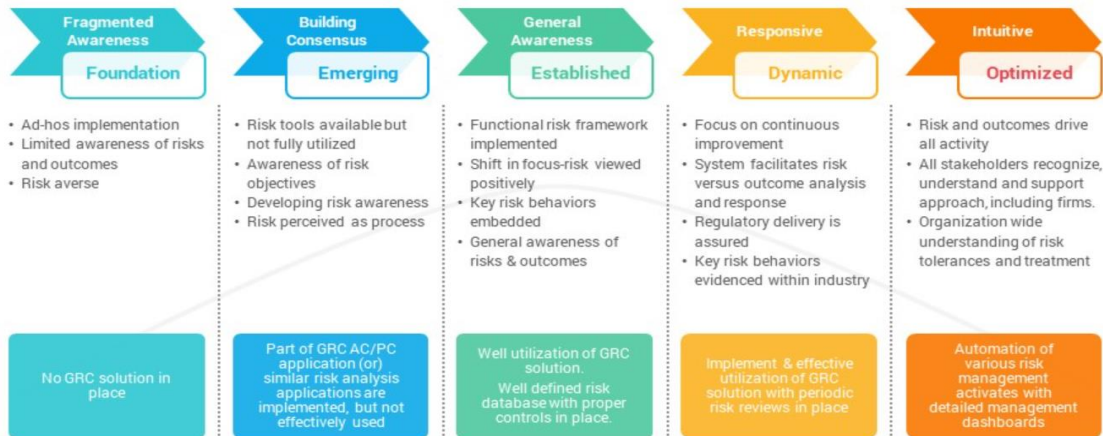


# How effective your GRC solution is?

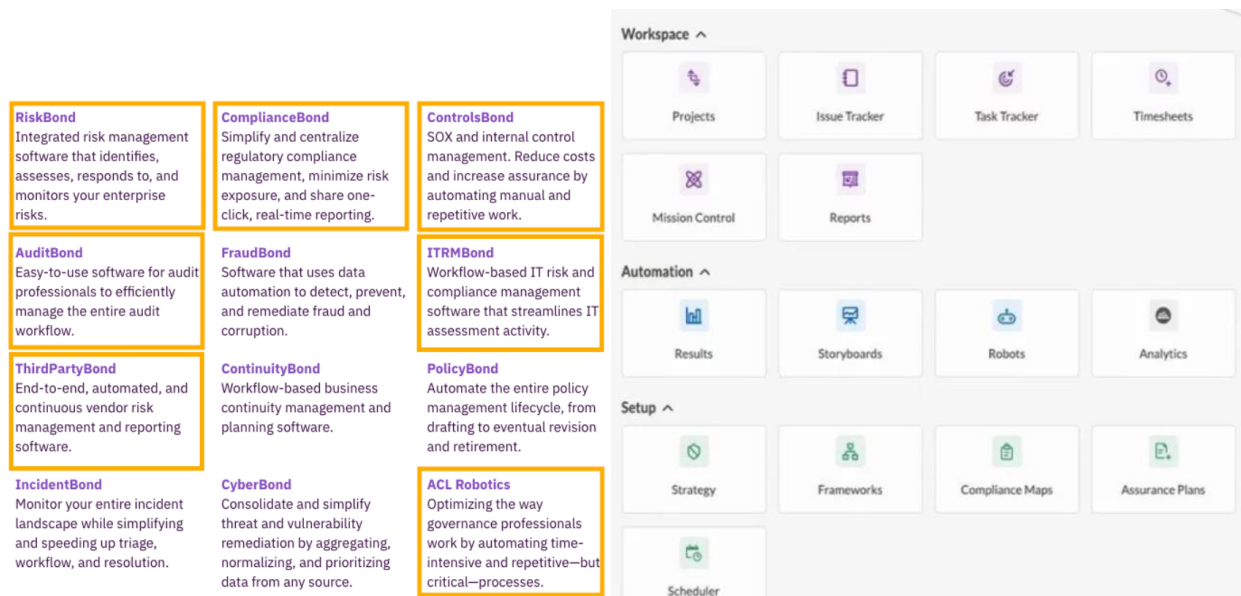
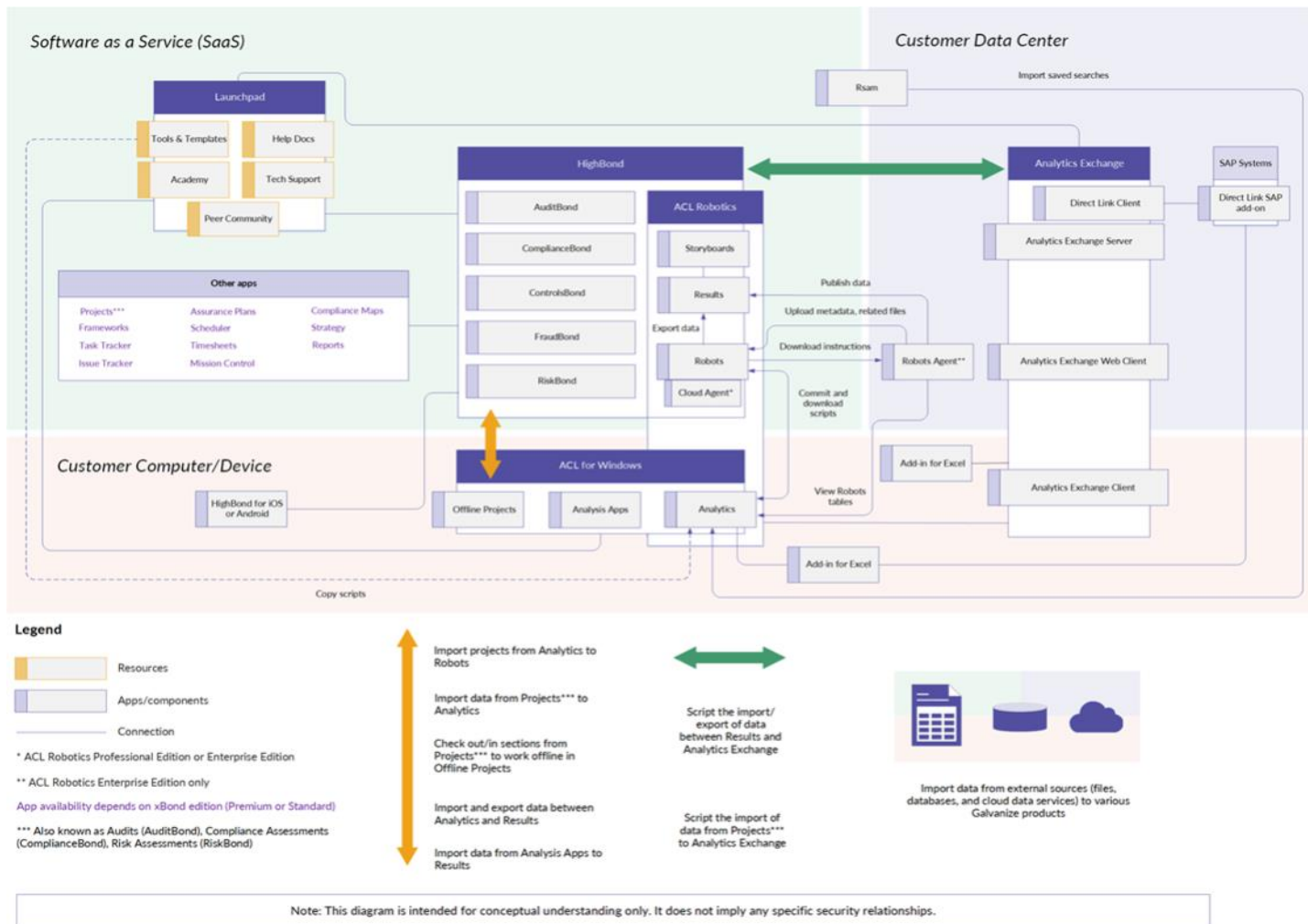
How effective your GRC solution is?



## Key features of HighBond

HighBond is suitable to a wide variety of governance, risk, and compliance activities.

- **Monitor strategic risk** HighBond gives you a centrally managed, holistic view of your risk balance-sheet. Executives, boards, and oversight committees can easily see what can derail objectives and potential mitigation efforts, and identify potential opportunities.
- **Streamline project management** Plan, manage, execute, and report on your assurance projects in one system. You can also model one or many common frameworks, such as COSO, ISO, SOX, OMB-A123, Green Book, COBIT, ITIL, SIEM, NIST, SOC, and many others.
- **Automate remediation workflows for flagged records** Get notified as soon as data analysis uncovers a potential issue, and automatically perform a set of actions whenever specific conditions or thresholds are met.
- **Generate reports and visualizations** HighBond provides a variety of robust reporting capabilities, including one-click reports, and the ability to create custom reports, dashboards, and storyboards. You can share reports online via email, export reports in a variety of formats, or broadcast reports on a recurring basis to specified recipients.
- **Streamline audit planning and workflow** Create risk-based audit plans, schedule audits, and centrally manage the entire audit workflow. You can access your work from anywhere, including remote or offline environments, and sync information when you return online.
- **Integrate analytics** Automate operational risk and controls assessments and monitoring, and track real-time KPIs and KRIs.
- **Manage, track, and report issues** Consolidate all findings found across audits to track remediation efforts, and create one-click reports to keep stakeholders informed.
- **Centralize the documentation of requirements and mapped controls** Organize and manage all your regulatory requirements and policies in a central location, and associate industry standards and regulations with your control frameworks.
- **Assess and monitor compliance** Monitor metrics such as KPIs, KRIs, and KCIs to spot trends or outliers, and trigger alerts if thresholds are met.
- **Report on compliance status** Aggregate testing results and issues to track and report on compliance status in real-time.
- **Build risk and control libraries** Import information from Excel, or use built-in SOXCOSO and SOX ITGC frameworks to build risk and control libraries.
- **Delegate control testing** Create automated workflows to engage process owners and the first line of defense to help test controls, and identify and remediate issues.
- **Deploy 302 certifications and generate reports** Generate reports for management, PMO, or external auditors—by entity, process, control status, or issues. You can also demonstrate to your CEO and CFO that cross-functional leaders have reviewed testing results and have sub-certified for SOX 302.
- **Get complete program oversight** Manage programs for anti-bribery and anti-corruption, and assess and monitor control weaknesses. You can also record, investigate, and report on fraud tips with anonymous whistle-blower hotlines.
- **Apply a risk-based approach to fraud programs** Flag violations, automate follow-up, and notify key stakeholders to address fraud before it grows.
- **Manage fraud case investigations and escalate issues** Automate issue escalation and case management with workflow rules and triggers, and use task assignment and routing capabilities to configure review assignments, and capture notes and activities.
- **Populate your risk universe** Import common key risks disclosed across a given industry, as curated and normalized from the S&P 500 10-k reports, and view all strategic risks in a single, dashboard view.
- **Assess and visualize risks** Collaborate on risk assessments and create risk heatmaps to show the most impactful view of strategic risks in your organization.
- **Monitor risks** Automate risk assessments, track metrics, assurance, and testing results associated with strategic risks, and ensure complete coverage of all risks identified during annual assessments.
- **Automate scripts** Automate script execution on a schedule using the Robots app.
- **View results** Export the output results of the automated scripts to the Results app for processing, issue remediation, and data visualization.
- **Free up time and resources** Automate repetitive tasks and free up time and resources for you and your team to perform work of a more strategic nature.





## Application Use Cases

Audit	Compliance & Legal	Information Technology	Risk Management	Accounting & Finance	Public Sector
<ul style="list-style-type: none"> <li>+ Risk-based auditing, workpapers management</li> <li>+ Audit Analytics &amp; tracking flagged records through remediation</li> <li>+ Global issues oversight executive &amp; management reporting, KPI's, KRI's, and dashboards</li> </ul>	<ul style="list-style-type: none"> <li>+ Full compliance management workflow based on compliance frameworks and key controls for ICFR, SOX, HIPAA, GLBA, FCPA, AML, and more</li> <li>+ Track Code of Conduct compliance</li> <li>+ Assessments of people via surveys, attestation tracking</li> </ul>	<ul style="list-style-type: none"> <li>+ Manage IT Governance, IT Compliance, or IT Security needs</li> <li>+ Centralize your IT risk/control framework, capture self-assessments</li> <li>+ Manage and report on IT issues and incidents</li> </ul>	<ul style="list-style-type: none"> <li>+ Manage risk management processes &amp; risk framework</li> <li>+ Manage risk evaluation, assessment treatment, transfer of risks</li> <li>+ Converge multi-department GRC activities for better collaboration, transparency, sharing, and oversight</li> </ul>	<ul style="list-style-type: none"> <li>+ Monitor material revenue and expense processes</li> <li>+ Tax compliance, transfer pricing, T&amp;E, and P-Card compliance</li> <li>+ Investigations</li> </ul>	<ul style="list-style-type: none"> <li>+ Yellow Book, Green Book, OMB A-123</li> <li>+ Audit readiness, entitlement oversight, investigations</li> <li>+ Integrate records from disbursement for review workflow of third-party risk and improper payments</li> </ul>

## Why choose HighBond?

### STRATEGIC RISK VIEW & SINGLE SOURCE OF TRUTH

Executives, boards, and oversight committees need intel on what could derail objectives and potential mitigation efforts. Regulators also frown on risk being managed in spreadsheets. HighBond gives you a centrally managed, holistic view of your risk balance-sheet.

### NO MORE SILOS & SPREADSHEETS

Let dedicated technology do your heavy lifting. Plan, manage, execute, and report on your assurance projects in one system.

### TRIGGERED WORKFLOW REMEDIATION FOR FLAGGED RECORDS

Get notified as soon as data analysis uncovers a potential issue. HighBond triggers remediation workflows to help you collaborate, keep tabs on remediation status, and track all efforts in a single system.

### ISSUE MANAGEMENT & TRACKING

Stop tracking issues over email and instead get a macro view of all your organizational issues, filtered by entity, project, owner, or severity—and check the remediation status with the click of a button.

### OFFLINE & REMOTE WORK

Out in the field/away from the office? No problem. Unplug your PC or tablet, do your work, capture supporting documentation, and sync it all later.

### RISK ASSURANCE & FRAMEWORKS

Let the system keep you on track by modeling one or many common frameworks like COSO, ISO, SOX, OMB A-123, Green Book, COBIT, ITIL, SIEM, NIST, SOC, and many others.

### INVESTIGATIONS & FORENSIC WORKFLOWS

Security incidents, possible fraud, whistleblower hotlines, special investigations, and forensics may all require escalations and workflow alerts. Manage these in a centralized, permissions-based workflow.

### REPORTING & VISUALIZATION

Senior managers just don't have time to read the details. Your value is taking GRC complexity and distilling it into a compelling picture, story, dashboard, KPI/KRI, standard or custom report, which can be quickly understood and acted on.

### PUBLIC SECTOR WORKFLOW

Plan, execute, and report on projects to protect expenditures of services and benefits with a workflow that eases your resource-strapped environment.

### UNCOVER MISSING RISKS

Excel analytics are incapable of uncovering 80% of the risks that matter. Assessing people responses is equally important to assessing entire data sets, and comprehensively integrating people, processes, and data reveals hidden strategic risks.

### SECURE, CLOUD-BASED SAAS

Enterprise-hosted environments suffer higher incident rates. While your IT's expertise is running your business, our cloud infrastructure host's expertise is stringent security. Free up your IT, better protect your data—and empower your users with continuous delivery of always up-to-date value-driving tools.

### TOOLS & RESOURCES

Your subscription includes online training, a global community of other Galvanize users and experts, a library of pre-built risk analysis scripts, a rich knowledge base, an inspirations platform of ideas, and complete user guides.

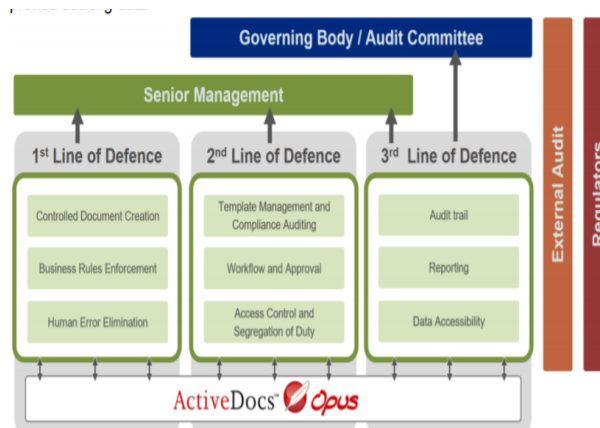
## RSA Archer eGRC Suite

Solutions for Enterprise Governance, Risk and Compliance



Global organizations rely on RSA Archer eGRC Solutions to:

- Manage the lifecycle of corporate policies and their exceptions
- Comply with regulations in the most efficient way possible
- Visualize and communicate risk at all levels of the business
- Investigate and resolve cyber and physical incidents
- Centralize business continuity and disaster recovery planning
- Enable risk-based, business-aligned internal audit



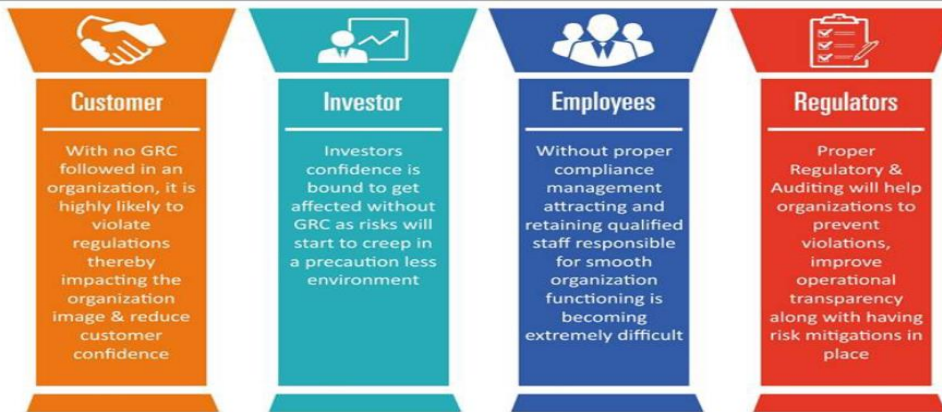


## GRC Program Elements Critical to Success





## Why GRC is becoming extremely inevitable in today's world?

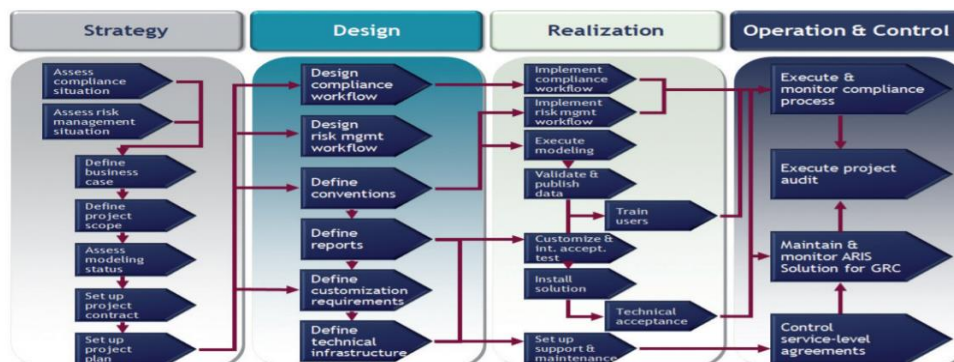


## ENTERPRISE RISK MANAGEMENT PLATFORM

### Common Risk Considerations



### GRC Program Roadmap





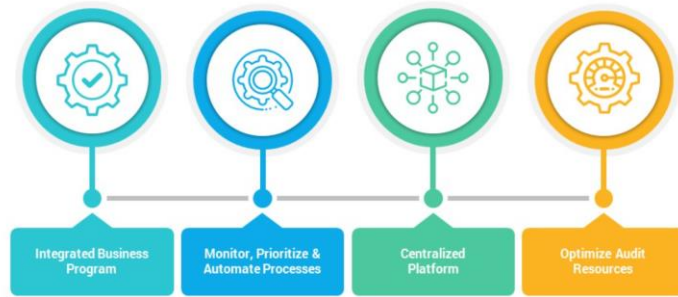
## GRC Strategy - 4 Step GRC

4 Step GRC (Governance, Risk, and Compliance)



## Importance of (GRC)

Importance of Governance, Risk and Compliance (GRC)



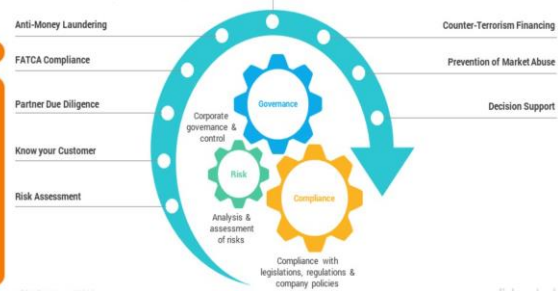
## Implementing GRC

Implementing Governance, Risk Management, & Compliance



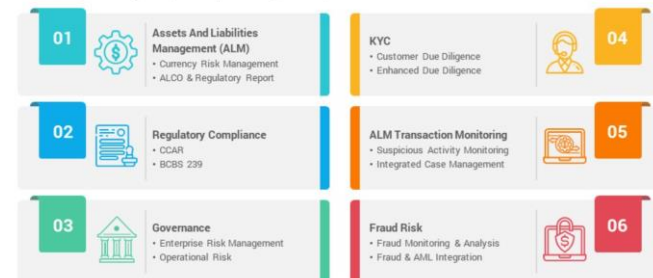
## GRC Solutions

Governance, Risk & Compliance Solutions



## 6 Step Governance Model

Governance, Risk Management, and Compliance Diagrams Template

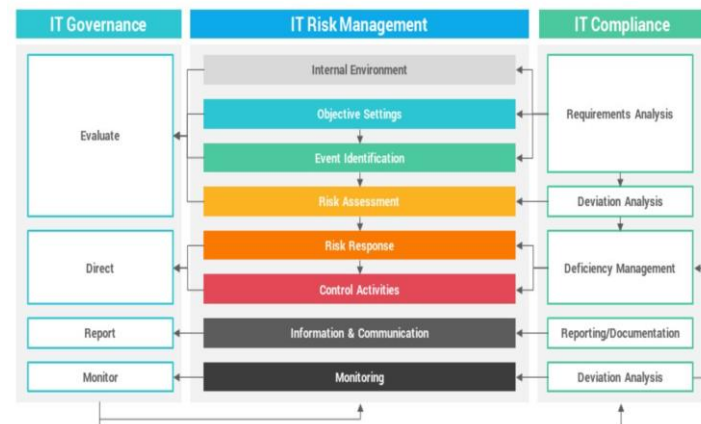


## 4 Financial Risk Model

Governance, Risk Management, and Compliance Diagrams Template



## GRC IT Processes



## GRC Systems

