



# OPERATING SYSTEMS

## Kernel Data Structures and Computing Environments

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# OPERATING SYSTEMS

## Slides Credits for all the PPTs of this course

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- The slides/diagrams in this course are an **adaptation**, **combination**, and **enhancement** of material from the following resources and persons:
  1. Slides of Operating System Concepts, Abraham Silberschatz, Peter Baer Galvin, Greg Gagne - 9<sup>th</sup> edition 2013 and some slides from 10<sup>th</sup> edition 2018
  2. Some conceptual text and diagram from Operating Systems - Internals and Design Principles, William Stallings, 9<sup>th</sup> edition 2018
  3. Some presentation transcripts from A. Frank – P. Weisberg
  4. Some conceptual text from Operating Systems: Three Easy Pieces, Remzi Arpaci-Dusseau, Andrea Arpaci Dusseau

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## Kernel Data Structures

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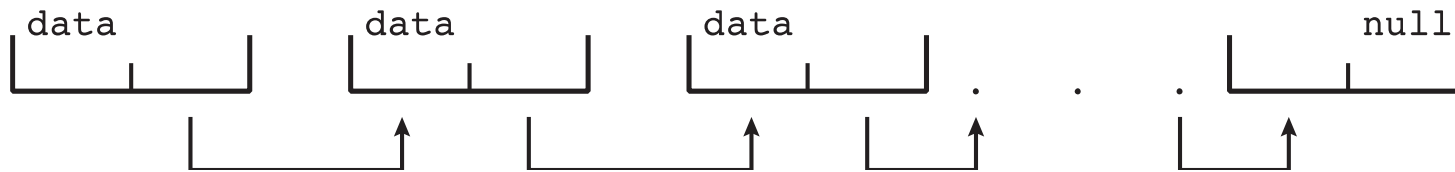
### *Array:*

- An array is a simple data structure in which each element can be accessed directly.
- Main Memory constructed with array.
- How the data is accessed?
- Items with multiple bytes are accessed as  $\text{item number} \times \text{item size}$
- But what about storing an item whose size may vary?
- what about removing an item if the relative positions of the remaining items must be preserved?

- Standard programming data structures are used extensively in OS

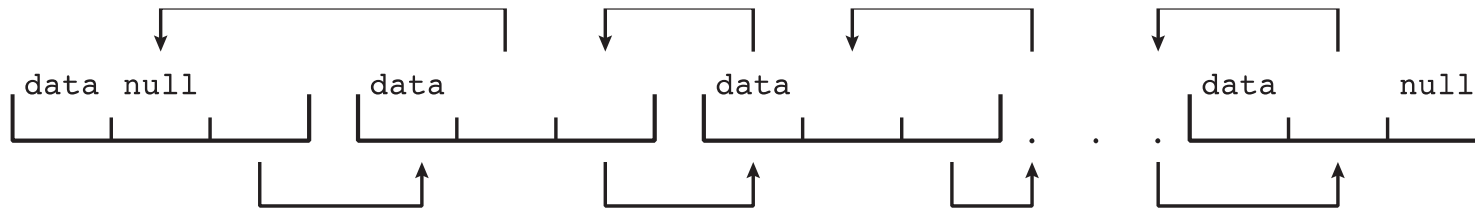
### Singly linked list

- The items in a list must be accessed in a particular order.
- common method for implementing this structure is a linked list



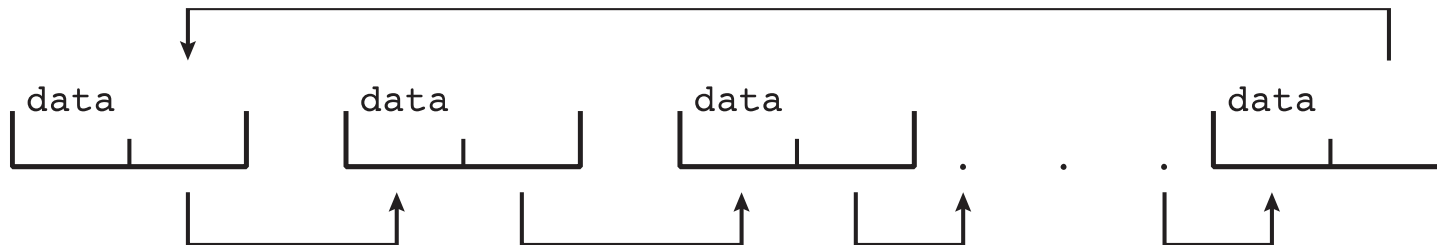
- In a **singly linked list**, each item points to its successor.

### *Doubly linked list*



In a **doubly linked list**, a given item can refer either to its predecessor or to its successor.

### *Circular linked list*



In a **circularly linked list**, the last element in the list refers to the first element, rather than to null.

### Advantages:

- Linked lists accommodate items of varying sizes.
- Allow easy insertion and deletion of items

### Disadvantages:

- Performance for retrieving a specified item in a list of size  $n$  is linear —  $O(n)$ , as it requires potentially traversing all  $n$  elements in the worst case.

### Usage:

- Lists are used by the some of the kernel algorithms
- Constructing more powerful data structures such as stacks and queues

**Stack** - a sequentially ordered data structure that uses **LIFO** principle for adding and removing items

- OS often uses a stack when involving function calls.
- Parameters, local variables and the return address are **pushed** onto the stack when a function is called
- Return from the function call **pops** those items off the stack

**Queue** - a sequentially ordered data structure that uses **FIFO** principle for adding and removing items

- Tasks waiting to be run on an available CPU are organized in queues
- Print jobs sent to a printer are printed in the order of submission



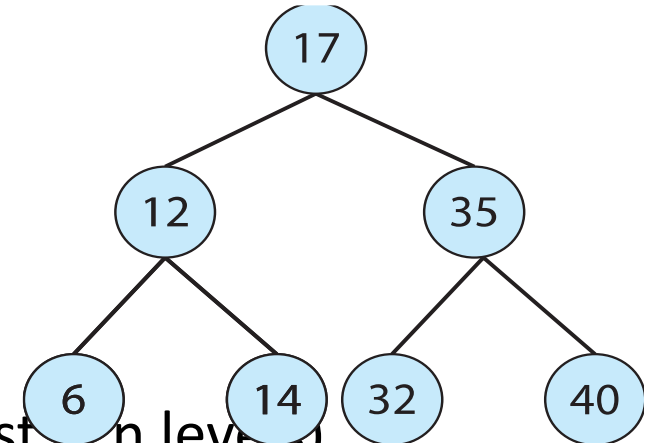
- Data structure used to represent data hierarchically.
- Data values in a tree structure are linked through parent–child relationships

- **Binary search tree**

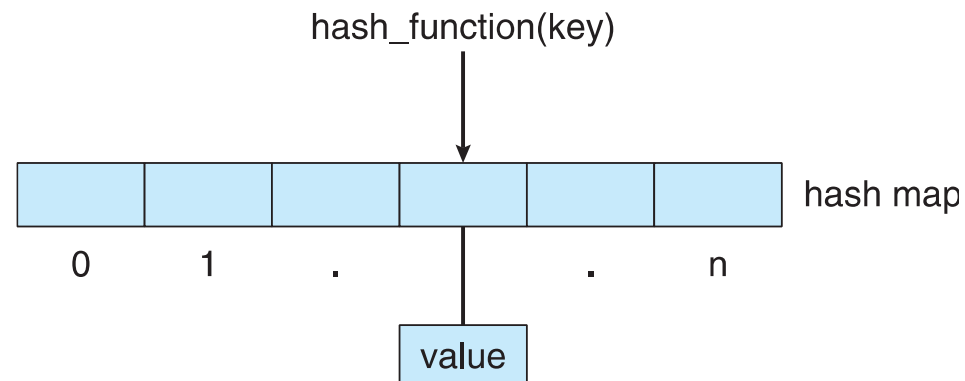
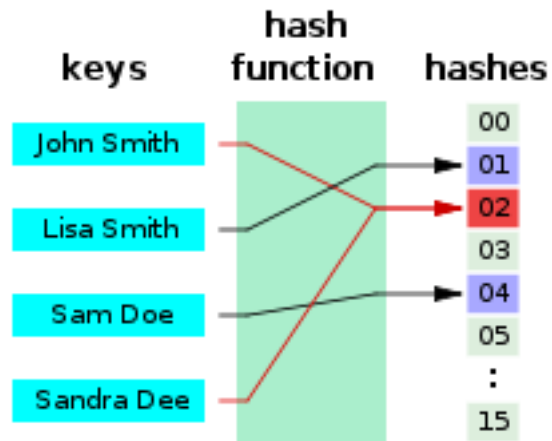
- ordering between 2 children: left  $\leq$  right
- Search performance is  $O(n)$

- **Balanced binary search tree** – (a tree containing  $n$  items has at most  $\lg n$  levels)

- Search performance is  $O(\lg n)$
- Used by Linux for selecting which task to run next (CPU-Scheduling algorithm)



- Hash functions can result in the same output value for 2 inputs
- **Hash function** can be used to implement a **hash map**
  - Maps or associates key:value pairs using a hash function
  - Search performance is  $O(1)$



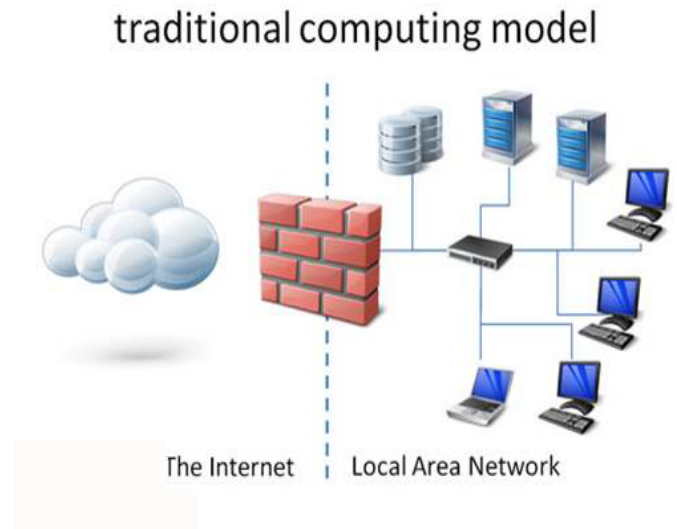
**Bitmap** - string of  $n$  binary digits representing the status of  $n$  items

- Availability of each resource is indicated by the value of a binary digit
  - 0 – resource is available
  - 1 – resource is unavailable
- Value of the  $i^{\text{th}}$  position in the bitmap is associated with the  $i^{\text{th}}$  resource
  - Example: bitmap 001011101 shows resources 2, 4, 5, 6, and 8 are unavailable; resources 0, 1, 3, and 7 are available
- Commonly used to represent the availability of a large number of resources (disk blocks)

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## Computing Environments – Traditional

- Stand-alone general purpose machines
- But blurred as most systems interconnect with others (i.e., the Internet)
- **Portals** provide web access to internal systems
- **Network computers** (**thin clients**) are like Web terminals
- Mobile computers interconnect via **wireless networks**
- Networking becoming ubiquitous – even home systems use **firewalls** to protect home computers from Internet attacks

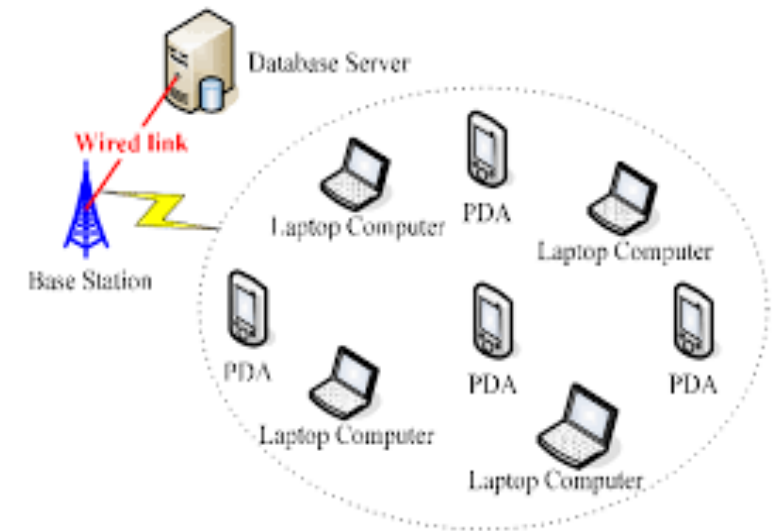


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## Computing Environments – Mobile

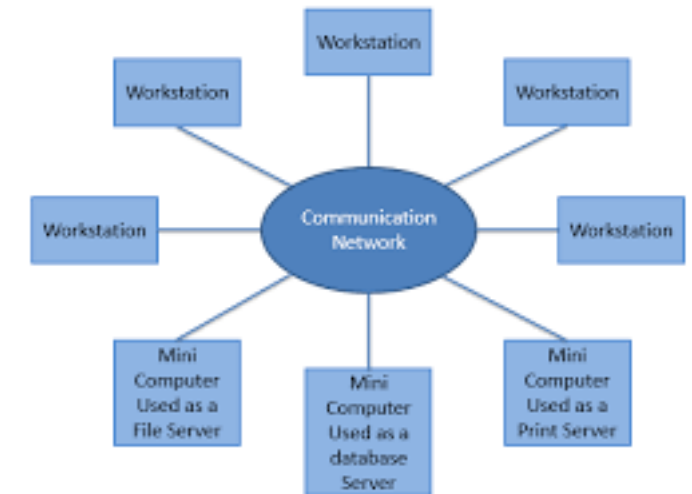


- Handheld smartphones, tablets, etc
- What is the functional difference between them and a “traditional” laptop?
- Extra feature – more OS features (GPS, gyroscope)
- Allows new types of apps like ***augmented reality***
- Use IEEE 802.11 wireless, or cellular data networks for connectivity
- Leaders are **Apple iOS** and **Google Android**



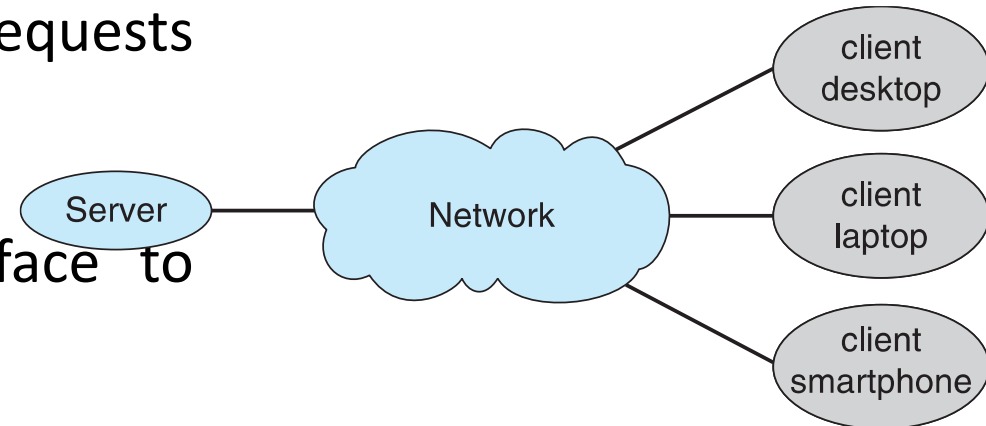
### Distributed computing

- Collection of separate, possibly heterogeneous systems networked together
  - **Network** is a communications path, **TCP/IP** most common
    - **Local Area Network (LAN)**
    - **Wide Area Network (WAN)**
    - **Metropolitan Area Network (MAN)**
    - **Personal Area Network (PAN)**
- **Network Operating System** provides features between systems across network
  - Communication scheme allows systems to exchange messages
  - Illusion of a single system

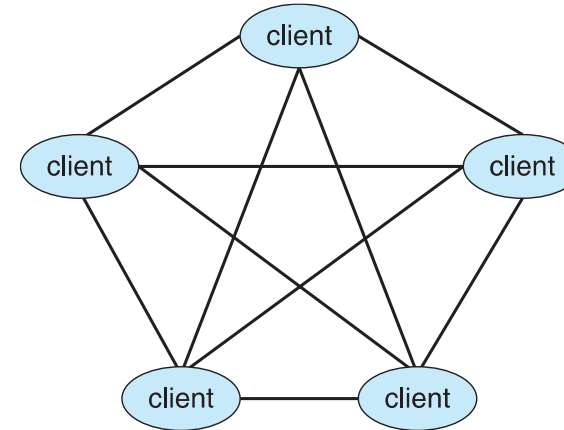


### Client-Server Computing

- Dumb terminals replaced by smart PCs
- Many systems now **servers**, responding to requests generated by **clients**
  - **Compute-server system** provides an interface to client to request services (i.e., database)
  - **File-server system** provides interface for clients to store and retrieve files



- Another model of distributed system
  - P2P does not distinguish clients and servers
  - Instead all nodes are considered peers
  - May each act as client, server or both
  - Node must join P2P network
    - Registers its service with central lookup service on network, or
    - Broadcast request for service and respond to requests for service via **discovery protocol**
  - Examples include Napster and Gnutella, **Voice over IP (VoIP)** such as Skype



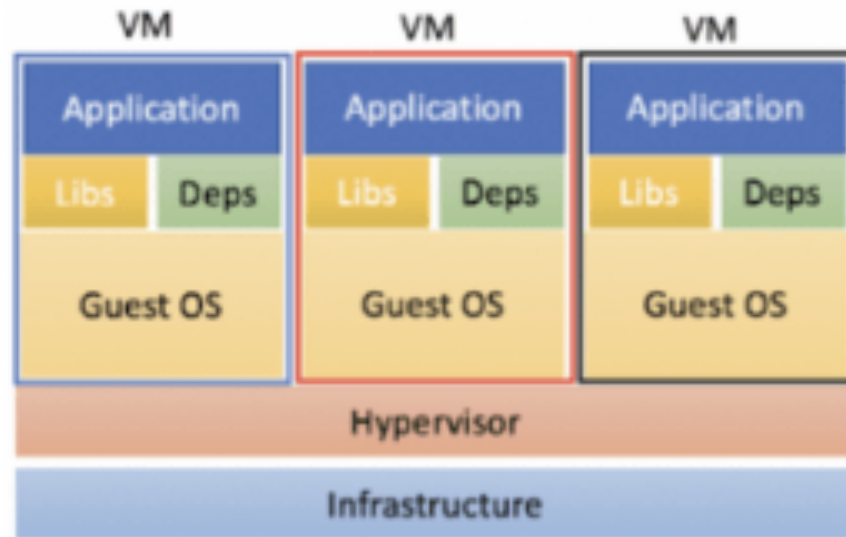


- Allows operating systems to run applications within other OSes
  - Vast and growing industry
- **Emulation** used when source CPU type different from target type (i.e. PowerPC to Intel x86)
  - Generally slowest method
  - When computer language not compiled to native code – **Interpretation**
- **Virtualization** – OS natively compiled for CPU, running **guest** OSes also natively compiled
  - Consider VMware running WinXP guests, each running applications, all on native WinXP **host** OS
  - **VMM** (virtual machine Manager) provides virtualization services

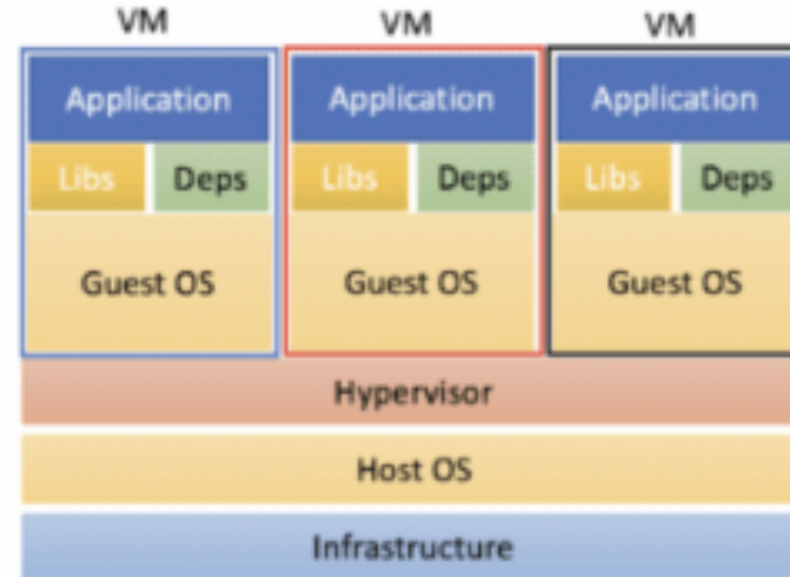
- Use cases involve laptops and desktops running multiple OSes for exploration or compatibility
  - Apple laptop running Mac OS X host, Windows as a guest
  - Developing apps for multiple OSes without having multiple systems
  - QA testing applications without having multiple systems
  - Executing and managing compute environments within data centers
- VMM can run natively, in which case they are also the host

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## Computing Environments – Virtualization



**Type-1**

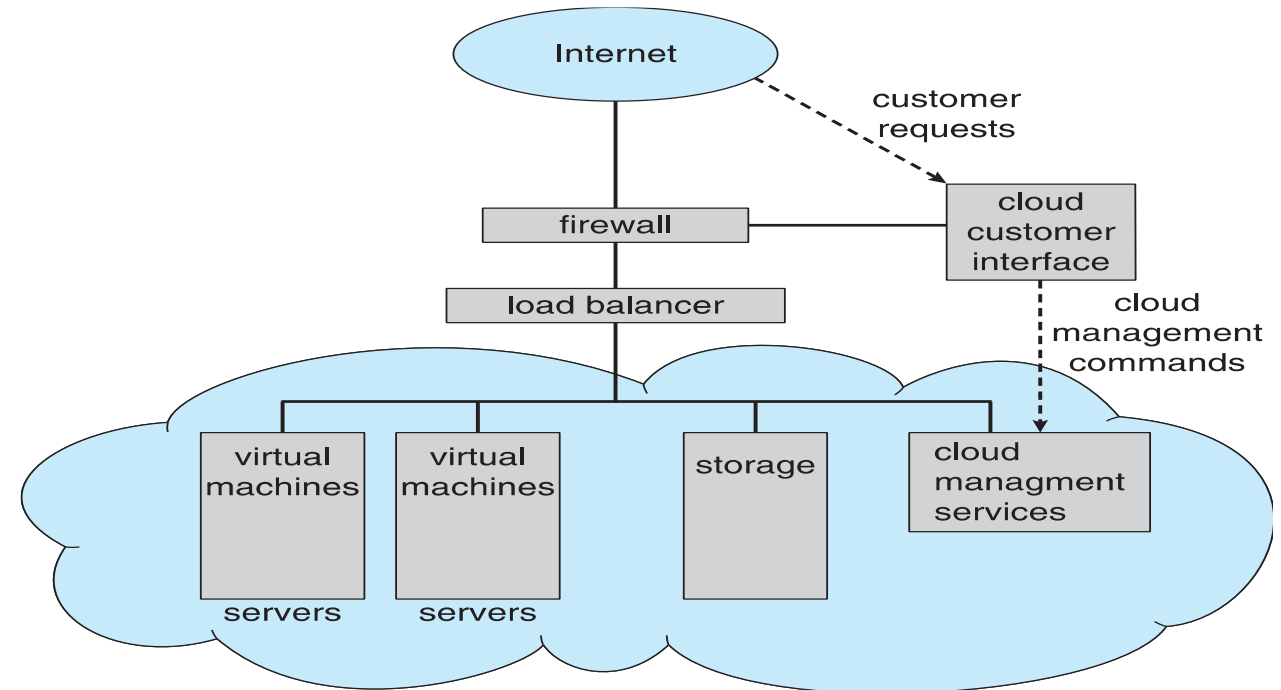


**Type-2**

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## Computing Environments – Cloud Computing

- Cloud computing environments composed of traditional OSeS, plus VMMs, plus cloud management tools
  - Internet connectivity requires security like firewalls
  - Load balancers spread traffic across multiple applications



- Delivers computing, storage, even apps as a service across a network
- Logical extension of virtualization because it uses virtualization as the base for its functionality.
  - Amazon **EC2** has thousands of servers, millions of virtual machines, petabytes of storage available across the Internet, pay based on usage
- Many types
  - **Public cloud** – available via Internet to anyone willing to pay
  - **Private cloud** – run by a company for the company's own use
  - **Hybrid cloud** – includes both public and private cloud components

- ❑ Software as a Service (**SaaS**) – one or more applications available via the Internet (i.e., word processor)
- ❑ Platform as a Service (**PaaS**) – software stack ready for application use via the Internet (i.e., a database server)
- ❑ Infrastructure as a Service (**IaaS**) – servers or storage available over Internet (i.e., storage available for backup use)

- Real-time embedded systems most prevalent form of computers
  - Vary considerable, special purpose, limited purpose OS, **real-time OS**
  - Use expanding
- Many other special computing environments as well
  - Some have OSes, some perform tasks without an OS
- Real-time OS has well-defined fixed time constraints
  - Processing ***must*** be done within constraint
  - Correct operation only if constraints met



**THANK YOU**

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