Hom}_S^*(M_1, \mathrm{Hom}_R^*(M_2, M_3))$ . We want to show that

$$\Psi(s\psi + s'\psi') = s\Psi(\psi) + s'\Psi(\psi') \quad (32)$$

We will show (32) holds, by showing that the two maps agree on all elementary tensors in  $M_1 \otimes_S M_2$ . So let  $u_1 \otimes u_2 \in M_1 \otimes_S M_2$ . Then

$$\begin{aligned} \Psi(s\psi + s'\psi')(u_1 \otimes u_2) &= ((s\psi + s'\psi')(u_1))(u_2) \\ &= ((s\psi)(u_1) + (s'\psi')(u_1))(u_2) \\ &= (\psi(su_1) + \psi(s'u_1))(u_2) \\ &= (\psi(su_1))(u_2) + (\psi(s'u_1))(u_2) \\ &= \Psi(\psi)(su_1 \otimes u_2) + \Psi(\psi')(s'u_1 \otimes u_2) \\ &= (s\Psi(\psi))(u_1 \otimes u_2) + (s'\Psi(\psi'))(u_1 \otimes u_2). \\ &= (s\Psi(\psi) + s'\Psi(\psi'))(u_1 \otimes u_2) \end{aligned}$$

It follows that  $\Psi$  is  $S$ -linear.

**Step 2:** We show that  $\Psi$  is graded. Let  $\psi$  be a graded  $S$ -linear map from  $M_1$  to  $\text{Hom}_R^*(M_2, M_3)$  of degree  $n$ . We want to show that  $\Psi(\psi)$  is a graded of degree  $n$  too. To see that  $\Psi(\psi)$  is graded of degree  $n$ , let  $u_1 \otimes u_2$  be an elementary tensor in  $M_1 \otimes_S M_2$  where  $u_i$  has degree  $i$  and  $u_j$  has degree  $j$ . Since  $\psi$  is graded of degree  $n$ ,  $\psi(u_1)$  is graded of degree  $i + n$ , and hence

$$(\psi(u_1))(u_2) = \Psi(\psi)(u_1 \otimes u_2)$$

is graded of degree  $i + j + n$ . It follows that  $\Psi(\psi)$  is graded of degree  $n$ .

**Step 3:** We show that  $\Psi$  commutes with the differentials. In other words, we want to show that

$$d_{(M_1 \otimes_S M_2, M_3)}^* \Psi = \Psi d_{(M_1, \text{Hom}_R^*(M_2, M_3))}^* \quad (33)$$

To see that (33) holds, it suffices to show that it holds when we apply to both sides any graded  $S$ -linear map of degree  $n$  from  $M_1$  to  $\text{Hom}_R^*(M_2, M_3)$ . So let  $\psi$  be such a map. Then observe on the one hand, we have

$$\begin{aligned} (d_{(M_1 \otimes_S M_2, M_3)}^* \Psi)(\psi) &= d_{(M_1 \otimes_S M_2, M_3)}^* (\Psi(\psi)) \\ &= d_{M_3} \Psi(\psi) + (-1)^n \Psi(\psi) d_{(M_1, M_2)}^\otimes, \end{aligned}$$

and on the other hand, we have

$$\begin{aligned} (\Psi d_{(M_1, \text{Hom}_R^*(M_2, M_3))}^*)(\psi) &= \Psi(d_{(M_1, \text{Hom}_R^*(M_2, M_3))}^*(\psi)) \\ &= \Psi(d_{(M_2, M_3)}^* \psi + (-1)^n \psi d_{M_1}) \\ &= \Psi(d_{(M_2, M_3)}^* \psi) + (-1)^n \Psi(\psi d_{M_1}). \end{aligned}$$

Thus we are reduced to showing that

$$d_{M_3} \Psi(\psi) + (-1)^n \Psi(\psi) d_{(M_1, M_2)}^\otimes = \Psi(d_{(M_2, M_3)}^* \psi) + (-1)^n \Psi(\psi d_{M_1}) \quad (34)$$

To see that (34) holds, it suffices to show that it holds when we apply any elementary homogeneous tensor in  $M_1 \otimes_S M_2$  to both sides. So let  $u_1 \otimes u_2 \in M_{1,i} \otimes_R M_{2,j}$  be such an elementary homogeneous tensor, so  $u_1$  is graded of degree  $i$  and  $u_2$  is graded of degree  $j$ . In the following calculation, we suppress parentheses as much as possible in order to clean notation. We gave

$$\begin{aligned} (d_{M_3} \Psi(\psi) + (-1)^n \Psi(\psi) d_{(M_1, M_2)}^\otimes)(u_1 \otimes u_2) &= d_{M_3} \Psi(\psi)(u_1 \otimes u_2) + (-1)^n \Psi(\psi) d_{(M_1, M_2)}^\otimes(u_1 \otimes u_2) \\ &= d_{M_3} \psi(u_1)(u_2) + (-1)^n \Psi(\psi)(d_{M_1}(u_1) \otimes u_2 + (-1)^i u_1 \otimes d_{M_2}(u_2)) \\ &= d_{M_3} \psi(u_1)(u_2) + (-1)^n \Psi(\psi)(d_{M_1}(u_1) \otimes u_2) + (-1)^{i+n} \Psi(\psi)(u_1 \otimes d_{M_2}(u_2)) \\ &= d_{M_3} \psi(u_1)(u_2) + (-1)^n \psi(d_{M_1}(u_1))(u_2) + (-1)^{i+n} \psi(u_1)(d_{M_2}(u_2)) \\ &= (d_{M_3} \psi(u_1) + (-1)^{i+n} \psi(u_1) d_{M_2})(u_2) + (-1)^n (\psi d_{M_1})(u_1)(u_2) \\ &= (d_{(M_2, M_3)}^* \psi)(u_1)(u_2) + (-1)^n (\psi d_{M_1})(u_1)(u_2) \\ &= (d_{(M_2, M_3)}^* \psi)(u_1)(u_2) + (-1)^n (\psi d_{M_1})(u_1)(u_2) \\ &= \Psi(d_{(M_2, M_3)}^* \psi)(u_1 \otimes u_2) + (-1)^n \Psi(\psi d_{M_1})(u_1 \otimes u_2) \\ &= (\Psi(d_{(M_2, M_3)}^* \psi) + (-1)^n \Psi(\psi d_{M_1}))(u_1 \otimes u_2). \end{aligned}$$

It follows that  $\Psi$  commutes with the differentials.

**Step 4:** We will show that  $\Psi$  is a bijection. It will then follow that  $\Psi$  gives an isomorphism of  $S$ -complexes. We construct its inverse as follows: we define

$$\Phi_{M_1, M_2, M_3}: \text{Hom}_R^*(M_1 \otimes_S M_2, M_3) \rightarrow \text{Hom}_S^*(M_1, \text{Hom}_R^*(M_2, M_3))$$

to be the map given by

$$(\Phi(\varphi)(u_1))(u_2) = \varphi(u_1 \otimes u_2)$$

for all  $\varphi \in \text{Hom}_R^*(M_1 \otimes_S M_2, M_3)$ ,  $u_1 \in M_1$ , and  $u_2 \in M_2$ . We claim that  $\Psi$  and  $\Phi$  are inverse to each other. Indeed, we have

$$\begin{aligned} \Psi(\Phi(\varphi))(u_1 \otimes u_2) &= (\Phi(\varphi)(u_1))(u_2) \\ &= \varphi(u_1 \otimes u_2) \end{aligned}$$

for all  $\varphi \in \text{Hom}_R^*(M_1 \otimes_S M_2, M_3)$  and  $u_1 \otimes u_2 \in M_1 \otimes_S M_2$ . Thus  $\Psi\Phi = 1$ . Similarly, we have

$$\begin{aligned} (\Phi(\Psi(\psi))(u_1))(u_2) &= \Psi(\psi)(u_1 \otimes u_2) \\ &= (\psi(u_1))(u_2) \end{aligned}$$

for all  $\psi \in \text{Hom}_S^*(M_1, \text{Hom}_R^*(M_2, M_3))$  and  $u_1 \in M_1$  and  $u_2 \in M_2$ . Thus  $\Phi\Psi = 1$ .

**Step 5:** We show naturality in  $M_1$ ,  $M_2$ , and  $M_3$ . Naturality in  $M_1$  means that if  $\lambda: M_1 \rightarrow M'_1$  is an  $R$ -module homomorphism, then we have a commutative diagram

$$\begin{array}{ccc} \text{Hom}_S(M'_1, \text{Hom}_R(M_2, M_3)) & \xrightarrow{\Psi_{M'_1, M_3}} & \text{Hom}_R(M'_1 \otimes_S M_2, M_3) \\ \lambda^* \downarrow & & \downarrow (\lambda \otimes 1)^* \\ \text{Hom}_S(M_1, \text{Hom}_R(M_2, M_3)) & \xrightarrow{\Psi_{M_1, M_3}} & \text{Hom}_R(M_1 \otimes_S M_2, M_3) \end{array}$$

Thus we want to show for all  $\psi \in \text{Hom}_S^*(M'_1, \text{Hom}_R^*(M_2, M_3))$ , we have

$$(\lambda \otimes 1)^* \left( \Psi_{M'_1, M_3}(\psi) \right) = \Psi_{M_1, M_3}(\lambda^*(\psi)) \quad (35)$$

To see that (35) is equal, we apply all elementary tensors to both sides. Let  $u_1 \otimes u_2 \in M_1 \otimes_S M_2$ . Then we have

$$\begin{aligned} \left( (\lambda \otimes 1)^* \left( \Psi_{M'_1, M_3}(\psi) \right) \right) (u_1 \otimes u_2) &= (\Psi_{M_1, M_3}(\psi)) ((\lambda \otimes 1)(u_1 \otimes u_2)) \\ &= (\Psi_{M_1, M_3}(\psi)) (\lambda(u_1) \otimes u_2) \\ &= (\psi(\lambda(u_1)))(u_2) \\ &= ((\lambda^*(\psi))(u_1))(u_2) \\ &= (\Psi_{M_1, M_3}(\lambda^*(\psi)))(u_1 \otimes u_2) \\ &= (\Psi_{M_1, M_3}(\lambda^*(\psi)))(u_1 \otimes u_2). \end{aligned}$$

Similarly, naturality in  $M_3$  means that if  $\lambda: M_3 \rightarrow M'_3$  is an  $R$ -module homomorphism, then we have a commutative diagram

$$\begin{array}{ccc} \text{Hom}_S(M_1, \text{Hom}_R(M_2, M_3)) & \xrightarrow{\Psi_{M_1, M_3}} & \text{Hom}_R(M_1 \otimes_S M_2, M_3) \\ (\lambda_*)_* \downarrow & & \downarrow \lambda_* \\ \text{Hom}_S(M_1, \text{Hom}_R(M_2, M'_3)) & \xrightarrow{\Psi_{M_1, M'_3}} & \text{Hom}_R(M_1 \otimes_S M_2, M'_3) \end{array}$$

Thus we want to show for all  $\psi \in \text{Hom}_S(M_1, \text{Hom}_R(M_2, M_3))$ , we have

$$\lambda_* (\Psi_{M_1, M_3}(\psi)) = \Psi_{M_1, M'_3}((\lambda_*)_*(\psi)) \quad (36)$$

To see that (36) is equal, we apply all elementary tensors to both sides. Let  $u_1 \otimes u_2 \in M_1 \otimes_S M_2$ . Then we have

$$\begin{aligned} (\lambda_* (\Psi_{M_1, M_3}(\psi))) (u_1 \otimes u_2) &= \lambda ((\Psi_{M_1, M_3}(\psi)) (u_1 \otimes u_2)) \\ &= \lambda ((\psi(u_1))(u_2)) \\ &= (\lambda_*(\psi(u_1)))(u_2) \\ &= ((\lambda_*)_*(\psi))(u_1)(u_2) \\ &= \left( \Psi_{M_1, M_3'}((\lambda_*)_*(\psi)) \right) (u_1 \otimes u_2). \end{aligned}$$

□

There is another version of Tensor-Hom adjointness which we will state now but not prove.

**Proposition 3.33.** *Let  $S$  be an  $R$ -algebra, let  $M_2, M_3$  be  $S$ -complexes, and let  $M_1$  be an  $R$ -complex. Then we have an isomorphism of  $S$ -complexes*

$$\mathrm{Hom}_R^*(M_1, \mathrm{Hom}_S^*(M_2, M_3)) \cong \mathrm{Hom}_S^*(M_1 \otimes_R M_2, M_3). \quad (37)$$

Moreover (30) is natural in  $M_1$ ,  $M_2$ , and  $M_3$ .

### 3.9.6 Hom Commutes with Shifts

**Proposition 3.34.** *Let  $n \in \mathbb{Z}$  and let  $A$  and  $A'$  be  $R$ -complexes. Then*

$$\mathrm{Hom}_R^*(\Sigma^n A, A') \cong \Sigma^{-n} \mathrm{Hom}_R^*(A, A') \quad \text{and} \quad \mathrm{Hom}_R^*(A, \Sigma^n A') \cong \Sigma^n \mathrm{Hom}_R^*(A, A')$$

are isomorphisms of  $R$ -complexes.

*Remark.* Thus the covariant functor  $\mathrm{Hom}_R^*(A, -)$  commutes with shifts and the contravariant functor  $\mathrm{Hom}_R^*(-, A')$  anticommutes with shifts.

*Proof.* We will first show  $\mathrm{Hom}_R^*(\Sigma^n A, A') \cong \Sigma^{-n} \mathrm{Hom}_R^*(A, A')$ . As graded  $R$ -modules, we have

$$\begin{aligned} \mathrm{Hom}_R^*(\Sigma^n A, A') &= \mathrm{Hom}_R^*(A(-n), A') \\ &= \mathrm{Hom}_R^*(A, A')(n) \\ &= \Sigma^{-n} \mathrm{Hom}_R^*(A, A'). \end{aligned}$$

We define  $\Phi: \mathrm{Hom}_R^*(\Sigma^n A, A') \rightarrow \Sigma^{-n} \mathrm{Hom}_R^*(A, A')$  by

$$\Phi(\alpha) = (-1)^{x_i} \alpha$$

for all  $\alpha \in \mathrm{Hom}_R^*(\Sigma^n A, A')$  where  $x_i \in \mathbb{Z}$  satisfies

$$x_i = n + x_{i-1}$$

for all  $i \in \mathbb{Z}$ . Then  $\Phi$  is a graded isomorphism of the underlying graded  $R$ -module. We claim that it also commutes with the differentials, making it into an isomorphism of  $R$ -complexes. Indeed, let  $\alpha \in \mathrm{Hom}_R^*(\Sigma^n A, A')_i$ ; so  $\alpha: A \rightarrow A'$  is a graded homomorphism of degree  $n + i$ . Then we have

$$\begin{aligned} (\Sigma^{-n} d_{(A, A')}^* \Phi)(\alpha) &= (-1)^{-n} d_{(A, A')}^*(\Phi(\alpha)) \\ &= (-1)^{-n+x_i} d_{(A, A')}^*(\alpha) \\ &= (-1)^{-n+x_i} (d_{A'} \alpha - (-1)^{n+i} \alpha d_A) \\ &= (-1)^{-n+x_i} d_{A'} \alpha - (-1)^{x_i+i} \alpha d_A \\ &= (-1)^{x_{i-1}} d_{A'} \alpha - (-1)^{i+x_{i-1}+n} \alpha d_A \\ &= (-1)^{x_{i-1}} d_{A'} \alpha - (-1)^{i+x_{i-1}} \alpha d_{\Sigma^n A} \\ &= \Phi(d_{A'} \alpha - (-1)^i \alpha d_{\Sigma^n A}) \\ &= \Phi(d_{(\Sigma^n A, A')}^*(\alpha)) \\ &= (\Phi d_{(\Sigma^n A, A')}^*)(\alpha) \end{aligned}$$

Now we will show  $\mathrm{Hom}_R^*(A, \Sigma^n A') \cong \Sigma^n \mathrm{Hom}_R^*(A, A')$ . As graded  $R$ -modules, we have

$$\begin{aligned} \mathrm{Hom}_R^*(A, \Sigma^n A') &= \mathrm{Hom}_R^*(A, A'(-n)) \\ &= \mathrm{Hom}_R^*(A, A')(-n) \\ &= \Sigma^n \mathrm{Hom}_R^*(A, A'). \end{aligned}$$

We define  $\Phi: \text{Hom}_R^*(A, \Sigma^n A') \rightarrow \Sigma^n \text{Hom}_R^*(A, A')$  by

$$\Phi(\alpha) = (-1)^{x_i} \alpha$$

for all  $\alpha \in \text{Hom}_R^*(A, \Sigma^n A')$  where  $x_i \in \mathbb{Z}$  satisfies

$$x_i = x_{i-1}$$

for all  $i \in \mathbb{Z}$ . Then  $\Phi$  is a graded isomorphism of the underlying graded  $R$ -module. We claim that it also commutes with the differentials, making it into an isomorphism of  $R$ -complexes. Indeed, let  $\alpha \in \text{Hom}_R^*(A, \Sigma^n A')_i$ ; so  $\alpha: A \rightarrow A'$  is a graded homomorphism of degree  $i - n$ . Then we have

$$\begin{aligned} (\Sigma^n d_{(A,A')}^* \Phi)(\alpha) &= (-1)^n d_{(A,A')}^* (\Phi(\alpha)) \\ &= (-1)^{n+x_i} d_{(A,A')}^* (\alpha) \\ &= (-1)^{n+x_i} (d_{A'} \alpha - (-1)^{i-n} \alpha d_A) \\ &= (-1)^{n+x_i} d_{A'} \alpha - (-1)^{x_i+i} \alpha d_A \\ &= (-1)^{x_{i-1}} d_{\Sigma^n A'} \alpha - (-1)^{x_{i-1}+i} \alpha d_A \\ &= \Phi(d_{\Sigma^n A'} \alpha - (-1)^i \alpha d_A) \\ &= \Phi(d_{(A, \Sigma^n A')}^* (\alpha)) \\ &= (\Phi d_{(A, \Sigma^n A')}^*)(\alpha) \end{aligned}$$

□

### 3.9.7 Hom Commutes with Mapping Cone

**Proposition 3.35.** *Let  $X$  and  $Y$  be  $R$ -complexes and let  $\varphi: A \rightarrow A'$  be a chain map of  $R$ -complexes. Then*

$$\text{Hom}_R^*(X, C(\varphi)) \cong C(\text{Hom}_R^*(X, \varphi)) \quad \text{and} \quad \Sigma \text{Hom}_R^*(C(\varphi), Y) \cong C(\text{Hom}_R^*(\varphi, Y))$$

*are isomorphisms of  $R$ -complexes.*

*Proof.* We first show  $\text{Hom}_R^*(X, C(\varphi)) \cong C(\varphi_*)$ . As graded  $R$ -modules, we have

$$\begin{aligned} \text{Hom}_R^*(X, C(\varphi)) &= \text{Hom}_R^*(X, A' \oplus A(-1)) \\ &\cong \text{Hom}_R^*(X, A') \oplus \text{Hom}_R^*(X, A(-1)) \\ &= \text{Hom}_R^*(X, A') \oplus \text{Hom}_R^*(X, A)(-1) \\ &= C(\varphi_*), \end{aligned}$$

where the graded isomorphism in the second line is given by

$$\alpha \mapsto (\pi_1 \alpha, \pi_2 \alpha)$$

for all  $\alpha \in \text{Hom}_R^*(X, A' \oplus A(-1))$ , where

$$\pi_1: A' \oplus A(-1) \rightarrow A' \quad \text{and} \quad \pi_2: A' \oplus A(-1) \rightarrow A(-1)$$

are the natural projection maps.

We define  $\Phi: \text{Hom}_R^*(X, C(\varphi)) \rightarrow C(\varphi_*)$  by

$$\Phi(\alpha) = (\pi_1 \alpha, \pi_2 \alpha)$$

for all  $\alpha \in \text{Hom}_R^*(X, C(\varphi))$ . Then  $\Phi$  is a graded isomorphism of the underlying graded  $R$ -modules. We claim that it also commutes with the differentials, making it into an isomorphism of  $R$ -complexes. Indeed, let  $\alpha \in \text{Hom}_R^*(X, C(\varphi))_i$ . Then we have

$$\begin{aligned} (d_{C(\varphi_*)} \Phi)(\alpha) &= d_{C(\varphi_*)} (\Phi(\alpha)) \\ &= d_{C(\varphi_*)} (\pi_1 \alpha, \pi_2 \alpha) \\ &= (d_{(X,A')}^* (\pi_1 \alpha) + \varphi_* (\pi_2 \alpha), -d_{(X,A)}^* (\pi_2 \alpha)) \\ &= (d_{A'} \pi_1 \alpha - (-1)^i \pi_1 \alpha d_X + \varphi \pi_2 \alpha, -d_A \pi_2 \alpha - (-1)^i \pi_2 \alpha d_X) \\ &= (\pi_1 d_{C(\varphi)} \alpha - (-1)^i \pi_1 \alpha d_X, \pi_2 d_{\varphi} \alpha - (-1)^i \pi_2 \alpha d_X) \\ &= \Phi(d_{C(\varphi)} \alpha - (-1)^i \alpha d_X) \\ &= \Phi(d_{(X, C(\varphi))}^* (\alpha)) \\ &= (\Phi d_{(X, C(\varphi))}^*)(\alpha) \end{aligned}$$

where we used the fact that  $-\mathbf{d}_A \pi_2 = \pi_2 \mathbf{d}_\varphi$  and  $\pi_1 \mathbf{d}_\varphi = \mathbf{d}_{A'} \pi_1 + \varphi \pi_2$ .

Now we show  $\Sigma \text{Hom}_R^*(C(\varphi), Y) \cong C(\varphi^*)$ . As graded  $R$ -modules, we have

$$\begin{aligned} \Sigma \text{Hom}_R^*(C(\varphi), Y) &= \text{Hom}_R^*(A' \oplus A(-1), Y)(-1) \\ &\cong \text{Hom}_R^*(A', Y)(-1) \oplus \text{Hom}_R^*(A(-1), Y)(-1) \\ &= \text{Hom}_R^*(A', Y)(-1) \oplus \text{Hom}_R^*(A, Y) \\ &\cong \text{Hom}_R^*(A, Y) \oplus \text{Hom}_R^*(A', Y)(-1) \\ &= C(\varphi_*), \end{aligned}$$

where the graded isomorphism in the second line is given by

$$\alpha \mapsto (\alpha \iota_1, \alpha \iota_2)$$

for all  $\alpha \in \text{Hom}_R^*(X, A' \oplus A(-1))$ , where

$$\iota_1: A' \rightarrow A' \oplus A(-1) \quad \text{and} \quad \iota_2: A(-1) \rightarrow A' \oplus A(-1)$$

are the natural inclusion maps.

We define  $\Phi: \Sigma \text{Hom}_R^*(C(\varphi), Y) \rightarrow C(\varphi_*)$  by

$$\Phi(\alpha) = (\alpha \iota_2, \alpha \iota_1)$$

for all  $\alpha \in \Sigma \text{Hom}_R^*(C(\varphi), Y)$ . Then  $\Phi$  is a graded isomorphism of the underlying graded  $R$ -modules. We claim that it also commutes with the differentials, making it into an isomorphism of  $R$ -complexes. Indeed, let  $\alpha \in \Sigma \text{Hom}_R^*(C(\varphi), Y)_i$ . Then we have

$$\begin{aligned} (\mathbf{d}_{C(\varphi^*)} \Phi)(\alpha) &= \mathbf{d}_{C(\varphi^*)}(\Phi(\alpha)) \\ &= \mathbf{d}_{C(\varphi^*)}(\alpha \iota_2, \alpha \iota_1) \\ &= (\mathbf{d}_{(A, Y)}^*(\alpha \iota_2) + \varphi^*(\alpha \iota_1), -\mathbf{d}_{(A', Y)}^*(\alpha \iota_1)) \\ &= (\mathbf{d}_Y \alpha \iota_2 + (-1)^i \alpha \iota_2 \mathbf{d}_A + \alpha \iota_1 \varphi, -\mathbf{d}_Y \alpha \iota_1 + (-1)^i \alpha \iota_1 \mathbf{d}_{A'}) \\ &= (-\mathbf{d}_Y \alpha \iota_2 + (-1)^i \alpha \mathbf{d}_{C(\varphi)} \iota_2, -\mathbf{d}_Y \alpha \iota_1 + (-1)^i \alpha \mathbf{d}_{C(\varphi)} \iota_1) \\ &= \Phi(-\mathbf{d}_Y \alpha + (-1)^i \alpha \mathbf{d}_{C(\varphi)}) \\ &= \Phi(-\mathbf{d}_{(C(\varphi), Y)}^*(\alpha)) \\ &= (\Phi \Sigma \mathbf{d}_{(C(\varphi), Y)}^*)(\alpha) \end{aligned}$$

where we used the fact that  $\iota_2 \mathbf{d}_A = \iota_1 \varphi - \mathbf{d}_{C(\varphi)} \iota_2$  and  $\mathbf{d}_{C(\varphi)} \iota_1 = \iota_1 \mathbf{d}_{A'}$ . □

### 3.9.8 Hom Preserves Homotopy Equivalences

**Proposition 3.36.** *Let  $B$  be an  $R$ -complex, let  $\varphi: A \rightarrow A'$  and  $\psi: A \rightarrow A'$  be two chain maps of  $R$ -complexes, and suppose  $\varphi \sim \psi$ . Then  $\text{Hom}_R^*(\varphi, B) \sim \text{Hom}_R^*(\psi, B)$ .*

*Proof.* Choose a homotopy  $h: A \rightarrow A'$  from  $\varphi$  to  $\psi$  (so  $\varphi - \psi = \mathbf{d}_{A'} h + h \mathbf{d}_A$ ). To ease the notation in the following calculation, we write  $\varphi^* = \text{Hom}_R^*(\varphi, B)$ ,  $\psi^* = \text{Hom}_R^*(\psi, B)$ , and  $h^* = \text{Hom}_R^*(h, B)$ . We claim that  $h^*: \text{Hom}_R^*(A', B) \rightarrow \text{Hom}_R^*(A, B)$  is a homotopy from  $\varphi^*$  to  $\psi^*$ . Indeed, let  $\alpha: A' \rightarrow B$  be a graded  $R$ -linear map of degree  $i$ . Then observe that

$$\begin{aligned} (\mathbf{d}_{(A, B)}^* h^* + h^* \mathbf{d}_{(A', B)}^*)(\alpha) &= (-1)^i \mathbf{d}_{(A, B)}^*(\alpha h) + h^*(\mathbf{d}_B \alpha - (-1)^i \alpha \mathbf{d}_{A'}) \\ &= (-1)^i \mathbf{d}_B \alpha h + (-1)^i (-1)^i \alpha h \mathbf{d}_A - (-1)^i \mathbf{d}_B \alpha h - (-1)^i (-1)^{i+1} \alpha \mathbf{d}_{A'} h \\ &= \alpha h \mathbf{d}_A + \alpha \mathbf{d}_{A'} h \\ &= \alpha (h \mathbf{d}_A + \mathbf{d}_{A'} h) \\ &= \alpha (\varphi - \psi) \\ &= (\varphi^* - \psi^*)(\alpha) \end{aligned}$$

Thus  $h^*$  is indeed a homotopy from  $\varphi^*$  to  $\psi^*$ . □

**Corollary.** *Suppose  $\varphi: A \rightarrow A'$  is a homotopy of equivalence of  $R$ -complexes. Then  $\text{Hom}_R^*(\varphi, B): \text{Hom}_R^*(A', B) \rightarrow \text{Hom}_R^*(A, B)$  is a homotopy equivalence of  $R$ -complexes.*



*Proof.* Let  $\varphi': A' \rightarrow A$  be the homotopy inverse to  $\varphi$ . Thus  $\varphi\varphi' \sim 1_{A'}$  and  $\varphi'\varphi \sim 1_A$ . It follows that

$$\begin{aligned} 1_{\text{Hom}_R^*(A', B)} &= \text{Hom}_R^*(1_{A'}, B) \\ &\sim \text{Hom}_R^*(\varphi\varphi', B) \\ &= \text{Hom}_R^*(\varphi', B)\text{Hom}_R^*(\varphi, B). \end{aligned}$$

Similarly, we have  $1_{\text{Hom}_R^*(A, B)} \sim \text{Hom}_R^*(\varphi, B)\text{Hom}_R^*(\varphi', B)$ . Therefore  $\text{Hom}_R^*(\varphi, B)$  is a homotopy equivalence of  $R$ -complexes.  $\square$

### 3.9.9 Twisting the hom complex with a chain map

**Definition 3.20.** Let  $(A, d)$  be an  $R$ -complex and let  $\alpha: A \rightarrow A$  be a chain map. We define an  $R$ -complex  $\text{Hom}_R^{\alpha}(A, A)$  as follows: as a graded  $R$ -module,  $\text{Hom}_R^{\alpha}(A, A)$  is just  $\text{Hom}_R^*(A, A)$ . We define the differential  $d_{\alpha}^*: \text{Hom}_R^{\alpha}(A, A) \rightarrow \text{Hom}_R^{\alpha}(A, A)$  on graded  $R$ -linear map  $\varphi: A \rightarrow A$  of degree  $i$  by

$$d_{\alpha}^*(\varphi) = d\varphi + (-1)^i \alpha \varphi d \quad (38)$$

and then we extend  $d_{\alpha}^*$  linearly everywhere else. Note that  $d_{\alpha}^*$  is graded of degree  $-1$  since  $\alpha$  is a chain map. Let us show that we have  $d_{\alpha}^* d_{\alpha}^* = 0$ . Let  $\varphi: A \rightarrow A$  be a graded  $R$ -linear map of degree  $i$ . Then we have

$$\begin{aligned} d_{\alpha}^* d_{\alpha}^*(\varphi) &= d_{\alpha}^*(d\varphi + (-1)^i \alpha \varphi d) \\ &= dd\varphi + (-1)^{i-1} \alpha d\varphi d + (-1)^i d\alpha \varphi d + (-1)^{i-1} \alpha \alpha \varphi dd \\ &= (-1)^{i-1} \alpha d\varphi d + (-1)^i \alpha d\varphi d \\ &= 0. \end{aligned}$$

It follows that  $d_{\alpha}^*$  is a differential.

## 4 Ext and Tor

### 4.1 Projective Resolutions

**Definition 4.1.** Let  $M$  be an  $R$ -module. An **augmented projective resolution of  $M$  over  $R$**  is an  $R$ -complex  $(P, d)$  such that

1.  $P$  is a projective  $R$ -module. Equivalently,  $P_i$  is a projective  $R$ -module for all  $i \in \mathbb{Z}$ ;
2.  $P_i = 0$  for all  $i < 0$ ;
3.  $H_0(P) \cong M$  and  $H_i(P) = 0$  for all  $i > 0$ .

**Theorem 4.1.** Let  $(P, d)$  and  $(P', d')$  be two projective resolutions of  $M$  over  $R$ . Then  $(P, d)$  and  $(P', d')$  are homotopically equivalent.

*Proof.* For each  $i \geq 0$ , let  $M'_i := \text{im } d'_i$  and let  $M_i := \text{im } d_i$ . We build a chain map  $\varphi: (P, d) \rightarrow (P', d')$  by constructing  $R$ -module homomorphism  $\varphi_i: P_i \rightarrow P'_i$  which commute with the differentials using induction on  $i \geq 0$ . First consider the base case  $i = 0$ . Since  $P_0/M_1 \cong P'_0/M'_1$ , there exists a homomorphism  $\psi_0: P_0 \rightarrow P'_0/M'_0$ . Then since  $P_0$  is projective and since  $d'_0: P'_0 \rightarrow P'_0/M'_1$  is a surjective homomorphism, we can lift  $\psi_0: P_0 \rightarrow P'_0/M'_0$  along  $d'_0: P'_0 \rightarrow P'_0/M'_1$  to a homomorphism  $\varphi_0: P_0 \rightarrow P'_0$  such that  $d'_0 \varphi_0 = \psi_0$ .

Now suppose for some  $i > 0$  we have constructed  $R$ -module homomorphisms  $\varphi_0, \varphi_1, \dots, \varphi_i$  which commute with the differentials. We need to construct an  $R$ -module homomorphism  $\varphi_{i+1}: P_{i+1} \rightarrow P'_{i+1}$  which commutes with the differentials. First, we claim that  $\text{im}(\varphi_i d_{i+1}) \subseteq M'_{i+1}$ . To see this, note that

$$\begin{aligned} d'_i \varphi_i d_{i+1} &= \varphi_{i-1} d_i d_{i+1} \\ &= 0. \end{aligned}$$

Thus, since  $i > 0$ , we have

$$\begin{aligned} \text{im}(\varphi_i d_{i+1}) &\subseteq \ker d_i \\ &= \text{im } d'_{i+1} \\ &= M'_{i+1}. \end{aligned}$$

Now since  $P_{i+1}$  is projective and  $d'_{i+1}: P_{i+1} \rightarrow M'_{i+1}$  is surjective, we can lift  $\varphi_i d_{i+1}: P_{i+1} \rightarrow M'_{i+1}$  along  $d'_{i+1}: P'_{i+1} \rightarrow M'_{i+1}$  to a homomorphism  $\varphi_{i+1}: P_{i+1} \rightarrow P'_{i+1}$  such that  $d'_{i+1} \varphi_{i+1} = \varphi_i d_{i+1}$ .

By a similar construction as above, we get a chain map  $\varphi': (P', d') \rightarrow (P, d)$ . Now we claim that  $\varphi'\varphi$  is homotopic to  $\text{id}_P$  and similarly  $\varphi\varphi'$  is homotopic to  $\text{id}_{P'}$ . It suffices to show that  $\varphi'\varphi \sim \text{id}_P$  (a similar argument will give  $\varphi\varphi' \sim \text{id}_{P'}$ ). The idea is to build the homotopy  $h: (P, d) \rightarrow (P, d)$  using induction on  $i \geq 0$ . The homotopy equation that we need is

$$\varphi'\varphi - 1 = dh + hd, \quad (39)$$

where we write 1 instead of  $\text{id}_P$  is clean notation. Since  $P_0$  is projective and  $d_1: P_1 \rightarrow P_0$  is a surjective morphism, there exists a homomorphism  $h_0: P_0 \rightarrow P_1$  such that

$$\varphi'_0\varphi_0 - 1 = d_1h_0. \quad (40)$$

In homological degree  $i = 0$ , the equation (39) becomes (40). Thus, we are on the right track.

Now we use induction. Suppose for  $i > 0$  we have constructed an  $R$ -module homomorphism  $h_i: P_i \rightarrow P_{i+1}$  such that

$$\varphi'_i\varphi_i - 1 = d_{i+1}h_i + h_{i-1}d_i. \quad (41)$$

Observe that  $\text{Im}(\varphi'_i\varphi_i - 1 - h_{i-1}d_i) \subseteq M_{i+1}$ . Indeed, note that

$$\begin{aligned} d_i(\varphi'_i\varphi_i - 1 - h_{i-1}d_i) &= d_i\varphi'_i\varphi_i - d_i - d_ih_{i-1}d_i \\ &= \varphi'_{i-1}d'_i\varphi_i - d_i - d_ih_{i-1}d_i \\ &= \varphi'_{i-1}\varphi_{i-1}d_i - d_i - d_ih_{i-1}d_i \\ &= (\varphi'_{i-1}\varphi_{i-1} - 1)d_i - d_ih_{i-1}d_i \\ &= (d_ih_{i-1} + h_{i-2}d_{i-1})d_i - d_ih_{i-1}d_i \\ &= d_ih_{i-1}d_i + h_{i-2}d_{i-1}d_i - d_ih_{i-1}d_i \\ &= d_ih_{i-1}d_i - d_ih_{i-1}d_i \\ &= 0. \end{aligned}$$

Therefore since  $P_{i+1}$  is projective and since  $d_{i+2}: P_{i+2} \rightarrow M_{i+2}$  is a surjective homomorphism, there exists  $h_{i+1}: P_{i+1} \rightarrow P_{i+2}$  such that

$$\varphi'_i\varphi_i - 1 - h_{i-1}d_i = d_{i+2}h_{i+1},$$

which is the homotopy equation in degree  $i + 1$ . □

#### 4.1.1 Minimal Projective Resolutions over a Noetherian Local Ring

**Definition 4.2.** Let  $(R, \mathfrak{m})$  be a Noetherian local ring, let  $M$  be a finitely generated  $R$ -module, and let  $(P, d)$  be a projective resolution of  $M$  over  $R$ . We say  $(P, d)$  is **minimal** if  $d(P) \subset \mathfrak{m}P$ .

**Proposition 4.1.** Let  $(R, \mathfrak{m})$  be a Noetherian local ring, let  $M$  be a finitely generated  $R$ -module, and let  $(P, d)$  and  $(P', d')$  be any two minimal projective resolution of  $M$  over  $R$ . Then for each  $i \in \mathbb{Z}$ , the ranks of  $P_i$  and  $P'_i$  are finite and equal to each other. We denote this common rank by  $\beta_i(M)$ .

*Proof.* Choose chain map  $\alpha: (P, d) \rightarrow (P', d')$  and  $\alpha': (P', d') \rightarrow (P, d)$  together with a homotopy  $h: (P, d) \rightarrow (P', d')$  such that

$$\alpha'\alpha - 1 = d'h + hd. \quad (42)$$

Since  $d(P) \subset \mathfrak{m}P$  and  $d'(P') \subset \mathfrak{m}P'$ , the homotopy equation (42) reduces to

$$\alpha'\alpha - 1 \equiv 0 \pmod{\mathfrak{m}}.$$

In other words,  $\alpha: P \rightarrow P'$  induces an isomorphism  $\bar{\alpha}: P/\mathfrak{m}P \rightarrow P'/\mathfrak{m}P'$ . In particular, for each  $i \in \mathbb{Z}$ , we have isomorphisms

$$\bar{\alpha}_i: P_i/\mathfrak{m}P_i \rightarrow P'_i/\mathfrak{m}P'_i$$

of  $(R/\mathfrak{m})$ -vector spaces. Therefore by Nakayama's Lemma, for all  $i \in \mathbb{Z}$ , we have

$$\begin{aligned} \text{rank}(P_i) &= \dim_{R/\mathfrak{m}}(P_i/\mathfrak{m}P_i) \\ &= \dim_{R/\mathfrak{m}}(P'_i/\mathfrak{m}P'_i) \\ &= \text{rank}(P'_i). \end{aligned}$$

□

## 4.2 Definition of Tor

**Definition 4.3.** Let  $M$  and  $N$  be  $R$ -modules. We define the **Tor** with respect to  $M$  and  $N$  as follows: Choose a projective resolution of  $M$ , say  $(P, d)$ , then set

$$\mathrm{Tor}^R(M, N) := H(P \otimes_R N).$$

We need to check that this definition does not depend on the choice of a projective resolution of  $M$ , so suppose  $(P', d')$  is another projective resolution of  $M$ . By Theorem (4.1), there exists a homotopy equivalence from  $(P, d)$  to  $(P', d')$ , say  $\varphi: (P, d) \rightarrow (P', d')$  and  $\varphi': (P', d') \rightarrow (P, d)$  with homotopies  $h: (P, d) \rightarrow (P, d)$  and  $h': (P, d) \rightarrow (P, d')$  such that

$$\varphi' \varphi - 1 = dh + hd \quad \text{and} \quad \varphi \varphi' - 1 = d'h' + h'd'.$$

We claim that  $P \otimes_R N$  is homotopically equivalent to  $P' \otimes_R N$  via the pair of maps  $\varphi \otimes 1: P \otimes_R N \rightarrow P' \otimes_R N$  and  $\varphi' \otimes 1: P' \otimes_R N \rightarrow P \otimes_R N$  with homotopies given by  $h \otimes 1: P \otimes_R N \rightarrow P' \otimes_R N$  and  $h' \otimes 1: P' \otimes_R N \rightarrow P \otimes_R N$  respectively. Indeed, we have

$$\begin{aligned} (\varphi' \otimes 1)(\varphi \otimes 1) - 1 \otimes 1 &= \varphi' \varphi \otimes 1 - 1 \otimes 1 \\ &= (\varphi' \varphi - 1) \otimes 1 \\ &= (dh + hd) \otimes 1 \\ &= dh \otimes 1 + hd \otimes 1 \\ &= d^{P \otimes_R N}(h \otimes 1) + (h \otimes 1)d^{P \otimes_R N}. \end{aligned}$$

A similar calculation shows

$$(\varphi \otimes 1)(\varphi' \otimes 1) = d^{P' \otimes_R N}(h' \otimes 1) + (h' \otimes 1)d^{P' \otimes_R N}.$$

Thus  $P \otimes_R N$  is homotopically equivalent to  $P' \otimes_R N$  and hence

$$H(P \otimes_R N) = H(P' \otimes_R N).$$

Therefore the definition of Tor is well-defined.

## 4.3 Examples of Tor

**Example 4.1.** Let  $I$  and  $J$  be ideals in  $R$ . We compute  $\mathrm{Tor}_1^R(R/I, R/J)$ . First we tensor the short exact sequence

$$0 \longrightarrow I \longrightarrow R \longrightarrow R/I \longrightarrow 0$$

with  $R/J$  to get the exact sequence

$$\begin{array}{ccccccc} I/IJ & \longrightarrow & R/J & \longrightarrow & R/(I+J) & \longrightarrow & 0 \\ & \searrow & & & & & \\ & & 0 \cong \mathrm{Tor}_1^R(R, R/J) & \longrightarrow & \mathrm{Tor}_1^R(R/I, R/J) & \longrightarrow & \end{array}$$

where  $\mathrm{Tor}_1^R(R, R/J) \cong 0$  for trivial reasons. From here, it follows that  $\mathrm{Tor}_1^R(R/I, R/J)$  is isomorphic to the kernel of the map  $I/IJ \rightarrow R/J$ , which is just  $I \cap J/IJ$ .

**Example 4.2.** Let  $R = K[x, y, z]$ ,  $I = \langle xy^2z^3, x^2yz^3, x^3yz^2, x^3y^2z, x^2y^3z, xy^3z^2 \rangle$ , and  $J = \langle x, y \rangle$ . We compute  $\mathrm{Tor}_i^R(R/I, R/J)$  for all  $i$ . An augmented free resolution for  $R/I$  comes from the permutohedron of order 3. It is given by

$$0 \longrightarrow R \xrightarrow{\varphi_3} R^6 \xrightarrow{\varphi_2} R^6 \xrightarrow{\varphi_1} R \longrightarrow R/I$$

where

$$\varphi_3 = \begin{pmatrix} xy \\ y^2 \\ yz \\ z^2 \\ xz \\ x^2 \end{pmatrix}, \quad \varphi_2 = \begin{pmatrix} -x & 0 & 0 & 0 & 0 & y \\ y & -x & 0 & 0 & 0 & 0 \\ 0 & z & -y & 0 & 0 & 0 \\ 0 & 0 & z & -y & 0 & 0 \\ 0 & 0 & 0 & x & -z & 0 \\ 0 & 0 & 0 & 0 & x & -z \end{pmatrix}, \quad \varphi_1 = (xy^2z^3 \quad x^2yz^3 \quad x^3yz^2 \quad x^3y^2z \quad x^2y^3z \quad xy^3z^2).$$

We now truncate this resolution by replacing the  $R/I$  term with 0 and then tensor the truncated resolution with  $R/J$  to get:

$$0 \longrightarrow R/J \xrightarrow{\tilde{\varphi}_3} (R/J)^6 \xrightarrow{\tilde{\varphi}_2} (R/J)^6 \xrightarrow{\tilde{\varphi}_1} R/J \longrightarrow 0$$

where  $\overline{\varphi}_i$  is given by

$$\overline{\varphi}_3 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ \overline{z}^2 \\ 0 \\ 0 \end{pmatrix}, \quad \overline{\varphi}_2 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \overline{z} & 0 & 0 & 0 & 0 \\ 0 & 0 & \overline{z} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -\overline{z} & 0 \\ 0 & 0 & 0 & 0 & 0 & -\overline{z} \end{pmatrix}, \quad \overline{\varphi}_1 = (0 \ 0 \ 0 \ 0 \ 0 \ 0).$$

From this, we see that

$$\begin{aligned} \mathrm{Tor}_0^R(R/I, R/J) &\cong R/\langle x, y \rangle \\ \mathrm{Tor}_1^R(R/I, R/J) &\cong (R/\langle x, y \rangle)^2 \oplus (R/\langle x, y, z \rangle)^4 \\ \mathrm{Tor}_2^R(R/I, R/J) &\cong (R/\langle x, y \rangle) \oplus (R/\langle x, y, z^2 \rangle), \end{aligned}$$

and  $\mathrm{Tor}_i^R(R/I, R/J) \cong 0$  for all  $i \geq 3$ .

#### 4.4 Definition of Ext

**Definition 4.4.** Let  $M$  and  $N$  be  $R$ -modules. We define the **Ext** with respect to  $M$  and  $N$  as follows: Choose a projective resolution of  $M$ , say  $(P, d)$ , then set

$$\mathrm{Ext}_R(M, N) := H(\mathrm{Hom}_R^*(P, N)).$$

We need to check that this definition does not depend on the choice of a projective resolution of  $M$ , so suppose  $(P', d')$  is another projective resolution of  $M$ . By Theorem (4.1), there exists a homotopy equivalence from  $(P, d)$  to  $(P', d')$ , say  $\varphi: (P, d) \rightarrow (P', d')$  and  $\varphi': (P', d') \rightarrow (P, d)$  with homotopies  $h: (P, d) \rightarrow (P, d)$  and  $h': (P, d) \rightarrow (P, d')$  such that

$$\varphi' \varphi - 1 = dh + hd \quad \text{and} \quad \varphi \varphi' - 1 = d'h' + h'd'.$$

We claim that  $\mathrm{Hom}_R^*(P, N)$  is homotopically equivalent to  $\mathrm{Hom}_R^*(P', N)$  via the pair of maps  $\varphi^*: \mathrm{Hom}_R^*(P, N) \rightarrow \mathrm{Hom}_R^*(P', N)$  and  $\varphi'^*: \mathrm{Hom}_R^*(P', N) \rightarrow \mathrm{Hom}_R^*(P, N)$  with homotopies given by  $h^*: \mathrm{Hom}_R^*(P, N) \rightarrow \mathrm{Hom}_R^*(P, N)$  and  $h'^*: \mathrm{Hom}_R^*(P', N) \rightarrow \mathrm{Hom}_R^*(P', N)$  respectively. Indeed, if  $\psi \in \mathrm{Hom}_R(P_i, N)$ , then we have

$$\begin{aligned} (\varphi'^* \varphi^* - 1^*)(\psi) &= \psi(\varphi' \varphi - 1) \\ &= \psi(dh + hd) \\ &= (d^* h^* + h^* d^*)(\psi). \end{aligned}$$

It follows that  $\varphi'^* \varphi^* - 1^* = d^* h^* + h^* d^*$ . A similar calculation shows  $\varphi^* \varphi'^* - 1^* = d'^* h'^* + h'^* d'^*$ . Thus  $\mathrm{Hom}_R^*(P, N)$  is homotopically equivalent to  $\mathrm{Hom}_R^*(P', N)$  and hence

$$H(\mathrm{Hom}_R^*(P, N)) = H(\mathrm{Hom}_R^*(P', N)).$$

Therefore the definition of Ext is well-defined.

#### 4.5 Balance of Ext

We are striving for balance of Ext: the sketch of that proof goes like this: We have

$$\mathrm{Hom}_R(P, N) \xrightarrow[\varepsilon_*]{\tau} \mathrm{Hom}_R(P, E) \xleftarrow[\tau^*]{\varepsilon} \mathrm{Hom}_R(M, E).$$

The quasiisomorphisms are: augment  $P \xrightarrow[\cong]{\tau} M$  and  $N \xrightarrow[\cong]{\varepsilon} E$ . Then  $\mathrm{Hom}_R(P, C(\varepsilon)) \cong C(\varepsilon_*)$  where  $C(\varepsilon)$  is exact because  $\varepsilon$  is quasiisomorphism and  $\mathrm{Hom}_R(P, C(\varepsilon))$  is exact because  $P$  is bounded below complex of projectives. Therefore  $C(\varepsilon_*)$  is exact, which implies  $\varepsilon_*$  is a quasiisomorphism.

**Lemma 4.2.** Let  $I$  be a bounded above complex of injective  $R$ -modules. Then  $\mathrm{Hom}_R(-, I)$  respects exact complexes. That is, if  $U$  is exact, then the complex  $\mathrm{Hom}_R(U, I)$  is exact.

**Proposition 4.2.** Let  $P$  be a bounded below complex of projective  $R$ -modules and let  $I$  be a bounded above complex of injective  $R$ -modules. Then  $\mathrm{Hom}_R(P, -)$  and  $\mathrm{Hom}_R(-, I)$  respect quasiisomorphisms. That is, given a quasiisomorphism  $\phi: U \rightarrow V$ , the chain maps  $\phi_*: \mathrm{Hom}_R(P, U) \rightarrow \mathrm{Hom}_R(P, V)$  and  $\phi^*: \mathrm{Hom}_R(V, I) \rightarrow \mathrm{Hom}_R(U, I)$  are quasiisomorphisms.

*Proof.* We have

$$\begin{aligned}
 V \xrightarrow[\cong]{\phi} U &\implies C(\phi) \text{ is exact} \\
 &\implies \text{Hom}_R(C(\phi), I) \text{ is exact} \\
 &\implies C(\text{Hom}_R(\phi, I)) \text{ is exact} \\
 &\implies \text{Hom}(\phi, I) = \phi_* \text{ is quasiisomorphism}
 \end{aligned}$$

□

**Theorem 4.3.** (Balance for Ext) Let  $P$  be a projective resolution of an  $R$ -module  $M$  and let  $I$  be an injective resolution of an  $R$ -module  $N$ . Then

$$\text{Ext}_R^i(M, N) = H_{-i}(\text{Hom}_R(P, N)) \cong H_{-i}(\text{Hom}_R(P, I)) \cong H_{-i}(\text{Hom}_R(M, I)).$$

*Proof.* Resolution gives us quasiisomorphisms  $P \xrightarrow[\cong]{\tau} M$  and  $N \xrightarrow[\cong]{\varepsilon} I$ . Thus

$$\text{Hom}_R(P, N) \xrightarrow[\cong]{\varepsilon_*} \text{Hom}_R(P, I) \xleftarrow[\cong]{\tau^*} \text{Hom}_R(M, I).$$

□

## 4.6 Shift Property of Tor and Ext

**Proposition 4.3.** Let  $A$  be a ring. Let  $M$  and  $N$  finitely generated  $A$ -modules, and for  $i \geq 0$ , let  $M_i$  and  $N_i$  denote their respective nonnegative syzygies. For  $j \geq 1$ , we have

$$\begin{aligned}
 \text{Ext}_A^{j+1}(M_i, N) &\cong \text{Ext}_A^j(M_{i+1}, N) \\
 \text{Tor}_{j+1}^A(M_i, N) &\cong \text{Tor}_j^A(M_{i+1}, N) \\
 \text{Tor}_{j+1}^A(M, N_i) &\cong \text{Tor}_j^A(M, N_{i+1})
 \end{aligned}$$

Moreover, assume  $A$  is Gorenstein,  $M$  and  $N$  are maximal Cohen-Macaulay, and for  $i \leq -1$ , let  $M_i$  and  $N_i$  denote their respective nonnegative syzygies. Then for  $j \geq 1$ , we have

$$\begin{aligned}
 \text{Ext}_A^{j+1}(M_i, N) &\cong \text{Ext}_A^j(M_{i+1}, N) \\
 \text{Ext}_A^j(M, N_i) &\cong \text{Ext}_A^{j+1}(M, N_{i+1}) \\
 \text{Tor}_{j+1}^A(M_i, N) &\cong \text{Tor}_j^A(M_{i+1}, N) \\
 \text{Tor}_{j+1}^A(M, N_i) &\cong \text{Tor}_j^A(M, N_{i+1})
 \end{aligned}$$

## 5 Differential Graded Algebras

### 5.1 DG Algebras

Let  $(A, d)$  be an  $R$ -complex. A **graded-multiplication** on  $A$  is a graded  $R$ -linear map  $m: A \otimes_R A \rightarrow A$  of the underlying graded  $R$ -modules. The universal mapping property on graded tensor products tells us that there exists a unique graded  $R$ -bilinear map  $B_m: A \times A \rightarrow A$  such that

$$B_m(a, b) = m(a \otimes b)$$

for all  $(a, b) \in A \times A$ . However since  $B_m$  is *uniquely* determined by  $m$ , we often identify  $B_m$  with  $m$  and simply think of  $m$  as a graded  $R$ -bilinear map. In fact, we often drop  $m$  altogether and simply denote this multiplication map by

$$\sum a_i \otimes b_i \mapsto \sum a_i b_i$$

for all  $\sum a_i \otimes b_i \in A \otimes_R A$ . At the end of the day, context will make everything clear.

Suppose  $m$  is a graded multiplication. As the name of the definition suggests, a graded-multiplication on  $A$  must respect the grading. In particular, this means that if  $a \in A_i$  and  $b \in A_j$ , then  $ab \in A_{i+j}$ . We can also impose other conditions on a graded-multiplication on  $A$ .

**Definition 5.1.** Let  $(A, d)$  be an  $R$ -complex and let  $m$  be a graded-multiplication on  $A$ .

1. We say  $m$  is **associative** if

$$a(bc) = (ab)c$$

for all  $a, b, c \in A$ .

2. We say  $m$  is **graded-commutative** if

$$ab = (-1)^i ba$$

for all  $a \in A_i$  and  $b \in A_j$  for all  $i, j \in \mathbb{Z}$ .

3. We say  $m$  is **strictly graded-commutative** if it is graded-commutative and satisfies the following extra property:

$$a^2 = 0$$

for all  $a \in A_i$  for all  $i$  odd.

4. We say  $m$  is **unital** if there exists an  $e \in A$  such that

$$ae = e = ea$$

for all  $a \in A$ .

5. We say a graded-multiplication satisfies **Leibniz law** if

$$d(ab) = d(a)b + (-1)^i ad(b)$$

for all  $a \in A_i$  and  $b \in A_j$  for all  $i, j \in \mathbb{Z}$ . This is equivalent to  $m$  being a chain map!

6. We say  $(A, m, d)$  is a **differential graded  $R$ -algebra** (or **DG  $R$ -algebra**) if  $m$  is a graded-multiplication on  $A$  which satisfies conditions 1-5.

*Remark.* If the differential  $d$  and the multiplication map  $m$  are understood from context, then we will denote a differential graded  $R$ -algebra simply as “ $A$ ” rather than as a triple “ $(A, m, d)$ ”. We will also often introduce a differential grade  $R$ -algebra as “ $A$ ” without specifying how the differential and multiplication map are to be denoted. In this case, the differential is denoted “ $d_A$ ” and the multiplication map is denoted “ $m_A$ ”.

**Definition 5.2.** Let  $(A, d)$  and  $(A', d')$  be two DG  $R$ -algebras. A chain map  $\varphi: (A, d) \rightarrow (A', d')$  is said to be a **DG-algebra morphism** if it respects multiplication and identity. In other words, we need

$$\varphi(ab) = \varphi(a)\varphi(b)$$

for all  $a, b \in A$ , and we need

$$\varphi(1) = 1.$$

We obtain a category of DG  $R$ -algebras.

### 5.1.1 Tensor Product of DG Algebras is DG Algebra

**Proposition 5.1.** Let  $A$  and  $B$  be two DG  $R$ -algebras. Then  $A \otimes_R B$  is a DG  $R$ -algebra.

*Proof.* Let  $m_A: A \otimes_R A \rightarrow A$  be the multiplication map for  $A$  and let  $m_B: B \otimes_R B \rightarrow B$  the multiplication map for  $B$ . Then

$$\begin{aligned} (A \otimes_R B) \otimes_R (A \otimes_R B) &\cong A \otimes_R (B \otimes_R (A \otimes_R B)) \\ &\cong A \otimes_R ((B \otimes_R A) \otimes_R B) \\ &\cong A \otimes_R ((A \otimes_R B) \otimes_R B) \\ &\cong \\ &A \otimes_R B \end{aligned}$$

□

**Proposition 5.2.** Let  $(A, d)$  and  $(A', d')$  be two DG  $R$ -algebras. Then  $(A \otimes_R A', d^{A \otimes_R A'})$  is a DG  $R$ -algebra.

*Proof.* Throughout this proof, denote  $d^\otimes := d^{A \otimes_R A'}$ . We define multiplication on  $A \otimes_R A'$  by the formula

$$(a \otimes a')(b \otimes b') = (-1)^{i'j} ab \otimes a'b'. \quad (43)$$

for all  $a \otimes a' \in A_i \otimes_R A_{i'}$  and  $b \otimes b' \in A_j \otimes_R A_{j'}$ . It is easy to check that (43) is associative and unital with unit being  $e_A \otimes e_{A'}$  where  $e_A$  is the unit of  $A$  and  $e_{A'}$  is the unit of  $A'$ . Let us check that Leibniz law is satisfied. Let  $a \otimes a', b \otimes b' \in A \otimes_R A'$ . Then we have

$$\begin{aligned}
d^\otimes((a \otimes a')(b \otimes b')) &= (-1)^{i'j} d^\otimes(ab \otimes a'b') \\
&= (-1)^{i'j} (d(ab) \otimes a'b' + (-1)^{i+j} ab \otimes d'(a'b')) \\
&= (-1)^{i'j} ((d(a)b + (-1)^i ad(b)) \otimes a'b' + (-1)^{i+j} ab \otimes (d'(a')b' + (-1)^{i'} a'd'(b'))) \\
&= (-1)^{i'j} d(a)b \otimes a'b' + (-1)^{i'j+i} ad(b) \otimes a'b' + (-1)^{i'j+i+j} ab \otimes d'(a')b' + (-1)^{i'j+i+j+i'} ab \otimes a'd'(b') \\
&= (-1)^{i'j} d(a)b \otimes a'b' + (-1)^{i+j(i'+1)} ab \otimes d'(a')b' + (-1)^{i+i'+i'(j+1)} ad(b) \otimes a'b' + (-1)^{i+i'+j+i'j} (ab \otimes a'd'(b')) \\
&= (d(a) \otimes a')(b \otimes b') + (-1)^i (a \otimes d'(a'))(b \otimes b') + (-1)^{i+i'} (a \otimes a')(d(b) \otimes b') + (-1)^{i+i'+j} (a \otimes a')(b \otimes d'(b')) \\
&= (d(a) \otimes a' + (-1)^i a \otimes d'(a'))(b \otimes b') + (-1)^{i+i'} (a \otimes a')(d(b) \otimes b' + (-1)^j b \otimes d'(b')) \\
&= (d^\otimes(a \otimes a'))(b \otimes b') + (-1)^{i+i'} (a \otimes a')(d^\otimes(b \otimes b')).
\end{aligned}$$

Thus  $d^\otimes$  satisfies Leibniz law with respect to (43). □

**Proposition 5.3.** *Let  $F$  be an  $R$ -complex of free modules and let  $B$  be a DG  $R$ -algebras. Then  $\text{Hom}_R^*(F, B)$  is a DG  $R$ -algebra.*

*Proof.* Let  $\{e_\lambda\}$  be a homogeneous basis for  $F$  indexed over a set  $\Lambda$ . We define a graded-multiplication on  $\text{Hom}_R^*(F, B)$  as follows: let  $\varphi \in \text{Hom}_R^*(F, B)_i$  and  $\psi \in \text{Hom}_R^*(F, B)_j$ , then we define  $\varphi \smile \psi \in \text{Hom}_R^*(F, B)_{i+j}$  to be the unique graded  $R$ -linear map defined on basis elements  $\{e_\lambda\}$  by

$$(\varphi \smile \psi)(e_\lambda) = \varphi(s_-^{n-i} e_\lambda) \psi(s_+^{n-j} e_\lambda)$$

for all  $\lambda \in \Lambda$ . Note that we are defining  $\varphi \smile \psi$  on  $\{e_\lambda\}$  and then extending  $R$ -linearly. Thus  $(\varphi \smile \psi)(re_\lambda) = r\varphi(e_\lambda)\psi(e_\lambda)$  (not  $r^2\varphi(e_\lambda)\psi(e_\lambda)$ )! Similarly,  $(\varphi \smile \psi)(e_\lambda + e_\mu) = \varphi(e_\lambda)\psi(e_\lambda) + \varphi(e_\mu)\psi(e_\mu)$  (not  $\varphi(e_\lambda)\psi(e_\lambda) + \varphi(e_\mu)\psi(e_\mu) + \varphi(e_\lambda)\psi(e_\mu) + \varphi(e_\mu)\psi(e_\lambda)$ )! for all  $a \in A$ . Observe that

$$d(\varphi \cdot \psi) = d\varphi \cdot \psi + (-1)^i \varphi \cdot d\psi$$

Indeed, we have

$$\begin{aligned}
d(\varphi \cdot \psi)(a) &= d(\varphi(a)\psi(a)) \\
&= (d\varphi(a))\psi(a) + (-1)^{i+n} \varphi(a)(d\psi(a))
\end{aligned}$$

Now we want to show  $\cdot$  induces an  $R$ -bilinear map in homology. First let us show that  $H(\varphi \cdot \psi)$  is a graded  $R$ -linear map. Let □

### 5.1.2 Hom of DG Algebras is a Noncommutative DG Algebra

**Proposition 5.4.** *Let  $(A, d)$  be a DG  $R$ -algebras. Then  $\text{Hom}_R^*(A, A')$  is a noncommutative DG  $R$ -algebra.*

*Proof.* We define multiplication on  $\text{Hom}_R^*(A, A)$  via composition of functions. Thus if  $\varphi: A \rightarrow A$  and  $\psi: A \rightarrow A$  are graded homomorphisms of degrees  $i$  and  $j$  respectively. Then  $\varphi\psi: A \rightarrow A'$  is given by

$$(\varphi\psi)(a) = \varphi(\psi(a))$$

for all  $a \in A$ . Note that  $\varphi\psi$  is a graded  $R$ -homomorphism of degree  $i+j$ . Multiplication is easy seen to satisfy associativity and the identity map  $1_A: A \rightarrow A$  serves as the identity element with respect to this multiplication. Moreover, Leibniz law is satisfied: we have

$$\begin{aligned}
d^*(\varphi)\psi + (-1)^i \varphi d^*(\psi) &= (d\varphi - (-1)^i \varphi d)\psi + (-1)^i \varphi (d\psi - (-1)^j \psi d) \\
&= d\varphi\psi - (-1)^i \varphi d\psi + (-1)^i \varphi d\psi - (-1)^{i+j} \varphi\psi d \\
&= d\varphi\psi - (-1)^{i+j} \varphi\psi d \\
&= d^*(\varphi\psi).
\end{aligned}$$

for all  $\varphi \in \text{Hom}_R^*(A, A)_i$  and  $\psi \in \text{Hom}_R^*(A, A)_j$ . □

### 5.1.3 DG Algebra Embedding

**Proposition 5.5.** *Let  $A$  be a DG algebra. Define  $\varphi: A \rightarrow \text{Hom}_R^*(A, A)$  by*

$$\varphi(a) = m_a$$

*for all  $a \in A$  where  $m_a: A \rightarrow A$  is the homothety map, given by*

$$m_a(x) = ax$$

*for all  $x \in A$ . Then  $\varphi$  is an injective DG algebra homomorphism.*

*Proof.* Note that  $\varphi: A \rightarrow \text{Hom}_R^*(A, A)$  is easily seen to be a graded  $R$ -homomorphism. Let us check that it commutes with the differentials so that it is a chain map. Let  $a \in A_i$ . Observe that

$$\begin{aligned} dm_a(x) &= d(ax) \\ &= d(a)x + (-1)^i ad(x) \\ &= m_{d(a)}(x) + (-1)^i m_a(d(x)) \\ &= (m_{d(a)} + (-1)^i m_a d)(x) \end{aligned}$$

for all  $x \in A$ . It follows that

$$dm_a = m_{d(a)} + (-1)^i m_a d.$$

Thus

$$\begin{aligned} (d^* \varphi)(a) &= d^*(\varphi(a)) \\ &= d^* m_a \\ &= dm_a - (-1)^i m_a d \\ &= m_{d(a)} \\ &= \varphi(d(a)) \\ &= (\varphi d)(a), \end{aligned}$$

and so  $\varphi$  commutes with the differentials. Thus  $\varphi$  is a chain map.

Let us now check that  $\varphi$  is a DG algebra homomorphism. Let  $a, b \in A$ . Observe that we have

$$\begin{aligned} (m_a m_b)(x) &= m_a(m_b(x)) \\ &= m_a(bx) \\ &= a(bx) \\ &= (ab)x \\ &= m_{ab}(x) \end{aligned}$$

for all  $x \in A$ . It follows that  $m_a m_b = m_{ab}$ . Thus

$$\begin{aligned} \varphi(ab) &= m_{ab} \\ &= m_a m_b \\ &= \varphi(a) \varphi(b), \end{aligned}$$

and hence  $\varphi$  respects addition, and also  $\varphi(1) = 1_A$ , where  $e$  is the identity in  $A$  and  $1_A$  is the identity in  $\text{Hom}_R^*(A, A)$ .

Finally, note that  $\varphi$  is injective. Indeed, suppose  $m_a = 0$  for some  $a \in A$ , then

$$\begin{aligned} 0 &= m_a(1) \\ &= a \cdot 1 \\ &= a \end{aligned}$$

implies  $\ker \varphi = 0$ . □

**Proposition 5.6.** *Let  $R$  be a ring, let  $I$  be an ideal in  $R$ , and let  $(A, d)$  be a DG algebra resolution of  $R/I$  over  $R$ . Then  $I$  kills  $H(A)$ .*

*Proof.* The embedding of DG Algebras  $A \rightarrow \text{Hom}_R(A, A)$ , given by  $a \mapsto m_a$ , induces a map in the 0th homology

$$R/I \rightarrow \{\text{homotopy classes of chain maps } A \rightarrow A\}.$$

In particular, if  $x$  is in  $I$ , then  $m_x$  must be null-homotopic. Hence  $I$  kills  $H(A)$ . □



**Proposition 5.7.** Let  $R$  be a ring, let  $I$  be an ideal in  $R$ , and let  $(A, d)$  and  $(A', d')$  be two DG algebra resolutions of  $R/I$  over  $R$ . Then  $\text{Hom}_R^*(A, A)$  is homotopically equivalent to  $\text{Hom}_R^*(A', A')$ .

*Proof.* Since  $A$  and  $A'$  are homotopically equivalent, we may choose chain maps  $\varphi: A \rightarrow A'$  and  $\varphi': A' \rightarrow A$  together with homotopies  $h: A \rightarrow A'$  and  $h': A' \rightarrow A$  where

$$\varphi'\varphi - 1 = dh + hd \quad \text{and} \quad \varphi\varphi' - 1 = d'h' + h'd'.$$

Define  $\gamma: \text{Hom}_R^*(A, A) \rightarrow \text{Hom}_R^*(A', A')$  by

$$\gamma(\alpha) = \varphi\alpha\varphi'$$

for all  $\alpha \in \text{Hom}_R^*(A, A)$ . We claim that  $\gamma$  is a chain map. Indeed, it is graded since  $\varphi$  and  $\varphi'$  have degree 0. It is an  $R$ -module homomorphism since if  $r, s \in R$  and  $\alpha, \beta \in \text{Hom}_R^*(A, A)$ , then we have

$$\begin{aligned} \gamma(r\alpha + s\beta) &= \varphi(r\alpha + s\beta)\varphi' \\ &= \varphi r\alpha\varphi' + \varphi s\beta\varphi' \\ &= r\varphi\alpha\varphi' + s\varphi\beta\varphi' \\ &= r\gamma(\alpha) + s\gamma(\beta). \end{aligned}$$

It commutes with the differentials since if  $\alpha \in \text{Hom}_R^*(A, A)_i$ , then we have

$$\begin{aligned} (d_{A'}^*\gamma)(\alpha) &= d_{A'}^*(\gamma(\alpha)) \\ &= d_{A'}^*(\varphi\alpha\varphi') \\ &= d'\varphi\alpha\varphi' + (-1)^i\varphi\alpha\varphi'd' \\ &= \varphi d\alpha\varphi' + (-1)^i\varphi\alpha d\varphi' \\ &= \varphi(d\alpha + (-1)^i\alpha d)\varphi' \\ &= \gamma(d\alpha + (-1)^i\alpha d) \\ &= \gamma(d_A^*(\alpha)) \\ &= (\gamma d_A^*)(\alpha). \end{aligned}$$

Similarly, we define  $\gamma': \text{Hom}_R^*(A', A') \rightarrow \text{Hom}_R^*(A, A)$  by

$$\gamma'(\alpha') = \varphi'\alpha'\varphi$$

for all  $\alpha' \in \text{Hom}_R^*(A', A')$ . We claim that  $\gamma'\gamma \sim 1_{\text{Hom}_R^*(A, A)}$  and  $\gamma'\gamma \sim 1_{\text{Hom}_R^*(A', A')}$ . It suffices to show that  $\gamma'\gamma \sim 1_{\text{Hom}_R^*(A, A)}$  as the other homotopy equivalence will follow by a similar argument. Let  $H: \text{Hom}_R^*(A, A) \rightarrow \text{Hom}_R^*(A, A)$  be defined by

$$H(\alpha) = h\alpha dh + h\alpha hd + h\alpha + \alpha h$$

for all  $\alpha \in \text{Hom}_R^*(A, A)$ . Now let  $\alpha \in \text{Hom}_R^*(A, A)_i$ . Then we have

$$\begin{aligned} (\gamma'\gamma - 1)(\alpha) &= (\gamma'\gamma)(\alpha) - \alpha \\ &= \gamma'(\gamma(\alpha)) - \alpha \\ &= \gamma'(\varphi\alpha\varphi') - \alpha \\ &= \varphi'\varphi\alpha\varphi'\varphi - \alpha \\ &= (dh + hd + 1)\alpha(dh + hd + 1) - \alpha \\ &= dh\alpha dh + dh\alpha hd + dh\alpha + h\alpha dh + h\alpha hd + h\alpha + \alpha dh + \alpha hd + \alpha - \alpha \\ &= d(h\alpha dh + h\alpha hd) + h\alpha dh + h\alpha hd + (dh + hd)\alpha + \alpha(dh + hd) \\ &= d(h\alpha dh + h\alpha hd) + h\alpha dh + h\alpha hd \\ &= d(h\alpha dh + h\alpha hd) + (-1)^i h\alpha hdd + h\alpha dh + h\alpha hd \\ &= d(h\alpha dh + h\alpha hd) + (-1)^i (h\alpha dh + h\alpha hd - h\alpha dh)d + h\alpha dh + h\alpha hd \\ &= d(h\alpha dh + h\alpha hd) + (-1)^i (h\alpha dh + h\alpha hd)d + h\alpha dh + h\alpha hd - (-1)^i h\alpha dh \\ &= d(h\alpha dh + h\alpha hd) + (-1)^i (h\alpha dh + h\alpha hd)d + (h\alpha dh + h\alpha hd) - (-1)^i (h\alpha dh + h\alpha hd) \\ &= dH(\alpha) + (-1)^i H(\alpha)d + H(d\alpha) - (-1)^i H(\alpha d) \\ &= dH(\alpha) + (-1)^i H(\alpha)d + H(d\alpha) - (-1)^i H(\alpha d) \\ &= dH(\alpha) - (-1)^{i+1} H(\alpha)d + H(d\alpha - (-1)^i \alpha d) \\ &= d^*(H(\alpha)) + H(d^*(\alpha)) \\ &= (d^*H + Hd^*)(\alpha) \end{aligned}$$

□

$$\begin{aligned}
(\gamma'\gamma - 1)(\alpha) &= (\gamma'\gamma)(\alpha) - \alpha \\
&= \gamma'(\gamma(\alpha)) - \alpha \\
&= \gamma'(\varphi\alpha\varphi') - \alpha \\
&= \varphi'\varphi\alpha\varphi' - \alpha \\
&= (\mathrm{d}h + h\mathrm{d} + 1)\alpha(\mathrm{d}h + h\mathrm{d} + 1) - \alpha \\
&= \mathrm{d}h\alpha\mathrm{d}h + \mathrm{d}h\alpha h\mathrm{d} + \mathrm{d}h\alpha + h\mathrm{d}\alpha\mathrm{d}h + h\mathrm{d}\alpha h\mathrm{d} + h\mathrm{d}\alpha + \alpha\mathrm{d}h + \alpha h\mathrm{d} + \alpha - \alpha \\
&= \mathrm{d}(h\alpha\mathrm{d}h + h\alpha h\mathrm{d}) + h\mathrm{d}\alpha\mathrm{d}h + h\mathrm{d}\alpha h\mathrm{d} + (\mathrm{d}h + h\mathrm{d})\alpha + \alpha(\mathrm{d}h + h\mathrm{d})
\end{aligned}$$

$$\begin{aligned}
&= \mathrm{d}h\alpha + \alpha h\mathrm{d} + h\mathrm{d}\alpha + \alpha\mathrm{d}h \\
&= \mathrm{d}h\alpha - (-1)^i \mathrm{d}\alpha h + (-1)^i h\alpha\mathrm{d} + \alpha h\mathrm{d} + h\mathrm{d}\alpha + (-1)^i \mathrm{d}\alpha h - (-1)^i h\alpha\mathrm{d} + \alpha\mathrm{d}h \\
&= \mathrm{d}(h\alpha - (-1)^i \alpha h) + (-1)^i (h\alpha - (-1)^i \alpha h)\mathrm{d} + h\mathrm{d}\alpha + (-1)^i \mathrm{d}\alpha h - (-1)^i h\alpha\mathrm{d} + \alpha\mathrm{d}h \\
&= \mathrm{d}H(\alpha) + (-1)^i H(\alpha)\mathrm{d} + H(\mathrm{d}\alpha) - (-1)^i H(\alpha\mathrm{d}) \\
&= \mathrm{d}H(\alpha) + (-1)^i H(\alpha)\mathrm{d} + H(\mathrm{d}\alpha) - (-1)^i H(\alpha\mathrm{d}) \\
&= \mathrm{d}H(\alpha) - (-1)^{i+1} H(\alpha)\mathrm{d} + H(\mathrm{d}\alpha - (-1)^i \alpha\mathrm{d}) \\
&= \mathrm{d}^*(H(\alpha)) + H(\mathrm{d}^*(\alpha)) \\
&= (\mathrm{d}^*H + H\mathrm{d}^*)(\alpha)
\end{aligned}$$

#### 5.1.4 Direct Sum of DG Algebras is DG Algebra

**Proposition 5.8.** *Let  $(A, \mathrm{d})$  and  $(A', \mathrm{d}')$  be two DG  $R$ -algebras. Then  $(A \oplus_R A', \mathrm{d}^{A \oplus_R A'})$  is a DG  $R$ -algebra.*

*Proof.* Throughout this proof, denote  $\mathrm{d}^\oplus := \mathrm{d}^{A \oplus_R A'}$ . We define multiplication on  $A \oplus_R A'$  by the formula

$$(a, a')(b, b') = (-1)^{i'j}(ab, a'b') \quad (44)$$

for all  $a \otimes a' \in A_i \otimes_R A_{i'}$  and  $b \otimes b' \in A_j \otimes_R A_{j'}$ . It is easy to check that (43) is associative and unital with unit being  $e_A \otimes e_{A'}$  where  $e_A$  is the unit of  $A$  and  $e_{A'}$  is the unit of  $A'$ . Let us check that Leibniz law is satisfied. Let  $a \otimes a', b \otimes b' \in A \otimes_R A'$ . Then we have

$$\begin{aligned}
\mathrm{d}^\oplus((a, a')(b, b')) &= (-1)^{i'j} \mathrm{d}^\oplus(ab, a'b') \\
&= (-1)^{i'j} \mathrm{d}^\oplus(ab, a'b') \\
&= (-1)^{i'j} ((\mathrm{d}(a)b + (-1)^i a\mathrm{d}(b)) \otimes a'b' + (-1)^{i+j} ab \otimes (\mathrm{d}'(a')b' + (-1)^{i'} a'\mathrm{d}'(b'))) \\
&= (-1)^{i'j} \mathrm{d}(a)b \otimes a'b' + (-1)^{i'j+i} a\mathrm{d}(b) \otimes a'b' + (-1)^{i'j+i+j} ab \otimes \mathrm{d}'(a')b' + (-1)^{i'j+i+j+i'} ab \otimes a'\mathrm{d}'(b') \\
&= (-1)^{i'j} \mathrm{d}(a)b \otimes a'b' + (-1)^{i+j(i'+1)} ab \otimes \mathrm{d}'(a')b' + (-1)^{i+i'+i'(j+1)} a\mathrm{d}(b) \otimes a'b' + (-1)^{i+i'+j+i'j} (ab \otimes a'\mathrm{d}'(b')) \\
&= (\mathrm{d}(a) \otimes a')(b \otimes b') + (-1)^i (a \otimes \mathrm{d}'(a'))(b \otimes b') + (-1)^{i+i'} (a \otimes a')(\mathrm{d}(b) \otimes b') + (-1)^{i+i'+j} (a \otimes a')(b \otimes \mathrm{d}'(b')) \\
&= (\mathrm{d}(a) \otimes a' + (-1)^i a \otimes \mathrm{d}'(a'))(b \otimes b') + (-1)^{i+i'} (a \otimes a')(\mathrm{d}(b) \otimes b' + (-1)^j b \otimes \mathrm{d}'(b')) \\
&= (\mathrm{d}^\otimes(a \otimes a'))(b \otimes b') + (-1)^{i+i'} (a \otimes a')(\mathrm{d}^\otimes(b \otimes b')).
\end{aligned}$$

Thus  $\mathrm{d}^\otimes$  satisfies Leibniz law with respect to (43). □

#### 5.1.5 Localization of DG-Algebra

Let  $(A, \mathrm{d})$  be a DG  $R$ -algebra and let  $S$  be a multiplicatively-closed subset of  $A$  consisting of homogeneous elements of even degree. The **localization of  $(A, \mathrm{d})$  with respect to  $S$**  is the  $R$ -complex  $(A_S, \mathrm{d}_S)$  where  $A_S$  is the graded  $R$ -module whose component in degree  $i$  is

$$(A_S)_i = \{a/s \mid j \in \mathbb{N}, a \in A_{i+j}, \text{ and } s \in A_j\}.$$

The differential  $d_S$  is defined as follows: if  $a \in A_{i+j}$  and  $s \in A_j$ , then  $a/s \in (A_S)_i$  and

$$d_S \left( \frac{a}{s} \right) = \frac{d(a)s - (-1)^{i+j}ad(s)}{s^2}.$$

To see that this is well-defined, suppose  $a/s = a'/s'$  with both  $|s|$  and  $|s'|$  even, so  $as' = a's$  and  $|a| = |a'|$ . Applying the differential gives us

$$d(a)s' + (-1)^{|a|}ad(s') = d(a')s + (-1)^{|a'|}a'd(s).$$

We need to show that

$$\frac{d(a)s - (-1)^{|a|}ad(s)}{s^2} = \frac{d(a')s' - (-1)^{|a'|}a'd(s')}{s'^2}.$$

Or in other words, we need to show

$$\left( d(a)s - (-1)^{|a|}ad(s) \right) s'^2 = \left( d(a')s' - (-1)^{|a'|}a'd(s') \right) s^2.$$

We have

$$\begin{aligned} \left( d(a)s - (-1)^{|a|}ad(s) \right) s'^2 &= d(a)ss'^2 - (-1)^{|a|}ad(s)s'^2 \\ &= d(a)s'^2s - (-1)^{|a|}as'^2d(s) \\ &= (d(a')s + (-1)^{|a'|}a'd(s) - (-1)^{|a|}ad(s'))s's - (-1)^{|a|}a'ss'd(s) \\ &= d(a')s's^2 + (-1)^{|a'|}a'd(s)s's - (-1)^{|a|}ad(s')s's - (-1)^{|a|}a'ss'd(s) \\ &= d(a')s's^2 + (-1)^{|a'|}a'd(s)s's - (-1)^{|a|}a'd(s')s^2 - (-1)^{|a|}a'ss'd(s) \\ &= d(a')s's^2 - (-1)^{|a|}a'd(s')s^2 + (-1)^{|a'|}a'd(s)s's - (-1)^{|a|}a'ss'd(s) \\ &= d(a')s's^2 - (-1)^{|a'|}a'd(s')s^2 \\ &= \left( d(a')s' - (-1)^{|a'|}a'd(s') \right) s^2 \end{aligned}$$

Next, we need to check that  $d_S^2 = 0$ . We have

$$\begin{aligned} d_S^2 \left( \frac{a}{s} \right) &= d_S \left( \frac{d(a)s - (-1)^{|a|}ad(s)}{s^2} \right) \\ &= \frac{d \left( d(a)s - (-1)^{|a|}ad(s) \right) s^2 - (-1)^{|a|-1} \left( d(a)s - (-1)^{|a|}ad(s) \right) d(s^2)}{s^4} \\ &= \frac{((-1)^{|a|-1}d(a)d(s) - (-1)^{|a|}d(a)d(s))s^2 + (-1)^{|a|} \left( d(a)s - (-1)^{|a|}ad(s) \right) 2sd(s)}{s^4} \\ &= \frac{(-1)^{|a|-1}2d(a)d(s)s^2 + (-1)^{|a|}2d(a)d(s)s^2 - 2ad(s)^2s}{s^4} \\ &= \frac{0}{s^4} \\ &= 0. \end{aligned}$$

Next, we need to check that Leibniz law is satisfied. We have

$$\begin{aligned}
d_S \left( \frac{aa'}{ss'} \right) &= \frac{d(aa')ss' - (-1)^{|a|+|a'|}aa'd(ss')}{s^2s'^2} \\
&= \frac{d(aa')ss' - (-1)^{|a|+|a'|}aa'd(ss')}{s^2s'^2} \\
&= \frac{d(a)a'ss' + (-1)^{|a|}ad(a')ss' - (-1)^{|a|+|a'|}aa'd(s)s' - (-1)^{|a|+|a'|}aa'sd(s')}{s^2s'^2} \\
&= \frac{d(a)sa's' - (-1)^{|a|}ad(s)a's' + (-1)^{|a|}asd(a')s' - (-1)^{|a'|+|a|}asa'd(s')}{s^2s'^2} \\
&= \frac{d(a)sa's' - (-1)^{|a|}ad(s)a's' + (-1)^{|a|}asd(a')s' - (-1)^{|a'|+|a|}asa'd(s')}{s^2s'^2} \\
&= \frac{d(a)sa's' - (-1)^{|a|}ad(s)a's'}{s^2s'^2} + \frac{(-1)^{|a|}asd(a')s' - (-1)^{|a'|+|a|}asa'd(s')}{s^2s'^2} \\
&= \left( \frac{d(a)s - (-1)^{|a|}ad(s)}{s^2} \right) \frac{a'}{s'} + (-1)^{|a|} \frac{a}{s} \left( \frac{d(a')s' - (-1)^{|a'|}a'd(s')}{s'^2} \right) \\
&= d_S \left( \frac{a}{s} \right) \frac{a'}{s'} + (-1)^{|a|} \frac{a}{s} d_S \left( \frac{a'}{s'} \right).
\end{aligned}$$

## 5.2 DG Modules

**Definition 5.3.** Let  $(A, d_A)$  be a DG  $R$ -algebra. A (right) **differential graded  $A$ -module** (or DG  $A$ -module for short) is an  $R$ -complex  $(M, d_M)$  equipped with a chain map

$$\star: (M \otimes_R A, d^{M \otimes_R A}) \rightarrow (M, d_M)$$

denoted  $u \otimes a \mapsto \star(u \otimes a)$  (or just  $ua$  if context is clear). In other words,  $M$  has an  $A$ -module structure which behaves well with respect to the Leibniz law:

$$d_M(ua) = d_M(u)a + (-1)^i u d_A(a)$$

for all  $u \in M_i$  and  $a \in A$ . If  $(I, d_I)$  is an  $R$ -complex with  $I \subset A$  and  $\star$  being the usual multiplication map, then say  $(I, d_I)$  is a **DG ideal** in  $(A, d_A)$ .

**Definition 5.4.** Let  $(A, d)$  be a DG  $R$ -algebra and let  $(M, d_M)$  and  $(N, d_N)$  be DG  $A$ -modules. A chain map  $\varphi: (M, d_M) \rightarrow (N, d_N)$  is said to be a **DG-module morphism** if it respects  $A$ -scaling. In other words, we need

$$\varphi(ua) = \varphi(u)a$$

for all  $u \in M$  and  $a \in A$  (so the underlying map  $\varphi: M \rightarrow N$  of  $A$ -modules is an  $A$ -module homomorphism). The category of (right) differential graded  $A$ -modules is denoted  $\text{Mod}_{(A, d)}$ .

### Obtaining a Differential Graded $A$ -Module from an $R$ -Complex

**Example 5.1.** Let  $(A, d_A)$  be a differential graded  $R$ -algebra and let  $(M, d_M)$  be an  $R$ -complex. Then the  $R$ -complex  $(M \otimes_R A, d^{M \otimes_R A})$  is a DG  $A$ -module.

#### 5.2.1 Completion of DG Algebra with respect to an Ideal

Let  $(A, d)$  be a DG  $R$ -algebra and let  $(I, d)$  be a DG ideal in  $(A, d)$ . We define the  $I$ -adic DG algebra, denoted  $(\widehat{A}_I, \widehat{d}_I)$ , where

$$\widehat{A}_I := \varprojlim A/I^n = \{(\overline{a_n}) \in A/I^n \mid a_n \equiv a_m \pmod{I^m} \text{ whenever } n \geq m\}$$

and where  $\widehat{d}_I$  is defined pointwise:

$$\widehat{d}_I((\overline{a_n})) = (\overline{d(a_n)})$$

for all  $(\overline{a_n}) \in \widehat{A}_I$ . Note that the  $i$ th homogeneous component of  $\widehat{A}_I$  is

$$(\widehat{A}_I)_i = \varprojlim_n (A_i/I_i^n) = \{(\overline{a_n}) \in A_i/I_i^n \mid a_n \equiv a_m \pmod{I_i^m} \text{ whenever } n \geq m\}.$$

In particular, if  $(\overline{a_n}) \in (\widehat{A}_I)_i$ , then  $a_n \in A_i$  for all  $i \geq 0$ . Suppose  $(\overline{a_n}) \in \ker \widehat{d}_I$ . Then  $d(a_n) \in I^n$  for all  $n \in \mathbb{N}$ .

### 5.2.2 Blowing up DG Algebra with respect to an Ideal

Let  $(A, d)$  be a DG  $R$ -algebra and let  $I$  be a DG ideal in  $A$ . Let

$$N_I(A) := A \oplus A/I \oplus A/I^2 \oplus \cdots = A + (A/I)t + (A/I^2)t^2 + \cdots$$

and let  $d^{N_I(A)}: N_I(A) \rightarrow N_I(A)$  be the unique graded linear map such that

$$d^{N_I(A)}(\bar{a}t^n) = \overline{d(a)}t^{n-1},$$

for all  $\bar{a}t^n \in (A/I^n)t^n$ <sup>4</sup>.

**Proposition 5.9.** *Let  $(A, d)$  be a DG  $R$ -algebra and let  $I$  be a DG ideal in  $A$  such that  $I \subset A_+$ . Then*

$$H_n(N_I(A)) = 0 \text{ for } n \gg 0 \text{ if and only if } H(A) = 0.$$

*Proof.* Suppose first that  $H(A) = 0$  and assume for a contradiction that  $H_n(N_I(A)) \neq 0$  for  $n \gg 0$ . Choose a  $(\bar{a})$  Suppose  $k \in \mathbb{Z}$  such that  $H_i(A) = 0$  for all  $i \geq k$ . We wish to show that  $\square$

Note that

$$H_n(N_I(A)) \cong \frac{d^{-1}(I^{n-1})}{\text{im } d + I^n}.$$

Thus, we want to show that

$$d^{-1}(I^{n-1}) = \text{im } d + I^n$$

for  $n \gg 0$ . The theorem would follow at once if we can show that

$$d^{-1}(I^{n-1}) \subset I^n$$

for  $n \gg 0$ . Assume for a contradiction that we can find  $a_n \in A \setminus I^n$  such that  $d(a_n) \in I^n$ .

We claim that  $H_i(A) \cong H_i(N_I(A))$  for all  $i$

## 5.3 The Koszul Complex

Throughout this subsection, let  $\underline{x} = x_1, \dots, x_n$  be a sequence in  $R$ . We will construct a DG  $R$ -algebra called the **Koszul complex of  $\underline{x}$** . Before doing so, we need to discuss ordered sets.

### 5.3.1 Ordered Sets

An **ordered set** is a set with a total linear ordering on it. The **ordered set**  $[n]$  is the set  $\{1, \dots, n\}$  equipped with the natural ordering  $1 < \cdots < n$ . Let  $\sigma$  be a subset of  $\{1, \dots, n\}$ . Then the natural ordering on  $\{1, \dots, n\}$  induces a natural ordering on  $\sigma$ . If we want to think of  $\sigma$  as a set equipped with this natural ordering, then we will write  $[\sigma]$ . If  $\sigma = \{\lambda_1, \dots, \lambda_k\}$ , where  $1 \leq \lambda_1 < \cdots < \lambda_k \leq n$ , then we will also write  $[\sigma] = [\lambda_1, \dots, \lambda_k]$ . If we write “suppose  $[\sigma] = [\lambda_1, \dots, \lambda_k]$ ”, then it is understood that  $1 \leq \lambda_1 < \cdots < \lambda_k \leq n$ . For each  $i \in \mathbb{Z}$  such that  $0 \leq i \leq n$ , we denote

$$S_i[n] := \{\sigma \subseteq \{1, \dots, n\} \mid |\sigma| = i\}.$$

### Complements

Let  $\sigma \subseteq [n]$ . We denote by  $\sigma^*$  to be the complement of  $\sigma$  in  $[n]$ :

$$\sigma^* := [n] \setminus \sigma.$$

If  $[\sigma] = [\lambda_1, \dots, \lambda_k]$ , then we write  $\sigma^* = [\lambda_1^*, \dots, \lambda_{n-k}^*]$ .

<sup>4</sup>Here, the  $\bar{a}$  is understood to be a coset in  $A/I^n$  with representative  $a \in A$ .

## Signature

Let  $\sigma$  and  $\tau$  be two disjoint subsets of  $\{1, \dots, n\}$ . Suppose that

$$[\sigma] = [\lambda_1, \dots, \lambda_k] \quad \text{and} \quad [\sigma'] = [\lambda_{k+1}, \dots, \lambda_{k+m}].$$

Then

$$[\sigma \cup \sigma'] = [\lambda_{\pi(1)}, \dots, \lambda_{\pi(k+m)}],$$

where  $\pi: S_{k+m} \rightarrow S_{k+m}$  is the permutation which puts everything in the correct order. We define

$$\langle \sigma, \tau \rangle := \text{sign}(\pi).$$

*Remark.* Let  $\lambda \in \{1, \dots, n\}$  and let  $\sigma \subseteq \{1, \dots, n\}$ . To clean notation, we often drop the curly brackets around singleton elements  $\{\lambda\}$  in what follows. For instance, we will write  $\sigma \setminus \lambda$  instead of  $\sigma \setminus \{\lambda\}$  and  $\sigma \cup \lambda$  instead of  $\sigma \cup \{\lambda\}$ . We will also write  $\langle \lambda, \sigma \rangle$  (or  $\langle \sigma, \lambda \rangle$ ) instead of  $\langle \{\lambda\}, \sigma \rangle$  (respectively  $\langle \sigma, \{\lambda\} \rangle$ ).

**Example 5.2.** Consider  $n = 4$ . We perform some computations:

$$\begin{aligned} \langle 2, \{1, 4\} \rangle &= -1 \\ \langle 2, 3 \rangle &= 1 \\ \langle 3, 2 \rangle &= -1 \\ \langle \{1, 4\}, 2 \rangle &= -1 \\ \langle 2, \{1, 3, 4\} \rangle &= -1 \\ \langle \{1, 3, 4\}, 2 \rangle &= 1 \\ \langle \{1, 3\}, \{2, 4\} \rangle &= -1 \\ \langle \{2, 4\}, \{1, 3\} \rangle &= -1 \end{aligned}$$

## Signature Identities

**Proposition 5.10.** Let  $\sigma$ ,  $\tau$ , and  $\{\lambda\}$  be mutually disjoint subsets of  $\{1, \dots, n\}$ . Then

$$\langle \lambda, \sigma \cup \tau \rangle = \langle \lambda, \sigma \rangle \langle \lambda, \tau \rangle.$$

*Proof.* The permutation which places  $[\lambda] \cup [\sigma \cup \tau]$  into proper order is a composition of the permutation which places  $[\lambda] \cup [\sigma]$  into proper order with the permutation which places  $[\lambda] \cup [\tau]$  into proper order.  $\square$

*Proof.* The permutation which puts  $\lambda$  in the proper order in  $[\lambda] \cup [\sigma \cup \tau]$  is just a composition of the permutation which puts  $\lambda$  in the proper order in  $[\lambda] \cup [\sigma]$  with the permutation which puts  $\lambda$  in the proper order in  $[\lambda] \cup [\tau]$ .  $\square$

**Proposition 5.11.** Let  $\sigma$  and  $\tau$  be two disjoint subsets of  $\{1, \dots, n\}$ . If  $\lambda \in \sigma$ , then

$$\langle \sigma, \tau \rangle = \langle \sigma \setminus \lambda, \tau \rangle \langle \lambda, \tau \rangle.$$

Similarly, if  $\mu \in \tau$ , then

$$\langle \sigma, \tau \rangle = \langle \sigma, \mu \rangle \langle \sigma, \tau \setminus \mu \rangle. \quad (45)$$

*Proof.* Suppose  $\lambda \in \sigma$ . We can place  $[\sigma] \cup [\tau]$  into proper order by moving  $\lambda$  all the way to the left of  $[\sigma]$ , then place  $[\sigma \setminus \lambda] \cup [\tau]$  into proper order, then place  $[\lambda] \cup [\sigma \setminus \lambda \cup \tau]$  into proper order. This gives us

$$\begin{aligned} \langle \sigma, \tau \rangle &= \langle \lambda, \sigma \setminus \lambda \rangle \langle \sigma \setminus \lambda, \tau \rangle \langle \lambda, (\sigma \setminus \lambda) \cup \tau \rangle \\ &= \langle \lambda, \sigma \setminus \lambda \rangle \langle \sigma \setminus \lambda, \tau \rangle \langle \lambda, \sigma \setminus \lambda \rangle \langle \lambda, \tau \rangle \\ &= \langle \sigma \setminus \lambda, \tau \rangle \langle \lambda, \tau \rangle \end{aligned}$$

An analogous argument gives (45).  $\square$

### 5.3.2 Definition of the Koszul Complex

We are now ready to define the Koszul complex of  $\underline{x}$ .

**Definition 5.5.** The **Koszul complex of  $\underline{x}$** , denoted  $(\mathcal{K}(\underline{x}), d^{\mathcal{K}(\underline{x})})$  is the  $R$ -complex whose graded  $R$ -module  $\mathcal{K}(x)$  has

$$\mathcal{K}_i(\underline{x}) := \begin{cases} \bigoplus_{\sigma \in S_i[n]} Re_\sigma & \text{if } 0 \leq i \leq n \\ 0 & \text{if } i > n \text{ or if } i < 0. \end{cases}$$

as its  $i$ th homogeneous component, and whose differential  $d^{\mathcal{K}(\underline{x})}$  is uniquely determined by

$$d^{\mathcal{K}(\underline{x})}(e_\sigma) = \sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle x_\lambda e_{\sigma \setminus \lambda}$$

for all nonempty  $\sigma \subseteq \{1, \dots, n\}$ .

**Exercise 1.** Check that  $(\mathcal{K}(\underline{x}), d^{\mathcal{K}(\underline{x})})$  is an  $R$ -complex. In particular, show  $d^{\mathcal{K}(\underline{x})} d^{\mathcal{K}(\underline{x})} = 0$ .

**Example 5.3.** Here's what the Koszul complex  $\mathcal{K}(x_1, x_2, x_3)$  looks like:

$$\begin{array}{ccccccc} R & \xrightarrow{\begin{pmatrix} x_1 \\ -x_2 \\ x_3 \end{pmatrix}} & R^3 & \xrightarrow{\begin{pmatrix} 0 & -x_3 & -x_2 \\ -x_3 & 0 & x_1 \\ x_2 & x_1 & 0 \end{pmatrix}} & R^3 & \xrightarrow{\begin{pmatrix} x_1 & x_2 & x_3 \end{pmatrix}} & R \\ e_{\{1,2,3\}} & \longmapsto & x_1 e_{\{2,3\}} - x_2 e_{\{1,3\}} + x_3 e_{\{1,2\}} & & & & \\ & & e_{\{2,3\}} & \longmapsto & x_2 e_{\{3\}} - x_3 e_{\{2\}} & & \\ & & e_{\{1,3\}} & \longmapsto & x_1 e_{\{3\}} - x_3 e_{\{1\}} & & \\ & & e_{\{1,2\}} & \longmapsto & x_1 e_{\{2\}} - x_2 e_{\{1\}} & & \\ & & & & e_{\{1\}} & \longmapsto & x_1 \\ & & & & e_{\{2\}} & \longmapsto & x_2 \\ & & & & e_{\{3\}} & \longmapsto & x_3 \end{array}$$

### 5.3.3 Koszul Complex as Tensor Product

**Proposition 5.12.** We have an isomorphism of  $R$ -complexes:

$$(\mathcal{K}(x_1), d^{\mathcal{K}(x_1)}) \otimes_R \cdots \otimes_R (\mathcal{K}(x_n), d^{\mathcal{K}(x_n)}) \cong (\mathcal{K}(\underline{x}), d^{\mathcal{K}(\underline{x})}).$$

*Remark.* Note that Proposition (3.21) gives an unambiguous interpretation for  $(\mathcal{K}(x_1), d^{\mathcal{K}(x_1)}) \otimes_R \cdots \otimes_R (\mathcal{K}(x_n), d^{\mathcal{K}(x_n)})$ .

*Proof.* For each  $1 \leq \lambda \leq n$ , write  $\mathcal{K}(x_\lambda) = R \oplus Re_\lambda$  (so  $\{1\}$  is a basis for  $\mathcal{K}(x_\lambda)_0$  and  $\{e_\lambda\}$  is a basis for  $\mathcal{K}(x_\lambda)_1$ ). Let

$$\varphi: \mathcal{K}(x_1) \otimes_R \cdots \otimes_R \mathcal{K}(x_n) \rightarrow \mathcal{K}(\underline{x})$$

be the unique graded linear map<sup>5</sup> such that

$$\varphi(1 \otimes \cdots \otimes 1) = 1 \quad \text{and} \quad \varphi(1 \otimes \cdots \otimes e_{\lambda_1} \otimes \cdots \otimes e_{\lambda_i} \otimes \cdots \otimes 1) = e_{\{\lambda_1, \dots, \lambda_i\}}$$

for all  $1 \leq \lambda_1 < \cdots < \lambda_i \leq n$ . Then  $\varphi$  is an isomorphism since it restricts to a bijection on basis sets.

For the rest of the proof, denote  $d^{\mathcal{K}} := d^{\mathcal{K}(\underline{x})}$  and  $d^\otimes := d^{\mathcal{K}(x_1) \otimes_R \cdots \otimes_R \mathcal{K}(x_n)}$ . To see that  $\varphi$  is an isomorphism of  $R$ -complexes, we need to show that

$$\varphi d^\otimes = d^{\mathcal{K}} \varphi. \tag{46}$$

It suffices to check (??) on the basis elements. We have

$$\begin{aligned} d^{\mathcal{K}} \varphi(1 \otimes \cdots \otimes 1) &= d^{\mathcal{K}}(1) \\ &= 0 \\ &= \varphi(0) \\ &= \varphi d^\otimes(1 \otimes \cdots \otimes 1), \end{aligned}$$

<sup>5</sup>We say unique graded linear map here because  $\mathcal{K}(x_1) \otimes_R \cdots \otimes_R \mathcal{K}(x_n)$  is free with basis elements of the form  $1 \otimes \cdots \otimes 1$  and  $1 \otimes \cdots \otimes e_{\lambda_1} \otimes \cdots \otimes e_{\lambda_i} \otimes \cdots \otimes 1$  for  $1 \leq \lambda_1 < \cdots < \lambda_i \leq n$  and  $\varphi$  respects the grading.

and

$$\begin{aligned}
d^{\mathcal{K}}\varphi(1 \otimes \cdots \otimes e_{\lambda_1} \otimes \cdots \otimes e_{\lambda_i} \cdots \otimes 1) &= d^{\mathcal{K}}(e_{\{\lambda_1, \dots, \lambda_i\}}) \\
&= \sum_{\mu=1}^i (-1)^{\mu-1} x_{\lambda_\mu} e_{\{\lambda_1, \dots, \lambda_i\}} \\
&= \sum_{\mu=1}^i (-1)^{\mu-1} x_{\lambda_\mu} \varphi(1 \otimes \cdots \otimes e_{\lambda_1} \otimes \cdots \otimes \widehat{e}_{\lambda_\mu} \otimes \cdots \otimes e_{\lambda_i} \otimes \cdots \otimes 1) \\
&= \varphi \sum_{\mu=1}^i (-1)^{\mu-1} x_{\lambda_\mu} 1 \otimes \cdots \otimes e_{\lambda_1} \otimes \cdots \otimes \widehat{e}_{\lambda_\mu} \otimes \cdots \otimes e_{\lambda_i} \otimes \cdots \otimes 1) \\
&= \varphi d^{\otimes}(1 \otimes \cdots \otimes e_{\lambda_1} \otimes \cdots \otimes e_{\lambda_i} \cdots \otimes 1).
\end{aligned}$$

for all  $1 \leq \lambda_1 < \cdots < \lambda_i \leq n$ . □

### 5.3.4 Koszul Complex is a DG Algebra

**Proposition 5.13.** *Let  $\underline{x} = x_1, \dots, x_n$  be a sequence of elements in  $R$ . The Koszul complex  $(\mathcal{K}(\underline{x}), d^{\mathcal{K}(\underline{x})})$  is a DG algebra, with multiplication being uniquely determined on elementary tensors: for  $\sigma, \tau \subseteq [n]$ , we map  $e_\sigma \otimes e_\tau \mapsto e_\sigma e_\tau$ , where*

$$e_\sigma e_\tau = \begin{cases} \langle \sigma, \tau \rangle e_{\sigma \cup \tau} & \text{if } \sigma \cap \tau = \emptyset \\ 0 & \text{if } \sigma \cap \tau \neq \emptyset \end{cases} \quad (47)$$

*Proof.* Throughout this proof, denote  $d := d^{\mathcal{K}(\underline{x})}$ . We first want to show that  $\mathcal{K}(\underline{x})$  is an associative, unital, and strictly graded-commutative  $R$ -algebra. Since  $\mathcal{K}(\underline{x})$  is a free  $R$ -module with  $\{e_\sigma \mid \sigma \subseteq [n]\}$  as a basis, it suffices to check associativity and graded-commutativity on the basis elements. We first note that  $e_\emptyset$  serves as the identity for the multiplication rule (47). Indeed, let  $\sigma \subseteq [n]$ . Then since  $\sigma \cap \emptyset = \emptyset$ , we have

$$e_\sigma e_\emptyset = e_\sigma = e_\emptyset e_\sigma.$$

Thus the underlying  $R$ -algebra  $\mathcal{K}(\underline{x})$  is unital.

Next we check the underlying  $R$ -algebra  $\mathcal{K}(\underline{x})$  is associative. Let  $\sigma, \tau, \kappa \subseteq [n]$ . If  $\sigma \cap \tau \cap \kappa \neq \emptyset$ , then it is clear that

$$\begin{aligned}
e_\sigma(e_\tau e_\kappa) &= 0 \\
&= (e_\sigma e_\tau) e_\kappa,
\end{aligned}$$

so assume  $\sigma \cap \tau \cap \kappa = \emptyset$ . Then

$$\begin{aligned}
e_\sigma(e_\tau e_\kappa) &= \langle \tau, \kappa \rangle e_\sigma e_{\tau \cup \kappa} \\
&= \langle \sigma, \tau \cup \kappa \rangle \langle \tau, \kappa \rangle e_{\sigma \cup \tau \cup \kappa} \\
&= \langle \sigma, \tau \rangle \langle \sigma, \kappa \rangle \langle \tau, \kappa \rangle e_{\sigma \cup \tau \cup \kappa} \\
&= \langle \sigma, \tau \rangle \langle \sigma \cup \tau, \kappa \rangle e_{\sigma \cup \tau \cup \kappa} \\
&= \langle \sigma, \tau \rangle e_{\sigma \cup \tau} e_\kappa \\
&= (e_\sigma e_\tau) e_\kappa.
\end{aligned}$$

Next we check the underlying  $R$ -algebra  $\mathcal{K}(\underline{x})$  is graded-commutative. Let  $\sigma, \tau \subseteq [n]$ . If  $\sigma \cap \tau \neq \emptyset$ , then

$$\begin{aligned}
e_\sigma e_\tau &= 0 \\
&= (-1)^{|\sigma||\tau|} e_\tau e_\sigma.
\end{aligned}$$

Suppose  $\sigma \cap \tau = \emptyset$ . Then

$$\begin{aligned}
e_\sigma e_\tau &= \langle \sigma, \tau \rangle e_{\sigma \cup \tau} \\
&= (-1)^{|\sigma||\tau|} \langle \tau, \sigma \rangle e_{\sigma \cup \tau} \\
&= (-1)^{|\sigma||\tau|} e_\tau e_\sigma.
\end{aligned}$$

Next we check the underlying  $R$ -algebra  $\mathcal{K}(\underline{x})$  is strictly graded-commutative. Let  $\sigma \subseteq [n]$  such that  $|\sigma|$  is odd. Then

$$\begin{aligned}
e_\sigma^2 &= e_\sigma e_\sigma \\
&= 0
\end{aligned}$$



since  $\sigma \cap \sigma \neq \emptyset$ .

Finally, we need to check Leibniz law. First note that multiplication by  $e_\emptyset$  and  $e_\sigma$  satisfies Leibniz law:

$$\begin{aligned} d(e_\sigma)e_\emptyset - e_\sigma d(e_\emptyset) &= d(e_\sigma)e_\emptyset \\ &= d(e_\sigma) \\ &= d(e_\sigma e_\emptyset), \end{aligned}$$

and similarly

$$\begin{aligned} d(e_\emptyset)e_\sigma + e_\emptyset d(e_\sigma) &= e_\emptyset d(e_\sigma) \\ &= d(e_\sigma) \\ &= d(e_\emptyset e_\sigma), \end{aligned}$$

Next, let  $\lambda \in [n]$  and let  $\tau \subseteq [n]$ . If  $\lambda \in \tau$ , then the pair  $(e_\lambda, e_\tau)$  satisfies Leibniz law trivially, so suppose that  $\lambda \notin \tau$ . Then

$$\begin{aligned} d(e_\lambda)e_\tau - e_\lambda d(e_\tau) &= x_\lambda e_\tau - e_\lambda \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle x_\mu e_{\tau \setminus \mu} \\ &= x_\lambda e_\tau - \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \langle \lambda, \tau \setminus \mu \rangle x_\mu e_{\tau \setminus \mu \cup \lambda} \\ &= x_\lambda e_\tau - \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \langle \lambda, \tau \rangle \langle \lambda, \mu \rangle x_\mu e_{\tau \setminus \mu \cup \lambda} \\ &= x_\lambda e_\tau + \sum_{\mu \in \tau} \langle \lambda, \tau \rangle \langle \mu, \tau \setminus \mu \rangle \langle \mu, \lambda \rangle x_\mu e_{\tau \setminus \mu \cup \lambda} \\ &= x_\lambda e_\tau + \sum_{\mu \in \tau} \langle \lambda, \tau \rangle \langle \mu, \tau \setminus \mu \cup \lambda \rangle x_\mu e_{\tau \setminus \mu \cup \lambda} \\ &= \langle \lambda, \tau \rangle \langle \lambda, \tau \rangle x_\lambda e_\tau + \sum_{\mu \in \tau} \langle \lambda, \tau \rangle \langle \mu, \tau \setminus \mu \cup \lambda \rangle x_\mu e_{\tau \setminus \mu \cup \lambda} \\ &= \langle \lambda, \tau \rangle \sum_{\mu \in \tau \cup \lambda} \langle \mu, (\tau \cup \lambda) \setminus \mu \rangle x_\mu e_{(\tau \cup \lambda) \setminus \mu} \\ &= \langle \lambda, \tau \rangle d(e_{\tau \cup \lambda}) \\ &= d(e_\lambda e_\tau), \end{aligned}$$

where we used Proposition (5.11) to get from the second line to the third line. Next suppose  $\tau \subseteq [n]$  and  $\lambda \in \tau$ . Then

$$\begin{aligned} d(e_\lambda)e_\tau - e_\lambda d(e_\tau) &= x_\lambda e_\tau - e_\lambda \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle x_\mu e_{\tau \setminus \mu} \\ &= x_\lambda e_\tau - \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle x_\mu e_\lambda e_{\tau \setminus \mu} \\ &= x_\lambda e_\tau - \langle \lambda, \tau \setminus \lambda \rangle \langle \lambda, \tau \setminus \lambda \rangle x_\lambda e_\tau \\ &= x_\lambda e_\tau - x_\lambda e_\tau \\ &= 0 \\ &= d(0) \\ &= d(e_\lambda e_\tau). \end{aligned}$$

Thus we have shown (??) satisfies the Leibniz law for all pairs  $(\lambda, \tau)$  where  $\lambda \in [n]$  and  $\tau \subseteq [n]$ . We prove by induction on  $|\sigma| = i \geq 1$  that (??) satisfies the Leibniz law for all pairs  $(\sigma, \tau)$  where  $\sigma, \tau \subseteq [n]$ . The base case  $i = 1$  was just shown. Now suppose we have shown (??) satisfies the Leibniz law for all pairs  $(\sigma, \tau)$  where  $\sigma, \tau \subseteq [n]$  such that  $|\sigma| = i < n$ . Let  $\sigma, \tau \subseteq [n]$  such that  $|\sigma| = i + 1$ . Choose  $\lambda \in \sigma$ . Then

$$\begin{aligned} d(e_\sigma e_\tau) &= d(e_\lambda e_{\sigma \setminus \lambda} e_\tau) \\ &= x_\lambda e_{\sigma \setminus \lambda} e_\tau - e_\lambda d(e_{\sigma \setminus \lambda} e_\tau) \\ &= x_\lambda e_{\sigma \setminus \lambda} e_\tau - e_\lambda (d(e_{\sigma \setminus \lambda})e_\tau + (-1)^{|\sigma|-1} e_{\sigma \setminus \lambda} d(e_\tau)) \\ &= (x_\lambda e_{\sigma \setminus \lambda} - e_\lambda d(e_{\sigma \setminus \lambda}))e_\tau + (-1)^{|\sigma|} e_\sigma d(e_\tau) \\ &= d(e_\lambda e_{\sigma \setminus \lambda})e_\tau + (-1)^{|\sigma|} e_\sigma d(e_\tau) \\ &= d(e_\sigma)e_\tau + (-1)^{|\sigma|+1} e_\sigma d(e_\tau), \end{aligned}$$

where we used the base case on the pairs  $(e_\lambda, e_{\sigma \setminus \lambda} e_\tau)$ <sup>6</sup> and  $(e_\lambda, e_{\sigma \setminus \lambda})$  and where we used the induction hypothesis on the pair  $(e_{\sigma \setminus \lambda}, e_\tau)$ . and where we used the base case on the pair  $(e_\lambda, e_{\sigma \setminus \lambda})$ .  $\square$

<sup>6</sup>If  $e_{\sigma \setminus \lambda} e_\tau = 0$ , then obviously Leibniz law holds for the pair  $(e_\lambda, e_{\sigma \setminus \lambda} e_\tau)$ .

### 5.3.5 The Dual Koszul Complex

We now want to discuss the dual Koszul complex of  $\underline{x}$ .

**Definition 5.6.** The **dual Koszul complex of  $\underline{x}$**  is the  $R$ -complex

$$\mathrm{Hom}_R^*(\mathcal{K}(\underline{x}), R),$$

where  $R$  is viewed as a trivial  $R$ -complex (trivially grading with  $d = 0$ ). We denote by  $\mathcal{K}^*(\underline{x})$  to be the graded  $R$ -module  $\mathrm{hom} \mathrm{Hom}_R^*(\mathcal{K}(\underline{x}), R)$ . We also denote by  $d^{\mathcal{K}^*(\underline{x})}$  to be the corresponding differential. We can describe the dual Koszul complex more explicitly as follows: the graded  $R$ -module  $\mathcal{K}^*(\underline{x})$  has

$$\mathcal{K}_i^*(\underline{x}) := \begin{cases} \bigoplus_{\sigma \in S_{-i}[n]} R e_\sigma^* & \text{if } -n \leq i \leq 0 \\ 0 & \text{if } i < -n \text{ or if } i > 0. \end{cases}$$

as its  $i$ th homogeneous component, where  $e_\sigma^*: \mathcal{K}(\underline{x}) \rightarrow R$  is uniquely determined by

$$e_\sigma^*(e_{\sigma'}) = \begin{cases} 1 & \sigma = \sigma' \\ 0 & \text{else.} \end{cases}$$

for all  $\sigma, \sigma' \subseteq [n]$ . The differential  $d^{\mathcal{K}^*(\underline{x})}$  is uniquely determined by

$$d^{\mathcal{K}^*(\underline{x})}(e_\sigma^*) = (-1)^{|\sigma|+1} \sum_{\lambda^* \in \sigma^*} \langle \lambda^*, \sigma \rangle r_\lambda e_{\sigma \cup \lambda^*}^*$$

for all  $\sigma \subseteq [n]$ .

#### Duality

**Theorem 5.1.** *There exists an isomorphism of  $R$ -complexes*

$$S^n \mathrm{Hom}_R^*(\mathcal{K}(\underline{x}), R) \cong \mathcal{K}(\underline{x}).$$

*In particular, we have an isomorphism of  $R$ -modules*

$$H_i(\mathcal{K}(\underline{x})) \cong H_{i-n}(\mathcal{K}^*(\underline{x}))$$

*for all  $i \in \mathbb{Z}$ .*

*Proof.* Let  $i \in \mathbb{Z}$ . If  $i > n$  or  $i < 0$ , then theorem is obvious, so we may assume that  $0 \leq i \leq n$ . Let  $\varphi: S^n(\mathcal{K}^*(\underline{r}), d^{\mathcal{K}^*(\underline{r})}) \rightarrow (\mathcal{K}(\underline{r}), d^{\mathcal{K}(\underline{r})})$  be the unique  $R$ -module graded homomorphism such that

$$\varphi(e_\sigma^*) = \langle \sigma^*, \sigma \rangle e_{\sigma^*}.$$

for all  $1 \leq \lambda_1 < \dots < \lambda_i \leq n$ . Then  $\varphi$  is an isomorphism of graded  $R$ -modules since it restricts to a bijection of basis sets. To see that  $\varphi$  is an isomorphism of  $R$ -complexes, we need to show that it commutes with the

differentials. To do this, we first simplify notation by denoting  $d^* := (d^{\mathcal{K}^*(\underline{r})})^{\Sigma^n}$  and  $d := d^{\mathcal{K}(\underline{r})}$ . Now we have

$$\begin{aligned}
d\varphi(e_\sigma^*) &= d(\langle \sigma^*, \sigma \rangle e_{\sigma^*}) \\
&= \langle \sigma^*, \sigma \rangle d(e_{\sigma^*}) \\
&= \sum_{\lambda^* \in \sigma^*} \langle \sigma^*, \sigma \rangle \langle \lambda^*, \sigma^* \setminus \lambda^* \rangle r_{\lambda^*} e_{\sigma^* \setminus \lambda^*} \\
&= \sum_{\lambda^* \in \sigma^*} \langle \lambda^*, \sigma^* \setminus \lambda^* \rangle \langle \sigma^*, \sigma \rangle r_{\lambda^*} e_{\sigma^* \setminus \lambda^*} \\
&= \sum_{\lambda^* \in \sigma^*} \langle \lambda^*, \sigma^* \setminus \lambda^* \rangle \langle \sigma^* \setminus \lambda^*, \sigma \rangle \langle \lambda^*, \sigma \rangle r_{\lambda^*} e_{\sigma^* \setminus \lambda^*} \\
&= \sum_{\lambda^* \in \sigma^*} \langle \sigma^* \setminus \lambda^*, \sigma \cup \lambda^* \rangle \langle \lambda^*, \sigma \rangle r_{\lambda^*} e_{\sigma^* \setminus \lambda^*} \\
&= \sum_{\lambda^* \in \sigma^*} \langle \lambda^*, \sigma \rangle \langle \sigma^* \setminus \lambda^*, \sigma \cup \lambda^* \rangle r_{\lambda^*} e_{\sigma^* \setminus \lambda^*} \\
&= \sum_{\lambda^* \in \sigma^*} \langle \lambda^*, \sigma \rangle \langle (\sigma \cup \lambda^*)^*, \sigma \cup \lambda^* \rangle r_{\lambda^*} e_{(\sigma \cup \lambda^*)^*} \\
&= \sum_{\lambda^* \in \sigma^*} \langle \lambda^*, \sigma \rangle r_{\lambda^*} \varphi(e_{\sigma \cup \lambda^*}^*) \\
&= \varphi \sum_{\lambda^* \in \sigma^*} \langle \lambda^*, \sigma \rangle r_{\lambda^*} e_{\sigma \cup \lambda^*}^* \\
&= \varphi d^*(e_\sigma^*)
\end{aligned}$$

where we used the fact that  $\sigma^* \setminus \lambda^* = (\sigma \cup \lambda^*)^*$  and  $\langle \sigma^*, \sigma \rangle = \langle \lambda^*, \sigma^* \setminus \lambda^* \rangle \langle \lambda^*, \sigma \rangle \langle \sigma^* \setminus \lambda^*, \sigma \cup \lambda^* \rangle$ .  $\square$

### 5.3.6 Mapping Cone of Homothety Map as Tensor Product

**Proposition 5.14.** *Let  $(A, d)$  be an  $R$ -complex, let  $x \in R$ , and let  $\mu_x: (A, d) \rightarrow (A, d)$  be the multiplication by  $x$  homothety map. Then*

$$(\mathcal{C}(\mu_x), d^{\mathcal{C}(\mu_x)}) \cong (\mathcal{K}(x), d^{\mathcal{K}(x)}) \otimes_R (A, d).$$

*Proof.* Let  $\mathcal{K}(x) = R \oplus Re$  (so  $\{1\}$  is a basis for  $\mathcal{K}(x)_0$  and  $\{e\}$  is a basis for  $\mathcal{K}(x)_1$ ). Let  $\varphi: \mathcal{K}(x) \otimes_R A \rightarrow \mathcal{C}(\mu_x)$  be defined by

$$\varphi(1 \otimes a + e \otimes b) = (a, b)$$

for all  $i \in \mathbb{Z}$ ,  $a \in A_i$ , and  $b \in A_{i-1}$ . Clearly  $\varphi$  is an isomorphism of graded  $R$ -modules. To see that  $\varphi$  is an isomorphism of  $R$ -complexes, we need to check that

$$d^{\mathcal{C}(\mu_x)} \varphi = \varphi d^{\mathcal{K}(x) \otimes_R A} \quad (48)$$

Let  $i \in \mathbb{Z}$ ,  $a \in A_i$ , and  $b \in A_{i-1}$ . Then

$$\begin{aligned}
d^{\mathcal{C}(\mu_x)} \varphi(1 \otimes a + e \otimes b) &= d^{\mathcal{C}(\mu_x)}(a, b) \\
&= (d(a) + xb, -d(b)) \\
&= \varphi(1 \otimes (d(a) + xb) + e \otimes (-d(b))) \\
&= \varphi(1 \otimes d(a) + x \otimes b - e \otimes d(b)) \\
&= \varphi(d^{\mathcal{K}(x) \otimes_R A}(1 \otimes a) + d^{\mathcal{K}(x) \otimes_R A}(e \otimes b)) \\
&= \varphi d^{\mathcal{K}(x) \otimes_R A}(1 \otimes a + e \otimes b).
\end{aligned}$$

$\square$

### 5.3.7 Properties of the Koszul Complex

**Proposition 5.15.** *Let  $\lambda \in [n]$ . Then the homothety map*

$$\mu_{x_\lambda}: (\mathcal{K}(\underline{x}), d^{\mathcal{K}(\underline{x})}) \rightarrow (\mathcal{K}(\underline{x}), d^{\mathcal{K}(\underline{x})})$$

*is null-homotopic. In particular,  $x_\lambda H(\mathcal{K}(\underline{x})) \cong 0$ .*

*Proof.* Denote  $d := d^{\mathcal{K}(\underline{x})}$  and let  $h: \mathcal{K}(\underline{x}) \rightarrow \mathcal{K}(\underline{x})$  be the unique graded homomorphism of degree 1 such that

$$h(e_\sigma) = e_\lambda e_\sigma$$

for all  $\sigma \subseteq [n]$ . Then

$$\begin{aligned} (hd + hd)(e_\sigma) &= d(e_\lambda e_\sigma) + e_\lambda d(e_\sigma) \\ &= x_\lambda e_\sigma - e_\lambda d(e_\sigma) + e_\lambda d(e_\sigma) \\ &= x_\lambda e_\sigma \end{aligned}$$

for all  $\sigma \subseteq [n]$ . It follows that

$$dh + hd = \mu_{x_\lambda}$$

on all of  $\mathcal{K}(\underline{x})$ . Thus the homothety map  $\mu_{x_\lambda}$  is null-homotopic.  $\square$

**Proposition 5.16.** *The following conditions are equivalent.*

1.  $\langle \underline{x} \rangle = R$ ,
2.  $H(\mathcal{K}(\underline{x})) \cong 0$ ,
3.  $H_0(\mathcal{K}(\underline{x})) \cong 0$ .

This follows immediately from Proposition (5.15) and the fact that  $H_0(\mathcal{K}(\underline{x})) \cong R/\langle \underline{x} \rangle$ , but we will give an alternative proof:

*Proof.* Throughout this proof, we denote  $d := d^{\mathcal{K}(\underline{x})}$ .

(1  $\implies$  2) Since  $\langle \underline{x} \rangle = R$ , there exists  $y_1, \dots, y_n \in R$  such that

$$\sum_{\lambda=1}^n x_\lambda y_\lambda = 1.$$

Choose such  $y_1, \dots, y_n \in R$  and let  $\bar{f} \in H(\mathcal{K}(\underline{x}))$  (so  $f \in \ker d$  is a representative of the coset  $\bar{f}$ ). Then

$$\begin{aligned} d\left(\sum_{\lambda=1}^n y_\lambda e_\lambda f\right) &= \sum_{\lambda=1}^n y_\lambda d(e_\lambda f) \\ &= \sum_{\lambda=1}^n y_\lambda (d(e_\lambda) f - e_\lambda d(f)) \\ &= \sum_{\lambda=1}^n y_\lambda x_\lambda f \\ &= \left(\sum_{\lambda=1}^n y_\lambda x_\lambda\right) f \\ &= f. \end{aligned}$$

Thus,  $f \in \operatorname{im} d$ , which implies  $H(\mathcal{K}(\underline{x})) = 0$ .

(2  $\implies$  3)  $H(\mathcal{K}(\underline{x})) \cong 0$  if and only if  $H_i(\mathcal{K}(\underline{x})) \cong 0$  for all  $i \in \mathbb{Z}$ . In particular,  $H(\mathcal{K}(\underline{x})) \cong 0$  implies  $H_0(\mathcal{K}(\underline{x})) \cong 0$ .

(3  $\implies$  1) We have

$$\begin{aligned} 0 &\cong H(\mathcal{K}(\underline{x})) \\ &= R/\langle \underline{x} \rangle, \end{aligned}$$

which implies  $\langle \underline{x} \rangle = R$ .  $\square$

Denote  $\mathcal{K}(\underline{x}; M) := \mathcal{K}(\underline{x}) \otimes_R M$ .

## 6 Advanced Homological Algebra

**Definition 6.1.** Let

$$0 \longrightarrow A \xrightarrow{\varphi} A' \xrightarrow{\varphi'} A'' \longrightarrow 0 \quad (49)$$

be an exact sequence of  $R$ -complexes and chain maps. We say (49) is **degree-wise exact** if it is exact when viewed as a sequence of graded  $R$ -modules, that is, if for each  $i \in \mathbb{Z}$  the sequence

$$0 \longrightarrow A_i \xrightarrow{\varphi_i} A'_i \xrightarrow{\varphi'_i} A''_i \longrightarrow 0 \quad (50)$$

is exact. Similarly, we say (49) is **degree-wise split exact** if (49) is split exact for each  $i \in \mathbb{Z}$ .

**Proposition 6.1.** Let

be an exact sequence of  $R$ -complexes and chain maps. Assume that for all  $p \in \mathbb{Z}$  the sequence  $\xi_p = (0 \rightarrow A_p \xrightarrow{\alpha_p} B_p \xrightarrow{\beta_p} C_p \rightarrow 0)$  is split exact. Then for all  $R$ -complexes  $X, Y$  the sequences  $\xi_* = \text{Hom}_R(X, \xi)$  and  $\xi^* = \text{Hom}_R(\xi, Y)$  are short exact.

*Proof.* Focus on  $\xi^*$ . First note that  $0 \rightarrow C^* \xrightarrow{\beta^*} B \xrightarrow{\alpha^*} A^*$  is exact by left exactness. Need to show  $\alpha^*$  is surjective. Note that  $\xi_p$  split implies  $\gamma_p: B_p \rightarrow A_p$  such that  $\gamma_p \alpha_p = 1_{A_p}$ . We have

$$\begin{aligned} \text{Hom}_R(\alpha_p, Y_{p+n}) &= \text{Hom}_R(\gamma_p, Y_{p+n}) \\ &= \text{Hom}_R(\gamma_p \alpha_p, Y_{p+n}) \\ &= \text{Hom}_R(1_{A_p}, Y_{p+n}) \\ &= 1_{\text{Hom}_R(A_p, Y_{p+n})}. \end{aligned}$$

□

*Remark.* There is a notion of split exactness for sequences of  $R$ -complexes and chain maps. Essentially the splitting map has to commute with the differentials.

**Definition 6.2.** Exact sequence  $\xi$  as above is called **degree-wise split exact**

### 6.1 Resolutions

**Definition 6.3.** Let  $M$  be an  $R$ -complex.

1. A **projective resolution of  $M$**  is a bounded below  $R$ -complex of projective  $R$ -modules  $P$  equipped with a quasiisomorphism  $\tau: P \xrightarrow{\sim} M$ . In this case, we say  $(P, \tau)$  (or just  $P$  if context is clear) is a projective resolution of  $M$ .
2. An **injective resolution of  $M$**  is a bounded above  $R$ -complex of injective  $R$ -modules  $E$  equipped with a quasiisomorphism  $\varepsilon: M \xrightarrow{\sim} E$ . In this case, we say  $(E, \varepsilon)$  (or just  $E$  if context is clear) is an injective resolution of  $M$ .

### 6.1.1 Existence of projective resolutions

**Proposition 6.2.** Let  $M$ ,  $N$ , and  $P$  be  $R$ -modules, let  $\psi: N \rightarrow M$  be an  $R$ -linear map, and let  $\varphi: P \twoheadrightarrow M$  be a surjective  $R$ -linear map. Define the **pullback** of  $\psi: N \rightarrow M$  and  $\varphi: P \twoheadrightarrow M$  to be the  $R$ -module

$$N \times_M P = \{(u, v) \in N \times P \mid \psi(u) = \varphi(v)\}$$

equipped with the  $R$ -linear maps  $\pi_1: N \times_M P \rightarrow N$  and  $\pi_2: N \times_M P \rightarrow P$  given by

$$\pi_1(u, v) = u \quad \text{and} \quad \pi_2(u, v) = v$$

for all  $(u, v) \in N \times_M P$ . Then there exists an isomorphism  $\bar{\varphi}: P/\pi_1(N \times_M P) \rightarrow M/N$  given by

$$\bar{\varphi}(\bar{v}) = \overline{\varphi(v)}$$

for all  $\bar{v} \in P/\pi_1(N \times_M P)$ . Moreover, the following diagram commutative

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \ker \pi_2 & \longrightarrow & N \times_M P & \xrightarrow{\pi_2} & P & \longrightarrow & P/\pi_1(N \times_M P) & \longrightarrow & 0 \\ & & \downarrow \pi_1|_{\ker \pi_2} & & \downarrow \pi_1 & & \downarrow \varphi & & \downarrow \bar{\varphi} & & \\ 0 & \longrightarrow & \ker \psi & \longrightarrow & N & \xrightarrow{\psi} & M & \longrightarrow & M/\psi(N) & \longrightarrow & 0 \end{array}$$

where  $\pi_1$  induces an isomorphism  $\pi_1: \ker \pi_2 \rightarrow \ker \psi$ .

*Proof.* We first need to check that  $\bar{\varphi}$  is well-defined. Suppose  $v + v'$  is another representative of  $\bar{v}$  where  $v' \in \text{im } \pi_2$ . Choose  $[u', v'] \in N \times_M P$  such that  $\pi_2[u', v'] = v'$  (so  $\varphi(v') = \psi(u')$ ). Then

$$\begin{aligned} \bar{\varphi}(\overline{v + v'}) &= \overline{\varphi(v + v')} \\ &= \overline{\varphi(v) + \varphi(v')} \\ &= \overline{\varphi(v) + \psi(u')} \\ &= \overline{\varphi(v)}. \end{aligned}$$

Thus  $\bar{\varphi}$  is well-defined. Clearly,  $\bar{\varphi}$  is a surjective  $R$ -linear map since  $\varphi$  is a surjective  $R$ -linear map. It remains to show that  $\bar{\varphi}$  is injective. Suppose  $\bar{v} \in \ker \bar{\varphi}$ . Then  $\varphi(v) \in \text{im } \psi$ . Choose  $u \in N$  such that  $\psi(u) = \varphi(v)$ . Then  $[u, v] \in N \times_M P$  and  $v = \pi_2[u, v]$ . It follows that  $\bar{v} = 0$  in  $P/\pi_1(N \times_M P)$ .

Let us now check that  $\pi_1|_{\ker \pi_2}$  lands in  $\ker \psi$ . Let  $u \in \ker \pi_2$ . Then

$$\begin{aligned} \psi \pi_1(u) &= \varphi \pi_2(u) \\ &= \varphi(0) \\ &= 0 \end{aligned}$$

implies  $\pi_1(u) \in \ker \psi$ . Thus  $\pi_1|_{\ker \pi_2}$  lands in  $\ker \psi$ . Now we check that  $\pi_1|_{\ker \pi_2}$  is an  $R$ -linear isomorphism. It is clearly an  $R$ -linear isomorphism since it is the restriction of the homomorphism  $\pi_1$ . To see that  $\pi_1|_{\ker \pi_2}$  is surjective, let  $u \in \ker \psi$ . Since

$$\begin{aligned} \psi(u) &= 0 \\ &= \varphi(0), \end{aligned}$$

we see that  $[u, 0] \in N \times_M P$ . Moreover we have  $\pi_2[u, 0] = 0$  and so  $[u, 0] \in \ker \pi_2$ , and since  $\pi_1[u, 0] = u$ , we see that  $\pi_1|_{\ker \pi_2}$  is surjective. To see that  $\pi_1|_{\ker \pi_2}$  is injective, suppose  $\pi_1[u, v] = 0$  for some  $[u, v] \in \ker \pi_2$ . Then

$$\begin{aligned} 0 &= \pi_1[u, v] \\ &= u \end{aligned}$$

implies  $u = 0$  and

$$\begin{aligned} 0 &= \pi_2[u, v] \\ &= v \end{aligned}$$

implies  $v = 0$ . Thus  $[u, v] = [0, 0]$ , hence  $\pi_1|_{\ker \pi_2}$  is injective.  $\square$

**Theorem 6.1.** Let  $(M, d)$  be an  $R$ -complex such that  $M_i = 0$  for all  $i < 0$ . Then there exists a projective resolution of  $(M, d)$ .

*Proof.* We construct an  $R$ -complex  $(P, \partial)$  together with a chain map  $\tau: (P, \partial) \rightarrow (M, d)$  which restricts to a surjection

$$\tau|_{\ker \partial}: \ker \partial \rightarrow \ker d$$

by induction on homological degree as follows: for the base case  $i = 0$ , we choose a projective  $R$ -module  $P_0$  together with a surjective  $R$ -linear map  $\tau_0: P_0 \rightarrow M_0$  and we set  $\partial_0: P_0 \rightarrow 0$  to be the zero map. Suppose for some  $k > 0$ , we have constructed  $R$ -linear maps  $\tau_i: P_i \rightarrow M_i$  and  $\partial_i: P_i \rightarrow P_{i-1}$  such that

$$\partial_{i-1} \circ \partial_i = 0 \quad \text{and} \quad \tau_{i-1} \circ \partial_i = d_i \circ \tau_i$$

and such that  $\tau_i$  restricts to a surjection

$$\tau_i|_{\ker \partial_i}: \ker \partial_i \rightarrow \ker d_i$$

for all  $0 < i < k$ . We first construct the pullback:

$$\begin{array}{ccccc} & & \partial_k & & \\ & \swarrow \text{dashed} & & \searrow \text{dashed} & \\ P_k & & & & \\ & \searrow \rho_k & & & \\ & M_k \times_{\ker d_{k-1}} \ker \partial_{k-1} & \xrightarrow{\pi_2} & \ker \partial_{k-1} & \\ & \downarrow \pi_1 & & \downarrow \tau_{k-1}|_{\ker \partial_{k-1}} & \\ & M_k & \xrightarrow{d_k} & \ker d_{k-1} & \\ & \swarrow \text{dashed } \tau_k & & & \end{array}$$

where the map  $\tau_{k-1}|_{\ker \partial_{k-1}}$  lands in  $\ker d_{k-1}$  since the  $\tau_i$  commute with the differentials. Now we choose a projective  $R$ -module  $P_k$  together with a surjective  $R$ -linear map

$$\rho_k: P_k \rightarrow M_k \times_{\ker d_{k-1}} \ker \partial_{k-1}$$

and we set  $\partial_k = \pi_2 \circ \rho_k$  and  $\tau_k = \pi_1 \circ \rho_k$ . Observe that  $\text{im } \partial_k \subset \ker d_k$  implies  $\partial_{k-1} \circ \partial_k = 0$  and observe that

$$\begin{aligned} \tau_{k-1} \circ \partial_k &= \tau_{k-1} \circ \pi_2 \circ \rho_k \\ &= d_k \circ \pi_1 \circ \rho_k \\ &= d_k \circ \tau_k \end{aligned}$$

implies  $\tau_{k-1} \circ \partial_k = d_k \circ \tau_k$ . Finally, observe that  $\tau_k: \ker \partial_k \rightarrow \ker d_k$  is surjective since it is a composition of surjective maps

$$\ker \partial_k = \ker(\pi_2 \circ \rho_k) \xrightarrow{\rho_k} \ker \pi_2 \xrightarrow[\cong]{\pi_1} \ker d_k$$

where the isomorphism  $\ker \pi_2 \cong \ker d_k$  follows from Proposition (6.2). This completes the induction step.

Therefore we have an  $R$ -complex  $(P, \partial)$  together with a chain map  $\tau: (P, \partial) \rightarrow (M, d)$  which restricts to a surjection

$$\tau|_{\ker \partial}: \ker \partial \rightarrow \ker d.$$

Moreover, Proposition (6.2) implies

$$\begin{aligned} H_{k-1}(M) &= \ker d_{k-1} / \text{im } d_k \\ &= \ker d_{k-1} / d_k(M_k) \\ &\cong \ker \partial_{k-1} / \text{im } \pi_2 \\ &= \ker \partial_{k-1} / \text{im } \partial_k \\ &= H_{k-1}(P), \end{aligned}$$

It follows that  $\tau$  is a quasi-isomorphism. □

### 6.1.2 Existence of injective resolutions

**Lemma 6.2.** Let  $M$ ,  $N$ , and  $E$  be  $R$ -modules, let  $\psi: M \rightarrow N$  be an  $R$ -linear map, and let  $\varphi: M \rightarrow E$  be an injective  $R$ -linear map. Define the pushout of  $\psi: M \rightarrow N$  and  $\varphi: M \rightarrow E$  to be the  $R$ -module  $E +_M N$  given by

$$E +_M N = E \times N / \{(\varphi(v), 0) - (0, \psi(v)) \mid v \in M\}$$

equipped with the  $R$ -linear maps  $\iota_1: E \rightarrow E +_M N$  and  $\iota_2: N \rightarrow E +_M N$  given by

$$\iota_1(u) = [u, 0] \quad \text{and} \quad \iota_2(w) = [0, w]$$

for all  $u \in E$  and  $w \in N$ , where  $[u, w]$  denotes the coset class in  $E +_M N$  with  $(u, w)$  as a representative. Then the following diagram commutes

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \ker \iota_1 & \longrightarrow & E & \xrightarrow{\iota_1} & E +_M N & \longrightarrow & E +_M N / E & \longrightarrow & 0 \\ & & \uparrow \varphi|_{\ker \varphi} & & \uparrow \varphi & & \uparrow \iota_2 & & \uparrow \bar{\iota}_2 & & \\ 0 & \longrightarrow & \ker \psi & \longrightarrow & M & \xrightarrow{\psi} & N & \longrightarrow & N/M & \longrightarrow & 0 \end{array}$$

where  $\bar{\iota}_2: N/M \rightarrow E +_M N/E$  is defined by

$$\bar{\iota}_2(\bar{w}) = \overline{[0, w]}$$

for all  $\bar{w} \in N/M$  and where  $\varphi|_{\ker \psi}: \ker \psi \rightarrow \ker \iota_1$  is defined by

$$\varphi|_{\ker \psi}(v) = \varphi(v)$$

for all  $v \in \ker \psi$ .

*Proof.* We need to check that  $\bar{\iota}_2$  is well-defined. Suppose  $w + \psi(v)$  is another representative of  $\bar{w}$  where  $v \in M$ . Then

$$\begin{aligned} \bar{\iota}_2(\overline{v + \psi(w)}) &= \overline{[0, w + \psi(v)]} \\ &= \overline{[0, w] + [0, \psi(v)]} \\ &= \overline{[0, w] + [\varphi(v), 0]} \\ &= \overline{[0, w]}. \end{aligned}$$

Thus  $\lambda$  is well-defined. Clearly,  $\lambda$  is a surjective  $R$ -linear map since  $\varphi$  is a surjective  $R$ -linear map. It remains to show that  $\lambda$  is injective. Suppose  $\bar{v} \in P/\pi_2(N \times_M P)$  such that

$$\lambda(\bar{v}) = \overline{\varphi(v)} = \bar{0}.$$

Then  $\varphi(v) \in \text{im}(\psi)$ . In other words, there exists  $u \in N$  such that  $\psi(u) = \varphi(v)$ . In other words,  $(u, v) \in N \times_M P$  and hence

$$\begin{aligned} v &= \pi_2(u, v) \\ &\in \pi_2(N \times_M P). \end{aligned}$$

Thus  $\bar{v} = \bar{0}$  in  $P/\pi_2(N \times_M P)$ . □

**Theorem 6.3.** Let  $(M, d)$  be an  $R$ -complex such that  $M_i = 0$  for all  $i > 0$ . Then there exists an injective resolution of  $(M, d)$ .

*Proof.* We construct an  $R$ -complex  $(E, \partial)$  together with an injective chain map  $\varepsilon: (M, d) \rightarrow (E, \partial)$  which induces an injective map

$$\bar{\varepsilon}: M/\text{im } d \rightarrow E/\text{im } \partial$$

by induction on homological degree as follows: for  $i > 0$ , we set  $E_i = 0$ ,  $\partial_{i+1} = 0$ , and  $\varepsilon_i = 0$ . For  $i = 0$ , we choose an injective  $R$ -module  $E_0$  together with an injective  $R$ -linear map  $\varepsilon_0: M_0 \rightarrow E_0$  and we set  $\partial_1: E_1 \rightarrow E_0$  to be the zero map. Suppose for some  $k < 0$ , we have constructed  $R$ -linear maps  $\varepsilon_i: M_i \rightarrow E_i$  and  $\partial_{i+1}: E_{i+1} \rightarrow E_i$  such that

$$\partial_{i-1}\partial_i = 0 \quad \text{and} \quad \partial_{i+1}\varepsilon_{i+1} = \varepsilon_i d_{i+1}$$

and such that  $\varepsilon_i$  induces an injective map

$$\bar{\varepsilon}_i: M_i/\text{im } d_{i+1} \rightarrow E_i/\text{im } \partial_{i+1}$$

for all  $i > k$ . We first construct the pushout



$$\begin{array}{ccc}
E_k/\text{im } \partial_{k+1} & \xrightarrow{\iota_1} & \frac{E_k}{\text{im } \partial_{k+1}} + \frac{M_k}{\text{im } d_{k+1}} M_{k-1} \\
\uparrow \overline{\varepsilon}_k & & \uparrow \iota_2 \\
M_k/\text{im } d_{k+1} & \xrightarrow{d_k} & M_{k-1}
\end{array}$$

here the map  $\overline{\varepsilon}_k$  is well-defined since  $\varepsilon_k$  commutes with the differentials. Now we choose an injective  $R$ -module  $E_{k-1}$  together with an injective  $R$ -linear map

$$\rho_k: \frac{E_k}{\text{im } \partial_{k+1}} + \frac{M_k}{\text{im } d_{k+1}} M_{k-1} \rightarrow E_{k-1}.$$

and we set  $\partial_k = \rho_k \circ \iota_1 \circ \pi$  and  $\varepsilon_{k-1} = \rho_k \circ \iota_2$ . Observe that  $\text{im } \partial_k \subset \ker d_k$  implies  $\partial_{k-1} \circ \partial_k = 0$  and observe that

$$\begin{aligned}
\tau_{k-1} \circ \partial_k &= \tau_{k-1} \circ \pi_2 \circ \rho_k \\
&= d_k \circ \pi_1 \circ \rho_k \\
&= d_k \circ \tau_k
\end{aligned}$$

implies  $\tau_{k-1} \circ \partial_k = d_k \circ \tau_k$ . Finally, observe that  $\tau_k: \ker \partial_k \rightarrow \ker d_k$  is surjective since it is a composition of surjective maps

$$\ker \partial_k = \ker(\pi_2 \circ \rho_k) \xrightarrow{\rho_k} \ker \pi_2 \xrightarrow[\cong]{\pi_1} \ker d_k$$

where the isomorphism  $\ker \pi_2 \cong \ker d_k$  follows from Proposition (6.2). This completes the induction step.

Therefore we have an  $R$ -complex  $(P, \partial)$  together with a chain map  $\tau: (P, \partial) \rightarrow (M, d)$  which restricts to a surjection

$$\tau|_{\ker \partial}: \ker \partial \rightarrow \ker d.$$

Moreover, Proposition (6.2) implies

$$\begin{aligned}
H_{k-1}(M) &= \ker d_{k-1} / \text{im } d_k \\
&= \ker d_{k-1} / d_k(M_k) \\
&\cong \ker \partial_{k-1} / \text{im } \pi_2 \\
&= \ker \partial_{k-1} / \text{im } \partial_k \\
&= H_{k-1}(P),
\end{aligned}$$

It follows that  $\tau$  is a quasi-isomorphism. □

### 6.1.3 Extra

Let  $(M, d)$  be an  $R$ -complex. We now wish to show how to construct a projective resolution of  $(M, d)$ . That is, we will build an  $R$ -complex  $(P^{-\infty}, \partial^{-\infty})$  together with a quasiisomorphism  $\tau^{-\infty}: (P^{-\infty}, \partial^{-\infty}) \rightarrow (M, d)$ . We proceed as follows: for each  $n \in \mathbb{Z}$ , let  $(M^n, d^n)$  be the truncated  $R$ -complex where

$$M_i^n = \begin{cases} M_i & \text{if } i \geq n \\ 0 & \text{if } i < n. \end{cases}$$

and where

$$d_i^n = \begin{cases} d_i & \text{if } i \geq n \\ 0 & \text{if } i < n. \end{cases}$$

Next, choose a projective resolution of  $(M^0, d^0)$  as in Theorem (6.1), say  $(P^0, \partial^0)$ . We construct an  $R$ -complex  $(P^{-1}, \partial^{-1})$  together with a chain map  $\tau^{-1}: (P^{-1}, \partial^{-1}) \rightarrow (M^{-1}, d^{-1})$  which restricts to a surjection

$$\tau|_{\ker \partial}: \ker \partial \rightarrow \ker d$$

by induction on homological degree as follows: for the base case  $i = 0$ , we choose a projective  $R$ -module  $P_{-1}^{-1}$  together with a surjective  $R$ -linear map  $\tau_{-1}^{-1}: P_{-1}^{-1} \rightarrow M_{-1}^{-1}$  and we set  $\partial_{-1}^{-1}: P_{-1}^{-1} \rightarrow 0$  to be the zero map. Suppose for some  $k > 0$ , we have constructed  $R$ -linear maps  $\tau_i: P_i \rightarrow M_i$  and  $\partial_i: P_i \rightarrow P_{i-1}$

## 6.2 Semiprojective and semiinjective complexes

**Definition 6.4.** Let  $P$  be an  $R$ -complex of projective  $R$ -modules and let  $E$  be an  $R$ -complex of injective  $R$ -modules.

1. We say  $P$  is **semiprojective** if  $\text{Hom}_R^*(P, -)$  respects quasiisomorphisms. If  $\tau: P \rightarrow X$  is a quasiisomorphism, then we say  $P$  is a **semiprojective resolution** of  $X$ .
2. We say  $E$  is **semiinjective** if  $\text{Hom}_R^*(-, E)$  respects quasiisomorphisms. If  $\varepsilon: X \rightarrow E$  is a quasiisomorphism, then we say  $E$  is a **semiinjective resolution** of  $X$ .

**Proposition 6.3.** Let  $P$  be an  $R$ -complex of projective modules and let  $E$  be an  $R$ -complex of injective modules. Then  $P$  is semiprojective if and only if  $\text{Hom}_R^*(P, -)$  takes exact complexes to exact complexes. Similarly,  $E$  is semiinjective if and only if  $\text{Hom}_R^*(-, E)$  takes exact complexes to exact complexes.

*Proof.* First suppose that  $\text{Hom}_R^*(P, -)$  is exact. Let  $\varphi: A \rightarrow A'$  be a quasiisomorphism. Then

$$\begin{aligned} \varphi: A \rightarrow A' \text{ is a quasiisomorphism} &\implies C(\varphi) \text{ is exact} \\ &\implies \text{Hom}_R^*(P, C(\varphi)) \text{ is exact} \\ &\implies C(\text{Hom}_R^*(P, \varphi)) \text{ is exact} \\ &\implies \text{Hom}_R^*(P, \varphi) \text{ is a quasiisomorphism.} \end{aligned}$$

Conversely, suppose  $P$  is semiprojective. Let  $M$  be an exact  $R$ -complex. Then the zero map  $M \rightarrow 0$  is a quasiisomorphism. Since  $P$  is semiprojective, the induced map  $\text{Hom}_R^*(P, M) \rightarrow 0$  is a quasiisomorphism. This implies  $\text{Hom}_R^*(P, M)$  is exact. Thus  $\text{Hom}_R^*(P, -)$  is exact. The proof is similar for the injective case.  $\square$

### 6.2.1 Operations on semiprojective $R$ -complexes

**Proposition 6.4.** Let  $P$  and  $P'$  be semiprojective  $R$ -complexes.

1.  $\Sigma P$  is semiprojective;
2. if  $\varphi: P \rightarrow P'$  is a chain map, then  $C(\varphi)$  is semiprojective;
3.  $P \oplus P'$  is semiprojective;
4. if  $Q$  is a complex of projective  $R$ -modules, then  $C(1_Q)$  is semiprojective.
5.  $P \otimes_R P'$  is semiprojective.

*Proof.* 1. Let  $M$  be an exact  $R$ -complex. Then

$$\text{Hom}_R^*(\Sigma P, M) \cong \Sigma^{-1} \text{Hom}_R^*(P, M)$$

is exact. It follows that  $\Sigma P$  is semiprojective.

2. Let  $M$  be an exact  $R$ -complex. Observe that the exact sequence

$$0 \longrightarrow P' \xrightarrow{\iota} C(\varphi) \xrightarrow{\pi} \Sigma P \longrightarrow 0$$

is degreewise split exact. Therefore the sequence

$$0 \longrightarrow \text{Hom}_R^*(\Sigma P, M) \xrightarrow{\pi^*} \text{Hom}_R^*(C(\varphi), M) \xrightarrow{\iota^*} \text{Hom}_R^*(P, M) \longrightarrow 0$$

is exact. It follows from the fact that both  $\text{Hom}_R^*(\Sigma P, M)$  and  $\text{Hom}_R^*(P, M)$  are exact and from the long exact sequence in homology that  $\text{Hom}_R^*(C(\varphi), M)$  is exact.

3. This follows from 2 and the fact that

$$P \oplus P' \cong C(\Sigma^{-1}P \xrightarrow{0} P').$$

4. Let  $M$  be an exact  $R$ -complex. Then

$$\begin{aligned} \text{Hom}_R^*(C(1_Q), M) &\cong \Sigma^{-1} C(\text{Hom}_R^*(1_Q, M)) \\ &= \Sigma^{-1} C(1_{\text{Hom}_R^*(Q, M)}) \end{aligned}$$

is exact.

5. By hom tensor adjointness,  $\text{Hom}_R(P \otimes_R P', -) \cong \text{Hom}_R(P, \text{Hom}_R(P', -))$  is a composition of two exact functors. □

**Theorem 6.4.** *Every  $R$ -complex has a semiprojective resolution and a semiinjective resolution.*

### 6.2.2 A bounded below complex of projective $R$ -modules is semiprojective

**Lemma 6.5.** *Let  $(P, \partial)$  be a bounded below complex of projective  $R$ -modules and let  $(M, d)$  be an exact  $R$ -complex. Then*

$$H_0(\text{Hom}_R^*(P, M)) \cong 0. \quad (51)$$

*Proof.* By reindexing if necessary, we may assume that  $P_i = 0$  for all  $i < 0$ . Recall that

$$\text{Hom}_R^*(P, M) = \{\text{homotopy classes of chain maps } \varphi: P \rightarrow M\}.$$

Thus in order to obtain (51), we need to show that any two chain maps from  $P$  to  $M$  are homotopic to each other. Let  $\varphi: P \rightarrow M$  and  $\psi: P \rightarrow M$  be any two chain maps. The idea is to build the homotopy  $h: P \rightarrow M$  using induction on  $i \geq 0$ . The homotopy equation that needs to be satisfied is

$$\varphi - \psi = d h + h \partial, \quad (52)$$

First, for each  $i < 0$ , we set  $h_i: P_i \rightarrow M_{i+1}$  to be the zero map. Next we observe that  $\text{im}(\varphi_0 - \psi_0) \subseteq \text{im } d_1$ . Indeed,

$$\begin{aligned} d_0(\varphi_0 - \psi_0) &= d_0\varphi_0 - d_0\psi_0 \\ &= \varphi_{-1}\partial_0 - \psi_{-1}\partial_0 \\ &= (\varphi_{-1} - \psi_{-1})\partial_0 \\ &= (\varphi_{-1} - \psi_{-1}) \circ 0 \\ &= 0 \end{aligned}$$

implies

$$\begin{aligned} \text{im}(\varphi_0 - \psi_0) &\subseteq \ker d_0 \\ &= \text{im } d_1. \end{aligned}$$

Thus since  $P_0$  is projective,  $d_1: M_1 \rightarrow \text{im } d_1$  is surjective, and  $\varphi_0 - \psi_0: P_0 \rightarrow M_0$  lands in  $\text{im } d_1$ , there exists an  $R$ -linear map  $h_0: P_0 \rightarrow P_1$  such that

$$\varphi_0 - \psi_0 = d_1 h_0. \quad (53)$$

In homological degree  $i = 0$ , the equation (52) becomes (53). Thus, we are on the right track.

Now we use induction. Suppose for some  $i > 0$  we have constructed an  $R$ -module homomorphism  $h_i: P_i \rightarrow P_{i+1}$  such that

$$\varphi_i - \psi_i = d_{i+1} h_i + h_{i-1} \partial_i. \quad (54)$$

Observe that  $\text{im}(\varphi_{i+1} - \psi_{i+1} - h_i \partial_{i+1}) \subseteq \text{im } d_{i+2}$ . Indeed,

$$\begin{aligned} d_{i+1}(\varphi_{i+1} - \psi_{i+1} - h_i \partial_{i+1}) &= d_{i+1}\varphi_{i+1} - d_{i+1}\psi_{i+1} - d_{i+1}h_i \partial_{i+1} \\ &= \varphi_i \partial_{i+1} - \psi_i \partial_{i+1} - d_{i+1}h_i \partial_{i+1} \\ &= (\varphi_i - \psi_i - d_{i+1}h_i) \partial_{i+1} \\ &= h_{i-1} \partial_i \partial_{i+1} \\ &= h_{i-1} \circ 0 \\ &= 0 \end{aligned}$$

implies

$$\begin{aligned} \text{im}(\varphi_{i+1} - \psi_{i+1} - h_i \partial_{i+1}) &\subseteq \ker d_{i+1} \\ &= \text{im } d_{i+2}. \end{aligned}$$

Therefore since  $P_{i+1}$  is projective,  $d_{i+2}: M_{i+2} \rightarrow \text{im } d_{i+2}$  is surjective, and  $\varphi_{i+1} - \psi_{i+1} - h_i \partial_{i+1}: P_{i+1} \rightarrow M_{i+1}$  lands in  $\text{im } d_{i+2}$ , there exists an  $R$ -linear map  $h_{i+1}: P_{i+1} \rightarrow P_{i+2}$  such that

$$\varphi_{i+1} - \psi_{i+1} - h_i \partial_{i+1} = d_{i+2} h_{i+1},$$

which is the homotopy equation in degree  $i + 1$ . □

**Corollary.** Let  $P$  be a bounded below complex of projective  $R$ -modules. Then  $\text{Hom}_R^*(P, -)$  respects exact complexes. In particular, this implies  $P$  is semiprojective.

*Proof.* Let  $M$  be an exact  $R$ -complex. Observe that  $\Sigma^i P$  is a bounded below complex of projective  $R$ -modules for each  $i \in \mathbb{Z}$ . It follows from Lemma (6.5) that for each  $i \in \mathbb{Z}$  we have

$$\begin{aligned} H_i(\text{Hom}_R^*(P, M)) &= H_{0-(-i)}(\text{Hom}_R^*(P, M)) \\ &= H_0(\Sigma^{-i}\text{Hom}_R^*(P, M)) \\ &= H_0(\text{Hom}_R^*(\Sigma^i P, M)) \\ &= 0. \end{aligned}$$

Therefore  $\text{Hom}_R^*(P, -)$  takes exact complexes to exact complexes.

Now we show that this implies  $\text{Hom}_R^*(P, -)$  takes quasiisomorphisms to quasiisomorphisms. Let  $\varphi: A \rightarrow A'$  be a quasiisomorphism. Then

$$\begin{aligned} \varphi: A \rightarrow A' \text{ is a quasiisomorphism} &\implies C(\varphi) \text{ is exact} \\ &\implies \text{Hom}_R^*(P, C(\varphi)) \text{ is exact} \\ &\implies C(\text{Hom}_R^*(P, \varphi)) \text{ is exact} \\ &\implies \text{Hom}_R^*(P, \varphi) \text{ is a quasiisomorphism.} \end{aligned}$$

Therefore  $P$  is semiprojective. □

### 6.2.3 Lifting Lemma

**Lemma 6.6.** Let  $P$  be a semiprojective  $R$ -complex, let  $\varphi: A \rightarrow A'$  be a quasiisomorphism, and let  $\psi: P \rightarrow A'$  be a chain map. Then

1. Then there exists a chain map  $\tilde{\psi}: P \rightarrow A$  such that  $\varphi\tilde{\psi} \sim \psi$ . Furthermore, if  $\tilde{\psi}': P \rightarrow A$  is another such chain map which satisfies  $\varphi\tilde{\psi}' \sim \psi$ , then  $\tilde{\psi} \sim \tilde{\psi}'$ . We call  $\tilde{\psi}$  a **homotopic lift of  $\psi$  with respect to  $\varphi$** .
2. If in addition  $\varphi$  is surjective, then there exists a chain map  $\tilde{\psi}: P \rightarrow A$  such that  $\varphi\tilde{\psi} = \psi$ .

*Proof.* 1. Since  $\text{Hom}_R^*(P, -)$  preserves quasiisomorphisms, we see that

$$\varphi_*: \text{Hom}_R^*(P, A) \rightarrow \text{Hom}_R^*(P, A')$$

is a quasiisomorphism. In particular,  $\varphi_*$  induces an isomorphism in the degree 0 part of homology:

$$H_0(\varphi_*): H_0(\text{Hom}_R^*(P, A)) \rightarrow H_0(\text{Hom}_R^*(P, A')).$$

Now  $\psi$  represents the the homology class  $[\psi]$  in  $H_0(\text{Hom}_R^*(P, A'))$ , and since  $H_0(\varphi_*)$  is an isomorphism, there exists a homology class  $[\tilde{\psi}]$  in  $H_0(\text{Hom}_R^*(P, A))$  such that

$$H_0(\varphi_*)[\tilde{\psi}] = [\psi].$$

In other words, such that  $[\varphi\tilde{\psi}] = [\psi]$ . Since

$$H_0(\text{Hom}_R^*(P, A')) = \mathcal{C}(A, A') / \sim,$$

we see that  $\varphi\tilde{\psi} \sim \psi$ . For the second statement, suppose  $\tilde{\psi}': P \rightarrow A$  is another such chain map which satisfies  $\varphi\tilde{\psi}' \sim \psi$ . Then  $[\tilde{\psi}'] = [\tilde{\psi}]$  since  $H_0(\varphi_*)$  is an isomorphism, hence  $\tilde{\psi} \sim \tilde{\psi}'$ .

2. Now suppose that  $\varphi$  is surjective. Choose a homotopic lift of  $\psi$  with respect to  $\varphi$ , say  $\tilde{\psi}$ . Choose a homotopy from  $\varphi\tilde{\psi}$  to  $\psi$ , say  $h: P \rightarrow A'$ . So

$$\varphi\tilde{\psi} - \psi = d_{A'}h + hd_P.$$

Using the fact that  $P$  is a projective  $R$ -module and  $\varphi$  is surjective, we choose a graded lift of  $h$  with respect to  $\varphi$ , say  $\tilde{h}: P \rightarrow A$ . So  $\tilde{h}$  is a graded homomorphism of degree 1 such that  $\varphi\tilde{h} = h$ . Then note that  $\tilde{\psi} \sim \tilde{\psi} - d_A\tilde{h} - \tilde{h}d_P$  and

$$\begin{aligned} \varphi(\tilde{\psi} - d_A\tilde{h} - \tilde{h}d_P) &= \varphi\tilde{\psi} - \varphi d_A\tilde{h} - \varphi\tilde{h}d_P \\ &= \varphi\tilde{\psi} - d_{A'}\varphi\tilde{h} - \varphi\tilde{h}d_P \\ &= \varphi\tilde{\psi} - d_{A'}h - \tilde{h}d_P \\ &= d_{A'}h + \tilde{h}d_P + \psi - d_{A'}h - \tilde{h}d_P \\ &= \psi. \end{aligned}$$

□

### 6.3 Ext Functor

**Definition 6.5.** Let  $A$  and  $B$  be  $R$ -complexes. We define the graded  $R$ -module  $\text{Ext}_R(A, B)$  as follows: choose a semiprojective resolution  $\tau: P \rightarrow A$ . Then

$$\text{Ext}_R(A, B) := H(\text{Hom}_R^*(P, B)).$$

The  $i$ th homogeneous component of  $\text{Ext}_R(A, B)$  is denoted

$$\text{Ext}_R^i(A, B) := H_{-i}(\text{Hom}_R^*(P, B))$$

In our definition of  $\text{Ext}_R(A, B)$ , we *chose* a semiprojective resolution of  $A$ . Let us now show that had we chosen a different semiprojective resolution of  $A$ , we would get an isomorphic object. Thus  $\text{Ext}_R(A, B)$  is well-defined *up to isomorphism*.

**Theorem 6.7.**  $\text{Ext}_R(A, B)$  is well-defined up to isomorphism.

*Proof.* Suppose  $\tau_1: P_1 \rightarrow A$  and  $\tau_2: P_2 \rightarrow A$  are two semiprojective resolutions of  $A$ . Choose a homotopic lift  $\tilde{\tau}_1: P_1 \rightarrow P_2$  of  $\tau_1$  with respect to  $\tau_2$ . Similarly, choose a homotopic lift  $\tilde{\tau}_2: P_2 \rightarrow P_1$  of  $\tau_2$  with respect to  $\tau_1$ . We claim that  $\tilde{\tau}_1: P_1 \rightarrow P_2$  is a homotopy equivalence with  $\tilde{\tau}_2: P_2 \rightarrow P_1$  being its homotopy inverse. Indeed, observe that

$$\begin{aligned} \tau_1 \tilde{\tau}_2 \tilde{\tau}_1 &\sim \tau_2 \tilde{\tau}_1 \\ &\sim \tau_1 \end{aligned}$$

implies  $\tilde{\tau}_2 \tilde{\tau}_1$  is a homotopic lift of  $\tau_1$  with respect to  $\tau_1$ , but  $1_{P_1}$  is also a homotopic lift of  $\tau_1$  with respect to  $\tau_1$ . Therefore  $\tilde{\tau}_2 \tilde{\tau}_1 \sim 1_{P_1}$ . A similar computation gives  $\tilde{\tau}_1 \tilde{\tau}_2 \sim 1_{P_2}$ . Now  $\text{Hom}_R^*(-, B)$  preserves homotopy equivalences, and thus  $\text{Hom}_R^*(\tilde{\tau}_1, B): \text{Hom}_R^*(P_1, B) \rightarrow \text{Hom}_R^*(P_2, B)$  is a homotopy equivalence. Then since the homology functor takes homotopy equivalences to isomorphisms, we see that

$$H(\text{Hom}_R^*(\tilde{\tau}_1, B)): H(\text{Hom}_R^*(P_1, B)) \rightarrow H(\text{Hom}_R^*(P_2, B))$$

is an isomorphism. □

#### 6.3.1 The functor $\text{Ext}_R(A, -)$

Now that we've defined the module  $\text{Ext}_R(A, B)$ , we want to define the covariant functor

$$\text{Ext}_R(A, -): \mathbf{Comp}_R \rightarrow \mathbf{Grad}_R.$$

Clearly, we want this functor to map an  $R$ -complex  $B$  to the graded  $R$ -module  $\text{Ext}_R(A, B)$ . Let us show how it should act on chain maps:

**Definition 6.6.** Let  $\psi: B \rightarrow B'$  be a chain map and let  $\tau: P \rightarrow A$  be a semiprojective resolution of  $A$ . We define

$$\text{Ext}_R(A, \psi): \text{Ext}_R(A, B) \rightarrow \text{Ext}_R(A, B')$$

by  $\text{Ext}_R(A, \psi) := H(\text{Hom}_R^*(A, \psi))$ .

Again, in our definition of  $\text{Ext}_R(A, \psi)$ , we *chose* a semiprojective resolution of  $A$ . Let us now show that had we chosen a different semiprojective resolution of  $A$ , we would get a *naturally isomorphic* functor. Thus the functor  $\text{Ext}_R(A, -)$  is well-defined *up to natural isomorphism*.

**Theorem 6.8.**  $\text{Ext}_R(A, -)$  is well-defined up to natural isomorphism.

*Proof.* Suppose  $\tau_1: P_1 \rightarrow A$  and  $\tau_2: P_2 \rightarrow A$  are two semiprojective resolutions of  $A$ . Choose a homotopic lift  $\tilde{\tau}_2: P_2 \rightarrow P_1$  of  $\tau_2$  with respect to  $\tau_1$ . Then  $\tilde{\tau}_2$  is a homotopy equivalence, by the same argument as in the proof of Theorem (6.10). Now observe that the diagram

$$\begin{array}{ccc} \text{Hom}_R^*(P_1, B) & \xrightarrow{\text{Hom}_R^*(\tilde{\tau}_2, B)} & \text{Hom}_R^*(P_2, B) \\ \text{Hom}_R^*(P_1, \psi) \downarrow & & \downarrow \text{Hom}_R^*(P_2, \psi) \\ \text{Hom}_R^*(P_1, B') & \xrightarrow{\text{Hom}_R^*(\tilde{\tau}_2, B')} & \text{Hom}_R^*(P_2, B') \end{array}$$

is commutative. Therefore we obtain a commutative diagram after apply homology:

$$\begin{array}{ccc}
\mathrm{H}(\mathrm{Hom}_R^*(P_1, B)) & \xrightarrow{\mathrm{H}(\mathrm{Hom}_R^*(\tilde{\tau}_2, B))} & \mathrm{H}(\mathrm{Hom}_R^*(P_2, B)) \\
\downarrow \mathrm{H}(\mathrm{Hom}_R^*(P_1, \psi)) & & \downarrow \mathrm{H}(\mathrm{Hom}_R^*(P_2, \psi)) \\
\mathrm{H}(\mathrm{Hom}_R^*(P_1, B')) & \xrightarrow{\mathrm{H}(\mathrm{Hom}_R^*(\tilde{\tau}_2, B'))} & \mathrm{H}(\mathrm{Hom}_R^*(P_2, B'))
\end{array}$$

Since the rows are isomorphisms, we see that  $\mathrm{H}(\mathrm{Hom}_R^*(\tilde{\tau}_2, -))$  is a natural isomorphism.  $\square$

### 6.3.2 The functor $\mathrm{Ext}_R(-, B)$

Next we want to define the contravariant functor

$$\mathrm{Ext}_R(-, B): \mathbf{Comp}_R \rightarrow \mathbf{Grad}_R.$$

Again, we want this functor to send an  $R$ -complex  $A$  to the graded  $R$ -module  $\mathrm{Ext}_R(A, B)$ . This time, the way it acts on chain maps will be a little more involved than in the covariant case.

**Definition 6.7.** Let  $\varphi: A \rightarrow A'$  be a chain map, let  $\tau: P \rightarrow A$  be a semiprojective resolution of  $A$ , let  $\tau': P' \rightarrow A'$  be a semiprojective resolution of  $A'$ , and let  $\tilde{\varphi}: P \rightarrow P'$  be a homotopic lift of  $\varphi\tau$  with respect to  $\tau'$ . We define

$$\mathrm{Ext}_R(\varphi, B): \mathrm{Ext}_R(A', B) \rightarrow \mathrm{Ext}_R(A, B).$$

by  $\mathrm{Ext}_R(\varphi, B) := \mathrm{H}(\mathrm{Hom}_R^*(\tilde{\varphi}, B))$ .

This time our definition of the functor  $\mathrm{Ext}_R(-, B)$  involves *three choices*; namely, the semiprojective resolutions  $\tau: P \rightarrow A$  and  $\tau': P' \rightarrow A'$  as well as the homotopic lift  $\tilde{\varphi}: P \rightarrow P'$ . Even though we made three choices, we shall still see that  $\mathrm{Ext}_R(-, B)$  is well-defined up to natural isomorphism.

**Theorem 6.9.**  $\mathrm{Ext}_R(-, B)$  is well-defined up to natural isomorphism.

*Proof.* Suppose  $\tau_1: P_1 \rightarrow A$  and  $\tau_2: P_2 \rightarrow A$  are two semiprojective resolutions of  $A$ , suppose  $\tau'_1: P'_1 \rightarrow A'$  and  $\tau'_2: P'_2 \rightarrow A'$  are two semiprojective resolutions of  $A'$ , and suppose  $\tilde{\varphi}_1: P_1 \rightarrow P'_1$  is a homotopic lift of  $\varphi\tau_1$  with respect to  $\tau'_1$  and  $\tilde{\varphi}_2: P_2 \rightarrow P'_2$  is a homotopic lift of  $\varphi\tau_2$  with respect to  $\tau'_2$ . So altogether we have the diagrams

$$\begin{array}{ccc}
P_1 & \xrightarrow{\tilde{\varphi}_1} & P'_1 \\
\tau_1 \downarrow & & \downarrow \tau'_1 \\
A & \xrightarrow{\varphi} & A'
\end{array}
\quad
\begin{array}{ccc}
P_2 & \xrightarrow{\tilde{\varphi}_2} & P'_2 \\
\tau_2 \downarrow & & \downarrow \tau'_2 \\
A & \xrightarrow{\varphi} & A'
\end{array}$$

which commute up to homotopy.

Choose a homotopic lift  $\tilde{\tau}_2: P_2 \rightarrow P'_1$  of  $\tau_2$  with respect to  $\tau'_1$  and choose a homotopic lift  $\tilde{\tau}_2': P'_2 \rightarrow P'_1$  of  $\tau'_2$  with respect to  $\tau'_1$ . Then  $\tilde{\tau}_2$  and  $\tilde{\tau}_2'$  are both homotopy equivalences by the same argument as in the proof of Theorem (6.10). Now observe that

$$\begin{aligned}
\tau'_1 \tilde{\tau}_2' \tilde{\varphi}_2 &\sim \tau'_1 \tilde{\varphi}_2 \\
&\sim \varphi\tau_2 \\
&\sim \varphi\tau_1 \tilde{\tau}_2 \\
&\sim \tau'_1 \tilde{\varphi}_1 \tilde{\tau}_2.
\end{aligned}$$

In particular, both  $\tilde{\tau}_2' \tilde{\varphi}_2: P_2 \rightarrow P'_1$  and  $\tilde{\varphi}_1 \tilde{\tau}_2: P_2 \rightarrow P'_1$  are homotopic lifts of  $\varphi\tau_2$  with respect to  $\tau'_1$ . Therefore  $\tilde{\tau}_2' \tilde{\varphi}_2 \sim \tilde{\varphi}_1 \tilde{\tau}_2$ , which further implies

$$\begin{aligned}
\mathrm{Hom}_R^*(\tilde{\varphi}_2, B) \mathrm{Hom}_R^*(\tilde{\tau}_2', B) &= \mathrm{Hom}_R^*(\tilde{\tau}_2' \tilde{\varphi}_2, B) \\
&\sim \mathrm{Hom}_R^*(\tilde{\varphi}_1 \tilde{\tau}_2, B) \\
&= \mathrm{Hom}_R^*(\tilde{\tau}_2, B) \mathrm{Hom}_R^*(\tilde{\varphi}_1, B)
\end{aligned}$$

since  $\mathrm{Hom}_R^*(-, B)$  respects homotopies. Therefore we have a diagram

$$\begin{array}{ccc}
\mathrm{Hom}_R^*(P'_1, B) & \xrightarrow{\mathrm{Hom}_R^*(\tilde{\tau}_2', B)} & \mathrm{Hom}_R^*(P'_2, B) \\
\downarrow \mathrm{Hom}_R^*(\tilde{\varphi}_1, B) & & \downarrow \mathrm{Hom}_R^*(\tilde{\varphi}_2, B) \\
\mathrm{Hom}_R^*(P_1, B) & \xrightarrow{\mathrm{Hom}_R^*(\tilde{\tau}_2, B)} & \mathrm{Hom}_R^*(P_2, B)
\end{array}$$

which commutes up to homotopy. Then since homology takes homotopic maps to equal maps, we see that the diagram

$$\begin{array}{ccc} \mathrm{H}(\mathrm{Hom}_R^*(P'_1, B)) & \xrightarrow{\mathrm{H}(\mathrm{Hom}_R^*(\tilde{\tau}'_2, B))} & \mathrm{H}(\mathrm{Hom}_R^*(P'_2, B)) \\ \mathrm{H}(\mathrm{Hom}_R^*(\tilde{\varphi}_1, B)) \downarrow & & \downarrow \mathrm{H}(\mathrm{Hom}_R^*(\tilde{\varphi}_2, B)) \\ \mathrm{H}(\mathrm{Hom}_R^*(P_1, B)) & \xrightarrow{\mathrm{H}(\mathrm{Hom}_R^*(\tilde{\tau}_2, B))} & \mathrm{H}(\mathrm{Hom}_R^*(P_2, B)) \end{array}$$

is commutative. Since the rows are isomorphisms, we see that  $\mathrm{H}(\mathrm{Hom}_R^*(-, B))$  is a natural isomorphism.  $\square$

### 6.3.3 Properties of Ext

**Proposition 6.5.** *Let  $A, B$  be  $R$ -complexes, let  $\{A_\lambda\}$  and  $\{B_\lambda\}$  be a collection of  $R$ -complexes indexed over a set  $\Lambda$ , and let  $S \subseteq R$  be a multiplicatively closed set. Then*

1.  $\mathrm{Ext}_R(\bigoplus_{\lambda \in \Lambda} A_\lambda, B) \cong \prod_{\lambda \in \Lambda}^* \mathrm{Ext}_R(A_\lambda, B);$
2.  $\mathrm{Ext}_R(A, \prod_{\lambda \in \Lambda}^* B_\lambda) \cong \prod_{\lambda \in \Lambda}^* \mathrm{Ext}_R(A, B_\lambda)$
3. *If  $A$  is finitely presented, then  $\mathrm{Ext}_R(A, B)_S \cong \mathrm{Ext}_{R_S}(A_S, B_S).$*

*Proof.* Choose a semiprojective resolutions  $\tau_\lambda: P_\lambda \rightarrow A_\lambda$  of  $A_\lambda$  for each  $\lambda \in \Lambda$ . Then  $\bigoplus_\lambda \tau_\lambda: \bigoplus_\lambda P_\lambda \rightarrow \bigoplus_\lambda A_\lambda$  is a semiprojective resolution of  $\bigoplus_\lambda A_\lambda$ . Indeed, the homogeneous piece in degree  $i$  of  $\bigoplus_\lambda P_\lambda$  is given by  $\bigoplus_\lambda P_{\lambda,i}$ , where  $P_{\lambda,i}$  is the homogeneous piece in degree  $i$  of  $P_\lambda$  for all  $\lambda \in \Lambda$ , and  $\bigoplus_\lambda P_{\lambda,i}$  is a projective  $R$ -module since each  $P_{\lambda,i}$  is a projective  $R$ -module. Also,  $\bigoplus_\lambda \tau_\lambda$  is a quasiisomorphism since each  $\tau_\lambda$  is a quasiisomorphism and since homology commutes with direct sums.

Therefore

$$\begin{aligned} \mathrm{Ext}_R\left(\bigoplus_{\lambda \in \Lambda} A_\lambda, B\right) &= \mathrm{H}\left(\mathrm{Hom}_R^*\left(\bigoplus_{\lambda \in \Lambda} A_\lambda, B\right)\right) \\ &= \mathrm{H}\left(\prod_{\lambda \in \Lambda}^* \mathrm{Hom}_R^*(A_\lambda, B)\right) \\ &= \prod_{\lambda \in \Lambda}^* \mathrm{H}(\mathrm{Hom}_R^*(A_\lambda, B)) \\ &= \prod_{\lambda \in \Lambda}^* \mathrm{Ext}_R(A_\lambda, B) \end{aligned}$$

Similarly, choose a semiprojective resolution  $\tau: P \rightarrow A$  of  $A$ . Then we have

$$\begin{aligned} \mathrm{Ext}_R\left(A, \prod_{\lambda \in \Lambda}^* B_\lambda\right) &= \mathrm{H}\left(\mathrm{Hom}_R^*\left(P, \prod_{\lambda \in \Lambda}^* B_\lambda\right)\right) \\ &= \mathrm{H}\left(\prod_{\lambda \in \Lambda}^* \mathrm{Hom}_R^*(P, B_\lambda)\right) \\ &= \prod_{\lambda \in \Lambda}^* \mathrm{H}(\mathrm{Hom}_R^*(P, B_\lambda)) \\ &= \prod_{\lambda \in \Lambda}^* \mathrm{Ext}_R(A, B_\lambda). \end{aligned}$$

For the final equality, observe that  $\tau_S: P_S \rightarrow A_S$  is a semiprojective resolution of  $A_S$ . Thus

$$\begin{aligned} \mathrm{Ext}_{R_S}(A_S, B_S) &= \mathrm{H}\left(\mathrm{Hom}_{R_S}^*(P_S, B_S)\right) \\ &= \mathrm{H}\left(\mathrm{Hom}_R^*(P, B)_S\right) \\ &= \mathrm{H}(\mathrm{Hom}_R^*(P, B))_S \\ &= \mathrm{Ext}_R(A, B)_S. \end{aligned}$$

$\square$



## 6.4 Semiflat complexes

**Definition 6.8.** Let  $M$  be an  $R$ -complex of flat  $R$ -modules. We say  $M$  is **semiflat** if  $- \otimes_R M$  respects quasiisomorphisms. If  $\tau: M \rightarrow X$  is a quasiisomorphism, then we say  $M$  is a **semiflat resolution** of  $X$ .

*Remark.* Since  $- \otimes_R M$  is naturally isomorphic to  $M \otimes_R -$ , we see that  $M$  is semiflat if and only if  $M \otimes_R -$  respects quasiisomorphisms.

**Proposition 6.6.** Let  $M$  be an  $R$ -complex of flat  $R$ -modules. Then  $M$  is semiflat if and only if  $M \otimes_R -$  is exact.

*Proof.* First suppose that  $- \otimes_R M$  is exact. Let  $\varphi: A \rightarrow A'$  be a quasiisomorphism. Then

$$\begin{aligned} \varphi: A \rightarrow A' \text{ is a quasiisomorphism} &\implies C(\varphi) \text{ is exact} \\ &\implies C(\varphi) \otimes_R M \text{ is exact} \\ &\implies C(\varphi \otimes_R M) \text{ is exact} \\ &\implies \varphi \otimes_R M \text{ is a quasiisomorphism.} \end{aligned}$$

Therefore  $- \otimes_R M$  respects quasiisomorphisms.

Conversely, suppose  $M$  is semiflat. Let  $A$  be an exact  $R$ -complex. Then the zero map  $M \rightarrow 0$  is a quasiisomorphism. Since  $M$  is semiflat, the induced map  $A \otimes_R M \rightarrow 0$  is a quasiisomorphism. This implies  $A \otimes_R M$  is exact. Therefore  $- \otimes_R M$  is exact.  $\square$

### 6.4.1 Semiprojective complexes are semiflat

**Proposition 6.7.** Let  $P$  be a semiprojective  $R$ -complex. Then  $P$  is semiflat.

*Proof.* Since projective  $R$ -modules are flat, we see that  $P_i$  is flat for all  $i \in \mathbb{Z}$ . Now let  $A$  be an exact  $R$ -complex and let  $\varepsilon: P \otimes_R A \rightarrow E$  be a semiinjective resolution. Then

$$\begin{aligned} P \otimes_R A \text{ is exact} &\iff \operatorname{Hom}_R^*(P \otimes_R A, E) \text{ is exact} \\ &\iff \operatorname{Hom}_R^*(P, \operatorname{Hom}_R^*(A, E)) \text{ is exact.} \end{aligned}$$

the last line follows from the fact that  $P$  is semiprojective and  $E$  is semiinjective.  $\square$

## 6.5 Tor Functor

**Definition 6.9.** Let  $A$  and  $B$  be  $R$ -complexes. We define the graded  $R$ -module  $\operatorname{Tor}^R(A, B)$  as follows: choose a semiprojective resolution  $\tau: P \rightarrow A$ . Then

$$\operatorname{Tor}^R(A, B) := H(P \otimes_R B).$$

The  $i$ th homogeneous component of  $\operatorname{Tor}^R(A, B)$  is denoted

$$\operatorname{Tor}_i^R(A, B) := H_i(P \otimes_R B)$$

In our definition of  $\operatorname{Tor}^R(A, B)$ , we chose a semiprojective resolution of  $A$ . Let us now show that had we chosen a different semiprojective resolution of  $A$ , we would get an isomorphic object. Thus  $\operatorname{Tor}^R(A, B)$  is well-defined up to isomorphism.

**Theorem 6.10.**  $\operatorname{Tor}^R(A, B)$  is well-defined up to isomorphism.

*Proof.* Suppose  $\tau_1: P_1 \rightarrow A$  and  $\tau_2: P_2 \rightarrow A$  are two semiprojective resolutions of  $A$ . Choose a homotopic lift  $\tilde{\tau}_1: P_1 \rightarrow P_2$  of  $\tau_1$  with respect to  $\tau_2$ . Similarly, choose a homotopic lift  $\tilde{\tau}_2: P_2 \rightarrow P_1$  of  $\tau_2$  with respect to  $\tau_1$ . As in the proof of Theorem (6.10),  $\tilde{\tau}_1: P_1 \rightarrow P_2$  is a homotopy equivalence with  $\tilde{\tau}_2: P_2 \rightarrow P_1$  being its homotopy inverse. Now  $- \otimes_R B$  preserves homotopy equivalences, and thus  $\tilde{\tau}_1 \otimes_R B: P_1 \otimes_R B \rightarrow P_2 \otimes_R B$  is a homotopy equivalence. Then since the homology functor takes homotopy equivalences to isomorphisms, we see that

$$H(\tilde{\tau}_1 \otimes_R B): H(P_1 \otimes_R B) \rightarrow H(P_2 \otimes_R B)$$

is an isomorphism. This isomorphism is unique in a sense. Indeed, if we had chosen another homotopic lift of  $\tau_1$  with respect to  $\tau_2$ , say  $\tilde{\tau}_1': P_1 \rightarrow P_2$ , then  $\tilde{\tau}_1 \sim \tilde{\tau}_1'$ , which implies  $\tilde{\tau}_1 \otimes_R B \sim \tilde{\tau}_1' \otimes_R B$ , which implies  $H(\tilde{\tau}_1 \otimes_R B) = H(\tilde{\tau}_1' \otimes_R B)$ .  $\square$



### 6.5.1 The functor $\text{Tor}^R(A, -)$

Now that we've defined the module  $\text{Tor}^R(A, B)$ , we want to define the covariant functor

$$\text{Tor}^R(A, -): \mathbf{Comp}_R \rightarrow \mathbf{Grad}_R.$$

Clearly, we want this functor to map an  $R$ -complex  $B$  to the graded  $R$ -module  $\text{Tor}^R(A, B)$ . Let us show how it should act on chain maps:

**Definition 6.10.** Let  $\psi: B \rightarrow B'$  be a chain map and let  $\tau: P \rightarrow A$  be a semiprojective resolution of  $A$ . We define

$$\text{Tor}^R(A, \psi): \text{Tor}^R(A, B) \rightarrow \text{Tor}^R(A, B')$$

by  $\text{Tor}^R(A, \psi) := H(A \otimes_R \psi)$ .

Again, in our definition of  $\text{Tor}^R(A, \psi)$ , we chose a semiprojective resolution of  $A$ . Let us now show that had we chosen a different semiprojective resolution of  $A$ , we would get a *naturally isomorphic* functor. Thus the functor  $\text{Tor}^R(A, -)$  is well-defined up to natural isomorphism.

**Theorem 6.11.**  $\text{Tor}^R(A, -)$  is well-defined up to natural isomorphism.

*Proof.* Suppose  $\tau_1: P_1 \rightarrow A$  and  $\tau_2: P_2 \rightarrow A$  are two semiprojective resolutions of  $A$ . Choose a homotopic lift  $\tilde{\tau}_1: P_1 \rightarrow P_2$  of  $\tau_1$  with respect to  $\tau_2$ . Then  $\tilde{\tau}_1$  is a homotopy equivalence, by the same argument as in the proof of Theorem (6.10). Now observe that the diagram

$$\begin{array}{ccc} P_1 \otimes_R B & \xrightarrow{\tilde{\tau}_1 \otimes_R B} & P_2 \otimes_R B \\ P_1 \otimes_R \psi \downarrow & & \downarrow P_2 \otimes_R \psi \\ P_1 \otimes_R B' & \xrightarrow{\tilde{\tau}_2 \otimes_R B'} & P_2 \otimes_R B' \end{array}$$

is commutative where the rows are homotopy equivalences since  $- \otimes_R B$  preserves homotopy equivalences. Therefore we obtain a commutative diagram after apply homology

$$\begin{array}{ccc} H(P_1 \otimes_R B) & \xrightarrow{H(\tilde{\tau}_1 \otimes_R B)} & H(P_2 \otimes_R B) \\ H(P_1 \otimes_R \psi) \downarrow & & \downarrow H(P_2 \otimes_R \psi) \\ H(P_1 \otimes_R B') & \xrightarrow{H(\tilde{\tau}_2 \otimes_R B')} & H(P_2 \otimes_R B') \end{array}$$

where the rows are isomorphisms since the  $H(-)$  takes homotopy equivalences to isomorphisms. Since the rows are isomorphisms and the diagram commutes, we see that  $H(\text{Tor}^R(\tilde{\tau}_1, -))$  is a natural isomorphism.  $\square$

### 6.5.2 The functor $\text{Tor}^R(-, B)$

Next we want to define the covariant functor

$$\text{Tor}^R(-, B): \mathbf{Comp}_R \rightarrow \mathbf{Grad}_R.$$

Again, we want this functor to send an  $R$ -complex  $A$  to the graded  $R$ -module  $\text{Tor}^R(A, B)$ .

**Definition 6.11.** Let  $\varphi: A \rightarrow A'$  be a chain map, let  $\tau: P \rightarrow A$  be a semiprojective resolution of  $A$ , let  $\tau': P' \rightarrow A'$  be a semiprojective resolution of  $A'$ , and let  $\tilde{\varphi}: P \rightarrow P'$  be a homotopic lift of  $\varphi\tau$  with respect to  $\tau'$ . We define

$$\text{Tor}^R(\varphi, B): \text{Tor}^R(A, B) \rightarrow \text{Tor}^R(A', B).$$

by  $\text{Tor}^R(\varphi, B) := H(\tilde{\varphi} \otimes_R B)$ .

This time our definition of the functor  $\text{Tor}^R(-, B)$  involves *three choices*; namely, the semiprojective resolutions  $\tau: P \rightarrow A$  and  $\tau': P' \rightarrow A'$  as well as the homotopic lift  $\tilde{\varphi}: P \rightarrow P'$ . Even though we made three choices, we shall still see that  $\text{Tor}^R(-, B)$  is well-defined up to natural isomorphism.

**Theorem 6.12.**  $\text{Tor}^R(-, B)$  is well-defined up to natural isomorphism.

*Proof.* Suppose  $\tau_1: P_1 \rightarrow A$  and  $\tau_2: P_2 \rightarrow A$  are two semiprojective resolutions of  $A$ , suppose  $\tau'_1: P'_1 \rightarrow A'$  and  $\tau'_2: P'_2 \rightarrow A'$  are two semiprojective resolutions of  $A'$ , and suppose  $\tilde{\varphi}_1: P_1 \rightarrow P'_1$  is a homotopic lift of  $\varphi\tau_1$  with respect to  $\tau'_1$  and  $\tilde{\varphi}_2: P_2 \rightarrow P'_2$  is a homotopic lift of  $\varphi\tau_2$  with respect to  $\tau'_2$ . So altogether we have the diagrams

$$\begin{array}{ccc}
P_1 & \xrightarrow{\widetilde{\varphi}_1} & P'_1 \\
\tau_1 \downarrow & & \downarrow \tau'_1 \\
A & \xrightarrow{\varphi} & A'
\end{array}
\qquad
\begin{array}{ccc}
P_2 & \xrightarrow{\widetilde{\varphi}_2} & P'_2 \\
\tau_2 \downarrow & & \downarrow \tau'_2 \\
A & \xrightarrow{\varphi} & A'
\end{array}$$

which commute up to homotopy.

Choose a homotopic lift  $\widetilde{\tau}_1: P_1 \rightarrow P_2$  of  $\tau_1$  with respect to  $\tau_2$  and choose a homotopic lift  $\widetilde{\tau}'_1: P'_1 \rightarrow P'_2$  of  $\tau'_1$  with respect to  $\tau'_2$ . Then  $\widetilde{\tau}_1$  and  $\widetilde{\tau}'_1$  are both homotopy equivalences by the same argument as in the proof of Theorem (6.10). Now observe that

$$\begin{aligned}
\tau'_2 \widetilde{\varphi}_2 \widetilde{\tau}_1 &\sim \varphi \tau_2 \widetilde{\tau}_1 \\
&\sim \varphi \tau_1 \\
&\sim \tau'_1 \widetilde{\varphi}_1 \\
&\sim \tau'_2 \widetilde{\tau}'_1 \widetilde{\varphi}_1.
\end{aligned}$$

In particular, both  $\widetilde{\varphi}_2 \widetilde{\tau}_1: P_1 \rightarrow P'_2$  and  $\widetilde{\tau}'_1 \widetilde{\varphi}_1: P_1 \rightarrow P'_2$  are homotopic lifts of  $\varphi \tau_1$  with respect to  $\tau'_2$ . Therefore

$$\widetilde{\varphi}_2 \widetilde{\tau}_1 \sim \widetilde{\tau}'_1 \widetilde{\varphi}_1,$$

and since  $- \otimes_R B$  respects homotopies, we have a diagram

$$\begin{array}{ccc}
P_1 \otimes_R B & \xrightarrow{\widetilde{\tau}_1 \otimes_R B} & P_2 \otimes_R B \\
\widetilde{\varphi}_1 \otimes_R B \downarrow & & \downarrow \widetilde{\varphi}_2 \otimes_R B \\
P'_1 \otimes_R B & \xrightarrow{\widetilde{\tau}'_1 \otimes_R B} & P'_2 \otimes_R B
\end{array}$$

which commutes up to homotopy. Finally, since  $H(-)$  takes homotopic maps to equal maps, we see that the diagram

$$\begin{array}{ccc}
H(P_1 \otimes_R B) & \xrightarrow{H(\widetilde{\tau}_1 \otimes_R B)} & H(P_2 \otimes_R B) \\
H(\widetilde{\varphi}_1 \otimes_R B) \downarrow & & \downarrow H(\widetilde{\varphi}_2 \otimes_R B) \\
H(P'_1 \otimes_R B) & \xrightarrow{H(\widetilde{\tau}'_1 \otimes_R B)} & H(P'_2 \otimes_R B)
\end{array}$$

which is commutative. Since  $H(-)$  takes homotopy equivalences to isomorphisms, we see that the rows are isomorphisms, and thus  $H(\text{Hom}_R^*(-, B))$  is a natural isomorphism. □

### 6.5.3 Balance of Tor

**Proposition 6.8.** *Let  $A$  and  $B$  be  $R$ -complexes and let  $\sigma: P \rightarrow A$  and  $\tau: Q \rightarrow B$  be semiprojective resolutions. Then*

$$\text{Tor}^R(A, B) \cong H(P \otimes_R Q) \cong H(A \otimes_R Q).$$

*Proof.* Observe that  $P \otimes_R -$  respects quasiisomorphisms since  $P$  is semiprojective (and hence semiflat). Therefore  $P \otimes_R \tau: P \otimes_R Q \rightarrow P \otimes_R B$  is a quasiisomorphism. Thus

$$H(P \otimes_R \tau): H(P \otimes_R Q) \rightarrow H(P \otimes_R B)$$

is an isomorphism. Similarly,  $- \otimes_R Q$  respects quasiisomorphisms since  $Q$  is semiprojective (and hence semiflat). Therefore  $\sigma \otimes_R Q: P \otimes_R Q \rightarrow A \otimes_R Q$  is a quasiisomorphism. Thus

$$H(\sigma \otimes_R Q): H(P \otimes_R Q) \rightarrow H(A \otimes_R Q)$$

is an isomorphism. Therefore we have balance of Tor:

$$\begin{aligned}
\text{Tor}^R(A, B) &= H(P \otimes_R B) \\
&\cong H(P \otimes_R Q) \\
&\cong H(A \otimes_R Q).
\end{aligned}$$

□

### 6.5.4 Commutativity of Tor

**Proposition 6.9.** *Let  $A$  and  $B$  be  $R$ -complexes. Then we have an isomorphism of graded  $R$ -modules*

$$\mathrm{Tor}^R(A, B) \cong \mathrm{Tor}^R(B, A),$$

*which is natural in  $A$  and  $B$ .*

*Proof.* Let  $\sigma: P \rightarrow A$  be a semiprojective resolution of  $A$  and let  $\tau: Q \rightarrow B$  be a semiprojective resolutions of  $B$ . We have

$$\begin{aligned} \mathrm{Tor}^R(A, B) &= H(P \otimes_R B) \\ &\cong H(P \otimes_R Q) \\ &\cong H(Q \otimes_R P) \\ &\cong H(Q \otimes_R A) \\ &= \mathrm{Tor}^R(B, A). \end{aligned}$$

□

## 6.6 Functors from $\mathbf{Comp}_R$ to $\mathbf{HComp}_R$ and $\mathbf{HComp}_R$ to $\mathbf{HComp}_R$

### 6.6.1 Semiprojective Version

For every  $R$ -complex  $A$  we fix a semiprojective resolution  $P_R(A) \xrightarrow{\tau_A} A$  and for every chain map  $\varphi: A \rightarrow B$  we fix a homotopic lift  $P_R(\varphi): P_R(A) \rightarrow P_R(B)$  of  $\varphi\tau_A$  with respect to  $\tau_B$ . If the ring  $R$  is clear from context, then we write  $P(A)$  and  $P(\varphi)$  rather than  $P_R(A)$  and  $P_R(\varphi)$  in order to simplify notation.

**Proposition 6.10.** *We obtain a well-defined  $R$ -linear covariant functor  $\mathbb{P}: \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $A$  to the  $R$ -complex  $P(A)$  and which takes a chain map  $\varphi: A \rightarrow B$  to the homotopy class  $[P(\varphi)]$ .*

*Proof.* The well-definedness comes from the fact that we used fixed resolutions and lifts. The functor  $\mathbb{P}$  respects identity maps. Indeed, given the identity morphism  $1_A: A \rightarrow A$ , we have  $\tau_A 1_{P(A)} = 1_A \tau_A$ . In particular,  $1_{P(A)}$  is a homotopic lift of  $1_A \tau_A$  with respect to  $\tau_A$ . Thus  $P(1_A) \sim 1_{P(A)}$ , and thus  $[P(1_A)] = [1_{P(A)}]$ . The functor  $\mathbb{P}$  also respects compositions. Indeed, let  $\varphi: A \rightarrow B$  and  $\psi: B \rightarrow C$  be two chain maps. Then

$$\begin{aligned} \tau_C P(\psi) P(\varphi) &\sim \psi \tau_B P(\varphi) \\ &\sim \psi \varphi \tau_A. \end{aligned}$$

Thus  $P(\psi)P(\varphi)$  is a homotopic lift of  $\psi\varphi\tau_A$  with respect to  $\tau_C$ . Since  $P(\psi\varphi)$  is also a homotopic lift of  $\psi\varphi\tau_A$  with respect to  $\tau_C$ , it follows that  $P(\psi\varphi) \sim P(\psi)P(\varphi)$ , and thus  $[P(\psi\varphi)] = [P(\psi)][P(\varphi)]$ .

Now we show that  $\mathbb{P}$  is an  $R$ -linear functor. Let  $A$  and  $B$  be  $R$ -complexes. We want to show that if  $\varphi, \psi \in \mathcal{C}(A, B)$  and  $r, s \in R$  then

$$[P(r\varphi + s\psi)] = [rP(\varphi) + sP(\psi)]. \quad (55)$$

To see this, note that  $P(\varphi)$  is a homotopic lift of  $\varphi\tau_A$  with respect to  $\tau_B$  and  $P(\psi)$  is a homotopic lift of  $\psi\tau_A$  with respect to  $\tau_B$ . Now observe that

$$\begin{aligned} \tau_B(rP(\varphi) + sP(\psi)) &= r\tau_B P(\varphi) + s\tau_B P(\psi) \\ &\sim r\varphi\tau_A + s\psi\tau_A \\ &= (r\varphi + s\psi)\tau_A. \end{aligned}$$

Thus  $rP(\varphi) + sP(\psi)$  is a homotopic lift of  $(r\varphi + s\psi)\tau_A$  with respect to  $\tau_B$ . Since  $P(r\varphi + s\psi)$  is another homotopic lift of  $(r\varphi + s\psi)\tau_A$  with respect to  $\tau_B$ , it follows that  $P(r\varphi + s\psi) \sim rP(\varphi) + sP(\psi)$ . In other words, we have (55). □

**Definition 6.12.** Define  $\Omega_R: \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  to be functor which sends the  $R$ -complex  $A$  to the  $R$ -complex  $A$  and which takes a chain map  $\varphi: A \rightarrow B$  to the homotopy class  $[\varphi]$ .

*Remark.* If the ring  $R$  is clear from context, then we write  $\Omega$  rather than  $\Omega_R$  in order to simplify notation.

**Proposition 6.11.** *The functor  $\Omega$  is a well-defined  $R$ -linear covariant functor. Moreover it transforms homotopy equivalences to isomorphisms. Furthermore,  $\Omega$  satisfies the following universal mapping property: for every  $R$ -linear covariant functor  $F: \mathbf{Comp}_R \rightarrow \mathcal{C}$  which takes homotopic maps to equal maps, there exists a unique  $R$ -linear functor  $\tilde{F}: \mathbf{HComp}_R \rightarrow \mathcal{C}$  such that  $\tilde{F}\Omega = F$ .*

*Proof.* The first part of the propositions is straightforward. Let us address the universal mapping property. Given such an  $F: \mathbf{Comp}_R \rightarrow \mathcal{C}$ , we define  $\tilde{F}: \mathbf{HComp}_R \rightarrow \mathcal{C}$  to be the functor which takes an  $R$ -complex  $A$  to the object  $F(A)$  and which takes the homotopy class  $[\varphi]$  of a chain map  $\varphi: A \rightarrow B$  to the morphism  $F(\varphi): F(A) \rightarrow F(B)$ . Observe that this is well-defined by assumption of  $F$  (it takes homotopic chain maps to equal maps). Let us show that  $\tilde{F}$  is a functor. First we check that it respects identity maps. Let  $[1_A]$  be the homotopy class of the identity map  $1_A: A \rightarrow A$ . Then

$$\begin{aligned}\tilde{F}[1_A] &= F(1_A) \\ &= 1_{F(A)}.\end{aligned}$$

Thus  $\tilde{F}$  respects identity maps. Next let's check that it respects compositions. Let  $[\varphi]$  and  $[\psi]$  be the homotopy classes of the chain maps  $\varphi: A \rightarrow B$  and  $\psi: B \rightarrow C$  respectively. Then

$$\begin{aligned}\tilde{F}[\psi\varphi] &= F(\psi\varphi) \\ &= F(\psi)F(\varphi) \\ &= \tilde{F}[\psi]\tilde{F}[\varphi].\end{aligned}$$

Thus  $\tilde{F}$  respects compositions. Now let us check that  $\tilde{F}\Omega = F$ . For any  $R$ -complex  $A$ , we have

$$\begin{aligned}\tilde{F}\Omega(A) &= \tilde{F}(A) \\ &= F(A)\end{aligned}$$

and for any chain map  $\varphi: A \rightarrow B$ , we have

$$\begin{aligned}\tilde{F}\Omega(\varphi) &= \tilde{F}[P(\varphi)] \\ &= F(\varphi).\end{aligned}$$

Therefore  $\tilde{F}\Omega = F$ . Finally, note that uniqueness of  $\tilde{F}$  follows from the fact that we were forced to define  $\tilde{F}$  in this way. Indeed, if  $\tilde{F}'$  was another such functor, then for any  $R$ -complex  $A$ , we have

$$\begin{aligned}\tilde{F}'(A) &= \tilde{F}'\Omega(A) \\ &= F(A) \\ &= \tilde{F}\Omega(A) \\ &= \tilde{F}(A),\end{aligned}$$

and for any chain map  $\varphi: A \rightarrow B$ , we have

$$\begin{aligned}\tilde{F}'[\varphi] &= \tilde{F}'\Omega(\varphi) \\ &= F(\varphi) \\ &= \tilde{F}\Omega(\varphi) \\ &= \tilde{F}[\varphi].\end{aligned}$$

□

*Remark.* One should view  $\Omega$  as some sort of “localization” functor. Indeed, recall that if  $S$  is a multiplicatively closed subset of a commutative ring  $A$  and  $\rho_S: A \rightarrow A_S$  is the canonical localization map, then the pair  $(A_S, \rho_S)$  satisfies the following universal mapping property: for every ring homomorphism  $\varphi: A \rightarrow B$  such that  $\varphi(S) \subseteq B^\times$ , there exists a unique ring homomorphism  $\tilde{\varphi}: A_S \rightarrow B$  such that  $\tilde{\varphi}\rho_S = \varphi$ .

**Theorem 6.13.** *Let  $\tilde{\mathbb{P}}: \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$  be the functor which takes an  $R$ -complex  $A$  to the  $R$ -complex  $P(A)$  and which takes a homotopy class  $[\varphi]$  of the chain map  $\varphi: A \rightarrow B$  to the homotopy class  $[P(\varphi)]$  of the chain map  $P(\varphi): P(A) \rightarrow P(B)$ . Then  $\tilde{\mathbb{P}}$  is a well-defined  $R$ -linear functor.*

*Proof.* Note that  $\mathbb{P}$  takes homotopic chain maps to equal maps. Thus we may apply Proposition (6.11) to  $\mathbb{P}: \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  (where  $\mathcal{C} = \mathbf{HComp}_R$ ) to get  $\tilde{\mathbb{P}}: \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$ . □

### 6.6.2 Semiinjective Version

For every  $R$ -complex  $A$  we fix a semiinjective resolution  $A \xrightarrow{\varepsilon_A} E_R(A)$  and for every chain map  $\varphi: A \rightarrow B$  we fix a homotopic lift  $E_R(\varphi): E_R(A) \rightarrow E_R(B)$  of  $\varepsilon_B \varphi$  with respect to  $\varepsilon_A$ . If the ring  $R$  is clear from context, then we write  $E(A)$  and  $E(\varphi)$  rather than  $E_R(A)$  and  $E_R(\varphi)$  in order to simplify notation.

Just like in the semiprojective case, we will denote we obtain a well-defined  $R$ -linear covariant functor  $\mathbb{E}: \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $A$  to the  $R$ -complex  $E(A)$  and which takes a chain map  $\varphi: A \rightarrow B$  to the homotopy class  $[E(\varphi)]$  of the chain map  $E(\varphi): E(A) \rightarrow E(B)$ . Similarly, we obtain a well-defined  $R$ -linear covariant functor  $\tilde{\mathbb{E}}: \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $A$  to the  $R$ -complex  $E(A)$  and which takes the homotopy class  $[\varphi]$  of a chain map  $\varphi: A \rightarrow B$  to the homotopy class  $[E(\varphi)]$  of the chain map  $E(\varphi): E(A) \rightarrow E(B)$ .

### 6.6.3 Covariant Hom

**Theorem 6.14.** *Let  $A$  be an  $R$ -complex. Then the following are well-defined  $R$ -linear functors*

1.  $\mathbb{H}om_R^*(A, -): \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $B$  to the  $R$ -complex  $\mathbb{H}om_R^*(A, B)$  and which takes a chain map  $\varphi: B \rightarrow B'$  to the homotopy class  $[\mathbb{H}om_R^*(A, \varphi)]$  of the chain map  $\mathbb{H}om_R^*(A, \varphi): \mathbb{H}om_R^*(A, B) \rightarrow \mathbb{H}om_R^*(A, B')$ .
2.  $\tilde{\mathbb{H}om}_R^*(A, -): \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $B$  to the  $R$ -complex  $\mathbb{H}om_R^*(A, B)$  and which takes a homotopy class  $[\varphi]$  of a chain map  $\varphi: B \rightarrow B'$  to the homotopy class  $[\mathbb{H}om_R^*(A, \varphi)]$  of the chain map  $\mathbb{H}om_R^*(A, \varphi): \mathbb{H}om_R^*(A, B) \rightarrow \mathbb{H}om_R^*(A, B')$ .

*Proof.* 1. Observe that  $\mathbb{H}om_R^*(A, -) = \Omega \mathbb{H}om_R^*(A, -)$ . The composition of two  $R$ -linear covariant functors is a well-defined  $R$ -linear covariant functor.

2. Observe that  $\mathbb{H}om_R^*(A, -)$  takes homotopic maps to equal maps. Indeed, if  $\varphi: B \rightarrow B'$  and  $\psi: B \rightarrow B'$  are two chain maps such that  $\varphi \sim \psi$ , then  $\mathbb{H}om_R^*(A, \varphi) \sim \mathbb{H}om_R^*(A, \psi)$ . Therefore  $[\mathbb{H}om_R^*(A, \varphi)] = [\mathbb{H}om_R^*(A, \psi)]$ . Thus we may apply the universal mapping property in Proposition (6.11) to  $\mathbb{H}om_R^*(A, -): \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  (where  $\mathcal{C} = \mathbf{HComp}_R$ ) to get  $\tilde{\mathbb{H}om}_R^*(A, -): \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$ .  $\square$

### 6.6.4 Contravariant Hom

**Theorem 6.15.** *Let  $B$  be an  $R$ -complex. Then the following are well-defined  $R$ -linear functors*

1.  $\mathbb{H}om_R^*(-, B): \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $A$  to the  $R$ -complex  $\mathbb{H}om_R^*(A, B)$  and which takes a chain map  $\varphi: A \rightarrow A'$  to the homotopy class  $[\mathbb{H}om_R^*(\varphi, B)]$  of the chain map  $\mathbb{H}om_R^*(\varphi, B): \mathbb{H}om_R^*(A', B) \rightarrow \mathbb{H}om_R^*(A, B)$ .
2.  $\tilde{\mathbb{H}om}_R^*(-, B): \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $A$  to the  $R$ -complex  $\mathbb{H}om_R^*(A, B)$  and which takes a homotopy class  $[\varphi]$  of a chain map  $\varphi: A \rightarrow A'$  to the homotopy class  $[\mathbb{H}om_R^*(\varphi, B)]$  of the chain map  $\mathbb{H}om_R^*(\varphi, B): \mathbb{H}om_R^*(A, B) \rightarrow \mathbb{H}om_R^*(A', B)$ .

*Proof.* Proof is similar to the proof of Theorem (6.18).  $\square$

### 6.6.5 Tensor Product

**Theorem 6.16.** *Let  $A$  be an  $R$ -complex. Then the following are well-defined  $R$ -linear functors*

1.  $A \otimes_R -: \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $B$  to the  $R$ -complex  $A \otimes_R B$  and which takes a chain map  $\varphi: B \rightarrow B'$  to the homotopy class  $[A \otimes_R \varphi]$  of the chain map  $A \otimes_R \varphi: A \otimes_R B \rightarrow A \otimes_R B'$ .
2.  $\tilde{A \otimes_R -: \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $B$  to the  $R$ -complex  $A \otimes_R B$  and which takes the homotopy class  $[\varphi]$  of a chain map  $\varphi: B \rightarrow B'$  to the homotopy class  $[A \otimes_R \varphi]$  of the chain map  $A \otimes_R \varphi: A \otimes_R B \rightarrow A \otimes_R B'$ .

**Theorem 6.17.** *Let  $B$  be an  $R$ -complex. Then the following are well-defined  $R$ -linear functors*

1.  $- \otimes_R B: \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $A$  to the  $R$ -complex  $A \otimes_R B$  and which takes a chain map  $\varphi: A \rightarrow A'$  to the homotopy class  $[\varphi \otimes_R B]$  of the chain map  $\varphi \otimes_R B: A \otimes_R B \rightarrow A' \otimes_R B$ .
2.  $\tilde{- \otimes_R B: \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $A$  to the  $R$ -complex  $A \otimes_R B$  and which takes the homotopy class  $[\varphi]$  of a chain map  $\varphi: A \rightarrow A'$  to the homotopy class  $[\varphi \otimes_R B]$  of the chain map  $\varphi \otimes_R B: A \otimes_R B \rightarrow A' \otimes_R B$ .

*Remark.* (commutativity) Let  $A$  be an  $R$ -complex. Then  $A \underline{\otimes}_R -$  is naturally isomorphic to  $-\underline{\otimes}_R A$ . Indeed, we have

$$\begin{aligned} A \underline{\otimes}_R - &= \Omega(A \otimes_R -) \\ &\cong \Omega(- \otimes_R A) \\ &= - \underline{\otimes}_R A, \end{aligned}$$

where the isomorphism at the second line is natural (as shown earlier). Note that this also implies  $A \widetilde{\underline{\otimes}}_R -$  is naturally isomorphic to  $-\widetilde{\underline{\otimes}}_R A$ .

### 6.6.6 Natural Transformation of Functors

**Proposition 6.12.** *Let  $A$  be an  $R$ -complex. The natural chain maps*

$$P(A) \xrightarrow[\simeq]{\tau_A} A \xrightarrow[\simeq]{\varepsilon_A} E(A)$$

*induce the following natural transformations*

1.  $\mathbb{P} \xrightarrow{[\tau]} \Omega \xrightarrow{[\varepsilon]} \mathbb{E}$  of functors from  $\mathbf{Comp}_R$  to  $\mathbf{HComp}_R$ .
2.  $\widetilde{\mathbb{P}} \xrightarrow{[\tau]} \text{id} \xrightarrow{[\varepsilon]} \widetilde{\mathbb{E}}$  of functors from  $\mathbf{HComp}_R$  to  $\mathbf{HComp}_R$ .

*Proof.* We focus  $\Omega \xrightarrow{[\varepsilon]} \mathbb{E}$  and  $\text{id} \xrightarrow{[\varepsilon]} \widetilde{\mathbb{E}}$  since the proof that the other maps are natural transformations is a similar argument. We first consider  $\Omega \xrightarrow{[\varepsilon]} \mathbb{E}$ . We need to check that for every chain map  $\varphi: A \rightarrow B$ , the following diagram commutes in  $\mathbf{HComp}_R$ :

$$\begin{array}{ccc} A & \xrightarrow{[\varepsilon_A]} & E(A) \\ [\varphi] \downarrow & & \downarrow [E(\varphi)] \\ B & \xrightarrow{[\varepsilon_B]} & E(B) \end{array}$$

This is clear however since  $E(\varphi)$  is a homotopic lift of  $\varepsilon_B \varphi$  with respect to  $\varepsilon_A$ . Thus  $\varepsilon_B \varphi \sim E(\varphi) \varepsilon_A$ , which implies

$$\begin{aligned} [\varepsilon_B][\varphi] &= [\varepsilon_B \varphi] \\ &= [E(\varphi) \varepsilon_A] \\ &= [E(\varphi)][\varepsilon_A]. \end{aligned}$$

Now we consider  $\text{id} \xrightarrow{[\varepsilon]} \widetilde{\mathbb{E}}$ . We need to check that for every homotopy class  $[\varphi]$  of a chain map  $\varphi: A \rightarrow B$ , the following diagram commutes in  $\mathbf{HComp}_R$ :

$$\begin{array}{ccc} A & \xrightarrow{[\varepsilon_A]} & E(A) \\ [\varphi] \downarrow & & \downarrow [E(\varphi)] \\ B & \xrightarrow{[\varepsilon_B]} & E(B) \end{array}$$

This was done above. □

**Theorem 6.18.** *Let  $A$  be an  $R$ -complex. Then the following are well-defined  $R$ -linear functors*

1.  $\mathbb{H}\text{om}_R^*(A, -): \mathbf{Comp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $B$  to the  $R$ -complex  $\text{Hom}_R^*(A, B)$  and which takes a chain map  $\varphi: B \rightarrow B'$  to the homotopy class  $[\text{Hom}_R^*(A, \varphi)]$  of the chain map  $\text{Hom}_R^*(A, \varphi): \text{Hom}_R^*(A, B) \rightarrow \text{Hom}_R^*(A, B')$ .
2.  $\widetilde{\mathbb{H}}\text{om}_R^*(A, -): \mathbf{HComp}_R \rightarrow \mathbf{HComp}_R$  which takes an  $R$ -complex  $B$  to the  $R$ -complex  $\text{Hom}_R^*(A, B)$  and which takes a homotopy class  $[\varphi]$  of a chain map  $\varphi: B \rightarrow B'$  to the homotopy class  $[\text{Hom}_R^*(A, \varphi)]$  of the chain map  $\text{Hom}_R^*(A, \varphi): \text{Hom}_R^*(A, B) \rightarrow \text{Hom}_R^*(A, B')$ .

## 6.7 Triangulated Categories

Exact sequences are useful for studying modules and complexes, but these are poorly behaved in  $\mathbf{HComp}_R$ . For instance, the natural chain  $0 \xrightarrow{\sim} \mathcal{K}(1)$  is a quasiisomorphism between semiprojective complexes and so thus must be a homotopy equivalence. Thus  $\mathcal{K}(1)$  is isomorphic to 0 in the  $\mathbf{HComp}_R$ . Now the 0 complex fits into a really silly exact sequence, namely  $0 \rightarrow 0 \rightarrow 0$ , but it is not clear whether the sequence  $0 \rightarrow \mathcal{K}(1) \rightarrow 0$  should be exact. To solve this, Grothendieck and Verdier introduced the notion of a **triangulated category**, where instead of considering exact sequences, one considers **distinguished triangles**.

### 6.7.1 Basic Definitions

**Definition 6.13.** Let  $\mathcal{C}$  be an  $R$ -linear category.

1. A **shift functor** (or **translation functor**) on  $\mathcal{C}$  is an  $R$ -linear functor  $\Sigma: \mathcal{C} \rightarrow \mathcal{C}$  with a 2-sided inverse  $\Sigma^{-1}: \mathcal{C} \rightarrow \mathcal{C}$ . Sometimes  $\Sigma A$  will be denoted  $A[1]$ . More generally,  $\Sigma^n A = A[n]$ . Note that  $\Sigma^0 = 1_{\mathcal{C}}$ .
2. A **triangle** in  $\mathcal{C}$  is a diagram of the form

$$A \xrightarrow{\alpha} B \xrightarrow{\beta} C \xrightarrow{\gamma} \Sigma A \quad (56)$$

of morphisms in  $\mathcal{C}$ . Sometimes we call these **pretriangles** or **candidate triangles**. We shall use the shorthand notation  $(A, B, C)_{(\alpha, \beta, \gamma)}$  to denote the triangle (??).

3. A **morphism** of triangles in  $\mathcal{C}$  is a commutative diagram in  $\mathcal{C}$  of the form

$$\begin{array}{ccccccc} A & \xrightarrow{\alpha} & B & \xrightarrow{\beta} & C & \xrightarrow{\gamma} & \Sigma A \\ \downarrow f & & \downarrow g & & \downarrow h & & \downarrow \Sigma f \\ A' & \xrightarrow{\alpha'} & B' & \xrightarrow{\beta'} & C' & \xrightarrow{\gamma'} & \Sigma A' \end{array}$$

Such a morphism is called an **isomorphism** if  $f, g, h$  are all isomorphisms, that is, the morphism has a 2-sided inverse.

### 6.7.2 Triangulated Category

**Definition 6.14.** A **triangulated  $R$ -linear category** is an  $R$ -linear category  $\mathcal{C}$  equipped with a shift functor  $\Sigma$  and a class of triangles called **distinguished triangles** (or **exact triangles**) such that the following axioms are satisfied.

1. For all objects  $A$  in  $\mathcal{C}$ , the triangle  $A \xrightarrow{1_A} A \rightarrow 0 \rightarrow \Sigma A$  is distinguished.
2. For every morphism  $\alpha: A \rightarrow B$ , there exists a distinguished triangle  $A \xrightarrow{\alpha} B \rightarrow C \rightarrow \Sigma A$ . In this case we call  $C$  a **cone** of  $\alpha$  (or a **cofiber** of  $\alpha$ ).
3. Given an isomorphism of triangles

## 7 Special Complexes

### 7.1 Taylor Resolution

Throughout this subsection, let  $\underline{m} = m_1, \dots, m_r$  be monomials in  $R = K[x_1, \dots, x_n]$ . For each subset  $\sigma$  of  $\{1, \dots, r\}$  we set  $m_\sigma := \text{lcm}(m_\lambda \mid \lambda \in \sigma)$ . Let  $a_\sigma \in \mathbb{N}^n$  be the exponent vector of  $m_\sigma$  and let  $R(-a_\sigma)$  be the free  $R$ -module with one generator in multidegree  $a_\sigma$ . The **Taylor resolution** of  $R/\langle \underline{m} \rangle$  is the  $R$ -complex  $(\mathcal{T}(\underline{m}), d^{\mathcal{T}(\underline{m})})$  whose graded  $R$ -module  $\mathcal{T}(\underline{m})$  has

$$\mathcal{T}_i(\underline{m}) := \begin{cases} \bigoplus_{\sigma \in S_i[n]} R e_\sigma & \text{if } 0 \leq i \leq n \\ 0 & \text{if } i > n \text{ or if } i < 0. \end{cases}$$

as its  $i$ th homogeneous component, and whose differential  $d^{\mathcal{T}(\underline{m})}$  is uniquely determined by

$$d^{\mathcal{T}(\underline{m})}(e_\sigma) = \sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle \frac{m_\sigma}{m_{\sigma \setminus \lambda}} e_{\sigma \setminus \lambda}$$



for all nonempty  $\sigma \subseteq [n]$ .

*Remark.* We need to check that the differential defined above really is a differential. Denote  $d := d^{\mathcal{T}(\underline{m})}$  and let  $\sigma \subseteq [n]$ . Then

$$\begin{aligned} d^2(e_\sigma) &= d(d(e_\sigma)) \\ &= d\left(\sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle \frac{m_\sigma}{m_{\sigma \setminus \lambda}} e_{\sigma \setminus \lambda}\right) \\ &= \sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle \frac{m_\sigma}{m_{\sigma \setminus \lambda}} d(e_{\sigma \setminus \lambda}) \\ &= \sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle \frac{m_\sigma}{m_{\sigma \setminus \lambda}} \sum_{\mu \in \sigma \setminus \lambda} \langle \mu, \sigma \setminus \{\lambda, \mu\} \rangle \frac{m_{\sigma \setminus \lambda}}{m_{\sigma \setminus \{\lambda, \mu\}}} d(e_{\sigma \setminus \{\lambda, \mu\}}) \\ &= \sum_{\substack{\lambda, \mu \in \sigma \\ \lambda \neq \mu}} \langle \lambda, \sigma \setminus \lambda \rangle \langle \mu, \sigma \setminus \{\lambda, \mu\} \rangle \frac{m_\sigma}{m_{\sigma \setminus \{\lambda, \mu\}}} d(e_{\sigma \setminus \{\lambda, \mu\}}) \\ &= 0, \end{aligned}$$

where the last part follows from symmetry in  $\mu$  and  $\lambda$  and

$$\begin{aligned} \langle \lambda, \sigma \setminus \lambda \rangle \langle \mu, \sigma \setminus \{\lambda, \mu\} \rangle &= \langle \lambda, \sigma \setminus \lambda \rangle \langle \mu, \sigma \setminus \{\lambda, \mu\} \rangle \\ &= \langle \lambda, \sigma \setminus \lambda \rangle \langle \mu, \sigma \setminus \lambda \rangle \langle \mu, \lambda \rangle \\ &= -\langle \lambda, \sigma \setminus \lambda \rangle \langle \lambda, \mu \rangle \langle \mu, \sigma \setminus \lambda \rangle \\ &= -\langle \lambda, \sigma \setminus \{\mu, \lambda\} \rangle \langle \mu, \sigma \setminus \lambda \rangle \\ &= -\langle \mu, \sigma \setminus \mu \rangle \langle \lambda, \sigma \setminus \{\mu, \lambda\} \rangle. \end{aligned}$$

### 7.1.1 Taylor Resolution as $\mathbb{N}^n$ -Graded $k$ -Algebra

The Taylor resolution has an extra graded structure present which is not necessarily shared by the Koszul complex. The underlying graded  $R$ -module  $\mathcal{T}(\underline{m})$  has an  $\mathbb{N}^n$ -graded  $K$ -module structure. Indeed, for  $\mathbf{b} \in \mathbb{N}^n$ , the  $\mathbf{b}$ th homogeneous component of is given by

$$\mathcal{T}_{\mathbf{b}}(\underline{m}) = \bigoplus_{m_\sigma | \mathbf{x}^{\mathbf{b}}} K \cdot \frac{\mathbf{x}^{\mathbf{b}}}{m_\sigma} e_\sigma.$$

Moreover, the differential is an  $\mathbb{N}^n$ -graded  $K$ -endomorphism (of degree 0): For any  $\sigma \subseteq [n]$  such that  $m_\sigma | \mathbf{x}^{\mathbf{b}}$ , we have

$$\begin{aligned} d^{\mathcal{T}(\underline{m})} \left( \frac{\mathbf{x}^{\mathbf{b}}}{m_\sigma} e_\sigma \right) &= \frac{\mathbf{x}^{\mathbf{b}}}{m_\sigma} d^{\mathcal{T}(\underline{m})}(e_\sigma) \\ &= \frac{\mathbf{x}^{\mathbf{b}}}{m_\sigma} \sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle \frac{m_\sigma}{m_{\sigma \setminus \lambda}} e_{\sigma \setminus \lambda} \\ &= \sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle \frac{\mathbf{x}^{\mathbf{b}}}{m_{\sigma \setminus \lambda}} e_{\sigma \setminus \lambda} \\ &\in \mathcal{T}_{\mathbf{b}}(\underline{m}). \end{aligned}$$

In particular,  $\ker(d^{\mathcal{T}(\underline{m})})$  and  $\text{im}(d^{\mathcal{T}(\underline{m})})$  have induced  $\mathbb{N}^n$ -graded  $K$ -module structures and hence  $H(\mathcal{T}(\underline{m}))$  has an induced  $\mathbb{N}^n$ -graded  $K$ -module structure: For  $\mathbf{b} \in \mathbb{N}^n$ , the  $\mathbf{b}$ th homogeneous component of  $H(\mathcal{T}(\underline{m}))$  is

**Proposition 7.1.** *The Taylor complex is a free resolution of  $R/I$ .*

*Proof.* It suffices to show  $H_{\mathbf{b}}(\mathcal{T}(\underline{m})) \cong 0$  for all  $\mathbf{b} \in \mathbb{N}^n \setminus \{0\}$ . Observe that the simplicial complex

$$\Delta[\mathbf{x}^{\mathbf{b}}] := \{\sigma \subseteq [n] \mid m_\sigma \text{ divides } \mathbf{x}^{\mathbf{b}}\}$$

□

$$H_{\mathbf{b}}(\mathcal{T}(\underline{m})) = \frac{\ker_{\mathbf{b}}(d^{\mathcal{T}(\underline{m})})}{\text{im}_{\mathbf{b}}(d^{\mathcal{T}(\underline{m})})}.$$



### 7.1.2 The $K$ -Complex in Degree $\mathbf{b}$

Let  $\mathbf{b} \in \mathbb{N}^n$ . The complex  $(\mathcal{T}_{\mathbf{b}}(\underline{m}), d^{\mathcal{T}_{\mathbf{b}}(\underline{m})})$  is the  $K$ -complex whose underlying graded  $K$ -module has

$$\mathcal{T}_{i,\mathbf{b}}(\underline{m}) = \bigoplus_{\substack{m_\sigma | \mathbf{x}^{\mathbf{b}} \\ \sigma \in S_i(n)}} K \cdot \frac{\mathbf{x}^{\mathbf{b}}}{m_\sigma} e_\sigma$$

as its  $i$ th homogeneous and whose differential is the unique differential such that

$$d^{\mathcal{T}_{\mathbf{b}}(\underline{m})} \left( \frac{\mathbf{x}^{\mathbf{b}}}{m_\sigma} e_\sigma \right) = \sum_{\lambda \in \sigma} \langle \lambda, \sigma \setminus \lambda \rangle \frac{\mathbf{x}^{\mathbf{b}}}{m_{\sigma \setminus \lambda}} e_{\sigma \setminus \lambda}.$$

### 7.1.3 Taylor Complex is a Free Resolution

In this section, we want to show that the Taylor complex defined above is a free resolution of  $R/I$ . We do this by induction on  $n$ . The case  $n = 1$  is trivial. A

### 7.1.4 Taylor Complex as a DG Algebra

**Proposition 7.2.** *Let  $I = \langle m_1, \dots, m_r \rangle$  be a monomial ideal in  $R = K[x_1, \dots, x_n]$ . The Taylor resolution  $(\mathcal{T}(\underline{m}), d^{\mathcal{T}(\underline{m})})$  is a DG algebra, with multiplication being uniquely determined on elementary tensors: for  $\sigma, \tau \subseteq [n]$ , we map  $e_\sigma \otimes e_\tau \mapsto e_\sigma e_\tau$ , where*

$$e_\sigma e_\tau = \begin{cases} \langle \sigma, \tau \rangle \frac{m_\sigma m_\tau}{m_{\sigma \cup \tau}} e_{\sigma \cup \tau} & \text{if } \sigma \cap \tau = \emptyset \\ 0 & \text{if } \sigma \cap \tau \neq \emptyset \end{cases} \quad (57)$$

*Proof.* Throughout this proof, denote  $d := d^{\mathcal{T}(\underline{m})}$ . We first note that  $e_\emptyset$  serves as the identity for the multiplication rule (??). Indeed, let  $\sigma \subseteq [n]$ . Then since  $\sigma \cap \emptyset = \emptyset$ , we have

$$e_\sigma e_\emptyset = e_\sigma = e_\emptyset e_\sigma.$$

Moreover, multiplication by  $e_\emptyset$  and  $e_\sigma$  given in (??) satisfies Leibniz law:

$$\begin{aligned} d(e_\sigma) e_\emptyset - e_\sigma d(e_\emptyset) &= d(e_\sigma) e_\emptyset \\ &= d(e_\sigma) \\ &= d(e_\sigma e_\emptyset), \end{aligned}$$

and similarly

$$\begin{aligned} d(e_\emptyset) e_\sigma + e_\emptyset d(e_\sigma) &= e_\emptyset d(e_\sigma) \\ &= d(e_\sigma) \\ &= d(e_\emptyset e_\sigma), \end{aligned}$$

Next, let  $\lambda \in [n]$ . Suppose  $\tau \subseteq [n]$  and  $\lambda \notin \tau$ . Then

$$\begin{aligned}
d(e_\lambda)e_\tau - e_\lambda d(e_\tau) &= m_\lambda e_\tau - e_\lambda \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \frac{m_\tau}{m_{\tau \setminus \mu}} e_{\tau \setminus \mu} \\
&= m_\lambda e_\tau - \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \frac{m_\tau}{m_{\tau \setminus \mu}} e_\lambda e_{\tau \setminus \mu} \\
&= m_\lambda e_\tau - \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \langle \lambda, \tau \setminus \mu \rangle \frac{m_\tau}{m_{\tau \setminus \mu}} \frac{m_\lambda m_{\tau \setminus \mu}}{m_{\tau \setminus \mu \cup \lambda}} e_{\tau \setminus \mu \cup \lambda} \\
&= m_\lambda e_\tau - \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \langle \lambda, \tau \rangle \langle \lambda, \mu \rangle \frac{m_\lambda m_\tau}{m_{\tau \setminus \mu \cup \lambda}} e_{\tau \setminus \mu \cup \lambda} \\
&= m_\lambda e_\tau + \sum_{\mu \in \tau} \langle \lambda, \tau \rangle \langle \mu, \tau \setminus \mu \rangle \langle \mu, \lambda \rangle \frac{m_\lambda m_\tau}{m_{\tau \setminus \mu \cup \lambda}} e_{\tau \setminus \mu \cup \lambda} \\
&= m_\lambda e_\tau + \sum_{\mu \in \tau} \langle \lambda, \tau \rangle \langle \mu, \tau \setminus \mu \cup \lambda \rangle \frac{m_\lambda m_\tau}{m_{\tau \setminus \mu \cup \lambda}} e_{\tau \setminus \mu \cup \lambda} \\
&= \langle \lambda, \tau \rangle \left( \langle \lambda, \tau \rangle m_\lambda e_\tau + \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \cup \lambda \rangle \frac{m_\lambda m_\tau}{m_{\tau \setminus \mu \cup \lambda}} e_{\tau \setminus \mu \cup \lambda} \right) \\
&= \langle \lambda, \tau \rangle \sum_{\mu \in \tau \cup \lambda} \langle \mu, \tau \setminus \mu \cup \lambda \rangle \frac{m_\lambda m_\tau}{m_{\tau \setminus \mu \cup \lambda}} e_{\tau \setminus \mu \cup \lambda} \\
&= \langle \lambda, \tau \rangle \frac{m_\lambda m_\tau}{m_{\tau \cup \lambda}} \sum_{\mu \in \tau \cup \lambda} \langle \mu, \tau \setminus \mu \cup \lambda \rangle \frac{m_{\tau \cup \lambda}}{m_{\tau \setminus \mu \cup \lambda}} e_{\tau \setminus \mu \cup \lambda} \\
&= \langle \lambda, \tau \rangle \frac{m_\lambda m_\tau}{m_{\tau \cup \lambda}} d(e_{\tau \cup \lambda}) \\
&= d(e_\lambda e_\tau),
\end{aligned}$$

Next suppose  $\tau \subseteq [n]$  and  $\lambda \in \tau$ . Then

$$\begin{aligned}
d(e_\lambda)e_\tau - e_\lambda d(e_\tau) &= m_\lambda e_\tau - e_\lambda \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \frac{m_\tau}{m_{\tau \setminus \mu}} e_{\tau \setminus \mu} \\
&= m_\lambda e_\tau - \sum_{\mu \in \tau} \langle \mu, \tau \setminus \mu \rangle \frac{m_\tau}{m_{\tau \setminus \mu}} e_\lambda e_{\tau \setminus \mu} \\
&= m_\lambda e_\tau - \langle \lambda, \tau \setminus \lambda \rangle \langle \lambda, \tau \setminus \lambda \rangle \frac{m_\tau}{m_{\tau \setminus \lambda}} \frac{m_\lambda m_{\tau \setminus \lambda}}{m_\tau} e_\tau \\
&= m_\lambda e_\tau - m_\lambda e_\tau \\
&= 0 \\
&= d(0) \\
&= d(e_\lambda e_\tau).
\end{aligned}$$

Thus we have shown (??) satisfies the Leibniz law for all pairs  $(\lambda, \tau)$  where  $\lambda \in [n]$  and  $\tau \subseteq [n]$ . We prove by induction on  $|\sigma| = i \geq 1$  that (??) satisfies the Leibniz law for all pairs  $(\sigma, \tau)$  where  $\sigma, \tau \subseteq [n]$ . The base case  $i = 1$  was just shown. Now suppose we have shown (??) satisfies the Leibniz law for all pairs  $(\sigma, \tau)$  where  $\sigma, \tau \subseteq [n]$  such that  $|\sigma| = i < n$ . Let  $\sigma, \tau \subseteq [n]$  such that  $|\sigma| = i + 1$ . Choose  $\lambda \in \sigma$ . Then

$$\begin{aligned}
\frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma} d(e_\sigma e_\tau) &= d\left(\frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma} e_\sigma e_\tau\right) \\
&= d(e_\lambda e_{\sigma \setminus \lambda} e_\tau) \\
&= m_\lambda e_{\sigma \setminus \lambda} e_\tau - e_\lambda d(e_{\sigma \setminus \lambda} e_\tau) \\
&= m_\lambda e_{\sigma \setminus \lambda} e_\tau - e_\lambda (d(e_{\sigma \setminus \lambda}) e_\tau + (-1)^{|\sigma|-1} e_{\sigma \setminus \lambda} d(e_\tau)) \\
&= (m_\lambda e_{\sigma \setminus \lambda} - e_\lambda d(e_{\sigma \setminus \lambda})) e_\tau + (-1)^{|\sigma|} \frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma} e_\sigma d(e_\tau) \\
&= d(e_\lambda e_{\sigma \setminus \lambda}) e_\tau + (-1)^{|\sigma|} \frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma} e_\sigma d(e_\tau) \\
&= d\left(\frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma} e_\sigma\right) e_\tau + (-1)^{|\sigma|+1} \frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma} e_\sigma d(e_\tau), \\
&= \frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma} \left( d(e_\sigma) e_\tau + (-1)^{|\sigma|+1} e_\sigma d(e_\tau) \right)
\end{aligned}$$

where we used the base case on the pairs  $(e_\lambda, e_{\sigma \setminus \lambda} e_\tau)$ <sup>7</sup> and  $(e_\lambda, e_{\sigma \setminus \lambda})$  and where we used the induction hypothesis on the pair  $(e_{\sigma \setminus \lambda}, e_\tau)$ . and where we used the base case on the pair  $(e_\lambda, e_{\sigma \setminus \lambda})$ . Canceling  $\frac{m_\lambda m_{\sigma \setminus \lambda}}{m_\sigma}$  on both sides completes the proof.  $\square$

**Lemma 7.1.** (DG Algebra Criterion) Let  $(A, d)$  be an  $R$ -complex such that  $A$  is an associative and unital graded  $R$ -algebra. Let  $G$  be a set of generators for the graded  $R$ -algebra  $A$ . Suppose the Leibniz law is true for all pairs  $(a, b)$  where  $a, b \in G$  such that  $\deg(a) = 1$ . Further suppose that each  $a \in G$  is divisible by some  $a_1 \in G$  such that  $\deg(a_1) = 1$ . Then  $(A, d)$  is a DG algebra.

*Proof.* It suffices to check that the Leibniz law holds for all pairs  $(a, b)$  where  $a, b \in G$ . Indeed, if  $x \in A_k$  and  $y \in A_l$  and

$$x = \sum_i r_i a_i \quad \text{and} \quad y = \sum_j s_j b_j,$$

then

$$\begin{aligned} d(xy) &= d\left(\sum_i r_i a_i \sum_j s_j b_j\right) \\ &= \sum_i \sum_j r_i s_j d(a_i b_j) \\ &= \sum_i \sum_j r_i s_j (d(a_i) b_j + (-1)^{\deg(a_i)} a_i d(b_j)) \\ &= \sum_i \sum_j r_i s_j d(a_i) b_j + \sum_i \sum_j r_i s_j (-1)^{\deg(a_i)} a_i d(b_j) \\ &= d\left(\sum_i r_i a_i\right) \sum_j s_j b_j + (-1)^{\deg(x)} \sum_i r_i a_i d\left(\sum_j s_j b_j\right) \\ &= d(x)y + (-1)^{\deg(x)} x d(y). \end{aligned}$$

First observe that the Leibniz law is satisfied for all pairs  $(1, a)$  where  $1 \in A$  is the identity and  $a \in A$ . Indeed, we have

$$\begin{aligned} d(1)a + 1d(a) &= 0 \cdot a + 1 \cdot d(a) \\ &= d(a) \\ &= d(1 \cdot a). \end{aligned}$$

Similarly, the Leibniz law is satisfied for all pairs  $(a, 1)$  where  $1 \in A$  is the identity and  $a \in A$ . Indeed, we have

$$\begin{aligned} d(a) \cdot 1 + (-1)^{\deg(a)} a d(1) &= d(a) + (-1)^{\deg(a)} a \cdot 0 \\ &= d(a) \\ &= d(a \cdot 1). \end{aligned}$$

Now we want to show that the Leibniz law holds for all pairs  $(a, b)$  where  $a, b \in A$  such that  $\deg(a) \geq 1$  by using induction on  $\deg(a)$ . The base case ( $\deg(a) = 1$ ) is the assumption in the lemma. Now assume that the Leibniz law is satisfied for all pairs  $(a, b)$  where  $\deg(a) = i \geq 1$ . Let  $a, b \in A$  such that  $\deg(a) = i + 1$ . Choose  $a_1 \in A_1$  such that  $a_1 | a$ . Then  $a = a_1 a_i$ , for some  $a_i \in A_i$ . Then

$$\begin{aligned} d(ab) &= d(a_1 a_i b) \\ &= d(a_1) a_i b - a_1 d(a_i b) \\ &= d(a_1) a_i b - a_1 (d(a_i) b + (-1)^i a_i d(b)) \\ &= d(a_1) a_i b - a_1 d(a_i) b + (-1)^{i+1} a_1 a_i d(b) \\ &= (d(a_1) a_i - a_1 d(a_i)) b + (-1)^{i+1} a_1 a_i d(b) \\ &= d(a_1 a_i) b + (-1)^{i+1} a_1 a_i d(b), \\ &= d(a) b + (-1)^{i+1} a d(b). \end{aligned}$$

$\square$

### 7.1.5 Taylor Complex is a Free Resolution

In this section, we want to show that the Taylor complex defined above is a free resolution of  $R/I$ . We do this by induction on  $r$ . The case  $r = 1$  being trivial. Let  $\underline{m}' = m_2, \dots, m_r$ . By induction,  $\mathcal{T}(\underline{m})$  is a free resolution of  $R/\langle \underline{m}' \rangle$ .

<sup>7</sup>If  $e_{\sigma \setminus \lambda} e_\tau = 0$ , then obviously Leibniz law holds for the pair  $(e_\lambda, e_{\sigma \setminus \lambda} e_\tau)$ .

## 7.2 Generalizing Taylor Complex

Let  $R$  and  $S$  be rings such that  $R \subset S$ . Let  $(A, d)$  be an  $S$ -complex. Suppose  $A$  is an  $\mathbb{N}^n$ -graded  $R$ -module and  $d$  is homogeneous with respect to the  $\mathbb{N}^n$ -grading. Then for each  $\alpha \in \mathbb{N}^n$  we obtain an  $R$ -complex  $(A_\alpha, d_\alpha)$  whose graded  $R$ -module in degree  $i$  is  $A_{i,\alpha} := A_i \cap A_\alpha$  and whose differential  $d_\alpha := d|_{A_\alpha}$  is the restriction of  $d$  to  $A_\alpha$ . Moreover, we have

$$\begin{aligned} H(A, d) &:= \ker d / \operatorname{im} d \\ &= \left( \bigoplus_{\alpha \in \mathbb{N}^n} \ker d_\alpha \right) / \left( \bigoplus_{\alpha \in \mathbb{N}^n} \operatorname{im} d_\alpha \right) \\ &\cong \bigoplus_{\alpha \in \mathbb{N}^n} \ker d_\alpha / \operatorname{im} d_\alpha \\ &:= \bigoplus_{\alpha \in \mathbb{N}^n} H(A_\alpha, d_\alpha) \\ &\cong \bigoplus_{\alpha \in \mathbb{N}^n} \bigoplus_{i \in \mathbb{Z}} H_{i,\alpha}(A_\alpha, d_\alpha) \end{aligned}$$

## 8 Some Category Theory

### 8.1 Preadditive and Additive Categories

#### 8.1.1 Preadditive Categories

**Definition 8.1.** A category  $\mathcal{A}$  is called **preadditive** if each morphism set  $\operatorname{Mor}_{\mathcal{A}}(x, y)$  is endowed with the structure of an abelian group such that the compositions

$$\operatorname{Mor}(y, z) \times \operatorname{Mor}(x, y) \rightarrow \operatorname{Mor}(x, z)$$

are bilinear. A functor  $F: \mathcal{A} \rightarrow \mathcal{B}$  of preadditive categories is called **additive** if and only if

$$F: \operatorname{Mor}(x, y) \rightarrow \operatorname{Mor}(F(x), F(y))$$

is a homomorphism of abelian groups for all  $x, y \in \operatorname{Ob}(\mathcal{A})$ .

*Remark.* In particular for every  $x, y$  there exists at least one morphism  $x \rightarrow y$ , namely the zero map.

**Lemma 8.1.** Let  $\mathcal{A}$  be a preadditive category. Let  $x$  be an object of  $\mathcal{A}$ . The following are equivalent:

1.  $x$  is an initial object;
2.  $x$  is a final object;
3.  $\operatorname{id}_x = 0$  in  $\operatorname{Mor}(x, x)$ .

**Definition 8.2.** In a preadditive category  $\mathcal{A}$ , we call **zero object**, and denote it by  $0$  any final and initial object as in the Lemma above.

**Lemma 8.2.** Let  $\mathcal{A}$  be a preadditive category and let  $x, y \in \operatorname{Ob}(\mathcal{A})$ . If the product  $x \times y$  exists, then so does the coproduct  $x \amalg y$ . If the coproduct  $x \amalg y$  exists, then so does the product  $x \times y$ . In this case also  $x \amalg y \cong x \times y$ .

*Proof.* Suppose that  $z = x \times y$  with projections  $p: z \rightarrow x$  and  $q: z \rightarrow y$ . Denote  $i: x \rightarrow z$  the morphism corresponding to  $(1, 0)$ . Denote  $j: y \rightarrow z$  the morphism corresponding to  $(0, 1)$ . Thus we have a commutative diagram

$$\begin{array}{ccc} x & \xrightarrow{1} & x \\ & \searrow i & \nearrow p \\ & & z \\ & \nwarrow j & \searrow q \\ y & \xrightarrow{1} & y \end{array}$$

where the diagonal compositions are zero. It follows that  $i \circ p + j \circ q: z \rightarrow z$  is the identity since it is a morphism which upon composing  $p$  gives  $p$  and upon composing  $q$  gives  $q$ . Suppose given morphisms  $a: x \rightarrow w$  and  $b: y \rightarrow w$ . Then we can form the map  $a \circ p + b \circ q: z \rightarrow w$ . In this way we get a bijection  $\operatorname{Mor}(z, w) = \operatorname{Mor}(x, w) \times \operatorname{Mor}(y, w)$  which show that  $z = x \amalg y$ .  $\square$

**Definition 8.3.** Given a pair of objects  $x, y$  in a preadditive category  $\mathcal{A}$ , the **direct sum**  $x \oplus y$  of  $x$  and  $y$  is the direct product  $x \times y$  endowed with the morphisms  $i, j, p, q$  as in Lemma (8.2).

**Lemma 8.3.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be preadditive categories. Let  $F: \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor. Then  $F$  transforms direct sums to direct sums and zero to zero.

*Proof.* A direct sum  $z$  of  $x$  and  $y$  is characterized by having morphisms  $i: x \rightarrow z$ ,  $j: y \rightarrow z$ ,  $p: z \rightarrow x$ , and  $q: z \rightarrow y$  such that  $p \circ i = 1_x$ ,  $q \circ j = 1_y$ ,  $p \circ j = 0$ ,  $q \circ i = 0$ , and  $i \circ p + j \circ q = 1_z$ . Clearly  $F(x)$ ,  $F(y)$ ,  $F(z)$  and the morphisms  $F(i)$ ,  $F(j)$ ,  $F(p)$ ,  $F(q)$  satisfy exactly the same relations (by additivity) and we see that  $F(z)$  is a direct sum of  $F(x)$  and  $F(y)$ . Hence,  $F$  transforms direct sums to direct sums.  $\square$

### 8.1.2 Additive Category

**Definition 8.4.** A category  $\mathcal{A}$  is called **additive** if it is preadditive and finite products exist. In other words, it has a zero object and direct sums.

**Definition 8.5.** Let  $\mathcal{A}$  be a preadditive category and let  $f: x \rightarrow y$  be a morphism.

1. A **kernel** of  $f$  is an equalizer of  $f: x \rightarrow y$  and  $0: x \rightarrow y$ . If it exists, then it is unique up to unique isomorphism. With this in mind, we talk about *the* kernel of  $f$  and denote it by  $\iota: \ker f \rightarrow x$ . Thus we have  $f\iota = 0$  and if  $\iota': z \rightarrow x$  is an other morphism such that  $f\iota' = 0$ , then there exists a unique morphism  $g: z \rightarrow \ker f$  such that  $\iota' = \iota g$ .
2. A **cokernel** of  $f$  is a coequalizer of  $f: x \rightarrow y$  and  $0: x \rightarrow y$ . If it exists, then it is unique up to unique isomorphism. With this in mind, we talk about *the* cokernel of  $f$  and denote it by  $\pi: y \rightarrow \operatorname{coker} f$ . Thus we have  $\pi f = 0$  and if  $\pi': y \rightarrow z$  is an other morphism such that  $\pi' f = 0$ , then there exists a unique morphism  $g: \operatorname{coker} f \rightarrow z$  such that  $\pi' = g\pi$ .
3. If a kernel of  $f$  exists, then a **coimage** of  $f$  is a cokernel of the morphism  $\ker f \rightarrow x$ . If it exists, then it is unique up to unique isomorphism. With this in mind, we talk about *the* coimage of  $f$  and denote it by  $x \rightarrow \operatorname{coim} f$ .
4. If a cokernel of  $f$  exists, then a **image** of  $f$  is a kernel of the morphism  $y \rightarrow \operatorname{coker} f$ . If it exists, then it is unique up to unique isomorphism. With this in mind, we talk about *the* image of  $f$  and denote it by  $\operatorname{im} f \rightarrow y$ .

**Lemma 8.4.** Let  $\mathcal{C}$  be a preadditive category. Let  $x \oplus y$  with morphisms  $i, j, p, q$  as in Lemma (8.2) be a direct sum in  $\mathcal{C}$ . Then  $i: x \rightarrow x \oplus y$  is a kernel of  $q: x \oplus y \rightarrow y$ . Dually,  $p$  is a cokernel for  $j$ .

*Proof.* Let  $f: z' \rightarrow x \oplus y$  be a morphism such that  $qf = 0$ . We have to show that there exists a unique morphism  $g: z' \rightarrow x$  such that  $f = ig$ . Since  $ip + jq$  is the identity on  $x \oplus y$  we see that

$$\begin{aligned} f &= (ip + jq)f \\ &= ipf \end{aligned}$$

and hence  $g = pf$  works. Uniqueness holds because  $pi$  is the identity on  $x$ . The proof of the second statement is dual.  $\square$

**Lemma 8.5.** Let  $\mathcal{C}$  be a preadditive category. Let  $f: x \rightarrow y$  be a morphism in  $\mathcal{C}$ .

1. If a kernel of  $f$  exists, then this kernel is a monomorphism.
2. If a cokernel of  $f$  exists, then this cokernel is an epimorphism.
3. If a kernel and coimage of  $f$  exist, then the coimage is an epimorphism.
4. If a cokernel and image of  $f$  exist, then the image is a monomorphism.

**Lemma 8.6.** Let  $f: x \rightarrow y$  be a morphism in a preadditive category such that the kernel, cokernel, image, and coimage all exist. Then  $f$  can be factored uniquely as

$$x \rightarrow \operatorname{coim} f \rightarrow \operatorname{im} f \rightarrow y.$$

*Proof.* There is a canonical morphism  $\operatorname{coim} f \rightarrow y$  because  $\ker f \rightarrow x \rightarrow y$  is zero. The composition  $\operatorname{coim} f \rightarrow y \rightarrow \operatorname{coker} f$  is zero, because it is the unique morphism which gives rise to the morphism  $x \rightarrow y \rightarrow \operatorname{coker} f$  which is zero. Hence  $\operatorname{coim} f \rightarrow y$  factors uniquely through  $\operatorname{im} f \rightarrow y$ , which gives us the desired map.  $\square$

## 8.2 Abelian Category

An abelian category is a category satisfying just enough axioms so the snake lemma holds.

**Definition 8.6.** A category  $\mathcal{A}$  is called **abelian** if

1. it is additive;
2. all kernels and cokernels exist;
3. the natural map  $\text{coim } f \rightarrow \text{im } f$  is an isomorphism for all morphisms  $f$  in  $\mathcal{A}$ .

**Definition 8.7.** Let  $f: x \rightarrow y$  be a morphism in an abelian category.

1. We say  $f$  is **injective** if  $\ker f = 0$ .
2. We say  $f$  is **surjective** if  $\text{coker } f = 0$ .
3. If  $x \rightarrow y$  is injective, then we say that  $x$  is a **subobject** of  $y$  and we use the notation  $x \subseteq y$  to denote this. If  $x \rightarrow y$  is surjective, then we say  $y$  is a **quotient** of  $x$ .

**Lemma 8.7.** Let  $f: x \rightarrow y$  be a morphism in an abelian category  $\mathcal{A}$ . Then

1.  $f$  is injective if and only if  $f$  is a monomorphism.
2.  $f$  is surjective if and only if  $f$  is an epimorphism.

**Lemma 8.8.** Let  $\mathcal{A}$  be an abelian category. All finite limits and finite colimits exist in  $\mathcal{A}$ .

## 8.3 $R$ -Linear Categories

**Definition 8.8.** An  $R$ -linear category  $\mathcal{A}$  is a category where every morphism set is given the structure of an  $R$ -module and where  $x, y, z \in \text{Ob}(\mathcal{A})$  composition law

$$\text{Hom}_{\mathcal{A}}(y, z) \times \text{Hom}_{\mathcal{A}}(x, y) \rightarrow \text{Hom}_{\mathcal{A}}(x, z)$$

is  $R$ -bilinear. Thus composition determines an  $R$ -linear map

$$\text{Hom}_{\mathcal{A}}(y, z) \otimes_R \text{Hom}_{\mathcal{A}}(x, y) \rightarrow \text{Hom}_{\mathcal{A}}(x, z)$$

of  $R$ -modules. A functor  $F: \mathcal{A} \rightarrow \mathcal{B}$  of  $R$ -linear categories is called  **$R$ -linear** if the map

$$F: \text{Hom}_{\mathcal{A}}(x, y) \rightarrow \text{Hom}_{\mathcal{B}}(F(x), F(y))$$

is an  $R$ -linear map.

**Example 8.1.** The category  $\text{Mod}_R$  of all  $R$ -modules and  $R$ -linear maps is an  $R$ -linear category. Indeed, for each  $R$ -module  $M$  and  $N$ , we have an  $R$ -module  $\text{Hom}_R(M, N)$ . Composition

$$\text{Hom}_R(M_2, M_3) \times \text{Hom}_R(M_1, M_2) \rightarrow \text{Hom}_R(M_1, M_3),$$

defined by  $(\varphi_2, \varphi_1) \mapsto \varphi_2 \circ \varphi_1$ , is easily checked to be  $R$ -bilinear.

### 8.3.1 Additive functor from Graded Modules Induces Functor on Complexes

**Proposition 8.1.** Let  $\mathcal{F}: \text{Grad}_R \rightarrow \text{Grad}_R$  be an additive functor. Then  $\mathcal{F}$  induces a functor

$$\mathcal{F}: \text{Comp}_R \rightarrow \text{Comp}_R,$$

where an  $R$ -complex  $(A, d)$  gets mapped to the  $R$ -complex  $(\mathcal{F}(A), \mathcal{F}(d))$ .

*Proof.* Let  $(A, d)$  be an  $R$ -complex. We first need to show that  $(\mathcal{F}(A), \mathcal{F}(d))$  is an  $R$ -complex. Indeed,  $\mathcal{F}(A)$  is a graded  $R$ -module and  $\mathcal{F}(d)$  is a graded homomorphism of degree  $-1$ . Moreover,

$$\begin{aligned} \mathcal{F}(d)\mathcal{F}(d) &= \mathcal{F}(dd) \\ &= \mathcal{F}(0) \\ &= 0. \end{aligned}$$

Thus  $(\mathcal{F}(A), \mathcal{F}(d))$  is an  $R$ -complex.

Next, let  $\varphi: A \rightarrow A'$  be a chain map of  $R$ -complexes. Then

$$\begin{aligned}\mathcal{F}(\varphi)\mathcal{F}(d) &= \mathcal{F}(\varphi d) \\ &= \mathcal{F}(d\varphi) \\ &= \mathcal{F}(d)\mathcal{F}(\varphi).\end{aligned}$$

Thus  $\mathcal{F}(\varphi)$  is also a chain map. □

## 8.4 Functors Which Preserve Homotopy

### 8.4.1 Tensor Product

**Proposition 8.2.** *Let  $N$  be an  $R$ -module, let  $\varphi: M \rightarrow M'$  and  $\psi: M \rightarrow M'$  be two chain maps of  $R$ -complexes and suppose  $\varphi \sim \psi$ . Then  $\varphi \otimes N \sim \psi \otimes N$ .*

*Proof.* Choose a homotopy  $h: M \rightarrow M'$  from  $\varphi$  to  $\psi$ . So

$$\varphi - \psi = d_{M'}h + hd_M.$$

We claim that  $h \otimes N: M \otimes_R N \rightarrow M' \otimes_R N$  is a homotopy from  $\varphi \otimes N$  to  $\psi \otimes N$ . Indeed, let  $u \otimes v \in M \otimes_R N$  with  $u \in M_i$  and  $v \in N_j$ . Then we have

$$\begin{aligned}(\mathbf{d}_{(M',N)}^\otimes(h \otimes N) + (h \otimes N)\mathbf{d}_{(M,N)}^\otimes)(u \otimes v) &= \mathbf{d}_{(M',N)}^\otimes(h(u) \otimes v) + (h \otimes N)(\mathbf{d}_M(u) \otimes v + (-1)^i u \otimes \mathbf{d}_N(v)) \\ &= \mathbf{d}_{M'}h(u) \otimes v - (-1)^i h(u) \otimes \mathbf{d}_N(v) + h\mathbf{d}_M(u) \otimes v + (-1)^i h(u) \otimes \mathbf{d}_N(v) \\ &= \mathbf{d}_{M'}h(u) \otimes v + h\mathbf{d}_M(u) \otimes v \\ &= (\mathbf{d}_{M'}h(u) + h\mathbf{d}_M(u)) \otimes v \\ &= ((\mathbf{d}_{M'}h + h\mathbf{d}_M)(u)) \otimes v \\ &= (\varphi - \psi)(u) \otimes v \\ &= \varphi(u) \otimes v - \psi(u) \otimes v \\ &= (\varphi \otimes N)(u \otimes v) - (\psi \otimes N)(u \otimes v) \\ &= (\varphi \otimes N - \psi \otimes N)(u \otimes v).\end{aligned}$$

It follows that

$$\varphi \otimes N - \psi \otimes N = \mathbf{d}_{(M',N)}^\otimes(h \otimes N) + (h \otimes N)\mathbf{d}_{(M,N)}^\otimes.$$

□

### 8.4.2 $R$ -linear Functor Preserves Homotopy

**Proposition 8.3.** *Let  $\varphi: A \rightarrow A'$  and  $\psi: A \rightarrow A'$  be two chain maps of  $R$ -complexes which are homotopic to each other, and let  $F: \text{Comp}_R \rightarrow \text{Comp}_R$  be an  $R$ -linear functor. Then  $F(\varphi)$  is homotopic to  $F(\psi)$ .*

*Proof.* Choose a homotopy  $h: A \rightarrow A'$  from  $\varphi$  to  $\psi$ . So

$$\varphi - \psi = d_{A'}h + hd_A.$$

We claim that  $F(h): F(A) \rightarrow F(A')$  is a homotopy from  $F(\varphi)$  to  $F(\psi)$ . Indeed, let  $a \in F(A)$  with  $a \in F(A)_i$ . Then we have

$$(\mathbf{d}_{F(A')}F(h) + F(h)\mathbf{d}_{F(A)})(a)$$

$$= (F(\varphi) - F(\psi))(a).$$

It follows that □

**Proposition 8.4.** Let  $(A, d)$  and  $(A', d')$  be  $R$ -complexes and let  $F: \mathbf{Grad}_R \rightarrow \mathbf{Grad}_R$  be an  $R$ -linear functor. Suppose  $A$  is homotopically equivalent to  $A'$ . Then  $(F(A), F(d))$  is homotopically equivalent to  $(F(A'), F(d'))$ .

*Proof.* Choose chain maps  $\varphi: A \rightarrow A'$  and  $\varphi': A' \rightarrow A$  together with homotopies  $h: A \rightarrow A'$  and  $h': A' \rightarrow A$  where

$$\varphi' \varphi - 1_A = dh + hd \quad \text{and} \quad \varphi \varphi' - 1_{A'} = d'h' + h'd'.$$

Then observe that

$$\begin{aligned} F(\varphi')F(\varphi) - 1_{F(A)} &= F(\varphi')F(\varphi) - F(1_A) \\ &= F(\varphi' \varphi - 1_A) \\ &= F(dh + hd) \\ &= F(d)F(h) + F(h)F(d). \end{aligned}$$

Thus  $\mathcal{F}(\varphi')\mathcal{F}(\varphi) \sim 1_{\mathcal{F}(A)}$ . A similar argument shows  $\mathcal{F}(\varphi)\mathcal{F}(\varphi') \sim 1_{\mathcal{F}(A')}$ . Therefore  $\mathcal{F}(A)$  is homotopically equivalent to  $\mathcal{F}(A')$ .  $\square$

## 8.5 Epimorphisms and Monomorphisms

**Definition 8.9.** Let  $\mathcal{C}$  be a category and let  $f: x \rightarrow y$  be a morphism in  $\mathcal{C}$ .

1. We say  $f$  is an **epimorphism** if it is right-cancellative:  $g_1 f = g_2 f$  implies  $g_1 = g_2$  for all  $g_1: y \rightarrow z$  and  $g_2: y \rightarrow z$ .
2. We say  $f$  is a **split epimorphism** if it has a right-sided inverse: there exists  $g: y \rightarrow x$  such that  $fg = 1_x$ .
3. We say  $f$  is a **monomorphism** if it is left-cancellative:  $fg_1 = fg_2$  implies  $g_1 = g_2$  for all  $g_1: w \rightarrow x$  and  $g_2: w \rightarrow x$ .
4. We say  $f$  is a **split monomorphism** if it has a left-sided inverse: there exists  $g: y \rightarrow x$  such that  $gf = 1_y$ .
5. We say  $f$  is a **bimorphism** if it is both a monomorphism and an epimorphism.
6. We say  $f$  is an **isomorphism** if it is both a split monomorphism and a split epimorphism.

### 8.5.1 Epimorphisms and Monomorphisms in $\mathbf{Comp}_R$

**Proposition 8.5.** Let  $\varphi: A \rightarrow B$  be a chain map. Then  $\varphi$  is an epimorphism if and only if  $\varphi$  is surjective

## 8.6 Adjunctions

**Definition 8.10.** An **adjunction** between categories  $\mathcal{C}$  and  $\mathcal{D}$  consists of a pair of functors  $F: \mathcal{C} \rightarrow \mathcal{D}$  and  $G: \mathcal{D} \rightarrow \mathcal{C}$  such that for all objects  $x$  in  $\mathcal{C}$  and  $y$  in  $\mathcal{D}$  we have a bijection

$$\tau_{y,x}: \text{Hom}_{\mathcal{C}}(Gy, x) \rightarrow \text{Hom}_{\mathcal{D}}(y, Fx)$$

which is natural in  $x$  and  $y$ . We also say  $G$  is **left adjoint to  $F$**  and  $F$  is **right adjoint to  $G$** .

**Proposition 8.6.** Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be left-adjoint to  $G: \mathcal{D} \rightarrow \mathcal{C}$ . Then  $F$  preserves colimits and  $G$  preserves limits.

*Proof.* Let us show that  $F$  preserves colimits. Let (  $\square$

**Proposition 8.7.** Let  $M$  be a graded  $R$ -module. The functor  $- \otimes_R M: \mathbf{Grad}_R \rightarrow \mathbf{Grad}_R$  is left adjoint to the functor  $\text{Hom}_R(M, -): \mathbf{Grad}_R \rightarrow \mathbf{Grad}_R$ . In particular,  $- \otimes_R M$  preserves direct limits and  $\text{Hom}_R^*(M, -)$  preserves inverse limits.

*Proof.* Let us show that  $- \otimes_R M$  being left adjoint to  $\text{Hom}_R^*(M, -)$  implies  $- \otimes_R M$  preserves direct limits. Let  $(M_\lambda, \varphi_{\lambda\mu})$  be a direct system of graded  $R$ -modules and graded  $R$ -linear maps indexed over a preordered set  $(\Lambda, \leq)$ . Since  $- \otimes_R M$  is a covariant functor,  $(M_\lambda \otimes_R M, \varphi_{\lambda\mu} \otimes 1_M)$  is a direct system of graded  $R$ -modules and graded  $R$ -linear maps indexed over a preordered set  $(\Lambda, \leq)$ . Furthermore,  $\square$