

# First-Order Logic



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- In **first-order logic**, variables refer to things in the world and, furthermore, you can **quantify** over them: talk about all of them or some of them without having to name them explicitly.



## FOL motivation

- Statements that cannot be made in propositional logic but can be made in FOL



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- When you paint a block with green paint, it becomes green.
  - In propositional logic, one would need a statement about every single block, one cannot make the general statement about all blocks.



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- When you sterilize a jar, all the bacteria are dead.
  - In FOL, we can talk about all the bacteria without naming them explicitly.

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- When you sterilize a jar, all the bacteria are dead.
  - In FOL, we can talk about all the bacteria without naming them explicitly.
- A person is allowed access to this Web site if they have been formally authorized or they are known to someone who has access.

# FOL syntax





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- Term



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- Term
  - Constant symbols: Fred, Japan, Bacterium39



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  - A predicate symbol applied to zero or more terms:  
On( $a, b$ ), Sister(Jane, Joan), Sister(mother-of(John), Jane)

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  - If  $v$  is a variable and  $\Phi$  is a sentence, then  $\forall v. \Phi$  and  $\exists v. \Phi$  are sentences.

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On( $a, b$ ), Sister(Jane, Joan), Sister(mother-of(John), Jane)
  - $t_1 = t_2$
  - If  $v$  is a variable and  $\Phi$  is a sentence, then  $\forall v. \Phi$  and  $\exists v. \Phi$  are sentences.
  - Closure under sentential operators:  $\wedge \vee \rightarrow \neg ( )$



# FOL Interpretations

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  - U set of objects  
(called "domain of discourse" or "universe")



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(binary relation is a set of pairs)



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  - U set of objects  
(called “domain of discourse” or “universe”)
  - Maps constant symbols to elements of U
  - Maps predicate symbols to relations on U  
(binary relation is a set of pairs)
  - Maps function symbols to functions on U  
(function is a binary relation with a single pair for each element in U, whose first item is that element)



# Denotation of Terms

Terms name objects in  $U$



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Terms name objects in  $U$

- $I(\text{Fred})$             if Fred is constant, then given
- $I(x)$                 if  $x$  is a variable, then undefined
- $I(f(t_1, \dots, t_n))$      $I(f)(I(t_1), \dots, I(t_n))$



# Holds

When does a sentence hold in an interpretation?



## Holds

When does a sentence hold in an interpretation?

- $P$  is a relation symbol
- $t_1, \dots, t_n$  are terms

$\text{holds}(P(t_1, \dots, t_n), I)$  iff  $\langle I(t_1), \dots, I(t_n) \rangle \in I(P)$



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Brother(Jon, Joe)??

- $I(\text{Jon}) =$   [an element of  $U$ ]



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Brother(Jon, Joe)??

- $I(\text{Jon}) =$   [an element of  $U$ ]
- $I(\text{Joe}) =$   [an element of  $U$ ]

# Holds

When does a sentence hold in an interpretation?

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$\text{holds}(P(t_1, \dots, t_n), I) \text{ iff } \langle I(t_1), \dots, I(t_n) \rangle \in I(P)$

Brother(Jon, Joe)??

- $I(\text{Jon}) =$   [an element of  $U$ ]
- $I(\text{Joe}) =$   [an element of  $U$ ]
- $I(\text{Brother}) = \{ \langle$     $\rangle, \langle$     $\rangle, \langle \dots, \dots \rangle, \dots \}$







# Holds

When does a sentence hold in an interpretation?

- $P$  is a relation symbol
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$\text{holds}(P(t_1, \dots, t_n), I)$  iff  $\langle I(t_1), \dots, I(t_n) \rangle \in I(P)$

Brother(Jon, Joe)??

- $I(\text{Jon}) =$   [an element of  $U$ ]
- $I(\text{Joe}) =$   [an element of  $U$ ]
- $I(\text{Brother}) = \{ \langle$     $\rangle, \langle$     $\rangle, \langle \dots, \dots \rangle, \dots \}$
- $\text{holds}(\text{Brother}(\text{Jon}, \text{Joe}), I)$



# Equality



$\text{holds}(t_1 = t_2, I)$  iff  $I(t_1)$  is the same object as  $I(t_2)$



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$\text{holds}(t_1 = t_2, I)$  iff  $I(t_1)$  is the same object as  $I(t_2)$

Jon = Jack ?

- $I(\text{Jon}) =$   [an element of  $U$ ]
- $I(\text{Jack}) =$   [an element of  $U$ ]
- $\text{holds}(\text{Jon} = \text{Jack}, I)$

# Semantics of Quantifiers



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Extend an interpretation  $I$  to bind variable  $x$  to element  $a \in U$ :  $I_{x/a}$



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Quantifier applies to formula to right until an enclosing right parenthesis:

## Semantics of Quantifiers

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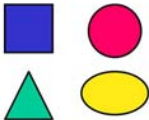
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Quantifier applies to formula to right until an enclosing right parenthesis:

$$(\forall x.P(x) \vee Q(x)) \wedge \exists x.R(x) \rightarrow Q(x)$$



## FOL Example Domain

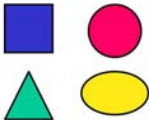


The Real  
World



## FOL Example Domain

- $U = \{\text{blue square}, \text{green triangle}, \text{red circle}, \text{yellow oval}\}$

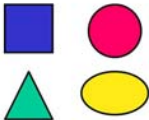


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## FOL Example Domain

- $U = \{\text{blue square}, \text{green triangle}, \text{red circle}, \text{yellow oval}\}$
- Constants: Fred

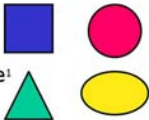


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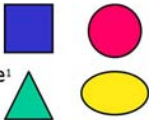
- $U = \{\text{blue square}, \text{green triangle}, \text{pink circle}, \text{yellow oval}\}$
- Constants: Fred
- Preds: Above<sup>2</sup>, Circle<sup>1</sup>, Oval<sup>1</sup>, Square<sup>1</sup>



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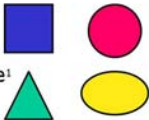
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- Function: hat



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- Function: hat
- $I(\text{Fred}) = \text{green triangle}$

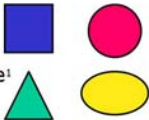


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World



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- Function: hat
- $I(\text{Fred}) = \text{green triangle}$
- $I(\text{Above}) = \{ \langle \text{blue square}, \text{green triangle} \rangle, \langle \text{red circle}, \text{yellow oval} \rangle \}$

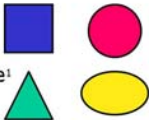


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- Function: hat
- $I(\text{Fred}) = \text{green triangle}$
- $I(\text{Above}) = \{\langle \text{blue square}, \text{green triangle} \rangle, \langle \text{pink circle}, \text{yellow oval} \rangle\}$
- $I(\text{Circle}) = \{\langle \text{pink circle} \rangle\}$



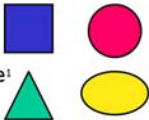
The Real  
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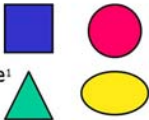
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- Function: hat
- $I(\text{Fred}) = \text{green triangle}$
- $I(\text{Above}) = \{ \langle \text{blue square}, \text{green triangle} \rangle, \langle \text{pink circle}, \text{yellow oval} \rangle \}$
- $I(\text{Circle}) = \{ \langle \text{pink circle} \rangle \}$
- $I(\text{Oval}) = \{ \langle \text{pink circle} \rangle, \langle \text{yellow oval} \rangle \}$



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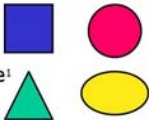
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- $I(\text{Oval}) = \{ \langle \text{red circle} \rangle, \langle \text{yellow oval} \rangle \}$
- $I(\text{hat}) = \{ \langle \text{green triangle}, \text{blue square} \rangle, \langle \text{yellow oval}, \text{red circle} \rangle, \langle \text{blue square}, \text{blue square} \rangle, \langle \text{red circle}, \text{red circle} \rangle \}$



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World

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- $U = \{\text{blue square}, \text{green triangle}, \text{red circle}, \text{yellow oval}\}$
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- Preds: Above<sup>2</sup>, Circle<sup>1</sup>, Oval<sup>1</sup>, Square<sup>1</sup>
- Function: hat
- $I(\text{Fred}) = \text{green triangle}$
- $I(\text{Above}) = \{ \langle \text{blue square}, \text{green triangle} \rangle, \langle \text{red circle}, \text{yellow oval} \rangle \}$
- $I(\text{Circle}) = \{ \langle \text{red circle} \rangle \}$
- $I(\text{Oval}) = \{ \langle \text{red circle} \rangle, \langle \text{yellow oval} \rangle \}$
- $I(\text{hat}) = \{ \langle \text{green triangle}, \text{blue square} \rangle, \langle \text{yellow oval}, \text{red circle} \rangle, \langle \text{blue square}, \text{blue square} \rangle, \langle \text{red circle}, \text{red circle} \rangle \}$
- $I(\text{Square}) = \{ \langle \text{green triangle} \rangle \}$



The Real  
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## FOL Example

- $\text{holds}(\text{Square}(\text{Fred}), I) ?$

- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \circ \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
- $I(\text{Oval}) = \{ \langle \bullet \rangle, \langle \circ \rangle \}$
- $I(\text{hat}) = \{ \langle \triangle, \blacksquare \rangle, \langle \circ, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

## FOL Example

- $\text{holds}(\text{Square}(\text{Fred}), I) ?$

yes

- $\text{holds}(\text{Above}(\text{Fred}, \text{hat}(\text{Fred})), I) ?$

- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \text{yellow oval} \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
- $I(\text{Oval}) = \{ \langle \bullet \rangle, \langle \text{yellow oval} \rangle \}$
- $I(\text{hat}) = \{ \langle \triangle, \blacksquare \rangle, \langle \text{yellow oval}, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

## FOL Example

- $I(\text{Fred}) = \triangle$
  - $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \text{yellow oval} \rangle \}$
  - $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
  - $I(\text{Oval}) = \{ \langle \bullet \rangle, \langle \text{yellow oval} \rangle \}$
  - $I(\text{hat}) = \{ \langle \triangle, \blacksquare \rangle, \langle \text{yellow oval}, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
  - $I(\text{Square}) = \{ \langle \triangle \rangle \}$
- $\text{holds}(\text{Square}(\text{Fred}), I) ?$   
yes
  - $\text{holds}(\text{Above}(\text{Fred}, \text{hat}(\text{Fred})), I) ?$ 
    - $I(\text{hat}(\text{Fred})) = \blacksquare$

## FOL Example

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- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \circ \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
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- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

- $\text{holds}(\text{Square}(\text{Fred}), I) ?$

yes

- $\text{holds}(\text{Above}(\text{Fred}, \text{hat}(\text{Fred})), I) ?$ 
  - $I(\text{hat}(\text{Fred})) = \blacksquare$
  - $\text{holds}(\text{Above}(\triangle, \blacksquare), I) ?$

## FOL Example

- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \circ \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
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- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

- $\text{holds}(\text{Square}(\text{Fred}), I) ?$   
yes
- $\text{holds}(\text{Above}(\text{Fred}, \text{hat}(\text{Fred})), I) ?$  no
  - $I(\text{hat}(\text{Fred})) = \blacksquare$
  - $\text{holds}(\text{Above}(\triangle, \blacksquare), I) ?$  no



## FOL Example

- $I(\text{Fred}) = \triangle$
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- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

- $\text{holds}(\text{Square}(\text{Fred}), I) ?$   
yes
- $\text{holds}(\text{Above}(\text{Fred}, \text{hat}(\text{Fred})), I) ?$  no
  - $I(\text{hat}(\text{Fred})) = \blacksquare$
  - $\text{holds}(\text{Above}(\triangle, \blacksquare), I) ?$  no
- $\text{holds}(\exists x. \text{Oval}(x), I) ?$

## FOL Example

- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \text{yellow oval} \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
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- $I(\text{hat}) = \{ \langle \triangle, \blacksquare \rangle, \langle \text{yellow oval}, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

- $\text{holds}(\text{Square}(\text{Fred}), I) ?$  **yes**
- $\text{holds}(\text{Above}(\text{Fred}, \text{hat}(\text{Fred})), I) ?$  **no**
  - $I(\text{hat}(\text{Fred})) = \blacksquare$
  - $\text{holds}(\text{Above}(\triangle, \blacksquare), I) ?$  **no**
- $\text{holds}(\exists x. \text{Oval}(x), I) ?$  **yes**
  - $\text{holds}(\text{Oval}(x), I_{x/\bullet}) ?$  **yes**

## FOL Example: Continued

- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \circ \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
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- $I(\text{hat}) = \{ \langle \triangle, \blacksquare \rangle, \langle \circ, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

- $\text{holds}(\forall x. \exists y. \text{Above}(x,y) \vee \text{Above}(y, x), I) ?$

## FOL Example: Continued

- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \circ \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
- $I(\text{Oval}) = \{ \langle \bullet \rangle, \langle \circ \rangle \}$
- $I(\text{hat}) = \{ \langle \triangle, \blacksquare \rangle, \langle \circ, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
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- $\text{holds}(\forall x. \exists y. \text{Above}(x,y) \vee \text{Above}(y, x), I) ?$ 
  - $\text{holds}(\exists y. \text{Above}(x,y) \vee \text{Above}(y,x), I_{x/\triangle}) ?$

## FOL Example: Continued

- $I(\text{Fred}) = \triangle$
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    - $\text{holds}(\text{Above}(x,y) \vee \text{Above}(y,x), I_{x/\triangle, y/\blacksquare}) ?$  yes
  - verify for all other values of  $x$

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 $\text{holds}(\text{Above}(x,y) \vee \text{Above}(y,x), I_{x/\triangle, y/\blacksquare}) ?$  **yes**
  - verify for all other values of  $x$
- $\text{holds}(\forall x. \forall y. \text{Above}(x,y) \vee \text{Above}(y,x), I) ?$  **no**
  - $\text{holds}(\text{Above}(x,y) \vee \text{Above}(y,x), I_{x/\blacksquare, y/\bullet}) ?$  **no**

# Writing FOL



## Writing FOL

- Cats are mammals [Cat<sup>1</sup>, Mammal<sup>1</sup>]



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  - $\forall x.$

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# Entailment in First-Order Logic



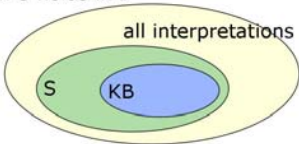
## Entailment in First-Order Logic

- KB entails  $S$ : for every interpretation  $I$ , if KB holds in  $I$ , then  $S$  holds in  $I$



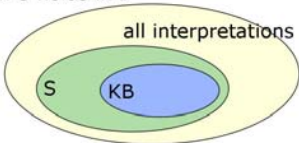
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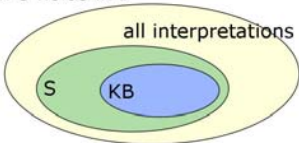
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- Computing entailment is impossible in general, because there are infinitely many possible interpretations

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- Even computing holds is impossible for interpretations with infinite universes



## Intended Interpretations

$KB : (\forall x. \text{Circle}(x) \rightarrow \text{Oval}(x)) \wedge (\forall x. \text{Square}(x) \rightarrow \neg \text{Oval}(x))$



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- We know  $\text{holds}(KB, I)$
- We wonder whether  $\text{holds}(S, I)$

- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \blacktriangle \rangle, \langle \bullet, \bullet \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
- $I(\text{Oval}) = \{ \langle \bullet \rangle, \langle \bullet \rangle \}$
- $I(\text{hat}) = \{ \langle \blacktriangle, \blacksquare \rangle, \langle \bullet, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
- $I(\text{Square}) = \{ \langle \blacktriangle \rangle \}$

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- We could ask:  
Does KB entail S?

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- $I(\text{hat}) = \{ \langle \blacktriangle, \blacksquare \rangle, \langle \bullet, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
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- We know  $\text{holds}(KB, I)$
- We wonder whether  $\text{holds}(S, I)$
- We could ask:  
Does KB entail S?
- Or we could just try to check whether  $\text{holds}(S, I)$

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$$U_1 = \{1, 2, 3, \dots\}$$

$$I_1(\text{circle}) = \{4, 8, 12, 16, \dots\}$$



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$$U_1 = \{1, 2, 3, \dots\}$$

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$$I_1(\text{oval}) = \{2, 4, 6, 8, \dots\}$$

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$I_1(\text{square}) = \{1, 3, 5, 7, \dots\}$

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- Does KB hold in  $I_1$ ?

$U_1 = \{1, 2, 3, \dots\}$

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$I_1(\text{oval}) = \{2, 4, 6, 8, \dots\}$

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## An Infinite Interpretation

$KB : (\forall x. \text{Circle}(x) \rightarrow \text{Oval}(x)) \wedge (\forall x. \text{Square}(x) \rightarrow \neg \text{Oval}(x))$

$S : \forall x. \text{Square}(x) \rightarrow \neg \text{Oval}(x)$

- Does KB hold in  $I_1$ ?
- Yes, but can't answer via enumerating U

$U_1 = \{1, 2, 3, \dots\}$

$I_1(\text{circle}) = \{4, 8, 12, 16, \dots\}$

$I_1(\text{oval}) = \{2, 4, 6, 8, \dots\}$

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- Does KB hold in  $I_1$ ?
- Yes, but can't answer via enumerating  $U$
- $S$  also holds in  $I_1$
- No way to verify mechanically

$U_1 = \{1, 2, 3, \dots\}$

$I_1(\text{circle}) = \{4, 8, 12, 16, \dots\}$

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## An Argument for Entailment

$KB : (\forall x. \text{Circle}(x) \rightarrow \text{Oval}(x)) \wedge (\forall x. \text{Square}(x) \rightarrow \neg \text{Oval}(x))$

$S_1 : \forall x, y. \text{Circle}(x) \wedge \text{Oval}(y) \wedge \neg \text{Circle}(y) \rightarrow \text{Above}(x, y)$



## An Argument for Entailment

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- $I(\text{Fred}) = \triangle$
- $I(\text{Above}) = \{ \langle \blacksquare, \triangle \rangle, \langle \bullet, \text{yellow oval} \rangle \}$
- $I(\text{Circle}) = \{ \langle \bullet \rangle \}$
- $I(\text{Oval}) = \{ \langle \bullet \rangle, \langle \text{yellow oval} \rangle \}$
- $I(\text{hat}) = \{ \langle \triangle, \blacksquare \rangle, \langle \text{yellow oval}, \bullet \rangle, \langle \blacksquare, \blacksquare \rangle, \langle \bullet, \bullet \rangle \}$
- $I(\text{Square}) = \{ \langle \triangle \rangle \}$

- $\text{holds}(KB, I)$
- $\text{holds}(S_1, I)$

## An Argument for Entailment

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$U_1 = \{1, 2, 3, \dots\}$

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- $\text{holds}(KB, I_1)$
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KB doesn't entail  $S_1$ !

## Proof and Entailment

- Entailment captures general notion of “follows from”



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- Can't evaluate it directly by enumerating interpretations
- So, we'll do proofs



# Proof and Entailment

- Entailment captures general notion of “follows from”
- Can't evaluate it directly by enumerating interpretations
- So, we'll do proofs
- In FOL, if  $S$  is entailed by  $KB$ , then there is a finite proof of  $S$  from  $KB$



# First-Order Resolution



# First-Order Resolution

$$\forall x. P(x) \rightarrow Q(x)$$
$$P(A)$$

---

$$Q(A)$$

uppercase letters:  
constants

lowercase letters:  
variables





# First-Order Resolution

$$\forall x. P(x) \rightarrow Q(x)$$
$$P(A)$$

---

$$Q(A)$$

Syllogism:

All men are mortal

Socrates is a man

Socrates is mortal

uppercase letters:  
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$$\forall x. \neg P(x) \vee Q(x)$$
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---

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Equivalent by  
definition of  
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$Q(A)$

Substitute A for  
x, still true

then

Propositional  
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---

$$Q(A)$$

Equivalent by  
definition of  
implication

Two new things:

- converting FOL to clausal form
- resolution with variable substitution

$$\neg P(A) \vee Q(A)$$
$$P(A)$$

---

$$Q(A)$$

Substitute A for  
x, still true

then

Propositional  
resolution

# Clausal Form

- like CNF in outer structure
- no quantifiers

$$\forall x. \exists y. P(x) \rightarrow R(x, y)$$



$$\neg P(x) \vee R(x, F(x))$$

# Converting to Clausal Form



# Converting to Clausal Form

## 1. Eliminate arrows

$$\alpha \leftrightarrow \beta \Rightarrow (\alpha \rightarrow \beta) \wedge (\beta \rightarrow \alpha)$$

$$\alpha \rightarrow \beta \Rightarrow \neg \alpha \vee \beta$$



# Converting to Clausal Form

## 1. Eliminate arrows

$$\alpha \leftrightarrow \beta \Rightarrow (\alpha \rightarrow \beta) \wedge (\beta \rightarrow \alpha)$$

$$\alpha \rightarrow \beta \Rightarrow \neg \alpha \vee \beta$$

## 2. Drive in negation

$$\neg(\alpha \vee \beta) \Rightarrow \neg \alpha \wedge \neg \beta$$

$$\neg(\alpha \wedge \beta) \Rightarrow \neg \alpha \vee \neg \beta$$

$$\neg \neg \alpha \Rightarrow \alpha$$

$$\neg \forall x. \alpha \Rightarrow \exists x. \neg \alpha$$

$$\neg \exists x. \alpha \Rightarrow \forall x. \neg \alpha$$



# Converting to Clausal Form

## 1. Eliminate arrows

$$\alpha \leftrightarrow \beta \Rightarrow (\alpha \rightarrow \beta) \wedge (\beta \rightarrow \alpha)$$

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$$\neg(\alpha \vee \beta) \Rightarrow \neg \alpha \wedge \neg \beta$$

$$\neg(\alpha \wedge \beta) \Rightarrow \neg \alpha \vee \neg \beta$$

$$\neg \neg \alpha \Rightarrow \alpha$$

$$\neg \forall x. \alpha \Rightarrow \exists x. \neg \alpha$$

$$\neg \exists x. \alpha \Rightarrow \forall x. \neg \alpha$$

## 3. Rename variables apart

$$\forall x. \exists y. (\neg P(x) \vee \exists x. Q(x, y)) \Rightarrow$$

$$\forall x_1. \exists y_2. (\neg P(x_1) \vee \exists x_3. Q(x_3, y_2))$$

# Skolemization

## 4. Skolemize



# Skolemization

## 4. Skolemize

- substitute new name for each existential var

$$\exists x. P(x) \Rightarrow P(\text{Fred})$$



# Skolemization

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- substitute new name for each existential var

$$\exists x. P(x) \Rightarrow P(\text{Fred})$$

$$\exists x, y. R(x, y) \Rightarrow R(\text{Thing1}, \text{Thing2})$$

# Skolemization

## 4. Skolemize

- substitute new name for each existential var

$$\exists x. P(x) \Rightarrow P(\text{Fred})$$

$$\exists x, y. R(x, y) \Rightarrow R(\text{Thing1}, \text{Thing2})$$

$$\exists x. P(x) \wedge Q(x) \Rightarrow P(\text{Fleep}) \wedge Q(\text{Fleep})$$

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## 4. Skolemize

- substitute new name for each existential var

$$\exists x. P(x) \Rightarrow P(\text{Fred})$$

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$$\exists x. P(x) \wedge \exists x. Q(x) \Rightarrow P(\text{Frog}) \wedge Q(\text{Grog})$$

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$$\exists x. P(x) \wedge \exists x. Q(x) \Rightarrow P(\text{Frog}) \wedge Q(\text{Grog})$$

$$\exists y. \forall x. \text{Loves}(x, y) \Rightarrow \forall y. \text{Loves}(x, \text{Englebert})$$

# Skolemization

## 4. Skolemize

- substitute new name for each existential var

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- substitute new function of all universal vars in outer scopes



# Skolemization

## 4. Skolemize

- substitute new name for each existential var

$$\exists x. P(x) \Rightarrow P(\text{Fred})$$

$$\exists x, y. R(x, y) \Rightarrow R(\text{Thing1}, \text{Thing2})$$

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$$\exists x. P(x) \wedge \exists x. Q(x) \Rightarrow P(\text{Frog}) \wedge Q(\text{Grog})$$

$$\exists y. \forall x. \text{Loves}(x, y) \Rightarrow \forall x. \text{Loves}(x, \text{Englebert})$$

- substitute new function of all universal vars in outer scopes

$$\forall x. \exists y. \text{Loves}(x, y) \Rightarrow \forall x. \text{Loves}(x, \text{Beloved}(x))$$

# Skolemization

## 4. Skolemize

- substitute new name for each existential var

$$\exists x. P(x) \Rightarrow P(\text{Fred})$$

$$\exists x, y. R(x, y) \Rightarrow R(\text{Thing1}, \text{Thing2})$$

$$\exists x. P(x) \wedge Q(x) \Rightarrow P(\text{Fleep}) \wedge Q(\text{Fleep})$$

$$\exists x. P(x) \wedge \exists x. Q(x) \Rightarrow P(\text{Frog}) \wedge Q(\text{Grog})$$

$$\exists y. \forall x. \text{Loves}(x, y) \Rightarrow \forall x. \text{Loves}(x, \text{Englebert})$$

- substitute new function of all universal vars in outer scopes

$$\forall x. \exists y. \text{Loves}(x, y) \Rightarrow \forall x. \text{Loves}(x, \text{Beloved}(x))$$

$$\forall x. \exists y. \forall z. \exists w. P(x, y, z) \wedge R(y, z, w) \Rightarrow$$

$$P(x, F(x), z) \wedge R(F(x), z, G(x, z))$$

## Convert to Clausal Form: Last Steps

### 5. Drop universal quantifiers

$$\forall x. \text{Loves}(x, \text{Beloved}(x)) \Rightarrow \text{Loves}(x, \text{Beloved}(x))$$



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5. Drop universal quantifiers

$$\forall x. \text{Loves}(x, \text{Beloved}(x)) \Rightarrow \text{Loves}(x, \text{Beloved}(x))$$

6. Distribute and over or; return clauses

$$P(z) \vee (Q(z, w) \wedge R(w, z)) \Rightarrow \\ \{\{P(z), Q(z, w)\}, \{P(z), R(w, z)\}\}$$



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7. Rename the variables in each clause

$$\{\{P(z), Q(z, w)\}, \{P(z), R(w, z)\}\} \Rightarrow \\ \{\{P(z_1), Q(z_1, w_1)\}, \{P(z_2), R(w_2, z_2)\}\}$$

## Example: Converting to clausal form



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a. John owns a dog
$\exists x. D(x) \wedge O(J,x)$

## Example: Converting to clausal form

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$D(\text{Fido}) \wedge O(J, \text{Fido})$



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a. John owns a dog
$\exists x. D(x) \wedge O(J,x)$
$D(\text{Fido}) \wedge O(J, \text{Fido})$

b. Anyone who owns a dog is a lover-of-animals
$\forall x. (\exists y. D(y) \wedge O(x,y)) \rightarrow L(x)$

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$\forall x. \forall y. \neg D(y) \vee \neg O(x, y) \vee L(x)$

$\neg D(y) \vee \neg O(x, y) \vee L(x)$

c. Lovers-of-animals do not kill animals

$\forall x. L(x) \rightarrow (\forall y. A(y) \rightarrow \neg K(x, y))$

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$\forall x. \neg L(x) \vee (\forall y. \neg A(y) \vee \neg K(x, y))$



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$\forall x. (\exists y. D(y) \wedge O(x, y)) \rightarrow L(x)$

$\forall x. (\neg \exists y. (D(y) \wedge O(x, y)) \vee L(x))$

$\forall x. \forall y. \neg (D(y) \wedge O(x, y)) \vee L(x)$

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$\forall x. \neg L(x) \vee (\forall y. \neg A(y) \vee \neg K(x, y))$

$\neg L(x) \vee \neg A(y) \vee \neg K(x, y)$

## More converting to clausal form

d. Either Jack killed Tuna or curiosity killed Tuna
$K(J,T) \vee K(C,T)$



## More converting to clausal form

d. Either Jack killed Tuna or curiosity killed Tuna
--

$K(J,T) \vee K(C,T)$
----------------------

e. Tuna is a cat
------------------

$C(T)$
--------

## More converting to clausal form

d. Either Jack killed Tuna  
or curiosity killed Tuna

$K(J,T) \vee K(C,T)$

e. Tuna is a cat

$C(T)$

f. All cats are animals

$\neg C(x) \vee A(x)$

## A Proof Using Resolution

We are given:

$$\forall x [P(x) \Rightarrow [\forall y [P(y) \Rightarrow P(f(x, y))] \wedge \neg \forall y [Q(x, y) \Rightarrow P(y)]]]$$

where:  $P, Q$  are predicates  
 $x, y$  are variables  
 $f$  is a function

Step 1:

*Eliminate Implication*

use  $x \Rightarrow y \equiv \neg x \vee y$

We obtain:

$$\forall x [\neg P(x) \vee [\forall y [\neg P(y) \vee P(f(x, y))] \wedge \neg \forall y [\neg Q(x, y) \vee P(y)]]]$$

## Step 2

*Reduce Scope of Negation Symbols*

Use:

- DeMorgan's Laws
$$\neg(X \wedge Y) \equiv \neg X \vee \neg Y$$
$$\neg(X \vee Y) \equiv \neg X \wedge \neg Y$$
- $\neg(\neg X) \equiv X$
- And from Quantifier Meaning Rules:
$$\neg\forall x \ P(x) \equiv \exists x [\neg P(x)]$$
$$\neg\exists x \ P(x) \equiv \forall x [\neg P(x)]$$

## Step 2 Continued:

*Reduce Scope of Negation Symbols*

Actual Reduction:

$$\forall x [\neg P(x) \vee [\forall y [\neg P(y) \vee P(f(x, y))] \wedge \underbrace{\neg \forall y [\neg Q(x, y) \vee P(y)]}_{\text{only underlined part}}]$$

$$\Downarrow \neg \forall x P(x) \equiv \exists x [\neg P(x)]$$

$$\exists y [\neg[\neg Q(x, y) \vee P(y)]]$$

$$\Downarrow \text{DeMorgan's Law}$$

$$\exists y [\neg[\neg Q(x, y)] \wedge \neg P(y)]$$

$$\Downarrow \text{Double Negation}$$

$$\exists y [Q(x, y) \wedge \neg P(y)]$$

## Step 3

### *Standardize Variables*

Rename variable associated with each quantifier so it is unique. Can do this without altering truth values (in quantifiers only).

For example:

$$\forall x [P(x) \Rightarrow \exists x Q(x)]$$

is rewritten

$$\forall x [P(x) \Rightarrow \exists y Q(y)]$$

### *Result in Example:*

$$\begin{aligned} & \forall x [\neg P(x) \vee [\forall y [\neg P(y) \vee P(f(x, y))] \wedge \\ & \quad \underline{\exists y [Q(x, y) \wedge \neg P(y)]]}] \\ \Downarrow & \forall x [\neg P(x) \vee [\forall y [\neg P(y) \vee P(f(x, y))] \wedge \\ & \quad \underline{\exists w [Q(x, w) \wedge \neg P(w)]]}] \end{aligned}$$

## Step 4

*Get Rid of Existential Quantifiers:  
“Skolemize”*

How to use Skolem Functions:

$$\forall y [\exists x P(x, y)]$$

For all  $y$ , there exists an  $x$ , perhaps depending on  $y$ , such that  $P(x, y)$ .

Skolem function  $g(y)$  maps  $y$  onto the necessary  $x$ .

$$\forall y P[g(y), y]$$

Rule:

Replace each occurrence of existential quantifier variable by a Skolem function whose arguments are universally quantified variables whose scopes include the existential quantifier being eliminated.

## Step 4 Continued

### *Example of Skolemization*

$$\underline{\forall x \ P(x)} \wedge \forall y \forall z \ [\exists w \ [P(y, z, w) \wedge R(y)]]$$

not in scope

$\Downarrow$

$$\forall x \ P(x) \wedge \forall y \forall z \ [P(y, z, \underline{g(y, z)}) \wedge R(y)]$$

Result in Example Proof:

$$\forall x \ [\neg P(x) \vee [\forall y \ [\neg P(y) \vee P(f(x, y))]] \wedge \\ \exists \underline{w} \ [Q(x, \underline{w}) \wedge \neg P(\underline{w})]]]$$

$\Downarrow$  only  $x$  in scope

$$\forall x \ [\neg P(x) \vee [\forall y \ [\neg P(y) \vee P(f(x, y))]] \wedge \\ [Q(x, g(x)) \wedge \neg P(g(x))]]]$$



## Step 5

*Move all Universal Quantifiers to Left*  
*Prenex Form*

This can be done because all variables are unique to each universal quantifier.

Result in Example Proof:

$$\begin{aligned} \forall x \ [ \neg P(x) \vee [ \underline{\forall y} \ [ \neg P(y) \vee P(f(x, y))] \wedge \\ [Q(x, g(x)) \wedge \neg P(g(x))] ] ] \\ \Downarrow \\ \forall x \underline{\forall y} \ [ \neg P(x) \vee [ \neg P(y) \vee P(f(x, y))] \wedge \\ [Q(x, g(x)) \wedge \neg P(g(x))] ] \end{aligned}$$

## Step 6

### *Rewrite in Conjunctive Normal Form*

Resulting in a conjunction of a set of disjunctions of literals.

(i.e., move disjunctions down to the literals.)

Use the Distributive laws repeatedly.

$$A \vee (B \wedge C) \equiv (A \vee B) \wedge (A \vee C)$$

### *Result in Example Proof:*

$$\begin{aligned} \forall x \forall y \quad & [\neg P(x) \vee [\neg P(y) \vee P(f(x, y))] \wedge \\ & [Q(x, g(x)) \wedge \neg P(g(x))]] \\ & \Downarrow \text{apply distributive law twice} \\ \forall x \forall y \quad & [[\neg P(x) \vee \neg P(y) \vee P(f(x, y))] \wedge \\ & [\neg P(x) \vee Q(x, g(x))] \wedge \\ & [\neg P(x) \vee \neg P(g(x))]] \end{aligned}$$

## Step 7

### *Eliminate Universal Quantifiers*

All variables are assumed to be universally quantified.

*Result in Example Proof:*

$$\begin{aligned} & [\neg P(x) \vee \neg P(y) \vee P(f(x, y))] \wedge \\ & \quad [\neg P(x) \vee Q(x, g(x))] \wedge \\ & \quad [\neg P(x) \vee \neg P(g(x))] \end{aligned}$$

## Step 8

### *Eliminate $\wedge$ Symbols*

Separate into clauses

*Result in Example Proof:*

$$\begin{aligned} & \neg P(x) \vee \neg P(y) \vee P(f(x, y)) \\ & \quad \neg P(x) \vee Q(x, g(x)) \\ & \quad \neg P(x) \vee \neg P(g(x)) \end{aligned}$$

## Step 9

### *Rename Variables*

No variable symbol should appear in more than one clause.

(Standardizing the variables apart).

### *Result in Example Proof:*

$$\begin{aligned} &\neg P(x1) \vee \neg P(y) \vee P(f(x1, y)) \\ &\quad \neg P(x2) \vee Q(x2, g(x2)) \\ &\quad \neg P(x3) \vee \neg P(g(x3)) \end{aligned}$$

**Done!** (Whew!!)

Now we can **start** actual proving by resolution from these ground clauses.

## Resolution Theorem Proving

- Proof by contradiction
- Unification is the major process:  
    matching while propagating variable bindings

### Procedure

1. Negate theorem trying to prove
2. Add to axiom set
3. Convert all axioms to clause form
4. Resolve clauses until:
  - a) Empty clause produced  $\rightarrow$  T
  - b) No resolvable clauses  $\rightarrow$  F

Empty clause denotes contradiction

## Completeness and Decidability

- Complete: If KB entails  $S$ , then we can prove  $S$  from KB



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- Gödel's Completeness Theorem: *There exists a complete proof system for FOL*
- Robinson's Completeness Theorem: *Resolution refutation is a complete proof system for FOL*
- *FOL is semi-decidable*: if the desired conclusion follows from the premises then eventually resolution refutation will find a contradiction.
  - If there's a proof, we'll halt with it
  - If not, maybe we'll halt, maybe not



# Adding Arithmetic



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- Gödel's Incompleteness Theorem: *There is no consistent, complete proof system for FOL + Arithmetic.*
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- Arithmetic gives you the ability to construct code-names for sentences within the logic.
  - P = "P is not provable."
  - If P is true: it's not provable (incomplete)
  - If P is false: it's provable (inconsistent)