Assessment Overview

Welcome to the Maturity Assessment Results.

Organizational Module

Provides in-depth insights into your organization's maturity levels across core and specialized organizational processes and functions.

Foundational Core

STAGE 3
Practice

Enterprise Risk, Compliance & Audit

STAGE 3
Practice

Data & AI

STAGE 4
Pioneer

Security

STAGE 3
Practice

HR

STAGE 3
Practice

Procurement

STAGE 3
Practice

Regulatory Module

Conducts enterprise checks to evaluate the organization's preparedness to adopt and implement key processes as outlined by AI specific standards & regulations.

EU AI Act - 2024

STAGE 3
Approaching Readiness

NIST AI RMF - 2024

STAGE 3
Approaching Readiness

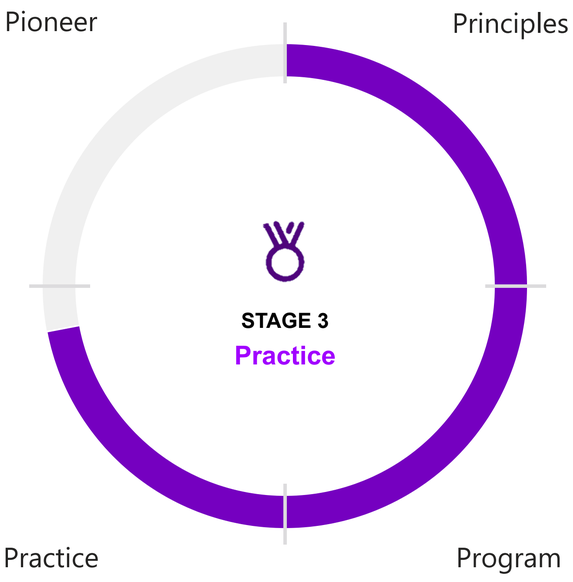
MAS Veritas - 2024

STAGE 4
Ready!

Enterprise Risk, Compliance & Audit

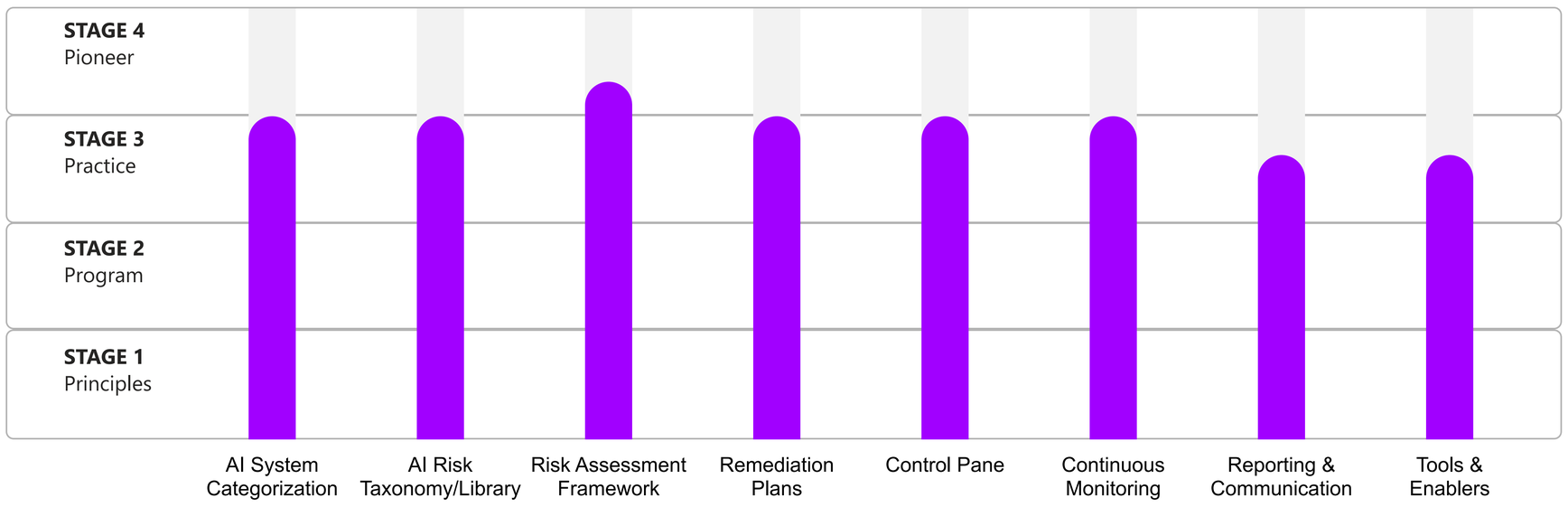
Your Score

According to your organizational survey responses, you have received the status of:



Enterprise Risk

STAGE 3 Practice



Categories

AI System Categorization: Proactive classification of AI systems based on their level of risk to organize for risk assessment processes.

AI Risk Taxonomy/Library: Existing and potential risks of AI and Gen AI are assessed for identification across the AI system lifecycle.

Risk Assessment Framework: Appropriate design of a risk assessment methodology with defined processes for testing AI risk across an AI system leveraging metrics for tracking.

Remediation Plan: To treat residual risk within AI use cases, defined remediation plans are established and tracked upon.

Control Pane: A control library is in place with technical and procedural controls in place to mitigate key risks across the usage of AI and Gen AI.

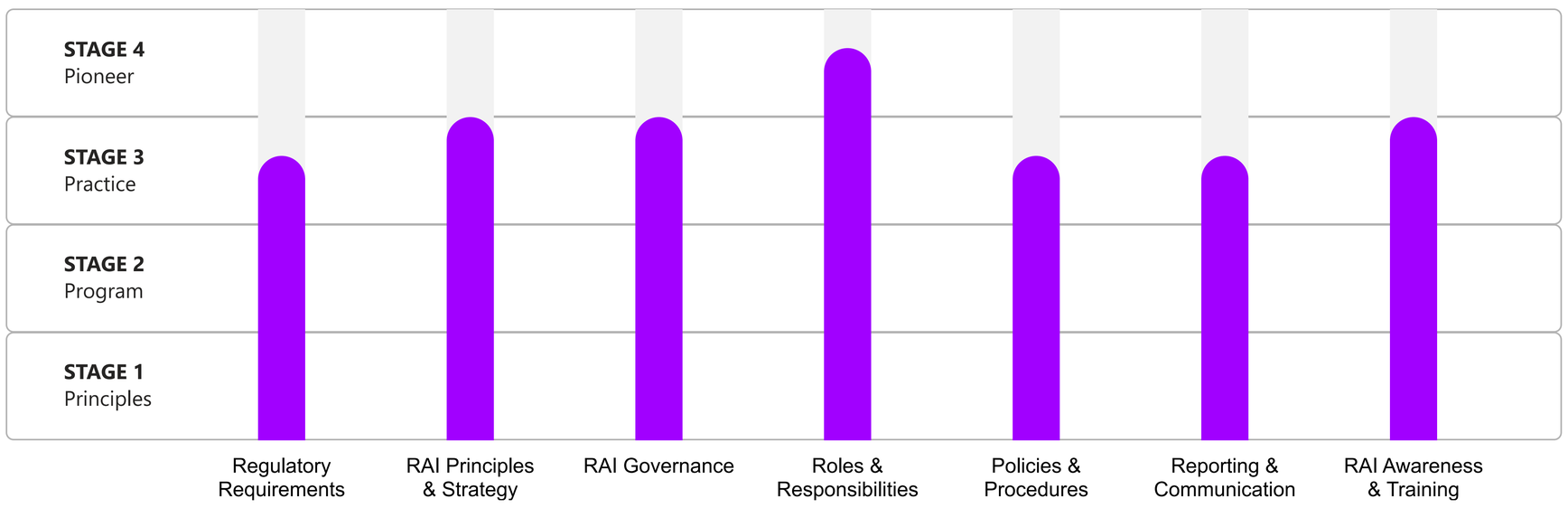
Continuous Monitoring: Ongoing AI System monitoring for sustained compliance throughout the entire lifecycle of AI Systems with a process for incident management.

Reporting & Communication: Methods and channels for documenting, sharing, and discussing AI-related risk management activities with inclusion of RAI considerations for the enterprise.

Tools & Enablers: Active identification and evaluation of tools and platforms to uplift and streamline risk assessment processes.

Compliance

STAGE 3 Practice



Categories

Regulatory Requirements: Ensuring requirements posed by key regulations are met by the business through an array of processes.

RAI Principles & Strategy: Defining the guiding principles and strategic framework for responsible AI development and implementation.

RAI Governance: Oversight and management framework for ensuring responsible AI practices and compliance.

Roles & Responsibilities: Defining accountability and duties for responsible AI management.

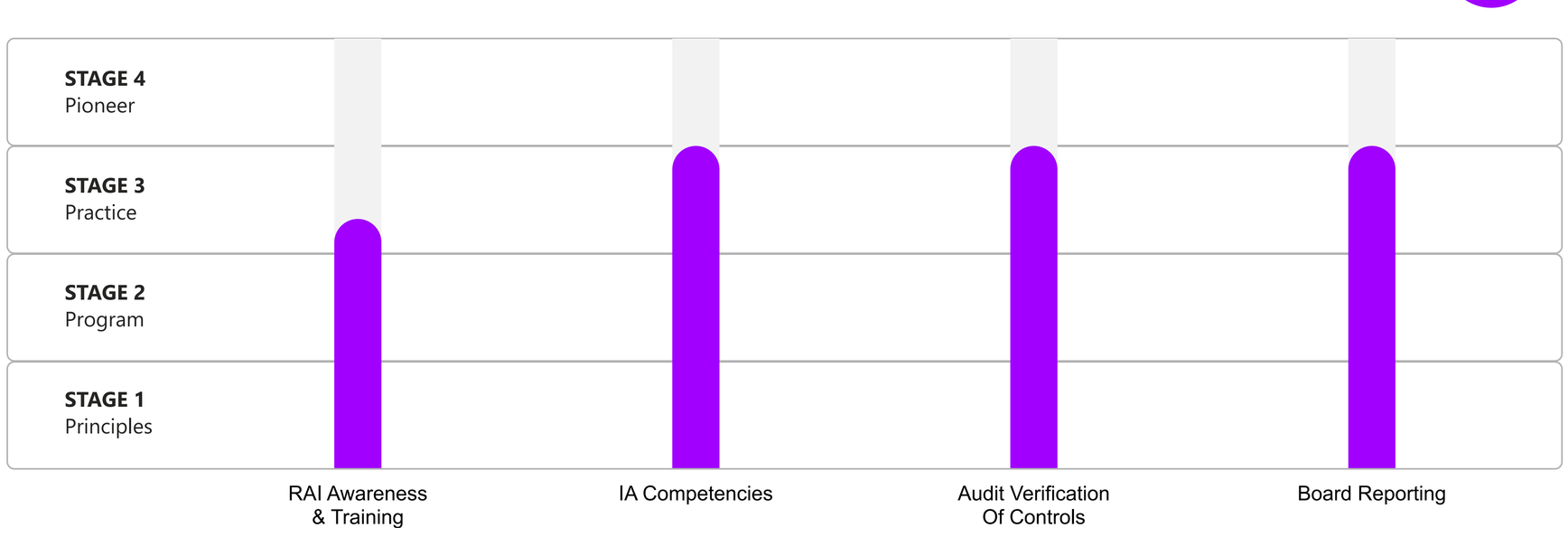
Policies & Procedures: Establish guidelines and processes for governing AI development.

Reporting & Communication: Methods and channels for documenting, sharing, and discussing AI-related activities and outcomes to satisfy compliance requirements and any regulatory reporting requirements.

RAI Awareness & Training: Programs to educate and inform stakeholders about responsible AI practices and principles.

Internal Audit

STAGE 3 Practice



Categories

RAI Awareness & Training: Internal Audit review of programs to educate and inform stakeholders about responsible AI practices and principles.

IA Competencies: Level of awareness and preparation of the relevant personnel.

Audit Verification of Controls: Monitoring / managing of AI control effectiveness through the audit function.

Board Reporting: Internal Audit communication to Board level executives on review of AI processes.

Enterprise Risk - Detailed Report

STAGE 3 Practice

Category	Questions	Maturity	Commentary
AI System Categorization	Does the organization classify AI systems based on their level of risk presented by their intended purpose or context of use?	STAGE 2 - Program	
AI System Categorization	AI SYSTEM INVENTORY - Does the organization identify and catalogue AI systems into predefined categories, including all relevant information (e.g. system's purpose, functionality, data sources, algorithms, responsible personnel, and associated risks)?	STAGE 3 - Practice	
AI Risk Taxonomy/Library	Does the organization provide AI risk taxonomy intended as a framework for categorizing and organizing potential risks across the entire AI system lifecycle (pilot, pre production, post production)?	STAGE 2 - Program	
Risk Assessment Framework	Has the organization defined a methodological framework for AI risk assessment? (e.g., assessment of the AI initiative, risk evaluation based on likelihood and impact, residual risk estimation)?	STAGE 2 - Program	
Risk Assessment Framework	Does the organisation define RAI metrics, thresholds and KPIs to evaluate AI risks?	STAGE 3 - Practice	
Risk Assessment Framework	Does the organization provides AI risk assessment, including proper approval of all AI systems and use cases?	STAGE 3 - Practice	
Risk Assessment Framework	Has the organization defined formal processes for testing AI Work Products to assess the AI risks identified, prior to the placing on the market or the putting into service?	STAGE 3 - Practice	
Risk Assessment Framework	Does the organization provide fundamental rights impact assessments?	STAGE 3 - Practice	
Remediation Plans	Does the organisation, with respect to residual risks that are considered unacceptable, define remediation actions / plans and ensure they are implemented?	STAGE 2 - Program	
Control Pane	Does the organization have a Control Library that contain a set of commonly used controls to manage and mitigate responsible AI risks?	STAGE 2 - Program	
Control Pane	Has the organization established technical and procedural controls to manage and mitigate responsible AI risks, in line with responsible AI principles (e.g., transparency, explainability, human oversight, environmental sustainability, third party management, privacy, etc.)?	STAGE 3 - Practice	
Control Pane	Are there controls in place to ensure the efficiency and adequacy of AI systems in accordance with internal procedures and main AI regulations in order to verify AI compliance and reduce AI risks? (e.g. controls aimed at presiding over legal, privacy and regulatory issues, the absence of discriminatory aspects, the reliability and transparency of the System etc..)	STAGE 2 - Program	
Control Pane	Have controls been implemented to verify that the AI system performance complies with the environmental protection and sustainability standards in place within the organization?	STAGE 2 - Program	
Control Pane	Are specific controls carried out on AI systems regarding the personal data protection?	STAGE 2 - Program	
Continuous Monitoring	Does the organization enable re-assessment and continuous monitoring of AI Systems?	STAGE 3 - Practice	
Continuous Monitoring	Does the organization provide a process for incident management that covers issue escalation, tracking, response, and recovery from adverse impacts involving AI systems?	STAGE 2 - Program	
Reporting & Communication	Does the organization provide adequate reporting and communication about RAI risks and risk management activities both internally (vs management board/other relevant committees) and with external stakeholders?	STAGE 2 - Program	
Tools & Enablers	Does the organization identify and evaluate tools/platforms to automate activities related to AI risk management (e.g., risk assessment, control plane management, monitoring, etc.)?	STAGE 2 - Program	
Tools & Enablers	Have tools been implemented to support review and control activities on AI systems?	STAGE 2 - Program	