# **Combinatorial Search**

- permutations
- backtracking
- counting
- subsets
- paths in a graph

#### Overview

Exhaustive search. Iterate through all elements of a search space.

Applicability. Huge range of problems (include intractable ones).



Caveat. Search space is typically exponential in size  $\Rightarrow$  effectiveness may be limited to relatively small instances.

Backtracking. Systematic method for examining feasible solutions to a problem, by systematically pruning infeasible solutions.

#### Warmup: enumerate N-bit strings

Goal. Process all  $2^N$  bit strings of length N.

- Maintain a[i] where a[i] represents bit i.
- Simple recursive method does the job.

```
0 0 0 0
                                                    0 0 0
                                                                   0 0 1
                                                    0 0 1
                                                                   0 1 0
                                                      0 0
// enumerate bits in a[k] to a[N-1]
                                                                   0 1 1
                                                    0 1 0
private void enumerate(int k)
                                                                   1 0 0
                                                    0 1 1
                                                                   1 0 1
                                                    0 1 0
  if (k == N)
                                                                   1 1 0
                                                    0 0 0
  { process(); return; }
                                                                 0 1 1 1
                                                    1 0 0
  enumerate(k+1);
                                                                   0 0 0
                                                    1 0 1
  a[k] = 1;
                                                                   0 0 1
                                                    1 0 0
                                                    1 1 0
                                                                   0 1 0
  enumerate(k+1);
                                                    1 1 1
                                                                   0 1 1
                         clean up
  a[k] = 0;
                                                    1 1 0
                                                                   1 0 0
                                                                   1 0 1
                                                    1 0 0
                                                                 1 1 1 0
                                                    0 0 0
                                                                 1 1 1 1
```

N = 3

N = 4

a[0]

a[N-1]

Remark. Equivalent to counting in binary from 0 to  $2^N - 1$ .

#### Warmup: enumerate N-bit strings

```
public class BinaryCounter
  private int N; // number of bits
   private int[] a; // a[i] = ith bit
   public BinaryCounter(int N)
      this.N = N;
      this.a = new int[N];
      enumerate(0);
  private void process()
      for (int i = 0; i < N; i++)
         StdOut.print(a[i]) + " ";
      StdOut.println();
   private void enumerate(int k)
```

if (k == N)

a[k] = 1;

a[k] = 0;

enumerate(k+1);

enumerate(k+1);

{ process(); return; }

```
all programs in this
lecture are variations
on this theme
```

```
public static void main(String[] args)
{
   int N = Integer.parseInt(args[0]);
   new BinaryCounter(N);
}
```

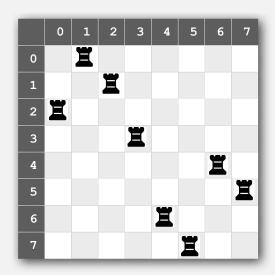
```
% java BinaryCounter 4
0 0 0 0
0 0 0 1
0 0 1 0
0 0 1 1
0 1 0 0
0 1 0 1
0 1 1 0
0 1 1 1
1 0 0 0
1 0 0 1
1 0 1 0
1 0 1 1
1 1 0 0
1 1 0 1
1 1 1 0
1 1 1 1
```

# **→** permutations

- backtracking
- counting
- subsets
- paths in a graph

#### N-rooks problem

Q. How many ways are there to place N rooks on an N-by-N board so that no rook can attack any other?



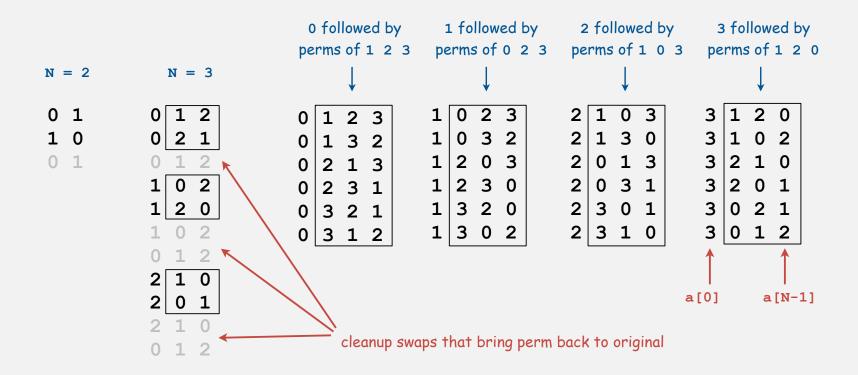
Representation. No two rooks in the same row or column  $\Rightarrow$  permutation.

Challenge. Enumerate all N! permutations of 0 to N-1.

#### Enumerating permutations

#### Recursive algorithm to enumerate all N! permutations of size N.

- Start with permutation a[0] to a[N-1].
- For each value of i:
  - Swap a[i] into position 0
  - enumerate all (N-1)! permutations of a[1] to a[N-1]
  - clean up (swap a[i] back to original position)



#### Enumerating permutations

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- For each value of i:
  - Swap a[i] into position 0
  - enumerate all (N-1)! permutations of a[1] to a[N-1]
  - clean up (swap a[i] back to original position)

```
% java Rooks 4
    1 2 3
    1 3 2
    2 1 3
            o followed by
    2 3 1
            perms of 1 2 3
    3 2 1
    3 1 2
    0 2 3
    0 3 2
            1 followed by
            perms of 0 2 3
    2 3 0
    3 2 0
    3 0 2
    1 3 0
    0 1 3
            2 followed by
            perms of 1 0 3
    0 3 1
    3 0 1
    1 2 0
    1 0 2
    2 1 0
            3 followed by
            perms of 1 2 0
    2 0 1
    0 2 1
    0 1 2
a[0]
       a[N-1]
```

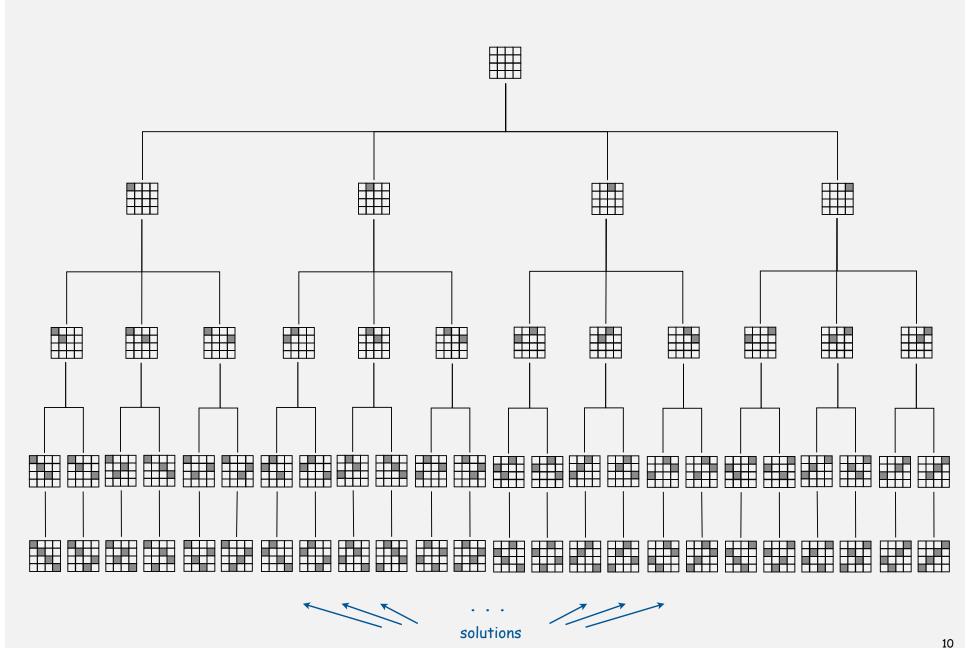
#### Enumerating permutations

```
public class Rooks
   private int N;
   private int[] a; // bits (0 or 1)
   public Rooks(int N)
      this.N = N;
      a = new int[N];
      for (int i = 0; i < N; i++)
                                     initial
         a[i] = i;
                                   permutation
      enumerate(0);
   private void enumerate(int k)
   { /* see previous slide */ }
   private void exch(int i, int j)
   { int t = a[i]; a[i] = a[j]; a[j] = t; }
   public static void main(String[] args)
      int N = Integer.parseInt(args[0]);
      new Rooks(N);
```

```
% java Rooks 2
0 1
1 0

% java Rooks 3
0 1 2
0 2 1
1 0 2
1 2 0
2 1 0
2 0 1
```

#### 4-rooks search tree



#### N-rooks problem: back-of-envelope running time estimate

Slow way to compute N!.



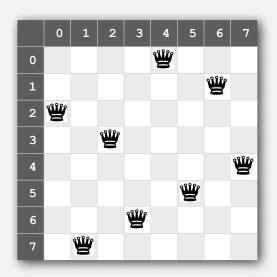
Hypothesis. Running time is about 2(N! / 8!) seconds.

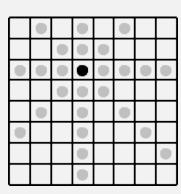
## → permutations

- ▶ backtracking
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#### N-queens problem

Q. How many ways are there to place N queens on an N-by-N board so that no queen can attack any other?



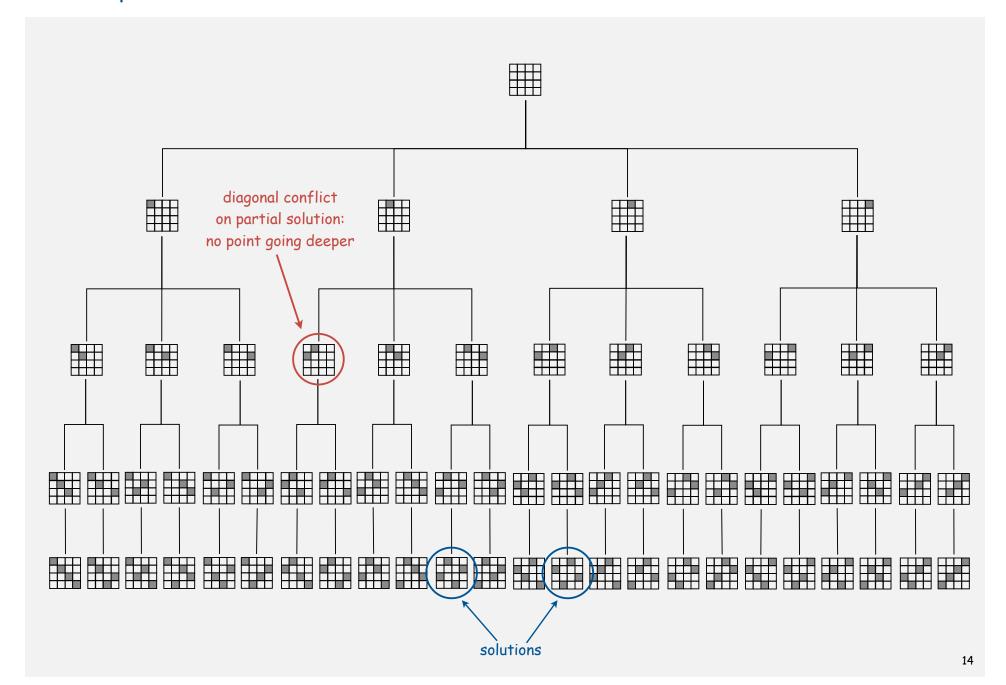


Representation. No two queens in the same row or column  $\Rightarrow$  permutation. Additional constraint. No diagonal attack is possible.

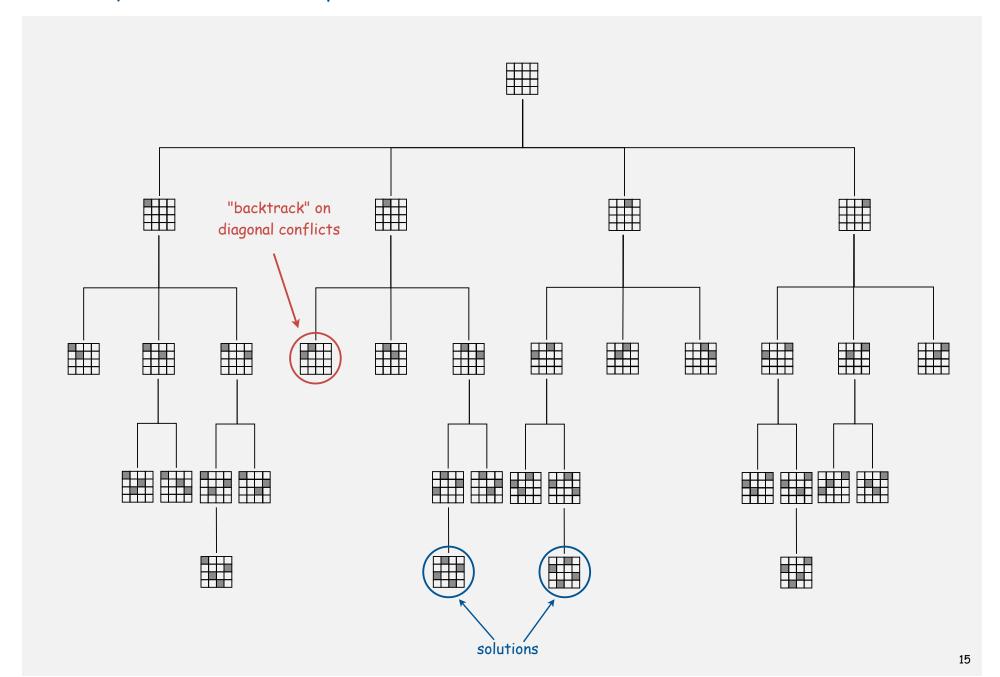
Challenge. Enumerate (or even count) the solutions. 

unlike N-rooks problem, nobody knows answer for N > 30

#### 4-queens search tree



### 4-queens search tree (pruned)



#### N-queens problem: backtracking solution

Backtracking paradigm. Iterate through elements of search space.

- When there are several possible choices, make one choice and recur.
- If the choice is a dead end, backtrack to previous choice,
   and make next available choice.

Benefit. Identifying dead ends allows us to prune the search tree.

#### Ex. [backtracking for N-queens problem]

- Dead end: a diagonal conflict.
- Pruning: backtrack and try next column when diagonal conflict found.

#### N-queens problem: backtracking solution

```
private boolean backtrack(int k)
   for (int i = 0; i < k; i++)
      if ((a[i] - a[k]) == (k - i)) return true;
      if ((a[k] - a[i]) == (k - i)) return true;
   return false;
// place N-k queens in a[k] to a[N-1]
private void enumerate(int k)
                                        stop enumerating if
                                       adding queen k leads to
   if (k == N)
                                         a diagonal violation
   { process(); return; }
   for (int i = k; i < N; i++)
      exch(k, i);
      if (!backtrack(k)) enumerate(k+1);
      exch(i, k);
```

```
% java Queens 4
 1 3 0 2
 2 0 3 1
 % java Queens 5
 0 2 4 1 3
 0 3 1 4 2
 1 3 0 2 4
 1 4 2 0 3
 2 0 3 1 4
 2 4 1 3 0
 3 1 4 2 0
 3 0 2 4 1
 4 1 3 0 2
 4 2 0 3 1
 % java Queens 6
 1 3 5 0 2 4
 2 5 1 4 0 3
 3 0 4 1 5 2
 4 2 0 5 3 1
a[0]
          a[N-1]
```

## N-queens problem: effectiveness of backtracking

Pruning the search tree leads to enormous time savings.

N	Q(N)	N!	
2	0	2	
3	0	6	
4	2	24	
5	10	120	
6	4	720	
7	40	5,040	
8	92	40,320	
9	352	362,880	
10	724	3,628,800	
11	2,680	39,916,800	
12	14,200	479,001,600	
13	73,712	6,227,020,800	
14	365,596	87,178,291,200	

#### N-queens problem: How many solutions?



Hypothesis. Running time is about  $(N! / 2.5^N) / 43,000$  seconds.

Conjecture. Q(N) is  $\sim N! / c^N$ , where c is about 2.54.

- ▶ permutations
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#### Counting: Java implementation

Goal. Enumerate all N-digit base-R numbers.

Solution. Generalize binary counter in lecture warmup.

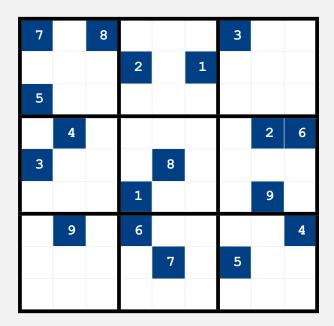
```
// enumerate base-R numbers in a[k] to a[N-1]
private static void enumerate(int k)
{
   if (k == N)
     { process(); return; }

   for (int r = 0; r < R; r++)
   {
      a[k] = r;
      enumerate(k+1);
   }
   a[k] = 0;
}</pre>
```

```
% java Counter 2 4
  0 0
  0 1
  0 3
  1 0
  1 1
  1 2
  1 3
  2 0
  2 1
  2 2
  2 3
  3 0
  3 1
  3 2
  3 3
  % java Counter 3 2
  0 0 0
  0 0 1
  0 1 0
  0 1 1
  1 0 0
  1 0 1
  1 1 0
  1 1 1
a[0] a[N-1]
```

### Counting application: Sudoku

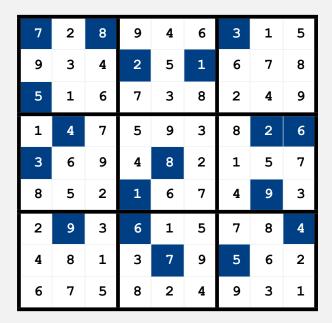
Goal. Fill 9-by-9 grid so that every row, column, and box contains each of the digits 1 through 9.



Remark. Natural generalization is NP-complete.

#### Counting application: Sudoku

Goal. Fill 9-by-9 grid so that every row, column, and box contains each of the digits 1 through 9.



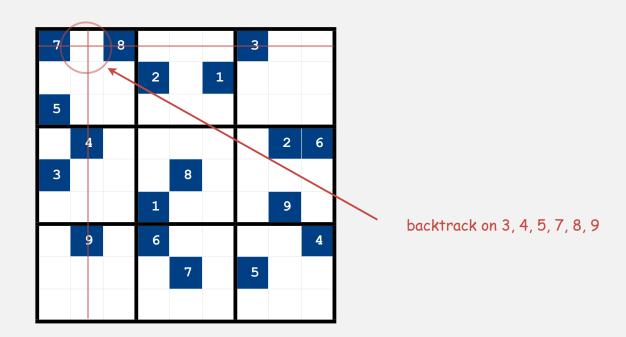
Solution. Enumerate all 81-digit base-9 numbers (with backtracking).



#### Sudoku: backtracking solution

#### Iterate through elements of search space.

- For each empty cell, there are 9 possible choices.
- Make one choice and recur.
- If you find a conflict in row, column, or box, then backtrack.



#### Sudoku: Java implementation

```
private void enumerate(int k)
   if (k == 81)
                                                 found a solution
    { process(); return; }
   if (a[k] != 0)
                                                  cell k initially filled in;
                                                  recur on next cell
    { enumerate(k+1); return; }
                                                  try 9 possible digits
   for (int r = 1; r \le 9; r++)
                                                  for cell k
         a[k] = r;
                                                  unless it violates a
         if (!backtrack(k))
                                                  Sudoku constraint
             enumerate(k+1);
                                                  (see booksite for code)
                                                 clean up
   a[k] = 0;
```

```
% more board.txt
7 0 8 0 0 0 3 0 0
0 0 0 2 0 1 0 0 0
5 0 0 0 0 0 0 0 0
0 4 0 0 0 0 0 2 6
3 0 0 0 8 0 0 0 0
0 0 0 1 0 0 0 9 0
0 9 0 6 0 0 0 0 4
0 0 0 0 7 0 5 0 0
0 0 0 0 0 0 0 0 0
% java Sudoku < board.txt
7 2 8 9 4 6 3 1 5
9 3 4 2 5 1 6 7 8
5 1 6 7 3 8 2 4 9
1 4 7 5 9 3 8 2 6
3 6 9 4 8 2 1 5 7
8 5 2 1 6 7 4 9 3
2 9 3 6 1 5 7 8 4
4 8 1 3 7 9 5 6 2
6 7 5 8 2 4 9 3 1
```

- ▶ permutations
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### Enumerating subsets: natural binary encoding

Given N items, enumerate all  $2^N$  subsets.

- Count in binary from 0 to  $2^N 1$ .
- Bit i represents item i.
- If 0, in subset; if 1, not in subset.

i	binary	subset	complement
0	0 0 0 0	empty	4 3 2 1
1	0 0 0 1	1	4 3 2
2	0 0 1 0	2	4 3 1
3	0 0 1 1	2 1	4 3
4	0 1 0 0	3	4 2 1
5	0 1 0 1	3 1	4 2
6	0 1 1 0	3 2	4 1
7	0 1 1 1	3 2 1	4
8	1 0 0 0	4	3 2 1
9	1 0 0 1	4 1	3 2
10	1 0 1 0	4 2	3 1
11	1 0 1 1	4 2 1	3
12	1 1 0 0	4 3	2 1
13	1 1 0 1	4 3 1	2
14	1 1 1 0	4 3 2	1
15	1 1 1 1	4 3 2 1	empty

#### Enumerating subsets: natural binary encoding

Given N items, enumerate all  $2^N$  subsets.

- Count in binary from 0 to  $2^N 1$ .
- Maintain a[i] where a[i] represents item i.
- If 0, a[i] in subset; if 1, a[i] not in subset.

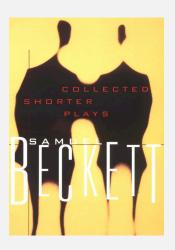
#### Binary counter from warmup does the job.

```
private void enumerate(int k)
{
  if (k == N)
   { process(); return; }
  enumerate(k+1);
  a[k] = 1;
  enumerate(k+1);
  a[n] = 0;
}
```

### Digression: Samuel Beckett play

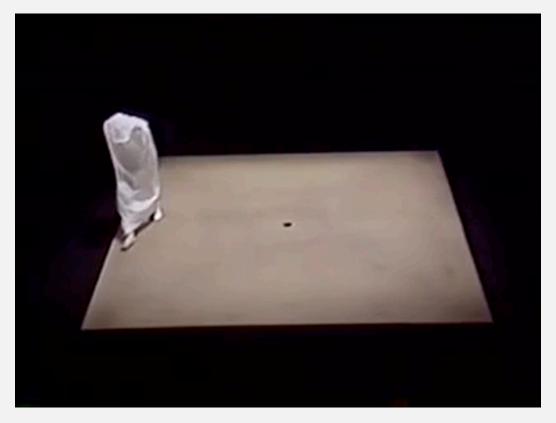
Quad. Starting with empty stage, 4 characters enter and exit one at a time, such that each subset of actors appears exactly once.

	code			subset	move
0	0	0	0	empty	
0	0	0	1	1	enter 1
0	0	1	1	2 1	enter 2
0	0	1	0	2	exit 1
0	1	1	0	3 2	enter 3
0	1	1	1	3 2 1	enter 1
0	1	0	1	3 1	exit 2
0	1	0	0	3	exit 1
1	1	0	0	4 3	enter 4
1	1	0	1	4 3 1	enter 1
1	1	1	1	4 3 2 1	enter 2
1	1	1	0	4 3 2	exit 1
1	0	1	0	4 2	exit 3
1	0	1	1	4 2 1	enter 1
1	0	0	1	4 1	exit 2
1	0	0	0	4	exit 1
_					<b></b>



#### Digression: Samuel Beckett play

Quad. Starting with empty stage, 4 characters enter and exit one at a time, such that each subset of actors appears exactly once.

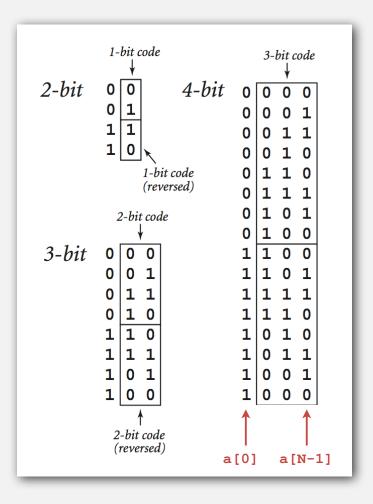


"faceless, emotionless one of the far future, a world where people are born, go through prescribed movements, fear non-being even though their lives are meaningless, and then they disappear or die." — Sidney Homan

### Binary reflected gray code

#### Def. The k-bit binary reflected Gray code is:

- the (k-1) bit code with a 0 prepended to each word, followed by
- the (k-1) bit code in reverse order, with a 1 prepended to each word.



#### Enumerating subsets using Gray code

#### Two simple changes to binary counter from warmup:

- Flip a[k] instead of setting it to 1.
- Eliminate cleanup.

#### Gray code binary counter

```
// all bit strings in a[k] to a[N-1]
private void enumerate(int k)
  if (k == N)
                                                   if (k == N)
  { process(); return; }
  enumerate(k+1);
  a[k] = 1 - a[k];
                                                   a[k] = 1;
                                0 0 0
  enumerate(k+1);
                                0 0 1
                                                   a[k] = 0;
                                0 1 1
                                0 1 0
                                1 1 0
                                             same values
                                  1 1
                                            since no cleanup
                                  0 1
                                1 0 0
```

#### standard binary counter (from warmup)

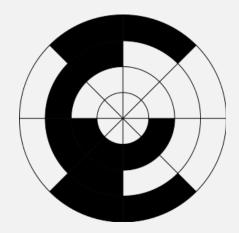
```
// all bit strings in a[k] to a[N-1]
private void enumerate(int k)
{
   if (k == N)
   { process(); return; }
   enumerate(k+1);
   a[k] = 1;
   enumerate(k+1);
   a[k] = 0;
}

ame values
te no cleanup

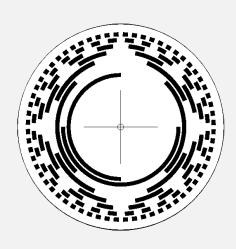
a[0] a[N-1]
```

Advantage. Only one item in subset changes at a time.

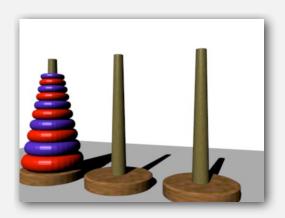
# More applications of Gray codes



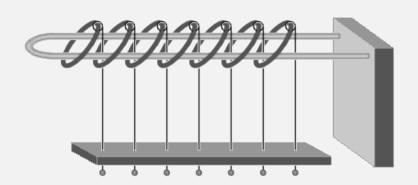
*3-bit rotary encoder* 



8-bit rotary encoder



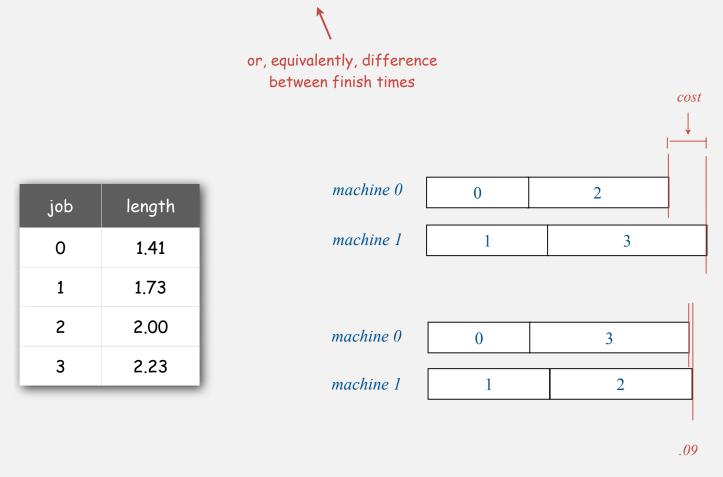
Towers of Hanoi



Chinese ring puzzle

### Scheduling

Scheduling (set partitioning). Given n jobs of varying length, divide among two machines to minimize the makespan (time the last job finishes).



Remark. This scheduling problem is NP-complete.

#### Scheduling (full implementation)

```
public class Scheduler
  private int N;
                         // Number of jobs.
                       // Subset assignments.
  private int[] a;
  private int[] b;
                    // Best assignment.
  private double[] jobs; // Job lengths.
  public Scheduler(double[] jobs)
      this.N = jobs.length;
      this.jobs = jobs;
      a = new int[N];
     b = new int[N];
      enumerate(N);
  public int[] best()
   { return b; }
  private void enumerate(int k)
   { /* Gray code enumeration. */ }
  private void process()
     if (cost(a) < cost(b))
      for (int i = 0; i < N; i++)
        b[i] = a[i];
  public static void main(String[] args)
   { /* create Scheduler, print results */ }
```

```
trace of
% java Scheduler 4 < jobs.txt</pre>
              finish times
  a[]
                           cost
  0 0 0
             7.38
                    0.00
                          7.38
             5.15
                    2.24
                          2.91
  0 0 1
             3.15
  0 1 1
                    4.24
                          1.09
             5.38
                    2.00
             3.65
                    3.73
                           0.08
 1 1 0
             1.41
                    5.97
             3.41
                  3.97
             5.65
                  1.73
             4.24
                  3.15
                  5.38
             2.00
             0.00
                  7.38
                    5.15
             2.24
             3.97
                    3.41
 0 1 1
             1.73 5.65
             3.73 3.65
  0 0 0
             5.97
                    1.41
  MACHINE 0
                MACHINE 1
 1.4142135624
               1.7320508076
               2.000000000
 2.2360679775
 3.6502815399 3.7320508076
```

#### Scheduling (larger example)

Observation. Large number of subsets leads to remarkably low cost.

```
% java Scheduler < jobs.txt
  MACHINE 0
                  MACHINE 1
  1.4142135624
  1.7320508076
                2.000000000
  2.2360679775
  2.4494897428
                2.6457513111
                2.8284271247
                3.000000000
  3.1622776602
                3.3166247904
                3.4641016151
                3.6055512755
                3.7416573868
  3.8729833462
                4.0000000000
  4.1231056256
                4.2426406871
  4.3588989435
                4.4721359550
  4.5825756950
  4.6904157598
  4.7958315233
  4.8989794856
                5.000000000
42.3168901295 42.3168901457
```

cost < 10 -8

### Scheduling: improvements

#### Many opportunities (details omitted).

- Fix last job to be on machine O (quick factor-of-two improvement).
- Maintain difference in finish times (instead of recomputing from scratch).
- Backtrack when partial schedule cannot beat best known.
   (check total against goal: half of total job times)

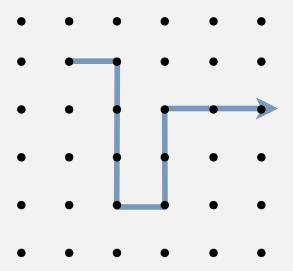
```
private void enumerate(int k)
{
  if (k == N-1)
  {   process(); return; }
  if (backtrack(k)) return;
  enumerate(k+1);
  a[k] = 1 - a[k];
  enumerate(k+1);
}
```

• Process all  $2^k$  subsets of last k jobs, keep results in memory, (reduces time to  $2^{N-k}$  when  $2^k$  memory available).

> paths in a graph 38

# Enumerating all paths on a grid

Goal. Enumerate all simple paths on a grid of adjacent sites.

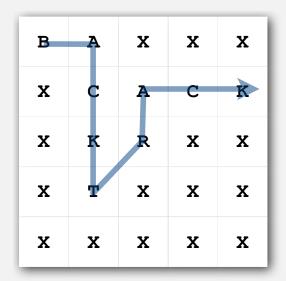


no two atoms can occupy same position at same time

Application. Self-avoiding lattice walk to model polymer chains.

## Enumerating all paths on a grid: Boggle

Boggle. Find all words that can be formed by tracing a simple path of adjacent cubes (left, right, up, down, diagonal).





Pruning. Stop as soon as no word in dictionary contains string of letters on current path as a prefix  $\Rightarrow$  use a trie.

BA

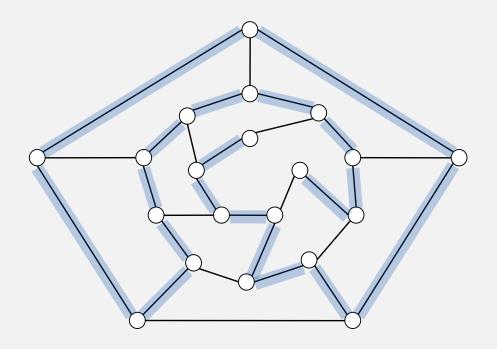
BAX

### Boggle: Java implementation

```
string of letters on current path to (i, j)
private void dfs(String prefix, int i, int j)
   if ((i < 0 || i >= N) ||
        (j < 0 | | j >= N) | |
        (visited[i][j]) ||
                                                                 backtrack
        !dictionary.containsAsPrefix(prefix))
      return;
   visited[i][j] = true;
                                                                 add current character
   prefix = prefix + board[i][j];
   if (dictionary.contains(prefix))
                                                                 add to set of found words
      found.add(prefix);
   for (int ii = -1; ii <= 1; ii++)
      for (int jj = -1; jj \le 1; jj++)
                                                                 try all possibilities
          dfs(prefix, i + ii, j + jj);
                                                                 clean up
   visited[i][j] = false;
```

# Hamilton path

Goal. Find a simple path that visits every vertex exactly once.



visit every edge exactly once

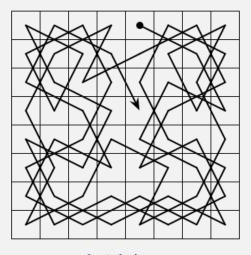
Remark. Euler path easy, but Hamilton path is NP-complete.

# Knight's tour

Goal. Find a sequence of moves for a knight so that (starting from any desired square) it visits every square on a chessboard exactly once.



legal knight moves



a knight's tour

Solution. Find a Hamilton path in knight's graph.

### Hamilton path: backtracking solution

Backtracking solution. To find Hamilton path starting at v:

- Add  $\mathbf{v}$  to current path.
- For each vertex w adjacent to v
  - find a simple path starting at w using all remaining vertices
- Clean up: remove v from current path.

- Q. How to implement?
- A. Add cleanup to DFS (!!)

#### Hamilton path: Java implementation

```
public class HamiltonPath
              private boolean[] marked; // vertices on current path
              private int count = 0;  // number of Hamiltonian paths
              public HamiltonPath(Graph G)
                 marked = new boolean[G.V()];
                 for (int v = 0; v < G.V(); v++)
                    dfs(G, v, 1);
              }
              private void dfs(Graph G, int v, int depth)
                                                        length of current path
                 marked[v] = true;
                                                        (depth of recursion)
found one
                 if (depth == G.V()) count++;
                 for (int w : G.adj(v))
                    if (!marked[w]) dfs(G, w, depth+1); ← backtrack if w is
                                                            already part of path
```

# Exhaustive search: summary

problem	enumeration	backtracking
N-rooks	permutations	no
N-queens	permutations	yes
Sudoku	base-9 numbers	yes
scheduling	subsets	yes
Boggle	paths in a grid	yes
Hamilton path	paths in a graph	yes

#### The longest path

Woh-oh-oh, find the longest path! Woh-oh-oh, find the longest path!

If you said P is NP tonight,
There would still be papers left to write,
I have a weakness,
I'm addicted to completeness,
And I keep searching for the longest path.

The algorithm I would like to see
Is of polynomial degree,
But it's elusive:
Nobody has found conclusive
Evidence that we can find a longest path.

I have been hard working for so long. I swear it's right, and he marks it wrong. Some how I'll feel sorry when it's done: GPA 2.1 Is more than I hope for.

Garey, Johnson, Karp and other men (and women)
Tried to make it order N log N.
Am I a mad fool
If I spend my life in grad school,
Forever following the longest path?

Woh-oh-oh, find the longest path! Woh-oh-oh, find the longest path! Woh-oh-oh, find the longest path.

Recorded by Dan Barrett in 1988 while a student at Johns Hopkins during a difficult algorithms final

# That's all, folks: Keep searching!



The world's longest path (Chile): 8500 km