# 2.1

#### **Extreme Values of Functions**

This section shows how to locate and identify extreme values of a continuous function from its derivative. Once we can do this, we can solve a variety of *optimization problems* in which we find the optimal (best) way to do something in a given situation.

### **DEFINITIONS** Absolute Maximum, Absolute Minimum

Let f be a function with domain D. Then f has an **absolute maximum** value on D at a point c if

$$f(x) \le f(c)$$
 for all  $x$  in  $D$ 

and an **absolute minimum** value on D at c if

$$f(x) \ge f(c)$$
 for all  $x$  in  $D$ .

### Local (Relative) Extreme Values

Figure 2.1 shows a graph with five points where a function has extreme values on its domain [a, b]. The function's absolute minimum occurs at a even though at e the function's value is

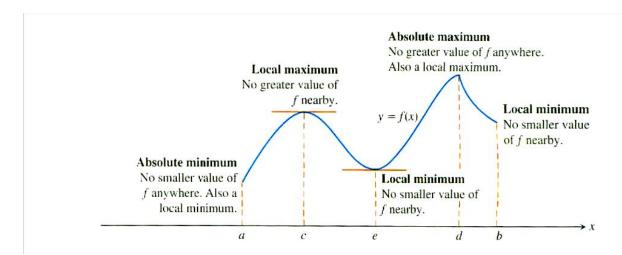


Figure 2.1: How to classify maxima and minima

# **EXAMPLE 1** Exploring Absolute Extrema

The absolute extrema of the following functions on their domains can be seen in Figure 4.2.

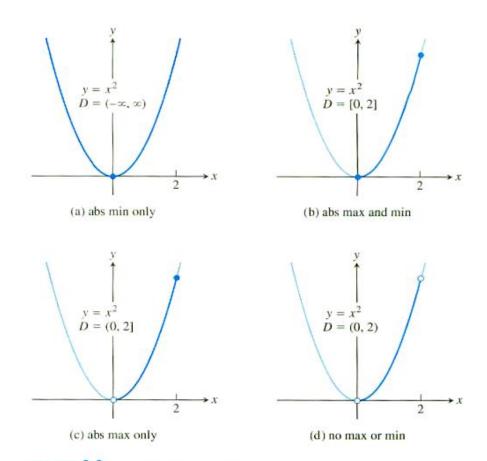


FIGURE 2.2 Graphs for Example 1.

Function rule	Domain D	Absolute extrema on D
(a) $y = x^2$	$(-\infty, \infty)$	No absolute maximum. Absolute minimum of 0 at $x = 0$ .
<b>(b)</b> $y = x^2$	[0, 2]	Absolute maximum of 4 at $x = 2$ . Absolute minimum of 0 at $x = 0$ .
(c) $y = x^2$	(0, 2]	Absolute maximum of 4 at $x = 2$ . No absolute minimum.
<b>(d)</b> $y = x^2$	(0, 2)	No absolute extrema.

smaller than at any other point *nearby*. The curve rises to the left and falls to the right around c, making f(c) a maximum locally. The function attains its absolute maximum at d.

#### **DEFINITIONS** Local Maximum, Local Minimum

A function f has a **local maximum** value at an interior point c of its domain if

$$f(x) \le f(c)$$
 for all x in some open interval containing c.

A function f has a **local minimum** value at an interior point c of its domain if

$$f(x) \ge f(c)$$
 for all x in some open interval containing c.

We can extend the definitions of local extrema to the endpoints of intervals by defining f to have a **local maximum** or **local minimum** value at an endpoint c if the appropriate inequality holds for all x in some half-open interval in its domain containing c. In Figure 2.1, the function f has local maxima at c and d and local minima at a, e, and b. Local extrema are also called **relative extrema**.

An absolute maximum is also a local maximum. Being the largest value overall, it is also the largest value in its immediate neighborhood. Hence, a list of all local maxima will automatically include the absolute maximum if there is one. Similarly, a list of all local minima will include the absolute minimum if there is one.

# Finding Extrema

The next theorem explains why we usually need to investigate only a few values to find a function's extrema.

## THEOREM 2 The First Derivative Theorem for Local Extreme Values

If f has a local maximum or minimum value at an interior point c of its domain, and if f' is defined at c, then

$$f'(c) = 0.$$

Secant slopes  $\geq 0$  (never negative)

Secant slopes  $\leq 0$  (never positive)

FIGURE 2.3 A curve with a local maximum value. The slope at c, simultaneously the limit of nonpositive numbers and nonnegative numbers, is zero.

#### **DEFINITION** Critical Point

An interior point of the domain of a function f where f' is zero or undefined is a **critical point** of f.

# How to Find the Absolute Extrema of a Continuous Function f on a Finite Closed Interval

- 1. Evaluate f at all critical points and endpoints.
- 2. Take the largest and smallest of these values.

#### **EXAMPLE 2** Finding Absolute Extrema

Find the absolute maximum and minimum values of  $f(x) = x^2$  on [-2, 1].

**Solution** The function is differentiable over its entire domain, so the only critical point is where f'(x) = 2x = 0, namely x = 0. We need to check the function's values at x = 0 and at the endpoints x = -2 and x = 1:

Critical point value: f(0) = 0

Endpoint values: f(-2) = 4

f(1) = 1

The function has an absolute maximum value of 4 at x = -2 and an absolute minimum value of 0 at x = 0.

#### **EXAMPLE 3** Finding Absolute Extrema on a Closed Interval

Find the absolute maximum and minimum values of  $f(x) = 10x(2 - \ln x)$  on the interval  $[1, e^2]$ .

Solution the Figure suggests that f has its absolute maximum value near x = 3 and its absolute minimum value of 0 at  $x = e^2$ .

We evaluate the function at the critical points and endpoints and take the largest and smallest of the resulting values.

The first derivative is

$$f'(x) = 10(2 - \ln x) - 10x \left(\frac{1}{x}\right) = 10(1 - \ln x).$$

The only critical point in the domain  $[1, e^2]$  is the point x = e, where  $\ln x = 1$ . The values of f at this one critical point and at the endpoints are

Critical point value: f(e) = 10e

Endpoint values:  $f(1) = 10(2 - \ln 1) = 20$ 

 $f(e^2) = 10e^2(2 - 2 \ln e) = 0.$ 

We can see from this list that the function's absolute maximum value is  $10e \approx 27.2$ ; it occurs at the critical interior point x = e. The absolute minimum value is 0 and occurs at the right endpoint  $x = e^2$ .

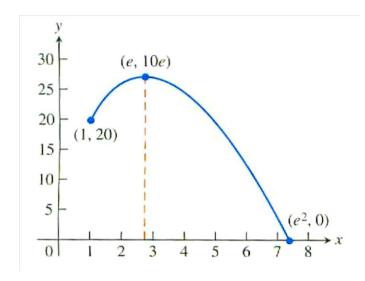


Figure for example 3

### Exercise 2.1

• Find the absolute maximum and minimum of each function on the given interval:

1. 
$$f(x) = 4x^2 - 12x + 10$$
 on [1, 2]

2. 
$$f(x) = 8x - x^2$$
 on  $[0, 6]$ 

3. 
$$f(x) = 2x^3 + 3x^2 - 12x$$
 on [-3, 2]

4. 
$$f(x) = 2x^3 - 6x + 2$$
 on  $(-\infty, \infty)$ 

5. 
$$f(x) = 4x^3 - 3x^4$$
 on  $(-\infty, \infty)$ 

• Find the extreme values of the following functions and identify where they occur:

$$(1) f(x) = 2x^2 - 8x + 9$$

(2) 
$$f(x) = x^3 - 3x^2 + 3x - 2$$

$$(3) f(x) = \frac{x}{1+x^2}$$

$$(4) \ f(x) = x \ ln(x)$$