

# Sample Midterm Exam – Fall 2025 (with Answers)

## Q1 – Forward Pass of a Neuron

**Given:** input  $x = 2.0$ , weight  $w = 0.5$ , bias  $b = -0.3$ . Activation is the sigmoid

$$f(z) = \frac{1}{1 + e^{-z}}, \quad z = wx + b.$$

(1) Compute  $z$  and  $\hat{y} = f(z)$ .

$$z = wx + b = (0.5)(2.0) + (-0.3) = 1.0 - 0.3 = 0.7,$$

$$\hat{y} = f(0.7) = \frac{1}{1 + e^{-0.7}}.$$

Now  $e^{-0.7} \approx 0.4965853$ , so

$$\hat{y} \approx \frac{1}{1 + 0.4965853} \approx \frac{1}{1.4965853} \approx 0.6681878 \Rightarrow \boxed{\hat{y} \approx 0.67.}$$

(2) With target  $y = 0.8$ , compute loss  $J = \frac{1}{2}(\hat{y} - y)^2$ .

$$\hat{y} - y \approx 0.6681878 - 0.8 = -0.1318122,$$

$$(\hat{y} - y)^2 \approx (-0.1318122)^2 \approx 0.017372,$$

$$J = \frac{1}{2} \times 0.017372 \approx 0.008686 \Rightarrow \boxed{J \approx 0.01.}$$

## Q2 – Convolution and Pooling Dimensions

An image of size  $6 \times 6$  is convolved with a  $3 \times 3$  filter, stride  $S = 2$ , and no padding.

**Given:** input image  $6 \times 6$ ; convolution with  $3 \times 3$  filter, stride  $S = 2$ , padding  $P = 0$ .

(1) **Convolution output size.** For each spatial dimension,

$$\text{out} = \left\lfloor \frac{W - F}{S} \right\rfloor + 1 = \left\lfloor \frac{6 - 3}{2} \right\rfloor + 1 = \left\lfloor \frac{3}{2} \right\rfloor + 1 = 1 + 1 = 2.$$

So the feature map is  $\boxed{2 \times 2}$  (with as many channels as filters; here size only was asked).

(2)  **$2 \times 2$  max pooling, stride  $S = 2$ .** Starting from  $2 \times 2$ ,

$$\text{out} = \left\lfloor \frac{2 - 2}{2} \right\rfloor + 1 = \lfloor 0 \rfloor + 1 = 1.$$

Final pooled map:  $[1 \times 1]$ .

**(3) Compute the total number of learnable parameters (including biases) if 3 filters were used**

Each  $3 \times 3$  filter has  $9$  weights +  $1$  bias =  $10$  parameters. If there is only one filter, total parameters =  $10$ . If  $3$  filters were used, parameters =  $3 \times (9 + 1) = 30$ .

### Q3 – PCA and Feature Scaling

A dataset contains three features:

- Feature 1: Building height (in meters), range  $[0, 100]$
- Feature 2: Energy use (in kWh), range  $[0, 10,000]$
- Feature 3: Occupancy rate (in percent), range  $[0, 100]$

**Solution:**

PCA is variance-dominant. The feature with the largest numeric scale typically has the largest variance (without standardization). Here, *Energy use* ranges up to  $10,000$ , far larger than the other features ( $\leq 100$ ), so it will dominate the covariance matrix and therefore the first principal component.

Energy use (kWh) most strongly influences PC1 without scaling.

### Q4 – SVM Decision Boundary

For a linear SVM, the separating hyperplane is:

$$3x_1 - 4x_2 + 2 = 0$$

For the point  $(x_1, x_2) = (2, 1)$ , compute  $f(x)$  and determine which side it lies on.

**Given hyperplane:**  $3x_1 - 4x_2 + 2 = 0$ . Decision function:  $f(\mathbf{x}) = 3x_1 - 4x_2 + 2$ .

For  $(x_1, x_2) = (2, 1)$ :

$$f(2, 1) = 3(2) - 4(1) + 2 = 6 - 4 + 2 = 4.$$

Since  $f(2, 1) = 4 > 0$ , the point lies on the *positive* side of the boundary.

$f(2, 1) = 4$  (positive side).

### Q5 – Decision Tree Entropy and Gain

**Solution:**

**Given class counts:** Play=Yes: 6, Play=No: 2. Total  $N = 8$ .

**(1) Entropy before splitting (base 2).**

$$p_{\text{yes}} = \frac{6}{8} = 0.75, \quad p_{\text{no}} = \frac{2}{8} = 0.25.$$

$$H_{\text{parent}} = -\left(0.75 \log_2 0.75 + 0.25 \log_2 0.25\right) \approx -\left(0.75(-0.4150) + 0.25(-2)\right) = -(-0.3113 - 0.5) = 0.8113.$$

$$H_{\text{parent}} \approx 0.81.$$

Now the feature “Wind” splits into two branches:

Branch	Yes	No	Total
Weak	4	1	5
Strong	2	1	3

**(2) Entropy of each branch.**

Weak (4 Yes, 1 No):

$$p_Y = \frac{4}{5} = 0.8, \quad p_N = \frac{1}{5} = 0.2,$$

$$H_{\text{Weak}} = -(0.8 \log_2 0.8 + 0.2 \log_2 0.2) \approx -(0.8(-0.3219) + 0.2(-2.3219)) \approx -(-0.2575 - 0.4644) \approx 0.7219.$$

$$H_{\text{Weak}} \approx 0.72.$$

Strong (2 Yes, 1 No):

$$p_Y = \frac{2}{3} \approx 0.6667, \quad p_N = \frac{1}{3} \approx 0.3333,$$

$$H_{\text{Strong}} = -\left(\frac{2}{3} \log_2 \frac{2}{3} + \frac{1}{3} \log_2 \frac{1}{3}\right) \approx -\left(0.6667(-0.5850) + 0.3333(-1.5850)\right) \approx -(-0.3900 - 0.5283) \approx 0.9183.$$

$$H_{\text{Strong}} \approx 0.92.$$

**(3) Which branch is purer, and why?**

Lower entropy  $\Rightarrow$  purer.

$$H_{\text{Weak}} \approx 0.72 < H_{\text{Strong}} \approx 0.92.$$

The Weak branch is purer (lower entropy).

**Q6 – True or False (Concept Check)**

Statement	Answer
1. Increasing the number of filters in a CNN layer decreases the feature map depth.	<b>False</b>
2. The sigmoid activation always outputs values between -1 and 1.	<b>False</b>
3. In PCA, the first principal component captures the direction of maximum variance in the data.	<b>True</b>
4. Gradient descent can converge faster with a larger learning rate, but may overshoot the minimum.	<b>True</b>

**Q7 – Transformers (Very Short Answer)**

Answer each in one short sentence.

**1. What is the main purpose of the attention mechanism in a Transformer?**

*Solution:* Attention lets each token focus on the most relevant words in a sequence, learning which parts of input matter most.

**2. What is the role of the Feed-Forward Network (FFN) layer after attention in each Transformer block?**

*Solution:* The FFN refines each token's internal representation independently, adding non-linearity and improving feature mixing after attention.

**Q8 – CNN Filter Computation with Stride**

We perform a convolution of the  $4 \times 4$  input  $X$  with a  $2 \times 2$  kernel  $K$ , stride = 2, and bias  $b = 1$ .

$$X = \begin{bmatrix} 1 & 2 & 3 & 0 \\ 0 & 1 & 2 & 3 \\ 3 & 1 & 0 & 2 \\ 2 & 0 & 1 & 1 \end{bmatrix}, \quad K = \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix}, \quad b = 1$$

Since stride = 2 and padding = 0, the kernel moves two pixels at a time. The output size is computed as:

$$\text{Output size} = \frac{(N - F)}{S} + 1 = \frac{(4 - 2)}{2} + 1 = 2$$

So the output feature map will be  $2 \times 2$ .

Each output =  $(X_{\text{patch}} \cdot K) + b$

Patch 1: top-left (1,1)

$$\begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix} * \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} = (1 \times 1) + (2 \times 0) + (0 \times -1) + (1 \times 1) = 2$$

Add bias:  $2 + 1 = 3$

Patch 2: top-right (1,3)

$$\begin{bmatrix} 3 & 0 \\ 2 & 3 \end{bmatrix} * \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} = (3 \times 1) + (0 \times 0) + (2 \times -1) + (3 \times 1) = 4$$

Add bias:  $4 + 1 = 5$

Patch 3: bottom-left (3,1)

$$\begin{bmatrix} 3 & 1 \\ 2 & 0 \end{bmatrix} * \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} = (3 \times 1) + (1 \times 0) + (2 \times -1) + (0 \times 1) = 1$$

Add bias:  $1 + 1 = 2$

Patch 4: bottom-right (3,3)

$$\begin{bmatrix} 0 & 2 \\ 1 & 1 \end{bmatrix} * \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} = (0 \times 1) + (2 \times 0) + (1 \times -1) + (1 \times 1) = 0$$

Add bias:  $0 + 1 = 1$

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**Final Output Feature Map:**

$$Y = \begin{bmatrix} 3 & 5 \\ 2 & 1 \end{bmatrix}$$