

HW 5 Due: Feb 28<sup>th</sup> 2025

1. Prove that  $L = \{w\bar{w} : w \in \{0,1\}^*\}$  is nonregular, where  $\bar{w}$  is the bitwise binary complement of  $w$ , e.g.,  $0001 = 1110$ , thus  $00011110 \in L$ .

**Answer** Prove by contradiction.

*Proof.* Assume the contrary that  $L$  is regular. Let  $p$  be the given pumping length. Now, consider  $s = 0^p 1^p$ . Because  $s$  is a member of  $L$  and  $s$  has a length more than  $p$ , the pumping lemma guarantees that  $s$  can be split into three pieces,  $s = xyz$ , satisfying the three conditions of the lemma, where for any  $i \geq 0$ , the string  $xy^i z$  is in  $L$ . Since  $|xy| \leq p$ ,  $y$  must be all 0's, and we can consider the following:

$$\underbrace{0^i}_x \underbrace{0^j}_y \underbrace{0^{p-i-j} 1^p}_z$$

Consider  $i = 3$ . Consequently,  $xy^i z$  is:

$$\underbrace{0^i}_x \underbrace{(0^j)^3}_{y^i} \underbrace{0^{p-i-j} 1^p}_z = 0^i 0^{3j} 0^{p-i-j} 1^p = 0^{p+2j} 1^p$$

The length of this string is  $2p + 2j$ . Hence, if  $xy^3 z \in L$ , then the first  $p + j$  symbols are  $w$ , and the latter represent  $\bar{w}$ . Hence:

$$\underbrace{0^{p+j}}_w \underbrace{0^j 1^p}_{\bar{w}}$$

However the bitwise binary complement of  $w$  is  $1^{p+j}$  and not  $0^j 1^p$ . It is also important to note that  $j > 0$  since  $|y| > 0$ . Therefore, we arrive at a contradiction that  $xy^3 z \notin L$  and conclude that  $L$  is not regular. □

2. Prove that  $L = \{w \in \{a, b\}^* : |w|_a \neq |w|_b\}$  is nonregular.

**Answer:** Prove by contradiction.

*Proof.* Assume the contrary that  $L$  is regular. Let  $p$  be the given pumping length. Now, consider  $s = a^p b^{p!+p}$ . Because  $s$  is a member of  $L$  and  $s$  has a length more than  $p$ , the pumping lemma guarantees that  $s$  can be split into three pieces,  $s = xyz$ , satisfying the three conditions of the lemma, where for any  $i \geq 0$ , the string  $xy^i z$  is in  $L$ . Since  $|xy| \leq p$ ,  $y$  must be all 0's, and we can consider the following:

$$\underbrace{a^i}_x \underbrace{a^j}_y \underbrace{a^{p-i-j} b^{p!+p}}_z$$

Consider  $i = \frac{p!+j}{j}$ .  $i$  is a natural number since  $j \leq p$  and  $p!$  is divisible by all the numbers less or equal than  $p$ , including  $j$ . Consequently,  $xy^i z$  is:

$$\underbrace{a^i}_x \underbrace{(a^j)^i}_{y^i} \underbrace{a^{p-i-j} b^{p!+p}}_z = a^i (a^j)^{\frac{p!+j}{j}} a^{p-i-j} b^{p!+p} = a^i a^{p!+j} a^{p-i-j} b^{p!+p} = a^{p!+p} b^{p!+p}$$

However considering this string  $a^{p!+p} b^{p!+p}$ ,  $|w|_a = |w|_b$ . Therefore, we arrive at a contradiction that  $xy^i z \notin L$  and conclude that  $L$  is not regular. □

3. Let  $|x|_a$  be the number of occurrences of the symbol  $a$  in the string  $x$ .

- Define a context-free grammar for the language  $L = \{w \in \{0, 1\}^* : |w|_0 = |w|_1\}$ .

**Answer**

Consider the grammar  $G = (\{S\}, \{0, 1\}, R, S)$  where the set of rules,  $R$ , is

$$S \rightarrow 0S1 \mid 1S0 \mid SS \mid \varepsilon.$$

- Give a formal proof, or at least the key idea(s), of why your grammar generates  $L$ .

**Answer**

To prove  $L(G) = L$ , we have to show two things  $L(G) \subset L$  and  $L \subset L(G)$  since we're trying to show the two sets are equal:

- (a)  $L(G) \subset L$ : The shortest string in  $L(G)$  is  $\varepsilon$ , which is also in  $L$ . Additionally,  $S \rightarrow 0S1$  and  $S \rightarrow 1S0$  maintain balance because they always introduce one 0 and one 1 together. Finally,  $S \rightarrow SS$  allows for concatenation of two valid substrings, ensuring that the overall number of 0s and 1s remains equal.

Thus, every derivation maintains the invariant  $|w|_0 = |w|_1$ , proving that  $L(G) \subset L$ .

- (b)  $L \subset L(G)$ : We will use induction on the length of strings  $w \in L$ .

**Base Case:** The shortest string in  $L$  is  $\varepsilon$ , which can be produced by the rule:

$$S \rightarrow \varepsilon.$$

**Induction Hypothesis:** Suppose that for all balanced strings  $w$  of length at most  $2n$ , we can derive  $w$  using  $G$ , i.e.,

$$S \xRightarrow[G]{*} w.$$

**Induction Step:** Consider a string  $w$  where  $|w| = 2n + 2$  and  $|w|_0 = |w|_1$ . Define the function:

$$g_i = f_0(w_{1:i}) - f_1(w_{1:i}),$$

where  $f_c(y)$  counts the number of occurrences of symbol  $c$  in  $y$ .

We know:

$$g_0 = 0, \quad g_{2n+2} = 0.$$

By the discrete intermediate value property, there exists an index  $j$  such that:

$$g_j = 0, \quad \text{for some } 1 < j < 2n + 2.$$

This allows us to split  $w$  into two balanced substrings:

$$x = w_1 w_2 \cdots w_j, \quad z = w_{j+1} w_{j+2} \cdots w_{2n+2}.$$

By the induction hypothesis, both are derivable:

$$S \xRightarrow[G]{*} x \quad \text{and} \quad S \xRightarrow[G]{*} z.$$

Then:

$$S \xRightarrow[G]{*} SS \xRightarrow[G]{*} xS \xRightarrow[G]{*} xz.$$

If no such  $j$  exists, consider the cases:

– **Case 1:**  $w_1 = 0$ , so  $g_1 = 1$ . Since  $g_{2n+2} = 0$ , and  $g$  changes by  $\pm 1$ , we claim that:

$$g_i > 0, \quad \forall 1 < i < 2n + 2.$$

If  $g_i$  were to drop below 0 at any point, it would have to pass through 0, contradicting our assumption that  $g_j \neq 0$  for all  $1 < j < 2n + 2$ . Thus, in order for  $g_{2n+2}$  to return to 0,  $w_{2n+2}$  must be 1, ensuring the balance.

Therefore,  $w$  takes the form:

$$w = 0w_2w_3 \cdots w_{2n+1}1.$$

Let  $x = w_2w_3 \cdots w_{2n+1}$ , which is balanced and derivable by the induction hypothesis. Hence, we derive  $w$  as follows:

$$S \xrightarrow[G]{*} 0S1 \xrightarrow[G]{*} 0w_2w_3 \cdots w_{2n+1}1.$$

– **Case 2:**  $w_1 = 1$ , so  $g_1 = -1$ . Since  $g_{2n+2} = 0$ , we claim that:

$$g_i < 0, \quad \forall 1 < i < 2n + 2.$$

If  $g_i$  were to become positive at any point, it would have to pass through 0, contradicting our assumption that  $g_j \neq 0$  for all  $1 < j < 2n + 2$ . Thus, in order for  $g_{2n+2}$  to reach 0,  $w_{2n+2}$  must be 0, ensuring the balance.

Therefore,  $w$  must be of the form:

$$w = 1w_2w_3 \cdots w_{2n+1}0.$$

Let  $x = w_2w_3 \cdots w_{2n+1}$ , which is balanced and derivable by the induction hypothesis. Hence, we derive  $w$  as follows:

$$S \xrightarrow[G]{*} 1S0 \xrightarrow[G]{*} 1w_2w_3 \cdots w_{2n+1}0.$$

4. Define a context-free grammar for the language  $L = \{a^n b^m c^{n-m} : n \geq m\}$ .

**Answer**

This language can be written as follows:

$$L = \{a^{n-m} a^m b^m c^{n-m} : n \geq m\}$$

Now, consider the grammar  $G = (\{X, S\}, \{a, b, c\}, R, S)$  where the set of rules,  $R$ , is

$$S \rightarrow aSc \mid X$$

$$X \rightarrow aXb \mid \varepsilon.$$

5. Define a context-free grammar for the language  $L = \{xy00y^R x^R : x \in \{0, 1\}^*, y \in \{2, 3\}^*\}$ .

**Answer:**

Consider the grammar  $G = (\{S, W\}, \{0, 1, 2, 3\}, R, S)$  where the set of rules,  $R$ , is

$$S \rightarrow 0S0 \mid 1S1 \mid W$$

$$W \rightarrow 2W2 \mid 3W3 \mid 00.$$