

# Homological Constructions over a Field of Characteristic 2

April 7, 2020

Throughout this section, let  $K$  be a field of characteristic 2,  $S$  denote the polynomial ring  $K[x_1, \dots, x_n]$ ,  $I$  be a homogeneous ideal in  $S$ , and let  $G$  be the reduced Gröbner basis for  $I$  with respect to some fixed monomial ordering.

## 1 Constructing the Chain Complexes $(S, d)$ , $(S_I, d)$ , and $(I, \bar{d})$ .

### 1.1 Construction of $(S, d)$

Let  $d : S \rightarrow S$  be the graded  $K$ -linear map of degree  $-1$  given by  $d := \sum_{j=1}^n \partial_{x_j}$ . Since  $K$  has characteristic 2, we have  $d^2 = 0$ . Indeed, it suffices to show that  $d^2(m) = 0$  for all monomials  $m$  in  $S$ . So let  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial in  $S$ . Then

$$\begin{aligned} d^2(m) &= \left( \sum_{k=1}^n \partial_{x_k} \right)^2 (x_1^{\alpha_1} \cdots x_n^{\alpha_n}) \\ &= \left( \sum_{k=1}^n \partial_{x_k}^2 \right) (x_1^{\alpha_1} \cdots x_n^{\alpha_n}) \\ &= \sum_{k=1}^n \alpha_k (\alpha_k - 1) x_1^{\alpha_1} \cdots x_n^{\alpha_n} \\ &= 0. \end{aligned}$$

Thus the differential  $d$  gives the graded  $K$ -module  $S$  the structure of a chain complex over  $K$ .

### 1.2 Construction of $(S_I, d)$

Let  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial of degree  $i$  in  $S$ . We denote

$$[m]_o = \{1 \leq \lambda \leq n \mid \alpha_\lambda \text{ is odd}\} \quad \text{and} \quad [m]_e = \{1 \leq \mu \leq n \mid \alpha_\mu \text{ is even}\}$$

Using this notation, we can express the differential in another way:

$$d(m) = \sum_{\lambda \in [m]_o} x_\lambda^{-1} m.$$

This makes it clear that the differential  $d$  maps  $S_I$  into  $S_I$ . Indeed, if  $m$  is not in  $\text{LT}(I)$ , then every term  $x_\lambda^{-1} m$  of  $d(m)$  is not in  $\text{LT}(I)$  either. Thus the differential  $d$  gives the graded  $K$ -module  $S_I$  the structure of a chain complex over  $K$ .

### 1.3 Construction of $(S/I, \bar{d})$

**Definition 1.1.** We say  $I$  is  $d$ -stable if  $d$  maps  $I$  into  $I$ .

Suppose  $I$  is  $d$ -stable. Then the differential  $d : S \rightarrow S$  induces a graded linear map of degree  $-1$ , denoted  $\bar{d} : S/I \rightarrow S/I$ , where

$$\bar{d}(\bar{f}) = \overline{d(f)} \text{ for all } f \in S.$$

Indeed, the map  $\bar{d}$  is well-defined since  $d$  is  $I$ -stable. To see why, let  $f + g$  and  $\bar{f}$ , where  $g \in I$  and  $f \in S$ , be two different representatives of a class in  $S/I$ , i.e.  $\overline{f + g} = \bar{f} \in S/I$ . Then

$$\begin{aligned}\bar{d}(\overline{f + g}) &= \overline{d(f + g)} \\ &= \overline{d(f) + d(g)} \\ &= \overline{d(f)} \\ &= \bar{d}(\bar{f}).\end{aligned}$$

where  $\overline{d(f) + d(g)} = \overline{d(f)}$  since  $d(g) \in I$ .

Moreover, the differential  $\bar{d}$  gives  $S/I$  the structure of a differential graded  $K$ -algebra. Indeed,  $\bar{d}$  is a graded linear map of degree  $-1$  such that  $\bar{d}^2 = 0$  and such that  $\bar{d}$  satisfies Leibniz law. This is because  $\bar{d}$  inherits all of the properties from  $d$ . For instance, to see that  $\bar{d}$  satisfies Leibniz law, let  $\bar{f}_1$  and  $\bar{f}_2$  be in  $S/I$ . Then

$$\begin{aligned}\bar{d}(\bar{f}_1 \bar{f}_2) &= \overline{d(f_1 f_2)} \\ &= \overline{d(f_1) f_2 + f_1 d(f_2)} \\ &= \overline{d(f_1) f_2} + \overline{f_1 d(f_2)} \\ &= \bar{d}(\bar{f}_1) \bar{f}_2 + \bar{f}_1 \bar{d}(\bar{f}_2).\end{aligned}$$

Thus if  $I$  is  $d$ -stable, then the differential  $\bar{d}$  gives the graded  $K$ -algebra  $S/I$  the structure of a differential graded  $K$ -algebra.

## 1.4 Construction of $(I, \underline{d})$

Our final construction involves the graded  $K$ -module  $I$ . Let  $\underline{d} : I \rightarrow I$  be the graded  $K$ -linear map of degree  $-1$  given by

$$\underline{d}(f) := d(f) + d(f)^G = \pi(d(f))$$

for all  $f \in I$ . Then  $\underline{d}^2 = 0$ . Indeed, for all  $f \in I$ , we have

$$\begin{aligned}\underline{d}(\underline{d}(f)) &= \underline{d}(d(f) + d(f)^G) \\ &= d(d(f) + d(f)^G) + d(d(f) + d(f)^G)^G \\ &= d(d(f)^G) + d(d(f)^G)^G \\ &= d(d(f)^G) + d(d(f)^G) \\ &= 0,\end{aligned}$$

where  $d(d(f)^G)^G = d(d(f)^G)$  since every term in  $d(d(f)^G)$  is not in  $I$ . Thus the differential  $\underline{d}$  gives the graded  $K$ -module  $I$  the structure of a chain complex over  $K$ .

*Remark.* Let  $J$  be a homogeneous ideal in  $S$  such that  $I \supset J$ . If  $J$  is  $\underline{d}$ -stable, then the differential  $\underline{d}$  gives the graded  $K$ -module  $I/J$  the structure of a chain complex over  $K$ , which we denote by  $(I/J, \underline{d})$ . If  $I$  and  $J$  are both monomial ideals, then  $J$  is always  $\underline{d}$ -stable.

## 2 Differential Graded $K$ -Algebras

Since  $d$  is defined in terms of partial derivatives, it is clear that  $d$  satisfies Leibniz law. Thus  $(S, d)$  is more than just a chain complex over  $K$ ; it is a differential graded  $K$ -algebra. Since  $S_I$  is a graded  $K$ -algebra, it is natural wonder if  $(S_I, d)$  is also a differential graded  $K$ -algebra. A quick counterexample shows that this is not necessarily the case:

**Example 2.1.** Consider  $S = K[x]$  and  $I = \langle x^5 \rangle$ . Then

$$\begin{aligned}d(x \cdot x^4) &= d((x^5)^G) \\ &= d(0) \\ &= 0,\end{aligned}$$

but

$$\begin{aligned}d(x) \cdot x^4 + x \cdot d(x^4) &= 1 \cdot x^4 + x \cdot 0 \\ &= (x^4)^G + 0^G \\ &= x^4,\end{aligned}$$

so  $d(x \cdot x^5) \neq d(x) \cdot x^4 + x \cdot d(x^4)$ .

## 2.1 When $(S_I, d)$ Has the Structure of a Differential Graded $K$ -Algebra

The next theorem tells us precisely when  $(S_I, d)$  is a differential graded  $K$ -algebra.

**Theorem 2.1.**  $(S_I, d)$  is a differential graded  $K$ -algebra if and only if  $d(g) = 0$  for all  $g \in G$ .

*Proof.* Assume that  $d(g) = 0$  for all  $g \in G$ . We first prove that  $d(f^G) = d(f)^G$  for all  $f \in S$ . Let  $f \in S$ . From the division algorithm, we have  $f = g_1q_1 + \cdots + g_rq_r + f^G$  for some  $q_1, \dots, q_r \in S$ . Thus

$$\begin{aligned} d(f) &= d(g_1q_1 + \cdots + g_rq_r + f^G) \\ &= d(g_1q_1) + \cdots + d(g_rq_r) + d(f^G) \\ &= g_1d(q_1) + \cdots + g_rd(q_r) + d(f^G). \end{aligned}$$

Since  $g_1d(q_1) + \cdots + g_rd(q_r) \in I$  and no term of  $d(f^G)$  is divisible by any element of  $\text{LT}(I)$ , it follows from uniqueness of normal forms that  $d(f^G) = d(f)^G$ .

Now we show that this implies that  $(S_I, d)$  is a differential graded  $K$ -algebra. Let  $f_1, f_2 \in S_I$ . Then

$$\begin{aligned} d(f_1 \cdot f_2) &= d((f_1f_2)^G) \\ &= (d(f_1f_2))^G \\ &= (d(f_1)f_2 + f_1d(f_2))^G \\ &= (d(f_1)f_2)^G + (f_1d(f_2))^G \\ &= d(f_1) \cdot f_2 + f_1 \cdot d(f_2). \end{aligned}$$

Therefore  $(S_I, d)$  is a differential graded  $K$ -algebra.

Now we prove the converse. Assume  $(S_I, d)$  is a differential graded  $K$ -algebra. Let  $g \in G$  and let  $m$  be the lead term of  $g$ . We may assume  $g$  is not a constant (otherwise we'd clearly have  $d(g) = 0$ ). Thus, there exists some  $x_\lambda$  such that  $x_\lambda$  divides  $m$ . Then on the one hand, we have

$$\begin{aligned} d(x_\lambda \cdot x_\lambda^{-1}m) &= d(m^G) \\ &= d(g + m) \\ &= d(g) + d(m), \end{aligned}$$

since  $m^G = g + m$ . On the other hand, we have

$$\begin{aligned} d(x_\lambda) \cdot x_\lambda^{-1}m + x_\lambda \cdot d(x_\lambda^{-1}m) &= (x_\lambda^{-1}m)^G + (x_\lambda d(x_\lambda^{-1}m))^G \\ &= x_\lambda^{-1}m + (x_\lambda d(x_\lambda^{-1}m))^G \\ &= x_\lambda^{-1}m + (x_\lambda(x_\lambda^{-2}m + x_\lambda^{-1}d(m)))^G \\ &= x_\lambda^{-1}m + (x_\lambda^{-1}m + d(m))^G \\ &= x_\lambda^{-1}m + (x_\lambda^{-1}m)^G + d(m)^G \\ &= x_\lambda^{-1}m + x_\lambda^{-1}m + d(m)^G \\ &= d(m), \end{aligned}$$

since  $(x_\lambda^{-1}m)^G = x_\lambda^{-1}m$  and  $d(m)^G = d(m)$  (every term of  $d(m)$  does not lie in  $\langle \text{LT}(G) \rangle$ ). Since  $(S_I, d)$  is a differential graded  $K$ -algebra, we must have  $d(g) = 0$ . This establishes this theorem.  $\square$

*Remark.* We should note that the identity  $x_\lambda d(x_\lambda^{-1}m) = x_\lambda(x_\lambda^{-2}m + x_\lambda^{-1}d(m)) = x_\lambda^{-1}m + d(m)$  follows since  $d$  satisfies Leibniz law not just in  $S$ , but also in  $S[x_1^{-1}, \dots, x_n^{-1}]$ . Again, this is because  $d$  is defined in terms of partial derivatives.

**Example 2.2.** Going back to Example (??), where  $S = K[x, y]$ ,  $I = \langle xy^2 + y^3, x^3 + x^2y \rangle$ , and  $G = \{xy^2 + y^3, x^3 + x^2y\}$ . We have  $d(xy^2 + y^3) = d(x^3 + x^2y) = 0$ . Therefore Proposition (??) implies  $(S_I, d)$  is a differential graded  $K$ -algebra.

Now we want to show that  $(S_I, d)$  is a differential graded  $K$ -algebra if and only if  $(S/I, \bar{d})$  is a differential graded  $K$ -algebra, and moreover, they are isomorphic to each other.

**Lemma 2.2.** Let  $I$  be a homogeneous ideal in the polynomial ring  $S$ , and let  $G = \{g_1, g_2, \dots, g_r\}$  be the reduced Gröbner basis for  $I$ . Then  $d(g) = d(g)^G$  for all  $g \in G$ .

*Proof.* Let  $g \in G$ . If  $d(g) = 0$ , then clearly we have  $d(g) = d(g)^G$ , so assume  $d(g) \neq 0$ . We need to prove that  $d(g) = d(g)^G$ . This is equivalent to saying that no term of  $d(g)$  belongs to  $\langle \text{LT}(G) \rangle := \langle \text{LT}(g_1), \text{LT}(g_2), \dots, \text{LT}(g_r) \rangle$ , since  $G$  is a Gröbner basis. Every term in  $d(g)$  has the form  $x_\lambda^{-1}m$  where  $m$  is some term of  $g$ . It is easy to see that this term cannot belong to  $\langle \text{LT}(G) \rangle$ . Indeed, if  $x_\lambda^{-1}m \in \langle \text{LT}(G) \rangle$ , then  $m \in \langle \text{LT}(g_2), \dots, \text{LT}(g_r) \rangle$ , and this contradicts the fact that  $G$  is a *reduced* Gröbner basis.  $\square$

**Proposition 2.1.**  *$I$  is  $d$ -stable if and only if  $d(g) \in I$  for all  $g \in G$ .*

*Proof.* One direction is trivial, so let's prove the other direction. Suppose  $d(g) \in I$  for all  $g \in G$  and let  $f \in I$ . Since  $G$  generates  $I$ , we can write  $f = \sum_{\lambda=1}^r q_\lambda g_\lambda$  for some  $q_1, \dots, q_r \in S$ . Thus, by Leibniz law, we have

$$\begin{aligned} d(f) &= d\left(\sum_{\lambda=1}^r q_\lambda g_\lambda\right) \\ &= \sum_{\lambda=1}^r d(q_\lambda g_\lambda) \\ &= \sum_{\lambda=1}^r (d(q_\lambda)g_\lambda + q_\lambda d(g_\lambda)) \in I. \end{aligned}$$

Thus,  $I$  is  $d$ -stable.  $\square$

Combining Lemma (2.2) and Proposition (2.1), we find that  $d(g) = 0$  for all  $g \in G$  if and only if  $d(g) \in I$  for all  $g \in G$  if and only if  $I$  is  $d$ -stable. Combining this with Theorem (2.1), we find that  $(S_I, d)$  is a differential graded  $K$ -algebra if and only if  $(S/I, \bar{d})$  is a differential graded  $K$ -algebra. Now we will show that they are in fact isomorphic to each other.

**Theorem 2.3.** *Suppose  $I$  is  $d$ -stable. Then  $(S_I, d)$  is isomorphic to  $(S/I, \bar{d})$  as differential graded  $K$ -algebras.*

*Proof.* Recall that  $S/I$  is isomorphic to  $S_I$  as graded  $K$ -algebras, where the isomorphism is given by mapping  $\bar{f} \in S/I$  to  $f^G \in S_I$ . It remains to show that this isomorphism respects the differential graded algebra structure. In particular, we need to show that  $d(f^G) = d(f)^G$  for all  $f \in S$ . This was already proven in Theorem (2.1).  $\square$

## 2.2 More Differential Graded $K$ -algebras

**Proposition 2.2.** *Suppose  $I$  is  $d$ -stable and let  $g$  be a homogeneous polynomial such that  $d(g) = 0$ . Then  $(S_{\langle I, g \rangle}, d)$  and  $(S_{I:g}, d)$  are differential graded  $K$ -algebras.*

*Proof.* We just need to show that  $\langle I, g \rangle$  and  $I : g$  are both  $d$ -stable. Since  $d(g) = 0$ , it follows that  $\langle I, g \rangle$  is  $d$ -stable. To prove that  $I : g$  is  $d$ -stable, let  $f \in I : g$ . Then since  $fg \in I$ ,  $d(g) = 0$ , and  $I$  is  $d$ -stable, it follows that

$$d(f)g = d(f)g + fd(g) = d(fg) \in I$$

Therefore  $d(f) \in I : g$ , which implies that  $I : g$  is  $d$ -stable.  $\square$

**Example 2.3.** Consider  $S = K[x, y, z]$ ,  $g = x^2y + x^2z$ , and  $I = \langle f_1, f_2, f_3 \rangle$  where

$$\begin{aligned} f_1 &= xy + xz + yz \\ f_2 &= x^4y + x^5 \\ f_3 &= y^3 + y^2z \end{aligned}$$

Then  $d(f_1) = d(f_2) = d(f_3) = 0$  implies that  $(S_I, d)$  is a differential graded  $K$ -algebra. The reduced Gröbner basis for  $I$  with respect to graded lexicographical ordering is  $G = \{g_1, g_2, g_3, g_4, g_5, g_6\}$ , where

$$\begin{aligned} g_1 &= xy + xz + yz \\ g_2 &= y^3 + y^2z \\ g_3 &= y^2z^2 \\ g_4 &= xz^4 + yz^4 \\ g_5 &= x^5 + x^4z + x^3z^2 + x^2z^3 \\ g_6 &= x^4z^2 \end{aligned}$$

Since  $d(g) = 0$ , we know that  $(S_{\langle I, g \rangle}, d)$  and  $(S_{I: g}, d)$  are also differential graded  $K$ -algebras. The reduced Gröbner basis for  $I : g$  with respect to graded lexicographical ordering is  $G'' = \{g_1'', g_2'', g_3''\}$ , where

$$\begin{aligned} g_1'' &= y + z \\ g_2'' &= z^2 \\ g_3'' &= x^3 + x^2z \end{aligned}$$

and the reduced Gröbner basis for  $\langle I, g \rangle$  with respect to graded lexicographical ordering is  $G' = \{g_1', g_2', g_3', g_4', g_5'\}$ , where

$$\begin{aligned} g_1' &= xy + xz + yz \\ g_2' &= y^3 + y^2z \\ g_3' &= xz^2 + yz^2 \\ g_4' &= y^2z^2 \\ g_5' &= x^5 + x^4z + x^3z^2 + x^2z^3 \end{aligned}$$

### 3 Homology Calculations

#### 3.1 $H(S/I, \bar{d}) \cong 0$

**Proposition 3.1.** *Suppose  $I$  is  $d$ -stable. Then  $H(S_I) = 0$ .*

*Proof.* Let  $f$  be a homogeneous polynomial in  $S_I$  such that  $d(f) = 0$ . Then for any  $x_\lambda \in (S_I)_1$ , we have

$$d(x_\lambda f) = d(x_\lambda)f + x_\lambda d(f) = f.$$

Therefore  $\text{Ker}(d) = \text{Im}(d)$ , hence  $H(S_I) = 0$ . □

*Remark.* Taking  $I = 0$  shows that  $H(S) = 0$ .

#### 3.2 $H_i(I) \cong H_{i-1}(S_I)$

**Proposition 3.2.** *The differential  $d$  induces isomorphisms  $H_i(I) \cong H_{i-1}(S_I)$  for all  $i > 0$ .*

*Proof.* First we show that  $\underline{d}\pi = \pi d$ . For all  $f \in S$ , we have

$$\begin{aligned} \underline{d}(\pi(f)) &= \underline{d}(f + f^G) \\ &= d(f + f^G) + d(f + f^G)^G \\ &= d(f) + d(f^G) + d(f)^G + d(f^G)^G \\ &= d(f) + d(f^G) + d(f)^G + d(f^G) \\ &= d(f) + d(f)^G \\ &= \pi(d(f)), \end{aligned}$$

where  $d(f^G)^G = d(f^G)$  because no term in  $d(f^G)$  lies in  $\text{LT}(I)$ .

Therefore we have a short exact sequence of chain complexes over  $K$ :

$$0 \longrightarrow (S_I, d) \longrightarrow (S, d) \xrightarrow{\pi} (I, \underline{d}) \longrightarrow 0.$$

From this, we obtain a long exact sequence in homology, which gives for each  $i > 0$ , the following short exact sequences:

$$0 = H_i(S) \longrightarrow H_i(I) \xrightarrow{d} H_{i-1}(S_I) \longrightarrow H_{i-1}(S) = 0.$$

where  $d$  is obtained from the connecting map. In more detail,  $d$  maps the element  $[f] \in H_i(I)$  to the element  $[d(f)] \in H_{i-1}(S_I)$ . □

### 3.3 Decomposing $H_i(S_I)$

Let  $g$  be a homogeneous polynomial of degree  $j$  and let  $G'$  be the reduced Gröbner basis for  $\langle I, g \rangle$  with respect to our fixed monomial ordering. In Commutative Algebra, we learn about the following short exact sequence of graded  $S$ -modules

$$\begin{array}{ccccccc} 0 & \longrightarrow & (S/(I:g))(-j) & \xrightarrow{\cdot g} & S/I & \longrightarrow & S/\langle I, g \rangle \longrightarrow 0 \\ & & \bar{f} & \longmapsto & \bar{fg} & & \end{array}$$

We want to use this short exact sequence to our advantage. First, using the isomorphisms  $S_{I:g} \cong S/(I:g)$ ,  $S_I \cong S/I$ , and  $S_{\langle I, g \rangle} \cong S/\langle I, g \rangle$ , we get, for each  $i$ , a short exact sequence of  $K$ -vector spaces

$$\begin{array}{ccccccc} 0 & \longrightarrow & (S_{I:g})_{j-i} & \xrightarrow{\cdot g} & (S_I)_i & \xrightarrow{-G'} & (S_{\langle I, g \rangle})_i \longrightarrow 0 \\ & & f & \longmapsto & (fg)^G & & \\ & & & & f & \longmapsto & f^{G'} \end{array}$$

or in other words, a short exact sequence of graded  $K$ -vector spaces

$$0 \longrightarrow (S_{I:g})(-j) \xrightarrow{\cdot g} S_I \xrightarrow{-G'} S_{\langle I, g \rangle} \longrightarrow 0$$

We want to know under what conditions this becomes a short exact sequence of chain complexes over  $K$ , that is, when does the following diagram commute?

$$\begin{array}{ccccccc} & & \vdots & & \vdots & & \vdots \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & (S_{I:g})_{j-i} & \xrightarrow{\cdot g} & (S_I)_i & \xrightarrow{-G'} & (S_{\langle I, g \rangle})_i \longrightarrow 0 \\ & & \downarrow d & & \downarrow d & & \downarrow d \\ 0 & \longrightarrow & (S_{I:g})_{j-i-1} & \xrightarrow{\cdot g} & (S_I)_{i-1} & \xrightarrow{-G'} & (S_{\langle I, g \rangle})_{i-1} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & \vdots & & \vdots & & \vdots \end{array}$$

After some thought, we find that the conditions which need to be satisfied are the following:

$$(gd(m))^G = d((gm)^G) \text{ for all monomials } m \text{ which are not in } \text{LT}(I:g) \quad (1)$$

$$d(m)^{G'} = d(m^{G'}) \text{ for all monomials } m \text{ which are not in } \text{LT}(I) \quad (2)$$

For the moment, let's assume that these conditions are satisfied so that we have a short exact sequence of chain complexes. Then by the usual argument, the short exact sequence of chain complexes gives rise to a long exact sequence in homology:

$$\begin{array}{ccccccc} & & \cdots & \longrightarrow & H_{i+1}(S_{\langle I, g \rangle}) & & \\ & & & & \downarrow \lambda & & \\ & & & & H_{i-j}(S_{I:g}) & \xrightarrow{\cdot g} & H_i(S_I) \xrightarrow{-G'} H_i(S_{\langle I, g \rangle}) \\ & & & & \downarrow \lambda & & \\ & & & & H_{i-j-1}(S_{I:g}) & \xrightarrow{\cdot g} & H_{i-1}(S_I) \xrightarrow{-G'} \cdots \end{array}$$

It's easy to see that the connecting maps  $\lambda$  all induce the zero map. So in fact, we get for each  $i$ , the short exact sequence of  $K$ -vector spaces:

$$0 \longrightarrow H_{i-j}(S_{I:g}) \xrightarrow{\cdot g} H_i(S_I) \xrightarrow{-G'} H_i(S_{\langle I,g \rangle}) \longrightarrow 0,$$

and since the inclusion map  $S_{\langle I,g \rangle} \hookrightarrow S_I$  splits the map  $-G'$ , we obtain the following isomorphism

$$H_{i-j}(S_{I:g}) \oplus H_i(S_{\langle I,g \rangle}) \cong H_i(S_I) \quad (3)$$

where we map the representative  $(f_1, f_2)$  in  $H_{i-j}(S_{I:g}) \oplus H_i(S_{\langle I,g \rangle})$  to the representative  $gf_1 + f_2$  in  $H_i(S_I)$ .

### 3.3.1 Decomposing $H_i(S_I)$ in a Special Case and an Example

We will now discuss a special case of when the conditions in Theorem (??) are satisfied. Consider the case where  $I$  is a monomial ideal and  $g$  is a monomial of degree  $j$  which is not in  $I$ . Then condition (1) is satisfied since if  $m$  is not in  $I : g$ , then  $gm$  is not in  $I$ , and so  $(gm)^G = gm$  which implies  $(gd(m))^G = gd(m)$ .

For condition (2) first assume that  $m$  is not in  $\langle I, g \rangle$ . Then then  $m^{G'} = m$ , which implies  $d(m)^{G'} = d(m) = d(m^{G'})$ . Thus condition (2) is satisfied in this case. Now assume that  $m = g$ . Then  $m^{G'} = 0$ , which implies  $d(m^{G'}) = 0$ . Thus, we must have  $d(g) = 0$  in order for condition (2) to be satisfied in this case. So assume  $d(g) = 0$  and consider the final case where  $m = m_1g$ . Since  $d(g) = 0$ , we obtain  $d(m)^{G'} = (d(m_1)g)^{G'} = 0$ , and thus (2) is satisfied in this case as well.

In the next example, we show how we can apply Theorem (??) recursively. In what follows, we frequently use the notation  $I, g$  to mean  $\langle I, g \rangle$  and  $I : g$  to mean  $I : \langle g \rangle$ . For example,  $I, g_1 : g_2 = \langle I, g_1 \rangle : \langle g_2 \rangle$ , and  $I : g_1, g_2 = \langle (I : g_1), \langle g_2 \rangle \rangle$ , and so on. We also note that  $I : g_1 : g_2 = I : g_1g_2$ .

**Example 3.1.** Consider  $S = K[x, y, z]$  and  $I = \langle x^3y, yz^3 \rangle$ . Then  $d(x^2) = d(z^2) = 0$ , and so

$$\begin{aligned} H_i(S_I) &= x^2H_{i-2}(S_{I:x^2}) \oplus H_i(S_{I,x^2}) \\ &= x^2(z^2H_{i-4}(S_{I:x^2z^2}) \oplus H_{i-2}(S_{I,x^2,z^2})) \oplus z^2H_{i-2}(S_{I,x^2,z^2}) \oplus H_i(S_{I,x^2,z^2}) \\ &= x^2z^2H_{i-4}(S_{I:x^2z^2}) \oplus x^2H_{i-2}(S_{I,x^2,z^2}) \oplus z^2H_{i-2}(S_{I,x^2,z^2}) \oplus H_i(S_{I,x^2,z^2}) \end{aligned}$$

We calculate

$$\begin{aligned} I : x^2z^2 &= \langle xy, yz \rangle \\ I, x^2 : z^2 &= \langle x^2, yz \rangle \\ I : x^2, z^2 &= \langle xy, z^2 \rangle \\ I, x^2, z^2 &= \langle x^2, z^2 \rangle \end{aligned}$$

The only part which has nontrivial homology is  $S_{I:x^2z^2}$ . Thus,  $H_5(S_I) = [d(x^3yz^2)]K$  and  $H_i(S_I) = 0$  for all  $i \neq 5$ .

**Example 3.2.** Consider  $S = K[x, y, z]$  and  $I = \langle x^7y^3z^2, x^3yz^3, x^2y^2z^5 \rangle$ . We calculate  $I : x^2z^2, y^2 = \langle xyz, y^2 \rangle$ . Thus,  $d(x^3yz^3)$  represents a nontrivial element in  $H_6(S_I)$ .

$$\begin{aligned} H_i(S_I) &= x^2H_{i-2}(S_{I:x^2}) \oplus H_i(S_{I,x^2}) \\ &= x^2(z^2H_{i-4}(S_{I:x^2z^2}) \oplus H_{i-2}(S_{I,x^2,z^2})) \oplus z^2H_{i-2}(S_{I,x^2,z^2}) \oplus H_i(S_{I,x^2,z^2}) \\ &= x^2z^2H_{i-4}(S_{I:x^2z^2}) \oplus x^2H_{i-2}(S_{I,x^2,z^2}) \oplus z^2H_{i-2}(S_{I,x^2,z^2}) \oplus H_i(S_{I,x^2,z^2}) \\ &= x^2z^2H_{i-4}(S_{I:x^2z^2}) \oplus x^2H_{i-2}(S_{I,x^2,z^2}) \oplus z^2H_{i-2}(S_{I,x^2,z^2}) \oplus H_i(S_{I,x^2,z^2}) \end{aligned}$$

$$H_i(S_I) = \sum \sum x_{\sigma(1)}^2 x_{\sigma(2)}^2 x_{\sigma(3)}^2 H(S_{I:x_{\sigma(1)}^2 x_{\sigma(2)}^2 x_{\sigma(3)}^2}) + x_{\sigma(1)}^2 x_{\sigma(2)}^2 x_{\sigma(3)}^2 H(S_{I:x_{\sigma(1)}^2 x_{\sigma(2)}^2, x_{\sigma(3)}^2})$$

## 4 Constructing the Cochain Complexes $(S, \delta)$ , $(S_I, \delta)$ , and $(I, \delta)$

For a  $K$ -vector space  $V$ , let  $V^* := \text{Hom}_K(V, K)$ . We refer to  $V^*$  as the **dual** of  $V$ . If  $\varphi : V \rightarrow W$  is a  $K$ -linear map from the vector space  $V$  to the vector space  $W$ , then we denote the  $K$ -linear map  $\text{Hom}_K(\varphi, K) : \text{Hom}_K(W, K) \rightarrow \text{Hom}_K(V, K)$  simply as  $\varphi^*$  and call it the **dual** of  $\varphi$ .

## 4.1 Construction of $(S, \delta)$ and $(I, \delta)$

The duals of  $S_I$ ,  $S$ , and  $I$  are all graded  $K$ -modules, where the homogeneous components are simply the duals of  $(S_I)_i$ ,  $S_i$ , and  $I_i$  respectively. In fact,  $S_I$ ,  $S/I$ ,  $S$ , and  $I$  are all isomorphic as graded  $K$ -modules to their duals: To get an isomorphism from  $S_i$  to  $S_i^*$ , we map the monomial  $x^\alpha \in S_i$  to the element  $\underline{x}^\alpha \in S_i^*$ , where  $\underline{x}^\alpha$  is defined on the monomial  $x^\beta \in S_i$  as

$$\underline{x}^\alpha(x^\beta) = \begin{cases} 0 & \text{if } \alpha \neq \beta \\ 1 & \text{if } \alpha = \beta \end{cases}$$

and is extended linearly everywhere else. The isomorphisms  $(S_I)_i^* \cong (S_I)_i$  and  $I_i^* \cong I_i$  are induced from this isomorphism.

We can describe  $d^*$  as follows: Let  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial of degree  $i - 1$  in  $S$ . Then

$$d^*(\underline{m}) = \sum_{\mu \in [m]_e} \underline{x}^\mu m.$$

Using the isomorphism from  $S$  to  $S^*$  described above, we pull  $d^*$  back to a map on  $S$  and we denote this map as  $\delta$  and call it the **codifferential**. Thus, for each monomial  $m \in S$ , we have

$$\delta(m) = \sum_{\mu \in [m]_e} x_\mu m. \quad (4)$$

It is clear that  $\delta$  is a graded endomorphism of  $S$  of degree 1 such that  $\delta^2 = 0$ , and thus gives  $S$  the structure of a cochain complex over  $K$ .

*Remark.* Note that  $(S, \delta)$  is not a differential graded  $K$ -algebra with respect to the usual multiplication maps. For instance, consider  $S = K[x, y]$ . Then  $\delta(xy) = 0$  but  $\delta(x)y + x\delta(y) = x^2y + xy^2$ . Later on we will introduce a product, called **cup product**, which will give  $(S, \delta)$  the structure of a differential graded  $K$ -algebra with respect to this product.

## 4.2 Construction of $(I, \delta)$

Using the description of  $\delta$  in (4) and the fact that  $I$  is an ideal, we see that  $\delta$  restricts to a map  $\delta : I \rightarrow I$ , which is also a graded endomorphism of  $S$  of degree 1 such that  $\delta^2 = 0$ , and thus gives  $I$  the structure of a cochain complex over  $K$ .

### 4.2.1 Kronecker Pairing

When we identify monomials  $\underline{x}^\alpha$  in  $S^*$  with monomials  $x^\alpha$  in  $S_i$ , we are forgetting the way monomials in  $S_i^*$  act on monomials in  $S_i$ . To make up for this, we introduce a symmetric bilinear form  $\langle \cdot, \cdot \rangle$  on  $S_i$  called the **Kronecker pairing**: For monomials  $x^\alpha$  and  $x^\beta$  in  $S_i$ , we set

$$\langle x^\alpha, x^\beta \rangle = \underline{x}^\alpha(x^\beta) = \begin{cases} 0 & \text{if } \alpha \neq \beta \\ 1 & \text{if } \alpha = \beta \end{cases}.$$

Then we extend this linearly to  $\langle \cdot, \cdot \rangle : S_i \times S_i \rightarrow K$ . From the way we constructed  $\delta$ , we have for all  $f_1, f_2 \in S_i$ , we have  $\langle \delta(f_1), f_2 \rangle = \langle f_1, d(f_2) \rangle$ .

## 4.3 Construction of $(S_I, \delta)$

Let  $\underline{\delta} : S_I \rightarrow S_I$  be the graded  $K$ -linear map of degree 1 given by

$$\underline{\delta}(f) := \delta(f)^G$$

for all  $f \in S_I$ . The map  $\underline{\delta}$  gives the graded  $K$ -module  $S_I$  the structure of a cochain complex over  $K$ .

## 4.4 Cup and Cap Product

We introduce some notation. Let  $m = x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  be a monomial in  $S$ . If  $\alpha_\lambda > 0$ , then we say  $x_\lambda$  is in the **support** of  $m$ . We denote by  $\text{supp}(m)$  to be the set of all  $x_\lambda$  in the support of  $m$ . We will assume that  $x_1 > x_2 > \cdots > x_n$ . We say  $x_\lambda$  is the **last nonzero coordinate** of  $m$  if  $x_\lambda$  is the smallest element in  $\text{supp}(m)$ . We say  $x_\lambda$  is the **first nonzero coordinate** of  $m$  if  $x_\lambda$  is the largest element in  $\text{supp}(m)$ .



#### 4.4.1 Cup Product

**Definition 4.1.** Let  $m_1$  and  $m_2$  be monomials  $S_i$  and  $S_j$  respectively. The **cup product** of  $m_1$  and  $m_2$  is

$$m_1 \smile m_2 = \begin{cases} \frac{m_1 m_2}{x_\lambda} & \text{if } x_\lambda \text{ is the last nonzero coordinate of } m_1 \text{ and the first nonzero coordinate of } m_2 \\ 0 & \text{otherwise} \end{cases}$$

This extends to a linear map  $\smile: S_i \times S_j \rightarrow S_{i+j-1}$  which we call the cup product.

**Example 4.1.** Let  $S = K[x, y, z]$ . Then

$$\begin{aligned} (x^2y + xy^2) \smile (x^5 + y^4z) &= x^2y \smile x^5 + xy^2 \smile x^5 + x^2y \smile y^4z + xy^2 \smile y^4z \\ &= x^2y \smile y^4z + xy^2 \smile y^4z \\ &= x^2y^4z + xy^5z. \end{aligned}$$

**Proposition 4.1.** Let  $m_1$  and  $m_2$  be two monomials in  $S$ . Then

$$\delta(m_1 \smile m_2) = \delta(m_1) \smile m_2 + m_1 \smile \delta(m_2). \quad (5)$$

*Proof.* Let  $x_{\lambda_1}$  be the last nonzero coordinate of  $m_1$  and let  $x_{\lambda_2}$  be the first nonzero coordinate of  $m_2$ . First assume that  $x_{\lambda_1} > x_{\lambda_2}$ . Then  $m_1 \smile m_2 = 0$ , and this implies  $\delta(m_1 \smile m_2) = 0$ . Also, the last nonzero coordinate of every monomial in  $\delta(m_1)$  will be greater than or equal to  $x_{\lambda_1}$  which is strictly greater than  $x_{\lambda_2}$ . Therefore  $\delta(m_1) \smile m_2 = 0$ . Similarly, the first nonzero in  $\delta(m_2)$  will be smaller than or equal to  $x_{\lambda_2}$  which is strictly smaller than  $x_{\lambda_1}$ . Therefore  $m_1 \smile \delta(m_2) = 0$ . So we trivially have (5) in this case.

Now we assume  $x_{\lambda_2} > x_{\lambda_1}$ . Then  $m_1 \smile m_2 = 0$ , and this implies  $\delta(m_1 \smile m_2) = 0$ . Also, since  $x_{\lambda_1} \in [m_2]_e$  and  $x_{\lambda_2} \in [m_1]_e$ , we will have  $\delta(m_1) \smile m_2 = m_1 m_2$  and  $m_1 \smile \delta(m_2) = m_1 m_2$ . Adding everything together, we get

$$\delta(m_1 \smile m_2) = 0 = \delta(m_1) \smile m_2 + m_1 \smile \delta(m_2).$$

Finally, assume  $x_{\lambda_1} = x_{\lambda_2}$ . Let's denote this common variable as  $x_\mu$ . On the one hand, we have

$$\delta(m_1 \smile m_2) = \delta\left(\frac{m_1 m_2}{x_\mu}\right) = \sum_{\substack{x_\lambda \in [m_1]_e \\ x_\lambda \leq x_\mu}} \frac{x_\lambda m_1 m_2}{x_\mu} + \sum_{\substack{x_\lambda \in [m_2]_e \\ x_\lambda \geq x_\mu}} \frac{x_\lambda m_1 m_2}{x_\mu}$$

On the other hand, we have

$$\delta(m_1) \smile m_2 = \sum_{\substack{x_\lambda \in [m_1]_e \\ x_\lambda \leq x_\mu}} \frac{x_\lambda m_1 m_2}{x_\mu} \quad \text{and} \quad m_1 \smile \delta(m_2) = \sum_{\substack{x_\lambda \in [m_2]_e \\ x_\lambda \geq x_\mu}} \frac{x_\lambda m_1 m_2}{x_\mu}.$$

Combining these together gives the desired result.  $\square$

#### 4.4.2 Cap Product

**Definition 4.2.** Let  $m_1$  and  $m_2$  be monomials  $S_i$  and  $S_j$  respectively. The **cap product** of  $m_1$  and  $m_2$  is

$$m_1 \frown m_2 = \begin{cases} x_\lambda \frac{m_2}{m_1} & \text{if } m_1 \mid m_2, x_\lambda \text{ is the last nonzero coordinate of } m_1, \text{ and } x_\lambda \text{ is the first nonzero coordinate of } \frac{x_\lambda m_2}{m_1}. \\ 0 & \text{otherwise} \end{cases}$$

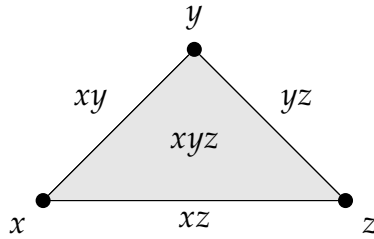
The cap product extends linearly to a map  $\frown: S_i \times S_j \rightarrow S_{i-j+1}$ .

## 5 Topological Interpretation of $H(S_I)$

In this section, we give a topological interpretation of  $H(S_I)$ . Since  $H(S_{\text{LT}(I)}) \cong H(S_I)$ , we only need to consider the case where  $I$  is a monomial ideal. Moreover, by using Theorem (??), we can specialize further to the case that  $I$  is a squarefree monomial ideal before tackling the more general case. Thus we will assume that  $I$  is a squarefree monomial ideal in this section. Our goal is to show that  $H(S_I)$  is isomorphic to the simplicial homology of a simplicial complex which is associated with  $I$ .

## 5.1 Reinterpreting Simplicial Complexes

We want to reinterpret the theory simplicial complexes using the language of monomials. There is a bijection between the set of subsets of  $\{x_1, \dots, x_n\}$  and the set of squarefree monomials in the variables  $x_1, \dots, x_n$ . Indeed, if  $m$  is a squarefree monomial, then the corresponding subset of  $\{x_1, \dots, x_n\}$  is  $\text{supp}(m)$ . Moreover, if  $m$  and  $m'$  are squarefree monomials, then  $m$  divides  $m'$  if and only if  $\text{supp}(m) \subseteq \text{supp}(m')$ . Here's how we think of the squarefree monomials in  $x, y, z$  sit on the 2-simplex:



## 5.2 Stanley-Reisner Rings

### 5.2.1 Stanley-Reisner Ring

Let  $\Delta$  be a simplicial complex on  $\{x_1, \dots, x_n\}$ . We denote by  $I_\Delta$  to be the ideal of nonfaces of  $\Delta$ , that is,  $I_\Delta$  is generated by the squarefree monomials  $m$  in  $S$  which are not in  $\Delta$ . We define the **Stanley-Reisner ring**  $K[\Delta]$  of the simplicial complex  $\Delta$  to be the  $K$ -algebra  $K[\Delta] := S/I_\Delta$ . We will also denote by  $I_\Delta^{\text{sq}}$  to mean  $I_\Delta^{\text{sq}} := \langle I_\Delta, x_1^2, \dots, x_n^2 \rangle$ . Conversely, if  $I$  is a squarefree monomial ideal in  $S$ . Then we denote by  $\Delta_I$  the simplicial complex on  $\{x_1, \dots, x_n\}$  whose ideal of nonfaces is  $I$ , that is,  $\Delta_I$  consists of all squarefree monomials which do not belong to  $I$ .

**Lemma 5.1.** *Let  $I$  be a monomial ideal. If  $H(S_I) = 0$ , then  $H(S_{I, x_\lambda^2}) = H(S_{I, x_\lambda^2}) = 0$ .*

*Proof.* From Theorem (??), we have a decomposition

$$H_i(S_I) \cong x_\lambda^2 H_{i-2}(S_{I, x_\lambda^2}) \oplus H_i(S_{I, x_\lambda^2})$$

for all  $i \in \mathbb{Z}$ . Thus,  $H(S_I) = 0$  implies  $H(S_{I, x_\lambda^2}) = H(S_{I, x_\lambda^2}) = 0$ . □

**Lemma 5.2.** *Let  $\Delta$  be a simplicial complex on  $\{x_1, \dots, x_n\}$ . Then*

$$H(S_{I_\Delta, x_1^2, \dots, x_{\lambda-1}^2, x_\lambda^2}) = 0$$

for all  $\lambda = 1, \dots, n$ .

*Proof.* We prove this by induction. For the base case, let  $f$  represent an element in  $H(S_{I_\Delta, x_1^2})$  and write  $f$  in terms of its monomial basis as

$$f = \sum_{\lambda=1}^s a_\lambda m_\lambda,$$

where  $a_\lambda \in K$  for all  $\lambda = 1, \dots, s$ . Since  $I_\Delta$  is a squarefree monomial ideal, we have  $I_\Delta : x_1^2 = I_\Delta : x_1$ . We claim that  $x_1 f \in S_{I_\Delta, x_1^2}$ . Indeed, for all  $\lambda = 1, \dots, s$ , we have  $x_1 m_\lambda \in S_{I_\Delta, x_1} = S_{I_\Delta, x_1^2}$ . This implies our claim. Now since  $x_1 f \in S_{I_\Delta, x_1^2}$  and  $d(x_1 f) = f$ , it follows that  $f$  represents the zero element in  $H(S_{I_\Delta, x_1^2})$ .

Now assume that  $H(S_{I_\Delta, x_1^2, \dots, x_{\lambda-1}^2, x_\lambda^2}) = 0$  for some  $1 \leq \lambda < n$ . We prove that this implies  $H(S_{I_\Delta, x_1^2, \dots, x_\lambda^2, x_{\lambda+1}^2}) = 0$ . First note that

$$H(S_{I_\Delta, x_1^2, \dots, x_\lambda^2, x_{\lambda+1}^2}) = H(S_{I_\Delta, x_{\lambda+1}^2, x_1^2, \dots, x_\lambda^2}) = 0,$$

The same argument in the paragraph above implies  $H(S_{I_\Delta, x_{\lambda+1}^2}) = 0$ . Now we inductively apply Lemma (5.1) to get

$$H(S_{I_\Delta, x_{\lambda+1}^2, x_1^2, \dots, x_\lambda^2}) = 0.$$

□

**Theorem 5.3.** *Let  $\Delta$  be a simplicial complex on  $\{x_1, \dots, x_n\}$ . Then*

$$H_i(S_{I_\Delta}) \cong H_i(S_{I_\Delta^{\text{sq}}}) \cong \tilde{H}_{i-1}(\Delta; K)$$

for all  $i \in \mathbb{Z}$ .

*Proof.* Let us first show that  $H_i(S_{I_\Delta^{\text{sq}}}) \cong \tilde{H}_{i-1}(\Delta; K)$ . The map  $\varphi : S_{I_\Delta^{\text{sq}}} \rightarrow S(\Delta)$ , given by  $\varphi(m) = [m]_o$  for all monomials  $m \in S_{I_\Delta^{\text{sq}}}$ , is a graded isomorphism of degree  $-1$ . Moreover, it is easy to check that  $\varphi d = \partial \varphi$ . Thus  $\varphi$  induces an isomorphism  $H_i(S_{I_\Delta^{\text{sq}}}) \cong \tilde{H}_{i-1}(\Delta; K)$ .

Now we will prove that  $H_i(S_{I_\Delta}) \cong H_i(S_{I_\Delta^{\text{sq}}})$ . We do this by combining Theorem (??) and Lemma (5.2). We have

$$\begin{aligned} H_i(S_{I_\Delta}) &\cong x_1^2 H_{i-2}(S_{I_\Delta : x_1^2}) \oplus H_i(S_{I_\Delta, x_1^2}) \\ &\cong H_i(S_{I_\Delta, x_1^2}) \\ &\cong x_2^2 H_i(S_{I_\Delta, x_1^2 : x_2^2}) \oplus H_i(S_{I_\Delta, x_1^2, x_2^2}) \\ &\cong H_i(S_{I_\Delta, x_1^2, x_2^2}) \\ &\vdots \\ &\cong H_i(S_{I_\Delta^{\text{sq}}}) \end{aligned}$$

for all  $i \in \mathbb{Z}$ . □

**Example 5.1.** Consider  $S = K[x_1, x_2, x_3, x_4, x_5]$  and  $I_\Delta = \langle x_1 x_4, x_1 x_5, x_2 x_5, x_2 x_3 x_4, x_3 x_5, x_4 x_5 \rangle$ . Then  $S/I_\Delta$  is the Stanley-Reisner ring of the simplex  $\Delta$  given in Example (??). Let's write down each homogeneous piece side by side:

$$\begin{array}{ll} S_2(\Delta) = K\{1, 2, 3\} & (S_{I_\Delta^{\text{sq}}})_3 = Kx_1 x_2 x_3 \\ S_1(\Delta) = K\{1, 3\} + K\{1, 2\} + K\{2, 3\} + K\{2, 4\} + K\{3, 4\} & (S_{I_\Delta^{\text{sq}}})_2 = Kx_1 x_3 + Kx_1 x_2 + Kx_2 x_3 + Kx_2 x_4 + Kx_3 x_4 \\ S_0(\Delta) = K\{1\} + K\{2\} + K\{3\} + K\{4\} + K\{5\} & (S_{I_\Delta^{\text{sq}}})_1 = Kx_1 + Kx_2 + Kx_3 + Kx_4 + Kx_5 \\ S_{-1}(\Delta) = K \cdot \emptyset & (S_{I_\Delta^{\text{sq}}})_0 = K \cdot 1 \end{array}$$