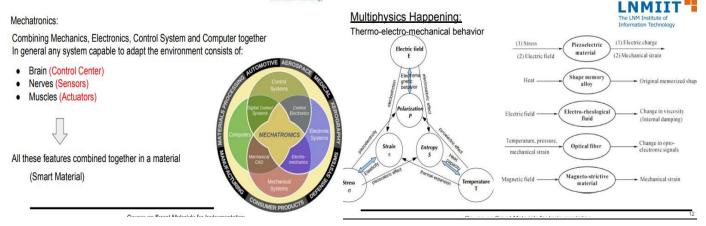
Introduction: Smart Materials (Contd..)





Skin ● Integrated Sensors and Actuators ● Local response to external stimuli: change of color, sweat etc. ● Self healing ● Cell Walls ● Change of permeability with electric fields and molecules ● Selective to the choice of molecule allowed through the wall ● Abalone ● Known for high toughness natural composite with hard and brittle ceramic plate embedded in protein ● Self healing Other examples: Course on Smart Materials for Instrumentation 6 ● Thermochromic Pigments ● Made from liquid crystal ● Changes color when heated ● Shape Memory Alloys ● Can be deformed and restore shape when heated ● Pseudoelastic alloys ● Change in elasticity when deformed and then restore back to original shape ● Respond to environment in which they are used ● Restore original state with restore of the environment (condition) "Smart Material can change their structure significantly under the influence of external stimuli" External Stimuli could be: ● Heat ● Mechanical Stress, Strain ● Electric Field ● Magnetic Field, etc. These changes result from several local phenomenon, and structural transformations

<u>Piezoelectric</u>: When subjected to an external stress, material will undergo potential difference development and vice versa. **Examples are**: Barium Titanate, Lithium Niobate, and Lead Zirconate Titanate (PZT) ● Typical applications are precise positioning and sensing system development.

<u>Piezoresistive Materials</u>: When subjected to an external stress, material will undergo change in electrical resistance. **Examples are**: Semiconductors, metals etc. • Typical applications are stress/force sensing

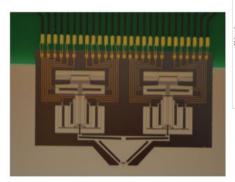
<u>Magnetostrictive Polymers Composites (MPC):</u> Has capability to simultaneously change dimensions, elastic and/or electromagnetic properties when subjected to external magnetic field. Useful in the sensors and actuator development • Examples are Cobalt Ferrite, Terfenol D etc.

<u>Electroactive Polymers</u>: Exhibits change in size and shape when subjected to electric field. Advantage of having large deformation and high force withstanding capability ● Applications are in tactile sensing, artificial muscles etc ● E.g stimuli responsive gels.

Mechanical use of Smart Materials: Actuation ● Sensing ● Energy Conservation

Smart materials in Robotic (Contd...):

In digital Robotics:



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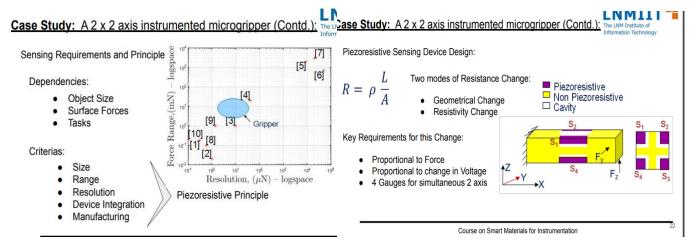
TIAIAITT

- Positioning Robustness
- Low energy consumption
- Repeatable and discrete displacement

<u>Case Study: A 2 x 2 axis instrumented microgripper:</u> Requirements Type: ● Based on Actuation ● Based on Sensing.

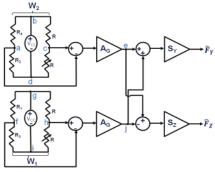
<u>Based on Actuation</u>: ● A device with nanometric positioning resolution ● Should be able to withstand sufficient blocking force as per the task ● Should be capable to perform multi-DoF actuation ● Should be safe for the environment ● Should consume least possible energy for the operation ● Must be compatible with the sensing mechanism (to be employed).

Requirements based on Sensing: Can sense typical order of force needed to grasp a micro-object (say 200 μ m x 200 μ m x 350 μ m Si)->to have capability to sense some μ N \bullet To have force sensing capability up to the range so as to perform the manipulation and assembly (or aimed task)-> say some mN \bullet Should be capable to sense 2 DoF force simultaneously (both in gripping and out of gripping plane) \bullet Must not interfere with the actuation mechanism \bullet Should be environment friendly and easily integrable with the actuation



Piezoresistive Sensing Device Fabrication: Planar Fabrication + Assembly

Advantage of the Approach: ● Mass and Versatile Tool Production ● Minimized Fabrication Complexity

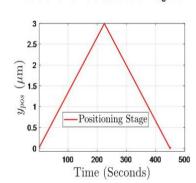


After the Device Fabrication and Integration: ●Characterization ● Calibration

Case Study: A 2 x 2 axis instrumented microgripper (Contd.):

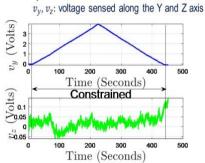
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After the Device Fabrication and Integration:



Temperature, Humidity: Constant

y_{pos}: displacement positioning stage



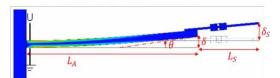
Gripping Plane Out-of-gripping P			
Parameters	Y	Z	
Stiffness (N/m)	5130.3	2342.4	
Sensitivity (µN/V)	2280	2390	
Resolution (µN)	20	20	
Standard deviation (µN)	28	22	
Range (mN)	9	9	

Case Study: A 2 x 2 axis instrumented microgripper (Contd.):



Gripper Tip position estimation:

To avoid use of additional Sensors



 δ : displacement of the actuator's tip δ_S : PRT tip's displacement

 k_{gs} : PRT Stiffness

 F_S : force sensed by PRT

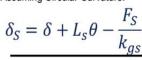
U: Input actuation voltage

 f_{ext} : External force at the PRT tip θ : Bending angle of the actuator

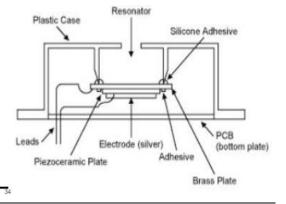
B: bending angle of the actual

BW: Bouc-Wen Modeling

Assuming Circular Curvature:



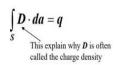
 $\frac{f_{ext}}{U} \xrightarrow{\delta} \frac{\delta_S}{F_S}$ Course on Smart Materials for Instrumentation



Dielectrics without center of Symmetry:

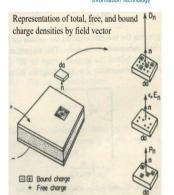


Gauss Law for isotropic dielectric materials



 Electric dipoles result into polarization (P) which is equal to bound charge density

$$D = \varepsilon_o E + P$$



When applied stress results into polarization-> Direct Effect

 $P = d \sigma$

When applied electric potential results into strain development-> Converse Effect

 ε = d E

P- Polarization (C/m2)

 σ - stress (N/m2)

d- Piezoelectric coefficient (C/N)

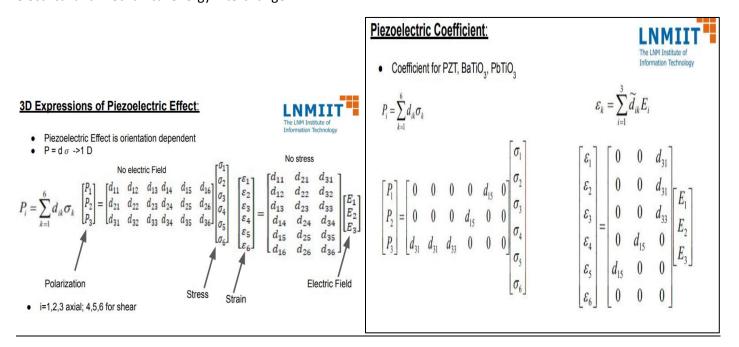
E- Electric Field

arepsilon- strain

Information Techno

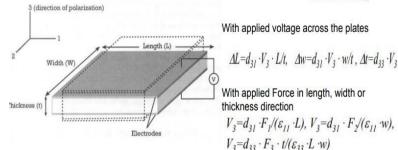
Piezoelectric Constants • d [C/N]-> charge developed per unit stress (applied) • g [V-m/N]->Electric field developed per unit stress (applied) • h [m/V] -> Strain developed per unit Electric field (applied) • e [N/V-m]->Stress developed per unit Electric field (applied)

Electromechanical Coupling Coefficient (k) : ●Used for comparison of different piezoelectric materials ● Measure of electrical and mechanical energy interchange.



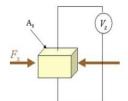
Piezoelectric Coefficient (Contd..):





- An applied voltage across the electrodes results into dimensional changes in all three axes.
- Conversely an applied force in any of the three directions gives rise to measurable voltage across the electrodes

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 With external force application; crystal gets diformed and centre of the positive and negative charges also gets displaced

$$Q = dF = d\sigma A = d\varepsilon EA$$

 $d = \text{charge sensitivity coefficient (matrix)}$
for example:
 $V = \frac{Q_z}{2} = \frac{d_{zz}F_z}{2} = \frac{d_{zz}F_z}{2}$

- Effect is reversible; applying a voltage will deform the crystal
- . d is typically in the pC/N range

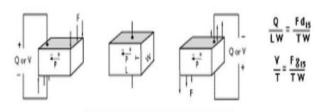
C C $\varepsilon_0 \varepsilon_* A_*$

GENERATOR TRANSDUCER RELATIONSHIPS

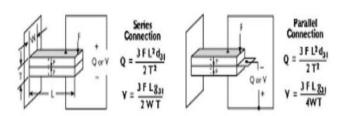
PARALLEL COMPRESSION OR TENSION GENERATOR $Q = F d_{33}$ $Y = \frac{F g_{33}}{LW}$ Here T: thickness F: applied force $Q = F d_{33}$ $\frac{V}{T} = \frac{F g_{33}}{LW}$ $\frac{Q}{TW} = \frac{F d_{34}}{TW}$ $\frac{Q}{TW} = \frac{F d_{34}}{TW}$ $\frac{Q}{TW} = \frac{F d_{35}}{TW}$

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BENDING GENERATOR



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Piezoelectric Materials

- Ceramics-> Pb(ZrTi)O3 (PZT), PbTiO3 (PT), etc.
- Single Crystals->Quartz, LiTaO3, LiNbO3, PZN-PT,etc
- Polymers-> PVDF and copolymers, nylon, etc.
- Composites->PZT-polymer 0-3, 2-2, 1-3 composites, etc.
- Thin/Thick Films-> PZT, PT, ZnO and AIN films

piezoelectric strain coefficient d(m/V) piezoelectric voltage coefficient $g(V \sim m/N)$ electromechanical coupling k_{33}, k_{31}, k_t dielectric constant K dielectric loss tangent $tan\delta$ mechanical quality factor Q acoustic impedance ρc

Property	Unit	PZT ceramic	PVDF	ZnO film	PZT film (4 μm on Si)
d ₃₃	(10 ⁻¹²)C/N	220	-33	12	246
d ₃₁	(10 ⁻¹²)C/N	-93	23	-4.7	-105
d ₁₅	(10 ⁻¹²)C/N	694		-12	?
K ₃	ε33/ε0	730	12	8.2	1400
tanδ		0.004	0.02		0.03
k31		0.31	0.12		
Q		400			
ρο	(10 ⁶)kg/m ² - sec	30	2.7		

Actuator	Force	Displacement	
Longitudinal	Large	Low	
Shear Mode	Medium	Medium	
Bending Mode	Low	Large	

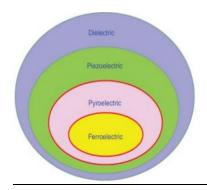
What is Ferroelectricity ? = ● For electrically polarizable materiales, in most cases Polarization P is a linear function of applied Electric Field E ● In Ferroelectric materials exhibits non-linear relation between E and P ● It also demonstrates spontaneous non-zero polarization even when there is no electric field ● The key feature of this material is that spontaneous polarization can be reversed when sufficiently strong electric field is applied ● Typically material exhibits such properties below curie temperature else would be paraelectric Why we are discussing Ferroelectricity here ? Because most of the Piezoelectric material exhibits properties of ferroelectricity ● A material is said to be pyroelectric when they can generate a temporary voltage against introduction of heating or cooling ● The direction of electric dipoles cannot be changed in piezoelectric and pyroelectric material (not in ferroelectric) ● Single crystalline quartz, and ZnO are Piezoelectric but not ferroelectric. ● It can readily envisaged that a ferroelectric material once at the remnant state of polarization can be used as a piezoelectric material since it can generate charges under application of stress ● In other words, a ferroelectric material should show no piezoelectric effect when subjected to an external electric field ● In order to use ferroelectric material as a piezoelectric material, electric poling is needed

- During the poling process, applied electric field must be higher than the coercive electric field The poling process is accompanied with an expansion of the poled material or tensile strain, which is basically consistent with the converse piezoelectric effect After the poling treatment, material would have macroscopic polarization equal to remnant state
- High performance piezoelectric material must be ferroelectric in the first place

Revisiting Piezoelectric Parameters:



- Piezoelectric Constants
- Coupling Coefficient
- Quality Factor



Piezoelectric Constants:

Piezoelectric Charge Constant (d)-> C/N

$$d = \frac{\textit{Strain Developed}}{\textit{Applied Electric Field}} = \frac{\textit{Charge Density (Open Circuit)}}{\textit{Applied Stress}}$$

Defining 'd' constant:

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It is associated with the important material properties

$$d = k \sqrt{\varepsilon_0 k^T s^E}$$
 (C/N)

k is electromechanical coupling coefficient

k^T denotes relative dielectric constants at constant stress

s^E is elastic compliance at a constant electric field

There are two important d constant: d₃₁ and d₃₃

$$d_{31} = k_{31} \sqrt{\varepsilon_0 k_3^T s_{11}^E}$$
 $d_{33} = k_{33} \sqrt{\varepsilon_0 k_3^T s_{33}^E}$

- Large d constant relates to large mechanical displacement (conversely charge collected when stress is applied)
- d₃₃ applies when force is along 3 directions (parallel to polarization axis) and is impressed on the same surface from where charge is collected
- d₃₁ applies when the force applied on the same surface as of charge collection, but here the applied force should be orthogonal to the polarization axis
- Commonly d₃₃≈ -2.5 d₃₁

Defining 'g' constant:



Called as Piezoelectric voltage coefficient or voltage output constant (Vm/N)

$$g = \frac{\textit{Strain Developed}}{\textit{Applied Charge Density}} = \frac{\textit{Field Developed}}{\textit{Applied Stress}}$$

• g constant are calculated from piezoelectric charge constant (d) and relative permittivity (ϵ)

$$g = \frac{d}{\epsilon}$$

• Depending on the relative direction as of d, the corresponding g can be defined

Defining Piezoelectric Coupling Coefficient:



<u>Defining Mechanical Quality Factor:</u>



- Also known as Electromechanical Coupling Coefficient
- It is defined as ratio of mechanical energy accumulated in response to an electrical input or vice versa
- Also defined as fraction of electrical energy that can be converted to mechanical energy or vice versa

$$x = \sqrt{\frac{\text{Mechanical energy stored}}{\text{Electrical energy applied}}} = \sqrt{\frac{\text{Electrical energy stored}}{\text{Mechanical energy applied}}} \qquad k_{\text{eff}}^2 = 1 - \left(\frac{f_{\text{f}}}{f_{\text{a}}}\right)$$

- It can be calculated based on the measured resonance (f_i) and anti-resonance (f_a) frequencies, depending on the vibration mode it is excited
- Most used coupling factors are k_p and k_t for the vibration along radial and thickness direction in a disk
- k_{eff} is called effective coupling coefficient of an arbitrary shaped resonator either at resonance frequency or at any overtone modes

- In a Piezoelectric resonator, it is defined as ratio of reactance to the resistance in series equivalent circuit
- · It is related to the sharpness of the resonance frequency

$$Q_{\rm m} = \frac{f_{\rm r}}{f_2 - f_1} \hspace{1cm} {\rm f_1\,f_2\,are\,frequencies\,at\,-3\,dB\,of\,\,the\,\,maximum\,\,admittance}$$

$$Q_{m} = \frac{1}{2\pi F_{r} Z_{m} C_{0}} \left(\frac{F_{a}^{2}}{F_{a}^{2} F_{r}^{2}} \right)$$

F_r Resonance frequency (Hz)

F_a Anti-resonance frequency (Hz)

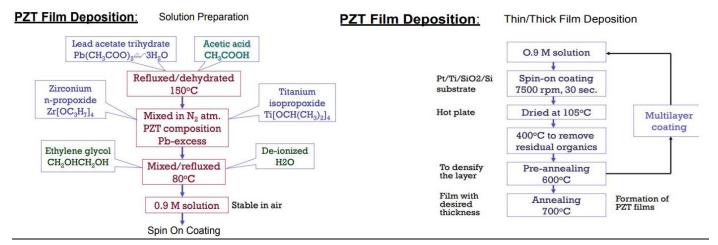
 Z_m Impedance at F_n (ohm)

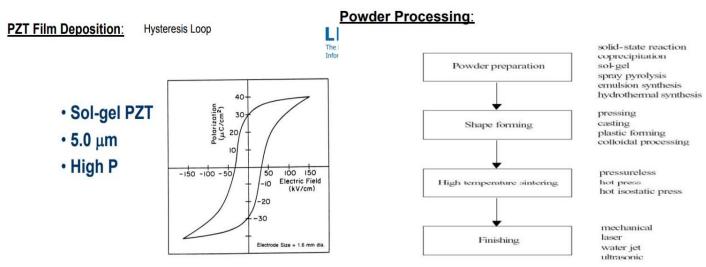
Co Static capacitance (Farad)

Issues for measuring Piezoelectric Properties: Berlincourt Method for Piezoelectric Coefficient: ● Don Berlincourt contributed to development of initial commercial d33 meter ● Sample size or Geometric shape taken into account strictly ● Quasi static d33 meter is used . ● Constant electric field E is the prerequisite for the measurement (large capacitor across the device under test (DUT) or virtual-ground amplifier often used) ● d33 meter must include 2 things: a force loading system and electronics for circuit control and charge measurement ● Force loading system can be further divided into 3 parts; contact probes; loading actuator; and reference sample ● Loading actuator is like a loudspeaker type coil; easily controllable and chip ● Reference should be a piezoelectric material with known coefficient ● When DUT is stimulated with an oscillating force with controlled frequency, then corresponding charge can be measured ● Charge detected gets simultaneously converted to the actual force amplitude ● Measurement then gets displayed on the screen

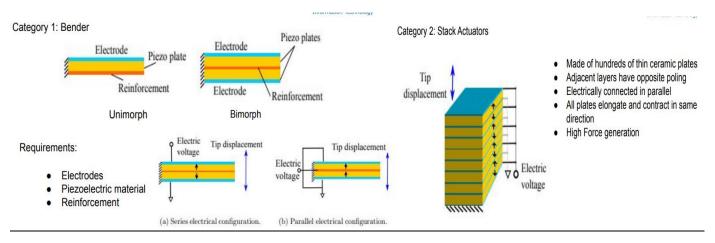
Key points of Berlincourt Method: • Simplicity: Very easy to establish the setup • Anyone can create the setup->lack of uniformity • Suggested to keep the force as high as possible so that piezoelectric material is in linear region • Typical frequencies of oscillating force: 10 kHz to 1 kHz • Resonance frequency need to be carefully considered • At low frequencies; for soft piezoelectric material there is pronounced downturn with increasing frequency (inhibited domains movement induced by frequency increase: Rayleigh Laws) • For hard material it tends to increase linearly with frequency because domain wall motion is not dominant at low frequency

<u>PZT Film Deposition:</u> Sol-Gel Method: ● Widely Used Technique for PZT film Deposition ● Use of organometallics compounds such as metal alkoxide as precursors ● Gel with a continuous network is obtained using polymerization ● <u>Advantages</u> ● Homogeneity ● Low mixing temperature ● Precise control of stoichiometric





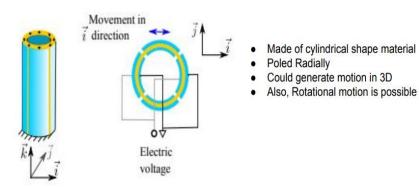
Piezoelectric Actuators and Modeling



A Revisit of Piezoelectric Actuators (Contd..):

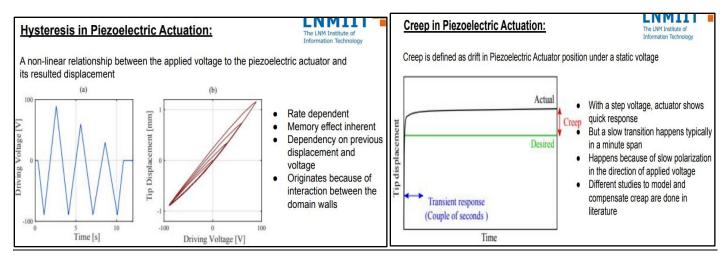


Category 3: Tube Actuators



Piezoelectric actuation Modeling Challenge:

<u>Advantage of Piezoelectric Actuators</u>: ● Micro/Nano Positioning ● Quick Response ● Large Bandwidth ● High Stiffness <u>Modelling Challenges:</u> ● Hysteresis ● Creep ● Vibration ● Temperature Change



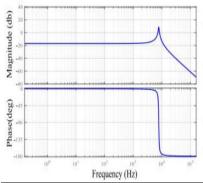
Temperature change in Piezoelectric Actuation:



Vibration in Piezoelectric Actuation:



Piezoelectric micro-actuators exhibits lightly damped resonance peaks in their frequency response



- Voltage inputs with high frequency components can excite the frequency resonances
- To avoid the excitation, operational frequency can be limited to 1/10 or 1/100 of their major resonance frequency
- Limits the performance of high speed devices such as AFM
- Stiffer piezoelectric have lower strokes

- Highly sensitive to the ambient temperature
- Displacement of a tube actuator gets increased by 10 % when subjected to 25° C increase in temperature
- Effect can be explained by help of intrinsic and extrinsic responses
- At absolute zero temperature intrinsic response was* analyzed
- Extrinsic response was studied at higher temperature
- It was concluded that major influence comes from extrinsic response especially when dealing with room temperature and low electric field

* DA Hall. Review nonlinearity in piezoelectric ceramics. Journal of materials science, 36(19):4575–4601, 2001

Modeling Piezoelectric Actuation:



90s

Modeling Piezoelectric Actuation (Contd..):



 $S_i = s_{ij}^E T_j + d_{ki}E_k,$ $D_m = d_{mj}T_j + \epsilon_{mk}^T E_k,$ S- Strain

E-Applied Electric Field

T- Stress

D- Electric Displacement

sE-Elastic Compliance at constant electric field

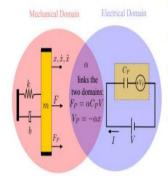
d- piezoelectric material constant

 ϵ^{T} -Permittivity at constant stress

- Relation established in 1987 by standards committee of IEEE Ultrasonics, Ferroelectrics, and Frequency Control Society
- Considered linear relation between Strain developed and applied electric field and stress
- Similarly, electric displacement resulted considered to have linear dependency over applied stress and electric field
- Non linearity coming from hysteresis is not explainable with this relation

Linear Electromechanical model* to include dynamic and non-linear behavior was introduced in

(a) A linear electromechanical model



- Mechanical and Electrical domains are linked by α
- Mechanical domain modeled as mass-spring-damper system
- Electrical domain modeled as clamped capacitor in series with an internal voltage source
- Voltage source magnitude is proportional to the actuators displacement

- With driving voltage V, internal force F_P gets generated in the mechanical domain
 - External force F, and the generated force, together acts on the actuator

Situator
$$S_i = \frac{1}{Nl_n}$$
, $T_i = \frac{F}{I}$

$$m\ddot{x} + b\dot{x} + kx = F + \alpha C_P V,$$

Employed Replacements:

$$D_m = \frac{A_n}{A_n}$$

$$\int Idt = q = \alpha C_P x + C_P V,$$

$$E_k = \frac{V}{l_n},$$

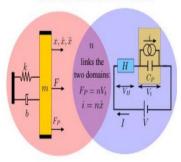
$$s_{ij}^{E} = \frac{1}{Nl_{n}k}$$
,
 $d_{ki} = d_{mj} = \frac{\alpha C_{P}}{k}$,

$$\epsilon_{mk}^T = \frac{l_n C_P}{N A_n} + \frac{l_n \alpha^2 C_P^2}{N A_n k}. \label{eq:epsilon}$$

Non-Linear Electromechanical Model

actuator

(b) A nonlinear electromechanical model



- Electrical and Mechanical domains are linked by n
- The main difference is in Electrical Domain
- Internal Voltage is replaced by an internal current source i which is in parallel with actuators clamped capacitance C_p
- Hysteresis effect is modeled as voltage V_H across a dipole H in series with C_p
- Internal current is proportional to the actuator displacement
- Linear voltage V_T across the capacitance is proportional to internal Force

Non-Linear Electromechanical Model (Contd..)

$$m\ddot{x} + b\dot{x} + kx = F + nV_t,$$

 $q = nx + C_PV_t,$
 $V = V_t + V_H,$
 $V_H = H(q),$

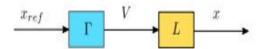
- Total driving voltage is sum of linear and non linear voltage
- · Hysteresis voltage is function of charge q passing through the actuator
- For its use in control, Hysteresis model H is required

Control Technique for Piezoelectric Actuators : Control technique can be classified into four categories: ● Feed-forward

• Feedback • Integrated sensors and actuators • Self Sensing

Feed-forward control for Piezoelectric Actuators:





• Under zero external force, and quasi static condition

Feed-forward method applies the reference input to

 $kx = \alpha V_P$

Putting this in equation of q

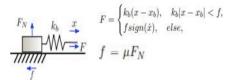
 $q = (\alpha + \frac{k}{\alpha})x$.

- - model to calculate the required voltage for the $V = \frac{k}{\alpha}x + H((\alpha + \frac{k}{\alpha})x) = \Gamma(x),$
- Combining all
- For feed-forward, firstly build a model L(V) and inverse that to have the feedforward block

Maxwell Hysteresis Model:



Originally proposed for massless slider-spring system



k_b-spring stiffness

 x_h -initial position of the slider

x- displacement of the slider

f-friction on the system

F_N-Normal force on the slider from

the ground

 μ - friction coefficient

F- External force applied on the

Same model can be generalized for n number of slider-spring elements

$$F_i = \begin{cases} k_{bi}(x_i - x_{bi}), & k_{bi}|x_i - x_{bi}| < f_i, \\ f_i sign(\dot{x_i}), & else, \end{cases}$$

$$F = \sum_{i=1}^{n} F_i.$$

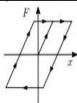
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Maxwell Hysteresis Model (Contd..):







Modified Relation

- Behavior of slider spring system is similar to that of Hysteresis
- Each domain can be modeled as slide-spring system and domain interaction by
- In the relation provided by Goldfarb, F replaced by V_{μ} and x by q
- Because of involvement of Coulomb's friction this model too is valid for rate independent Hysteresis

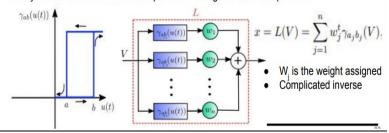
Preisach Hysteresis Model:



· Uses an elementary operator called Relay operator

$$\gamma_{ab}(u(t)) = \begin{cases} 1, & u(t) > a, \\ u(t-1), & b < u(t) < a, \\ 0, & u(t) < b, \end{cases} \quad \text{u(t) is the input} \\ \text{a, b are the threshold of the operator}$$

· Hysteresis Model can be developed considering n number of operators



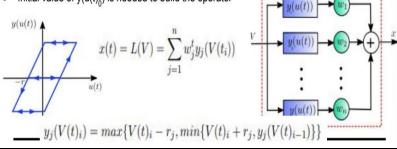
Prandtle-Ishilinskii Hysteresis Model:



- · Simplified version of Preisach model
- Doesn't requires double integration and parameters can be easily identified
- · Elementary operator used is called "play operator"

$$y(u(t)_i) = \max\{u(t)_i - r, \min\{u(t)_i + r, y(u(t)_{i-1})\}\}$$

- · r is called threshold parameter
- Initial value of y(u(t)₀) is needed to build the operator

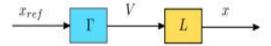


Prandtle-Ishilinskii Hysteresis Model (Contd..):



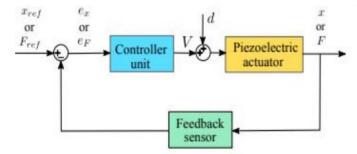
$$y_i(V(t)_i) = max\{V(t)_i - r_j, min\{V(t)_i + r_j, y_i(V(t)_{i-1})\}\}$$

- Parameter identification for optimum weight can be carried out using appropriate fitting algorithm
- Simple and effective way is to divide the voltage level into 'n' equal segments
- r is the maximum voltage level in each segment
- This model is easy to invert
- · Rule for feedforward control is:
 - Identify the different parameters for L(V)=x, no external force and quasi static condition
 - Invert the model Γ = L⁻¹ and use in forward control



Feedback Control for Piezoelectric Actuators:



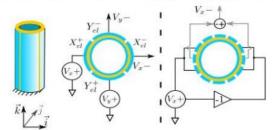


- Depending on the requirements, appropriate sensor(s) can be employed
- In many cases Hysteresis is considered as disturbance 'd' to the system
- Mostly PID control is used

Integrated Sensors and Actuators:



- Challenges from limited work space/ size
- Mounting of strain gauges may help in bringing small form factor and low cost
- Another possibility is to selectively use an actuator as actuator and as a sensor in other part
- Uses bridge circuit to measure internal voltage (linearly dependent with displacement)



Use electrodes like "actuating electrodes" and "sensing electrodes"

<u>Integrated Sensors and Actuators (Contd..):</u> ● Such systems are modeled as MIMO system ● Disadvantage to have cross coupling ● Disadvantage in terms of reduction of actuation