

Group A

Experiment No: 4

Title of the Assignment: 0-1 Knapsack problem using dynamic programming or branch and bound strategy.

Aim: Write a program to solve a 0-1 Knapsack problem using dynamic programming or branch and bound strategy.

Objective of the Assignment: Students should be able to understand and solve 0-1 Knapsack problem using dynamic programming

Prerequisite:

1. Basic of Python or Java Programming
2. Concept of Dynamic Programming
3. 0/1 Knapsack problem

Contents for Theory:

1. Greedy Method

2. 0/1 Knapsack problem

3. Example solved using 0/1 Knapsack problem

What is Dynamic Programming?

- Dynamic Programming is also used in optimization problems. Like divide-and-conquer method, Dynamic Programming solves problems by combining the solutions of subproblems.
- Dynamic Programming algorithm solves each sub-problem just once and then saves its answer in a table, thereby avoiding the work of re-computing the answer every time.
- Two main properties of a problem suggest that the given problem can be solved using Dynamic Programming. These properties are **overlapping sub-problems and optimal substructure**.
- Dynamic Programming also combines solutions to sub-problems. It is mainly used where the solution of one sub-problem is needed repeatedly. The computed solutions are stored in a table, so that these don't have to be re-computed. Hence, this technique is needed where overlapping sub-problem exists.
- For example, Binary Search does not have overlapping sub-problem. Whereas recursive program of Fibonacci numbers have many overlapping sub-problems.

Steps of Dynamic Programming Approach

Dynamic Programming algorithm is designed using the following four steps –

- Characterize the structure of an optimal solution.
- Recursively define the value of an optimal solution.

- Compute the value of an optimal solution, typically in a bottom-up fashion.
- Construct an optimal solution from the computed information.

Applications of Dynamic Programming Approach

- Matrix Chain Multiplication
- Longest Common Subsequence
- Travelling Salesman Problem

Knapsack Problem

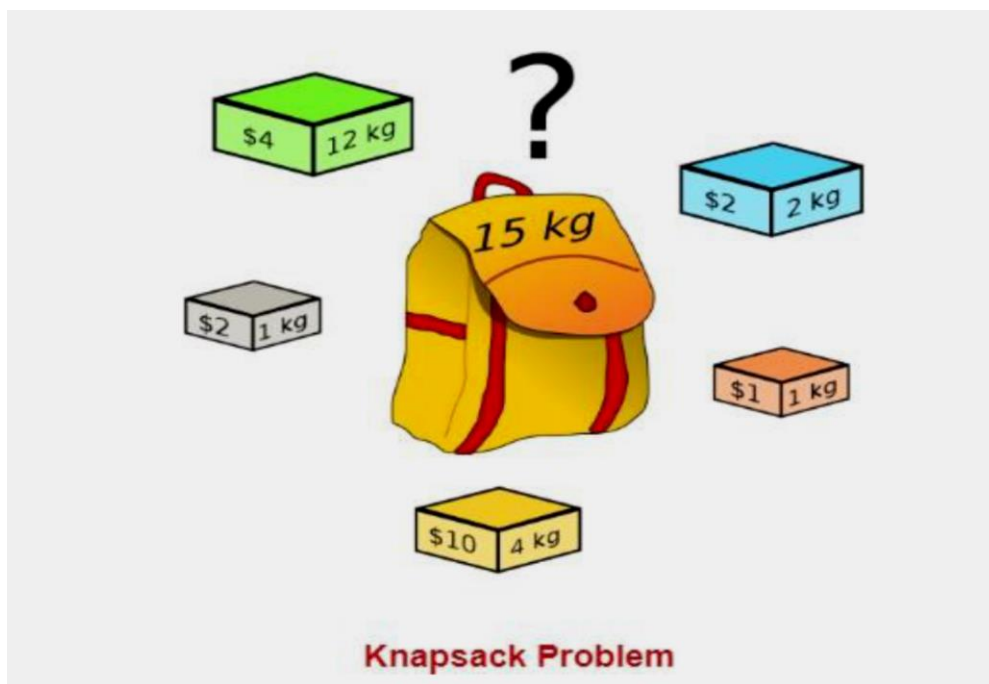
You are given the following-

- A knapsack (kind of shoulder bag) with limited weight capacity.
- **Few items each having some weight and value.**

The problem states-

Which items should be placed into the knapsack such that-

- **The value or profit obtained by putting the items into the knapsack is maximum.**
- And the weight limit of the knapsack does not exceed.



Knapsack Problem Variants

Knapsack problem has the following two variants-

1. Fractional Knapsack Problem
2. 0/1 Knapsack Problem

0/1 Knapsack Problem-

In 0/1 Knapsack Problem,

- As the name suggests, items are indivisible here.
- We can not take a fraction of any item.
- We have to either take an item completely or leave it completely.
- It is solved using a dynamic programming approach.

0/1 Knapsack Problem Using Greedy Method-

Consider-

- Knapsack weight capacity = w
- Number of items each having some weight and value = n

0/1 knapsack problem is solved using dynamic programming in the following steps-

Step-01:

- Draw a table say 'T' with $(n+1)$ number of rows and $(w+1)$ number of columns.
- Fill all the boxes of 0th row and 0th column with zeroes as shown-

	0	1	2	3	W
0	0	0	0	0	0
1	0					
2	0					
.....						
n	0					

T-Table

Step-02:

Start filling the table row wise top to bottom from left to right. Use the following formula-

$$T(i, j) = \max \{ T(i-1, j), \text{value}_i + T(i-1, j - \text{weight}_i) \}$$

Here, $T(i, j)$ = maximum value of the selected items if we can take items 1 to i and have weight restrictions of j .

- This step leads to completely filling the table.
- Then, value of the last box represents the maximum possible value that can be put into the knapsack.

Step-03:

- To identify the items that must be put into the knapsack to obtain that maximum profit,
- Consider the last column of the table.
- Start scanning the entries from bottom to top.
- On encountering an entry whose value is not same as the value stored in the entry immediately above it, mark the row label of that entry.
- After all the entries are scanned, the marked labels represent the items that must be put into the knapsack

Problem-

For the given set of items and knapsack capacity = 5 kg, find the optimal solution for the 0/1 knapsack problem making use of a dynamic programming approach.

Item	Weight	Value
1	2	3
2	3	4
3	4	5
4	5	6

$$n = 4$$

$$w = 5 \text{ kg}$$

$$(w_1, w_2, w_3, w_4) = (2, 3, 4, 5)$$

$$(b_1, b_2, b_3, b_4) = (3, 4, 5, 6)$$

Solution-

Given

- Knapsack capacity (w) = 5 kg
- Number of items (n) = 4

Step-01:

- Draw a table say 'T' with $(n+1) = 4 + 1 = 5$ number of rows and $(w+1) = 5 + 1 = 6$ number of columns.
- Fill all the boxes of 0th row and 0th column with 0

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0					
2	0					
3	0					
4	0					

T-Table

Step-02:

Start filling the table row wise top to bottom from left to right using the formula-

$$T(i, j) = \max \{ T(i-1, j), \text{value}_i + T(i-1, j - \text{weight}_i) \}$$

Finding T(1,1)-

We have,

- $i = 1$
- $j = 1$
- $(\text{value})_i = (\text{value})_1 = 3$
- $(\text{weight})_i = (\text{weight})_1 = 2$

Substituting the values, we get-

$$T(1,1) = \max \{ T(1-1, 1), 3 + T(1-1, 1-2) \}$$

$$T(1,1) = \max \{ T(0,1), 3 + T(0,-1) \}$$

$$T(1,1) = T(0,1) \text{ \{ Ignore } T(0,-1) \}}$$

$$T(1,1) = 0$$

Finding T(1,2)-

We have,

- $i = 1$
- $j = 2$
- $(\text{value})_i = (\text{value})_1 = 3$
- $(\text{weight})_i = (\text{weight})_1 = 2$

Substituting the values, we get-

$$T(1,2) = \max \{ T(1-1, 2), 3 + T(1-1, 2-2) \}$$

$$T(1,2) = \max \{ T(0,2), 3 + T(0,0) \}$$

$$T(1,2) = \max \{ 0, 3+0 \}$$

$$T(1,2) = 3$$

Finding T(1,3)-

We have,

- $i = 1$
- $j = 3$
- $(\text{value})_i = (\text{value})_1 = 3$
- $(\text{weight})_i = (\text{weight})_1 = 2$

Substituting the values, we get-

$$T(1,3) = \max \{ T(1-1, 3), 3 + T(1-1, 3-2) \}$$

$$T(1,3) = \max \{ T(0,3), 3 + T(0,1) \}$$

$$T(1,3) = \max \{ 0, 3+0 \}$$

$$T(1,3) = 3$$

Finding T(1,4)-

We have,

- $i = 1$
- $j = 4$
- $(\text{value})_i = (\text{value})_1 = 3$
- $(\text{weight})_i = (\text{weight})_1 = 2$

Substituting the values, we get-

$$T(1,4) = \max \{ T(1-1, 4), 3 + T(1-1, 4-2) \}$$

$$T(1,4) = \max \{ T(0,4), 3 + T(0,2) \}$$

$$T(1,4) = \max \{ 0, 3+0 \}$$

$$T(1,4) = 3$$

Finding T(1,5)-

We have,

- $i = 1$
- $j = 5$
- $(value)_i = (value)_1 = 3$
- $(weight)_i = (weight)_1 = 2$

Substituting the values, we get-

$$T(1,5) = \max \{ T(1-1, 5), 3 + T(1-1, 5-2) \}$$

$$T(1,5) = \max \{ T(0,5), 3 + T(0,3) \}$$

$$T(1,5) = \max \{ 0, 3+0 \}$$

$$T(1,5) = 3$$

Finding T(2,1)-

We have,

- $i = 2$
- $j = 1$
- $(value)_i = (value)_2 = 4$
- $(weight)_i = (weight)_2 = 3$

Substituting the values, we get-

$$T(2,1) = \max \{ T(2-1, 1), 4 + T(2-1, 1-3) \}$$

$$T(2,1) = \max \{ T(1,1), 4 + T(1,-2) \}$$

$$T(2,1) = T(1,1) \{ \text{Ignore } T(1,-2) \}$$

$$T(2,1) = 0$$

Finding T(2,2)-

We have,

- $i = 2$
- $j = 2$
- $(value)_i = (value)_2 = 4$
- $(weight)_i = (weight)_2 = 3$

Substituting the values, we get-

$$T(2,2) = \max \{ T(2-1, 2), 4 + T(2-1, 2-3) \}$$

$$T(2,2) = \max \{ T(1,2), 4 + T(1,-1) \}$$

$$T(2,2) = T(1,2) \{ \text{Ignore } T(1,-1) \}$$

$$T(2,2) = 3$$

Finding T(2,3)-

We have,

- $i = 2$
- $j = 3$
- $(value)_i = (value)_2 = 4$
- $(weight)_i = (weight)_2 = 3$

Substituting the values, we get-

$$T(2,3) = \max \{ T(2-1, 3), 4 + T(2-1, 3-3) \}$$

$$T(2,3) = \max \{ T(1,3), 4 + T(1,0) \}$$

$$T(2,3) = \max \{ 3, 4+0 \}$$

$$T(2,3) = 4$$

Similarly, compute all the entries.

After all the entries are computed and filled in the table, we get the following table-

	0	1	2	3	4	5
0	0	0	0	0	0	0
✓ 1	0	0	3	3	3	3
✓ 2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

T-Table

- The last entry represents the maximum possible value that can be put into the knapsack.
- So, maximum possible value that can be put into the knapsack = 7.

Identifying Items To Be Put Into Knapsack

Following Step-04,

- We mark the rows labelled “1” and “2”.
- Thus, items that must be put into the knapsack to obtain the maximum value 7 are-

Item-1 and Item-2

Time Complexity-

- Each entry of the table requires constant time $\theta(1)$ for its computation.
- It takes $\theta(nw)$ time to fill $(n+1)(w+1)$ table entries.
- It takes $\theta(n)$ time for tracing the solution since tracing process traces the n rows.
- Thus, overall $\theta(nw)$ time is taken to solve 0/1 knapsack problem using dynamic programming.

Conclusion-In this way we have explored Concept of 0/1 Knapsack using Dynamic approach.


```

//code
# code
# A Dynamic Programming based Python
# Program for 0-1 Knapsack problem
# Returns the maximum value that can
# be put in a knapsack of capacity W
def knapSack(W, wt, val, n):
    dp = [0 for i in range(W+1)] # Making the dp array
    for i in range(1, n+1):
        # taking first i elements
        for w in range(W, 0, -1):
            # starting from back,so that we also have data of
            # previous computation when taking i-1 items
            if wt[i-1] <= w:
                # finding the maximum value
                dp[w] = max(dp[w], dp[w-wt[i-1]]+val[i-1])
    return dp[W] # returning the maximum value of knapsack

# Driver code
val = [60, 100, 120]
wt = [10, 20, 30]
W = 50
n = len(val)
print(knapSack(W, wt, val, n))

```

Output

220