# NNTI Assignment 6

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12/5/24, 2:00 PM

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#### **Batch Normalization**

In this exercise you will again construct a feed forward neural network, however you will use batch normalization during training. Training deep neural networks can be challenging due to the change in the distribution of inputs to layers deep in the network as a result of the updates of the weights in the previous layers. This causes the learning to chase a "moving target", which slows down the learning process. Batch normalization is a technique that aims to address this problem by normalizing layer inputs. This stabilizes the learning process and can greatly decrease training time. If you are interested you can read the paper introducing batch normalization here.

You will be working with the FashionMNIST dataset by Zalando, which consists of  $28 \times 28$  black and white images and has 10 classes just like the MNIST dataset. But instead of numbers the classes are various items of clothing such as shoes, t-shirts, dresses, etc.

## 1. Baseline Network (1 point)

You are provided with a dataloader for the train and test sets, each with a batch size of 64. Your task is to construct a feed forward neural network with 3 hidden linear layers with a ReLU after each of the first 2 layers. The first layer should have a hidden size of 64 and the second a hidden size of 32. This is a multi-class classification problem so you will need to use cross entropy loss (provided by nn.CrossEntropyLoss). Use a stochastic gradient descent (provided by torch.optim.SGD) with a learning rate of 0.001 as the optimizer. Train your network on the training data for 5 epochs and report accuracy on the **test** set after each epoch, for this refer to PyTorch Training Loop and Per-Epoch Activity. Make sure to save the accuracies. (hint: the data comes in the format of  $28 \times 28$  tensors, so you will need flatten it to train your network)

```
In [19]: import torch
import torchvision
import torch.nn as nn
from torchvision import transforms
import matplotlib.pyplot as plt
```

```
In [20]: # load train and test set
         fashion trainset = torchvision.datasets.FashionMNIST('data/', train=True,
         fashion testset = torchvision.datasets.FashionMNIST('data/', train=False,
In [21]: # get train and test loader
         fashion train loader = torch.utils.data.DataLoader(dataset=fashion trains
         fashion test loader = torch.utils.data.DataLoader(dataset=fashion testset
In [22]: class FashionNetwork(nn.Module):
             def init (self):
                 super(FashionNetwork, self).__init__()
                 self.flatten = nn.Flatten()
                 self.fc1 = nn.Linear(28*28, 64)
                 self.relu1 = nn.ReLU()
                 self.fc2 = nn.Linear(64, 32)
                 self.relu2 = nn.ReLU()
                 self.fc3 = nn.Linear(32, 10)
                 self.loss fn = nn.CrossEntropyLoss()
                 self.optimizer = torch.optim.SGD(self.parameters(), lr=0.001)
                 self.train accuracies = []
                 self.test accuracies = []
                 self.train losses = []
             def forward(self, x):
                 x = self.flatten(x)
                 x = self.fcl(x)
                 x = self.relu1(x)
                 x = self.fc2(x)
                 x = self.relu2(x)
                 x = self.fc3(x)
                 return x
             def optimize(self, train loader, test loader):
                 for epoch in range(5):
                     self.train()
                     correct = 0
                     total = 0
                     epoch loss = 0
                     for inputs, labels in train loader:
                          outputs = self(inputs)
                         loss = self.loss fn(outputs, labels)
                         epoch loss += loss.item()
                         self.optimizer.zero grad()
                         loss.backward()
                         self.optimizer.step()
                          _, predicted = torch.max(outputs, 1)
                         total += labels.size(0)
                         correct += (predicted == labels).sum().item()
                     train_accuracy = correct / total
                     self.train accuracies.append(train accuracy)
                     self.train losses.append(epoch loss / len(train loader))
                     test accuracy = self.test(test loader)
                     self.test_accuracies.append(test_accuracy)
                     print(f"Epoch {epoch+1}, Train Acc: {train_accuracy:.4f}, Tes
             def test(self, test loader):
                 self.eval()
                 correct = 0
```

```
total = 0
with torch.no_grad():
    for inputs, labels in test_loader:
        outputs = self(inputs)
        _, predicted = torch.max(outputs, 1)
        total += labels.size(0)
        correct += (predicted == labels).sum().item()
accuracy = correct / total
return accuracy
```

```
In [23]: fashion_network = FashionNetwork()
    fashion_network.optimize(fashion_train_loader, fashion_test_loader)
```

```
Epoch 1, Train Acc: 0.2121, Test Acc: 0.2280
Epoch 2, Train Acc: 0.2287, Test Acc: 0.2539
Epoch 3, Train Acc: 0.3312, Test Acc: 0.3924
Epoch 4, Train Acc: 0.4998, Test Acc: 0.5534
Epoch 5, Train Acc: 0.5767, Test Acc: 0.5719
```

### 2. Network with Batch Normalization (0.5 points)

Construct another network with the same parameters as 2.1 but this time include a batch normalization layer (use nn.BatchNorm1d). Where to place this layer is up to you, but you can reference the lecture slides for inspiration. Again train your network for 5 epochs and report **test** accuracy after each epoch.

```
In [24]: class FashionNetworkBatchNorm(nn.Module):
             def init (self):
                 super(FashionNetworkBatchNorm, self). init ()
                 self.flatten = nn.Flatten()
                 self.fc1 = nn.Linear(28*28, 64)
                 self.bn1 = nn.BatchNorm1d(64)
                 self.relu1 = nn.ReLU()
                 self.fc2 = nn.Linear(64, 32)
                 self.bn2 = nn.BatchNorm1d(32)
                 self.relu2 = nn.ReLU()
                 self.fc3 = nn.Linear(32, 10)
                 self.loss_fn = nn.CrossEntropyLoss()
                 self.optimizer = torch.optim.SGD(self.parameters(), lr=0.001)
                 self.train accuracies = []
                 self.test accuracies = []
                 self.train_losses = []
             def forward(self, x):
                 x = self.flatten(x)
                 x = self.fcl(x)
                 x = self.bn1(x)
                 x = self.relu1(x)
                 x = self.fc2(x)
                 x = self.bn2(x)
                 x = self.relu2(x)
                 x = self.fc3(x)
                 return x
             def optimize(self, train_loader, test_loader):
                 for epoch in range(5):
                      self.train()
                     correct = 0
```

```
total = 0
        epoch loss = 0
        for inputs, labels in train_loader:
            outputs = self(inputs)
            loss = self.loss fn(outputs, labels)
            epoch loss += loss.item()
            self.optimizer.zero grad()
            loss.backward()
            self.optimizer.step()
             , predicted = torch.max(outputs, 1)
            total += labels.size(0)
            correct += (predicted == labels).sum().item()
        train accuracy = correct / total
        self.train accuracies.append(train accuracy)
        self.train losses.append(epoch loss / len(train loader))
        test accuracy = self.test(test loader)
        self.test accuracies.append(test accuracy)
        print(f"Epoch {epoch+1}, Train Acc: {train accuracy:.4f}, Tes
def test(self, test loader):
    self.eval()
    correct = 0
    total = 0
    with torch.no grad():
        for inputs, labels in test loader:
            outputs = self(inputs)
            _, predicted = torch.max(outputs, 1)
            total += labels.size(0)
            correct += (predicted == labels).sum().item()
    accuracy = correct / total
    return accuracy
```

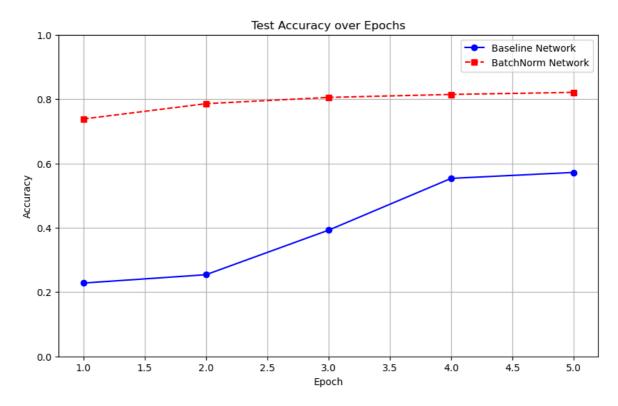
```
In [25]: fashion_network_batch_norm = FashionNetworkBatchNorm()
    fashion_network_batch_norm.optimize(fashion_train_loader, fashion_test_lo
    Epoch 1, Train Acc: 0.6077, Test Acc: 0.7380
    Epoch 2, Train Acc: 0.7624, Test Acc: 0.7856
    Epoch 3, Train Acc: 0.7961, Test Acc: 0.8051
    Epoch 4, Train Acc: 0.8115, Test Acc: 0.8143
    Epoch 5, Train Acc: 0.8210, Test Acc: 0.8207
```

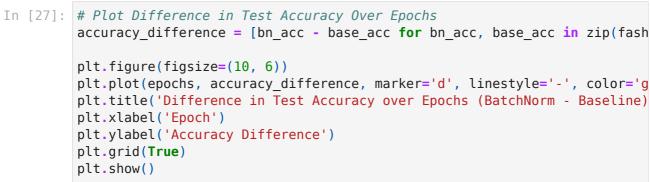
# 3. Plotting the Performances (0.25 points)

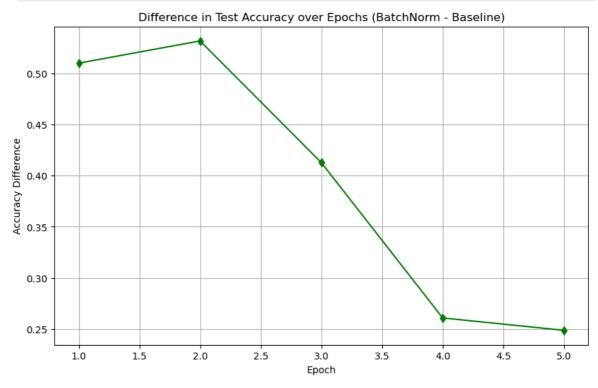
Plot the accuracies of the 2 networks and **discuss what you observe**.

```
In [26]: # Plot Test Accuracies Over Epochs
    epochs = range(1, 6)

plt.figure(figsize=(10, 6))
    plt.plot(epochs, fashion_network.test_accuracies, marker='o', linestyle='
    plt.plot(epochs, fashion_network_batch_norm.test_accuracies, marker='s',
    plt.title('Test Accuracy over Epochs')
    plt.xlabel('Epoch')
    plt.ylabel('Accuracy')
    plt.ylim(0, 1.0) # Adjust y-axis to focus on the relevant range
    plt.legend()
    plt.grid(True)
    plt.show()
```







# **Observations**

#### 1. Faster Learning with Batch Normalization

- From **Epoch 1**, the network with BatchNorm achieves a significantly higher test accuracy (73.17%) compared to the baseline network (21.50%).
- This indicates that Batch Normalization stabilizes the learning process much faster from the beginning.

# 2. Improved Final Accuracy

- At the end of Epoch 5, the BatchNorm network reaches a test accuracy of 81.33%, outperforming the baseline network, which achieves only 61.90%.
- This indicates that BatchNorm has signifinant improvement in terms of learning and generalization.

### 3. Steady Accuracy Progression

- The accuracy curve for the BatchNorm network shows a smoother and more
  consistent increase compared to the baseline network, which progresses more
  slowly and less steadily.
- This indicates that the BatchNorm network experiences more stable training dynamics.

# 4. Performance Gap

- The difference in test accuracy between the two networks widens over the epochs:
  - **Epoch 1**: 73.17% (BatchNorm) vs. 21.50% (Baseline) → **Gap: 51.67%**
  - **Epoch 5**: 81.33% (BatchNorm) vs. 61.90% (Baseline) → **Gap: 19.43%**
- This indicates that the BatchNorm network consistently outperforms the baseline across all epochs.

#### Conclusion

Batch Normalization significantly enhances the performance of neural networks by stabilizing learning, improving generalization, and maintaining superior accuracy throughout training.