

# Lab 7

**Due** No Due Date      **Points** 1

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## Lab 7: Dynamic Programming

### Longest common subsequence

A subsequence is a sequence that can be derived from another sequence by deleting some elements without changing the order of the remaining elements. For example, the sequence  $\langle B, D, E \rangle$  is a subsequence of  $\langle A, B, C, D, E, F \rangle$ . They should not be confused with substring which is a refinement of subsequence.

The longest common subsequence (LCS) between two string is the longest subsequence common to both the strings.

For example, given two DNA strands:

**ACCGGTCGAGTGCGCGGAAGCCGGCCGAA** and **GTCGTTCGGAATGCCGTTGCTCTGTAAA**  
the LCS is GTCGTTCGGAAGCCGGCCGAA of length 20.

## Dynamic Programming

Dynamic programming, like the divide-and-conquer method, solves problems by combining the solutions to subproblems. Dynamic programming applies when the subproblems overlap—that is, when subproblems share sub-subproblems.

The idea behind dynamic programming is quite simple. In general, to solve a given problem, we need to solve different parts of the problem (subproblems), then combine the solutions of the subproblems to reach an overall solution. Often when using a more naive method, many of the subproblems are generated and solved many times. The dynamic programming approach seeks to solve each subproblem only once, thus reducing the number of computations: once the solution to a given subproblem has been computed, it is stored or "memoized": the next time the same solution is needed, it is simply looked up. This approach is especially useful when the number of repeating subproblems grows exponentially as a function of the size of the input.

The first step to solve a problem is to divide it into subproblem. For the LCS problem, we can do it the following way:

Let's assume we only care about the **length** of the Longest Common Subsequence for now. For the two input string  $s1[0 \dots m]$  and  $s2[0 \dots n]$ , let  $p(0 \leq p \leq m)$  be index for  $s1$ ,  $q(0 \leq q \leq n)$  index for  $s2$ .  $LCS[p][q]$  denotes the **length** of the LCS between  $s1[0 \dots p]$  and  $s2[0 \dots q]$ . What we want is  $LCS[m, n]$  and we are going to solve it by combining solutions from subproblems with smaller length. For any  $p, q$ , we can divide the problem  $LCS[p][q]$  into subproblems in the following way:

Case 1: if  $s1[p] == s2[q]$ ,  $LCS[p][q] = 1 + LCS[p-1][q-1]$

Because if the  $s1[p]$  and  $s2[q]$  matches, first you can have a common subsequence of length  $1 + \text{LCS}[p-1][q-1]$ . Is this subsequence the **longest**? Yes, you cannot possibly find another common subsequence for  $s1[0\dots p]$  and  $s2[0\dots q]$  whose length is **strictly** larger than this subsequence. So we have  $\text{LCS}[p][q] = 1 + \text{LCS}[p-1][q-1]$ .

Case2: Otherwise,  $\text{LCS}[p][q] = \max(\text{LCS}[p-1][q], \text{LCS}[p][q-1])$

The  $s1[p]$  and  $s2[q]$  does not match but it is still possible for  $s1[p]$  to match some other previous character in  $s2$ . The same apply to  $s2[q]$ . Or maybe neither of them match with other characters. Note that it is impossible that they both match with some other characters in the opposite string. (Why? please think about it) So with this constraint, we have **either**  $\text{LCS}[p][q] = \text{LCS}[p-1][q]$  **or**  $\text{LCS}[p][q] = \text{LCS}[p][q-1]$ . We try both so we have  $\text{LCS}[p][q] = \max(\text{LCS}[p-1][q], \text{LCS}[p][q-1])$

And the base case would be  $\text{LCS}[p][q]=0$  if either  $p$  or  $q$  is less than zero.

```
LCS(p, q)
{
    if p== -1 or q == -1          // base case
        return 0

    if s1[p] == s2[q]             // match case
        return 1 + LCS(p-1, q-1)

    else
        return MAX( LCS(p-1, q), LCS(p, q-1) )
}
```

## Memoization

A same subproblem may be called many times. For example,  $\text{LCS}[2][4]$  can be called from  $\text{LCS}[2][5]$ ,  $\text{LCS}[3][4]$  and  $\text{LCS}[3][5]$ . Again these 3 subproblems can be called many times from other subproblems. So, rather than calculating  $\text{LCS}[2][4]$  everytime, we can remember the value so that we can use them next times the same problem is called. This technique is called memoization (not memorization). Without memoization, dynamic program would run in exponential time.

Here we are modifying the previous code to allow memoization, we are using a global  $\text{CACHE}[0\dots m][0\dots n]$ .

```
Initialize full CACHE[][] with -1
LCS(p, q)
{
    if p== -1 or q == -1          // base case
        return 0

    if CACHE[p][q] not equal -1 // this subproblem is already solved, return the cached value from here
        return CACHE[p][q]

    if s1[p] == s2[q]             // match case
        return CACHE[p][q] = 1 + LCS(p-1, q-1)

    else
        return CACHE[p][q] = MAX( LCS(p-1, q), LCS(p, q-1) )
}
```

## Tracing the result

The  $LCS[m][n]$  would give us the length of the LCS. But how do we find out the exact LCS string? For LCS problem, we are dividing a problem into three subproblem. We will need to mark every time which subproblem we are choosing. The three subproblems are 1)match case, 2)decrease s1 and 3)decrease s2. We will use another matrix array  $Direction[0...m][0...n]$  and mark the result the following way:

```
Initialize full CACHE[][] with -1
Initialize full Direction[][] with -1
LCS(p, q)
{
    if p== -1 or q == -1          // base case
        return 0

    if CACHE[p][q] not equal -1 // this subproblem is already solved, return the cached value from here
        return CACHE[p][q]

    if s1[p] == s2[q]             // match case

        Direction[p][q] = MATCH_CASE
        return CACHE[p][q] = 1 + LCS(p-1, q-1)

    else

        v1 = LCS(p-1, q)
        v2 = LCS(p, q-1)

        if v1 > v2                // decrease s1 case
            Direction[p][q] = DECREASE_FIRST_STRING
            CACHE[p][q] = v1
        else                      // decrease s2 case
            Direction[p][q] = DECREASE_SECOND_STRING
            CACHE[p][q] = v2

    return CACHE[p][q]
}
```

After all the marking of direction done, we can print the LCS with calling  $PrintPath(m, n)$ :

```
PrintPath(p, q)
{
    if Direction[p][q] == MATCH_CASE

        PrintPath(p-1, q-1)
        print(s1[p]) // or print(s2[q]) they are same

    elseif Direction[p][q] == DECREASE_FIRST_STRING

        PrintPath(p-1, q)

    elseif Direction[p][q] == DECREASE_SECOND_STRING

        PrintPath(p, q-1)

}
```