# Class08: Machine Learning 1 & PCA

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First up is clustering methods

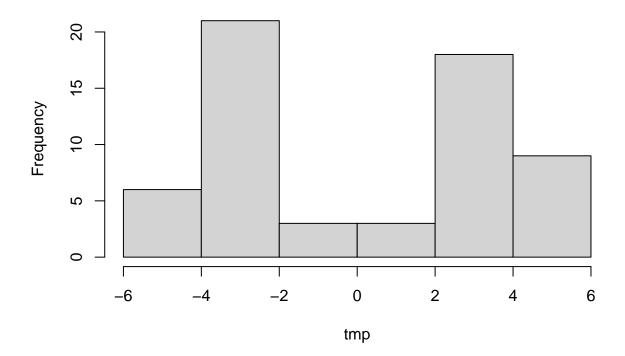
### **Kmeans clustering**

The function in base R to do Kmeans clustering is called kmeans().

First make up some data where we know what the answer should be.

```
tmp <- c(rnorm(30,-3), rnorm(30,3))
hist(tmp)</pre>
```

### Histogram of tmp



This gives two groups of vectors, centered around -3 and +3, with 60 values total.

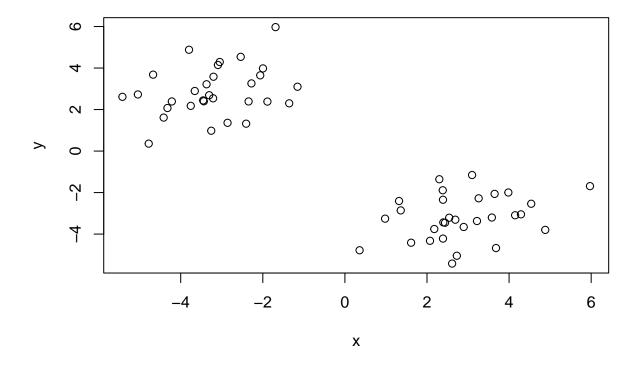
Now reverse the order of the input. Center around +3 and -3.

```
tmp <- c(rnorm(30,-3), rnorm(30,3))
x <- cbind(x=tmp, y=rev(tmp))
x</pre>
```

```
##
                            у
                 X
##
   [1,] -3.0928486
                    4.1475365
   [2,] -3.3063550
                    2.6898744
##
   [3,] -4.2164658
                    2.3896506
##
   [4,] -3.0489580 4.2900816
   [5,] -3.2562188 0.9793361
   [6,] -5.4203664 2.6126142
##
   [7,] -2.0628996 3.6475183
##
   [8,] -1.9960504 3.9820419
## [9,] -4.6724246 3.6820472
## [10,] -4.4153949 1.6132984
## [11,] -5.0434790
                    2.7272112
## [12,] -3.7975564 4.8813141
## [13,] -3.6569924 2.8947753
## [14,] -1.6897198 5.9709110
## [15,] -4.3233799 2.0735453
## [16,] -3.3704052 3.2179011
## [17,] -2.3463200 2.3899503
## [18,] -4.7797054 0.3578174
## [19,] -1.3567228 2.3006397
## [20,] -2.8591010 1.3606605
## [21,] -2.2770112 3.2589877
## [22,] -2.5383390 4.5393998
## [23,] -3.2016945 3.5805956
## [24,] -1.8882576 2.3842908
## [25,] -3.4533263 2.4397812
## [26,] -3.4370535 2.3982947
## [27,] -3.7552079 2.1778181
## [28,] -2.4061426 1.3193242
## [29,] -1.1547003 3.0987915
## [30,] -3.2107699 2.5414108
## [31,] 2.5414108 -3.2107699
## [32,] 3.0987915 -1.1547003
## [33,] 1.3193242 -2.4061426
## [34,] 2.1778181 -3.7552079
## [35,] 2.3982947 -3.4370535
## [36,] 2.4397812 -3.4533263
## [37,]
         2.3842908 -1.8882576
## [38,]
         3.5805956 -3.2016945
## [39,]
         4.5393998 -2.5383390
## [40,]
         3.2589877 -2.2770112
## [41,]
         1.3606605 -2.8591010
## [42,] 2.3006397 -1.3567228
## [43,] 0.3578174 -4.7797054
## [44,] 2.3899503 -2.3463200
## [45,]
         3.2179011 -3.3704052
## [46,] 2.0735453 -4.3233799
## [47,] 5.9709110 -1.6897198
## [48,] 2.8947753 -3.6569924
```

```
## [49,] 4.8813141 -3.7975564
         2.7272112 -5.0434790
## [50,]
          1.6132984 -4.4153949
  [51,]
  [52,]
          3.6820472 -4.6724246
##
   [53,]
          3.9820419 -1.9960504
  [54,]
          3.6475183 -2.0628996
## [55,]
          2.6126142 -5.4203664
          0.9793361 -3.2562188
## [56,]
   [57,]
          4.2900816 -3.0489580
  [58,]
          2.3896506 -4.2164658
## [59,]
          2.6898744 -3.3063550
## [60,]
          4.1475365 -3.0928486
```

plot(x)



Q. Can we use kmeans() to cluster this data, setting k = 2 and nstart = 20?

```
km <- kmeans(x, centers=2, nstart=20)
km

## K-means clustering with 2 clusters of sizes 30, 30
##
## Cluster means:
## x y</pre>
```

```
## 1 -3.201129 2.864914
## 2 2.864914 -3.201129
##
## Clustering vector:
##
  ## Within cluster sum of squares by cluster:
## [1] 77.27043 77.27043
  (between_SS / total_SS = 87.7 %)
##
## Available components:
## [1] "cluster"
               "centers"
                                                "tot.withinss"
                          "totss"
                                     "withinss"
## [6] "betweenss"
               "size"
                          "iter"
                                     "ifault"
```

Clustering vector tells you the cluster to which each data point is allocated.

Q. How many points are in each cluster?

#### km\$size

## [1] 30 30

kmsizereturnsthenumberof pointsineachcluster, i.e. the cluster size.'' allows you to see the input functions that are available with 'km'. km\$totss gives the total sum of squares.

Q. What 'component' of your result object details cluster assignment/membership?

#### km\$cluster

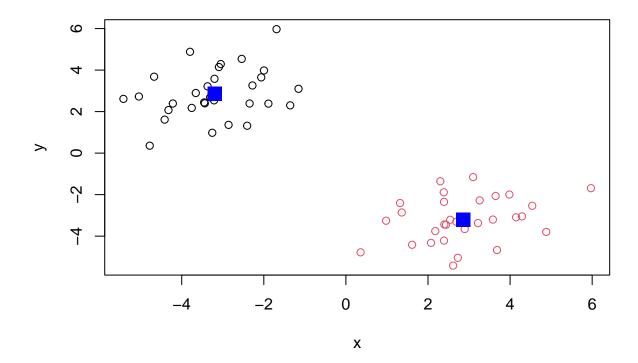
Q. What 'component' of your result object details cluster center?

#### km\$centers

```
## x y
## 1 -3.201129 2.864914
## 2 2.864914 -3.201129
```

Q. Plot x colored by the kmeans cluster assignment and add cluster centers as blue points.

```
plot(x, col=km$cluster)
points(km$centers, col="blue", pch=15, cex=2)
```



### hclust

A big limitation with k-means is that we have to tell it K (the number of clusters we want). Hierarchical clustering bypasses that by generating the 'd' via the distribution. Analyze this same data with hclust().

Demonstrate the use of  $\operatorname{dist}()$ ,  $\operatorname{hclust}()$ ,  $\operatorname{plot}()$  and  $\operatorname{cutree}()$  functions to do clustering. Generate dendrograms and return cluster assignment/membership vector.

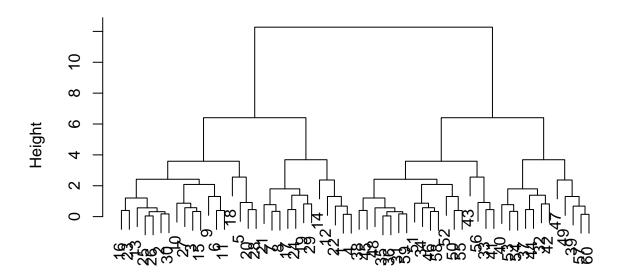
```
hc <- hclust(dist(x))
hc

##
## Call:
## hclust(d = dist(x))
##
## Cluster method : complete
## Distance : euclidean
## Number of objects: 60</pre>
```

There is a plot method for helust result objects. Let's see it.

```
plot(hc)
```

### **Cluster Dendrogram**



# dist(x) hclust (\*, "complete")

Tree reveals structure of clusters. Taller goalposts = larger distance apart. Clusters 1 and 2 are separated and grouped.

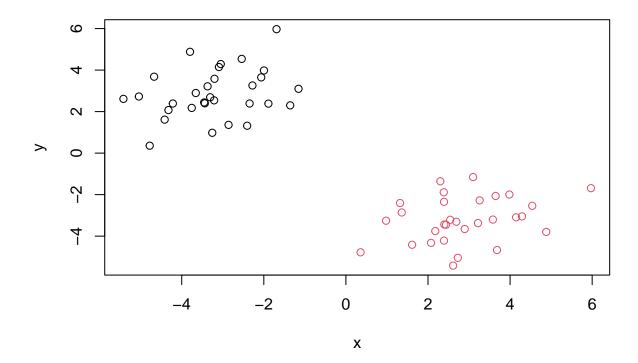
To get our cluster membership vector, we have to do a wee bit more work. We have to "cut" the dendrogram where we think it makes sense. Visually analyze the shape of the tree to determine where to cut. For this we use the cutree() function to cut into k grps.

You can also call cutree(), setting k=the number of groups/clusters you want.

```
grps <- cutree(hc, k=2)</pre>
```

Make our results plot

```
plot(x, col=grps)
```



### 1. Principal Component Analysis of UK food data

### Data import

Read the provided UK\_foods.csv input file.

```
url <- "https://tinyurl.com/UK-foods"
x <- read.csv(url)</pre>
```

Q1. How many rows and columns are in your new data frame named x? What R functions could you use to answer these questions?

```
nrow(x)
## [1] 17
ncol(x)
## [1] 5
```

### Examine the imported data

Use the View() function to display all the data, or the head() and tail() functions to preview the first 6 rows of the top and bottom of the dataset.

#### head(x)

```
##
                   X England Wales Scotland N.Ireland
## 1
              Cheese
                          105
                                 103
                                           103
## 2
      Carcass_meat
                          245
                                 227
                                           242
                                                      267
## 3
        Other_meat
                          685
                                 803
                                           750
                                                      586
## 4
                          147
                                 160
                                           122
                                                       93
                Fish
## 5 Fats_and_oils
                          193
                                 235
                                           184
                                                      209
## 6
              Sugars
                          156
                                 175
                                           147
                                                      139
```

Rats! This should be 17 x 4 dimensions. The first column X should not be there. Get rid of the X column because they are not numerical.

One way:

```
# Note how the minus indexing works
rownames(x) <- x[,1]
x <- x[,-1]
head(x)</pre>
```

##	England	Wales	${\tt Scotland}$	N.Ireland
## Cheese	105	103	103	66
## Carcass_meat	245	227	242	267
## Other_meat	685	803	750	586
## Fish	147	160	122	93
## Fats_and_oils	193	235	184	209
## Sugars	156	175	147	139

This is dangerous! Every time you run the code chunk, a column is removed.

Better way:

```
x <- read.csv(url, row.names=1)
head(x)</pre>
```

##	England	Wales	${\tt Scotland}$	N.Ireland
## Cheese	105	103	103	66
## Carcass_meat	245	227	242	267
## Other_meat	685	803	750	586
## Fish	147	160	122	93
## Fats_and_oils	193	235	184	209
## Sugars	156	175	147	139

This turns the first column X into row labels and does not interfere with the data.

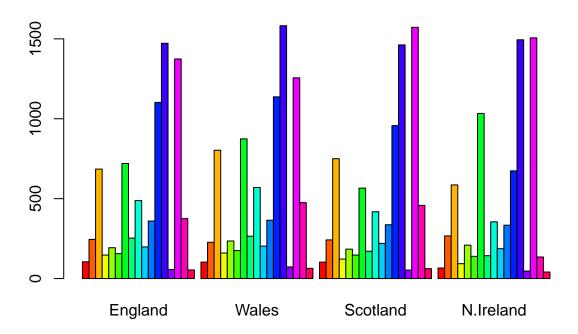
**Q2.** Which approach to solving the 'row-names problem' mentioned above do you prefer and why? Is one approach more robust than another under certain circumstances?

The second approach is preferable because it does not run the risk of overwriting the data by deleting a column every time the code chunk is run.

# Spotting major differences and trends

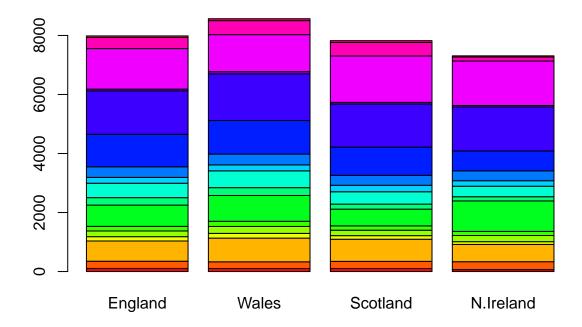
Generating regular bar plots and pairwise plots are not helpful.

```
barplot(as.matrix(x), beside=T, col=rainbow(nrow(x)))
```



Q3. Changing what optional argument in the above barplot() function results in the following plot?

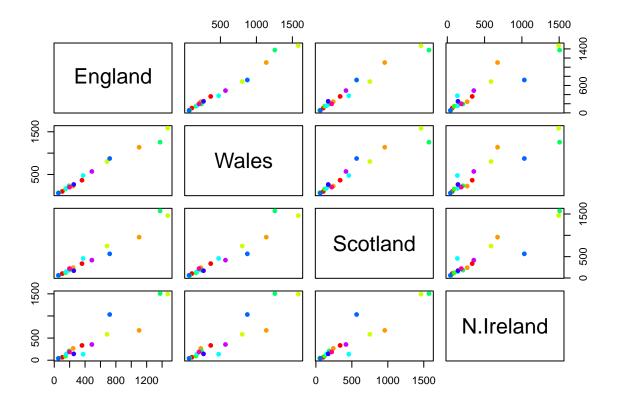
barplot(as.matrix(x), beside=F, col=rainbow(nrow(x)))



Changing the beside argument from TRUE to FALSE results in the following plot.

**Q5.** Generating all pairwise plots may help somewhat. Can you make sense of the following code and resulting figure? What does it mean if a given point lies on the diagonal for a given plot?

```
pairs(x, col=rainbow(10), pch=16)
```



The 17 colors in each plot are for each of the different rows. The labeled countries correspond to their respective columns and rows, where each plot is a comparison of the two different countries in that column and row. Lines on the diagonal represent that the x and y variables are similar and follows the general trend, while points that lie off the diagonal are more dissimilar.

**Q6.** What is the main difference between N. Ireland and the other countries of the UK in terms of this dataset?

N. Ireland deviates the most from that diagonal line, illustrating its dissimilarity from the other countries of the UK.

#### PCA to the rescue!

## Cumulative Proportion

The main function in base R for PCA is prcomp(). prcomp() expects the *observations* as rows and the *variables* as columns. Thus, we want to transpose our data.frame matrix with the t() transpose function.

```
pca <- prcomp(t(x))
summary(pca)

## Importance of components:
## PC1 PC2 PC3 PC4
## Standard deviation 324.1502 212.7478 73.87622 4.189e-14
## Proportion of Variance 0.6744 0.2905 0.03503 0.000e+00</pre>
```

1.00000 1.000e+00

0.9650

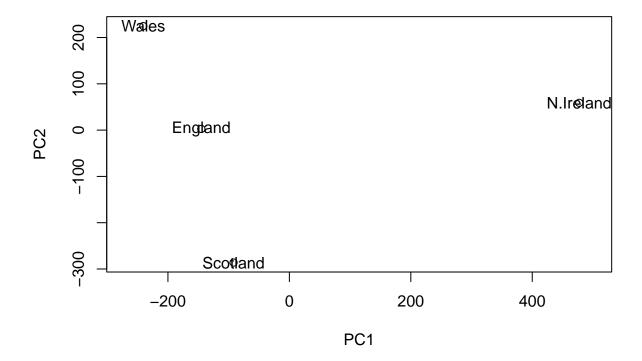
0.6744

#### attributes(pca)

```
## $names
## [1] "sdev" "rotation" "center" "scale" "x"
##
## $class
## [1] "prcomp"
```

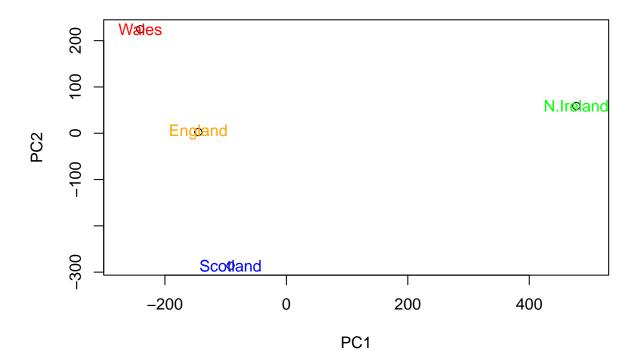
**Q7.** Complete the code below to generate a plot of PC1 vs PC2. The second line adds text labels over the data points.

```
# Plot PC1 vs PC2
plot(pca$x[,1], pca$x[,2], xlab="PC1", ylab="PC2", xlim=c(-270,500))
# Add column names to the plot
text(pca$x[,1], pca$x[,2], colnames(x))
```



**Q8.** Customize your plot so that the colors of the country names match the colors in our UK and Ireland map and table at the start of this document.

```
plot(pca$x[,1], pca$x[,2], xlab="PC1", ylab="PC2", xlim=c(-270,500))
color <- c("orange", "red", "blue", "green")
text(pca$x[,1], pca$x[,2], colnames(x), col=color)</pre>
```

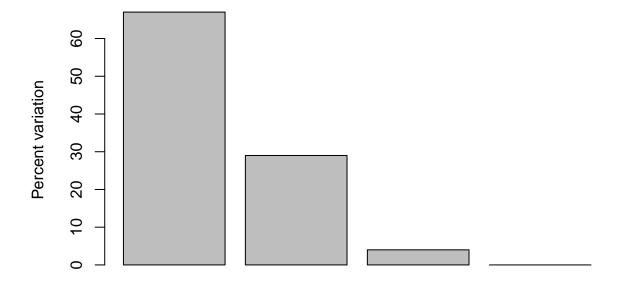


Now that the principal components are obtained, we can use them to map the relationship between variables (i.e. countries) in terms of these major PCs (i.e. new axis that maximally describe the original data variance).

Use the square of pca\$sdev to calculate how much variation each PC accounts for in the original data.

```
v <- round(pca$sdev^2/sum(pca$sdev^2) * 100)</pre>
## [1] 67 29
# or the second row here...
z <- summary(pca)</pre>
z$importance
##
                                  PC1
                                            PC2
                                                      PC3
                                                                    PC4
                           324.15019 212.74780 73.87622 4.188568e-14
## Standard deviation
## Proportion of Variance
                             0.67444
                                        0.29052
                                                 0.03503 0.000000e+00
## Cumulative Proportion
                              0.67444
                                        0.96497
                                                  1.00000 1.000000e+00
```

This information can be summarized in a plot of the variances (eigenvalues) with respect to the principal component number (eigenvector number), which is given below.

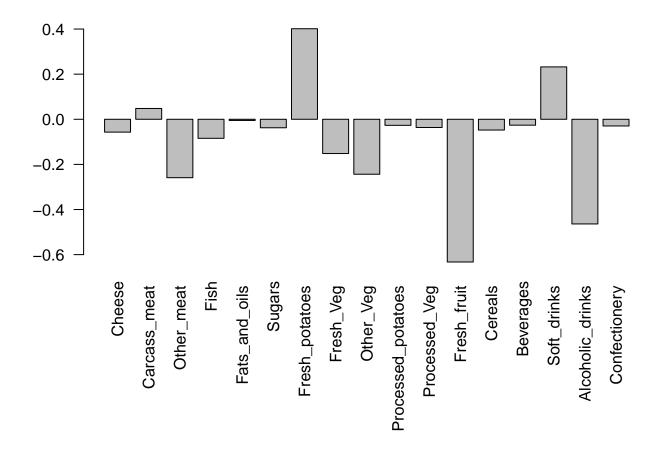


**Principal Component** 

# Digging deeper (variable loadings)

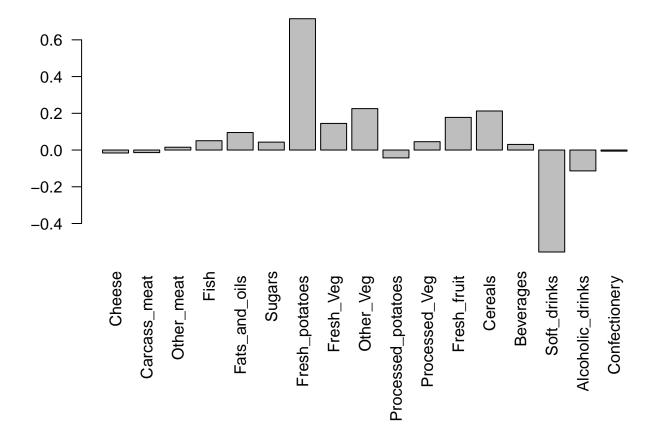
We can also consider the influence of each of the original variables upon the principal components (typically known as **loading scores**). This information can be obtained from the **prcomp()** returned \$rotation component. It can also be summarized with a call to **biplot()**, see below:

```
# Let's focus on PC1 as it accounts for almost 70% of the variance
par(mar=c(10, 3, 0.35, 0))
barplot(pca$rotation[,1], las=2)
```



**Q9.** Generate a similar 'loadings plot' for PC2. What two food groups feature prominantely and what does PC2 mainly tell us about?

```
par(mar=c(10, 3, 0.35, 0))
barplot(pca$rotation[,2], las=2)
```

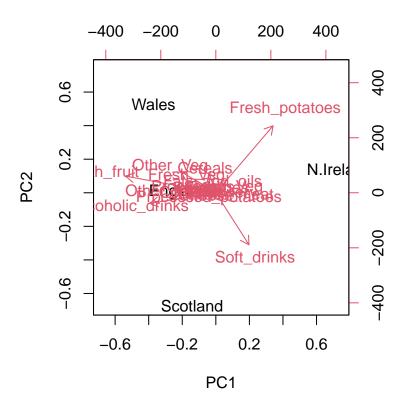


The two food that feature prominently are Fresh\_potatoes and Soft\_drinks, telling us that the former pushes Ireland to the right side of the plot while the latter pushes all the other countries to the left. PC2 mainly tells us that there is lower variance in the other food groups. This is illustrated by the similar distributions and loading scores closer to 0.

## **Biplots**

This is another way to see the information together with the main PCA plot.

```
# The inbuild biplot() can be useful for small datasets
biplot(pca)
```



The two food groups Fresh\_potatoes and Soft\_drinks are apparent and visibly different. Alcoholic\_drinks and Fresh\_fruit are also noticeably different.

### 2. PCA of RNA-seq data

Input the data

```
url2 <- "https://tinyurl.com/expression-CSV"</pre>
rna.data <- read.csv(url2, row.names=1)</pre>
head(rna.data)
##
          wt1 wt2
                    wt3
                         wt4 wt5 ko1 ko2 ko3 ko4 ko5
                    408
                         429 420
                                       88
## gene1
          439 458
                                   90
                                            86
## gene2
          219 200
                    204
                         210 187 427 423 434 433 426
## gene3
         1006 989
                   1030
                        1017 973
                                  252
                                      237 238 226
                         856 760 849 856 835 885 894
## gene4
          783 792
                    829
## gene5
          181 249
                    204
                         244 225 277 305 272 270 279
## gene6
          460 502
                    491
                         491 493 612 594 577 618 638
```

Note: The samples are columns, and the genes are rows!

Q10. How many genes and samples are in this data set?

nrow(x)

## [1] 17

ncol(x)

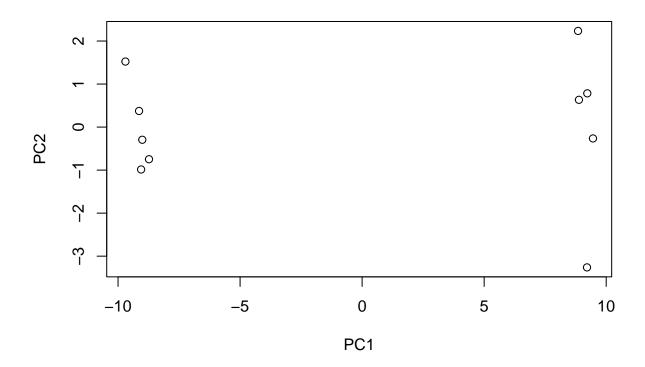
## [1] 4

17 rows, 4 columns

Generating barplots etc. to make sense of this data is really not an exciting or worthwhile option to consider. So lets do PCA and plot the results:

```
## Again we have to take the transpose of our data
pca <- prcomp(t(rna.data), scale=TRUE)

## Simple un polished plot of pc1 and pc2
plot(pca$x[,1], pca$x[,2], xlab="PC1", ylab="PC2")</pre>
```



Let's examine a summary of how much variation each PC accounts for in the original data:

summary(pca)

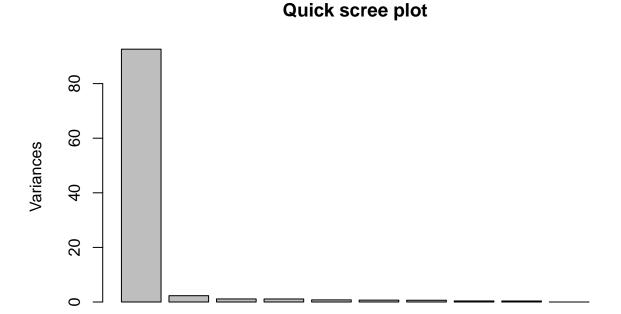
## Importance of components:

```
##
                             PC1
                                    PC2
                                            PC3
                                                    PC4
                                                             PC5
                                                                     PC6
                                                                             PC7
## Standard deviation
                          9.6237 1.5198 1.05787 1.05203 0.88062 0.82545 0.80111
## Proportion of Variance 0.9262 0.0231 0.01119 0.01107 0.00775 0.00681 0.00642
## Cumulative Proportion 0.9262 0.9493 0.96045 0.97152 0.97928 0.98609 0.99251
                              PC8
                                      PC9
                                               PC10
## Standard deviation
                          0.62065 0.60342 3.348e-15
## Proportion of Variance 0.00385 0.00364 0.000e+00
## Cumulative Proportion 0.99636 1.00000 1.000e+00
```

#### PC1 is where all the action is (accounts for 92.6% of the variation!)

A quick barplot summary of this Proportion of Variance for each PC can be obtained by calling the plot() function directly on our prcomp result object.

```
plot(pca, main="Quick scree plot")
```



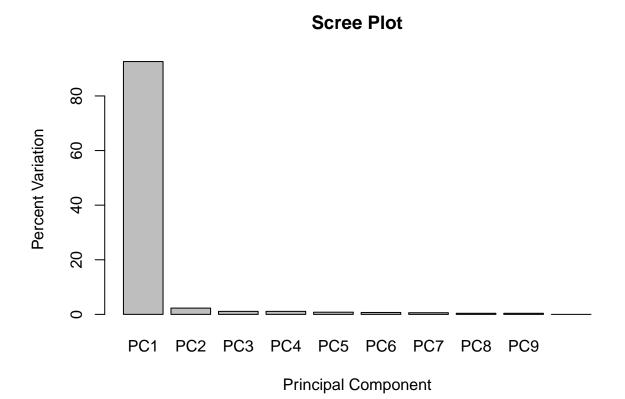
We can use the square of pra\$sdev to calculate how much variation in the original data each PC accounts for:

```
# Variance captured per PC
pca.var <- pca$sdev^2

# Percent variance is often more informative to look at
pca.var.per <- round(pca.var/sum(pca.var)*100, 1)
pca.var.per</pre>
```

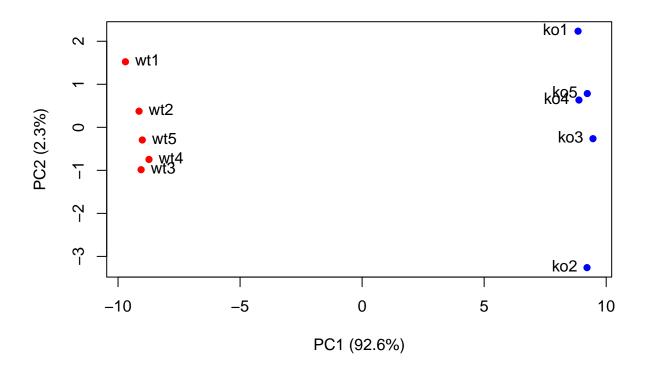
## [1] 92.6 2.3 1.1 1.1 0.8 0.7 0.6 0.4 0.4 0.0

Generate a scree-plot:



Again, this tells us that PC1 accounts for almost all the variation.

Now make the main PCA plot more aesthetic.



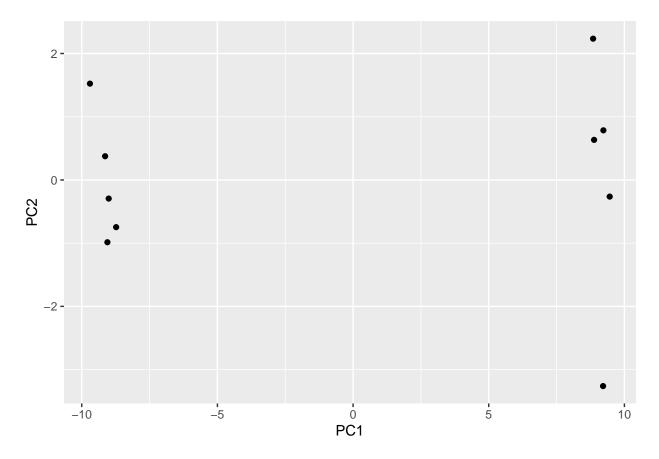
# Using ggplot

Visualize with ggplot2

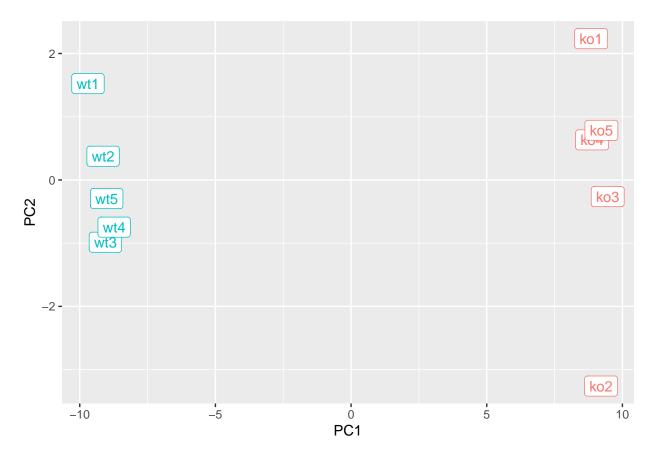
```
library(ggplot2)

df <- as.data.frame(pca$x)

# Our first basic plot
ggplot(df) + aes(PC1, PC2) + geom_point()</pre>
```



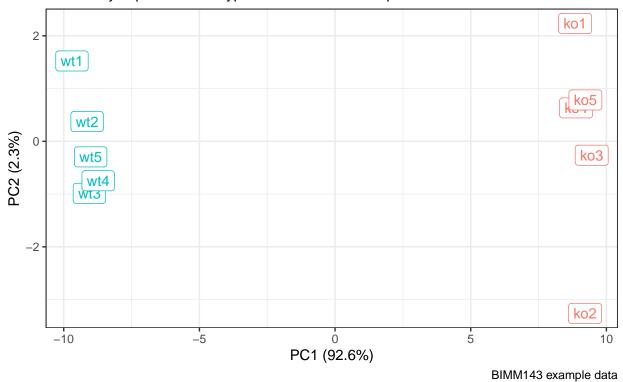
Add a condition-specific color and label aesthetics for wild-type and knock-out samples:



Finally, polish the plot:

### PCA of RNASeq Data

PC1 clealy seperates wild-type from knock-out samples



### Optional: Gene loadings

For demonstration purposes, let's find the top 10 measurements (genes) that contribute most to PC1 in either direction (+ or -).

```
loading_scores <- pca$rotation[,1]

## Find the top 10 measurements (genes) that contribute
## most to PC1 in either direction (+ or -)
gene_scores <- abs(loading_scores)
gene_score_ranked <- sort(gene_scores, decreasing=TRUE)

## show the names of the top 10 genes
top_10_genes <- names(gene_score_ranked[1:10])
top_10_genes</pre>
```

```
## [1] "gene100" "gene66" "gene45" "gene68" "gene98" "gene60" "gene21"
## [8] "gene56" "gene10" "gene90"
```

These may be the genes we would like to focus on for further analysis – if their expression changes are significant.