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MATRICES AND GAUSSIAN ELIMINATION

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BREAKDOWN OF GAUSSIAN ELIMINATION:

Course Content: Breakdown of Gaussian Elimination

- If a zero appears in a pivot position, elimination has to stop—either temporarily or permanently. In this case the system may or may not be singular.
 - In many cases this problem can be cured and elimination can proceed. Such a system is non-singular and has a full set of pivots.
 - In other cases, when the breakdown is unavoidable (permanent). These systems are singular and have no solution or have infinitely many solutions. For such systems a full set of pivots cannot be found.
- Non-Singular & Curable $(|A| \neq 0)$ Singular & InCurable (|A| = 0)Singular (|A| = 0)

BREAKDOWN OF GAUSSIAN ELIMINATION:



\diamondsuit (i) Non-Singular & Curable $(|A| \neq 0)$:

* Consider the system
$$x + y + z = 6$$

$$x + y + 3z = 10$$

$$x + 2y + 4z = 12$$

$$\begin{pmatrix} 1 & 1 & 1 : 6 \\ 1 & 1 & 3 : 10 \\ 1 & 2 & 4 : 12 \end{pmatrix} \xrightarrow{R_2 - R_1} \begin{pmatrix} 1 & 1 & 1 : 6 \\ 0 & 0 & 2 : 4 \\ 0 & 1 & 3 : 6 \end{pmatrix}$$
 Here there is a zero in the second pivot position which can be avoided by a row exchange. Thus breakdown is **temporary**

and curable.

Hence this system reduces to an upper triangular system which can be solved by Back Substitution and so system will become consistent and will have a unique solution.

$$\begin{array}{c}
R_2 \leftrightarrow R_3 \\
\hline
 & 0 & 1 & 3:6 \\
0 & 0 & 2:4
\end{array}
\Rightarrow
\begin{array}{c}
x + y + z = 6 \\
y + 3z = 6 \\
2z = 4
\end{array}
\Rightarrow
\begin{array}{c}
(x, y, z) = (4, 0, 2) \\
2z = 4
\end{array}$$

BREAKDOWN OF GAUSSIAN ELIMINATION:



$$\Leftrightarrow$$
 (ii) Singular & InCurable $(|A| = 0)$: \Rightarrow Consider the system $x + y + z = 6$

$$(|A|=0)$$
:

$$x + y + z = 6$$

$$x + y + 3z = 10$$

$$x + y + 4z = 13$$

$$\begin{pmatrix}
1 & 1 & 1 : 6 \\
1 & 1 & 3 : 10 \\
1 & 1 & 4 : 13
\end{pmatrix}
\xrightarrow{R_2 - R_1}
\begin{pmatrix}
1 & 1 & 1 : 6 \\
0 & 0 & 2 : 4 \\
0 & 0 & 3 : 7
\end{pmatrix}$$
Here there is a zero in the second pivot position which cannot be avoided by any row exchange.

Hence breakdown cannot be avoided

Hence breakdown cannot be avoided which is incurable.

$$\Rightarrow \begin{array}{c} x+y+z=6 \\ \Rightarrow & 2z=4 \\ 3z=7 \end{array} \end{array} \text{ which is incurable.}$$
 The system is singular and has no solution. Here we get z=2 and z=7/3 which is not possible.

BREAKDOWN OF GAUSSIAN ELIMINATION:



$$(iii)$$
 Singular $(|A| = 0)$:

$$x + y + z = 6$$
 $x + y + 3z = 10$ $x + y + 4z = 12$

$$\begin{pmatrix} 1 & 1 & 1 : 6 \\ 1 & 1 & 3 : 10 \\ 1 & 1 & 4 : 12 \end{pmatrix} \xrightarrow{R_2 - R_1} \begin{pmatrix} 1 & 1 & 1 : 6 \\ 0 & 0 & 2 : 4 \\ 0 & 0 & 3 : 6 \end{pmatrix}$$
 Here there is a zero in the second pivot position which cannot be avoided. But since last two equations are **consistent**

and we get infinite number of solutions.

$$\Rightarrow x + y + z = 6$$

$$\Rightarrow z = 2 \text{ and } x + y = 4$$

$$\Rightarrow y = k \Rightarrow x = 4 - k$$

$$\Rightarrow (x, y, z) = (4 - k, k, 2)$$

BREAKDOWN OF GAUSSIAN ELIMINATION:



$$u + v + w = -2$$

When does elimination fail at which pivot position. Is the breakdown temporary or permanent, discuss.

$$u - v + w = -1$$

What coefficient of v in the third equation, in place of the present -1, would make it impossible to proceed-and force elimination to break down?

$$\begin{pmatrix} 1 & 1 & 1:-2 \\ 3 & 3 & -1:6 \\ 1 & -1 & 1:-1 \end{pmatrix} \xrightarrow{R_2 - 3R_1} \begin{pmatrix} 1 & 1 & 1:-2 \\ 0 & 0 & -4:12 \\ 0 & -2 & 0:1 \end{pmatrix}$$
 Elimination fails at second pivot position. This breakdown is temporary which can be cured by exchanging 2nd and 3rd row. Then

system becomes consistent and we get a unique solution.

System becomes consistent and we get a unique solution.

$$\frac{R_2 \leftrightarrow R_3}{R_2 \leftrightarrow R_3} \Rightarrow \begin{pmatrix} 1 & 1 & 1: -2 \\ 0 & -2 & 0: 1 \\ 0 & 0 & 4: 12 \end{pmatrix} \Rightarrow \begin{pmatrix} u + v + w = -2 \\ -2v = 1 \\ -4w = 12 \end{pmatrix}$$

$$\Rightarrow (u, v, w) = \begin{pmatrix} \frac{3}{2}, -\frac{1}{2}, -3 \end{pmatrix} \text{ If the coefficient of v in the third equation is 1 instead of -1, then}$$

$$\Rightarrow$$
 $(u, v, w) = \left(\frac{3}{2}, -\frac{1}{2}, -3\right)$

elimination breaks down permanently and it is impossible to proceed.



BREAKDOWN OF GAUSSIAN ELIMINATION:

(2) For which three numbers a will elimination fail to give three pivots?

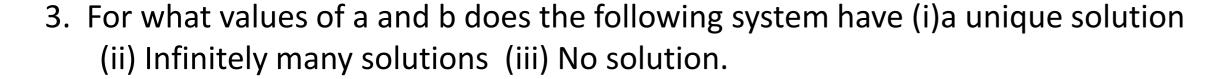
$$ax + 2y + 3z = b_1$$
$$ax + ay + 4z = b_2$$
$$ax + ay + az = b_3$$

$$\begin{pmatrix} a & 2 & 3:b_1 \\ a & a & 4:b_2 \\ a & a & a:b_3 \end{pmatrix} \xrightarrow{R_2 - R_1} \begin{pmatrix} a & 2 & 3:b_1 \\ 0 & a-2 & 1:b_2 - b_1 \\ 0 & a-2 & a-3:b_3 - b_1 \end{pmatrix}$$

If a=0, a=2, a=4 will fail to give 3 pivots.



BREAKDOWN OF GAUSSIAN ELIMINATION:



$$x+2y+3z = 2$$
$$-x-2y+az = -2$$
$$2x+by+6z = 5$$

$$\begin{pmatrix} 1 & 2 & 3:2 \\ -1 & -2 & a:-2 \\ 2 & b & 6:5 \end{pmatrix} \xrightarrow{R_2 + R_1 \atop R_3 - 2R_1} \begin{pmatrix} 1 & 2 & 3:2 \\ 0 & 0 & a+3:0 \\ 0 & b-4 & 0:1 \end{pmatrix} \xrightarrow{R_3 \leftrightarrow R_2} \begin{pmatrix} 1 & 2 & 3:2 \\ 0 & b-4 & 0:1 \\ 0 & 0 & a+3:0 \end{pmatrix}$$

- (i) If $a \neq -3$ and $b \neq 4$ then r(A)=r(A:b)=3=n hence system will be consistent and will have a unique solution.
 - (ii) If $\alpha = -3$ then r(A)=r(A:b)=2< n(=3) hence system will be **consistent** and will have infinitely many solutions.
 - (iii) If a = -3, b = 4 (or $a \ne -3$, b = 4), then r(A)=1(or 2) and r(A:b)=2(or 3) hence system will be **inconsistent** and will have **no solution**.





THANK YOU

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