

## CSE 548: (Design and) Analysis of Algorithms

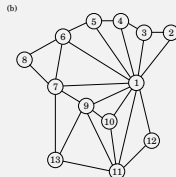
## Graphs

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## Overview

- Graphs provide a concise representation of a range problems
- **Map coloring** – more generally, resource contention problems
- **Networks** — communication, traffic, social, biological, ...



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## Definition and Representations

A **graph**  $G = (V, E)$ , where  $V$  is a set of vertices, and  $E$  a set of edges. An edge  $e$  of the form  $(v_1, v_2)$  is said to span vertices  $v_1$  and  $v_2$ . The edges in a **directed graph** are directed.

A  $G' = (V', E')$  is called a **subgraph** of  $G$  if  $V' \subseteq V$  and  $E'$  includes every edge in  $E$  between vertices in  $V'$ .

## Adjacency matrix

A graph  $(V = \{v_1, \dots, v_n\}, E)$  can be represented by an  $n \times n$  matrix  $a$ , where  $a_{ij} = 1$  iff  $(v_i, v_j) \in E$

## Adjacency list

Each vertex  $v$  is associated with a linked list consisting of all vertices  $u$  such that  $(v, u) \in E$ .

Note that adjacency matrix uses  $O(n^2)$  storage, while adjacency list uses  $O(|V| + |E|)$  storage. Both can represent directed as well as undirected graphs.

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## Depth-First Search (DFS)

- A technique for traversing all vertices in the graph
- Very versatile, forms the linchpin of many graph algorithms

$dfs(V, E)$

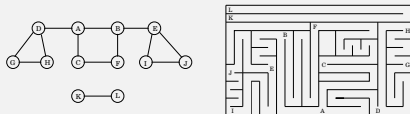
```
foreach  $v \in V$  do  $visited[v] = false$ 
foreach  $v \in V$  do
  if not  $visited[v]$  then  $explore(V, E, v)$ 
```

$explore(V, E, v)$

```
 $visited[v] = true$ 
previsit( $v$ ) /*A placeholder for now*/
foreach  $(v, u) \in E$  do
  if not  $visited[u]$  then  $explore(G, V, u)$ 
postvisit( $v$ ) /*Another placeholder*/
```

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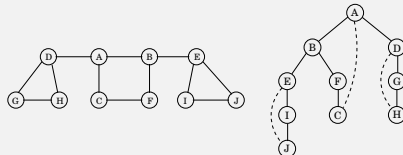
## Graphs, Mazes and DFS



If a maze is represented as a graph, then DFS of the graph amounts to an exploration and mapping of the maze.

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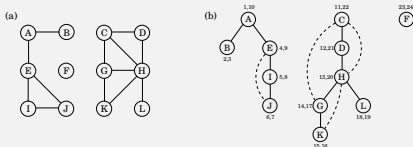
## A graph and its DFS tree



DFS uses  $O(|V|)$  space and  $O(|E| + |V|)$  time.

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## DFS and Connected Components



A **connected component** of a graph is a maximal subgraph where there is path between any two vertices in the subgraph, i.e., it is a maximal **connected subgraph**.

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## DFS Numbering

Associate pre and post numbers with each visited node by defining *previsit* and *postvisit*

*previsit*( $v$ )

$pre[v] = \text{clock}$   
 $\text{clock}++$

*postvisit*( $v$ )

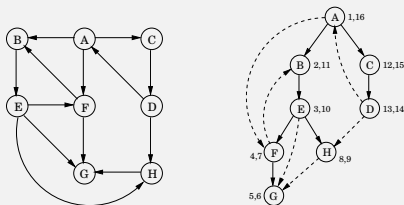
$post[v] = \text{clock}$   
 $\text{clock}--$

### Property

For any two vertices  $u$  and  $v$ , the intervals  $[pre[u], post[u]]$  and  $[pre[v], post[v]]$  are either disjoint, or one is contained entirely within another.

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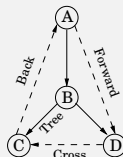
## DFS of Directed Graph



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## DFS and Edge Types

## DFS tree



pre/post ordering for $(u, v)$				Edge type
[	[	]	]	Tree/forward
u	u'	v'	u	
[	[	]	]	Back
v'	u	u	v'	
[	]	[	]	Cross
v'	v'	u	u	

*No cross edges in undirected graphs!*  
Back and forward edges merge

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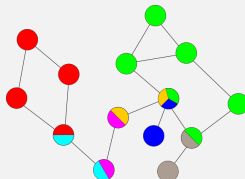
## Biconnectivity in Undirected Graphs

## Definition (Biconnected Components)

- An **articulation point** in a graph is any vertex  $a$  that lies on every path from between vertices  $v, w$ .
- A **biconnected** graph contains no articulation points.
- A **biconnected component** of a graph is a maximal subgraph that is biconnected.

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## Illustration of Biconnected Components



- Each biconnected component given a different color
- Articulation points have multiple colors

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## Biconnected Graphs

- Biconnected components are *not* disjoint.
- Articulation points are duplicated across them.
- *Articulation points  $\equiv$  single-points of failure*  
Graph is disconnected if any of them go down.
- Between any two  $u, v \in V$  in a biconnected graph there are two node-disjoint paths (and hence a cycle).

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## Finding articulation points during DFS

During DFS visit of vertex  $v$ , we want to decide if it is an articulation point or not. Divide  $V$  into to disjoint sets:

- $V_i$  includes nodes *inside* the DFS tree rooted at  $v$ , and
- $V_o = V - V_i$  of nodes *outside* the DFS tree rooted at  $v$ .

### Observation (1)

*Suppose that every inside node  $v_i$  (i.e.,  $v_i \in V_i - \{v\}$ ) can reach some outside node  $v_o$  (i.e.,  $v_o \in V_o$ ) without going through  $v$ . Then  $v$  is **not** an articulation point.*

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## Finding articulation points during DFS (2)

### Proof:

**Paths within  $V_o$ :** By properties of DFS tree, any two vertices in  $V_o$  can reach each other without going through  $v$

**Paths between  $v_i$  and  $V_o$ :** Once  $v_i$  can reach any node in  $V_o$ , it can reach all other nodes in  $V_o$  without having to go through  $v$ .

**Paths within  $V_i$ :** Consider nodes  $v_i$  and  $v'_i$  in  $V_i - \{v\}$ . By our assumption there is a path from  $v_i$  to some  $v_o \in V_o$ , and another path from  $v'_i$  to some  $v'_o \in V_o$ , neither involving  $v$ . Consider the path  $v_i$  to  $v_o$  to  $v'_o$  to  $v'_i$ , which does not pass through  $v$ .

Thus, for every pair of vertices, there is a path between them that avoids  $v$ , and hence  $v$  is *not* an articulation point.

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## Finding articulation points during DFS (3)

### Observation (2)

*If Observation (1) does not hold then  $v$  is an articulation point.*

This means that there exists some  $v_i$  that cannot reach an outside node  $v_o$  without going through  $v$ . By definition, this means that  $v$  is an articulation point.

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## Finding articulation points during DFS (3)

We combine and slightly strengthen Observations (1), (2), while omitting the proof, as it is essentially the same as before.

### Observation (3)

Let  $Y$  denote the set of ancestors of  $v$  and  $X$  its immediate children in the DFS tree. Now,  $v$  is not an articulation point iff each  $x \in X$  has a path to some  $y \in Y$  without going through  $v$ .

- By focusing on just the children and ancestors of  $v$ , this makes it easier to decide on articulation points during DFS.
- *Note that any such path from  $x$  to  $y$  will follow zero or more tree edges down, followed by a back edge.*

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## Finding articulation points during DFS (4)

*Note that any such path from  $x$  to  $y$  will follow zero or more tree edges down, followed by a back edge.*

- If this path bypasses  $v$ , this is going to occur due to a single back-edge that goes to an ancestor of  $v$ . So there is no need to consider paths with multiple back-edges.
- Note: Pre-number increases while following tree edges down, while it decreases when a back edge is followed.

**Key Idea:** During DFS, for each vertex  $x$ , maintain the highest ancestor that can be reached from  $x$  by following tree edges down and then a back edge.

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## Finding articulation points during DFS (5)

**Key Idea:** During DFS, maintain the highest ancestor reachable from  $x$  by following tree edges down and then a back edge.

- This info is maintained in the array *low*.
- $low[x] \leq low[x']$  for every child  $x'$  of  $x$  in DFS tree.
  - This captures the fact that we can follow the tree edge down from  $x$  to  $x'$ , then go to whichever ancestor is reachable from  $x'$ .
  - Algorithmically, let  $low[x] = \min(low[x], low[x'])$  when *explore*( $x'$ ) returns
- $low[x] \leq pre[x'']$  for  $x''$  adjacent to  $x$  but not a parent or child in the DFS tree.
  - Algorithmically, set  $low[x] = \min(low[x], pre[x''])$
  - By properties of DFS,  $x''$  is either a descendant or ancestor of  $x$ .
    - As we are taking *min*, statement effective only if  $x''$  is an ancestor.

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## Finding articulation points during DFS (6)

**Key Idea:** Maintain *low* during DFS

- when visiting  $x$ , initialize  $low[x]$  to  $pre[x]$ .
- when a DFS call on a child  $x'$  of  $x$  returns, check if  $low[x'] \geq pre[x]$ .
  - If so, the highest ancestor  $x'$  can reach is not higher than  $x$ , i.e.,  $x'$  cannot go to ancestors of  $x$  without going through  $x$ .
  - So, mark  $x$  as articulation point
- If an adjacent vertex  $x''$  is already visited when  $x$  considers it, set  $low[x] = \min(low[x], pre[x''])$  unless  $x''$  is parent of  $x$

All these can be done during a DFS, while increasing the cost of each step by only a constant amount — so, overall complexity is  $O(|E| + |V|)$

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## Directed Acyclic Graphs (DAGs)

A directed graph that contains no cycles.

Often used to represent (acyclic) dependencies, partial orders,...

### Property (DAGs and DFS)

- A directed graph has a cycle iff its DFS reveals a back edge.
- In a dag, every edge leads to a vertex with lower post number.
- Every dag has at least one source and one sink.

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## Strongly Connected Components (SCC)

Analog of connected components for undirected graphs

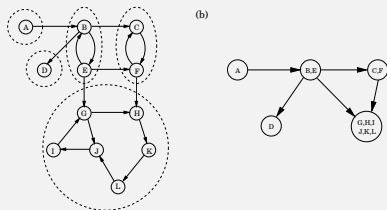
### Definition (SCC)

- Two vertices  $u$  and  $v$  in a directed graph are connected if there is a path from  $u$  to  $v$  and vice-versa.
- A directed graph is strongly connected if any pair of vertices in the graph are connected.
- A subgraph of a directed graph is said to be an SCC if it is a maximal subgraph that is strongly connected.

SCCs are also similar to biconnected components!

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## SCC Example



The textbook describes an algorithm for computing SCC in linear-time using DFS.

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## Breadth-first Search (BFS)

- Traverse the graph by "levels"
  - $BFS(v)$  visits  $v$  first
  - Then it visits all immediate children of  $v$
  - then it visits children of children of  $v$ , and so on.
- As compared to DFS, BFS uses a queue (rather than a stack) to remember vertices that still need to be explored

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## BFS Algorithm

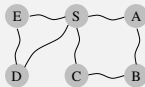
$bfs(V, E, s)$

```

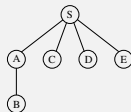
foreach  $u \in V$  do  $visited[u] = false$ 
 $q = \{s\}; visited[s] = true$ 
while  $q$  is nonempty do
   $u = deque(q)$ 
  foreach edge  $(u, v) \in E$  do
    if not  $visited[v]$  then
       $queue(q, v); visited[v] = true$ 
  
```

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## BFS Algorithm Illustration



Order of visitation	Queue contents after processing node
S	[S]
A	[A C D E]
C	[C D E B]
D	[D E B]
E	[E B]
B	[ ]



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## Shortest Paths and BFS

BFS automatically computes shortest paths!

$bfs(V, E, s)$

```

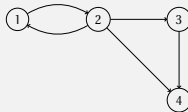
foreach  $u \in V$  do  $dist[u] = \infty$ 
 $q = \{s\}; dist[s] = 0$ 
while  $q$  is nonempty do
   $u = deque(q)$ 
  foreach edge  $(u, v) \in E$  do
    if  $dist[v] = \infty$  then
       $queue(q, v); dist[v] = dist[u] + 1$ 
  
```

But not all paths are created equal! We would like to compute shortest weighted path — a topic of future lecture.

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## Graph paths and Boolean Matrices

A graph and its boolean matrix representation



$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

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## Graph paths and Boolean Matrices

- Let  $A$  be the adjacency matrix for a graph  $G$ , and  $B = A \times A$ . Now,  $B_{ij} = 1$  iff there is path in the graph of length 2 from  $v_i$  to  $v_j$
- Let  $C = A + B$ . Then  $C_{ij} = 1$  iff there is path of length  $\leq 2$  between  $v_i$  and  $v_j$
- Define  $A^* = A^0 + A^1 + A^2 + \dots$ . If  $D = A^*$  then  $D_{ij} = 1$  iff  $v_j$  is reachable from  $v_i$ .

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$A^2 = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$A^3 = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

## Shortest paths and Matrix Operations

- Redefine operations on matrix elements so that  $+$  becomes  $\min$ , and  $*$  becomes integer addition.
- $D = A^*$  then  $D_{ij} = k$  iff the shortest path from  $v_i$  to  $v_j$  is of length  $k$