Advanced Generative AI: Models, Tools and Applications



# **Attention Mechanism and Transformers**



## **Quick Recap**



- In a variational autoencoder, how does the concept of a **latent space** contribute to its ability to generate new data points?
- The roles of the **generator** and **discriminator** in a generative adversarial network. How does their adversarial relationship during training lead to the generation of realistic images?

# **Engage and Think**



- Imagine creating an AI system tasked with summarizing a long, complex scientific article. This article contains important points, technical terms, and detailed explanations. The system aims to produce a concise, clear summary. It must capture the article's essence without omitting any significant details.
- How can you design your AI to identify and highlight the most important parts of a complex scientific article while considering the overall context, akin to using a spotlight?

## **Learning Objectives**

By the end of this lesson, you will be able to:

- Analyze the foundational concepts of attention mechanisms in Transformer models to emphasize their impact on language processing capabilities
- Determine how multi-head attention mechanisms enhance the Transformer architecture in processing complex language models
- Identify the practical applications of Transformer-based models across various fields, including healthcare, finance, and recommendation systems



**Introduction to Attention Mechanism** 

#### **Limitation of Traditional Architecture**

The limitations of the traditional encoder and decoder architecture include:

#### Information bottleneck

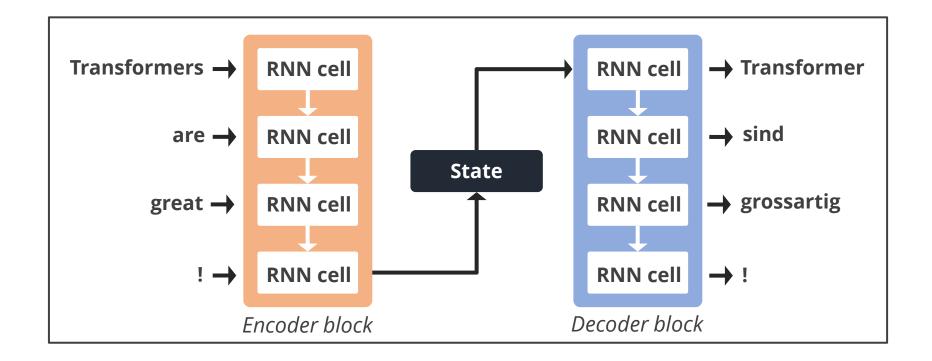
The final hidden state of the encoder must encapsulate the entire input sequence, posing challenges for long sequences as early information might be lost.

#### Challenges with long sequences

Traditional architectures struggle with long sequences as they compress all information into a single, fixed representation, making it difficult to maintain the integrity of the entire sequence.

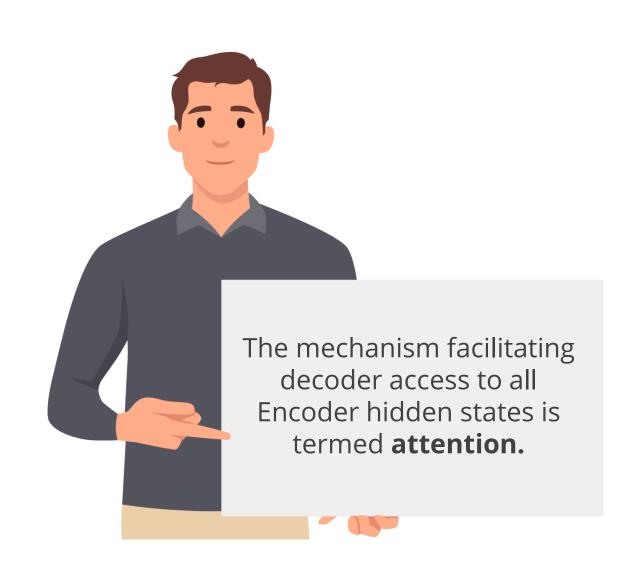
## **Traditional Architecture**

Below is a traditional encoder and decoder architecture:



## **Attention Mechanism**

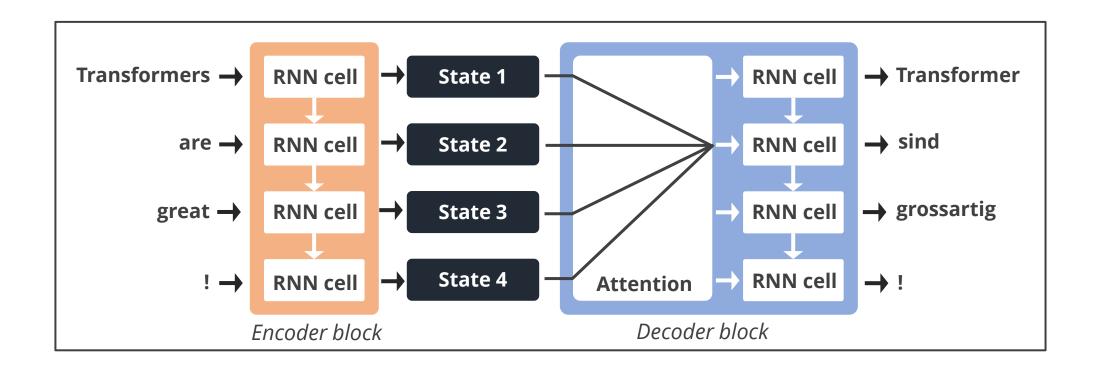
To address this bottleneck, a solution involves granting the decoder access to all the encoder's hidden states.



#### **Attention Based Architecture**

Bahdanau et al. (2014) introduced the attention mechanism in their paper **Neural Machine Translation by Jointly Learning to Align and Translate**.

Below is the encoder and decoder architecture with attention:



**Note:** While the above-mentioned paper describes the concept of attention, the mechanism differs slightly from the one introduced in **Attention Is All You Need (2017)**, which forms the foundation of transformer architectures.

## **Working of Attention Mechanism**

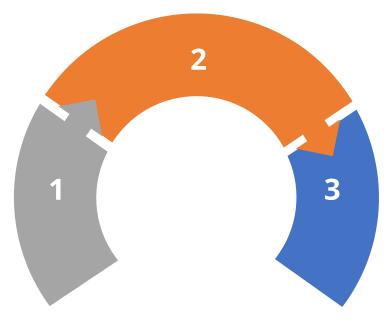
Below are the working stages of an attention mechanism:

#### **Assigning Weights**

Models assign weights to input elements based on their relevance to a specific context or query.

#### **Focusing on Relevant Information**

Attention mechanisms enable models to selectively focus on relevant parts of the input data when generating output.



#### **Contextual Computation**

This weighted sum or context vector captures crucial information for the model's decision-making.

## **Types of Attention Mechanism**

Various attention mechanisms are tailored for different applications, and three such types are the following:

# Additive Attention

- Model creates a weighted sum of input elements by applying learned weights or parameters.
- This method calculates attention by learning the importance of each element in the input sequence.

## **Types of Attention Mechanism**

Various attention mechanisms are tailored for different applications, and three such types are the following:

# Multiplicative Attention

- Model generates attention weights through element-wise multiplication between the input elements and a learned parameter vector.
- This approach allows the model to capture complex interactions between elements.

## **Types of Attention Mechanism**

Various attention mechanisms are tailored for different applications, and three such types are the following:

#### **Self Attention**

- Model attends to different positions of the input sequence by comparing each position with all other positions.
- It involves comparing each element with every other element in the sequence, including itself, to reweigh their importance based on contextual relevance.
- Self-attention is fundamental in generative AI and is commonly used in Transformers.

## **Quick Check**



What is the primary limitation of traditional encoderdecoder architectures in processing sequence data?

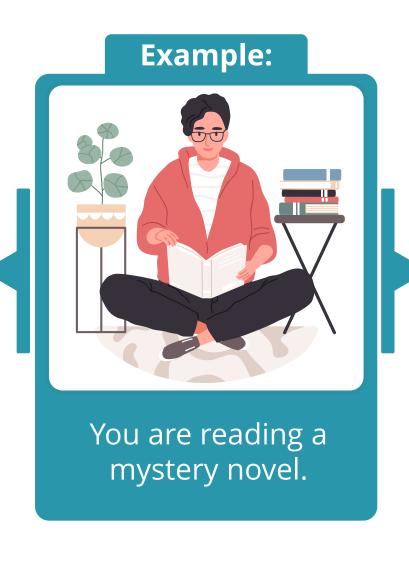
- A. They are optimized for handling long sequences efficiently.
- B. They require additional layers to process sequences of any length.
- C. They can lose early sequence information due to the information bottleneck.
- D. They are unable to process sequences in a fixed representation.

**Self-Attention Mechanism** 

## **Understanding Self-Attention**

Self-attention, a key component in Natural Language Processing (NLP), enables the network to focus on particular words or phrases in a sentence for improving context understanding.

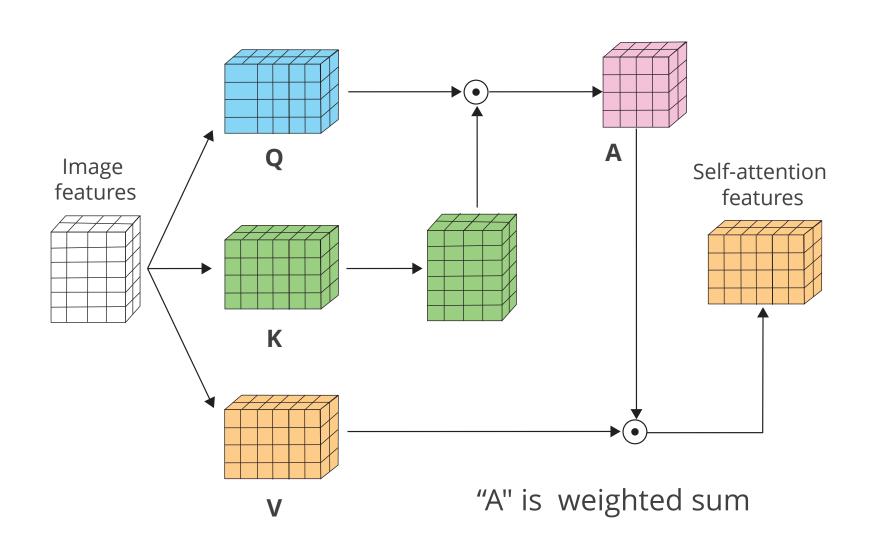
While reading a novel, you simultaneously focus on the current page and recall earlier events, characters, and clues.



This context helps you understand the story better and predict future events.

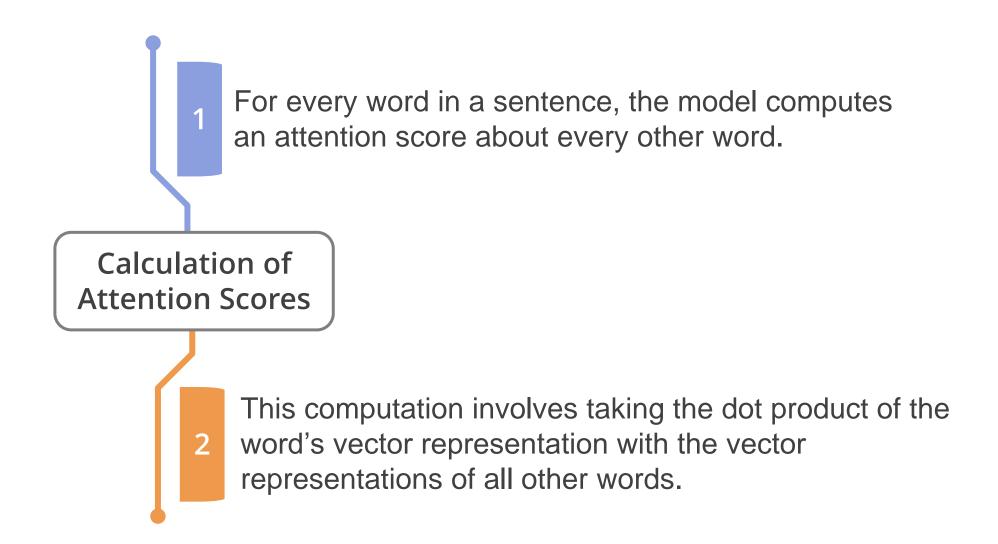
## **Self-Attention**

In the self-attention mechanism, the input image feature is defined as Query (Q), Key (K), and Value (V).

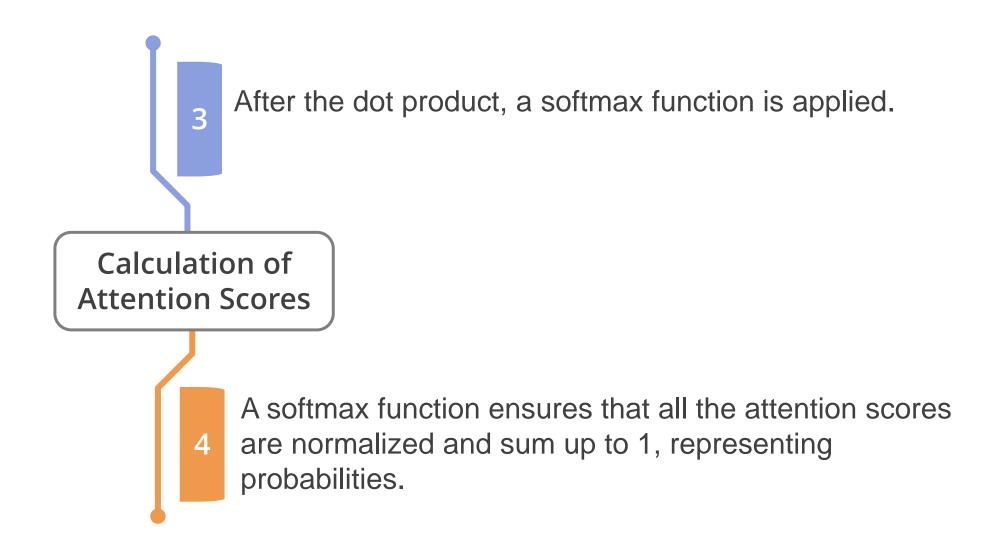


The attention mechanism is to calculate the attention weight between the query and key and then used to strengthen the value.

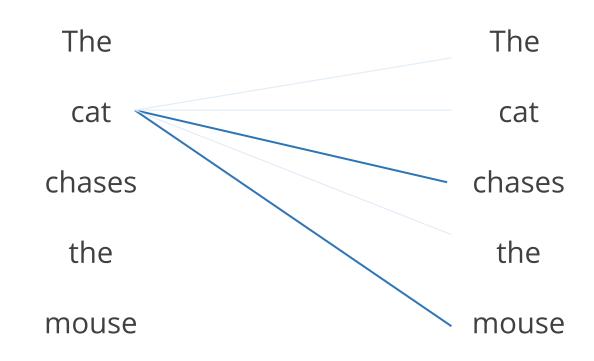
In the self-attention mechanism, attention scores are crucial, determining the importance assigned to each word in a sentence during the encoding process.



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The attention scores are based on the relative positions and semantic similarities of words, with those closer in position or more similar semantically receiving higher scores.



#### Example: The cat chases the mouse.

- The word "cat" has semantic connections to both "chases" and "mouse."
- As a result, the pairs "cat-chases" and "cat-mouse" achieve high attention scores.
- This is because "cat" performs the action "chases," and "mouse" is the action's object.
- The model identifies these relationships and assigns higher attention scores accordingly.

The process of context-aware embeddings are as follows:

#### Constructing contextual embeddings:

- After computing the attention scores, form a contextual representation of the sentence.
- Achieve this by creating a weighted sum of the vector representations (embeddings) of all words in the sentence.
- Use the previously computed attention scores as weights for this summation.

The process of context-aware embeddings are as follows:

### The Significance of weights:

- The weights, also known as attention scores, are essential.
- They determine the contribution of each word to the representation of another word.
- A higher attention score indicates a significant impact on the context of the other word.

The process of context-aware embeddings are as follows:

#### Outcome:

- The process results in a new set of word representations.
- These new representations, known as context-aware embeddings, differ from the original word embeddings.
- Context-aware embeddings capture the semantics of each word within the context of the given sentence.
- They represent not just the word, but also its meaning and role in the sentence.

## **Quick Check**



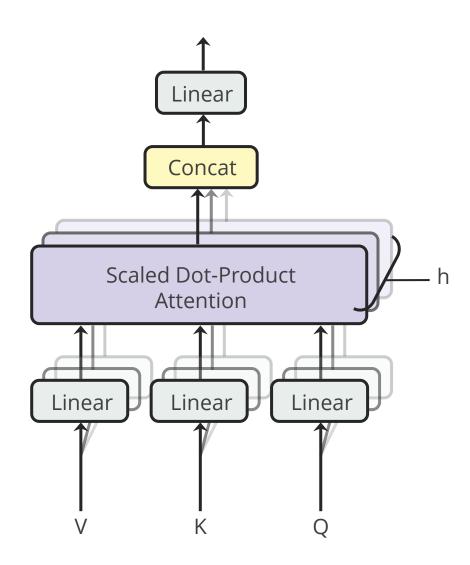
Question: What is the primary purpose of the selfattention mechanism in the context of NLP models?

- A. To reduce the computational complexity of the model.
- B. To assign higher attention scores to words that are semantically similar or closer in position.
- C. To increase the size of the model's vocabulary.
- D. To translate sentences from one language to another.

**Multi-Head Attention Mechanism** 

## **Multi-Head Attention**

It is an extension of the self-attention mechanism that enables a model to focus on various parts of the input sequence at different times.



h = Parallel attention layers or heads

- Multi-head attention employs independent linear layers for keys (K), queries (Q), and values (V).
- It combines each attention head's output and processes it through a non-linear function.
- It enables the model to focus on different data positions.
- It treats inputs as sets of elements rather than as sequences.

Let's understand the steps by considering a simple sentence: "The cat sat on the mat."



**Splitting the input** 

**Attention heads** 

Independent computation

- Assume you use a two-head attention mechanism.
- First, transform the input embeddings of each word in the sentence using two distinct linear transformations.
- This process yields two sets of transformed inputs.
- Each set provides a unique perspective on the sentence.





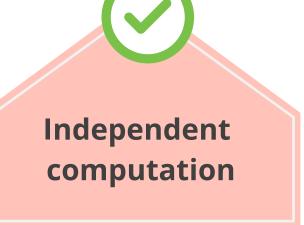
**Attention heads** 

Independent computation

- Each transformed input undergoes processing by a separate self-attention mechanism known as an **attention head**.
- The first attention head focuses on syntactic features, such as word order.
- The second attention head concentrates on semantic features, such as word meaning.

Splitting the input

**Attention heads** 



- Every attention head operates independently.
- Each attention head calculates attention scores by comparing each word in its input with every other word.
- For instance, when processing **cat**:
  - a. The first attention head might assign high scores to **sat** and **mat**, due to their syntactic relation to a **cat**.
  - b. The second attention head could give a high score to **the**, commonly found before nouns.

Splitting the input

**Attention heads** 

Independent computation



- Attention heads generate new embeddings for words using attention scores.
- For a word like **cat**, its new embedding is a weighted sum of all sentence word embeddings.
- These weights come from the attention scores.
- This method ensures that each word's embedding captures its meaning and sentence context.

## **Quick Check**



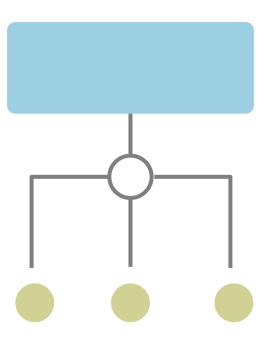
Which specific mechanism in Transformer models enables the ability to process multiple aspects of the data simultaneously for improved context understanding?

- A. Multi-head attention
- B. Splitting attention weights
- C. Attention mechanism
- D. Transformer architecture

**Introduction to Transformers** 

#### What Is Transformer?

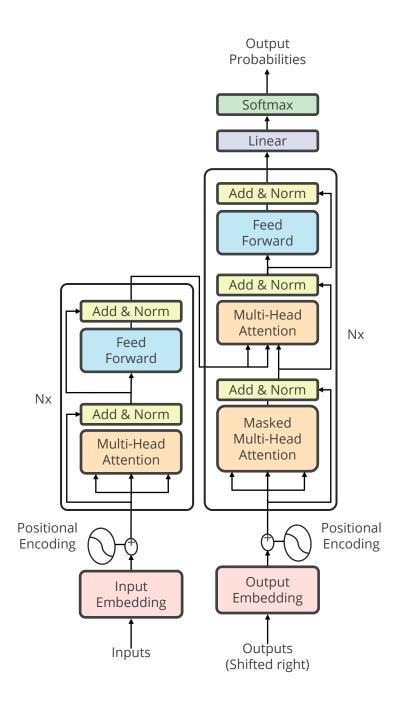
A new architecture unlike traditional models relies entirely on attention mechanisms, (self-attention) discarding the need for recurrence and convolutions like traditional architecture does.



It is a type of sequence-to-sequence model that uses self-attention to process input sequences and generate output sequences.

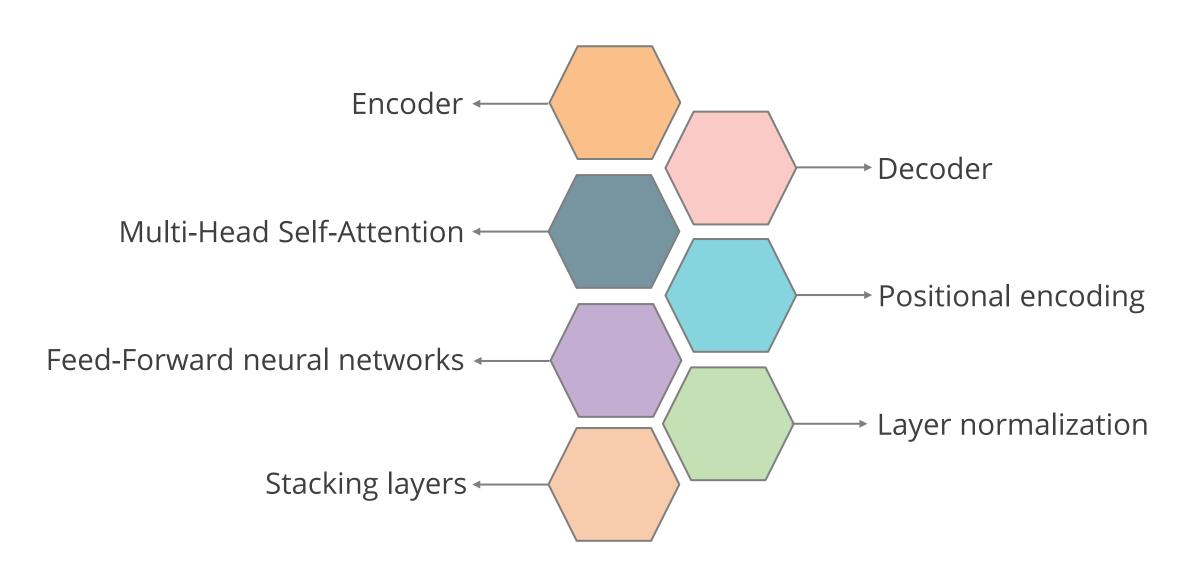
## What Is Transformer?

The Transformer architecture has been widely used in NLP tasks such as machine translation, text generation, and language modeling.

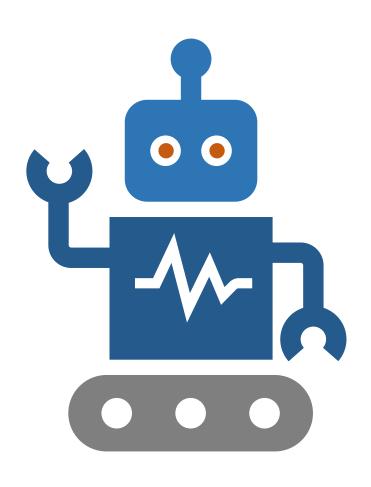


# **Components of Transformer**

Following are the components of Transformer:



### **Practical Applications of Transformers**



### Medical Record Summarization

Simplify the process of summarizing lengthy medical records, helping healthcare professionals make more informed decisions in less time.

### Sentiment Analysis in Trading

Traders use transformers for sentiment analysis of financial news and social media to gain insights into market trends and make data-driven decisions.

### Recommendation Systems

Transformers power recommendation engines, offering personalized product suggestions based on user behavior and preferences.

# **Quick Check**



Which component is NOT part of the Transformer model?

- A. Recurrent Neural Layers
- B. Encoder
- C. Positional Encoding
- D. Layer Normalization

**Industry Use Case: Transformers** 

### **Problem Scenario**

**Context:** 

In the healthcare sector, managing vast quantities of patient data is an ongoing challenge. Physicians and healthcare professionals often need to sift through extensive medical records to extract critical information. This process is time-consuming, and there is a risk of important details being overlooked, leading to delayed diagnosis and treatment planning.

### **Problem Scenario**

### **Challenges:**

- **Time-consuming data review:** Healthcare providers spend a substantial amount of time reviewing lengthy medical records, affecting their efficiency and the time available for patient care.
- **Risk of missing key information:** The human review process can inadvertently miss important information, which can have critical implications for patient care.
- Accuracy and consistency: Ensuring that medical records are consistently reviewed is a significant challenge, as the quality of summaries can vary among healthcare professionals.

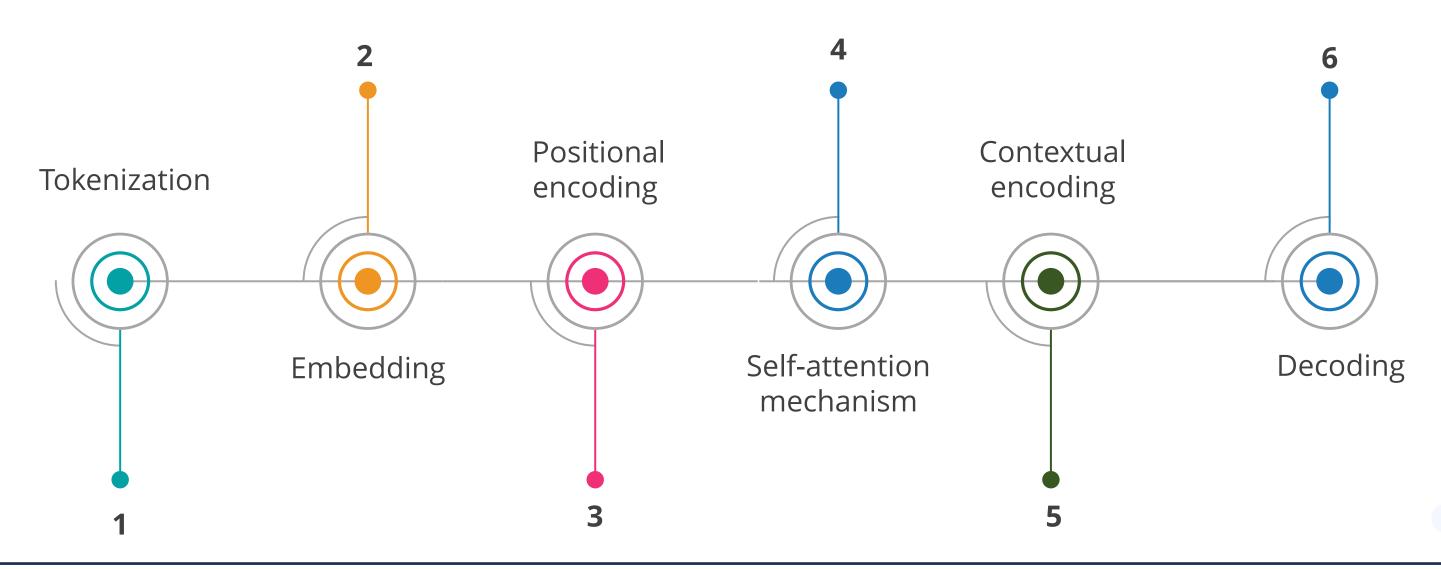
### **Solution with Transformers**

**Solution:** 

To address these challenges, the healthcare industry has turned to Transformers, such as GPT (Generative Pretrained Transformers) and BERT (Bidirectional Encoder Representations from Transformers) models. These models are specifically designed for natural language understanding and can analyze and summarize medical records with remarkable efficiency.

**Text Generation with Transformer** 

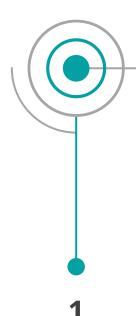
Below are sequential stages utilized by Transformers for generating text:



The steps of text generation describe the process of using a transformer, while the components of transformers outline the building blocks that enable this process.

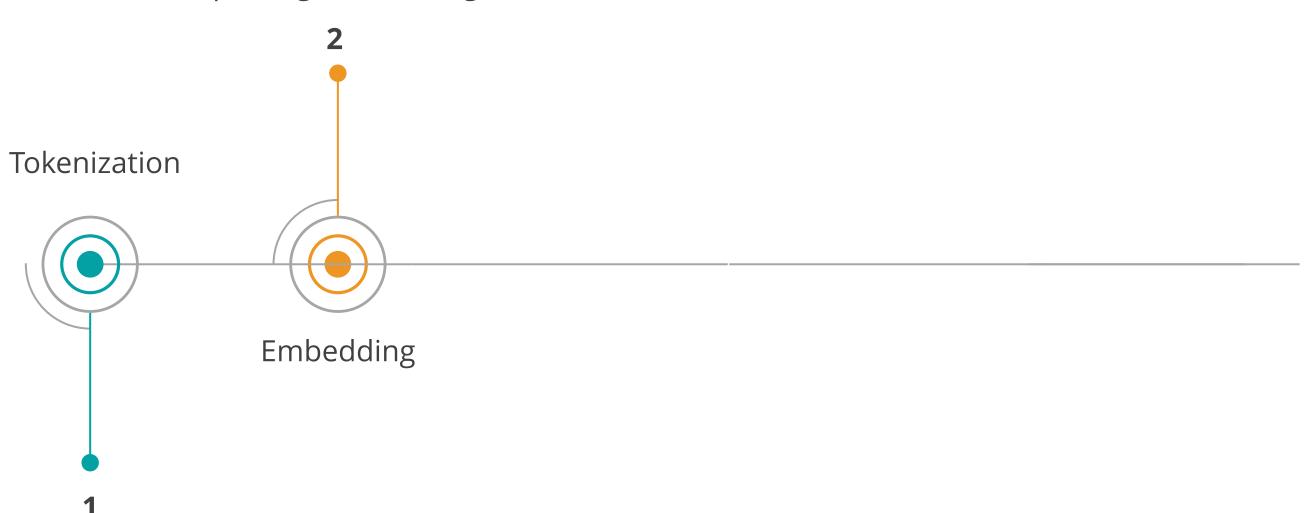
Let's take look at each step-in detail:

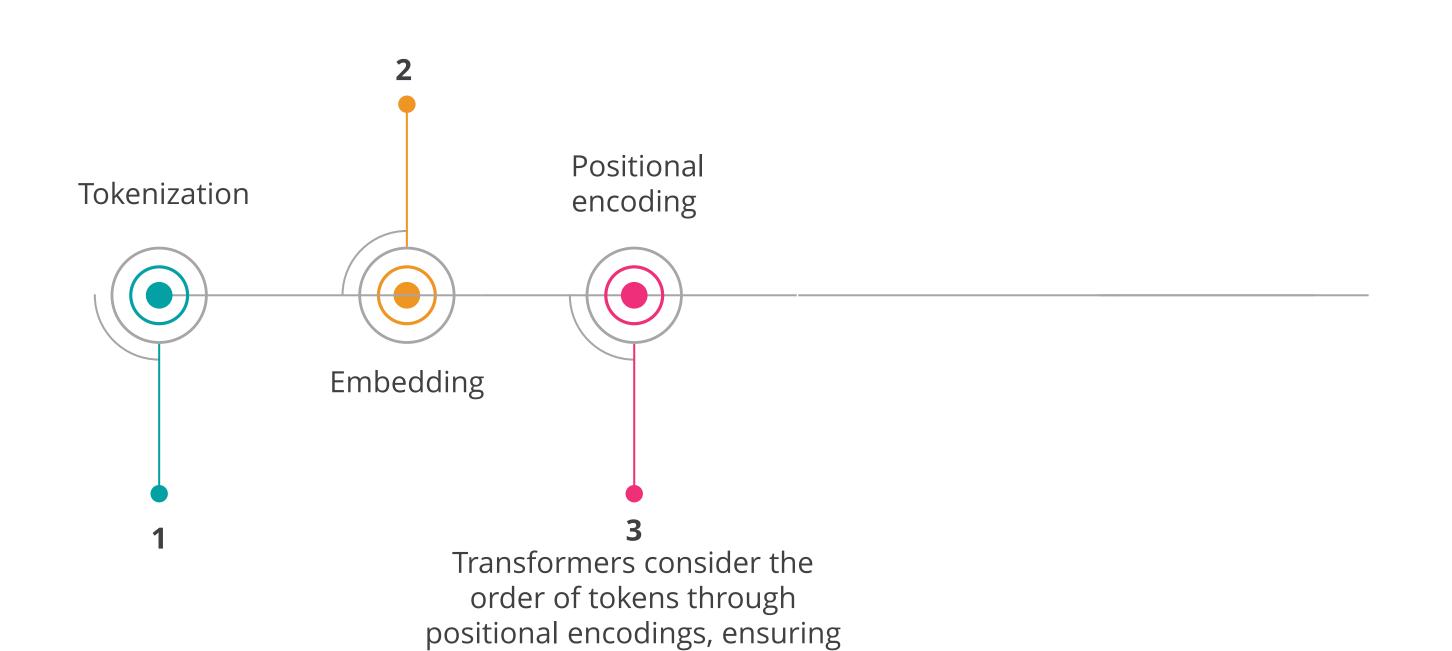
### Tokenization



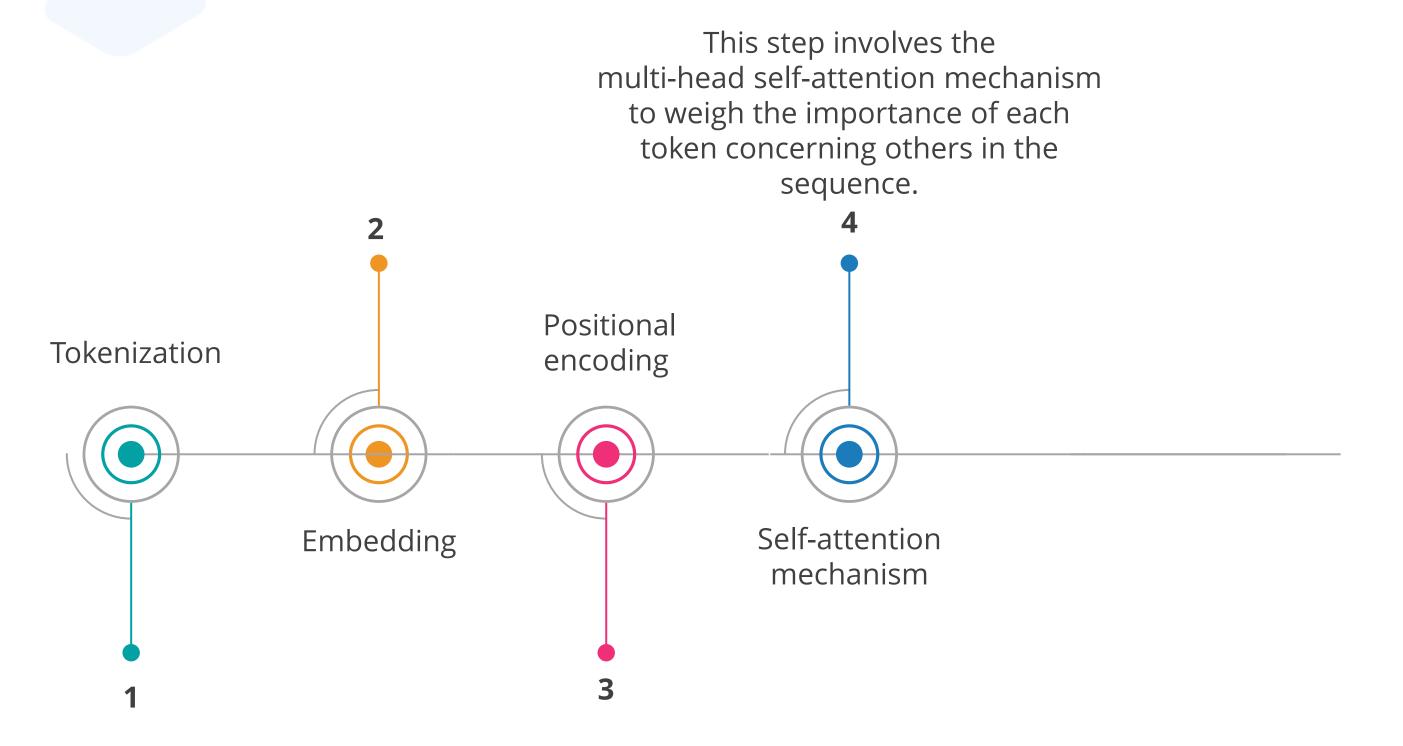
The input text is divided into smaller units called tokens, which can be words or subwords.

Each token is converted into a numerical vector representation, capturing its meaning.

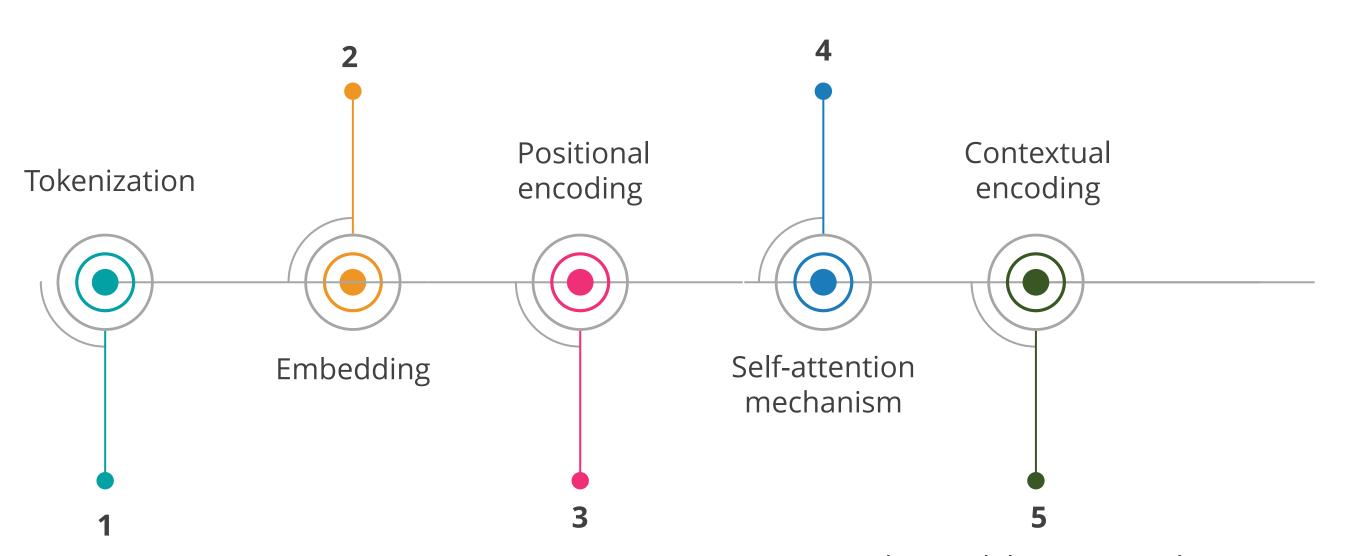




context is maintained.

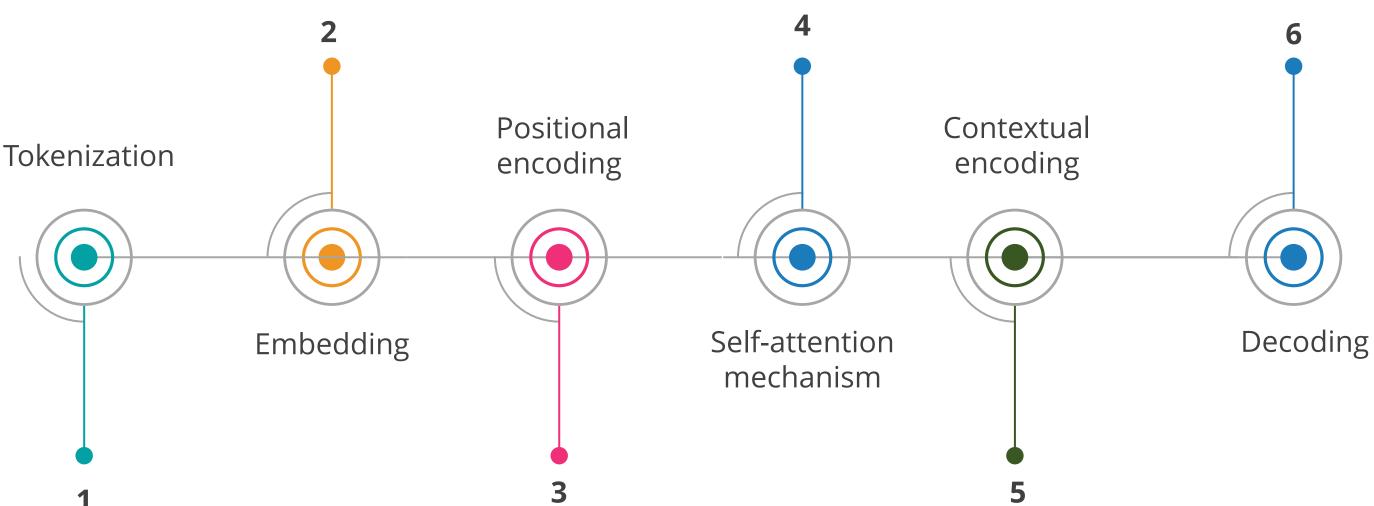


The model learns which words to focus on for each generated token.



The model processes the sequence, updating the token representations in each layer.

The model generates one token at a time, using the previous tokens and the context learned in previous layers to predict the next token.



This process continues iteratively until the desired length of text is achieved.

### **Quick Check**



Which step in the text generation process using transformers assigns meaning to each word by converting it into a numerical vector?

- A. Tokenization
- B. Embedding
- C. Positional Encoding
- D. Self-Attention Mechanism

**Image Generation with Transformer** 

### **Evolution in Image Generation**

Convolutional Neural Networks (CNNs) traditionally dominated image generation, but recently, Transformers have made remarkable advancements in this field.

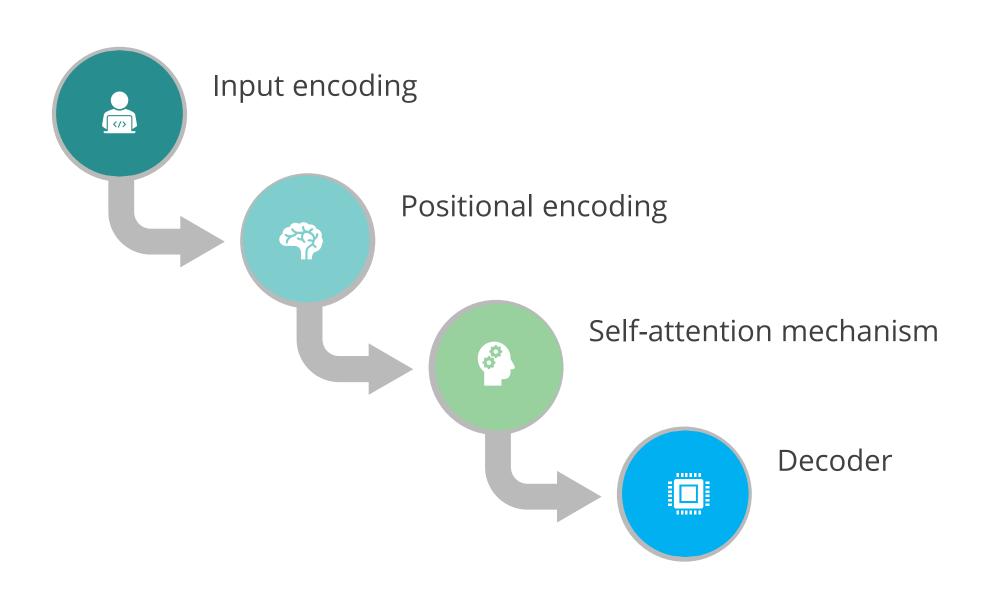
Transformers operate on a grid-like structure that represents the pixels of the image.

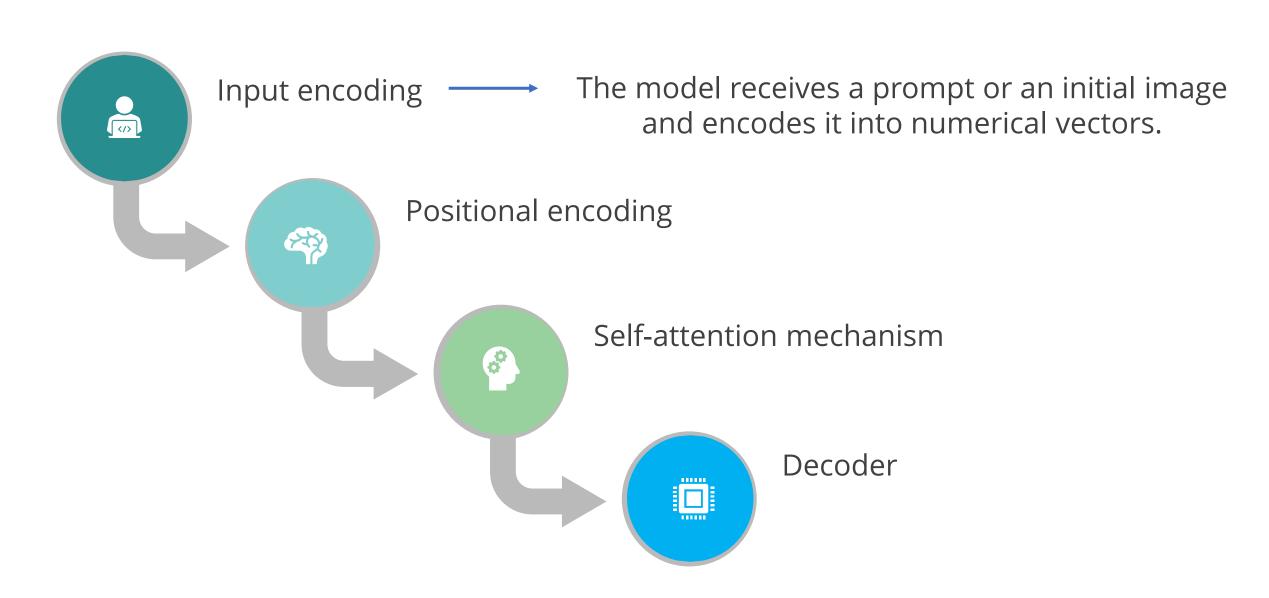


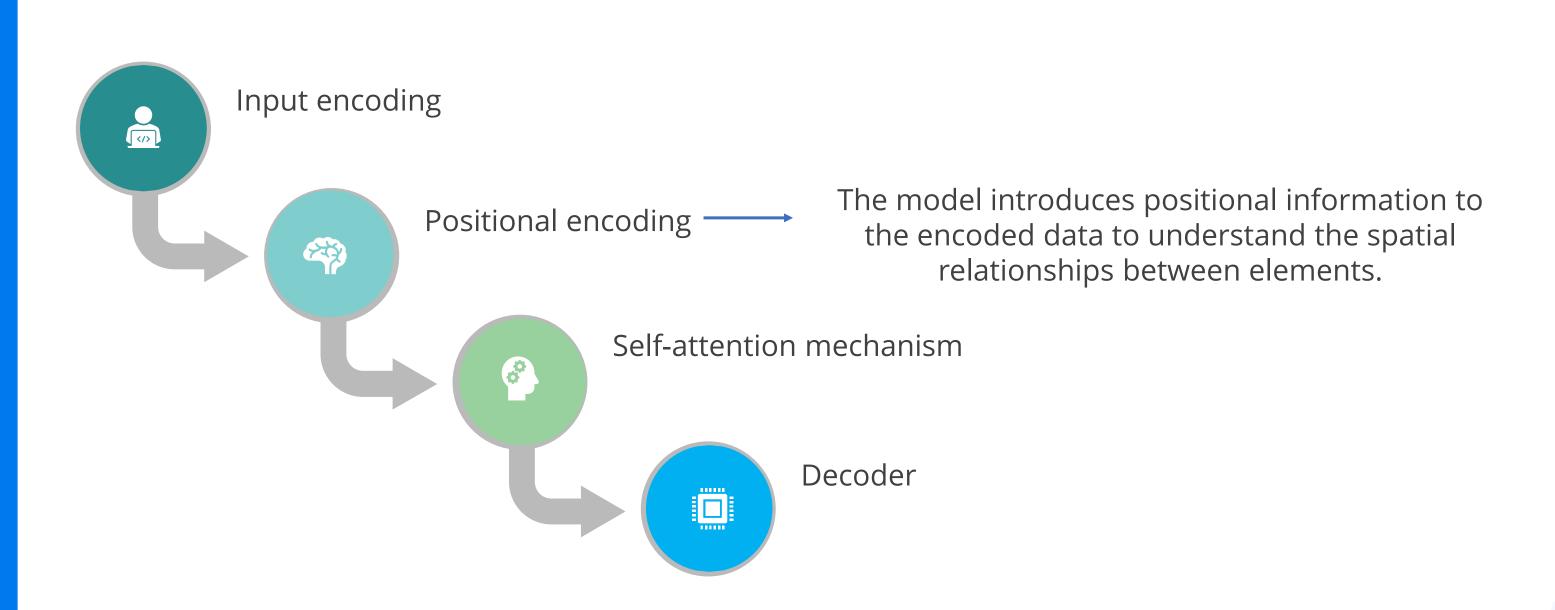


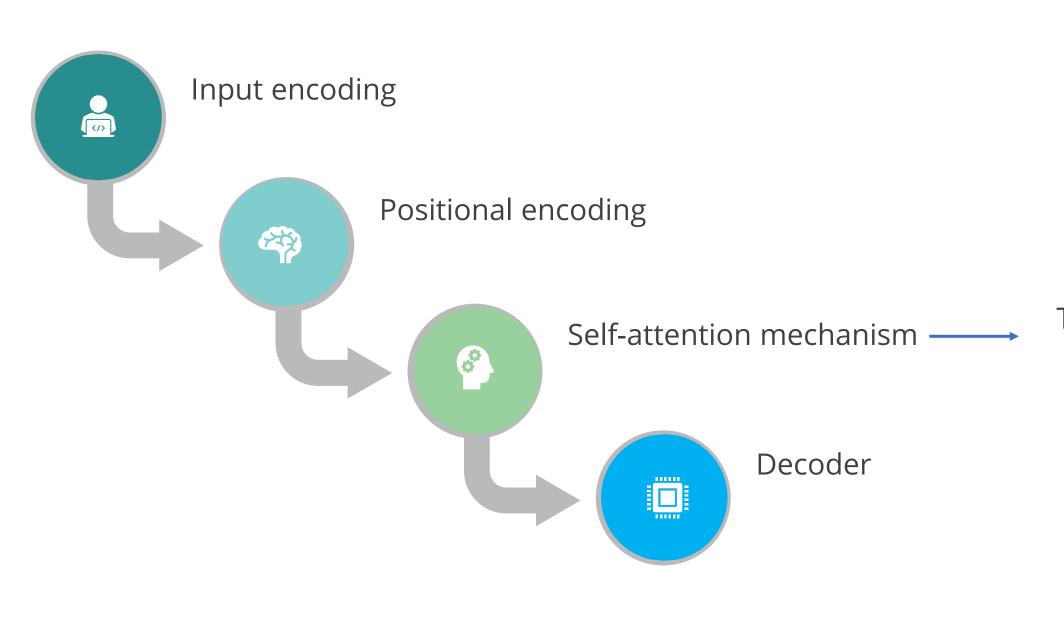
Transformers employ a selfattention mechanism to analyze relationships between pixels and generate coherent images.

The image generation process in a Transformer-based model involves the following key steps:

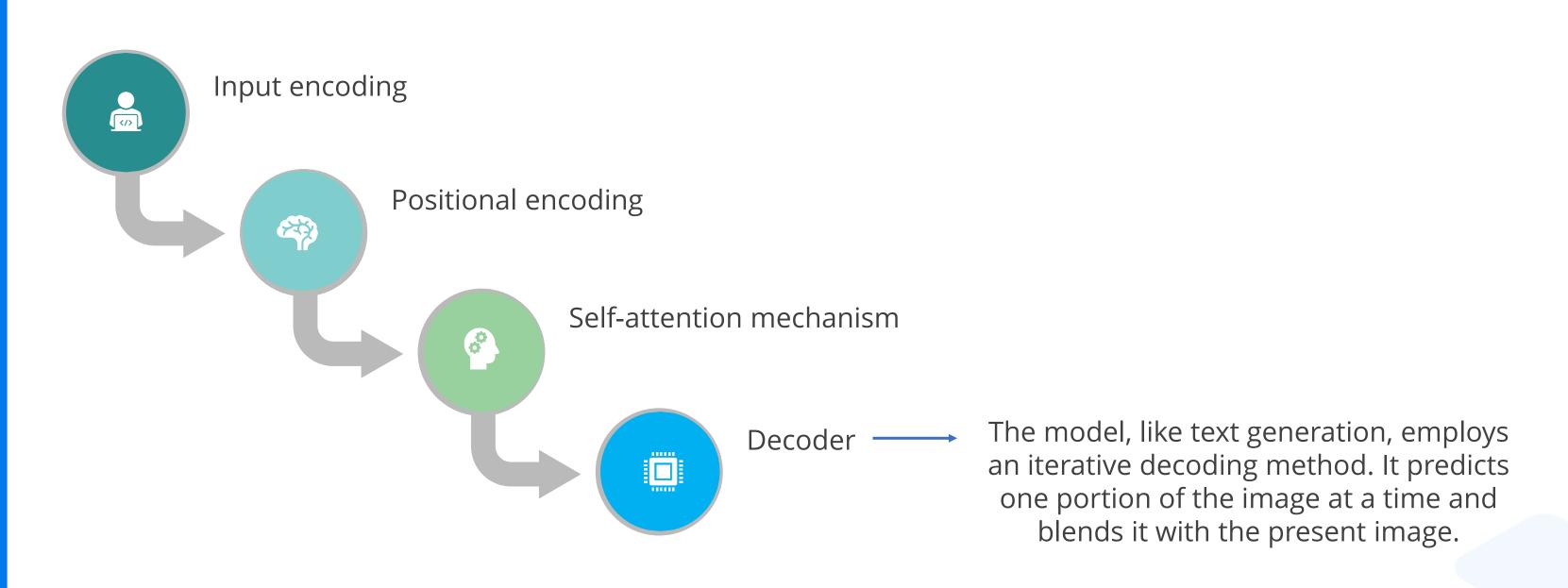








The model employs self-attention to focus on different parts of the growing image.



This procedure is repeated until the full image is created.

# **Demo: Transformer Applications**



**Duration: 10 minutes** 

### **Overview:**

In the realm of natural language processing, understanding and generating human-like text are crucial challenges. This demo addresses two core issues which can be easily solved by the transformer:

Text classification: Determining the sentiment of a piece of text (positive or negative). Text generation: Generating contextually relevant and coherent text based on given input.

### Note

Please download the solution document from the Reference Material Section and follow the Jupyter Notebook for step-by-step execution.

### **Quick Check**



How do Transformers differ from Convolutional Neural Networks (CNNs) in the context of image generation?

- A. Transformers use grid-like pixel structures, while CNNs do not.
- B. Transformers replace the need for data augmentation, unlike CNNs.
- C. Transformers employ self-attention to analyze pixels, whereas CNNs rely on filter-based convolutions.
- D. Transformers focus on reducing image overfitting, a problem commonly associated with CNNs.

**Transformer Based Model Example** 

### **DALL-E**

DALL-E is an artificial intelligence program developed by OpenAI.



- DALL-E is a famous image generation model that uses a 12-billion parameter GPT-3 architecture for creating images from textual descriptions.
- It demonstrates how Transformers can create diverse and contextually relevant images.

### **GPT**

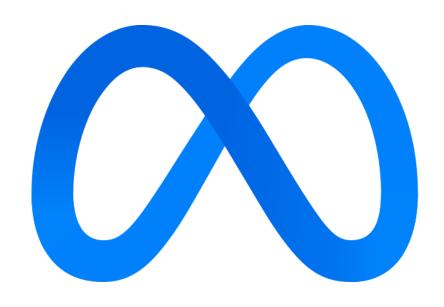
GPT is a series of language-processing AI models developed by OpenAI.



- In the context of GPT (Generative Pre-trained Transformers) models, the Transformer architecture is combined with a large language model (LLM) to create a powerful and versatile AI system.
- GPT models are pre-trained on large datasets of unlabeled text and can generate novel human-like content.
- GPT models use a decoder-only architecture, which means they can generate text without the need for an encoder.

### LLaMa

LLaMA, or Language Model for Many Applications, is a Transformer-based language model developed by Meta AI.



- The LLaMA (Large Language Model Meta AI) model uses Transformer architectures for NLP tasks.
- It uses public data for pre-training and can be finetuned for specific use cases.
- It can be used for tasks such as text generation, translation, summarization, rewriting content, and classification and categorization.

### **BERT**

BERT, developed by Google, revolutionized NLP by introducing bidirectional context understanding, allowing it to process text both from start to end and end to start simultaneously.

# **BERT**

(Bidirectional Encoder Representations from Transformers)

- While BERT was once state-of-the-art, it has since been surpassed by more advanced models GPT-4.
- BERT's bidirectional architecture remains a cornerstone for many NLP tasks, enabling a better understanding of the context and ambiguity in language.
- It paved the way for future models by introducing innovative transformer-based techniques, but modern LLMs now integrate additional features like pretraining on larger datasets and improved architectures.

# **Quick Check**



Which generative AI model is specifically designed for creating images from textual descriptions?

- A. DALL-E
- B. GPT models
- C. LLMA
- D. BERT

# GUIDED PRACTICE

### **Guided Practice**



Overview Duration: 20 minutes

This activity aims to enhance practical skills in advanced AI technologies through various challenges. It covers the implementation of attention mechanisms in Generative AI models, troubleshooting Large Language Models, utilizing multi-head attention in transformers, and employing transformer models for generating text and images.

Additionally, it involves analyzing and selecting appropriate models like Dall-e, GPT, LLMA, and BERT for specific tasks. This exercise serves as an opportunity to deepen understanding and proficiency in these cutting-edge AI domains.

# **Key Takeaways**

- Attention allows models to handle long sentence effectively
- Self-attention empowers models to attend to different input segments dynamically
- Transformer is a sequence-to-sequence model that uses self-attention to process input sequences and generate output sequences
- GPT models use a decoder-only architecture, which means they can generate text without the need for an encoder



# Q&A

