

ASSIGNMENT 2

QUESTION 1

Consider a relation $R(A, B, C, D, E, G, H, I, J)$ and its FD set $F = \{A \rightarrow DE, B \rightarrow GI, E \rightarrow CD, CE \rightarrow ADH, H \rightarrow G, AH \rightarrow I\}$.

1) Check if $A \rightarrow I \in F^+$.

To check if $A \rightarrow I \in F^+$ we need to find A^+
So,
 $A^+ = ADECHGI$
Therefore $A \rightarrow I \in F^+$

2) Find a candidate key for R .

$B^+ = BGI \notin F$
 $A^+ = ADECHGI \notin F$
 $J^+ = J \notin F$
 $(ABJ)^+ = ABJDEGICH \in F$
 $(BEJ)^+ = BEJGICDAH \in F$
 $(BC)^+ = BCGI \notin F$

Hence we have two candidate keys in the given relation R as follows

- a. ABJ
- b. BEJ

3) Determine the highest normal form of R with respect to F . Justify your answer.

Candidate keys are ABJ, BEJ

Hence prime attributes are A, B, E, J and non-prime attributes are C, D, G, H, I

Checking for 2NF

$F = \{A \rightarrow DE, B \rightarrow GI, E \rightarrow CD\}$ shows that non-prime attributes are not fully functionally dependent on the candidate keys.

Hence the given relation is not in 2NF and thus cannot be in any higher normal form than Second Normal Form (2NF).

Therefore the highest normal form of the relation R is 1NF.

4) Find a minimal cover F_m for F .

$$F = \{A \rightarrow DE, B \rightarrow GI, E \rightarrow CD, CE \rightarrow ADH, H \rightarrow G, AH \rightarrow I\}.$$

Step 1: Split the FDs such that R.H.S contains a single attribute

$$F_m = \{A \rightarrow D, A \rightarrow E, B \rightarrow G, B \rightarrow I, E \rightarrow C, E \rightarrow D, CE \rightarrow A, CE \rightarrow D, CE \rightarrow H, H \rightarrow G, AH \rightarrow I\}$$

Step 2: Find the redundant FDs and delete them from the set

$A \rightarrow D$ and $E \rightarrow D$ are redundant because we have $A^+ = AECDHGI$ and $E^+ = ECADHGI$
Hence after deleting them we get

$$F_m = \{A \rightarrow E, B \rightarrow G, B \rightarrow I, E \rightarrow C, CE \rightarrow A, CE \rightarrow D, CE \rightarrow H, H \rightarrow G, AH \rightarrow I\}$$

Step 3: Find the redundant attributes on L.H.S and delete them from the set

In $CE \rightarrow A, CE \rightarrow D, CE \rightarrow H$. C is redundant as we have $E^+ = EC$

In $AH \rightarrow I$. H is redundant as we have $A^+ = ADECHGI$

Hence after deleting them we get

$$F_m = \{A \rightarrow E, B \rightarrow G, B \rightarrow I, E \rightarrow C, E \rightarrow A, E \rightarrow D, E \rightarrow H, H \rightarrow G, A \rightarrow I\}$$

Now we can combine them into more simpler FDs

Therefore

$$F_m = \{A \rightarrow EI, B \rightarrow GI, E \rightarrow ACDH, H \rightarrow G\}$$

5) Decompose into a set of 3NF relations if it is not in 3NF step by step. Make sure your decomposition is dependency-preserving and lossless-join.

Using the minimal cover we have $F_m = \{A \rightarrow EI, B \rightarrow GI, E \rightarrow ACDH, H \rightarrow G\}$

Decomposing F_m into relations we get

$R_1 (A, E, I)$

$R_2 (B, G, I)$

$R_3 (A, E, C, D, H)$

$R_4 (H, G)$

Also we can easily combine R_1 and R_3 as A and E are common prime attributes, we get our new relations as :-

$R_1 (B, G, I)$

$R_2 (A, E, C, D, H, I)$

$R_3 (H, G)$

Dependency-preserving check

Here, the given relation $R(A, B, C, D, E, G, H, I, J)$ with FD set $F = \{A \rightarrow DE, B \rightarrow GI, E \rightarrow CD, CE \rightarrow ADH, H \rightarrow G, AH \rightarrow I\}$ is decomposed into $R_1(B, G, I)$ and $R_2(A, E, C, D, H, I)$ and $R_3(H, G)$ which is dependency preserving because FD

$F = \{A \rightarrow DE\}$ is a part of $R_2(A, E, C, D, H, I)$.

$F = \{B \rightarrow GI\}$ is a part of $R_1(B, G, I)$.

$F = \{E \rightarrow CD\}$ is a part of $R_2(A, E, C, D, H, I)$.

$F = \{CE \rightarrow ADH\}$ is a part of $R_2(A, E, C, D, H, I)$.

$F = \{H \rightarrow G\}$ is a part of $R_3(H, G)$

$F = \{AH \rightarrow I\}$ is a part of $R_2(A, E, C, D, H, I)$

Hence the decomposition is dependency-preserving.

Lossless-join check

| | A | B | C | D | E | G | H | I | J |
|-------|---|---|---|---|---|----------------|---|---|---|
| R_1 | b | a | b | b | b | a | b | a | b |
| R_2 | a | b | a | a | a | b a | a | a | b |
| R_3 | b | b | b | b | b | a | a | b | b |

By the above table we get that the decomposition is lossy and hence to make it lossless we need to add another relation $R_4(A, B, J)$ because ABJ is our candidate key.

| | A | B | C | D | E | G | H | I | J |
|-------|---|---|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| R_1 | b | a | b | b | b | a | b | a | b |
| R_2 | a | b | a | a | a | b a | a | a | b |
| R_3 | b | b | b | b | b | a | a | b | b |
| R_4 | a | a | b a | b a | b a | b a | b a | b a | b a |

As we can see the last row is complete 'a' hence now the decomposition is lossless.

Further lossless-join checks include :-

1. $\text{Att}(R_1) \cup \text{Att}(R_2) \cup \text{Att}(R_3) \cup \text{Att}(R_4) = (A, B, C, D, E, G, H, I, J) = \text{Att}(R)$
2. $\text{Att}(R_1) \cap \text{Att}(R_2) \cap \text{Att}(R_3) \cap \text{Att}(R_4) \neq \emptyset$

Therefore a dependency-preserving and lossless-join 3NF decomposition is

$R_1(B, G, I)$

$R_2(A, E, C, D, H, I)$

$R_3(H, G)$

$R_4(A, B, J)$

QUESTION 2

Consider the schedule below. Here, $R(*)$ and $W(*)$ stand for 'Read' and 'Write', respectively. T_1 , T_2 , T_3 and T_4 represent four transactions and t_i represents a time slot.

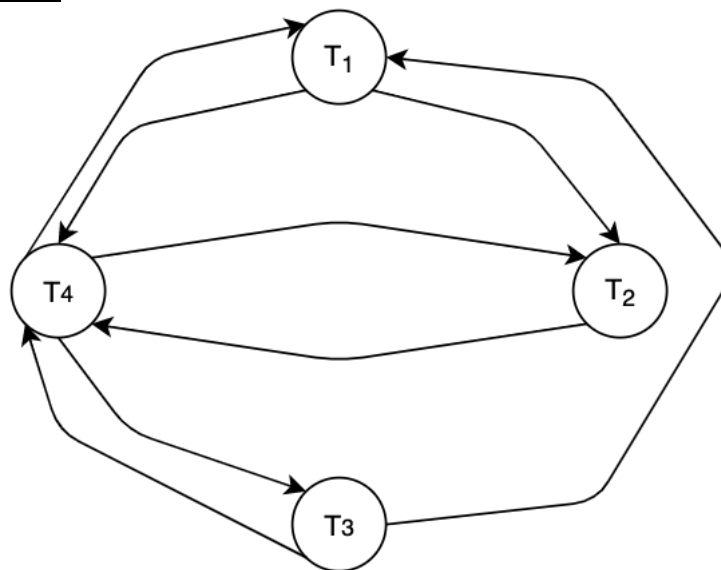
| Time | t_1 | t_2 | t_3 | t_4 | t_5 | t_6 | t_7 | t_8 | t_9 | t_{10} | t_{11} | t_{12} |
|-------|--------|--------|--------|--------|--------|--------|--------|--------|--------|----------|----------|----------|
| T_1 | $R(B)$ | | | | | $R(A)$ | $W(B)$ | | | | $W(A)$ | |
| T_2 | | | | | | | | $R(B)$ | | | | $W(B)$ |
| T_3 | | | $R(A)$ | $W(A)$ | | | | | | | | |
| T_4 | | $R(A)$ | | | $W(A)$ | | | | $R(B)$ | $W(B)$ | | |

Each transaction begins at the time slot of its first Read and commits right after its last Write (same time slot).

Regarding the following questions, give and justify your answers.

- 1) Is the transaction schedule conflict serializable? Give the precedence graph to justify your answer.

Precedence graph



The precedence graph is cyclic in nature therefore the transaction is NOT conflict serializable.

2) Give a serial schedule of these four transactions.

| Time | t_1 | t_2 | t_3 | t_4 | t_5 | t_6 | t_7 | t_8 | t_9 | t_{10} | t_{11} | t_{12} |
|----------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|----------|----------|----------|
| T ₁ | R(B) | R(A) | W(B) | W(A) | | | | | | | | |
| T ₂ | | | | | R(B) | W(B) | | | | | | |
| T ₃ | | | | | | | R(A) | W(A) | | | | |
| T ₄ | | | | | | | | | R(A) | W(A) | R(B) | W(B) |

3) Lock the transactions T₁ and T₂ according to the simple locking scheme. You only need to consider the order of the operations, not the timestamps.

| T ₁ | T ₂ |
|----------------|----------------|
| write_lock(B) | |
| R(B) | |
| write_lock(A) | |
| R(A) | |
| W(B) | |
| unlock(B) | |
| | |
| W(A) | |
| unlock(A) | |
| | write_lock(B) |
| | R(B) |
| | |
| | W(B) |
| | unlock(B) |