

# CSI 2101 Lecture Notes

Last updated:

April 8, 2023

# Contents

Definitions, Theorems, Lemmas, and Corollaries	3
1 Logic and Proof Techniques	6
2 Proof Examples	7
3 Proof by Induction and More Examples	8
4 Intro to Number Theory	9
4.1 Divisibility . . . . .	9
4.2 Arithmetic Modulo $m$ . . . . .	11
5 Prime Numbers and GCD	12
5.1 Prime Numbers . . . . .	12
6 Euclidean Algorithm and Bézout's Theorem	14
7 Applications of Bézout's Theorem	18
8 GCD and Modulo $n$ , Multiplicative Inverses in Modulo $n$	19
9 Solving Congruences	23
9.1 Linear Congruence System . . . . .	23
9.1.1 Substitution Method . . . . .	24
10 Fermat's Theorem	27
12 Intro to Cryptography	28
13 Asymptotic Notation	29
13.1 Big-O Notation . . . . .	29
13.2 Big-Omega Notation . . . . .	30
13.3 Big-Theta Notation . . . . .	31
14 Recursivity	33

<b>15 Recursivity Continued</b>	<b>37</b>
15.1 K-ary Trees . . . . .	40
<b>16 K-Ary Trees</b>	<b>41</b>
<b>17</b>	<b>42</b>
<b>18 Graphs</b>	<b>43</b>
<b>20 More on Graphs</b>	<b>45</b>
<b>21 Spanning Trees Bipartite Graphs</b>	<b>47</b>
<b>22 Bipartite Graphs</b>	<b>49</b>
<b>23 Matchings</b>	<b>52</b>
<b>24 Neighbour Sets</b>	<b>54</b>

# Definitions, Theorems, Lemmas, and Corollaries

**Definition 4.1.1.** Let  $a$  and  $b$  be two integers such that  $a \neq 0$ . We say that  $a$  divides  $b$  if there exists  $c$  such that  $b = ac$ . If  $a$  divides  $b$  we say  $a$  is a factor or divisor of  $b$ . We also can say  $b$  is a multiple of  $a$ .

**Theorem 4.1.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ .

1. If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b + c)$
2. If  $a \mid b$ , then  $a \mid bc$  for every integer  $c$
3. If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$

**Corollary 4.1.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . If  $a \mid b$  and  $a \mid c$ ,  $a \mid (mb + nc)$  for all integers  $m$  and  $n$

**Theorem 4.1.2** (The Division Algorithm). Let  $a, d \in \mathbb{Z}$  with  $d > 0$ . There exists a unique  $q$  and  $r$  such that

$$0 \leq r < d$$

and

$$a = dq + r$$

We write

$$q = a \operatorname{div} d$$

$$r = a \operatorname{mod} d$$

**Definition 4.1.2.** Let  $a, b, m \in \mathbb{Z}$  with  $m \geq 2$ . We say  $a$  is congruent to  $b$  modulo  $m$  if  $m \mid (a - b)$ . We write  $a \equiv b \pmod{m}$

**Theorem 4.1.3.** Let  $a, b, c, d, m \in \mathbb{Z}$  with  $m \geq 2$ . If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

1.  $a + c \equiv b + d \pmod{m}$
2.  $ac \equiv bd \pmod{m}$

**Definition 5.1.1.** A positive integer  $p$  is prime if it admits exactly two divisors.

**Theorem 5.1.1** (Fundamental Theorem of Arithmetic). All integers greater than 1 can be written as a product of prime numbers. This representation is unique if we write the prime numbers in non-decreasing order.

**Theorem 5.1.2.** Let  $n > 1$  be an integer. If  $n$  is not prime, then  $n$  has a prime divisor  $p$  such that  $p \leq \sqrt{n}$ .

**Corollary 6.0.1.** Let

$$a = p_1^{a_1} \cdot p_2^{a_2} \cdot \dots \cdot p_k^{a_k}$$

$$b = p_1^{b_1} \cdot p_2^{b_2} \cdot \dots \cdot p_k^{b_k}$$

Where  $p_i$  is prime,  $a_i \geq 0$  and  $b_i \geq 0$ ,  $1 \leq i \leq k$ . Then

$$\gcd(a, b) = p_1^{\min(a_1, b_1)} \cdot p_2^{\min(a_2, b_2)} \cdot \dots \cdot p_k^{\min(a_k, b_k)}$$

$$\text{lcm}(a, b) = p_1^{\max(a_1, b_1)} \cdot p_2^{\max(a_2, b_2)} \cdot \dots \cdot p_k^{\max(a_k, b_k)}$$

$$\gcd(a, b) \cdot \text{lcm}(a, b) = ab$$

**Lemma 6.0.1.** Let  $a, b, q, r$  be integers such that

$$a = b \cdot q + r$$

Then

$$\gcd(a, b) = \gcd(b, r)$$

**Definition 6.0.1** (Euclidean Algorithm).

$$x = a$$

$$y = b$$

while  $y \neq 0$

$$r = x \mod y$$

$$x = y$$

$$y = r$$

return  $x$

**Theorem 6.0.1** (Bézout). Let  $a, b \in \mathbb{Z}$  be positive integers. There exists  $s, t \in \mathbb{Z}$  such that

$$s \cdot a + t \cdot b = \gcd(a, b)$$

**Lemma 6.0.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . If  $\gcd(a, b) = 1$  and  $a \mid (bc)$ , then  $a \mid c$ .

**Lemma 8.0.1.** Let  $a, b, c \in \mathbb{Z}$ , with  $a \neq 0$ . If  $\gcd(a, b) = 1$ , and  $a \mid (bc)$ , then  $a \mid c$ .

**Theorem 8.0.1.** *Let  $a, b, c, m \in \mathbb{Z}$ , with  $m \geq 2$ . Assume  $ac \equiv bc \pmod{m}$  and  $\gcd(c, m) = 1$ . Then  $a \equiv b \pmod{m}$ .*

**Lemma 8.0.2.** *Let  $p$  be a prime number and  $a_1, a_2, \dots, a_n \in \mathbb{Z}$ . If  $p \mid (a_1 \cdot a_2 \cdot \dots \cdot a_n)$ , then there exists  $1 \leq i \leq n$  such that  $p \mid a_i$ .*

**Theorem 8.0.2.** *Let  $m \in \mathbb{Z}$  with  $m \geq 2$  and let  $a \in \mathbb{Z}_m$ . The multiplicative inverse of  $a \pmod{m}$  exists if and only if  $\gcd(a, m) = 1$ . When it exists, the inverse of  $a \pmod{m}$  is unique.*

## Lecture 1

# Logic and Proof Techniques

TBC.

## Lecture 2

# Proof Examples

TBC.



## Lecture 3

# Proof by Induction and More Examples

## Lecture 4

# Intro to Number Theory

### 4.1 Divisibility

**Definition 4.1.1.** Let  $a$  and  $b$  be two integers such that  $a \neq 0$ . We say that  $a$  divides  $b$  if there exists  $c$  such that  $b = ac$ . If  $a$  divides  $b$  we say  $a$  is a factor or divisor of  $b$ . We also can say  $b$  is a multiple of  $a$ .

**Theorem 4.1.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ .

1. If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b + c)$
2. If  $a \mid b$ , then  $a \mid bc$  for every integer  $c$
3. If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$

*Proof.* 1. We have to prove if  $a \mid b$  and  $a \mid c$ , then  $a \mid (b + c)$ . Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . Assume that  $a \mid b$  and  $a \mid c$ , then for some  $k, l \in \mathbb{Z}$

$$b = k \cdot a$$

$$c = l \cdot a$$

Thus, we have

$$b + c = k \cdot a + l \cdot a = a(k + l)$$

So  $a \mid (b + c)$

2. We have to prove if  $a \mid b$ ,  $a \mid bc$  for every  $c$ . Let  $a, b \in \mathbb{Z}$  with  $a \neq 0$ . Assume that  $a \mid b$ . Then for some  $k \in \mathbb{Z}$ ,

$$b = k \cdot a$$

Let  $c \in \mathbb{Z}$ , so

$$bc = k \cdot a \cdot c = a \cdot (kc)$$

Therefore,  $a \mid bc$

3. We have to prove if  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ . Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . Assume  $a \mid b$  and  $b \mid c$ . Then we have for some  $k, l \in \mathbb{Z}$

$$b = k \cdot a$$

$$c = l \cdot b$$

So,

$$c = l \cdot b = l \cdot (k \cdot a) = (lk)a$$

Therefore  $a \mid c$

□

**Corollary 4.1.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . If  $a \mid b$  and  $a \mid c$ ,  $a \mid (mb + nc)$  for all integers  $m$  and  $n$

*Proof.* Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . Assume  $a \mid b$  and  $a \mid c$ . By the previous theorem (part 2), we have  $a \mid mb$  and  $a \mid nc$ . Therefore, by the previous theorem (part 1),  $a \mid (mb + nc)$  □

**Theorem 4.1.2** (The Division Algorithm). Let  $a, d \in \mathbb{Z}$  with  $d > 0$ . There exists a unique  $q$  and  $r$  such that

$$0 \leq r < d$$

and

$$a = dq + r$$

We write

$$q = a \operatorname{div} d$$

$$r = a \operatorname{mod} d$$

**Definition 4.1.2.** Let  $a, b, m \in \mathbb{Z}$  with  $m \geq 2$ . We say  $a$  is congruent to  $b$  modulo  $m$  if  $m \mid (a - b)$ . We write  $a \equiv b \pmod{m}$

**Example:** Prove or disprove. We have  $a \equiv b \pmod{m}$  if and only if  $b \equiv a \pmod{m}$

$$\begin{aligned} a &\equiv b \pmod{m} \\ \iff m \mid (a - b) & \quad \text{(by definition)} \\ \iff a - b = km & \quad (k \in \mathbb{Z}) \\ \iff b - a = -km \\ \iff m \mid (b - a) \\ \iff b \equiv a \pmod{m} & \quad \text{(by definition)} \end{aligned}$$

**Theorem 4.1.3.** Let  $a, b, c, d, m \in \mathbb{Z}$  with  $m \geq 2$ . If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

1.  $a + c \equiv b + d \pmod{m}$

$$2. \quad ac \equiv bd \pmod{m}$$

*Proof.* 1. We have to prove  $a + c \equiv b + d \pmod{m}$ . Since  $a \equiv b$  and  $c \equiv d$ , we have

$$m \mid (a - b)$$

$$m \mid (c - d)$$

By theorem 4.1.1 (part 1), we have

$$m \mid ((a - b) + (c - d))$$

$$m \mid ((a + c) - (b + d))$$

Therefore,

$$a + c \equiv b + d \pmod{m}$$

$$2. \quad \text{We have to prove } ac \equiv bd \pmod{m}$$

Since  $a \equiv b$  and  $c \equiv d$ , we have  $m \mid (a - b)$  and  $m \mid (c - d)$ . By Corollary 4.1.1, we have

$$m \mid (c(a - b) + b(c - d))$$

$$m \mid (ac - bc + bc - bd)$$

$$m \mid (ac - bd)$$

Therefore  $ac \equiv bd$ .

□

## 4.2 Arithmetic Modulo $m$

Let  $m \geq 2$  be an integer and

$$\mathbb{Z}_m = \{0, 1, 2, \dots, m - 1\}$$

We define

$$a +_m b = (a + b) \pmod{m}$$

$$a \cdot_m b = (a \cdot b) \pmod{m}$$

in  $\mathbb{Z}_m$ , this is arithmetic modulo  $m$ . TBC

## Lecture 5

# Prime Numbers and GCD

### 5.1 Prime Numbers

**Definition 5.1.1.** A positive integer  $p$  is prime if it admits exactly two divisors.

**Theorem 5.1.1** (Fundamental Theorem of Arithmetic). All integers greater than 1 can be written as a product of prime numbers. This representation is unique if we write the prime numbers in non-decreasing order.

*Proof.* **(Existence)** By induction,

- **Base Case:** Take  $n = 2$ . We have  $2 = 2$ , the product of 1 prime number.
- **Induction Hypothesis:** Let  $k \geq 2$  be an integer. Suppose that all numbers  $2, 3, 4, \dots, k - 1, k$  can be written as a product of primes.
- **Induction Step:** Consider  $k + 1$ . If  $k + 1$  is prime, then we're done. If not, then  $k + 1 = d \cdot e$  for integers  $1 < d < k + 1$  and  $1 < e < k + 1$ . By the induction hypothesis,  $d$  and  $e$  can be written as products of prime. So  $k + 1 = d \cdot e$  can be written as a product of primes.

**(Uniqueness)** to be seen later.  $\square$

**Theorem 5.1.2.** Let  $n > 1$  be an integer. If  $n$  is not prime, then  $n$  has a prime divisor  $p$  such that  $p \leq \sqrt{n}$ .

*Proof.* Let  $n > 1$ , if  $n$  is not prime, then  $n = a \cdot b$  for two integers  $1 < a < n$  and  $1 < b < n$ . We will show that  $a \leq \sqrt{n}$  or  $b \leq \sqrt{n}$  by contradiction. Assume  $a > \sqrt{n}$  and  $b > \sqrt{n}$ . Then  $n = a \cdot b > \sqrt{n} \cdot \sqrt{n} = n$ . This is a contradiction so  $a \leq \sqrt{n}$ .

Assume without loss of generality that  $a \leq \sqrt{n}$ . If  $a$  is prime, we're done. If not, then by the fundamental theorem of arithmetic,  $a$  is divisible by a prime number  $p$ .  $\square$

**Theorem 5.1.3.** There exists an infinite number of prime numbers.

*Proof.* By contradiction, suppose there exists a finite number of prime numbers, say  $k$  prime numbers, and we order them

$$p_1 < p_2 < p_3 < \cdots < p_k$$

Consider the number

$$Q = p_1 \cdot p_2 \cdot \dots \cdot p_k + 1 \in \mathbb{Z}$$

Since  $Q > p_k$ , then  $Q$  is not prime by our assumption. By Theorem 5.1.2,  $Q$  is divisible by a prime number. So  $p_i \mid Q$  for some  $1 \leq i \leq k$ . We also have that

$$p_i \mid (p_1 \cdot p_2 \cdot \dots \cdot p_i \cdot \dots \cdot p_k)$$

By Corollary 4.1.1, we get

$$p_i \mid (Q - p_1 \cdot p_2 \cdot \dots \cdot p_k)$$

$p_i \mid 1$  Therefore  $p_i = 1$ , this is a contradiction since we assumed  $p_k$  is the largest prime but  $Q > p_k$  is prime.  $\square$

## Lecture 6

# Euclidean Algorithm and Bézout's Theorem

**Corollary 6.0.1.** *Let*

$$a = p_1^{a_1} \cdot p_2^{a_2} \cdot \dots \cdot p_k^{a_k}$$

$$b = p_1^{b_1} \cdot p_2^{b_2} \cdot \dots \cdot p_k^{b_k}$$

Where  $p_i$  is prime,  $a_i \geq 0$  and  $b_i \geq 0$ ,  $1 \leq i \leq k$ . Then

$$\gcd(a, b) = p_1^{\min(a_1, b_1)} \cdot p_2^{\min(a_2, b_2)} \cdot \dots \cdot p_k^{\min(a_k, b_k)}$$

$$\text{lcm}(a, b) = p_1^{\max(a_1, b_1)} \cdot p_2^{\max(a_2, b_2)} \cdot \dots \cdot p_k^{\max(a_k, b_k)}$$

$$\gcd(a, b) \cdot \text{lcm}(a, b) = ab$$

**Example:**

$$24 = 2^3 \cdot 3$$

$$36 = 2^2 \cdot 3^2$$

$$\gcd(24, 36) = 2^2 \cdot 3^1 = 12$$

$$\text{lcm}(24, 36) = 2^3 \cdot 3^2 = 72$$

$$12 \cdot 72 = 864 = 24 \cdot 36$$

**Lemma 6.0.1.** *Let  $a, b, q, r$  be integers such that*

$$a = b \cdot q + r$$

*Then*

$$\gcd(a, b) = \gcd(b, r)$$

*Proof.* Let  $a, b, q, r$  be integers such that

$$a = bq + r$$

Let  $d \in \mathbb{Z}$ . We will prove that

$$d \mid a \wedge d \mid b \iff d \mid b \wedge d \mid r$$

( $\implies$ ) Let  $d \in \mathbb{Z}$ . Assume  $d \mid a$  and  $d \mid b$ . Then  $d \mid (1 \cdot a + (-q) \cdot b)$ , by Corollary 4.1.1. Then  $a = bq + r \implies r = a - bq$ , so  $d \mid (1 \cdot a + (-q) \cdot b) \implies d \mid r$ .

( $\impliedby$ ) Let  $d \in \mathbb{Z}$ . Assume  $d \mid b$  and  $d \mid r$ . Then  $d \mid (q \cdot b + 1 \cdot r)$  by Corollary 4.1.1. Then  $d \mid a$ , therefore  $d \mid a$  and  $d \mid b$   $\square$

**Example:**  $\gcd(414, 662)$ ,  $662 = 1 \cdot 414 + 248$

$$662 = 1 \cdot 414 + 248$$

$$414 = 1 \cdot 248 + 166$$

$$248 = 1 \cdot 166 + 82$$

$$166 = 2 \cdot 82 + 2$$

$$82 = 41 \cdot 2 + 0$$

The last none-zero remainder of this sequence is the  $\gcd$  of 414 and 662 by the previous lemma. (can someone find which lemma this is!)

**Definition 6.0.1** (Euclidean Algorithm).

$$x = a$$

$$y = b$$

*while*  $y \neq 0$

$$r = x \mod y$$

$$x = y$$

$$y = r$$

*return*  $x$

This algorithm returns the  $\gcd$  of  $a$  and  $b$ .

**Example:**  $\gcd(465, 144)$

$$465 = 3 \cdot 144 + 33$$

$$144 = 4 \cdot 33 + 12$$

$$33 = 2 \cdot 12 + 9$$

$$12 = 1 \cdot 9 + 3$$

$$9 = 3 \cdot 3 + 0$$

Therefore  $\gcd(465, 144) = 3$ .



**Note: When you show the trace of Euclid's algorithm, you must include the last line with a remainder of 0.**

**Theorem 6.0.1** (Bézout). *Let  $a, b \in \mathbb{Z}$  be positive integers. There exists  $s, t \in \mathbb{Z}$  such that*

$$s \cdot a + t \cdot b = \gcd(a, b)$$

*Proof.* Let  $a, b \in \mathbb{N} \setminus \{0\}$ . Run Euclidian algorithm, and assume without loss of generality  $b \leq a$ .

$$\begin{aligned} a &= q \cdot b + r \\ r_0 &= q_1 \cdot r_1 + r_2 \\ r_1 &= q_2 \cdot r_2 + r_3 \\ r_2 &= q_3 \cdot r_3 + r_4 \\ &\vdots \\ r_{n-3} &= q_{n-2} \cdot r_{n-2} + r_{n-1} \\ r_{n-2} &= q_{n-1} \cdot r_{n-1} + r_n \\ r_{n-1} &= q_n \cdot r_n + 0 \end{aligned}$$

Then, we have

$$\begin{aligned} \gcd(a, b) &= r_n \\ &= r_{n-2} - q_{n-1} \cdot r_{n-1} \\ &= r_{n-2} - q_{n-1}(r_{n-3} - q_{n-2}r_{n-2}) \\ &= r_{n-2} - q_{n-1}(r_{n-3} - q_{n-2}r_{n-2}) \\ &= -q_{n-1} \cdot r_{n-3} + (1 + q_{n-2}q_{n-1}) \cdot r_{n-2} \\ &\vdots \\ &= s \cdot r_0 + t \cdot r_1 \\ &= s \cdot a + t \cdot b \end{aligned}$$

So we read the trace of Euclid's algorithm backward while keeping  $\gcd(a, b)$  on the same side of the equality.  $\square$

**TBC.**

**Lemma 6.0.2.** *Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . If  $\gcd(a, b) = 1$  and  $a \mid (bc)$ , then  $a \mid c$ .*

*Proof.* Assume  $\gcd(a, b) = 1$  and  $a \mid (bc)$ . By Bézout, there exist  $s, t \in \mathbb{Z}$  such that

$$\begin{aligned} s \cdot a + t \cdot b &= \gcd(a, b) = 1 \\ s \cdot a \cdot c + t \cdot b \cdot c &= c \end{aligned} \tag{*}$$

Since  $a \mid a$  and  $a \mid (bc)$ , we have

$$a \mid (s \cdot c \cdot a + t \cdot b \cdot c)$$

By Corollary 4.1.1. Then from (\*), this means

$$a \mid c$$

□

## Lecture 7

# Applications of Bézout's Theorem

TBC.

## Lecture 8

# GCD and Modulo n, Multiplicative Inverses in Modulo n

**Lemma 8.0.1.** Let  $a, b, c \in \mathbb{Z}$ , with  $a \neq 0$ . If  $\gcd(a, b) = 1$ , and  $a \mid (bc)$ , then  $a \mid c$ .

*Proof.* Seen last week. □

**Theorem 8.0.1.** Let  $a, b, c, m \in \mathbb{Z}$ , with  $m \geq 2$ . Assume  $ac \equiv bc \pmod{m}$  and  $\gcd(c, m) = 1$ . Then  $a \equiv b \pmod{m}$ .

*Proof.* Let  $a, b, c, m \in \mathbb{Z}$  with  $m \geq 2$ . Assume  $ac \equiv bc \pmod{m}$  and  $\gcd(c, m) = 1$ .

$$\begin{aligned} m &\mid (ac - bc) && \text{(def of mod)} \\ m &\mid (c(a - b)) \\ m &\mid (a - b) && \text{(by previous lemma)} \\ a &\equiv b \pmod{m} && \text{(def of mod)} \end{aligned}$$

□

**Lemma 8.0.2.** Let  $p$  be a prime number and  $a_1, a_2, \dots, a_n \in \mathbb{Z}$ . If  $p \mid (a_1 \cdot a_2 \cdot \dots \cdot a_n)$ , then there exists  $1 \leq i \leq n$  such that  $p \mid a_i$ .

*Proof.* By induction on  $n$ .

- **Base Case:**  $n = 1$ . Let  $p$  be a prime number, if  $p \mid a_1$ , then  $p \mid a_1$
- **Induction Hypothesis:** Let  $k \geq 1$  be an integer. Suppose that for all integers  $a_1, a_2, \dots, a_k$

$$p \mid (a_1 \cdot a_2 \cdot \dots \cdot a_k) \implies \exists 1 \leq i \leq k \text{ s.t. } p \mid a_i$$

If  $p \mid a_{k+1}$ , then we're done. If not, then

$$\gcd(p, a_{k+1}) = 1$$

So  $p \mid (a_1 \cdot a_2 \cdot \dots \cdot a_k)$  by the previous lemma. By the induction hypothesis, there exists  $1 \leq i \leq k$  such that  $p \mid a_i$ .

**Induction Step:** Suppose

$$p \mid (a_1 \cdot a_2 \cdot \dots \cdot a_k \cdot a_{k+1})$$

□

**Theorem 8.0.2.** *Let  $m \in \mathbb{Z}$  with  $m \geq 2$  and let  $a \in \mathbb{Z}_m$ . The multiplicative inverse of  $a \pmod{m}$  exists if and only if  $\gcd(a, m) = 1$ . When it exists, the inverse of  $a \pmod{m}$  is unique.*

*Proof.* Let  $m \in \mathbb{Z}$  with  $m \geq 2$  and  $a \in \mathbb{Z}_m$

( $\implies$ ): Assume the multiplicative inverse of  $a \pmod{m}$  exists. Let  $\bar{a}$  be this inverse. By definition,

$$\begin{aligned} a \cdot \bar{a} &\equiv 1 \pmod{m} \\ m &\mid (a \cdot \bar{a} - 1) \end{aligned} \quad (\text{def. of modulo})$$

Then,  $a \cdot \bar{a} - 1 = k \cdot m$  for some  $k \in \mathbb{Z}$ . Let  $d = \gcd(a, m)$ . Then  $d \mid a$  and  $d \mid m$ . By a result seen in class,

$$\begin{aligned} d &\mid (\bar{a} \cdot a + (-k)m) \\ d &\mid 1 \end{aligned}$$

So,  $d = 1$

( $\impliedby$ ): Assume  $\gcd(a, m) = 1$ . By Bézout, there exists  $s, t \in \mathbb{Z}$  such that

$$s \cdot a + t \cdot m = \gcd(a, m) = 1$$

$$\begin{aligned} s \cdot a + t \cdot m &\equiv 1 \pmod{m} \\ s \cdot a + t \cdot 0 &\equiv 1 \pmod{m} \\ s \cdot a &\equiv 1 \pmod{m} \end{aligned}$$

So, we can take  $\bar{a} \equiv s \pmod{m}$

**(Uniqueness):** Consider two arbitrary multiplicative inverses of  $a \pmod{m}$ . Denote them by,  $s, s' \in \mathbb{Z}_m$ . So by definition

$$sa \equiv 1 \pmod{m} \text{ and } s'a \equiv 1 \pmod{m}$$

Then  $\gcd(a, m) = 1$  by the previous proof, also we have

$$\begin{aligned}
 sa &\equiv s'a \pmod{m} \\
 m &\mid (sa - s'a) && \text{(def. of modulo)} \\
 m &\mid (a(s - s')) \\
 m &\mid (s - s') && \text{(since } \gcd(a, m) = 1) \\
 s &\equiv s' \pmod{m} && \text{(def. of modulo)}
 \end{aligned}$$

Therefore,  $s$  and  $s'$  are the same in  $\mathbb{Z}_m$ . □

**Example:** Find the multiplicative inverse of 101 (mod 4620).

**Euclid:**

$$\begin{aligned}
 4620 &= 45 \cdot 101 + 75 \\
 101 &= 1 \cdot 75 + 26 \\
 75 &= 2 \cdot 26 + 23 \\
 26 &= 1 \cdot 23 + 3 \\
 23 &= 7 \cdot 3 + 2 \\
 3 &= 1 \cdot 2 + 1 \\
 2 &= 2 \cdot 1 + 0
 \end{aligned}$$

**Bézout:**

$$\begin{aligned}
 1 &= 3 - 1 \cdot 2 \\
 1 &= 3 - 1 \cdot (23 - 7 \cdot 3) \\
 1 &= 3 - 1 \cdot 23 + 7 \cdot 3 \\
 1 &= 8 \cdot 3 - 1 \cdot 23 \\
 1 &= -1 \cdot 23 + 8 \cdot 3 \\
 1 &= -1 \cdot 23 + 8 \cdot (26 - 1 \cdot 23) \\
 1 &= -1 \cdot 23 + 8 \cdot 26 - 8 \cdot 23 \\
 1 &= -9 \cdot 23 + 8 \cdot 26 \\
 1 &= 8 \cdot 26 - 9 \cdot 23 \\
 1 &= 8 \cdot 26 - 9 \cdot (75 - 2 \cdot 26) \\
 1 &= 8 \cdot 26 - 9 \cdot 75 + 18 \cdot 26 \\
 1 &= -9 \cdot 75 + 26 \cdot 26 \\
 1 &= -9 \cdot 75 + 26 \cdot (101 - 1 \cdot 75) \\
 1 &= -9 \cdot 75 + 26 \cdot 101 - 26 \cdot 75 \\
 1 &= 26 \cdot 101 - 35 \cdot 75 \\
 1 &= 26 \cdot 101 - 35 \cdot (4620 - 45 \cdot 101) \\
 1 &= 26 \cdot 101 - 35 \cdot 4620 + 1575 \cdot 21 \\
 1 &= -35 \cdot 4620 + 1601 \cdot 101
 \end{aligned}$$

So,

$$\begin{aligned}-35 \cdot 4620 + 1601 \cdot 101 &\equiv 1 \pmod{4620} \\ -35 \cdot 0 + 1601 \cdot 101 &\equiv 1 \pmod{4620} \\ 1601 \cdot 101 &\equiv 1 \pmod{4620} \\ 101 &\equiv 1601 \pmod{4620}\end{aligned}$$

Therefore, the inverse of 101 in  $\mathbb{Z}_{4620}$  is 1601.

**Example:** Find the multiplicative inverses in  $\mathbb{Z}_{10}$ .

- $\bar{0}$  does not exist since  $\gcd(0, 10) = 10 \neq 1$
- $\bar{1} \equiv 1 \pmod{10}$
- $\bar{2}$  does not exist since  $\gcd(2, 10) = 2 \neq 1$
- $\bar{3} \equiv 7 \pmod{10}$
- $\bar{4}$  does not exist since  $\gcd(4, 10) = 2 \neq 1$
- $\bar{5}$  does not exist since  $\gcd(5, 10) = 5 \neq 1$
- $\bar{6}$  does not exist since  $\gcd(6, 10) = 2 \neq 1$
- $\bar{7} \equiv 3 \pmod{10}$
- $\bar{8}$  does not exist since  $\gcd(8, 10) = 2 \neq 1$
- $\bar{9} \equiv 9 \pmod{10}$

**This concludes the material for midterm 1.**

## Lecture 9

# Solving Congruences

**Definition 9.0.1** (Linear Congruence).  $ax \equiv b \pmod{m}$

**Example:**

$$3x \equiv 5 \pmod{7}$$

$$x \equiv 0 \pmod{7}$$

$$x - 0 = 7k$$

**Question:** What is the multiplicative inverse of 3 (mod 7) So we have  $3x \equiv 5 \pmod{7}$ .

$$15x \equiv 25 \pmod{7}$$

$$x \equiv 4 \pmod{7}$$

$$3 \cdot 4 = 12 \equiv 5 \pmod{7}$$

### 9.1 Linear Congruence System

Find  $x$  such that

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_n}$$

$$\vdots$$

$$x \equiv a_n \pmod{m_n}$$

**Example:**

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 5 \pmod{7}$$

Try  $x = 68$

$$68 \equiv 2 \pmod{3}$$



$$68 \equiv 3 \pmod{5}$$

$$68 \equiv 5 \pmod{7}$$

So,  $x = 68$  is a solution to the system.

### 9.1.1 Substitution Method

$$x \equiv 2 \pmod{3}$$

$$x = 3 \cdot t + 2$$

For some  $t \in \mathbb{Z}$

$$x \equiv 3 \pmod{5}$$

$$3t + 2 \equiv 3 \pmod{5}$$

$$3t \equiv 1 \pmod{5}$$

Multiply  $3t$  by the multiplicative inverse of 3 in  $\mathbb{Z}_5$ .

$$2 \cdot 3t \equiv 2 \cdot 1 \pmod{5}$$

$$t \equiv 2 \pmod{5}$$

$$t = 5u + 2 \pmod{5}$$

For an  $u \in \mathbb{Z}$

$$\left. \begin{array}{l} x = 3t + 2 \\ t = 5u + 2 \end{array} \right\}$$

$$\implies x = ?$$

$$x = 3(5u + 2) + 2 = 15u + 8$$

$$15u + 8 \equiv 5 \pmod{7}$$

$$15u \equiv -3 \pmod{7}$$

$$15u \equiv 4 \pmod{7}$$

$$15u - 14u \equiv 4 \pmod{7}$$

$$u \equiv 4 \pmod{7}$$

So  $u = 7v + 4$  for some  $v \in \mathbb{Z}$ . Thus,

$$\begin{aligned} x &= 15u + 8 \\ &= 15(7v + 4) + 8 \\ &= 105v + 68 \end{aligned}$$

So,

$$105v + 68 \equiv 2 \pmod{3}$$

$$105v + 68 \equiv 3 \pmod{5}$$

$$105v + 68 \equiv 5 \pmod{7}$$

**Example:**

$$x \equiv 1 \pmod{4}$$

$$x \equiv 3 \pmod{5}$$

Then  $x = 4t + 1$  for some  $t \in \mathbb{Z}$ . Then from the second equation, we get

$$4t + 1 \equiv 3 \pmod{5}$$

$$4t + 1 - 1 \equiv 3 - 1 \pmod{5}$$

$$4t \equiv 2 \pmod{5}$$

$$4 \cdot 4t \equiv 4 \cdot 2 \pmod{5}$$

$$16t \equiv 8 \pmod{5}$$

$$16t \equiv 8 \pmod{5}$$

$$16t - 15t \equiv 8 - 5 \pmod{5}$$

$$t \equiv 3 \pmod{5}$$

Thus,  $t = 5u + 3$  for some  $u \in \mathbb{Z}$ . So  $x = 20u + 13$  is a solution to the system.

$$20u + 13 \equiv 1 \pmod{4}$$

$$20u + 13 \equiv 3 \pmod{5}$$

**Question:** Are there systems that admit no solution? Consider

$$x \equiv 2 \pmod{4}$$

$$x \equiv 3 \pmod{6}$$

So  $x = 4t + 2$  for some  $t \in \mathbb{Z}$

$$4t + 2 \equiv 3 \pmod{6}$$

$$4t \equiv 1 \pmod{6}$$

But, 4 does not have a multiplicative inverse in  $\mathbb{Z}_6$  since  $\gcd(4, 6) \neq 1$ .

**Theorem 9.1.1** (Chinese Remainder Theorem). *Let  $m_1, m_2, \dots, m_r \in \mathbb{Z}$  be pairwise co-prime integers such that  $m_i \geq 2$  for  $1 \leq i \leq r$*

**Definition 9.1.1** (Pairwise Co-prime).  $\gcd(m_i, m_j) = 1$

Let  $a_1, a_2, \dots, a_r \in \mathbb{Z}$ , then the system

$$\begin{aligned} x &\equiv a_1 \pmod{m_1} \\ x &\equiv a_2 \pmod{m_2} \\ &\vdots \\ x &\equiv a_r \pmod{m_r} \end{aligned}$$

admits a unique solution  $\pmod{m_1 \cdot m_2 \cdots m_r}$ . In other words, the solution exists and is unique in  $\mathbb{Z}_{m_1 \cdot m_2 \cdots m_r}$ .

Consider the system

$$\begin{aligned} x &\equiv 2 \pmod{3} \\ x &\equiv 3 \pmod{5} \\ x &\equiv 5 \pmod{7} \end{aligned}$$

So we have  $\mathbb{Z}_{3 \cdot 5 \cdot 7} = \mathbb{Z}_{105}$ ,  $68 \in \mathbb{Z}_{105}$  and  $x = 105u + 68$ .

## Lecture 10

# Fermat's Theorem

**Theorem 10.0.1** (Fermat's Theorem). *Let  $p, a \in \mathbb{Z}$  such that  $p$  is prime, then*

1.

$$a^p \equiv a \pmod{p}$$

2. *If  $\gcd(a, p) = 1$ , then  $a^{p-1} \equiv 1 \pmod{p}$*

**Example:**

$$1534^{2016} \pmod{2017}$$

2017 is prime and  $1534 < 2017$ , so  $\gcd(1534, 2017) = 1$  and  $1534^{2016} \equiv 1 \pmod{2017}$

*Proof.* For (2), we need to use the following property

$$1 \cdot a, 2 \cdot a, 3 \cdot a, \dots, (p-1) \cdot a$$

are all different  $\pmod{p}$ . Consider  $s, e \in \{1, 2, \dots, p-1\}$  such that

$$ra \equiv sa \pmod{p}$$

Since  $\gcd(a, p) = 1$ , we can divide both sides by  $a$  to get

$$r \equiv s \pmod{p}$$

Then since  $r, s < p$ , then  $r = s$

□

## Lecture 12

# Intro to Cryptography

## Lecture 13

# Asymptotic Notation

### 13.1 Big-O Notation

The *O-notation* describes an asymptotic upper bound.

**Definition 13.1.1.** *Let*

$$f : \mathbb{N} \rightarrow \mathbb{R}^+$$

$$g : \mathbb{N} \rightarrow \mathbb{R}^+$$

*be two functions. We say that  $f$  is  $O(g)$  if there exists a real number  $c > 0$  and  $k \in \mathbb{N}$  such that for all  $n \geq k$ ,*

$$f(n) \leq c \cdot g(n)$$

**Notation:**

$$f(n) \leq c \cdot g(n)$$

$$f = O(g)$$

$$\exists c \exists k \forall n (n \geq k \implies f(n) \leq c \cdot g(n))$$

**Domain:**  $k, n \in \mathbb{N}, c \in \mathbb{R}^+ \setminus \{0\}$

**Example:**  $13x^3 + 12x^2 + 5 = O(x^3)$ . We have

$$13x^3 + 12x^2 + 5 \leq 13x^3 + 12x^3 + 5x^2 = 30x^3$$

Take  $c = 30$  and  $k = 1$ . So

$$13x^3 + 12x^2 + 5 \leq 30 \cdot x^3$$

for all  $x \geq 1$ . Therefore  $13x^3 + 12x^2 + 5 = O(x^3)$ .

**Example:**  $x^2 = O\left(\frac{1}{2}x^2 - 10x\right)$ . We have

$$x^2 \leq 2 \left( \frac{1}{2}x^2 - 10x \right)$$

Now we want

$$x^2 \geq 40x$$

so that that  $x^2 - 40x$  is positive. So

$$x > 40$$

Then,

$$\begin{aligned} x^2 &= 2x^2 - x^2 \\ &\leq 2x^2 - 40x \\ &= 4 \left( \frac{1}{2}x^2 - 10x \right) \end{aligned}$$

So take  $c = 4$  and  $k = 40$ . Then  $x^2 = O\left(\frac{1}{2}x^2 - 10x\right)$  for all  $x \geq 40$ .

**Proposition 13.1.1.** *Let  $a > 0$  and  $b > 0$ . be two real numbers. We have*

$$\log^a(x) = O(x^b)$$

*Proof.* Let  $a > 0$  and  $b > 0$  be two real numbers. We'll use that fact that  $\forall x \geq 0$ , we have  $x \leq e^x$ . From which, we have  $\log(x) \leq x$ . Let  $x$  be an integer. We have, by the previous property,

$$\begin{aligned} \log(x^{\frac{b}{a}}) &\leq x^{\frac{b}{a}} \\ \frac{b}{a} \log(x) &\leq x^{\frac{b}{a}} \\ \left(\frac{b}{a}\right)^a \log^a(x) &\leq x^b \\ \log^a(x) &\leq \left(\frac{a}{b}\right)^a x^b \end{aligned}$$

So we take  $c = \left(\frac{a}{b}\right)^a$  and  $k = 1$ . □

## 13.2 Big-Omega Notation

The  $\Omega$ -notation describes an asymptotic lower bound.

**Definition 13.2.1.** *Let*

$$f : \mathbb{N} \rightarrow \mathbb{R}^+$$

$$g : \mathbb{N} \rightarrow \mathbb{R}^+$$

*be two functions. We say that  $f$  is  $\Omega(g)$  if there exists a real number  $c > 0$  and  $k \in \mathbb{N}$  such that for all  $n \geq k$ ,*

$$f(n) \geq c \cdot g(n)$$

**Notation:**

$$f(n) = \Omega(g(n))$$

$$f = \Omega(g)$$

$$\exists c \exists k \forall n (n \geq k \implies f(n) \geq c \cdot g(n))$$

**Domain:**  $k, n \in \mathbb{N}, c \in \mathbb{R}^+ \setminus \{0\}$

**Example:**  $13x^3 + 12x^2 + 5 = \Omega(x^3)$ .

$$13x^3 + 12x^2 + 5 \geq 13x^3$$

Take  $c = 13$  and  $k = 0$ . So  $13x^3 + 12x^2 + 5 = \Omega(x^3)$ .

**Example:**  $x^2 = \Omega\left(\frac{1}{2}x^2 - 10x\right)$ .

$$\begin{aligned} x^2 &\geq \frac{1}{2}x^2 \\ &\geq \frac{1}{2}x^2 - 10x \\ &= 1 \cdot \left(\frac{1}{2}x^2 - 10x\right) \end{aligned}$$

Take  $c = 1$  and  $k = 0$ . So  $x^2 = \Omega\left(\frac{1}{2}x^2 - 10x\right) \forall x \geq k$ .

**Proposition 13.2.1.** *Let  $f(n)$  and  $g(n)$  be two functions.*

$$f(n) = O(g(n)) \iff g(n) = \Omega(f(n))$$

*Proof.* ( $\implies$ ) Let  $f(n)$  and  $g(n)$  be two functions. Assume  $f(n) = O(g(n))$ . Then there exists  $c > 0$  and  $k \in \mathbb{N}$  such that for all  $n \geq k$ , we have  $f(n) \leq c \cdot g(n)$ . So,

$$f(n) \leq c \cdot g(n)$$

given that  $n \geq g(n)$ , then

$$g(n) \geq \frac{1}{c}f(n)$$

( $\impliedby$ ) The proof follows the same. □

### 13.3 Big-Theta Notation

The  $\Theta$ -notation describes an asymptotic upper and lower bound.

**Definition 13.3.1.** *Let*

$$f : \mathbb{N} \rightarrow \mathbb{R}^+$$

$$g : \mathbb{N} \rightarrow \mathbb{R}^+$$

*be two functions. We say that  $f$  is  $\Theta(g)$  if there exists a real number  $c_1 > 0$ ,  $c_2 > 0$  and  $k \in \mathbb{N}$  such that for all  $n \geq k$ . In otherwords,*

$$f(n) = O(g(n)) \text{ and } f(n) = \Omega(g(n))$$



**Notation:**

$$f(n) = \Theta(g(n))$$

$$f = \Theta(g)$$

**Proposition 13.3.1.** *Let  $f(n)$  and  $g(n)$  be two functions.  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $g(n) = \Omega(f(n))$ .*

*Proof.*

$$f(n) = \Theta(g(n)) \iff f(n) = O(g(n)) \text{ and } f(n) = \Omega(g(n))$$

By the definition of theta, so

$$g(n) = \Omega(f(n)) \text{ and } g(n) = O(f(n))$$

From the previous proposition, then

$$g(n) = \Theta(f(n))$$

□

## Lecture 14

# Recursivity

**Lemma 14.0.1.** Let  $F_n = F_{n-1} + F_{n-2}$  denote the  $n$ th term of the Fibonacci sequence with  $F_0 = 0$  and  $F_1 = 1$ . And let  $\alpha = \frac{\sqrt{5}+1}{2}$  (golden ratio). Then  $\forall n \geq 3$ ,

$$F_n > \alpha^{n-2}$$

*Proof.* By induction,

$$\textbf{Note: } \alpha^2 = \left(\frac{\sqrt{5}+1}{2}\right)^2 = \frac{5+2\sqrt{5}+1}{4} = \frac{\sqrt{5}+3}{2} = \frac{\sqrt{5}+1}{2} + 1 = \alpha + 1$$

- **Base case:**  $n = 3$ . Then  $F_3 = F_2 + F_1 = 1 + 1 = 2$ . We have

$$\begin{aligned} 3 &> \sqrt{5} \\ 4 &> \sqrt{5} + 1 \\ 2 &> \frac{\sqrt{5} + 1}{2} \\ F_3 &> \frac{\sqrt{5} + 1}{2}^2 = \alpha^{3-2} \end{aligned}$$

For  $n = 4$ ,  $F_4 = F_3 + F_2 = 2 + 1 = 3$ . We have

$$\begin{aligned} 2 &> \alpha \\ 2 + 1 &> \alpha + 1 && \text{(From Note)} \\ 3 &> \alpha^2 \\ F_4 &> \alpha^2 = \alpha^{4-2} \end{aligned}$$

- **Induction Hypothesis:** Let  $k \geq 4$  be an integer. Assume  $F_i > \alpha^{i-2}$  for all  $3 \leq i \leq k$ .

• **Induction Step:**

$$\begin{aligned}
F_{k+1} &= F_k + F_{k-1} && \text{(By def.)} \\
&> \alpha^{k-2} + \alpha^{(k-1)-2} && \text{(By IH)} \\
&= \alpha^{k-2} + \alpha^{k-3} \\
&= \alpha^{k-3}(\alpha^1 + 1) \\
&= \alpha^{k-3}(\alpha^2) && \text{(From Note)} \\
&= \alpha^{k-1} \\
&= \alpha^{(k+1)-2}
\end{aligned}$$

□

**Theorem 14.0.1** (Lamé). *Let  $a, b \in \mathbb{Z}$  such that  $a \geq b > 0$ . Euclid's algorithm takes  $O(\log(b))$  steps.*

*Proof.* Let  $a, b \in \mathbb{Z}$  such that  $a \geq b > 0$ . Euclid's algorithm performs the following divisions:

$$\begin{array}{ll}
a = q \cdot b + r & 0 \leq r < b \\
r_0 = q_1 \cdot r_1 + r_2 & 0 \leq r_2 < r_1 \\
r_1 = q_2 \cdot r_2 + r_3 & 0 \leq r_3 < r_2 \\
\vdots & \vdots \\
r_{n-2} = q_{n-1} \cdot r_{n-1} + r_n & 0 \leq r_n < r_{n-1} \\
r_{n-1} = q_n \cdot r_n + r_{n+1} & 0 \leq r_{n+1} < r_n
\end{array}$$

We have

- $r_n = \gcd(a, b)$
- $q_i \geq 1 \ 1 \leq i \leq n-1$
- $q_n \geq 2$
- $n$  is the number of divisions performed by Euclid's algorithm

Therefore,  $r_n = \gcd(a, b) \geq 1 = F_2$

$$\begin{aligned}
r_{n-1} &= q_n \cdot r_n \geq 2 \cdot 1 = 2 = F_3 \\
r_{n-2} &= q_{n-1} \cdot r_{n-1} + r_n \geq 1 \cdot F_3 + F_2 = F_4 \\
r_{n-3} &= q_{n-2} \cdot r_{n-2} + r_{n-1} \geq 1 \cdot F_4 + F_3 = F_5 \\
&\vdots \\
r_2 &= q_3 \cdot r_3 + r_4 \geq 1 \cdot F_{n-1} + F_{n-2} = F_n \\
b = r_1 &= q_2 \cdot r_2 + r_3 \geq 1 \cdot F_n + F_{n-1} = F_{n+1}
\end{aligned}$$

Sp  $b \geq F_{n+1} > \alpha^{(n+1)-2}$  by the previous lemma.

$$\begin{aligned}
b &> \alpha^{n-1} \\
\log(b) &> \log(\alpha^{n-1}) \\
\log(b) &> (n-1) \log(\alpha) \\
\log(b) &> (n-1) \log\left(\frac{\sqrt{5}+1}{2}\right) \\
\log(b) &> (n-1) \frac{2}{5} \\
\frac{5 \log(b)}{2} + 1 &> n
\end{aligned}$$

Therefore the number of steps  $n < 1 + \frac{5}{2} \log(b) < \log(b) + \frac{5}{2} \log(b) = \frac{7}{2} \log(b)$   
 $\forall b > 3$ . So  $n = O(\log(b))$ .  $\square$

The Fibonnaci recurrence is an example of a linear homogenous recurrence of order  $k$ .

$$a_n = c_1 \cdot a_{n-1} + c_2 \cdot a_{n-2} + \dots + c_k \cdot a_{n-k}$$

In general, a solution of the form

$$a_n = r^n$$

will work for some  $r \in \mathbb{R}$

$$r^n = c_1 \cdot r^{n-1} + c_2 \cdot r^{n-2} + \dots + c_k \cdot r^{n-k}$$

Divide by  $r^{n-k}$ ,

$$\begin{aligned}
r^k &= c_1 \cdot r^{k-1} + c_2 \cdot r^{k-2} + \dots + c_k \\
r^k - c_1 \cdot r^{k-1} + c_2 \cdot r^{k-2} + \dots + c_k &= 0
\end{aligned}$$

This is known as the characteristic equation. The solutions to this equation are called the *characteristic roots*.

**Example:**  $a_n = 1 \cdot a_{n-1} + 2 \cdot a_{n-2}$ . Characteristic Equation:

$$r^2 - 1 \cdot r - 2 = 0 \implies (r+1)(r-1) = 0$$

So we have the roots  $r_1 = -1$  and  $r_2 = 1$ . So,

$$\begin{aligned}
(-1)^n &= 1 \cdot (-1)^{n-1} + 2(-1)^{n-2} \\
2^n &= 1 \cdot 2^{n-1} + 2 \cdot 2^{n-2}
\end{aligned}$$

Moreover, for all  $\alpha, \beta \in \mathbb{R}$ , we have

$$(\alpha(-1)^n + \beta \cdot 2^n) = 1 \cdot (\alpha \cdot (-1)^{n-1} + \beta \cdot 2^{n-1}) + 2 \cdot (\alpha(-1)^{n-2} + \beta \cdot 2^{n-2})$$

Any linear combination works.

**Example:**  $F_0 = 0$ ,  $F_1 = 1$ ,  $F_n = F_{n-1} + F_{n-2}$ . Characteristic Equation:

$$r^2 - r - 1 = 0$$

$$r = \frac{1 \pm \sqrt{5}}{2}$$

So,

$$F_n = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^n + \beta \left( \frac{1 - \sqrt{5}}{2} \right)^n$$

is a solution for any  $\alpha, \beta \in \mathbb{R}$ . Now we can find  $\alpha, \beta$  to match the base cases.

$$F_0 = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^0 + \beta \left( \frac{1 - \sqrt{5}}{2} \right)^0 = 0 \implies \alpha + \beta = 0$$

$$F_1 = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^1 + \beta \left( \frac{1 - \sqrt{5}}{2} \right)^1 = 1$$

So,  $\beta = -\alpha$ . Then,

$$\alpha \left( \frac{1 + \sqrt{5}}{2} \right) - \alpha \left( \frac{1 - \sqrt{5}}{2} \right) = 0$$

$$\alpha \left( \left( \frac{1 + \sqrt{5}}{2} \right) - \left( \frac{1 - \sqrt{5}}{2} \right) \right) = 1$$

$$\alpha \sqrt{5} = 1$$

$$\alpha = \frac{1}{\sqrt{5}}$$

So  $\beta = -\alpha = -\frac{1}{\sqrt{5}}$ . Therefore,

$$F_n = \frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1 - \sqrt{5}}{2} \right)^n$$

## Lecture 15

# Recursivity Continued

Let us consider the special case where some characteristic roots are repeated.  
We only focus on the case of order  $k = 2$ .

$$a_n = c_1 \cdot a_{n-1} + c_2 \cdot a_{n-2}$$

Characteristic Equation:

$$1 \cdot r^2 - c_1 \cdot r - c_2 = 0$$

Since the roots are repeated, we have

$$(r - t)^2 = 0 \rightarrow r^2 - 2rt + t^2 = 0$$

For some  $t \in \mathbb{R}$ . So  $c_1 = 2t$ ,  $c_2 = -t^2 = \frac{-c_1^2}{4}$ . And the repeated root is  $t = \frac{c_1}{2}$ .

For any  $\alpha, \beta \in \mathbb{R}$ , the general solution is

$$a_n = \alpha \left(\frac{c_1}{2}\right)^n + \left(\beta \cdot n \cdot \frac{c_1}{2}\right)^n$$

Indeed we have

$$\left(\alpha \left(\frac{c_1}{2}\right)^n + \beta \cdot n \cdot \left(\frac{c_1}{2}\right)^n\right) = c_1 \cdot \left(\alpha \left(\frac{c_1}{2}\right)^{n-1} + \beta \cdot (n-1) \cdot \left(\frac{c_1}{2}\right)^{n-1}\right) + c_2 \cdot \left(\alpha \left(\frac{c_1}{2}\right)^{n-1} + \beta \cdot (n-1) \cdot \left(\frac{c_1}{2}\right)^{n-1}\right)$$

**Example:**  $a_0 = 1$ ,  $a_1 = 6$ ,  $a_n = 6a_{n-1} - 9a_{n-2}$  for  $n \geq 2$ . Characteristic Equation

$$r^2 - 6r + 9 = 0 \rightarrow (r - 3)^2 = 0$$

So

$$a_n = \alpha \cdot 3^n + \beta \cdot n \cdot 3^n$$

For some  $\alpha, \beta \in \mathbb{R}$ . We have

$$a_n = \alpha \cdot 3^0 + \beta \cdot 0 \cdot 3^0 = 1$$

$$a_n = \alpha \cdot 3^1 + \beta \cdot 1 \cdot 3^1 = 6$$

$$\alpha = 1$$

$$3\alpha + 3\beta = 6$$

So  $\alpha = 1$ , and  $\beta = 1$ , so

$$a_n = 1 \cdot 3^n + 1 \cdot n \cdot 3^n = (n+1) \cdot 3^n$$

**Example:**  $a_0 = 1$ ,  $a_1 = 1$ ,  $a_n = 4a_{n-1} - 4 \cdot a_{n-2}$  for  $n \geq 2$ . Charactereristic Equation:

$$r^2 - 4r + 4 = 0$$

$$(r-2)^2 = 0$$

So

$$a_n = \alpha \cdot 2^n \beta \cdot n \cdot 2^n$$

for some  $\alpha, \beta \in \mathbb{R}$ . We have

$$a_0 = \alpha \cdot 2^0 + \beta \cdot 0 \cdot 2^0 = 0$$

$$a_1 = \alpha \cdot 2^1 + \beta \cdot 1 \cdot 2^1 = 1$$

Then  $\alpha = 0$ ,  $2\alpha + 2\beta = 1$ . So  $\alpha = 0$ ,  $\beta = \frac{1}{2}$ . So

$$a_n = 0 \cdot 2^n + \frac{1}{2} \cdot n \cdot 2^n = n \cdot 2^{n-1}$$

**Example:** Let  $S$  be the set defined recursively by

- $3 \in S$
- If  $x, y \in S$ , then  $x + y \in S$

So we can take  $x = 3$ ,  $y = 3$ , then  $3 + 3 \in S$  and so on.

**Conjecture:** Let  $E = \{3, 6, 9, \dots\}$  and  $S = E$ .

*Proof.* We will prove  $S \subseteq E$  and  $E \subseteq S$ .

$S \subseteq E$  By induction,

- **Base Case:**  $3 \in S$  and  $3 \in E$
- **Inductive Hypothesis:** Let  $x, y \in S$ . Assume  $x \in E$ , and  $y \in E$ .
- **Induction Step:** We have  $x + y \in S$  by definition. We want to show  $x + y \in E$ . Since  $x, y \in E$  (from the induction hypthesis), then  $x = 3k$ ,  $y = 3l$  For some  $k, l \in \mathbb{Z}$  with  $k, l \geq 1$ . So  $x + y = 3k + 3l = 3(k + l) \in E$ .

$E \subseteq S$  By induction,

- **Base Case:**  $3 \in E$  and  $3 \in S$

- **Inductive Hypothesis:** Let  $m \in E$ . Assume that  $m \in S$
- **Induction Step:** We want to prove that  $m + 3 \in S$ . By definition,  $3 \in S$ . By the induction hypothesis,  $m \in S$ . From the definition of  $S$ ,  $m + 3 \in S$ .

□

**Example:** Find a recursive definition for the set

$$E = \{0, \frac{1}{2}, \frac{2}{3}, \frac{3}{4}, \frac{4}{5}, \dots\}$$

How do we get  $\frac{k+1}{k+2}$  from  $\frac{k}{k+1}$ ?

If  $x = \frac{k}{k+1}$ , then we have

$$kx + x = k \implies x = k(1 - x)$$

$$\frac{x}{1 - x} = k$$

$$\begin{aligned} \frac{k+1}{k+2} &= \frac{\frac{x}{1-x} + 1}{\frac{x}{1-x} + 2} = \frac{\frac{x}{1-x} + \frac{1-x}{1-x}}{\frac{x}{1-x} + \frac{2-2x}{1-x}} \\ &= \frac{\frac{1-x}{2-x}}{\frac{1-x}{1-x}} \\ &= \frac{1}{2-x} \end{aligned}$$

**Conjecture:**

- $0 \in S$
- If  $x \in S$ , then  $x + \frac{1}{2-x} \in S$

*Proof.* We want to prove  $E = S$ , so we will prove  $E \subseteq S$  and  $S \subseteq E$ .

$E \subseteq S$  By induction,

- **Base Case:**  $0 \in E$ , and  $0 \in S$ .
- **Inductive Hypothesis:** Let  $x \in E$ . Assume  $x \in S$ .
- **Induction Step:** Since  $x \in E$ ,  $x = \frac{k}{k+1}$ , for an integer  $k \geq 0$ . We want to show

$$\frac{k+1}{k+2} \in S$$

We know  $x \in S$  by the induction hypothesis. By the definition of  $S$ ,

$$\frac{1}{2-x} \in S$$

So,

$$\frac{1}{2-x} = \frac{1}{2 - \frac{k}{k+1}} = \frac{k+1}{2(k+1) - k} = \frac{k+1}{k+2} \in S$$



$S \subseteq E$  By induction,

- **Base Case:**  $0 \in S$ , and  $0 \in E$ .
- **Inductive Hypothesis:** Let  $x \in S$ . That is, assume

$$x = \frac{k}{k+1}$$

for some integer  $k \geq 0$

- **Induction Step:** We want to prove that

$$\frac{1}{2-x} \in E$$

We have

$$\begin{aligned} \frac{1}{2-x} &= \frac{1}{2 - \frac{k}{k+1}} && \text{(By the IH.)} \\ &= \frac{k+1}{2(k+1) - k} \\ &= \frac{k+1}{(k+1) + 1} \in E \end{aligned}$$

□

## 15.1 K-ary Trees

**Definition 15.1.1.** A complete  $k$ -ary tree with height  $h$  and root  $r$  is defined recursively by

- An isolated node  $r$  is a complete  $k$ -ary tree with height 0 and root  $r$
- Let  $h \geq 0$ . Let  $T_i$  be a complete  $k$ -ary tree with height  $h$  and root  $r_i$  with  $1 \leq i \leq k$ , and let  $r$  be an isolated node. The graph obtained by adding the edges  $\{r, r_i\}$  is a complete tree with height  $h+1$  and root  $r$ .

## Lecture 16

# K-Ary Trees

Example: Ternary Trees

## Lecture 17

## Lecture 18

# Graphs

**Definition 18.0.1.** A graph  $G$  is made of a non-empty set  $V$  of vertices (nodes) together with a set  $E$  of edges. Each edge in  $E$  is an unordered pair  $u, v \subseteq V$  with  $u \neq v$ . We write  $G = (V, E)$ . Graphs without loops and parallel edges are said to be simple.

**Important Note:** All graphs this semester are simple.

We say that  $u$  is *adjacent* to  $v$  ( $u$  and  $v$  are neighbors) if  $\{u, v\}$  is an edge. An edge  $e$  is said to be *incident* to  $u$  if one of the two endpoints of  $e$  is  $u$ . The *degree* of a vertex  $u \in V$  is the number of edges incident  $u$ .

**Theorem 18.0.1** (Handshaking Lemma). Let  $G = (V, E)$  be a graph.

$$\sum_{u \in V} \deg(u) = 2|E|$$

*Proof.* Look at an arbitrary edge  $u, v \in E$ . Each edge is counted twice.  $\square$

**Theorem 18.0.2.** Let  $G = (V, E)$  be a graph. Then  $G$  has an even number of vertices with an odd degree.

*Proof.* By contradiction. Let  $V_{\text{even}}$  denote the set of vertices of  $G$  with an even degree, and  $V_{\text{odd}}$  denote the set of vertices of  $G$  with an odd degree. So

$$V_{\text{even}} \cap V_{\text{odd}} = \emptyset$$

$$V_{\text{even}} \cup V_{\text{odd}} = V$$

For a contradiction, assume  $|V_{\text{odd}}|$  is odd. Then

$$\begin{aligned} 2|E| &= \sum_{u \in V} \deg(u) && \text{(Handshaking Lemma)} \\ &= \sum_{u \in V_{\text{even}}} \deg(u) + \sum_{u \in V_{\text{odd}}} \deg(u) \\ &= 2k + 2l + 1 \\ &= 2(k + l) + 1 \end{aligned}$$

But  $2|E|$  is even, so this is a contradiction.

□

**Example:** Can you find a graph with 5 vertices with degrees 1,2,3,3,3? Yes, since by the previous theorem, we have an even number of vertices with an odd degree.

**Example:** Can you find a graph with 5 vertices with degrees 1,2,2,3,3? No, since by the previous theorem, we have an odd number of vertices with odd degree.

**Definition 18.0.2** (Length). *A path in a graph  $G = (V, E)$  is a sequence of vertices  $v_0, v_1, \dots, v_l$  such that  $\{v_i, v_{i+1}\} \in E$  for all  $0 \leq i \leq l-1$ . A path can also be described as a sequence of the  $l$  edges. The vertices  $v_0$  and  $v_l$  are the endpoints of the path and  $l$  is its length.*

**Definition 18.0.3.** *If there is a path with endpoints  $u, w \in V$ , we say that  $v$  and  $w$  are connected. If any two vertices of a graph are connected, then we say that the graph is connected.*

## Lecture 20

# More on Graphs

**Definition 20.0.1** (Cycles). A cycle is a sequence of vertices  $v_0, v_1, v_2, \dots, v_{l-1}, v_0$  such that  $v_0, v_1, v_2, \dots, v_{l-1}$  is a path and  $\{v_0, v_1\}, \{v_1, v_2\}, \dots, \{v_{l-2}, v_{l-1}\}, \{v_{l-1}, v_0\}$  are distinct edges. The length of this cycle is  $l$

**Note:** Cycles of length 0,1,2 are now allowed by this definition.

**Definition 20.0.2** (Walks). A walk is a path where we allow vertices to be repeated. A closed walk is a cycle where we allow vertices to be repeated.

**Definition 20.0.3** (Subgraph). Let  $G = (V, E)$  be a graph. A subgraph  $H$  of  $G$ , denoted by  $H \subseteq G$ , is a graph  $H = (V', E')$ , where  $V' \subseteq V$  and  $E' \subseteq E$ .

**Definition 20.0.4** (Connectedness). A connected component of  $G$  is a subgraph of  $G$  consisting of

- All vertices that are connected to a given vertex.
- Together with all edges incident to them.

**Definition 20.0.5** (Forests). A forest is a graph that has no cycle. A tree is a connect forest. A leaf in a forest is a vertex of degree 1.

**Theorem 20.0.1.** Let  $G = (V, E)$  be a graph. Let  $n = |V|$  and  $m = |E|$ . If  $G$  is a forest, then  $n > m$  and  $G$  has  $n - m$  connected componenets (trees).

*Proof.* By induction on  $m$ .

- **Base Case:**  $m = 0$ . If a forest has no edges, then  $n > 0 = m$ . Moreover, each vertex is its own connected component, so there are exactly  $n = n - 0 = n - m$  connected components.
- **Induction Hypothesis:** Let  $k \geq 0$  be an integer. Assume that for all graphs  $G$  with  $n$  vetices and  $k$  edges, if  $G$  is a forest, then  $n > k$  and  $G$  has  $n - k$  connected componenets.

- **Induction Step:** Let  $G$  be a forest with  $n$  vertices and  $k + 1$  edges. Remove an arbitrary edge  $e = \{a, b\}$  from  $G$  without modifying the vertices. Removing  $e$  from  $G$  does not create a cycle, so the resulting graph  $G'$  is a forest with  $n$  vertices and  $k$  edges. By the induction hypothesis,  $n > k$  and  $G'$  has  $n - k$  connected components.

Observe that  $a$  and  $b$  cannot both belong to the same connected component of  $G'$ . Otherwise, the path from  $a$  to  $b$  would create a cycle in  $G$  and so  $G$  would not be a forest. So,  $a$  and  $b$  are in two different connected components of  $G'$ . So,

$$n - k \geq 2 \implies n \geq 2 + k = 1 + (1 + k) > k + 1$$

If we put  $e$  back in  $G$ , this connects the two connected components for  $a$  and  $b$  together. So  $G$  has

$$(n - k) - 1 = n - (k + 1)$$

connected components, as required.  $\square$

**Corollary 20.0.1.** *Let  $G = (V, E)$  be a tree. Then*

$$n = |V| = |E| + 1 = m + 1$$

*Proof.* A tree is a forest with 1 connected component. By the previous theorem,  $n - m = 1$ , so  $n = m + 1$ .  $\square$

**Definition 20.0.6** (Spanning Tree). *A spanning tree of a connected graph  $G$  is a subgraph of  $G$  that includes all vertices of  $G$  and that is a tree.*

## Lecture 21

# Spanning Trees Bipartite Graphs

**Theorem 21.0.1.** *Every connected graph  $G = (V, E)$  has a spanning tree.*

*Proof.* Let  $G = (V, E)$  be a connected graph. By induction on the  $m = |E|$ .

- **Base Case:**  $m = 0$ . For  $G$  to be connected graph, it must contain a single vertex  $v$ . Then  $v$  itself is a spanning tree.
- **Induction Hypothesis:** Let  $k \geq 0$  be an integer. Assume that all connected graphs with  $k$  edges have a spanning tree.
- **Induction Step:** Let  $G$  be a connected graph with  $k + 1$  edges. We consider two cases.
  - **Case 1:**  $G$  is a tree, then it is its own spanning tree.
  - **Case 2:** If  $G$  is not a tree, since  $G$  is connected, then  $G$  has a cycle. Remove an edge  $e = \{a, b\}$  from this cycle. We get a graph  $G'$  that is connected. Indeed, if a path uses  $e$ , we can reroute it along the other edges of the cycle. So  $G'$  is connected and it has  $k$  edges. By the induction hypothesis,  $G'$  has a spanning tree  $T$ .  $T$  covers all vertices of  $G'$ , so it covers all vertices of  $G$ , so  $T$  is a spanning tree of  $G$ .

□

This gives us a way to build a spanning tree; If  $G$  is a tree, then it is its own spanning tree. Otherwise, find a cycle, remove an edge from this cycle, and recursively find a spanning tree.

**Corollary 21.0.1.** *Every graph with  $n$  vertices and  $m$  edges has at least  $n - m$  connected components.*



*Proof.* Let  $G$  be a graph with  $n$  vertices and  $m$  edges. By the previous theorem, every connected component of  $G$  has a spanning tree. Let  $F$  be the union of these spanning trees. Then  $F$  is a forest with  $n$  vertices, and  $m' \leq m$ . Moreover, the number of connected components in  $F$  is the same as in  $G$ . So  $G$  has  $n - m' \geq n - m$  connected components.  $\square$

We say that two sets  $S$  and  $T$  *partition* a set  $E$  if

- $S \neq \emptyset$
- $T \neq \emptyset$
- $S \cup T = E$
- $S \cap T =$

We say that a graph  $G = (V, E)$  is *bipartite* if  $V$  can be partitioned into two sets  $A$  and  $B$  such that each edge has one endpoint in  $A$  and one endpoint in  $B$ .

## Lecture 22

# Bipartite Graphs

### Note: Final Exam Topics

- All lectures and all exercises
- 2 hour Exam
- 6 long answer questions and **nothing** Examples
- Study notes and exercises!

**Lemma 22.0.1.** *let  $G = (V, E)$  be a bipartite graph with partition  $(A, B)$ . Then*

$$\sum_{v \in A} \deg(v) = \sum_{v \in B} \deg(v)$$

*Proof.* In the proof of the handshaking lemma, we saw that each edge in

$$\sum_{v \in V} \deg(v)$$

is counted twice. Since each edge has one endpoint in  $A$  and one endpoint in  $B$ , the equality follows.  $\square$

**Lemma 22.0.2.** *Let  $G = (V, E)$  be a graph. If  $G$  has a closed walk of odd length, then  $G$  has a cycle of odd length.*

*Proof.* By induction on the length  $l$  of the closed walk.

- **Base Case:**  $l = 1$ . Closed walks of length 1 do not exist. So the base case holds trivially.
- **Induction Hypothesis:** Let  $K \in \mathbb{Z}$  be an odd integer. Assume that if a graph has a closed walk of odd length at most  $K$ , then it has a cycle of odd length.

- **Induction Step:** Let  $G = (V, E)$  be a graph having a closed walk of length  $k + 2$  (which is odd). Let

$$v_0, v_1, v_2, \dots, v_{k+1}, v_0$$

be this closed walk. If all  $v_i$ 's are different then this is a cycle with odd length. Otherwise, two vertices must be repeated, say  $v_i$  and  $v_j$

$$v_0, v_1, v_2, \dots, v_{i-1}, v_i, \dots, v_{j-1}, v_j, \dots, v_k, v_{k+1}$$

Consider the closed walks

$$v_i, v_{i+1}, \dots, v_{j-1}, v_j = v_i$$

$$v_j, v_{j+1}, \dots, v_{k+1}, v_0, v_1, \dots, v_{i-1}, v_i = v_j$$

Since the length of the walk is  $k + 2$ , we have the length of the first walk is less than  $k + 2$  and the second walk as well. Since  $k + 2$  is odd, then either the first walk or the second walk has odd length. By the induction hypothesis, one of these walks is a cycle. Therefore  $G$  has a cycle of odd length.

□

**Theorem 22.0.1.** *A graph is bipartite if and only if it has no odd cycles.*

*Proof.* (  $\implies$  ) Assume  $G$  is bipartite with partition  $V = A \cup B$ . If  $G$  has no cycle, then it is bipartite. Otherwise, let

$$v_0, v_1, v_2, \dots, v_{l-1}, v_0$$

be a cycle of length  $l \geq 3$ . We will show that  $l$  is even. Without loss of generality, assume  $v_0 \in A$ . Since  $v_0 \in A$ , we must have  $v_1 \in B$ , then  $v_2 \in A$ , and so on. So  $v_{l-1} \in A$  if  $l - 1$  is even and  $v_{l-1} \in B$  if  $l - 1$  is odd. Since  $\{v_{l-1}, v_0\}$  is an edge of the cycle,  $v_{l-1}$  must be in  $B$ . So  $l - 1$  is odd, and  $l$  is even.

(  $\impliedby$  ) Assume  $G$  has no cycle of odd length. We want to prove that  $G$  is bipartite. Consider two cases where  $G$  is connected and where  $G$  is not connected.

- **Case 1:  $G$  is connected.** We need to build  $A$  and  $B$ . Let  $v_0$  be an arbitrary vertex. Let

$$A = \{w \in V : \text{there is a path of even length between } v_0 \text{ and } w\}$$

$$B = \{w \in V : \text{there is a path of odd length between } v_0 \text{ and } w\}$$

We will show that  $A$  and  $B$  are disjoint and that  $A \cup B = V$ , so  $A$  and  $B$  partition  $V$ . Since  $G$  is connected, every vertex is connected to  $v_0$ , so every vertex belongs to either  $A$  or  $B$ . We want to show that  $A$  and  $B$

are disjoint. For a contradiction, suppose  $u \in A \cap B$ . Since  $v \in A$ , there is a path of even length

$$v_0, v_1, \dots, v_s = u$$

where  $s$  is even. Since  $v \in B$ , there is a path of odd length between  $v_0$  and  $v$ . The reverse path also has odd length.

$$u = v_s, v_{s+1}, v_{s+2} \dots, v_{s+t} = v_0$$

where  $t$  is odd. Now

$$v_0, v_1, \dots, v_s, v_{s+1}, \dots, v_{s+t} = v_0$$

is a closed walk of length  $s+t$  where  $s+t$  is odd. By the previous lemma, there is a cycle of odd length. This is a contradiction. So  $A \cap B = \emptyset$ . So  $A, B$  is a partition of  $V$ . It remains to show that all edges have one endpoint in  $A$  and one endpoint in  $B$ . For a contradiction, suppose there is an edge  $\{u, w\}$  with both endpoints in  $A$ . Then there is a path of even length from  $u$  to  $v_0$  and a path of even length from  $v_0$  to  $w$ . If  $u$  and  $w$  are different, then this is a cycle of even length then take the edge  $\{u, w\}$  we get an odd length. by the previous lemma, there is a cycle of odd length. Thus, a contradiction.

- **Case 2:  $G$  is not connected.** Then we can apply case (1) to each connected component  $C$  of  $G$ . For each  $C$ , we get a partition  $(A_C, B_C)$ . It remains to take

$$A = \bigcup_{C \in G} A_C \text{ and } B = \bigcup_{C \in G} B_C$$

So  $G$  is bipartite.

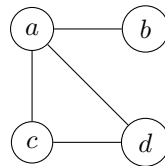
□

## Lecture 23

# Matchings

**Definition 23.0.1** (Matching). A matching in a graph  $G = (V, E)$  is a subset of  $M \subseteq E$  where no pair of edges share a vertex.

**Example:**

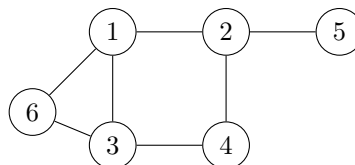


$$M = \{\{a, b\}, \{c, d\}\}$$

$M = \emptyset$  is a matching.

**Definition 23.0.2** (Maximum Matching). A maximum matching if it contains the greatest number of edges possible.

**Example:**



$$M = \{\{6, 3\}, \{6, 1\}\}$$

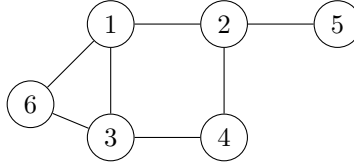
This is not a maximum matching because we could add the edge  $\{1, 2\}$  to get a matching with 3 edges. So

$$M = \{\{6, 3\}, \{6, 1\}, \{2, 1\}\}$$

This matching is maximum.

**Definition 23.0.3** (Perfect Matchings). A matching is perfect if it matches all vertices.

**Example:**



$$M = \{\{6, 3\}, \{6, 1\}, \{2, 1\}\}$$

is a perfect matching. In general, if a graph has an odd number of vertices, it cannot have a perfect matching.

**Theorem 23.0.1.** *Let  $G = (V, E)$  be a graph whose set of edges is the union of two matchings. Then  $G$  is bipartite.*

*Proof.* Let  $M_1$  and  $M_2$  be the two matchings such that  $E = M_1 \cup M_2$ . We have to prove that  $G$  does not have a cycle of odd length. By the previous theorem, this implies that  $G$  is bipartite. For a contradiction, let

$$v_0, v_1, v_2, \dots, v_{l-1}, v_0$$

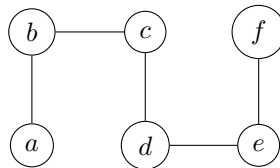
be a cycle of odd length. The edge  $\{v_0, v_1\}$  is in  $M_1$  or  $M_2$ . Without loss of generality, assume that  $\{v_0, v_1\} \in M_1$ . Then  $\{v_1, v_2\} \in M_2$  otherwise  $M_1$  would not be a matching. Then  $\{v_2, v_3\} \in M_1$  and so on. So we have

$$\{v_i, v_{i+1}\} \in M_1 \text{ if } i \text{ is even}$$

$$\{v_i, v_{i+1}\} \in M_2 \text{ if } i \text{ is odd}$$

Then,  $\{v_{l-2}, v_{l-1}\} \in M_2$  since  $l-2$  is odd, and  $\{v_{l-1}, v_0\} \in M_1$  since  $l-1$  is even. But, the edge  $\{v_0, v_1\}$  in  $M_1$  shares an edge with  $\{v_{l-1}, v_0\}$  in  $M_1$ . This is a contradiction since  $M_1$  is a matching.  $\square$

**Example:**



$$M_1 = \{\{a, b\}, \{c, d\}, \{e, f\}\}$$

$$M_2 = \{\{b, c\}, \{d, e\}\}$$

$M_1 \cup M_2$  is equal to the set of edges. So this graph is bipartite.

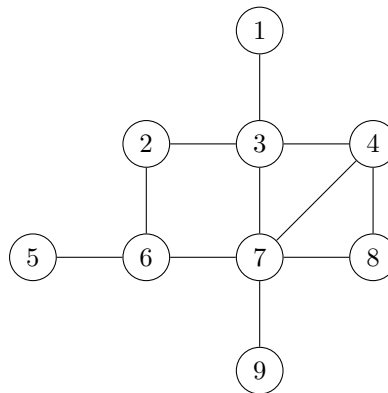
## Lecture 24

# Neighbour Sets

**Definition 24.0.1** (Neighbour Set). Let  $G = (V, E)$  be a graph. Let  $S \subseteq V$ . The neighbour set of  $S$ , denoted  $N(S)$  is the set of vertices having at least one neighbour in  $S$ .

$$N(S) = \{v \in V \mid \{v, s\} \text{ is an edge for some } s \in S\}$$

**Example:**



$$N(\{3, 4\}) = \{1, 2, 3, 4, 7, 8\}$$

$$N(\{1\}) = \{3\}$$

$$N(\{2, 3, 4\}) = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

**Theorem 24.0.1** (Hall's Theorem). Let  $G = (V, E)$  be a bipartite graph with partition  $(A, B)$ . There exists a matching that matches all vertices in  $A$  if and only for every  $S \subseteq A$ . We have  $|N(S)| \geq |S|$ .

*Proof.* ( $\implies$ ) Suppose all vertices in  $A$  can be matched. Let  $S \subseteq A$  be a subset. We need to show that  $|N(S)| \geq |S|$ . For every  $s \in S$ , let  $v$  be its partner in the matching. All these  $v$ 's are different. So there are  $|S|$  of them. They are all

neighbours of vertices in  $S$ . So they are in  $N(S)$ . Therefore,  $|N(S)| \geq |S|$ .

( $\Leftarrow$ ) Assume that for every subset  $S \subseteq A$ , we have  $|N(S)| \geq |S|$ . We want to prove that all vertices in  $A$  can be matched. By induction,

- **Base Case:**  $n = |A| = 1$ . Then  $|N(A)| \geq |A| = 1$ . So the unique vertex in  $A$  has a neighbour in  $B$  and it can be matched.
- **Induction Hypothesis:** Let  $K \geq 1$  be an integer. Assume that for all bipartite graphs  $G$  with partition  $(A, B)$  where  $|A| \leq K$ , if  $|N(S)| \geq |S|$  for all  $S \subseteq A$ , then all vertices in  $A$  can be matched.
- **Induction Step:** Let  $G$  be a bipartite graph with partition  $(A, B)$  where  $|A| = k+1$ . Consider two cases where for every  $X \subset A$ , we have  $|N(X)| \geq |X| + 1$ , and there exists a subset  $X \subset A$  such that

$$|N(X)| < |X| + 1 \implies |N(X)| = |X|$$

- **Case 1:** Let  $a \in A$  and match it with an arbitrary neighbour  $b \in B$ . Remove  $a$  and  $b$  from  $G$ . Now for every proper subset  $X \subset A$ , we have  $|N(X)| \geq |X|$ . By the induction hypothesis, all vertices in  $A \setminus \{a\}$  can be matched. So all vertices in  $A$  can be matched.
- **Case 2:** Let  $X \subset A$  be a proper subset such that  $|N(X)| = |X|$ . Observe that all subsets  $X' \subseteq X$  are subsets of  $A$ , and  $|N(X')| \geq |X'|$ . So by the induction hypothesis, all vertices in  $X$  can be matched. Remove all vertices in  $X$  and  $N(X)$  from  $G$ . Suppose we can show that for all subsets  $Y \subseteq A \setminus X$ ,  $Y$  has at least  $|Y|$  neighbours in  $B \setminus N(X)$ , then we apply the induction hypothesis to match all vertices in  $A \setminus X$ . Combining the two matchings will give us a matching that matches all vertices in  $A$ . To prove our supposition, suppose for a contradiction that there exists a subset  $Y \subseteq A \setminus X$  that has less than  $|Y|$  neighbours in  $B \setminus N(X)$ . Then the vertices in  $X \cup Y$  have less than  $|N(X)| + |Y|$  neighbours in  $G$ . Then

$$\begin{aligned} |N(X \cup Y)| &< |N(X)| + |Y| \\ &= |X| + |Y| \\ &= |X \cup Y| \\ &\leq |N(X \cup Y)| \end{aligned}$$

Which is a contradiction.

□