Mohammed Mia

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PROFESSIONAL EXPERIENCE

AML RightSource   **Remote**

Financial Crimes Investigator   Dec 2022 – Current

Client Experience through AML RightSource

- **Clear Fork Bank –** *AML Program Remediation & Senior Analyst* (Most Recent)
  - Supported a regulatory remediation engagement for a regional bank under heightened regulatory scrutiny, helping design AML investigation workflows, escalation standards, and SAR narrative structure from the ground up while resolving ~75 alerts, 20 high-risk/escalated cases weekly.
  - Tasked as the most senior hands-on analyst on the engagement, training junior analysts, assisting QC with backlog remediation, completing SAR filings, and performing high-risk KYC/EDD reviews in a zero-template environment.
- **Charles Schwab –** *SAR Analyst*
  - Investigated ~20–35 escalated AML cases weekly in a high-volume brokerage environment, performing EDD reviews, transaction pattern analysis, and drafting SAR narratives aligned with Schwab-specific risk typologies.
- **UBS –** *KYC Analyst*
  - Reviewed ~15–25 client profiles weekly across private and institutional banking segments, completing KYC/CDD/EDD reviews and coordinating remediation with relationship management and compliance teams.
- **City National Bank –** *NN/PEP KYC Analyst*
  - Conducted enhanced due diligence on ~250 high-risk criminal/PEP client weekly false positives, performing adverse media research, sanctions screening, and mitigation narrative development aligned with regulatory expectations.
- **Simmons Bank –** *SAR Investigator/AML Analyst*
  - Analyzed ~15–30 continuing SAR cases per week involving commercial and retail accounts, tracing flow of funds, identifying behavioral patterns, and documenting AML typologies for SAR escalation.
- **Block Inc.** *– Fintech AML Analyst*
  - Performed transaction monitoring and FINTRAC STR filing for ~200 fintech alerts weekly, leveraging Excel-based analysis to support STR filings with FINTRAC for cross-border activity.
- **Northwest Bank –** *AML Analyst*
  - Conducted ongoing transaction monitoring and alert resolution (~60 alerts/week), utilizing internal monitoring systems and Excel-based analysis to identify suspicious activity and support case documentation.

BMO Harris Bank   **Phoenix, Arizona**
Bank Teller   February 2022 – July 2022

- • Delivered financial solutions and knowledge to customers and clients.
- • Reviewed customer profiles and engaged customers in a needs-based conversation.
- • Processed financial transactions such as deposits, withdrawals, and transfers accurately and efficiently.

SKILLS

AML & Financial Crime Platforms	Compliance & AML Expertise	Data & Analytical Tools
- Actimize	- SAR writing & Regulatory Narratives	- Excel (Pivot Tables, Lookups)
- Verafin	- Enhanced Due Diligence (EDD)	- SQL (case review support)
- WireXchange	- PEP, MSB, Cross border Reviews	- Python (customer mismatch logic)
- Regulator	- KYC/CDD	- VBA (workflow automation)
- Transaction Monitoring & Alert Systems	- Typology Identification	- Tableau
- SAR / STR Case Management Systems		

EDUCATION

Bachelor of Science: Business Data Analytics | Arizona State University | December 2025