

1 **Short-Term Bus Passenger Flow Prediction Using Multi-Component Graph Attention**  
2 **Nerual Network Model**

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**1 ABSTRACT**

2 Predicting passenger flow is an important part of transit operation planning. It is nonetheless sub-  
3 ject to a variety of influencing factors. Emerging deep learning models are increasingly being used  
4 to improve passenger flow prediction precision. Nevertheless, most of these methods predict the  
5 future passenger flow of a stop based on its historic passenger flow alone, without taking its neigh-  
6 bors into account, or, if they do, they treat their spatial-temporal dependencies equally, which is not  
7 valid in real life. In this vein, we propose a graph deep learning model entitled Multi-Component  
8 Spatial Temporal Graph Attention Convolution (Mutli-STGAC) in order to predict short-term bus  
9 passenger flow. The proposed framework consists of a Graph Attention Network, as well as a  
10 Graph Convolution Neural Network that are specifically designed to account for spatial correla-  
11 tions in passenger flow data in the context of a bus network. In order to capture long sequences of  
12 historical time intervals, a gated dilated convolution layer was also used in the temporal modeling  
13 process part of the Multi-STGAC model. Furthermore, the model contains a layer of fusion in  
14 which short-, mid-, and long-term temporal dependencies are taken into account simultaneously.  
15 Moreover, with real-world data from the Laval, Canada bus network, an analysis of the proposed  
16 model is performed to compare its MAE, RMSE, and accuracy with other deep learning models.  
17 We find that the proposed model outperforms the LSTM, ConvLSTM, and different versions of  
18 Multi-STGAC that do not take into account one of its assumptions.

19

20 *Keywords:* Short-Term Passenger Flow Forecasting, Deep learning, Graph Neural Network, Bus  
21 Network, Graph Attention

## 1 INTRODUCTION

2 As the world's urban population grows, the demand for transportation is increasing. This  
3 has concomitantly led to many problems, such as traffic congestion, atmospheric pollution, en-  
4 ergy consumption, etc. Public transportation is a critical aspect of well functioning transportation  
5 systems and can contribute to the livability of cities, less congestion, faster commutes, more con-  
6 venience, and a reduction in carbon emissions. Public bus transportation is an essential part of  
7 public transportation systems more broadly. Numerous studies demonstrate that encouraging peo-  
8 ple to prioritize taking the bus is an effective strategy to cope with the latter issues (1). As part  
9 of Intelligent Transportation Systems (ITS), the prediction of bus passenger flows is a major com-  
10 ponent that can help with the better management of bus systems. Additionally, it facilitates the  
11 allocation of resources, the management of infrastructure, and the determination of service fre-  
12 quencies. It remains challenging, however, to accurately describe and capture the high variability  
13 and nonlinearity that characterizes bus passenger flows.

14 Previous approaches to passenger flow forecasting can be categorized into short-term and  
15 long-term prediction. Long-term prediction studies typically have a forecast horizon of one to  
16 several days. These forecasts are generally operator-based as they provide strategic level informa-  
17 tion for planning and are thus fundamental for planning and network development purposes (2).  
18 In contrast to long-term prediction studies, short-term projections are based on forecast horizons  
19 from the next few minutes to the next few hours ahead. They are usually client-based meaning they  
20 are often used for consumer applications (like mobile application) or bus stop board notifications.  
21 They also have a vital role in the real-time administration and monitoring of a bus transportation  
22 system. Short-term prediction approaches have recently received increasing attention from trans-  
23 port planners and researchers due to their important role (3, 4) making them a prerequisite for  
24 proactive operations and management of bus transport services (5).

25 There have been a variety of approaches used to predict short-term passenger flows, in-  
26 cluding linear or nonlinear methods, such as deep learning models. However, many methods fo-  
27 cus only on the historical passenger flows of a target stop when predicting its future value, not  
28 on network-wide spatio-temporal dependencies. Graph family neural networks are becoming in-  
29 creasingly popular in the field of transportation (6). Graph Neural Networks (GNNs) capture  
30 network-wide spatio-temporal dependencies by transmitting messages between nodes. The bus  
31 transportation network can be viewed as a graph, since it is composed of nodes and links. Al-  
32 though these methods can predict passenger flow to different degrees, they often treat neighbors'  
33 spatio-temporal dependencies equally, resulting in a failure to select the most important features  
34 for further accuracy improvement. Moreover, most studies on passenger flow forecasting have fo-  
35 cused on metro systems, and even when they were applied to bus systems, the system size was not  
36 adequate to project a large-scale network comparable to metropolises.

37 To address these issues, we develop a multi-component spatial-temporal graph attention  
38 convolution (Multi-STGAC) model for forecasting short-term passenger flow in the bus network  
39 as part of this study. Three specific contributions are made by this study: 1) Combining Graph At-  
40 tention Networks with graph convolution networks, which have been specifically developed for bus  
41 networks, for capturing spatial features; the model takes into account how the neighborhood and  
42 traffic affect the predicted passenger flow value. 2) A Temporal Gated Dilated Convolution Layer  
43 is designed specifically to capture the long-term time series sequences as well as a fusion layer to  
44 consider short-term, mid-term, and long-term historical temporal dependencies. 3) It evaluates the  
45 performance of the suggested framework on a real network with 467 stops in Laval, Canada.

1 To contextualize the subject of this study, we begin by reviewing the literature on short-term  
2 prediction of passenger flows in public transportation systems. Then, we present the methodology,  
3 which involves a description of the model's architecture and the necessary context for compre-  
4 hending the proposed model. After describing the dataset, we exhibit the results alongside the  
5 performance of other reference models. Finally, we conclude with a discussion of potential future  
6 research topics.

## 7 LITERATURE REVIEW

8 Short-term passenger flow has been predicted using a variety of approaches in different  
9 studies. In the beginning, several attempts have been made to predict passenger flow using linear  
10 models (also known as parametric models or statistical models). For instance, Zhang et al. utilized  
11 a basic Kalman filter algorithm in an effort to predict short-term bus passenger flow at bus stops (7).  
12 Yang et al. also predicted the short-term passenger flow using a generalized linear regression model  
13 (8). Gu et al. used an autoregressive moving average model (ARMA) to forecast the passenger  
14 flow at bus stops of Shanghai in China (9). Xue et al. proposed an interactive multiple model  
15 (IMM) that implements different methods to forecast passenger demand during the day. They  
16 applied ARMA, autoregressive integrated moving average (ARIMA), and seasonal ARIMA for  
17 weekly, hourly and daily time-series analyses, respectively (10).

18 Most studies using linear approaches for forecasting passenger flow used simple networks  
19 and small datasets. Real-world passenger flow observations are complex and highly variable, mak-  
20 ing linear approaches less applicable for modeling passenger flow variation accurately. Conse-  
21 quently, machine learning and deep learning models are becoming increasingly popular in passen-  
22 ger flow forecasting studies. For instance, Yang and Liu has developed a Support Vector Regression  
23 (SVR) based on Affinity Propagation for bus stop passenger flow prediction (11). Chen et al. also  
24 established a short-term passenger flow prediction model based on Least Squares Support Vector  
25 Machine Regression (LS-SVMR) (12). Likewise, Lv et al. proposed a passenger flow prediction  
26 model based on bus Integrated Circuit (IC) card data. They collected points-of-interest (POI) data  
27 around bus stops, and an extreme gradient boosting (XGBoost) approach was implemented to train  
28 the model for each bus line (13).

29 Since deep learning models can better account for nonlinearity and complexity observed  
30 in transit data, these models have achieved state-of-the-art results in the passenger flow predic-  
31 tion domain. A variety of deep learning models have been used to predict passenger flow. One  
32 of the most commonly used deep learning methods to predict passenger flows is Convolutional  
33 Neural Networks (CNN). For instance, Shen et al. used CNN for building a metro passenger flow  
34 forecasting system (14). In another study, Zhang et al. used a CNN-based framework for short-  
35 term forecasting of passenger demand on a multi-zone basis (15). The Recurrent Neural Network  
36 (RNN) model is another type of deep learning model, mostly used for exploring temporal depen-  
37 dencies in passenger flow prediction (16, 17). Long short-term memory (LSTM) model is one  
38 of the popular approaches in RNN which has been used a lot in the concept of passenger flow  
39 forecasting in metro networks (18) and in bus networks (19, 20).

40 Neither CNNs nor RNNs are capable of capturing both spatial and temporal features at the  
41 same time. Consequently, in recent years, hybrid traffic prediction models have become increas-  
42 ingly popular because they incorporate both spatial and temporal interdependencies. For example,  
43 in a recent paper, Wang et al. have combined LSTMs and CNNs to analyze spatial and tempo-  
44 ral data simultaneously for predicting passenger flows in Shanghai, China's subway network (21).

1 Xiao et al. also predicted passenger flow and travel times using LSTM, CNN-LSTM, and ConvL-  
2 STM (22). In another study, using both convolutional neural networks (CNNs) and gated recurrent  
3 units (GRUs), Zaho et al. proposed a method for classifying bus passenger flows. Taking into  
4 account both working days and non-working days scenarios, the model predicted the classified  
5 passenger flows of routes and cross-sections of a bus line (23).

6 Despite the combination of CNNs and RNNs solving the problem of simultaneously ex-  
7 tracting and fitting spatio-temporal features, these techniques are not sufficient for modeling tran-  
8 sit networks, which are non-Euclidean in nature. Research on machine learning tasks involving  
9 graphs has recently caught the attention of researchers, and a new class of neural networks known  
10 as Graph Neural Networks (GNNs) was developed and adopted in a variety of applications. Com-  
11 paratively to CNNs that are limited to Euclidean data structures, GNNs are able to capture spatial  
12 information concealed in non-Euclidean structured data (24). This type of information is com-  
13 monly encountered in transportation applications.

14 The use of GNNs opens up new avenues for addressing passenger flow forecasting chal-  
15 lenges, and several studies have used this model in this area. For example, Kong et al. proposed  
16 a framework for the prediction of passenger flow based on graph convolutional networks (GCNs).  
17 In this study, a novel sharing-stop network was constructed based on bus records in order to model  
18 the relationship between passengers (25). Similarly, Han et al. proposed a GCN-based model to  
19 forecast the inflow and outflow passenger flow volumes at each metro station in Shanghai, China  
20 (26). In another study, Arabghalizi and Jia forecasted the short-term flow of passengers at bus stops  
21 using a combination of GCN, CNN, and LSTM for extracting spatial and temporal data patterns.  
22 They analyzed the proposed method based on historical data collected from 87 bus stations along  
23 one of Pittsburgh's busiest routes (27). Ma et al. also proposed a model for estimating short-term  
24 subway passenger flow based on GCN and Bidirectional LSTM (BiLSTM) (28).

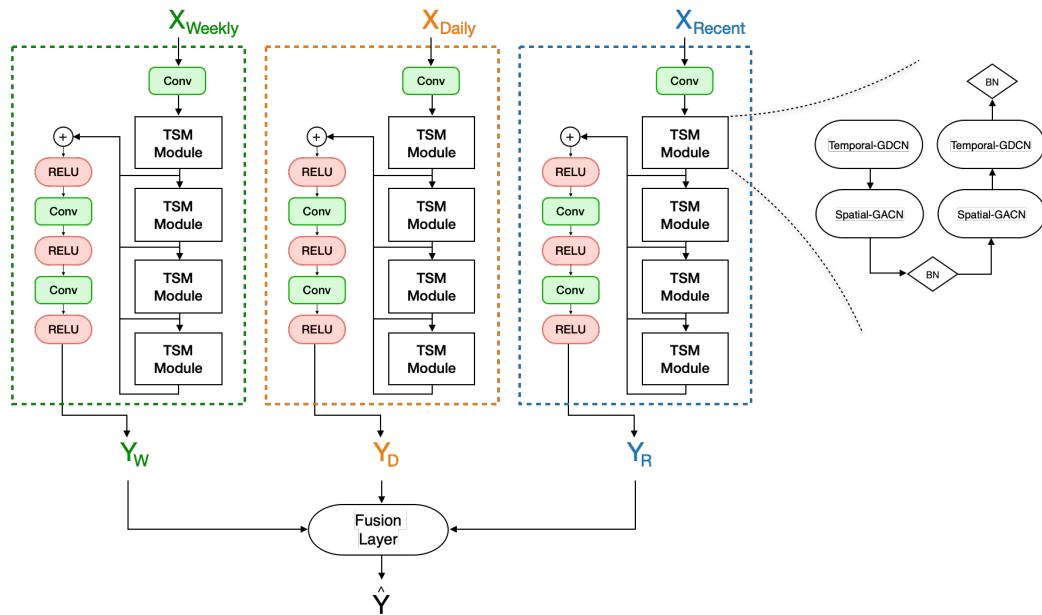
25 Public transportation networks in the real world are dynamic, unlike graph neural networks  
26 where each node's effects on its neighbors are static and the same. The impact of two stops on each  
27 other may vary, for example, based on their proximity or distance and whether they are connected  
28 or not. In general, these impacts are highly dynamic and change over time. In an increasing  
29 number of studies, attention mechanisms are used in conjunction with GNNs to overcome this  
30 limitation. As a result of an attention mechanism, deep neural network models are able to make  
31 predictions based on the most relevant parts of the data, from a spatial or temporal perspective.  
32 It can be achieved by dynamically assigning weights to edges/nodes in the graph or to different  
33 time intervals, which has been explored in several studies (29). Han et al., for example, presented  
34 a unique deep learning architecture that integrates graph attention networks (GAT) with LSTM  
35 to predict passenger flow. In this work, GATs learned spatial dependency, while LSTM learned  
36 temporal dependency (30). In another study, Li et al. also combined LSTM, GCN, and attention  
37 mechanism to have a short-term metro passenger flow prediction considering only adjacent stations  
38 (31). Chen et al. also employed a GAT network to capture the spatial correlation of multiple bus  
39 stops and a BiLSTM with attention to extract the temporal correlation of historical ridership, in  
40 order to forecast passenger flow (32).

41 The GAT model has been used in several studies to predict passenger flow, but further  
42 studies are needed for a number of reasons. First, it has mainly been used in the studies with the  
43 application of metro systems, and even when it has been used for bus systems applications, the  
44 systems have been very limited in size and complexity which is not sufficient to take advantage of  
45 complex graph-based approaches. Furthermore, the attention mechanism described in the passen-

1 ger flow predictions so far is not able to account for the interdependencies caused by traffic and  
 2 other factors, which clearly have a significant impact on it. As this is still a relatively new model,  
 3 combining it with another deep learning model would allow it to accommodate more spatial and  
 4 temporal variables, making it more like a public transportation system in the real world. Therefore,  
 5 in this study, an end-to-end graph attention framework is being developed called Multi-Component  
 6 Spatial Temporal Graph Attention Convolution (Multi-STGAC) to predict passenger flow on a bus  
 7 network in Laval, Canada. For spatial modeling, this framework implements a GAT and a specific  
 8 GCN tailored specifically to the bus network. In addition, for the temporal modeling, a gated di-  
 9 lated convolution layer was used, which is capable of capturing long sequences of time intervals.  
 10 Furthermore, the model also contains a fusion layer which allows it to take into account short-term,  
 11 mid-term, and long-term temporal dependencies simultaneously.

## 12 METHODOLOGY

13 **Figure 1** illustrates the structure of the proposed Multi-STGAC framework in this study,  
 14 consisting of three independent components that share the same structure for extracting spatio-  
 15 temporal features over different time horizons. Specifically, they are intended to determine the  
 16 dependencies of historical data on recent, daily, and weekly periods. In the beginning of each  
 17 component, there is a convolution layer, which is responsible for generating various feature maps  
 18 and capturing correlations between the input features. This convolution layer provides input to  
 19 the next four spatio-temporal Temporal Spatial Modeling (TSM) modules, which are stacked on  
 20 top of one another. Each TSM module is containing two layers of what we call Temporal Gated  
 21 Dilated Convolution Layers (Temporal-GDCN) and Spatial Graph Attention Convolution Layers  
 22 (Spatial-GACN), follow by a Batch Normalization (BN) layer. As a final step, the outputs from  
 23 each of the three components,  $Y_R$ ,  $Y_D$ , and  $Y_W$ , are combined via a fusion layer to produce the final  
 24 prediction. The details of each layer will be discussed in the following paragraphs.



**Figure 1 The Structure of the Multi-Component Spatial Temporal Graph Attention Convolution (Multi-STGAC) Model**

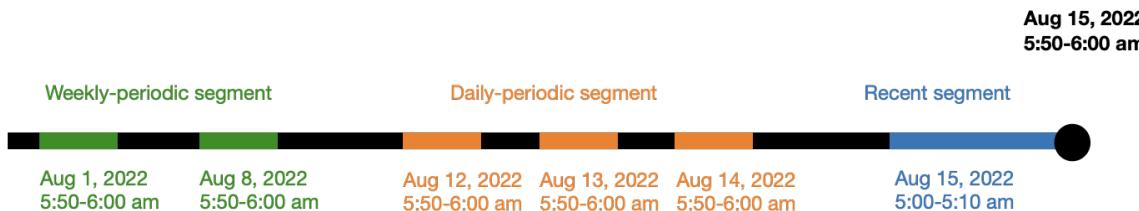
1 **Model Input Definition**

2 In this section, the different inputs for the model are described. We describe first how to generate  
 3 the different historical passenger flow datasets that will be used as inputs for each time series  
 4 segment of the model, means  $X_{Recent}$ ,  $X_{Daily}$ , and  $X_{Weekly}$ . Next, the bus network graph will be  
 5 described along with its adjacency matrix and bus proximity matrix.

6 *Historical Passenger Flow Time Series Inputs*

7 Suppose that passenger flow data is aggregated every  $\Delta t_{interval}$  minutes and a sample frequency for  
 8 a day is  $p$  times mean  $\Delta t_{interval} \times p = 1440$  minutes, with the index for the prediction time interval  
 9 being  $t$ . As also shown in **Figure 1**, three time series inputs are considered for the recent, daily,  
 10 and weekly spatio-temporal components in the framework whose lengths are determined by  $T_r$ ,  $T_d$ ,  
 11 and  $T_w$  respectively. The following paragraphs provide details regarding each of these input data:

- 12 • **The recent segment:** Bus stop passenger flow gradually extends to its neighboring stops  
 over time, so the near-neighboring historical time series to the predicted time interval  
 13 can have a significant effect on how much predicted passenger flow is observed at that  
 14 particular stop and its neighboring stops. As a result, a segment adjacent to the interval  
 15 of prediction has been defined for this time series. This time series data can be defined  
 16 by  $X_{Recent} = [X_{t-T_r+1}, X_{t-T_r+2}, \dots, X_{t-1}]$ .
- 17 • **The daily-periodic segment:** This segment consists of time intervals that occurred  
 during the past several days at the same time of day as the prediction time interval.  
 18 Due to the fact that people's daily habits, such as morning and evening peaks, are  
 19 almost always the same throughout the workday, traffic and passenger flow statistics  
 20 may display recurring patterns. The objective of this component is to create a model  
 21 that illustrates the daily periodicity of passenger flow data and it is representing by  
 22  $X_{Daily} = [X_{t-T_d \times p}, X_{t-(T_d-1) \times p}, \dots, X_{t-p}]$ .
- 23 • **The weekly-periodic segment:** This segment consists of the same periods that oc-  
 24 curred over the last few weeks at the same time and day as the prediction interval.  
 25 The traffic patterns of a particular day are typically similar to those of the previous  
 26 week. The purpose of the weekly-periodic component is to record the weekly patterns  
 27 that occur frequently in the passenger flow data, and it is representing by  $X_{Weekly} =$   
 28  $[X_{t-T_w \times 7 \times p}, X_{t-(T_w-1) \times 7 \times p}, \dots, X_{t-7 \times p}]$



**Figure 2 Time series dataset segments for weekly, daily, and recent input data examples**

31 *Bus Network Graph and Adjacency Matrix*

32 In general, a graph is defined as  $G = (V, E)$  where  $V$  is the set of vertices (or nodes) whose car-  
 33 dinality is noted by  $N$  and  $E$  is the set of edges between the nodes. Practically, we consider stops as  
 34 nodes, and bus routes between stops are defined as the edges of the graph. The adjacency matrix is

1 seen as the key to capturing spatial dependency in traffic forecasting (33). It is built by assigning  
 2 to each couple of nodes (bus stops) the value one if they are connected, otherwise zero.

3 *Bus Network Proximity Matrix*

4 The effect of each stop on each other are different in real-world bus networks. For example, most  
 5 of the time, the impact of physically close stops is typically greater than that of stops that are  
 6 physically farther apart. A Bus Network Proximity Matrix (BNP) has been created to account for  
 7 this property of the bus network. In this matrix, cells reflect the possibility that a given destination  
 8 node can be reached from a given origin node in a specified amount of time. As a prerequisite to  
 9 defining the BNP matrix, we must first define the distance matrix. As a function of the length of  
 10 each road in the network, a distance matrix  $Dist \in R^{N \times N}$  is defined, in which each element  $Dist_{ij}$   
 11 represents the real distance between nodes  $i$  and  $j$  ( $Dist_{ii} = 0$ ). We then define the Bus Network  
 12 Proximity Matrix in **Equation 1** based on the distance matrix defined above and the average bus  
 13 speed in each edge:

$$14 \\ 15 BNP_{ij} = \begin{cases} 1, & \text{if } S_{ij}\Delta t - Dist_{ij} \geq 0 \\ 0, & \text{otherwise} \end{cases} \quad (1) \\ 16$$

17 In this equation,  $S_{ij}$  is the average speed of the bus between the stops  $i$  and  $j$ , and  $\Delta t$  is the time  
 18 interval. Each element  $BNP_{ij}$  equals one if the passenger using the bus can reach from stop  $i$  to  
 19 stop  $j$  under a specific time interval  $\Delta t$ , and  $BNP_{ij} = 0$  otherwise. Also, each stop is considered  
 20 self-reachable and hence all diagonal values in  $BNP$  are equal to one.

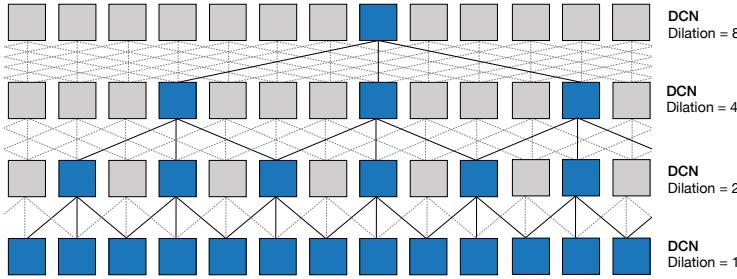
21 **Temporal-Spatial Modeling Module**

22 In the Temporal-Spatial Modeling (TSM) module, temporal-spatial dependencies are captured us-  
 23 ing two different deep learning algorithms layer: 1) Temporal Gated Dilated Convolution Layer  
 24 (Temporal-GDCN) and 2) Spatial Graph Attention Convolution Layer (Spatial-GACN). Each TSM  
 25 module is composed of two Temporal-GDCN layers and two Spatial-GACN layers. Here are the  
 26 details of the various layers used in this module.

27 *Temporal Gated Dilated Convolution Layer*

28 **Figure 3** illustrates how dilated causal convolution slides over inputs by skipping values with a  
 29 certain step, as a special case of standard 1D convolution. As has been shown, in dilated causal  
 30 convolution networks, layer depth can be increased exponentially (34). This is their advantage over  
 31 RNNs, as this allows them to handle long-range sequences correctly in a non-recursive manner,  
 32 facilitating parallel computations and alleviating gradient explosion. By padding the inputs with  
 33 zeros, the dilated causal convolution preserves the temporal causal order by making predictions on  
 34 the current time step only involving historical information taken from previous time steps (34).

35 Gate mechanisms are introduced to deal with the vanishing gradient problem and have  
 36 proven essential to RNN success (35). This mechanism smooths out the applied layer's output.  
 37 Accordingly, a Gated Convolution refers to a convolution layer that uses a gating mechanism. It  
 38 has been demonstrated that they can effectively control the flow of information through temporal  
 39 convolution networks (36). In order to learn complex temporal dependencies, we employ a Tem-  
 40 poral Gated Dilated Convolution (Temporal-GDCN) layer as part of the TSM module. This paper  
 41 uses a simple Temporal-GDCN contains only an output gate as shown in **Equation 2** (34).



**Figure 3 An example of dilated casual convolution with kernel size 3**

$$1 \quad h = g(\Theta_1 * X + a) \odot \sigma(\Theta_2 * X + b) \quad (2)$$

2

3 In this equation,  $X$  is the input data and  $\Theta_1$ ,  $\Theta_2$ ,  $a$ , and  $b$  are the model parameters.  $\odot$  is also the  
4 elementwise product. Moreover, in this layer,  $g(\cdot)$  represents the activation function, which was  
5 considered a hyperbolic tangent function, and  $\sigma(\cdot)$  is the sigmoid function that determines how  
6 much information passes on to the next layer.

7 *Spatial Graph Attention Convolution Layer*

8 Attention mechanisms have previously been proven to be effective for tasks such as machine reading  
9 (37) and learning sentence representations, which use RNNs or convolutional neural networks  
10 (38). By adding an attention layer to the neural network, the most important parts of the data  
11 are given more attention instead of the entire data. This increases the dependability of the neural  
12 network while simultaneously focusing on the most important information. As part of the Spatial  
13 Graph Attention Convolution Layer (Spatial-GACN) of TSM module, the graph attention layer  
14 is juxtaposed just before the graph convolution layer to learn spatial dependencies and aggregate  
15 information from nodes by assigning different importance. To make the graph attention layer, the  
16 nodes are first transformed using a shared linear transformation (**Equation 3**) parameterized by a  
17 weight matrix  $W$ .

18

$$19 \quad e_{ij} = a(W\vec{h}_i, W\vec{h}_j) \quad (3)$$

20

21 The attention is then applied to the nodes by using the function  $\alpha$ . This is a single-layer feedfor-  
22 ward neural network applied with the LeakyReLU activation function that has 0.2 as negative input  
23 slope. To make coefficients easier to compare across nodes, we normalize them using the *softmax*  
24 function, as given in **Equation 4**.

25

$$26 \quad \alpha_{ij} = softmax(e_{ij}) = \frac{\exp(e_{ij})}{\sum_{k \in \mathcal{N}_i} \exp(e_{ik})} \quad (4)$$

27

28 Here  $\mathcal{N}_i$  is the first neighborhood of node  $i$ . Once obtained, the normalized attention coefficients  
29 are used to compute a linear combination of the features corresponding to them, to serve as the  
30 final output features for every node after applying an ELU activation function (39). The final value  
31 for each node is represented by **Equation 5**.

$$1 \quad \vec{h}'_i = ELU\left(\sum_{j \in \mathcal{N}_i} \alpha_{ij} W \vec{h}_j\right) \quad (5)$$

$$2$$

3 After calculation of the output of attention mechanism, it will be used as an input for the graph  
 4 convolution layer. The primary purpose of a graph convolution layer is to derive locally relevant  
 5 features from the input data that is organized in the form of a graph. A graph convolution operation  
 6 for the purpose of extracting spatial features is shown in **Equation 6**.

$$7$$

$$8 \quad GC_t = (W_{GC} \odot A \odot BNP) h'_t \quad (6)$$

$$9$$

10 where  $\odot$  is the Hadamard product operator, and  $h'_t \in R^N$  is the vector of passenger flow states of  
 11 all nodes at time  $t$ . The  $W_{GC} \in R^{N \times N}$  is a trainable weight matrix and the  $GC \in R^N$  is the extracted  
 12 spatial graph convolution feature. As  $A$  and  $BNP$  are both sparse matrices only containing 0 and 1  
 13 elements, the result of the convolution is also sparse. In addition, the trained weight  $W_{GC}$  has the  
 14 potential to assess the interaction effect between graph nodes, which would ultimately improve the  
 15 model's interpretability.

## 16 Fusion Layer

17 As has been shown in **Figure 1**, each of these three recent, daily, and weekly components has  
 18 been formed with the four TSM module which is repeated consecutively to extract deeper features  
 19 and make the model more robust. Let  $\hat{Y}_R$ ,  $\hat{Y}_D$  and  $\hat{Y}_W$  be the output of these three components. The  
 20 fusion is done by concatenating them along the feature axis. Then, in order to learn the correlations  
 21 between the three components as well as the properties of each prediction time step, we use two  
 22 convolution layers with the ELU activation function as is shown in **Equation 7**,

$$23$$

$$24 \quad \hat{Y} = W_1 \otimes ELU(W_2 \otimes [\hat{Y}_R || \hat{Y}_D || \hat{Y}_W]) \quad (7)$$

$$25$$

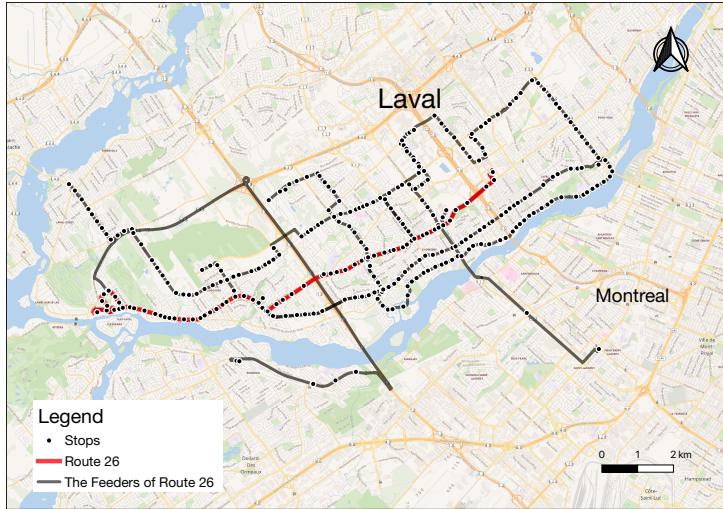
26 In this equation  $||$  means concatenation operation and  $\otimes$  is the convolution operation. In this study,  
 27 we use Mean Squared Error (MSE) as a loss function, since it is commonly used for predicting  
 28 continuous values.

## 29 EXPERIMENTS

30 This section describes the real-world experiment in which the proposed framework is ap-  
 31 plied. After presenting the characteristics of the dataset, we present the outcomes of our proposed  
 32 model, as well as the outcomes of other baselines, in order to compare the performance of these  
 33 models in predicting passenger flows.

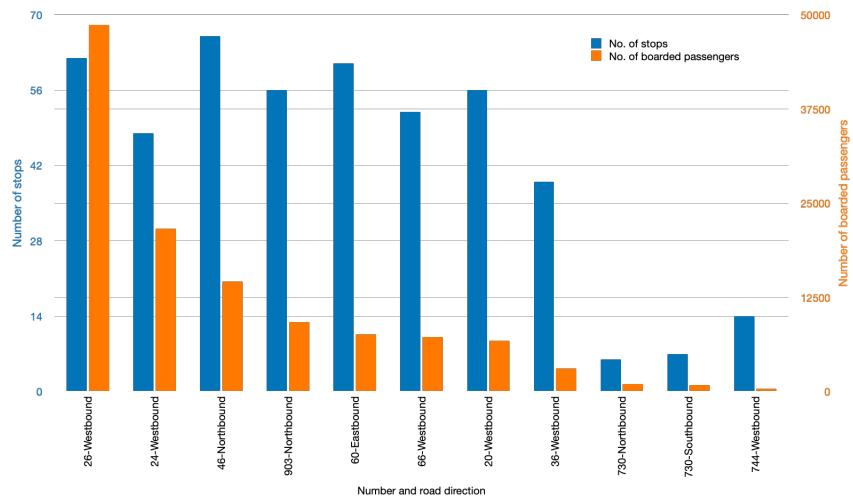
## 34 Experimental data

35 The proposed model was applied to a real-world dataset that contains information about passengers  
 36 of the Société de Transport de Laval (STL), the transportation provider in Laval, Canada. The  
 37 importance of this dataset stems from the fact that Laval is a rapidly growing city of more than  
 38 400,000 residents in the region of Greater Montreal. With the aim of having an order of magnitude  
 39 in relation to real-world scenarios, we test our model on a relatively complex subnetwork of the  
 40 Laval bus transportation network, which includes the Route 26, one of the busiest routes in Laval,  
 41 as well as its ten feeders. **Figure 4** gives an overview of the network modeled in this study.



**Figure 4 The network of Dataset**

1       **Figure 5** provides a more in-depth look at the data by providing an order of magnitude for  
 2 the number of stops and the number of passengers boarded on each bus route. The X-axis denotes  
 3 the bus routes in our network. For each route, the orange bar is graduated on the right side of the  
 4 chart and describes the number of boarded passengers in the whole dataset, whereas the blue bar  
 5 is graduated on the left chart side and indicates the number of stops on each route. The dataset  
 6 includes data from the middle of September to the middle of October 2021. It is derived from 11  
 7 bus routes with a total of 467 bus stops, and 121,143 boarded passengers.



**Figure 5 Number of stops and number of boarded passenger per route**

8       The Bus Network Proximity matrix is constructed using the true distance between bus stops  
 9 for making the distance matrix, and an average bus speed of 18 kilometers per hour according to  
 10 the Société de Transport de Montréal (40). The Min-Max normalization is performed to scale the  
 11 data into the range of [0, 1] and then we use a sliding window approach to sample the scaled data  
 12 and reshape them into the required form.

1 **Evaluation**

2 To predict passenger flow at each time step, we used ten previous intervals for the recent segment,  
 3 four previous intervals for the daily-periodic segment, and two previous intervals for the weekly-  
 4 periodic segments, respectively. All intervals are equally set to 10 minutes. The performance of the  
 5 proposed model and the other deep learning models in this study are evaluated by three commonly  
 6 used metrics: Mean Absolute Error (MAE), Root Mean Squared Error (RMSE) and Percentage  
 7 Accuracy whose formulae are shown in **Equation 8**, **Equation 9** and **Equation 10** respectively.

8

$$9 \quad MAE = \frac{1}{n} \sum_{k=1}^n |Y_k - \hat{Y}_k| \quad (8)$$

$$10 \quad RMSE = \sqrt{\frac{1}{n} \sum_{k=1}^n (Y_k - \hat{Y}_k)^2} \quad (9)$$

$$11 \quad Accuracy = \frac{Number\ of\ correct\ predictions}{n} \times 100 \quad (10)$$

12

13 where n is the total number of observations, Y is the real input data and  $\hat{Y}$  is the corresponding  
 14 predicted data. The model is trained by minimizing the mean squared error and the initial learning  
 15 rate of  $10^{-5}$ . The Adam algorithm is used as the gradient descent optimizer as it combines the  
 16 advantages of two other extensions of stochastic gradient descent: Adaptive Gradient Algorithm  
 17 (AdaGrad) which adaptively scales the learning rate parameter for each dimension to ensure that  
 18 the training process is neither too slow nor too imprecise (41) and Root Mean Square Propagation  
 19 (RMSProp) which overcomes gradient exploding and vanishing problems (42). In the training  
 20 process, we use 90% of the data, and we choose to perform an early stopping mechanism, resulting  
 21 in a different number of training epochs for each model.

22 **Experimental Results**

23 To validate the performance of the proposed model, we compare its prediction accuracy with three  
 24 different models under the same experimental conditions. As can be seen in **Table 1**, the proposed  
 25 model overwhelmingly outperforms baseline models with regard to MAE and RMSE metrics,  
 26 which are respectively 0.216 and 0.903. These values correspond to a Percentage Accuracy of  
 27 95.7%. This is most likely related to the fact that the model takes into account both temporal and  
 28 spatial features, the topology of the network, as well as the three types of historical data (recent,  
 29 daily-periodic and weekly-periodic data), while the other models omit at least one sort of these  
 30 crucially significant characteristics.

**Table 1 Performance comparison with various models**

Model	MAE	RMSE	Accuracy	4 bins Accuracy
LSTM	0.485	1.011	75.1%	89.7%
ConvLSTM	0.383	0.883	79.4%	91.2%
Recent STGAC	0.317	1.001	90.5%	97%
Mutli-STGAC without Attention Layer	0.288	0.913	89.2%	96.3%
Mutli-STGAC without BNP matrix	0.312	0.905	87.4%	95.6%
<b>Mutli-STGAC</b>	<b>0.216</b>	<b>0.903</b>	<b>95.7%</b>	<b>99.3%</b>

1 Since the LSTM continues to be the pioneer approach in time series prediction, we compare  
2 our model to it. The results demonstrate that the Multi-STGAC model performs better in terms of  
3 the evaluation metrics. The LSTM model gets a MAE of 0.485, a RMSE of 1.011 and an accuracy  
4 of 75.1%, which are much lower than the proposed model. This likely due to the fact that the  
5 LSTM does not take spatial correlations into consideration. Further, for investigating how much  
6 the graph hypothesis is helpful, a ConvLSTM model was also implemented to capture both spatial  
7 and temporal dependencies but using a Euclidean-spatial structure. Indeed, ConvLSTM yields  
8 MAE, RMSE and accuracy values of 0.383, 0.883 and 79.4% respectively, which are worse than  
9 the results of the Multi-STGAC model.

10 Moreover, to highlight the importance of taking into account daily-periodic and weekly-  
11 periodic data, a version of the proposed model that neglects the latter types of segments is im-  
12 plemented (Recent STGAC). We notice that with only recent data, the model has the MAE and  
13 RMSE values of 0.317 and 1.001 respectively and an accuracy of 90.5% which show it is less  
14 efficient than the proposed model. As it turns out, these two types of data (daily and weekly) are  
15 very important in predicting short-term passenger flow. In addition, to test our hypothesis regard-  
16 ing the importance of taking vehicle traffic into account, we launch the model without considering  
17 the BNP matrix. The corresponding results show that once again, this model is not as effective  
18 as the main proposed model. Also, for capturing how attention layer is helpful, we implemented  
19 the proposed model without considering the attention layer, and it shows again that in terms of all  
20 evaluation metric the Multi-STGAC model is performing much better than its version without the  
21 attention layer.

22 We have also defined a scenario in which we classify the passenger flows into 4 bins with  
23 size of five, and we calculate the percent accuracy for this scenario too. The results summarized in  
24 **Table 1** confirm our findings, and our model is the most efficient according to this metric with a  
25 value of 99.3%.

## 26 CONCLUSION

27 Planning transit operations heavily relies on predicting passenger flow, which is affected by  
28 numerous factors. The use of deep learning models for forecasting passenger flows is becoming in-  
29 creasingly common. A majority of these methods predict future passenger flow by accounting only  
30 for the target stop's historical passenger flow without accounting for the impacts of its neighbors,  
31 or by treating their spatial and temporal influences equally, which is not valid in practice. In this  
32 study, a Multi-Component Spatial-Temporal Graph Attention Convolution (Multi-STGAC) have  
33 been presented to predict passenger flow on a bus network. The framework implements a Graph  
34 Attention Network and a Graph Convolution Neural Network that are designed specifically to the  
35 bus network for spatial modeling. A gated dilated convolution layer was also used for the temporal  
36 modeling in order to capture long sequences of time intervals. Additionally, the model contains a  
37 fusion layer that considers short-, mid-, and long-term temporal dependencies simultaneously. Fur-  
38 thermore, with real-world data of the Laval, Canada bus network, an analysis of this new model is  
39 carried out to compare it with other deep learning models for predicting passenger flow in terms  
40 of MAE, RMSE, and accuracy. The proposed model outperforms the LSTM, ConvLSTM, and the  
41 different versions of Multi-STGAC that do not take into account one of its assumptions.

42 For future work, the model's precision and reliability can be improved by having access to  
43 additional data sets with a wider time range. Future work would also consider more patterns rep-  
44 resenting exogenous dependencies, like particular weather conditions and traffic incidents. Other

1 aspects of extending this research would be considering the influence of network parameters on  
2 model performance. Moreover, even though the practicability of the model is verified through a  
3 bus case study, the applicability of the model for other scenarios like bike flows, and migration  
4 flows, could be examined.

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## 9 **AUTHOR CONTRIBUTION STATEMENT**

10 The authors confirm their contribution to the paper as follows: study conception and design:  
11 Asiye Baghbani, Nizar Bouguila, and Zachary Patterson; data collection: Nizar Bouguila, and  
12 Zachary Patterson; analysis and interpretation of results: Mohamed Chaabén, Asiye Baghbani,  
13 Nizar Bouguila, and Zachary Patterson; draft manuscript preparation: Mohamed Chaabén, Asiye  
14 Baghbani, Nizar Bouguila, and Zachary Patterson. All authors reviewed the results and approved  
15 the final version of the manuscript.

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