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On discrete cosine transform

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Abstract—The discrete cosine transform (DCT), introduced by Ahmed, Natarajan and Rao, has been used in many applications of digital signal processing, data compression and information hiding. There are four types of the discrete cosine transform. In simulating the discrete cosine transform, we propose a generalized discrete cosine transform with three parameters, and prove its orthogonality for some new cases. A new type of discrete cosine transform is proposed and its orthogonality is proved. Finally, we propose a generalized discrete W transform with three parameters, and prove its orthogonality for some new cases.

Keywords: Discrete Fourier transform, discrete sine transform, discrete cosine transform, discrete W transform

I. INTRODUCTION

Discrete Fourier transform has been an important tool in many applications of digital signal processing, image processing and information hiding. The appearance of fast fourier transform (FFT) has greatly promoted the rapid development of the subjects above. Ahmed, Natarajan, and Rao (1974) proposed discrete cosine transform defined on real number field, it can be called DCT-II-E or DCT-III-E (Wang and Hunt, 1983). Jain (1974) proposed discrete fourier transform DCT-IV-E, and Wang and Hunt (1983) proposed discrete cosine transform DCT-I-E. The discrete cosine transform has been used in frequency spectrum analysis, data compression, convolution computation and information hiding. Its theory and algorithms have received much attention for the last two decades (Wang and Hunt, 1983, Wang, 1984, August, 2004, Kunz, 2008).

It is demonstrated that the performance of discrete cosine transform can well approximate to ideal K-L transform (Karhunen-Loeve Transform) (Ahmed, Natarajan and Rao, 1974). K-L transform was proposed to dealing with a class of extensive stochastic image. After the image being transformed with K-L transform, the image restored from the result is the best approximation to the original image in the statistical sense. Moreover, for the common data model of Markov process, when the correlation coefficient $r = 1$, K-L transform is degraded to the classic DCT transform. In fact, Real-world images are neither stationary nor Markovian. They have different textures and structures, important image structures like edges, arris and lines extend over large distances in the image (Kunz, 2008). Therefore, different types of transformation are desirable to meet the different applications.

Actually, the discrete cosine transform (DCT-III-E) can be generalized to the unified form with parameters p , q and r , as

follows:

$$X(k) = \alpha(k) \sum_{n=0}^{N-1} x(n) \cos \frac{k(4qn + r)p\pi}{2N},$$

$$k = 0, 1, \dots, N-1.$$

There are many new meaningful transforms, such as when $(p, q, r) = (1, 1, 1)$, the new transform is orthogonal. Generally, when $\gcd(pq, N) = 1$, $\gcd(pr, 2) = 1$, where p , q and r are positive integers, the new transform is orthogonal.

In a similar way, we generalize discrete cosine transforms DCT-II-E and DCT-IV-E. Furthermore, a new type of discrete cosine transform, a new type of discrete sine transform and a new type of discrete sine-cosine transform are proposed, and their orthogonality are proved.

The discrete W transform (DWT), introduced by Wang Z, has been used in many applications of digital signal processing, data compression and information hiding. The unified form of discrete W transform has two parameters. There are four useful types of the discrete W transform. In simulating the discrete W transform, we propose a generalized discrete W transform with three parameters, and prove its orthogonality for some new cases.

II. GENERALIZED DISCRETE COSINE TRANSFORM

Let $\{x(n); n = 0, 1, 2, \dots, N\}$ be a vector of real numbers. The definitions of four common types of discrete cosine transform (Wang and Hunt, 1983) are given as follows:

DCT-I-E:

$$X(k) = \sqrt{\frac{2}{N}} \alpha(k) \sum_{n=0}^N \alpha(n) x(n) \cos \frac{kn\pi}{N},$$

$$k = 0, 1, \dots, N,$$

where

$$\alpha(k) = \begin{cases} \frac{1}{\sqrt{2}} & k = 0 \text{ or } N \\ 1 & \text{else} \end{cases}$$

$$\alpha(n) = \begin{cases} \frac{1}{\sqrt{2}} & n = 0 \text{ or } N \\ 1 & \text{else} \end{cases}$$

DCT-II-E:

$$X(k) = \sum_{n=0}^{N-1} \alpha(n) x(n) \cos \frac{(2k+1)n\pi}{2N},$$

$$k = 0, 1, \dots, N-1,$$

where $\alpha(n) = \begin{cases} \sqrt{\frac{1}{N}} & n = 0 \\ \sqrt{\frac{2}{N}} & \text{else} \end{cases}$
DCT-III-E:

$$X(k) = \alpha(k) \sum_{n=0}^{N-1} x(n) \cos \frac{k(2n+1)\pi}{2N},$$

$$k = 0, 1, \dots, N-1,$$

where $\alpha(k) = \begin{cases} \sqrt{\frac{1}{N}} & k = 0 \\ \sqrt{\frac{2}{N}} & \text{else} \end{cases}$
DCT-IV-E:

$$X(k) = \sqrt{\frac{2}{N}} \sum_{n=0}^{N-1} x(n) \cos \frac{(2k+1)(2n+1)\pi}{2N},$$

$$k = 0, 1, \dots, N-1.$$

Actually, the discrete cosine transform (DCT-III-E) can be generalized to the following unified form with parameters p , q and r :

$$X(k) = \alpha(k) \sum_{n=0}^{N-1} x(n) \cos \frac{k(4qn+r)p\pi}{2N}, \quad (1)$$

$$k = 0, 1, \dots, N-1.$$

We now prove that when $\gcd(pq, N) = 1$, $\gcd(pr, 2) = 1$, where p , q and r are positive integers, transform (6) is orthogonal.

Transform (6) can be written into matrix form:

$$X(N) = C(N) \cdot x(N)$$

where $X(N), x(N)$ are column vectors of length N ,

$$C(N) = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \dots & \frac{1}{\sqrt{2}} \\ \cos \frac{rp\pi}{2N} & \cos \frac{(4q+r)p\pi}{2N} & \cos \frac{(8q+r)p\pi}{2N} & \dots & \cos \frac{[4q(N-1)+r]p\pi}{2N} \\ \cos \frac{2rp\pi}{2N} & \cos \frac{2(4q+r)p\pi}{2N} & \cos \frac{2(8q+r)p\pi}{2N} & \dots & \cos \frac{2[4q(N-1)+r]p\pi}{2N} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \cos \frac{nr}{2N} & \cos \frac{n(4q+r)p\pi}{2N} & \cos \frac{n(8q+r)p\pi}{2N} & \dots & \cos \frac{n[4q(N-1)+r]p\pi}{2N} \end{pmatrix}$$

where $\eta = (N-1)p\pi$

To prove that the new transform (1) is orthogonal, or equivalently, to prove that $C(N)$ is an orthogonal matrix. For the sake of simplicity, the coefficients $\sqrt{\frac{2}{N}}$ and $\frac{1}{\sqrt{2}}$ is omitted.

Let $0 \leq k_1 < k_2 < N$, the inner product of the k_1 th row and k_2 th row of $C(N)$ is that

$$\begin{aligned} & \cos \frac{k_1 rp\pi}{2N} \cos \frac{k_2 rp\pi}{2N} \\ & + \cos \frac{k_1(4q+r)p\pi}{2N} \cos \frac{k_2(4q+r)p\pi}{2N} + \dots \\ & + \cos \frac{k_1[4q(N-1)+r]p\pi}{2N} \cos \frac{k_2[4q(N-1)+r]p\pi}{2N} \\ = & \frac{1}{2} \left\{ \cos \frac{(k_1 - k_2)rp\pi}{2N} + \cos \frac{(k_1 + k_2)rp\pi}{2N} \right. \\ & + \cos \frac{(k_1 - k_2)(4q+r)p\pi}{2N} + \cos \frac{(k_1 + k_2)(4q+r)p\pi}{2N} \\ & + \dots + \cos \frac{(k_1 - k_2)[4q(N-1)+r]p\pi}{2N} \\ & \left. + \cos \frac{(k_1 + k_2)[4q(N-1)+r]p\pi}{2N} \right\} \quad (2) \end{aligned}$$

where the above equality follows by

$$\cos A \cos B = \frac{1}{2} [\cos(A-B) + \cos(A+B)]$$

Since $e^{ix} = \cos x + i \sin x$, we consider the real part of the following complex number:

$$\begin{aligned} & e^{i \frac{krp\pi}{2N}} + e^{i \frac{k(4q+r)p\pi}{2N}} + \dots + e^{i \frac{k[4q(N-1)+r]p\pi}{2N}} \\ = & \frac{e^{i \frac{krp\pi}{2N}}}{1 - e^{i \frac{2kpq\pi}{N}}} (1 - e^{i \frac{2kpq\pi}{N}}) \end{aligned}$$

Note that $\gcd(pq, N) = 1$ and $\gcd(pr, 2) = 1$. When $0 < k < 2N$, if $1 - e^{i \frac{2kpq\pi}{N}} = 0$, then $k = N$, the real part of

$$e^{i \frac{krp\pi}{2N}} + e^{i \frac{k(4q+r)p\pi}{2N}} + \dots + e^{i \frac{k[4q(N-1)+r]p\pi}{2N}}$$

is zero.

If $1 - e^{i \frac{2kpq\pi}{N}} \neq 0$, then

$$e^{i \frac{krp\pi}{2N}} + e^{i \frac{k(4q+r)p\pi}{2N}} + \dots + e^{i \frac{k[4q(N-1)+r]p\pi}{2N}} = 0$$

From equality (7), when $0 \leq k_1 < k_2 < N$, the inner product of the k_1 th row and k_2 th row of $C(N)$ is zero. We know that $C(N)$ is an orthogonal matrix.

Let $0 < k_1 < N$, the inner product of the k_1 th row and itself of $C(N)$ is that

$$\begin{aligned} & \frac{2}{N} \left\{ \left(\cos \frac{k_1 rp\pi}{2N} \right)^2 + \left[\cos \frac{k_1(4q+r)p\pi}{2N} \right]^2 + \dots \right. \\ & \left. + \left[\cos \frac{k_1[4q(N-1)+r]p\pi}{2N} \right]^2 \right\} \\ = & 1 + \frac{1}{N} \left\{ \cos \frac{k_1 rp\pi}{N} + \cos \frac{k_1(4q+r)p\pi}{N} \right. \\ & \left. + \dots + \cos \frac{k_1[4q(N-1)+r]p\pi}{N} \right\} \quad (3) \end{aligned}$$

Note that

$$\begin{aligned} & e^{i \frac{krp\pi}{N}} + e^{i \frac{k(4q+r)p\pi}{N}} + \dots + e^{i \frac{k[4q(N-1)+r]p\pi}{N}} \\ = & \frac{e^{i \frac{krp\pi}{N}}}{1 - e^{i \frac{4kpq\pi}{N}}} (1 - e^{i \frac{4kpq\pi}{N}}) \end{aligned}$$

and $\gcd(pq, N) = 1$ and $\gcd(pr, 2) = 1$.

When $0 < k < N$, if $1 - e^{i \frac{4kpq\pi}{N}} = 0$, then $2k = N$, the real part of

$$e^{i \frac{krp\pi}{2N}} + e^{i \frac{k(4q+r)p\pi}{2N}} + \dots + e^{i \frac{k[4q(N-1)+r]p\pi}{2N}}$$

is zero.

If $1 - e^{i \frac{4kpq\pi}{N}} \neq 0$, then

$$e^{i \frac{krp\pi}{N}} + e^{i \frac{k(4q+r)p\pi}{N}} + \dots + e^{i \frac{k[4q(N-1)+r]p\pi}{N}} = 0$$

From equality (3), when $0 < k_1 < N$, the inner product of the k_1 th row and itself of $C(N)$ is 1. We know that the product of $C(N)$ and its transpose is an identity matrix. Thus, it is easy to get the inverse transform of transform (6). We omit it here.

We remark the following three points:

(I) The transpose of $C(N)$ is an orthogonal matrix, so the transform below is also orthogonal.

$$X(k) = \sum_{n=0}^{N-1} \alpha(n)x(n) \cos \frac{n(4qk+r)p\pi}{2N},$$

$$k = 0, 1, \dots, N-1.$$

where $\gcd(pq, N) = 1$, $\gcd(pr, 2) = 1$, p, q and r are positive integers.

(II) We can obtain a generalized DCT-IV-E transform, as follows:

$$X(k) = \alpha(k) \sum_{n=0}^{N-1} x(n) \cos \frac{(2k+1)(4qn+r)p\pi}{4N},$$

$$k = 0, 1, \dots, N-1.$$

where $\gcd(pq, N) = 1$, $\gcd(pr, 2) = 1$, p, q and r are positive integers.

(III) In a similar way, we can generalize discrete sine transforms, such as DST-II-E, DST-III-E, DST-IV-E.

III. A NEW TYPE OF DISCRETE COSINE TRANSFORM

Let $\{x(n); n = 0, 1, 2, \dots, N-1\}$ be a vector of real numbers. We define a new form of discrete cosine transform, as follows:

$$X(k) = \sqrt{\frac{4}{2N-1}} \alpha(k) \sum_{n=0}^{N-1} \alpha(n)x(n) \cos \frac{(2k+1)(2n+1)\pi}{2N-1}, \quad (4)$$

$$k = 0, 1, \dots, N-1,$$

where

$$\alpha(k) = \begin{cases} \frac{1}{\sqrt{2}} & k = N-1 \\ 1 & \text{else} \end{cases}$$

$$\alpha(n) = \begin{cases} \frac{1}{\sqrt{2}} & n = N-1 \\ 1 & \text{else} \end{cases}$$

The transform above can be written into matrix form:

$$X(N) = C(N) \cdot x(N)$$

where $X(N)$, $x(N)$ are column vectors of length N ,

$$C(N) = \sqrt{\frac{4}{2N-1}} \begin{pmatrix} \cos \frac{\pi}{2N-1} & \cos \frac{3\pi}{2N-1} & \dots & \cos \frac{(2N-3)\pi}{2N-1} & -\frac{1}{\sqrt{2}} \\ \cos \frac{3\pi}{2N-1} & \cos \frac{9\pi}{2N-1} & \dots & \cos \frac{3(2N-3)\pi}{2N-1} & -\frac{1}{\sqrt{2}} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \cos \frac{(2N-3)\pi}{2N-1} & \cos \frac{(2N-3)3\pi}{2N-1} & \dots & \cos \frac{(2N-3)(2N-3)\pi}{2N-1} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} & \dots & -\frac{1}{\sqrt{2}} & -\frac{1}{2} \end{pmatrix}$$

For the sake of simplicity, the coefficient $\sqrt{\frac{4}{2N-1}}$ is omitted in the following discussions. Let $0 \leq k_1 < k_2 < N-1$, the

inner product of the k_1 th row and k_2 th row of $C(N)$ is that

$$\begin{aligned} & \cos \frac{(2k_1+1)\pi}{2N-1} \cos \frac{(2k_2+1)\pi}{2N-1} \\ & + \cos \frac{(2k_1+1)3\pi}{2N-1} \cos \frac{(2k_2+1)3\pi}{2N-1} + \dots \\ & + \cos \frac{(2k_1+1)(2N-3)\pi}{2N-1} \cos \frac{(2k_2+1)(2N-3)\pi}{2N-1} + \frac{1}{2} \\ & = \frac{1}{2} \left[\cos \frac{2(k_1-k_2)\pi}{2N-1} + \cos \frac{2(k_1+k_2+1)\pi}{2N-1} \right. \\ & + \cos \frac{2(k_1-k_2)3\pi}{2N-1} + \cos \frac{2(k_1+k_2+1)3\pi}{2N-1} + \dots \\ & + \cos \frac{2(k_1-k_2)(2N-3)\pi}{2N-1} \\ & \left. + \cos \frac{2(k_1+k_2+1)(2N-3)\pi}{2N-1} \right] + \frac{1}{2} \end{aligned} \quad (5)$$

Since $e^{ix} = \cos x + i \sin x$, we consider the real part of the following complex number:

$$\begin{aligned} & e^{i \frac{2k_1\pi}{2N-1}} + e^{i \frac{2k_2\pi}{2N-1}} + \dots + e^{i \frac{2k(2N-3)\pi}{2N-1}} \\ & = \frac{e^{i \frac{2k_1\pi}{2N-1}}}{1 - e^{i \frac{4k_1\pi}{2N-1}}} (1 - e^{i \frac{4k(2N-1)\pi}{2N-1}}) \end{aligned}$$

When $0 < k < 2N-1$, $1 - e^{i \frac{4k\pi}{2N-1}} \neq 0$.

Note that

$$\begin{aligned} & e^{i \frac{4k\pi(N-1)}{2N-1}} \\ & = \cos(2k\pi - \frac{2k\pi}{2N-1}) + i \sin(2k\pi - \frac{2k\pi}{2N-1}) \\ & = \cos(\frac{2k\pi}{2N-1}) - i \sin(\frac{2k\pi}{2N-1}) \end{aligned}$$

By setting $\alpha = \frac{2k\pi}{2N-1}$, we have

$$\begin{aligned} & \frac{e^{i \frac{2k\pi}{2N-1}}}{1 - e^{i \frac{4k\pi}{2N-1}}} \\ & = \frac{\cos \alpha + i \sin \alpha}{1 - \cos 2\alpha - i \sin 2\alpha} \\ & = \frac{\cos \alpha + i \sin \alpha}{2 \sin \alpha (\sin \alpha - i \cos \alpha)} \\ & = \frac{(\cos \alpha + i \sin \alpha)(\sin \alpha + i \cos \alpha)}{2 \sin \alpha (\sin \alpha - \cos \alpha)(\sin \alpha + i \cos \alpha)} \\ & = \frac{i}{2 \sin \alpha} \end{aligned}$$

Thus, the real part of

$$e^{i \frac{2k_1\pi}{2N-1}} + e^{i \frac{2k_2\pi}{2N-1}} + \dots + e^{i \frac{2k(2N-3)\pi}{2N-1}}$$

is $\frac{i}{2 \sin(\alpha)} i \sin(\alpha) = -\frac{1}{2}$.

From equality (5), for $0 \leq k_1 < k_2 < N-1$, the inner product of the k_1 th row and k_2 th row of $C(N)$ is

$$\frac{1}{2} \left(-\frac{1}{2} - \frac{1}{2} \right) + \frac{1}{2} = 0$$

By setting $\alpha = \frac{(2k+1)\pi}{2N-1}$, we have

$$\begin{aligned} & e^{i\frac{(2k+1)\pi}{2N-1}} + e^{i\frac{(2k+1)3\pi}{2N-1}} + \cdots + e^{i\frac{(2k+1)(2N-3)\pi}{2N-1}} \\ &= \frac{e^{i\frac{(2k+1)\pi}{2N-1}}}{1 - e^{i\frac{2(2k+1)\pi}{2N-1}}} (1 - e^{i\frac{2(2k+1)(N-1)\pi}{2N-1}}) \\ &= \frac{i}{2\sin\alpha} (1 + \cos\alpha - i\sin\alpha) \\ &= \frac{1}{2} + \frac{i}{2\sin\alpha} (1 + \cos\alpha) \end{aligned}$$

Now, for $0 \leq k_1 < N-1$, the inner product of the k_1 th row and $(N-1)$ st row of $C(N)$ is

$$\frac{1}{2}(-\frac{1}{\sqrt{2}}) + (-\frac{1}{2})(-\frac{1}{\sqrt{2}}) = 0$$

Thus, $C(N)$ is an orthogonal matrix. Equivalently, transform (8) is orthogonal.

It is easy to know that the product of $C(N)$ and its transpose is an identity matrix. Thus, we can get the inverse transform of transform (8). We omit it here.

Similarly, we can obtain a new form of discrete sine transform as follows:

$$X(k) = \sqrt{\frac{4}{2N+1}} \sum_{n=0}^{N-1} x(n) \sin \frac{(2k+1)(2n+1)\pi}{2N+1},$$

$$k = 0, 1, \dots, N-1.$$

And a new form of discrete sine-cosine transform as follows:

$$X(k) = \sqrt{\frac{2}{2N+1}} \sum_{n=0}^{2N} x(n) \cos \frac{(2k+1)(2n+1)\pi}{2N+1},$$

$$k = 0, 1, \dots, N-1;$$

$$X(N) = -\sqrt{\frac{1}{2N+1}} \sum_{n=0}^{2N} x(n);$$

$$X(N+1+k) = \sqrt{\frac{2}{2N+1}} \sum_{n=0}^{2N} x(n) \sin \frac{(2k+1)(2n+1)\pi}{2N+1},$$

$$k = 0, 1, \dots, N-1.$$

The orthogonality of above transforms follows from an analysis similar to that of new discrete cosine transform (8).

IV. GENERALIZED DISCRETE W TRANSFORM

Bracewell (1983) advanced a discrete Hartly transform (DHT) in the domain of real numbers. Its nuclear function $\text{cas } wt = \cos wt + \sin wt$ is the sum of the real part and imaginary part of Fourier transform nuclear function $\exp(iwt) = \cos wt + i \sin wt$. Zhongde Wang (1984) generalized DHT and advanced discrete W transform (DWT), which is an unified form with two parameters. There are four meaningful types (including DHT) in DWT. The nuclear function of DWT is still $\text{cas } wt = \cos wt + \sin wt$. The discrete W transform has

been used in frequency spectrum analysis, data compression, convolution computation and information hiding. Its theory and algorithms have received much attention for the last two decades (Wang, 1989, Wang, 1992, Kunz, 2008, Zhang, 1992).

Let $\{x(n); n = 0, 1, 2, \dots, N-1\}$ be a vector of real numbers. The unified form of discrete W transform is defined (Wang, 1984, Wang, 1985) as follows:

$$X(k) = \sqrt{\frac{2}{N}} \sum_{n=0}^{N-1} x(n) \sin[\frac{\pi}{4} + (k+\alpha)(n+\beta)\frac{2\pi}{N}],$$

$$k = 0, 1, \dots, N-1$$

The transform above is orthogonal only for $(\alpha, \beta) \in \{(0,0), (\frac{1}{2},0), (0,\frac{1}{2}), (\frac{1}{2},\frac{1}{2})\}$. It is discrete Hartley transform when $(\alpha, \beta) = (0,0)$. The four transforms are defined as DWT-I, DWT-II, DWT-III and DWT-IV, respectively.

Actually, the unified form of discrete W transform can be added with one more parameter γ , as follows:

$$X(k) = \sqrt{\frac{2}{N}} \sum_{n=0}^{N-1} x(n) \sin[\frac{\pi}{4} + (k+\alpha)(n+\beta)\frac{\gamma\pi}{N}], \quad (6)$$

$$k = 0, 1, \dots, N-1$$

This is the proof that when $(\alpha, \beta, \gamma) = (\frac{1}{2}, \frac{r}{q}, 2pq)$ and $\text{gcd}(pq, N) = 1$, where p, q and r are positive integers, transform (6) is orthogonal.

For the sake of simplicity, when $(\alpha, \beta, \gamma) = (\frac{1}{2}, \frac{r}{q}, 2pq)$ and $\text{gcd}(pq, N) = 1$, transform (6) is rewritten as follows:

$$X(k) = \frac{1}{\sqrt{N}} \sum_{n=0}^{N-1} x(n) \text{cas}[(2k+1)(qn+r)\frac{p\pi}{N}], \quad (7)$$

$$k = 0, 1, \dots, N-1,$$

where $\text{cas } x = \cos x + \sin x$.

Transform (7) can be written into matrix form:

$$X(N) = C(N)x(N)$$

where $X(N), x(N)$ are column vectors of length N ,

$$H(N) = \frac{1}{\sqrt{N}} \begin{pmatrix} \text{cas} \frac{rp\pi}{N} & \text{cas} \frac{(q+r)p\pi}{N} & \text{cas} \frac{(2q+r)p\pi}{N} & \cdots & \text{cas} \frac{[q(N-1)+r]p\pi}{N} \\ \text{cas} \frac{3rp\pi}{N} & \text{cas} \frac{3(q+r)p\pi}{N} & \text{cas} \frac{3(2q+r)p\pi}{N} & \cdots & \text{cas} \frac{3[q(N-1)+r]p\pi}{N} \\ \text{cas} \frac{5rp\pi}{N} & \text{cas} \frac{5(q+r)p\pi}{N} & \text{cas} \frac{5(2q+r)p\pi}{N} & \cdots & \text{cas} \frac{5[q(N-1)+r]p\pi}{N} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \text{cas} \frac{rp\pi}{N} & \text{cas} \frac{(q+r)\eta}{N} & \text{cas} \frac{(2q+r)\eta}{N} & \cdots & \text{cas} \frac{[q(N-1)+r]\eta}{N} \end{pmatrix}$$

where $\eta = (2N-1)p\pi$.

To prove that transform (7) is orthogonal, or equivalently, to prove that $H(N)$ is an orthogonal matrix.

It is easy to know that

$$\begin{aligned} & \text{cas } A \text{cas } B \\ &= (\cos A + \sin A)(\cos B + \sin B) \\ &= \cos A \cos B + \sin A \sin B + \sin A \cos B + \cos A \sin B \\ &= \cos(A-B) + \sin(A+B) \end{aligned}$$

To simplify the problem, we omit the coefficient $\frac{1}{\sqrt{N}}$. For $1 \leq k_1 < k_2 \leq N$, the inner product of the k_1 th row and k_2 th row of $H(N)$ is that

$$\begin{aligned} & \cos \frac{(2k_1-1)rp\pi}{N} \cos \frac{(2k_2-1)rp\pi}{N} \\ & + \cos \frac{(2k_1-1)(q+r)p\pi}{N} \cos \frac{(2k_2-1)(q+r)p\pi}{N} + \dots \\ & + \cos \frac{(2k_1-1)[q(N-1)+r]p\pi}{N} \cos \frac{(2k_2-1)[q(N-1)+r]p\pi}{N} \\ = & \cos \frac{2(k_1-k_2)rp\pi}{N} + \sin \frac{2(k_1+k_2-1)rp\pi}{N} \\ & + \cos \frac{2(k_1-k_2)(q+r)p\pi}{N} + \sin \frac{2(k_1+k_2-1)(q+r)p\pi}{N} \\ & + \cos \frac{2(k_1-k_2)[q(N-1)+r]p\pi}{N} \\ & + \sin \frac{2(k_1+k_2-1)[q(N-1)+r]p\pi}{N} \end{aligned} \quad (8)$$

As for the function $e^{ix} = \cos x + i \sin x$, we consider

$$e^{i\frac{2krp\pi}{N}} + e^{i\frac{2k(q+r)p\pi}{N}} + \dots + e^{i\frac{2k[q(N-1)+r]p\pi}{N}}$$

where $0 < k < 2N$. Note that

$$\begin{aligned} & e^{i\frac{2krp\pi}{N}} + e^{i\frac{2k(q+r)p\pi}{N}} + \dots + e^{i\frac{2k[q(N-1)+r]p\pi}{N}} \\ = & \frac{e^{i\frac{2krp\pi}{N}}}{1 - e^{i\frac{2kqp\pi}{N}}} (1 - e^{i\frac{2kqp\pi}{N}}) \end{aligned}$$

When $0 < k < N$ and $\gcd(pq, N) = 1$, we know that $1 - e^{i\frac{2kqp\pi}{N}} \neq 0$. Since

$$1 - e^{i\frac{2kqp\pi}{N}} = 1 - e^{i2kqp\pi} = 0,$$

hence

$$e^{i\frac{2krp\pi}{N}} + e^{i\frac{2k(q+r)p\pi}{N}} + \dots + e^{i\frac{2k[q(N-1)+r]p\pi}{N}} = 0$$

Thus

$$\begin{aligned} & \cos \frac{2(k_1-k_2)rp\pi}{N} + \cos \frac{2(k_1-k_2)(q+r)p\pi}{N} + \\ & \dots + \cos \frac{2(k_1-k_2)[q(N-1)+r]p\pi}{N} = 0 \end{aligned}$$

When $0 < k < 2N$, if $1 - e^{i\frac{2kqp\pi}{N}} = 0$, then $k = N$, thus, the imaginary part of

$$e^{i\frac{2krp\pi}{N}} + e^{i\frac{2k(q+r)p\pi}{N}} + \dots + e^{i\frac{2k[q(N-1)+r]p\pi}{N}}$$

is zero.

When $0 < k < 2N$ and if $1 - e^{i\frac{2kqp\pi}{N}} \neq 0$, then

$$e^{i\frac{2krp\pi}{N}} + e^{i\frac{2k(q+r)p\pi}{N}} + \dots + e^{i\frac{2k[q(N-1)+r]p\pi}{N}} = 0$$

Thus,

$$\begin{aligned} & \sin \frac{2(k_1+k_2-1)rp\pi}{N} + \sin \frac{2(k_1+k_2-1)(q+r)p\pi}{N} + \dots \\ & + \sin \frac{2(k_1+k_2-1)[q(N-1)+r]p\pi}{N} = 0 \end{aligned}$$

From equality (8), the inner product of the k_1 th row and k_2 th row of $H(N)$ is zero for $1 \leq k_1 < k_2 \leq N$, namely $H(N)$ is an orthogonal matrix.

For $1 \leq k_1 \leq N$, the inner product of the k_1 th row of $H(N)$ and itself is that

$$\begin{aligned} & \frac{1}{N} \{ [\cos \frac{(2k_1-1)rp\pi}{N}]^2 + [\cos \frac{(2k_1-1)(q+r)p\pi}{N}]^2 + \dots \\ & + [\cos \frac{(2k_1-1)[q(N-1)+r]p\pi}{N}]^2 \} \\ = & 1 + \frac{1}{N} \{ \sin \frac{2(2k_1-1)rp\pi}{N} + \sin \frac{2(2k_1-1)(q+r)p\pi}{N} \\ & + \dots + \sin \frac{2(2k_1-1)[q(N-1)+r]p\pi}{N} \} \end{aligned}$$

Note that $0 < 2k_1 - 1 < 2N$, from the proof above, we know that

$$\begin{aligned} & \sin \frac{2(2k_1-1)rp\pi}{N} + \sin \frac{2(2k_1-1)(q+r)p\pi}{N} \\ & + \dots + \sin \frac{2(2k_1-1)[q(N-1)+r]p\pi}{N} = 0 \end{aligned}$$

It follows that the inner product of the k_1 th row of $H(N)$ and itself is 1 for $1 \leq k_1 \leq N$, namely the product of $H(N)$ and its transpose is an identity matrix. Thus, it is easy to get the inverse transform of transform (7),

$$\begin{aligned} x(k) &= \frac{1}{\sqrt{N}} \sum_{n=0}^{N-1} X(n) \cos[(2n+1)(qk+r)\frac{p\pi}{N}], \\ k &= 0, 1, \dots, N-1, \end{aligned}$$

where $\cos x = \cos x + \sin x$.

We should remark the following two points:

(I) There is no limit to parameter r in the new transform (2), r is an arbitrary positive integer.

(II) In a similar way, we can generalize DWT-IV as follows:

$$\begin{aligned} X(k) &= \frac{1}{\sqrt{N}} \sum_{n=0}^{N-1} x(n) \cos[(2k+1)(2qn+r)\frac{p\pi}{2N}], \\ k &= 0, 1, \dots, N-1 \end{aligned}$$

where $\gcd(pq, N) = 1$, p , q and r are positive integers. It is degraded to transform (2) when r is an even number.

Consider two special cases of transform (6):

When $(\alpha, \beta, \gamma) = (\frac{1}{2}, \frac{1}{2}, 4)$ and N is an odd number, transform (6) can be written as follows:

$$\begin{aligned} X(k) &= \frac{1}{\sqrt{N}} \sum_{n=0}^{N-1} x(n) \cos \frac{(2k+1)(2n+1)\pi}{N}, \\ k &= 0, 1, \dots, N-1 \end{aligned}$$

When $(\alpha, \beta, \gamma) = (\frac{1}{2}, \frac{1}{q}, 2q)$ and $\gcd(q, N) = 1$, transform (6) can be written as follows:

$$\begin{aligned} X(k) &= \frac{1}{\sqrt{N}} \sum_{n=0}^{N-1} x(n) \cos \frac{(2k+1)(qn+1)\pi}{2N}, \\ k &= 0, 1, \dots, N-1 \end{aligned}$$

We know that transforms above are orthogonal.

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