### CSI 2101 Lecture Notes

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# Definitions, Theorems, Lemmas, and Corollaries

**Definition 4.1.1.** Let a and b be two integers such that  $a \neq 0$ . We say that a divides b if there exists c such that b = ac. If a divides be we say a is a factor or divisor of b. We also can say b is a multiple of a.

**Theorem 4.1.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ .

- 1. If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b+c)$
- 2. If  $a \mid b$ , then  $a \mid bc$  for every integer
- 3. If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$

**Corollary 4.1.1.** Let  $a,b,c \in \mathbb{Z}$  with  $a \neq 0$ . If  $a \mid b$  and  $a \mid c$ ,  $a \mid (mb+nc)$  for all integers m and n

**Theorem 4.1.2** (The Division Algorithm). Let  $a, d \in \mathbb{Z}$  with d > 0. There exists a unique q and r such that

$$0 \le r \le d$$

and

$$a = dq + r$$

We write

$$q = a \ div \ d$$

$$r = a \mod a$$

**Definition 4.1.2.** Let  $a, b, m \in \mathbb{Z}$  with  $m \geq 2$ . We say a is congruent to b modulo m if  $m \mid (a - b)$ . We write  $a \equiv b \pmod{m}$ 

**Theorem 4.1.3.** Let  $a,b,c,d,m \in \mathbb{Z}$  with  $m \geq 2$ . If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

- 1.  $a + c \equiv b + d \pmod{m}$
- 2.  $ac \equiv bd \pmod{m}$

**Definition 5.1.1.** A positive integer p is prime if it admits exactly two divisors.

**Theorem 5.1.1** (Fundamental Theorem of Arithmetic). All integers greater than 1 can be written as a product of prime numbers. This representation is unique if we write the prime numbers in non-decreasing order.

**Theorem 5.1.2.** Let n > 1 be an integer. If n is not prime, then n has a prime divisor p such that  $p \le \sqrt{n}$ .

Corollary 6.0.1. Let

$$a = p_1^{a_1} \cdot p_2^{a_2} \cdot \dots \cdot p_k^{a_k}$$
$$a = p_1^{b_1} \cdot p_2^{b_2} \cdot \dots \cdot p_k^{b_k}$$

Where  $p_i$  is prime,  $a_i \ge 0$  and  $b_i \ge 0$ ,  $1 \le i \le k$ . Then

$$gcd(a,b) = p_1^{\min(a_1,b_1)} \cdot p_2^{\min(a_2,b_2)} \cdot \dots \cdot p_k^{\min(a_k,b_k)}$$
$$lcm(a,b) = p_1^{\max(a_1,b_1)} \cdot p_2^{\max(a_2,b_2)} \cdot \dots \cdot p_k^{\max(a_k,b_k)}$$
$$gcd(a,b) \cdot lcm(a,b) = ab$$

**Lemma 6.0.1.** Let a, b, q, r be integers such that

$$a = b \cdot q + r$$

Then

$$gcd(a,b) = gcd(b,r)$$

**Definition 6.0.1** (Euclidean Algorithm).

$$x = a$$
$$y = b$$

while  $y \neq 0$ 

$$r = x \mod y$$

$$x = y$$

$$y = r$$

return x

**Theorem 6.0.1** (Bézout). Let  $a, b \in \mathbb{Z}$  be positive integers. There exists  $s, t \in \mathbb{Z}$  such that

$$s \cdot a + t \cdot b = \gcd(a, b)$$

**Lemma 6.0.1.** Let  $a,b,c \in \mathbb{Z}$  with  $a \neq 0$ . If gcd(a,b) = 1 and  $a \mid (bc)$ , then  $a \mid c$ .

**Lemma 8.0.1.** Let  $a, b, c \in \mathbb{Z}$ , with  $a \neq 0$ . If gcd(a, b) = 1, and  $a \mid (bc)$ , then  $a \mid c$ .

**Theorem 8.0.1.** Let  $a, b, c, m \in \mathbb{Z}$ , with  $m \ge 2$ . Assume  $ac \equiv bc \pmod{m}$  and gcd(c, m) = 1. Then  $a \equiv b \pmod{m}$ .

**Lemma 8.0.2.** Let p be a prime number and  $a_1, a_2, \ldots, a_n \in \mathbb{Z}$ . If  $p \mid (a_1 \cdot a_2 \cdot \cdots \cdot a_n)$ , then there exists  $1 \leq i \leq n$  such that  $p \mid a_i$ .

**Theorem 8.0.2.** Let  $m \in \mathbb{Z}$  with  $m \geq 2$  and let  $a \in \mathbb{Z}_m$ . The multiplicative inverse of a (mod n) exists if and only if gcd(a, m) = 1. When it exists, the inverse of a (mod n) is unique.

# Logic and Proof Techniques

TBC.

# **Proof Examples**

TBC.

# Proof by Induction and More Examples

### Intro to Number Theory

#### 4.1 Divisibility

**Definition 4.1.1.** Let a and b be two integers such that  $a \neq 0$ . We say that a divides b if there exists c such that b = ac. If a divides be we say a is a factor or divisor of b. We also can say b is a multiple of a.

**Theorem 4.1.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ .

- 1. If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b+c)$
- 2. If  $a \mid b$ , then  $a \mid bc$  for every integer
- 3. If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$

*Proof.* 1. We have to prove if  $a \mid b$  and  $a \mid c$ , then  $a \mid (b+c)$  Let  $a,b,c \in \mathbb{Z}$  with  $a \neq 0$ . Assume that  $a \mid b$  and  $a \mid c$ , then for some  $k,l \in \mathbb{Z}$ 

$$b = k \cdot a$$

$$c = l \cdot a$$

Thus, we have

$$b + c = k \cdot a + l \cdot a = a(k+l)$$

So 
$$a \mid (b+c)$$

2. We have to prove if  $a \mid b$ ,  $a \mid bc$  for every c. Let  $a, b \in \mathbb{Z}$  with  $a \neq 0$ . Assume that  $a \mid b$ . Then for some  $k \in \mathbb{Z}$ ,

$$b = k \cdot a$$

Let  $c \in \mathbb{Z}$ , so

$$bc = k \cdot a \cdot c = a \cdot (kc)$$

Therefore,  $a \mid bc$ 

3. We have to prove if  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ . Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . Assume  $a \mid b$  and  $b \mid c$ . Then we have for some  $k, l \in \mathbb{Z}$ 

$$b = k \cdot a$$

$$c = l \cdot b$$

So,

$$c = l \cdot b = l \cdot (k \cdot a) = (lk)a$$

Therefore  $a \mid c$ 

**Corollary 4.1.1.** Let  $a, b, c \in \mathbb{Z}$  with  $a \neq 0$ . If  $a \mid b$  and  $a \mid c$ ,  $a \mid (mb + nc)$  for all integers m and n

*Proof.* Let  $a, bc \in \mathbb{Z}$  with  $a \neq 0$ . Assume  $a \mid b \mid a \mid c$ . By the previous theorem (part 2), we have  $a \mid mb$  and  $a \mid nc$ . Therefore, by the previous theorem (part 1),  $a \mid (mb + nc)$ 

**Theorem 4.1.2** (The Division Algorithm). Let  $a, d \in \mathbb{Z}$  with d > 0. There exists a unique q and r such that

$$0 \le r \le d$$

and

$$a = dq + r$$

We write

$$q = a \ div \ d$$

$$r = a \mod a$$

**Definition 4.1.2.** Let  $a, b, m \in \mathbb{Z}$  with  $m \geq 2$ . We say a is congruent to b modulo m if  $m \mid (a - b)$ . We write  $a \equiv b \pmod{m}$ 

**Example:** Prove or disprove. We have  $a \equiv b \pmod{m}$  if and only if  $b \equiv a \pmod{m}$ 

$$a \equiv b \pmod{m}$$

$$\iff m \mid (a - b) \qquad \text{(by definition)}$$

$$\iff a - b = km \qquad (k \in \mathbb{Z})$$

$$\iff b - a = -km$$

$$\iff m \mid (b - a)$$

$$\iff b \equiv a \pmod{b} \qquad \text{(by definition)}$$

**Theorem 4.1.3.** Let  $a,b,c,d,m \in \mathbb{Z}$  with  $m \geq 2$ . If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then

1. 
$$a + c \equiv b + d \pmod{m}$$

2.  $ac \equiv bd \pmod{m}$ 

*Proof.* 1. We have to prove  $a+c\equiv b+d\pmod{m}$ . Since  $a\equiv b$  and  $c\equiv d$ , we have

$$m \mid (a-b)$$

$$m \mid (c - c)$$

By theorem 4.1.1 (part 1), we have

$$m \mid ((a-b) + (c-d)$$

$$m \mid ((a+c) - (b+d))$$

Therefore,

$$a + c \equiv b + d \pmod{m}$$

2. We have to prove  $ac \equiv cd \pmod{m}$ 

Since  $a \equiv b$  and  $c \equiv d$ , we have  $m \mid (a - b)$  and  $m \mid (c - d)$ . By Corollary 4.1.1, we have

$$m \mid (c(a-b) + b(c-d))$$

$$m \mid (ac - bc + bc - bd)$$

$$m \mid (ac - bd)$$

Therefore  $ac \equiv bd$ .

4.2 Arithmetic Modulo m

Let  $m \geq 2$  be an integer and

$$\mathbb{Z}_m = \{0, 1, 2, \dots, m-1\}$$

We define

$$a +_m b = (a+b) \pmod{m}$$

$$a \cdot_m b = (a \cdot b) \pmod{m}$$

in  $\mathbb{Z}_m$ , this is arithmetic modulo m. TBC

### Prime Numbers and GCD

#### 5.1 Prime Numbers

**Definition 5.1.1.** A positive integer p is prime if it admits exactly two divisors.

**Theorem 5.1.1** (Fundamental Theorem of Arithmetic). All integers greater than 1 can be written as a product of prime numbers. This representation is unique if we write the prime numbers in non-decreasing order.

*Proof.* (Existence) By induction,

- Base Case: Take n = 2. We have 2 = 2, the product of 1 prime number.
- Induction Hypothesis: Let  $k \geq 2$  be an integer. Suppose that all numbers  $2, 3, 4, \ldots, k-1, k$  can be written as a product of primes.
- **Induction Step:** Consider k+1. If k+1 is prime, then we're done. If not, then  $K+1=d\cdot e$  for integers 1< d< k+1 and 1< e< k+1 By the induction hypothesis, d and e can be written as products of prime. So  $k+1=d\cdot e$  can be written as a product of primes.

(Uniqueness) to be seen later.

**Theorem 5.1.2.** Let n > 1 be an integer. If n is not prime, then n has a prime divisor p such that  $p \le \sqrt{n}$ .

*Proof.* Let n > 1, if n is not prime, then  $n = a \cdot b$  for two integers 1 < a < n and 1 < b < n. We will show that  $a \le \sqrt{n}$  or  $b \le \sqrt{n}$  by contradiction. Assume  $a > \sqrt{n}$  and  $b > \sqrt{n}$ . Then  $n = a \cdot b > \sqrt{n} \cdot \sqrt{n} = n$ . This is a contradiction so  $a \le \sqrt{n}$ .

Assume without loss of generality that  $a \leq \sqrt{n}$ . If a is prime, we're done. If not, then by the fundamental theorem of arithmetic, a is divisible by a prime number p

**Theorem 5.1.3.** There exists an infinite number of prime numbers.

*Proof.* By contradiction, suppose there exists a finite number of prime numbers, say k prime numbers, and we order them

$$p_1 < p_2 < p_3 < \dots < p_k$$

Consider the number

$$Q = p_1 \cdot p_2 \cdot \ldots \cdot p_k + 1 \in \mathbb{Z}$$

Since  $Q > p_k$ , then Q is not prime by our assumption. By Theorem 5.1.2, Q is divisible by a prime number. So  $p_i \mid Q$  for some  $1 \le i \le k$ . We also have that

$$p_i \mid (p_1 \cdot p_2 \cdot \ldots \cdot p_i \cdot \ldots \cdot p_k)$$

By Corollary 4.1.1, we get

$$p_i \mid (Q - p_1 \cdot p_2 \cdot \ldots \cdot p_k)$$

 $p_i \mid 1$  Therefore  $p_i = 1$ , this is a contradiction since we assumed  $p_k$  is the largest prime but  $Q > p_k$  is prime.

## Euclidean Algoirthm and Bézout's Theorem

Corollary 6.0.1. Let

$$a = p_1^{a_1} \cdot p_2^{a_2} \cdot \dots \cdot p_k^{a_k}$$
$$a = p_1^{b_1} \cdot p_2^{b_2} \cdot \dots \cdot p_k^{b_k}$$

Where  $p_i$  is prime,  $a_i \ge 0$  and  $b_i \ge 0$ ,  $1 \le i \le k$ . Then

$$gcd(a,b) = p_1^{\min(a_1,b_1)} \cdot p_2^{\min(a_2,b_2)} \cdot \dots \cdot p_k^{\min(a_k,b_k)}$$
$$lcm(a,b) = p_1^{\max(a_1,b_1)} \cdot p_2^{\max(a_2,b_2)} \cdot \dots \cdot p_k^{\max(a_k,b_k)}$$
$$gcd(a,b) \cdot lcm(a,b) = ab$$

Example:

$$24 = 2^{3} \cdot 3$$
$$36 = 2^{2} \cdot 3^{2}$$
$$gcd(24, 36) = 2^{2} \cdot 3^{1} = 12$$
$$lcm(24, 36) = 2^{3} \cdot 3^{2} = 72$$
$$12 \cdot 72 = 864 = 24 \cdot 36$$

**Lemma 6.0.1.** Let a, b, q, r be integers such that

$$a = b \cdot q + r$$

Then

$$gcd(a,b) = gcd(b,r)$$

*Proof.* Let a, b, q, r be integers such that

$$a = bq + r$$

Let  $d \in \mathbb{Z}$ . We will prove that

$$d \mid a \wedge d \mid b \iff d \mid b \wedge d \mid r$$

 $(\Longrightarrow)$  Let  $d\in\mathbb{Z}$ . Assume  $d\mid a$  and  $d\mid b$ . Then  $d\mid (1\cdot a+(-q)\cdot b)$ , by Corollary 4.1.1. Then  $a=bq+r\implies r=a-bq$ , so  $d\mid (1\cdot a+(-q)\cdot b)\implies d\mid r$ .

(  $\Leftarrow$  ) Let  $d \in \mathbb{Z}$ . Assume  $d \mid b$  and  $d \mid r$ . Then  $d \mid (q \cdot b + 1 \cdot r)$  by Corollary 4.1.1. Then  $d \mid a$ , therefore  $d \mid a$  and  $d \mid b$ 

**Example:**  $gcd(414, 662), 662 = 1 \cdot 414 + 248$ 

$$662 = 1 \cdot 414 + 248$$

$$414 = 1 \cdot 248 + 166$$

$$248 = 1 \cdot 166 + 82$$

$$166 = 2 \cdot 82 + 2$$

$$82 = 41 \cdot 2 + 0$$

The last none-zero remainder of this sequence is the *gcd* of 414 and 662 by the previous lemma. (can someone find which lemma this is!)

Definition 6.0.1 (Euclidean Algorithm).

$$x = a$$

$$y = b$$

while  $y \neq 0$ 

$$r = x \mod y$$

$$x = y$$

$$y = r$$

return x

This algorithm returns the gcd of a and b.

Example: gcd(465, 144)

$$465 = 3 \cdot 144 + 33$$

$$144 = 4 \cdot 33 + 12$$

$$33 = 2 \cdot 12 + 9$$

$$12 = 1 \cdot 9 + 3$$

$$9 = 3 \cdot 3 + 0$$

Therefore gcd(465, 144) = 3.

Note: When you show the trace of Euclid's algorithm, you must include the last line with a remainder of 0.

**Theorem 6.0.1** (Bézout). Let  $a, b \in \mathbb{Z}$  be positive integers. There exists  $s, t \in \mathbb{Z}$  such that

$$s \cdot a + t \cdot b = \gcd(a, b)$$

*Proof.* Let  $a, b \in \mathbb{N} \setminus \{0\}$ . Run Euclidian algorithm, and assume without loss of generality b < a.

$$\begin{aligned} a &= q \cdot b + r \\ r_0 &= q_1 \cdot r_1 + r_2 \\ r_1 &= q_2 \cdot r^2 + r_3 \\ r_2 &= q_3 \cdot r_3 + r_4 \\ &\vdots \\ r_{n-3} &= q_{n-2} \cdot r_{n-2} + r_{n-1} \\ r_{n-2} &= q_{n-1} \cdot r_{n-1} + r_n \\ r_{n-1} &= q_n \cdot r_n + 0 \end{aligned}$$

Then, we have

$$\begin{split} gcd(a,b) &= r_n \\ &= r_{n-2} - q_{n-1} \cdot r_{n-1} \\ &= r_{n-2} - q_{n-1}(r_{n-3} - q_{n-2}r_{n-2}) \\ &= r_{n-2} - q_{n-1}(r_{n-3} - q_{n-2}r_{n-2}) \\ &= -q_{n-1} \cdot + (1 + q_{n-2}q_{n-1}) \cdot r_{n-2} \\ &\vdots \\ &= s \cdot r_0 + t \cdot r_1 \\ &= s \cdot a + t \cdot tb \end{split}$$

So we read the trace of Euclid's algorithm backward while keeping gcd(a,b) on the same side of the equality.

#### TBC.

**Lemma 6.0.2.** Let  $a,b,c \in \mathbb{Z}$  with  $a \neq 0$ . If gcd(a,b) = 1 and  $a \mid (bc)$ , then  $a \mid c$ .

*Proof.* Assume gcd(a,b)=1 and  $a\mid (bc)$ . By Bézout, there exist  $s,t\in\mathbb{Z}$  such that

$$s \cdot a + t \cdot b = \gcd(a, b) = 1$$
 
$$s \cdot a \cdot c + t \cdot b \cdot c = c \tag{*}$$

Since  $a \mid a$  and  $a \mid (bc)$ , we have

$$a \mid (s \cdot c \cdot a + t \cdot b \cdot c)$$

By Corollary 4.1.1. Then from (\*), this means

 $a \mid c$ 

# Applications of Bézout's Theorem

TBC.

# GCD and Modulo n, Multiplicative Inverses in Modulo n

**Lemma 8.0.1.** Let  $a, b, c \in \mathbb{Z}$ , with  $a \neq 0$ . If gcd(a, b) = 1, and  $a \mid (bc)$ , then  $a \mid c$ .

*Proof.* Seen last week.

**Theorem 8.0.1.** Let  $a, b, c, m \in \mathbb{Z}$ , with  $m \ge 2$ . Assume  $ac \equiv bc \pmod{m}$  and gcd(c, m) = 1. Then  $a \equiv b \pmod{m}$ .

*Proof.* Let  $a, b, c, m \in \mathbb{Z}$  with  $m \geq 2$ . Assume  $ac \equiv bc \pmod{m}$  and gcd(c, m) = 1.

$$\begin{array}{c} m \mid (ac-bc) & \text{(def of mod)} \\ m \mid (c(a-b)) & \\ m \mid (a-b) & \text{(by previous lemma)} \\ a \equiv b \pmod{m} & \text{(def of mod)} \end{array}$$

**Lemma 8.0.2.** Let p be a prime number and  $a_1, a_2, \ldots, a_n \in \mathbb{Z}$ . If  $p \mid (a_1 \cdot a_2 \cdot \cdots \cdot a_n)$ , then there exists  $1 \leq i \leq n$  such that  $p \mid a_i$ .

*Proof.* By induction on n.

- Base Case: n = 1. Let p be a prime number, if  $p \mid a_1$ , then  $p \mid a_1$
- Induction Hypothesis: Let  $k \ge 1$  be an integer. Suppose that for all integers  $a_1, a_2, \ldots, a_k$

$$p \mid (a_1 \cdot a_2 \cdot \ldots \cdot a_k) \implies \exists 1 \leq i \leq k \ s.t \ p \mid a_i$$

If  $p \mid a_{k+1}$ , then we're done. If not, then

$$gcd(p, a_{k+1}) = 1$$

So  $p \mid (a_1 \cdot a_2 \cdot \ldots \cdot a_k)$  by the previous lemma. By the induction hypthesis, ther exists  $1 \leq i \leq k$  such that  $p \mid a_i$ .

**Induction Step:** Suppose

$$p \mid (a_1 \cdot a_2 \cdot \ldots \cdot a_k \cdot a_{k+1})$$

**Theorem 8.0.2.** Let  $m \in \mathbb{Z}$  with  $m \geq 2$  and let  $a \in \mathbb{Z}_m$ . The multiplicative inverse of a  $(mod\ n)$  exists if and only if gcd(a, m) = 1. When it exists, the inverse of a  $(mod\ n)$  is unique.

*Proof.* Let  $m \in \mathbb{Z}$  with  $m \geq 2$  and  $a \in \mathbb{Z}_m$ 

( $\Longrightarrow$ ): Assume the multiplicative inverse of  $a \pmod n$  exists. Let  $\bar{a}$  be this inverse. By definition,

$$a \cdot \bar{a} \equiv 1 \pmod{m}$$
 
$$m \mid (a \cdot \bar{a} - 1)$$
 (def. of modulo)

Then,  $a \cdot \bar{a} - 1 = k \cdot m$  for some  $m \in \mathbb{Z}$ . Let d = gcd(a, m) Then d|a and d|m. By a result seen in class,

$$d \mid (\bar{a} \cdot a + (-k)m)$$
$$d \mid 1$$

So, d=1

( $\Leftarrow$ ): Assume gcd(a, m) = 1. By Bézout, there exists  $s, t \in \mathbb{Z}$  such that

$$s \cdot a + t \cdot m = qcd(a, m) = 1$$

$$s \cdot a + t \cdot m \equiv 1 \pmod{m}$$
$$s \cdot a + t \cdot 0 \equiv 1 \pmod{m}$$
$$s \cdot a \equiv 1 \pmod{m}$$

So, we can take  $\bar{a} \equiv s \pmod{m}$ 

(Uniqueness): Consider two arbitrary multiplicative inverses of  $a \pmod{m}$ . Denote them by,  $s, s' \in \mathbb{Z}_m$ . So by definition

$$sa \equiv 1 \pmod{m}$$
 and  $s'a \equiv 1 \pmod{m}$ 

Then gcd(a, m) = 1 by the previous proof, also we have

$$\begin{array}{lll} sa \equiv s'a \pmod{m} \\ m \mid (sa-s'a) & \text{(def. of modulo)} \\ m \mid (a(s-s')) & \\ m \mid (s-s') & \text{(since } \gcd(a,m)=1) \\ s \equiv s' \pmod{m} & \text{(def. of modulo)} \end{array}$$

Therefore, s and s' are the same in  $\mathbb{Z}_m$ .

Example: Find the multiplicative inverse of 101 (mod 4620).

#### **Euclid:**

$$4620 = 45 \cdot 101 + 75$$

$$101 = 1 \cdot 75 + 26$$

$$75 = 2 \cdot 26 + 23$$

$$26 = 1 \cdot 23 + 3$$

$$23 = 7 \cdot 3 + 2$$

$$3 = 1 \cdot 2 + 1$$

$$2 = 2 \cdot 1 + 0$$

#### Bézout:

$$\begin{split} 1 &= 3 - 1 \cdot 2 \\ 1 &= 3 - 1 \cdot (23 - 7 \cdot 3) \\ 1 &= 3 - 1 \cdot 23 + 7 \cdot 3 \\ 1 &= 8 \cdot 3 - 1 \cdot 23 \\ 1 &= -1 \cdot 23 + 8 \cdot 3 \\ 1 &= -1 \cdot 23 + 8 \cdot (26 - 1 \cdot 23) \\ 1 &= -1 \cdot 23 + 8 \cdot 26 - 8 \cdot 23 \\ 1 &= -9 \cdot 23 + 8 \cdot 26 \\ 1 &= 8 \cdot 26 - 9 \cdot 23 \\ 1 &= 8 \cdot 26 - 9 \cdot (75 - 2 \cdot 26) \\ 1 &= 8 \cdot 26 - 9 \cdot 75 + 18 \cdot 26 \\ 1 &= -9 \cdot 75 + 26 \cdot 26 \\ 1 &= -9 \cdot 75 + 26 \cdot (101 - 1 \cdot 75) \\ 1 &= -9 \cdot 75 + 26 \cdot 101 - 26 \cdot 75 \\ 1 &= 26 \cdot 101 - 35 \cdot (4620 - 45 \cdot 101) \\ 1 &= 26 \cdot 101 - 35 \cdot 4620 + 1575 \cdot 21 \\ 1 &= -35 \cdot 4620 + 1601 \cdot 101 \\ \end{split}$$

So,

```
-35 \cdot 4620 + 1601 \cdot 101 \equiv 1 \pmod{4620}-35 \cdot 0 + 1601 \cdot 101 \equiv 1 \pmod{4620}1601 \cdot 101 \equiv 1 \pmod{4620}101 \equiv 1601 \pmod{4620}
```

Therefore, the inverse of 101 in  $\mathbb{Z}_{4620}$  is 1601.

**Example:** Find the multiplicative inverses in  $\mathbb{Z}_{10}$ .

- $\bar{0}$  does not exist since  $gcd(0, 10) = 10 \neq 1$
- $\bar{1} \equiv 1 \pmod{10}$
- $\bar{2}$  does not exist since  $gcd(2,10)=2\neq 1$
- $\bar{3} \equiv 7 \pmod{10}$
- $\bar{4}$  does not exist since  $gcd(4,10) = 2 \neq 1$
- $\bar{5}$  does not exist since  $gcd(5,10) = 5 \neq 1$
- $\bar{6}$  does not exist since  $gcd(6,10)=2\neq 1$
- $\bar{7} \equiv 3 \pmod{10}$
- $\bar{8}$  does not exist since  $gcd(8,10) = 2 \neq 1$
- $\bar{9} \equiv 9 \pmod{10}$

This concludes the material for midterm 1.

# **Solving Congruences**

**Definition 9.0.1** (Linear Congruence).  $ax \equiv b \pmod{m}$ 

Example:

$$3x \equiv 5 \pmod{7}$$

$$x \equiv 0 \pmod{7}$$

$$x - 0 = 7k$$

**Question:** What is the multiplicative inverse of 3 (mod 7) So we have  $3x \equiv 5 \pmod{7}$ .

$$15x \equiv 25 \pmod{7}$$

$$x \equiv 4 \pmod{7}$$

$$3 \cdot 4 = 12 \equiv 5 \pmod{7}$$

#### 9.1 Linear Congruence System

Find x such that

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_n}$$

:

$$x \equiv a_n \pmod{m_n}$$

Example:

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 5 \pmod{7}$$

Try x = 68

$$68 \equiv 2 \ (mod \ 3)$$

$$68 \equiv 3 \pmod{5}$$

$$68 \equiv 5 \pmod{7}$$

So, x = 68 is a solution to the system.

#### 9.1.1 Substitution Method

$$x \equiv 2 \; (mod \; 3)$$

$$x = 3 \cdot t + 2$$

For some  $t \in \mathbb{Z}$ 

$$x \equiv \pmod{5}$$

$$3t + 2 \equiv 3 \pmod{5}$$

$$3t \equiv 1 \pmod{5}$$

Multiply 3x by the multiplicative inverse of 3 in  $\mathbb{Z}_5$ .

$$2 \cdot 3t \equiv 2 \cdot 1 \pmod{5}$$

$$t \equiv 2 \pmod{5}$$

$$t = 5u + 2 \pmod{5}$$

For an  $u \in \mathbb{Z}$ 

$$x = 3t + 2$$
$$t = 5u + 2$$

$$\implies x = ?$$

$$x = 3(5u + 2) + 2 = 15u + 8$$

$$15u + 8 \equiv 5 \pmod{7}$$

$$15u \equiv -3 \pmod{7}$$

$$15u \equiv 4 \pmod{7}$$

$$15u - 14u \equiv 4 \pmod{7}$$

$$u \equiv 4 \pmod{7}$$

So u = 7v + 4 for some  $v \in \mathbb{Z}$ . Thus,

$$x = 15u + 8$$

$$= 15(7v + 4) + 8$$

$$= 105v + 68$$

So,

$$105v + 68 \equiv 2 \pmod{3}$$
  
 $105v + 68 \equiv 3 \pmod{5}$   
 $105v + 68 \equiv 5 \pmod{7}$ 

#### Example:

$$x \equiv 1 \pmod{4}$$
$$x \equiv 3 \pmod{5}$$

Then x = 4t + 1 for some  $t \in \mathbb{Z}$ . Then from the second equation, we get

$$4t + 1 \equiv 3 \pmod{5}$$

$$4t + 1 - 1 \equiv 3 - 1 \pmod{5}$$

$$4t \equiv 2 \pmod{5}$$

$$4 \cdot 4t \equiv 4 \cdot 2 \pmod{5}$$

$$16t \equiv 8 \pmod{5}$$

$$16t \equiv 8 \pmod{5}$$

$$16t - 15t \equiv 8 - 5 \pmod{5}$$

$$t \equiv 3 \pmod{5}$$

Thus, t = 5u + 3 for some  $u \in \mathbb{Z}$ . So x = 20u + 13 is a solution to the system.

$$20u + 13 \equiv 1 \pmod{4}$$
  
 $20u + 13 \equiv 3 \pmod{5}$ 

Question: Are there systems that admit no solution? Consider

$$x \equiv 2 \; (mod \; 4)$$

$$x \equiv 3 \pmod{6}$$

So x = 4t + 2 for some  $t \in \mathbb{Z}$ 

$$4t + 2 \equiv 3 \pmod{6}$$

$$4t \equiv 1 \pmod{6}$$

But, 4 does not have a multiplicative inverse in  $\mathbb{Z}_6$  since  $gcd(4,6) \neq 1$ .

**Theorem 9.1.1** (Chinese Remainder Theorem). Let  $m_1, m_2, \ldots, m_r \in \mathbb{Z}$  be pairwise co-prime integers such that  $m_i \geq 2$  for  $1 \leq i \leq r$ 

#### **Definition 9.1.1** (Pairwise Co-prime). $gcd(m_i, m_j) = 1$

Let  $a_1, a_2, \ldots, a_r \in \mathbb{Z}$ , then the system

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

$$\vdots$$

$$x \equiv a_r \pmod{m_r}$$

admits a unique solution ( $mod\ m_1 \cdot m_2 \cdots m_r$ ). In other words, the solution exists and is unique in  $\mathbb{Z}_{m_1 \cdot m_2 \cdots m_r}$ 

Consider the system

$$x \equiv 2 \pmod{3}$$
$$x \equiv 3 \pmod{5}$$
$$x \equiv 5 \pmod{7}$$

So we have  $\mathbb{Z}_{3.5.7} = \mathbb{Z}_{105}$ ,  $68 \in \mathbb{Z}_{105}$  and x = 105u + 68.

### Fermat's Theorem

**Theorem 10.0.1** (Fermat's Theorem). Let  $p, a \in \mathbb{Z}$  such that p is prime, then

1.

$$a^p \equiv a \pmod{p}$$

2. If gcd(a, p) = 1, then  $a^{p-1} \equiv 1 \pmod{p}$ 

#### Example:

$$1534^{2016} \pmod{2017}$$

2017 is prime and 1534 < 2017, so  $\gcd(1534,2017) = 1$  and 1534  $^{2016} \equiv 1 \pmod{2017}$ 

*Proof.* For (2), we need to usthe following property

$$1 \cdot a, 2 \cdot a, 3 \cdot a, \ldots, (p-1) \cdot a$$

are all different (mod p). Consider  $s, e \in \{1, 2, \dots, p-1\}$  such that

$$ra \equiv sa \pmod{p}$$

Since gcd(a, p) = 1, we can divide both sides by a to get

$$r \equiv s \pmod{p}$$

Then since r, s < p, then r = s"

# Intro to Cryptography

### **Asympotic Notation**

#### 13.1 Big-O Notation

The *O-notation* describes an asymptoic upper bound.

Definition 13.1.1. Let

$$f: \mathbb{N} \to \mathbb{R}^+$$
$$q: \mathbb{N} \to \mathbb{R}^+$$

be two functions. We say that f is O(g) if there exists a real number c > 0 and  $k \in \mathbb{N}$  such that for all  $n \geq k$ ,

$$f(n) \le c \cdot g(n)$$

**Notation:** 

$$f(n) \le c \cdot g(n)$$
 
$$f = O(g)$$
 
$$\exists c \exists k \forall n (n \ge k \implies f(n) \le c \cdot g(n))$$

**Domain:**  $k, n \in \mathbb{N}, c \in \mathbb{R}^+ \setminus \{0\}$ 

**Example:**  $13x^3 + 12x^2 + 5 = O(x^3)$ . We have

$$13x^3 + 12x^2 + 5 \le 13x^3 + 12x^3 + 5x^2 = 30x^3$$

Take c = 30 and k = 1. So

$$13x^3 + 12x^2 + 5 \le 30 \cdot x^3$$

for all  $x \ge 1$ . Therefore  $13x^3 + 12x^2 + 5 = O(x^3)$ .

**Example:**  $x^2 = O\left(\frac{1}{2}x^2 - 10x\right)$ . We have

$$x^2 \le 2\left(\frac{1}{2}x^2 - 10x\right)$$

Now we want

$$x^2 \ge 40x$$

so that that  $x^2 - 40x$  is positive. So

Then,

$$x^{2} = 2x^{2} - x^{2}$$

$$\leq 2x^{2} - 40x$$

$$= 4\left(\frac{1}{2}x^{2} - 10x\right)$$

So take c=4 and k=40. Then  $x^2=O\left(\frac{1}{2}x^2-10x\right)$  for all  $x\geq 40$ .

**Proposition 13.1.1.** Let a > 0 and b > 0. be two rael numbers. We have

$$log^a(x) = O(x^b)$$

*Proof.* Let a > 0 and b > 0 be two real numbers. We'll use that fact that  $\forall x \geq 0$ , we have  $x \leq e^x$ . From which, we have  $\log(x) \leq x$ . Let x be an integer. We have, by the previous property,

$$\log(x^{\frac{b}{a}}) \le x^{\frac{b}{a}}$$

$$\frac{b}{a}\log(x) \le x^{\frac{b}{a}}$$

$$\left(\frac{b}{a}\right)^{a}\log^{a}(x) \le x^{b}$$

$$\log^{a}(x) \le \left(\frac{a}{b}\right)^{a}x^{b}$$

So we take  $c = \left(\frac{a}{b}\right)^a$  and k = 1.

#### 13.2 Big-Omega Notation

The  $\Omega$ -notation describes an asymptotic lower bound.

Definition 13.2.1. Let

$$f: \mathbb{N} \to \mathbb{R}^+$$
$$g: \mathbb{N} \to \mathbb{R}^+$$

be two functions. We say that f is  $\Omega(g)$  if there exists a real number c > 0 and  $k \in \mathbb{N}$  such that for all  $n \geq k$ ,

$$f(n) \ge c \cdot g(n)$$

Notation:

$$f(n) = \Omega(g(n))$$
 
$$f = \Omega(g)$$
 
$$\exists c \exists k \forall n (n \ge k \implies f(n) \ge c \cdot g(n))$$

**Domain:**  $k, n \in \mathbb{N}, c \in \mathbb{R}^+ \setminus \{0\}$ 

**Example:**  $13x^3 + 12x^2 + 5 = \Omega(x^3)$ .

$$13x^3 + 12x^2 + 5 > 13x^3$$

Take c = 13 and k = 0. So  $13x^3 + 12x^2 + 5 = \Omega(x^3)$ .

**Example:**  $x^2 = \Omega(\frac{1}{2}x^2 - 10x)$ .

$$x^{2} \ge \frac{1}{2}x^{2}$$

$$\ge \frac{1}{2}x^{2} - 10x$$

$$= 1 \cdot \left(\frac{1}{2}x^{2} - 10x\right)$$

Take c = 1 and k = 0. So  $x^2 = \Omega\left(\frac{1}{2}x^2 - 10x\right) \forall x \ge k$ .

**Proposition 13.2.1.** Let f(n) and g(n) be two functions.

$$f(n) = O(g(n)) \iff g(n) - \Omega(f(n))$$

*Proof.* ( $\Longrightarrow$ ) Let f(n) and g(n) be two functions. Assume f(n) = O(g(n)). Then there exists c > 0 and  $k \in \mathbb{N}$  such that for all  $n \ge k$ , we have  $f(n) \le c \cdot g(n)$ . So,

$$f(n) \le c \cdot g(n)$$

given that  $n \geq g(n)$ , then

$$g(n) \ge \frac{1}{c}f(n)$$

 $(\longleftarrow)$  The proof follows the same.

#### 13.3 Big-Theta Notation

The  $\Theta$ -notation describes an asymptoic upper and lower bound.

Definition 13.3.1. Let

$$f: \mathbb{N} \to \mathbb{R}^+$$
$$g: \mathbb{N} \to \mathbb{R}^+$$

be two functions. We say that f is  $\Theta(g)$  if there exists a real number  $c_1 > 0$ ,  $c_2 > 0$  and  $k \in \mathbb{N}$  such that for all  $n \geq k$ . In otherwords,

$$f(n) = O(g(n))$$
 and  $f(n) = \Omega(g(n))$ 

Notation:

$$f(n) = \Theta(g(n))$$
$$f = \Theta(g)$$

**Proposition 13.3.1.** Let f(n) and g(n) be two functions.  $f(n) = \Theta(g(n))$  if and only if f(n) = O(g(n)) and  $g(n) = \Omega(f(n))$ .

Proof.

$$f(n) = \Theta(g(n)) \iff f(n) = O(g(n)) \text{ and } f(n) = \Omega(g(n))$$

By the definition of theta, so

$$g(n) = \Omega(f(n))$$
 and  $g(n) = O(f(n))$ 

From the previous proposition, then

$$g(n) = \Theta(f(n))$$

### Recursivity

**Lemma 14.0.1.** Let  $F_n = F_{n-1} + F_{n-2}$  denote the nth term of the Fibonacci sequence with  $F_0 = 0$  and  $F_1 = 1$ . And let  $\alpha = \frac{\sqrt{5}+1}{2}$  (golden ratio). Then  $\forall n \geq 3$ ,

$$F_n > \alpha^{n-2}$$

Proof. By induction,

**Note:** 
$$\alpha^2 = \left(\frac{\sqrt{5}+1}{2}\right)^2 = \frac{5+2\sqrt{5}+1}{4} = \frac{\sqrt{5}+3}{2} = \frac{\sqrt{5}+1}{2} + 1 = \alpha + 1$$

• Base case: n = 3. Then  $F_3 = F_2 + F_1 = 1 + 1 = 2$ . We have

$$3 > \sqrt{5}$$

$$4 > \sqrt{5} + 1$$

$$2 > \frac{\sqrt{5} + 1}{2}$$

$$F_3 > \frac{\sqrt{5} + 1}{2}^2 = \alpha^{3-2}$$

For n = 4,  $F_4 = F_3 + F_2 = 2 + 1 = 3$ . We have

$$2>\alpha$$
 
$$2+1>\alpha+1 \hspace{1cm} \text{(From Note)}$$
 
$$3>\alpha^2$$
 
$$F_4>\alpha^2=\alpha^{4-2}$$

• Induction Hypothesis: Let  $k \ge 4$  be an integer. Assume  $F_i > \alpha^{i-2}$  for all  $3 \le i \le k$ .

#### • Induction Step:

$$F_{k+1} = F_k + F_{k-1}$$
 (By def.)  

$$> \alpha^{k-2} + \alpha^{(k-1)-2}$$
 (By IH)  

$$= \alpha^{k-2} + \alpha^{k-3}$$
  

$$= \alpha^{k-3}(\alpha^1 + 1)$$
  

$$= \alpha^{k-3}(\alpha^2)$$
 (From Note)  

$$= \alpha^{k-1}$$
  

$$= \alpha^{(k+1)-2}$$

**Theorem 14.0.1** (Lamé). Let  $a, b \in \mathbb{Z}$  such that  $a \geq b > 0$ . Euclid's algorithm takes  $O(\log(b))$  steps.

*Proof.* Let  $a, b \in \mathbb{Z}$  such that  $a \geq b > 0$ . Euclid's algorithm performs the following devisions:

$$\begin{array}{lll} a = q \cdot b + r & 0 \le r < b \\ r_0 = q_1 \cdot r_1 + r_2 & 0 \le r_2 < r_1 \\ r_1 = q_2 \cdot r_2 + r_3 & 0 \le r_3 < r_2 \\ \vdots & \vdots & \vdots \\ r_{n-2} = q_{n-1} \cdot r_{n-1} + r_n & 0 \le r_n < r_{n-1} \\ r_{n-1} = q_n \cdot r_n + r_{n+1} & 0 \le r_{n+1} < r_n \end{array}$$

We have

- $r_n = \gcd(a, b)$
- $q_i \ge 1$   $1 \le i \le n-1$
- $q_n \geq 2$
- $\bullet$  n is the number of divisons performed by Euclid's algorithm

Therefore, 
$$r_n = \gcd(a, b) \ge 1 = F_2$$
 
$$r_{n-1} = q_n \cdot r_n \ge 2 \cdot 1 = 2 = F_3$$
 
$$r_{n-2} = q_{n-1} \cdot r_{n-1} + r_n \ge 1 \cdot F_3 + F_2 = F_4$$
 
$$r_{n-3} = q_{n-2} \cdot r_{n-2} + r_{n-1} \ge 1 \cdot F_4 + F_3 = F_5$$
 
$$\vdots$$
 
$$r_2 = q_3 \cdot r_3 + r_4 \ge 1 \cdot F_{n-1} + F_{n-2} = F_n$$
 
$$b = r_1 = q_2 \cdot r_2 + r_3 \ge 1 \cdot F_n + F_{n-1} = F_{n+1}$$

Sp  $b \ge F_{n+1} > \alpha^{(n+1)-2}$  by the previous lemma.

$$b > \alpha^{n-1}$$

$$\log(b) > \log(\alpha^{n-1})$$

$$\log(b) > n - 1\log(\alpha)$$

$$\log(b) > (n-1)\log\left(\frac{\sqrt{5}+1}{2}\right)$$

$$\log(b) > (n-1)\frac{2}{5}$$

$$\frac{5\log(b)}{2} + 1 > n$$

Therefore the number of steps  $n < 1 + \frac{5}{2}\log(b) < \log(b) + \frac{5}{2}\log(b) = \frac{7}{2}\log(b)$   $\forall b > 3$ . So  $n = O(\log(b))$ .

The Fibonnaci recurrence is an example of a linear homogenous recurrence of order k.

$$a_n = c_1 \cdot a_n n - 1 + c_2 \cdot a_{n-2} + \ldots + c_k \cdot a_{n-k}$$

In general, a solution of the form

$$a_n = r^n$$

will work for some  $r \in \mathbb{R}$ 

$$r^{n} = c_{1} \cdot r^{n-1} + c_{2} \cdot r^{n-2} + \ldots + c_{k} \cdot r^{n-k}$$

Divide by  $r^{n-k}$ ,

$$r^{k} = c_{1} \cdot r^{k-1} + c_{2} \cdot r^{n-2} + \dots + c_{k}$$
$$r^{k} - c_{1} \cdot r^{k-1} + c_{2} \cdot r^{n-2} + \dots + c_{k} = 0$$

This is known as the characteristic equation. The solutions to this equation are called the *characteristic roots*.

**Example:**  $a_n = 1 \cdot a_{n-1} + 2 \cdot a_{n-2}$ . Characteristic Equation:

$$r^{2} - 1 \cdot r - 2 = 0 \implies (r+1)(r-1) = 0$$

So we have the roots  $r_1 = -1$  and  $r_2 = 1$ . So,

$$(-1)^n = 1 \cdot (-1)^{n-1} + 2(-1)^{n-2}$$
$$2^n = 1 \cdot 2^{n-1} + 2 \cdot 2^{n-2}$$

Moreover, for all  $\alpha, \beta \in \mathbb{R}$ , we have

$$(\alpha(-1)^n + \beta \cdot 2^n) = 1 \cdot (\alpha \cdot (-1)^{n-1} + \beta \cdot 2^{n-1}) + 2 \cdot (\alpha(-1)^{n-2} + \beta \cdot 2^{n-2})$$

Any linear combintion works.

**Example:**  $F_0 = 0$ ,  $F_1 = 1$ ,  $F_n = F_{n-1} + F_{n-2}$ . Charactereristic Equation:

$$r^2 - r - 1 = 0$$
$$r = \frac{1 \pm \sqrt{5}}{2}$$

So,

$$F_n = \alpha \left(\frac{1+\sqrt{5}}{2}\right)^n + \beta \left(\frac{1-\sqrt{5}}{2}\right)^n$$

is a solution for any  $\alpha, \beta \in \mathbb{R}$ . Now we can find  $\alpha, \beta$  to match the base cases.

$$F_0 = \alpha \left(\frac{1+\sqrt{5}}{2}\right)^0 + \beta \left(\frac{1-\sqrt{5}}{2}\right)^0 = 0 \implies \alpha + \beta = 0$$
$$F_1 = \alpha \left(\frac{1+\sqrt{5}}{2}\right)^1 + \beta \left(\frac{1-\sqrt{5}}{2}\right)^1 = 1$$

So,  $\beta = -\alpha$ . Then,

$$\alpha \left( \frac{1 + \sqrt{5}}{2} \right) - \alpha \left( \frac{1 - \sqrt{5}}{2} \right) = 0$$

$$\alpha \left( \left( \frac{1 + \sqrt{5}}{2} \right) - \left( \frac{1 - \sqrt{5}}{2} \right) \right) = 1$$

$$\alpha \sqrt{5} = 1$$

$$\alpha = \frac{1}{\sqrt{5}}$$

Sos  $\beta = -\alpha = -\frac{1}{\sqrt{5}}$ . Therefore,

$$F_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

## Recursivity Continued

Let us consider the special case where some characteristic roots are repeated. We only focus on the case of order k=2.

$$a_n = c_1 \cdot a_{n-1} + c_2 \cdot a_{n-2}$$

Charactereristic Equation:

$$1 \cdot r^2 - c_1 \cdot r - c_2 = 0$$

Since the roots are repeated, we have

$$(r-t)^2 = 0 \rightarrow r^2 - 2rt + t^2 = 0$$

For some  $t \in \mathbb{R}$ . So  $c_1 = 2t$ ,  $c_2 = -t^2 = \frac{-c_1^2}{4}$ . And the repeated root is  $t = \frac{c}{2}$ .

For any  $\alpha, \beta \in \mathbb{R}$ , the general solution is

$$a_n = \alpha \left(\frac{c_1}{2}\right)^n + \left(\beta \cdot n \cdot \frac{c_1}{2}\right)^n$$

Indeed we have

$$\left(\alpha\left(\frac{c_1}{2}\right)^n + \beta \cdot n \cdot \left(\frac{c_1}{2}\right)^n\right) = c_1 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-1} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-1}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-1} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-1} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-1} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-1} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-1} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_1 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\beta \cdot (n-1) \cdot \frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2} + \left(\alpha\left(\frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2}\right) + c_2 \cdot \left(\alpha\left(\frac{c_1}{2}\right)^{n-2}$$

**Example:**  $a_0 = 1$ ,  $a_1 = 6$ ,  $a_n = 6a_{n-1} - 9a_{n-2}$  for  $n \ge 2$ . Charactereristic Equation

$$r^2 - 6r + 9 = 0 \rightarrow (r - 3)^2 = 0$$

So

$$a_n = \alpha \cdot 3^n + \beta \cdot n \cdot 3^n$$

For some  $\alpha, \beta \in \mathbb{R}$ . We have

$$a_n = \alpha \cdot 3^0 + \beta \cdot 0 \cdot 3^0 = 1$$

$$a_n = \alpha \cdot 3^1 + \beta \cdot 1 \cdot 3^1 = 6$$
$$\alpha = 1$$
$$3\alpha + 3\beta = 6$$

So  $\alpha = 1$ , and  $\beta = 1$ , so

$$a_n = 1 \cdot 3^n + 1 \cdot n \cdot 3^n = (n+1) \cdot 3^n$$

**Example:**  $a_0 = 1$ ,  $a_1 = 1$ ,  $a_n = 4a_{n-1} - 4 \cdot a_{n-2}$  for  $n \ge 2$ . Charactereristic Equation:

$$r^2 - 4r + 4 = 0$$
$$(r - 2)^2 = 0$$

So

$$a_n = \alpha \cdot 2^n \beta \cdot n \cdot 2^n$$

for some  $\alpha, \beta \in \mathbb{R}$ . We have

$$a_0 = \alpha \cdot 2^0 + \beta \cdot 0 \cdot 2^0 = 0$$

$$a_1 = \alpha \cdot 2^1 + \beta \cdot 1 \cdot 2^1 = 1$$

Then  $\alpha = 0$ ,  $2\alpha + 2\beta = 1$ . So alpha = 0,  $\beta = \frac{1}{2}$ . So

$$a_n = 0c\dot{2}^n + \frac{1}{2} \cdot n \cdot 2^n = n \cdot 2^{n-1}$$

**Example:** Let S be the set defined recursively by

- $3 \in S$
- If  $x, y \in S$ , then  $x + y \in S$

So we can take x = 3, y = 3, then  $3 + 3 \in S$  and so on.

Conjecture: Let  $E = \{3, 6, 9, ...\}$  and S = E.

*Proof.* We will prove  $S \subseteq E$  and  $E \subseteq S$ .

 $S \subseteq E$  By induction,

- Base Case:  $3 \in S$  and  $3 \in E$
- Inductive Hypothesis: Let  $x, y \in S$ . Assume  $x \in E$ , and  $y \in E$ .
- Induction Step: We have  $x+y \in S$  by definition. We want to show  $x+y \in E$ . Since  $x,y \in E$  (from the induction hypthesis), then  $x=3k,\ y=3l$  For some  $k,l \in \mathbb{Z}$  with  $k,l \geq 1$ . So  $x+y=3k+3l=3(k+l) \in E$ .

 $E \subseteq S$  By induction,

• Base Case:  $3 \in E$  and  $3 \in S$ 

- Inductive Hypothesis: Let  $m \in E$ . Assume that  $m \in S$
- Induction Step: We want to prove that  $m+3 \in S$ . By definition,  $3 \in S$ . By the induction hypothesis,  $m \in S$ . From the definition of S,  $m+3 \in S$ .

Example: Find a rexursvie definition for the set

$$E = \{0, \frac{1}{2}, \frac{2}{3}, \frac{3}{4}, \frac{4}{5}, \ldots\}$$

How do we get  $\frac{k+1}{k+2}$  from  $\frac{k}{k+1}$ ?

If  $x = \frac{k}{k+1}$ , then we have

$$kx + x = k \implies x = k(1 - x)$$

$$\frac{x}{1 - x} = k$$

$$\frac{k + 1}{k + 2} = \frac{\frac{x}{1 - x} + 1}{\frac{x}{1 - x} + 2} = \frac{\frac{x}{1 - x} + \frac{1 - x}{1 - x}}{\frac{x}{1 - x} + \frac{2 - 2x}{1 - x}}$$

$$= \frac{\frac{1}{1 - x}}{\frac{2 - x}{1 - x}}$$

$$= \frac{1}{2 - x}$$

Conjecture:

- $0 \in S$
- If  $x \in S$ , then  $x + \frac{1}{2-x} \in S$

*Proof.* We want to prove E = S, so we will prove  $E \subseteq S$  and  $S \subseteq E$ .

 $E \subseteq S$  By induction,

- Base Case:  $0 \in E$ , and  $0 \in S$ .
- Inductive Hypothesis: Let  $x \in E$ . Assume  $x \in S$ .
- Induction Step: Since  $x \in E$ ,  $x = \frac{k}{k+1}$ , for an integer  $k \ge 0$ . We want to show

$$\frac{k+1}{k+2} \in S$$

We know  $x \in S$  by the induction hypothesis. By the definition of S,

$$\frac{1}{2-x} \in S$$

So,

$$\frac{1}{2-x} = \frac{1}{2-\frac{k}{k+1}} = \frac{k+1}{2(k+1)-k} = \frac{k+1}{k+2} \in S$$

 $S \subseteq E$  By induction,

- Base Case:  $0 \in S$ , and  $0 \in E$ .
- Inductive Hypothesis: Let  $x \in S$ . That is, assume

$$x = \frac{k}{k+1}$$

for some integer  $k \ge 0$ 

• Induction Step: We want to prove that

$$\frac{1}{2-x} \in E$$

We have

$$\frac{1}{2-x} = \frac{1}{2 - \frac{k}{k+1}}$$
 (By the IH.) 
$$= \frac{k+1}{2(k+1) - k}$$
 
$$= \frac{k+1}{(k+1) + 1} \in E$$

#### 15.1 K-ary Trees

**Definition 15.1.1.** A complete k-ary tree with height h and root r is defined recursively by

- ullet An isolated node r is a complete k-ary tree with height 0 and root r
- Let h≥ 0. Let T<sub>i</sub> be a complete k-ary tree with height h and root r<sub>i</sub> with
   1 ≤ i ≤ k, and let r be an isolated node. The graph obtained by adding
   the edges {r, r<sub>i</sub>} is a complete tree with heigh h + 1 and root r.

# K-Ary Trees

**Example: Ternary Trees** 

# Graphs

**Definition 18.0.1.** A graph G is made of a non-empty set V of vertices (nodes) together with a set E of edges. Each edge in E is an unordered pair  $u, v \subseteq V$  with  $u \neq v$ . We write G = (V, E). Graphs without loops and parallel edges are said to be simple.

#### Important Note: All graphs this semester are simple.

We say that u is adjacent to v (u and v are neighbors) if  $\{u, v\}$  is an edge. An edge e is said to be incident to u if one of the two endpoints of e is u. The degree of a vertex  $u \in V$  is the number of edges incident u.

**Theorem 18.0.1** (Handshaking Lemma). Let G = (V, E) be a graph.

$$\sum_{u \in V} deg(u) = 2|E|$$

*Proof.* Look at an abritrary edge  $u, v \in E$ . Each edge is counted twice.

**Theorem 18.0.2.** Let G = (V, E) be a graph. Then G has an even number of vertices with an odd degree.

*Proof.* By contradiction. Let  $V_{even}$  denote the set of vertices of G with an even degree, and  $V_{odd}$  denote the set of vertices of G with an odd degree. So

$$V_{even} \cap V_{odd} = \emptyset$$
$$V_{even} \cup V_{odd} = V$$

For a contradiction, assume  $|V_{odd}|$  is odd. Then

$$\begin{aligned} 2|E| &= \sum_{u \in V} \deg(u) & \text{(Handshaking Lemma)} \\ &= \sum_{u \in V_{even}} \deg(u) + \sum_{u \in V_{odd}} \deg(u) \\ &= 2k + 2l + 1 \\ &= 2(k+l) + 1 \end{aligned}$$

**Example:** Can you find a graph with 5 verices with degrees 1,2,3,3,3? Yes, since by the previous theorem, we have an even number of vertices with an odd degree.

**Example:** Can you find a graph with 5 vertices with degrees 1,2,2,3,3? No, since by the previous theorem, we have an odd number of vertices with odd degree.

**Definition 18.0.2.** A path in a graph G = (V, E) is a sequence of vertices  $v_0, v_1, \ldots, v_n$  such that  $\{v_i, v_{i+1}\} \in E$  for all  $0 \le i \le n-1$ . A path can also be described as a sequence of the n-1 edges. The vertices  $v_0$  and  $v_n$  are the endpoints of the path and n is its length.

**Definition 18.0.3.** If there is a path with endpoints  $u, w \in V$ , we say that v and w are connected. If any two vertices of a graph are connected, then we say that the graph is connected.

# More on Graphs

**Definition 20.0.1** (Cycles). A cycle is a sequence of vertices  $v_0, v_1, v_2, \ldots, v_{l-1}, v_0$  such that  $v_0, v_1, v_2, \ldots, v_{l-1}$  is a path and  $\{v_0, v_1\}, \{v_1, v_2\}, \ldots, \{v_{l-2}, v_{l-1}\}, \{v_{l-1}, v_0\}$  are disstict edges. The length of this cycle is l

**Note:** Cycles of length 0,1,2 are now allowed by this definition.

**Definition 20.0.2** (Walks). A walk is a path where we allow vertices to be repeated. A closed walk is a cycle where we allow vertices to be repeated.

**Definition 20.0.3** (Subgraph). Let G = (V, E) be a graph. A subgraph H of G, denoted by  $H \subseteq G$ , is a graph H = (V', E'), where  $V' \subseteq V$  and  $E' \subseteq E$ .

**Definition 20.0.4** (Connectedness). A connected component of G is a subgraph of G consisting of

- All vertices that are connected to a given vertex.
- Together with all edges incident to them.

**Definition 20.0.5** (Forests). A forest is a graph that has no cycle. A tree is a connect forest. A leaf in a forest is a vertex of degree 1.

**Theorem 20.0.1.** Let G = (V, E) be a graph. Let n = |V| and m = |E|. If G is a forest, then n > m and G has n - m connected components (trees).

*Proof.* By induction on m.

- Base Case: m = 0. If a forest has no edges, then n > 0 = m. Moreover, each vertex is its own connected component, so there are exactly n = n 0 = n m connected components.
- Induction Hypothesis: Let  $k \ge 0$  be an integer. Assume that for all graphs G with n vetices and k edges, if G is a forest, then n > k and G has n k connected componenets.

• Induction Step: Let G be a forest with n vertices and k+1 edges. Remove an arbitrary edge  $e = \{a, b\}$  from G without modifying the vertices. Removing e from G does not create a cycle, so the resulting graph G' is a forest with n vertices and k edges. By the induction hypothesis, n > k and G' has n - k connected components.

Observe that a and b cannot both belong to the same connected component of G'. Otherwise, the path from a to b would create a cycle in G and so G would not be a forest. So, a and b are in two different connected components of G'. So,

$$n - k \ge 2 \implies n \ge 2 + k = 1 + (1 + k) > k + 1$$

If we put e back in G, this connects the two connected components for a and b together. So G has

$$(n-k) - 1 = n - (k+1)$$

connected components, as required.

Corollary 20.0.1. Let G = (V, E) be a tree. Then

$$n = |V| = |E| + 1 = m + 1$$

*Proof.* A tree is a forest with 1 connected component. By the previous theorem, n-m=1, so n=m+1.

**Definition 20.0.6** (Spanning Tree). A spanning tree of a connected graph G is a subgraph of G that includes all vertices of G and that is a tree.

# Spanning Trees Bipartite Graphs

**Theorem 21.0.1.** Every connected graph graph G = (V, E) has a spanning tree

*Proof.* Let G = (V, E) be a connected graph. By induction on the m = |E|.

- Base Case: m = 0. For G to be connected graph, it must contain a single vertext v. Then v itself is a spanning tree.
- Induction Hypothesis: Let  $k \geq 0$  be an integer. Assume that all connected graphs with k edges have a spanning tree.
- Induction Step: Let G be a connected graph with k+1 edges. We consider two cases.
  - Case 1: G is a tree, then it is its own spanning tree.
  - Case 2: If G is not a tree, since G is connected, then G has a cycle. Remove an edge  $e = \{a, b\}$  from this cycle. We get a graph G' that is connected. Indeed, if a path uses e, we can reroute it along the other edges of the cycle. So G' is connected and it has k edges. By the induction hypothesis, G' has a spanning tree T. T covers all vertice of G', so it covers all vertices of G, so T is a spanning tree of G.

This gives us a way to build a spanning tree; If G is a tree, then it is its own spanning tree. Otherwise, find a cycle, remove an edge from this cycle, and recursively find a spanning tree.

**Corollary 21.0.1.** Every graph with n vertices and m edges has at least n-m connected components.

*Proof.* Let G be a graph with n vertices and m edges. By the previous theorem, every connected component of G has a spanning tree. Let F be the union of these spanning trees. Then F is a forest with n vertices, and  $m' \leq m$ . Moreover, the number of connected components in F is the same as in G. So G has  $n-m' \geq n-m$  connected components.

We say that two sets S and T partition a set E if

- $S \neq \emptyset$
- $T \neq \emptyset$
- $S \cup T = E$
- $S \cap T =$

We say that a graph G = (V, E) is bipartite if V can be partitioned into two sets A and B such that each edge has one endpoint in A and one endpoint in B.

# Bipartite Graphs

#### **Note:** Final Exam Topics

- All lectures and all exercises
- 2 hour Exam
- 6 long answer questions and **nothing** Examples
- Study notes and exercises!

**Lemma 22.0.1.** let G = (V, E) be a bipartite graph with partition (A, B). Then

$$\sum_{v \in A} \deg(v) = \sum_{v \in B} \deg(V)$$

*Proof.* In the proof of the handshaking lemma, we saw that each edge in

$$\sum_{v \in V} \deg(v)$$

is counted twice. Since each edge has one endpoint in A and one endpoint in B, the equality follows.

**Lemma 22.0.2.** Let G = (V, E) be a graph. If G has a closed walk of odd length, then G has a cycle of odd length.

*Proof.* By induction on the length l of the closed walk.

- Base Case: l = 1. Closed walks of length 1 do not exist. So the base case holds trivially.
- Induction Hypothesis: Let  $K \in \mathbb{Z}$  be an odd integer. Assume that if a graph has a closed walk of odd length at most K, then it has a cycle of odd length.

• Induction Step: Let G = (V, E) be a graph having a closed walk of length k + 2 (which is odd). Let

$$v_0, v_1, v_2, \dots v_{k+1}, v_0$$

be this closed walk. If all  $v_i$ 's are different then this is a cycle with odd length. Otherwise, two vertices must be repeated, say  $v_i$  and  $v_j$ 

$$v_0, v_1, v_2, \dots, v_{i-1}, v_i, \dots v_{j-1}, v_j, \dots, v_k, v_{k+1}$$

Consider the closed walks

$$v_i, v_{i+1}, \dots, v_{j-1}, v_j = v_i$$

$$v_i, v_{i+1}, \dots, v_{k+1}, v_0, v_1, \dots, v_{i-1}, v_i = v_i$$

Since the length of the walk is k+2, we have the length of the first walk is less than k+2 and the second walk as well. Since k+2 is odd, then either the first walk or the second walk has odd length. By the induction hypothesis, one of these walks is a cycle. Therefore G has a cycle of odd length.

**Theorem 22.0.1.** A graph is bipartite if and only if it has no odd cycles.

*Proof.* ( $\Longrightarrow$ ) Assume G is bipartite with partition  $V=A\cup B$ . If G has no cycle, then it is bipartite. Otherwise, let

$$v_0, v_1, v_2, \ldots, v_{l-1}, v_0$$

be a cycle of length  $l \geq 3$ . We will show that l is even. Without loss of generality, assume  $v_0 \in A$ . Since  $v_0 \in A$ , we must have  $v_1 \in B$ , then  $v_2 \in A$ , and so on. So  $v_{l-1} \in A$  if l-1 is even and  $v_{l-1} \in B$  if l-1 is odd. Since  $\{v_{l-1}, v_0\}$  is an edge of the cycle,  $v_{l-1}$  must be in B. So l-1 is odd, and l is even.

(  $\iff$  ) Assume G has no cycle of odd length. We want to prove that G is bipartite. Consider two cases where G is connected and where G is not connected.

• Case 1: G is connected. We need to build A and B. Let  $v_0$  be an arbitrary vertext. Let

 $A = \{w \in V : \text{ there is a path of even length between } v_0 \text{ and } w\}$ 

 $B = \{w \in V : \text{ there is a path of odd length between } v_0 \text{ and } w\}$ 

We will show that A and B are disjoint and that  $A \cup B = V$ , so A and B partition V. Since G is connected, every vertex is connected to  $v_0$ , so every vertex belongs to either A or B. We want to show that A and B

are disjoint. For a contradiction, suppose  $u \in A \cap B$ . Since  $v \in A$ , there is a path of even length

$$v_0, v_1, \ldots, v_s = u$$

where s is even. Since  $v \in B$ , there is a path of odd length between  $v_0$  and v. The reverse path also has odd length.

$$u = v_s, v_{s+1}, v_{s+2} \dots, v_{s+t} = v_0$$

where t is odd. Now

$$v_0, v_1, \dots, v_s, v_{s+1}, \dots, v_{s+t} = v_0$$

is a closed walk of length s+t where s+t is odd. By the previous lemma, there is a cycle of odd length. This is a contradiction. So  $A \cap B = \emptyset$ . So A, B is a partition of V. It remains to show that all edges have one endpoint in A and one endpoint in B. For a contradiction, suppose there is an edge  $\{u,w\}$  with both endpoints in A. Then there is a path of even length from u to  $v_0$  and a path of even length from  $v_0$  to  $v_0$ . If  $v_0$  are different, then this is a cycle of even length then take the edge  $v_0$  we get an odd length. by the previous lemma, there is a cycle of odd length. Thus, a contradiction.

• Case 2: G is not connected. Then we can apply case (1) to each connected component C of G. For each C, we get a partition  $(A_c, B_c)$ . It remains to take

$$A = \bigcup_{C \in G} A_C$$
 and  $B = \bigcup_{C \in G} B_C$ 

So G is bipartite.

# Matchings

**Definition 23.0.1** (Matching). A matching in a graph G = (V, E) is a subset of  $M \subseteq E$  where no pair of edges share a vertex.

#### Example:

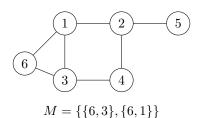


$$M = \{\{a, b\}, \{c, d\}\}\$$

 $M = \emptyset$  is a matching.

**Definition 23.0.2** (Maximum Matching). A maximum matching if it contains the greatest number of edges possible.

#### Example:



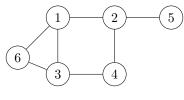
This is not a maximum matching because we could add the edge  $\{1,2\}$  to get a matching with 3 edges. So

$$M = \{\{6, 3\}, \{6, 1\}, \{2, 1\}\}$$

This matching is maximum.

**Definition 23.0.3** (Perfect Matchings). A matching is perfect if it matches all vertices.

#### Example:



$$M = \{\{6,3\},\{6,1\},\{2,1\}\}$$

is a perfect matching. In general, if a graph has an odd number of vertices, it cannot have a perfect matching.

**Theorem 23.0.1.** Let G = (V, E) be a graph whose set of edges is the union of two matchings. Then G is bipartite.

*Proof.* Let  $M_1$  and  $M_2$  be the two matchings such that  $E = M_1 \cup M_2$ . We have to prove that G does not have a cycle of odd length. By the previous theorem, this implies that G is bipartite. For a contradiction, let

$$v_0, v_1, v_2, \dots, v_{l-1}, v_0$$

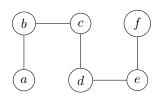
be a cycle of odd length. The edge  $\{v_0, v_1\}$  is in  $M_1$  or  $M_2$ . Without loss of generality, assume that  $\{v_0, v_1\} \in M_1$ . Then  $\{v_1, v_2\} \in M_2$  otherwise  $M_1$  would not be a matching. Then  $\{v_2, v_3\} \in M_1$  and so on. So we have

$$\{v_i, v_{i+1}\} \in M_1$$
 if  $i$  is even

$$\{v_i, v_{i+1}\} \in M_2 \text{ if } i \text{ is odd}$$

Then,  $\{v_{l-2}, v_{l-1} \in M_2\}$  since l-2 is odd, and  $\{v_{l-1}, v_0\} \in M_1$  since l-1 is even. But, the edge  $\{v_0, v_1\}$  in  $M_1$  shares an edge with  $\{v_{l-1}, v_0\}$  in  $M_1$ . This is a contradiction since  $M_1$  is a matching.

#### Example:



$$M_1 = \{\{a, b\}, \{c, d\}, \{e, f\}\}\$$
  
 $M_2 = \{\{b, c\}, \{d, e\}\}\$ 

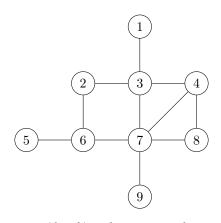
 $M_1 \cup M_2$  is equal to the set of edges. So this graph is bipartite.

# More on Bipartite Graphs and Matchings

**Definition 24.0.1** (Neighbour Set). Let G = (V, E) be a graph. Let  $S \subseteq V$ . The neighbour set of S, denoted N(S) is the set of vertices having at least one neighbour in S.

$$N(S) = \{v \in V | \{v, s\} \text{ is an edge for some } s \in S\}$$

#### Example:



$$N(\{3,4\}) = \{1,2,3,4,7,8\}$$
 
$$N(\{1\}) = \{3\}$$
 
$$N(\{2,3,4\}) = \{1,2,3,4,5,6,7,8,9\}$$

**Theorem 24.0.1** (Hall's Theorem). Let G = (V, E) be a bipartite graph with partition (A, B). There exists a matching that matches all vertices in A if and only for every  $S \subseteq A$ . We have  $|N(S)| \ge |S|$ .

*Proof.* ( $\Longrightarrow$ ) Suppose all vertices in A can be matched. Let  $S \subseteq A$  be a subset. We need to show that  $|N(S)| \ge |S|$ . For every  $s \in S$ , let v be its partner in the matching. All these v's are different. So there are |S| of them. They are all neighbours of vertices in S. So they are in N(S). Therefore,  $|N(S)| \ge |S|$ .

( $\Leftarrow$ ) Assume that for every subset  $S \subseteq A$ , we have  $|N(S)| \ge |S|$ . We want to prove that all vertices in A can be matched. By indunction,

- Base Case: n = |A| = 1. Then  $|N(A)| \ge |A| = 1$ . So the unique vertex in A has a neighbour in B and it can be matched.
- Induction Hypothesis: Let  $K \ge 1$  be an integer. Assume that for all bipartite graphs G with partition (A, B) where  $|A| \le K$ , if  $|N(S)| \ge |S|$  for all  $S \subseteq A$ , then all vertices in A can be matched.
- Induction Step: Let G be a bipartite graph with partition (A, B) where |A| = k+1. Consider two cases where for every  $X \subset A$ , we have  $|N(X)| \ge |X| + 1$ , and there exists a subset  $X \subset A$  such that

$$|N(X) < |X| + 1 \implies |N(X)| = |X|$$

- Case 1: Let  $a \in A$  and match it with an arbitrary neighbour  $b \in B$ . Remove a and b from G. Now for every proper subset  $X \subset A$ , we have  $|N(X)| \geq |X|$ . By the induction hypothesis, all vertices in  $A \setminus \{a\}$  can be matched. So all vertices in A can be matched.
- Case 2: Let  $X \subset A$  be a proper subset such that |N(X)| = |X|. Observe that all subsets  $X' \subseteq X$  are subsets of A, and  $|N(X')| \ge |X'|$ . So by the induction hypothesis, all vertices in X can be matched. Remove all vertices in X and N(X) from G. Suppose we can show that for all subsets  $Y \subseteq A \setminus X$ , Y has at least |Y| neighbours in  $B \setminus N(X)$ , then we apply the induction hypothesis to match all vertices in  $A \setminus X$ . Combining the two matchings will give us a matching that matches all vertices in A. To prove our supposition, suppose for a contradiction that there exists a subset  $Y \subseteq A \setminus X$  that has less than |Y| neighbours in  $B \setminus N(X)$ . Then the vertices in  $X \cup Y$  have less than |N(X)| + |Y| neighbours in G. Then

$$\begin{split} |N(X \cup Y)| &< |N(X)| + |Y| \\ &= |X| + |Y| \\ &= |X \cup Y| \\ &\leq |N(X \cup Y)| \end{split}$$

Which is a contradiction.