HLA-C Peptide Repertoires as Predictors of Clinical Response During Early SARS-CoV-2 Infection

Michael D. Olp 1,* , Vincent A. Laufer 1 , Andrew L. Velesano 1,2 , Andrea Zimmerman 1 , Kenneth J. Woodside 3,4,5 , Yee Lu 6 , Adam S. Lauring 2 , and Matthew F. Cusick 1,*

Correspondence*:

2800 Plymouth Rd building 35, Ann Arbor, MI 48109 olpmi@med.umich.edu, mfcusick@med.umich.edu

2 ABSTRACT

- The Human Leukocyte Antigen (HLA) system plays a pivotal role in the immune response to viral 3 infections, mediating the presentation of viral peptides to T cells and influencing both the strength and specificity of the host immune response. Variations in HLA genotypes across individuals lead to differences in susceptibility to viral infection and severity of illness. This study uses observations from the early phase of the COVID-19 pandemic to explore how specific HLA class I 7 molecules affect clinical responses to SARS-CoV-2 infection. By analyzing paired high-resolution HLA types and viral genomic sequences from 60 patients, we assess the relationship between predicted HLA class I peptide binding repertoires and infection severity. This approach leverages functional convergence across HLA-C alleles to identify relationships that may otherwise be inaccessible due to allelic diversity and limitations in sample size. Surprisingly, our findings show that severely symptomatic infection in this cohort is associated with disproportionately abundant recognition of SARS-CoV-2 structural and non-structural protein epitopes by patient HLA-C molecules. Furthermore, we explore how structural characteristics of HLA-C molecules relate to differential promiscuity in SARS-CoV-2 peptide recognition. The findings highlight immunologic 17 mechanisms linking HLA-C molecules with the human response to viral pathogens that warrant further investigation. 18
- 19 Keywords: HLA, SARS-CoV-2, antigenicity, bioinformatics, computational biology

¹Department of Pathology, University of Michigan, Ann Arbor, MI, USA

² Division of Infectious Diseases, Department of Internal Medicine and Department of Microbiology and Immunology, University of Michigan, Ann Arbor, MI, USA

³Sharing Hope of South Carolina, Charleston, SC, USA

⁴Gift of Life Michigan, Ann Arbor, MI, USA

⁵Academia Invisus LLC, Ann Arbor, MI, USA

⁶Division of Nephrology, Department of Internal Medicine, University of Michigan, Ann Arbor, MI, USA

1 INTRODUCTION

- 20 Severe acute respiratory syndrome coronavirus type 2 (SARS-CoV-2) produces a considerable range of
- 21 clinical presentations from mild common cold-like symptoms to severe pneumonia, respiratory distress
- 22 syndrome (ARDS), and multisystem organ failure (MOF) [1, 2]. While patient demographic, medical, and
- 23 behavioral risk factors certainly influence outcomes [3], dysregulated activation of immune responses is
- 24 also implicated in severe clinical progression early in infection [4, 5].
- 25 Human leukocyte antigen (HLA) genes are highly polymorphic structures with central roles in shaping
- 26 immune responses against pathogens. The interactions between HLA I and II molecules and T cell receptors
- 27 (TCR) activate lymphocytes and develop the adaptive immune effector milieu. HLA class I antigens interact
- 28 with cytotoxic T cells (CD8+) and kill virally infected targets. Different HLA genes determine specific
- 29 repertoires of peptides included in the major histocompatibility complex (MHC) and influence subsequent
- TCR recognition, leading to antigen-specific immune responses. Therefore, HLA heterogeneity is a crucial
- 31 factor underlying differential susceptibility to viral infection across human populations.
- 32 Distinct HLA alleles and haplotypes impact severity and progression of viral infection due to Human
- 33 Immunodeficiency virus (HIV) [6, 7, 8, 9], hepatitis B [10, 11], hepatitis C [12, 13, 14], and influenza
- 34 [15, 16, 17]. Certain HLA alleles have also been associated with poor clinical response to infection by
- 35 SARS-CoV [18, 19], a closely related predecessor to SARS-CoV-2 with approximately 80% genetic
- 36 sequence identity [20, 21]. However, geographic restriction of SARS-CoV transmission allowed for
- 37 examination of only limited pools of HLA alleles. In contrast, the pandemic nature of SARS-CoV-2 has
- 38 prompted numerous studies analyzing broader ranges of HLA alleles. These efforts have identified multiple
- 39 associations between patient HLA alleles and disease outcome [22, 23, 24, 25, 26, 27, 28, 29, 30, 31,
- 40 32]. However, identified associations are not entirely consistent [32, 33]. Discrepancies in allele-level
- 41 associations between studies are at least partially due to geographic variation in HLA genotype frequency.
- 42 In addition, geographic and temporal SARS-CoV-2 genetic variation further complicates comparison across
- 43 studies.
- SARS-CoV-2 mutational analysis has been a crucial component of vaccine design, and HLA has emerged
- as an important target [34, 35, 36, 37, 38]. *In silico* peptide binding analyses have been instrumental in
- 46 identifying antigenic SARS-CoV-2 peptides given the particularly large viral peptidome as well as the
- 47 combinatorial nature of binding groove sequence variation both within and across HLA loci. Machine
- 48 learning tools like NetMHCpan and NetMHCIIpan utilize available experimental data to allow robust
- 49 and efficient prediction of MHC-peptide binding [39]. While high-affinity MHC-peptide binding does
- 50 not, by itself, equate with immunogenicity, in silico techniques have successfully identified SARS-CoV-2
- 51 epitopes capable of eliciting T cell response, many of which are HLA specific [40, 41, 42]. Importantly,
- 52 even sequence variation within SARS-CoV-2 lineages alters viral peptide presentation on HLA molecules
- 53 [43].
- Here, we employ *in silico* peptide binding prediction to investigate the role of individual HLA classifica-
- 55 tion in clinical response to SARS-CoV-2 infection. Individual levels of viral peptide binding to class I and
- 56 II HLA molecules were predicted using paired high-resolution HLA typing and SARS-CoV-2 genomic
- 57 sequencing for 60 patients presenting to Michigan Medicine. We then test the hypothesis that variation in
- 58 viral antigenicity is associated with differential clinical response to infection using the Sequential Organ
- 59 Failure Assessment (SOFA) scoring system as an indicator of disease severity. This is the first study
- 60 comparing HLA classification with clinical outcome that controls for SARS-CoV-2 genomic sequence
- variation at an individual level. Using a multiple linear regression-based approach that accounts for HLA

class I genotype and demographic factors, we identify a significant association between disproportionate 63 HLA-C-mediated peptide binding and severely symptomatic infection. Furthermore, molecular modeling and unsupervised machine learning techniques provide structural and functional insight into differential 64 65 peptide binding by individual HLA-C molecules that correlate with disease severity. In addition, we 66 investigate how viral sequence variation over time affects disproportionate HLA-C-mediated binding of 67 both structural and non-structural SARS-CoV-2 epitopes. This approach leverages functional convergence across HLA alleles to identify relationships that may be obscured by high degrees of allelic diversity 68 69 and limited sample size. Ultimately, this research aims to enhance understanding of how individual HLA classification impacts interaction with novel antigens to influence clinical outcomes, both in the context of 70 continuing SARS-CoV-2 transmission and novel viral pathogens.

2 RESULTS

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Extent of patient HLA-C peptide binding repertoire is a risk factor for severe SARS-CoV-2 infection.

74 In order to investigate relationships between HLA class I genotype and illness severity following SARS-CoV-2 infection, high-resolution HLA types and viral genomic sequences were obtained for 60 patients 75 with positive polymerase chain reaction (PCR) tests between March 24, 2020, and May 5, 2020. This study 76 design allows direct comparison between each patient's HLA class I alleles and the specific peptidome 77 78 encoded by their SARS-CoV-2 strain. Infection severity is quantified as the maximum sequential organ 79 failure assessment (SOFA) score documented within 10 days of SARS-CoV-2 diagnosis. Here, SOFA score shows a significant positive correlation with laboratory values previously associated with severe 80 disease, including creatinine, white blood cell count (WBC), and C-reactive protein (CRP) [44], as well as 81 82 indications of poor outcome such as intensive care unit (ICU) admission and need for intubation (Figure S1). 83

To allow associations between the extent of viral peptide recognition by patient-encoded MHC class I molecules and clinical outcome following SARS-CoV-2 infection, binding predictions were performed using NetMHCpan software. Binding affinity (BA) and mass spectrometry-based eluted ligand (EL) scores were calculated for all MHCI:peptide pairs resulting from each patient's six HLA class I alleles and the corresponding SARS-CoV-2 peptidome, including sequences eight to eleven amino acids in length (Figure 1A-C, Table S2-4). In addition, MHCI:peptide pairs were queried in the Immune Epitope Database (IEDB) to identify experimentally validated binding interactions and pairs showing no binding. The resulting 2,431 experimentally interrogated MHCI:peptide pairs were used as a training set for logistic regression to assign binding probabilities for all NetMHCpan predictions (Figure 1E). The overall logistic regression model is closely related to the binding affinity score (Figure 1D).

To test the hypothesis that response to infection is related to the overall size of patient HLA class I peptide 94 binding repertoires, the sum of predicted interactions for each patient's two HLA-A, -B, and -C alleles 95 were used to predict SOFA score through a Bayesian generalized linear multivariate regression framework 96 (Figure 1F, S2). To avoid spurious results due to ambiguity regarding biologically relevant MHC:peptide 97 binding affinities and using a single arbitrary cutoff to define binding, numbers of peptide interactions were 98 calculated over a range of probability cutoffs (0.5 - 0.9) (Figure D-F). The resulting distributions were 99 then accounted for as independent variable uncertainty using Bayesian techniques [45, 46]. In addition, 100 age, body mass index (BMI), and sex were included as regressors to control for potential influences of 101 these demographic factors over the clinical course. Of the variables examined, increased size of HLA-C 102

peptide binding repertoire predicts poor response to infection (95% CI = 0.12 - 2.60, Figure 1F). Although

104 not reaching statistical significance, increased SOFA score was predicted by decreased sizes of HLA-A

105 (95% CI = -0.36 - 0.58) and -B (95% CI = -0.26 - 0.60) peptide binding repertoires. Together, these results

106 predict disproportionate peptide binding by HLA-C molecules relative to HLA-A and -B is a risk factor for

107 severe SARS-CoV-2 infection.

Disproportionate HLA-C binding of structural and nonstructural protein sequences contributes to poor prognosis.

Early efforts aimed at epitope mapping and vaccine design primarily focused on SARS-CoV-2 structural 110 proteins, particularly Spike. However, peptides derived from nonstructural and accessory proteins can 111 112 also elicit an immune response to SARS-CoV-2 infection [47, 48]. As a result, we examine the HLA class I binding of sequences derived from all twenty-seven SARS-CoV-2-encoded proteins (Figure 2A). 113 To identify protein sequences leading to unbalanced HLA-C peptide binding relative to HLA-A and -B, 114 predicted repertoire sizes for individual SARS-CoV-2 proteins were compared with the SOFA score for 115 each patient. HLA class I peptide repertoire sizes for each SARS-CoV-2 protein were calculated across 116 binding probability thresholds 0.5 to 0.9. Significant relationships with SOFA score were then identified as 117 118 those with Pearson correlation coefficients with a magnitude greater than one standard deviation above 0.25, corresponding to p<0.05 for sixty samples. In this analysis, quantities of predicted HLA-C peptide-binding 119 120 interactions show a significant positive correlation with SOFA score for twenty of the twenty-seven SARS-121 CoV-2 proteins (Figure 2B). Conversely, peptide repertoire sizes for HLA-A and -B are predominantly lower for patients with more severe infection, although these correlations for individual protein sequences 122 123 do not reach statistical significance. These results indicate that the disproportionate binding of HLA-C 124 molecules toward peptides derived from structural, nonstructural, and accessory SARS-CoV-2 protein sequences contributes to an elevated risk of severe infection. 125

126 Peptide sequence characteristics associated with differential HLA-C binding in mild versus severe SARS-CoV-2 infection were also examined. Pearson correlations were calculated between HLA-C binding 127 128 probability and SOFA score for all peptides, and the top 1% of positively and negatively correlated 129 sequences were compared. Peptide sequences predicted to bind to HLA-C alleles in patients with severe SARS-CoV-2 infection show a preference for amino-acid restriction at the second from N-terminal (P2) and 130 C-terminal anchor positions (Figure 2C-F). The C-terminal position is enriched for hydrophobic residues, 131 132 which is consistent with HLA-C binding specificity overall [49]. P2 also shows enrichment for hydroxylcontaining amino acids (Ser, Thr, and Tyr) or the smaller Ala in peptides selectively binding HLA-C alleles 133 encoded by patients with severe infection (Figure 2C-F). While all SARS-CoV-2 sequences identified in 134 135 this study are of either the A or B.1 lineage (Table S1), amino acid differences exist at sixty-two missense mutation sites. Five of these mutations significantly alter the distributions of binding probability scores 136 across patient HLA-C alleles (Figure 2G). Mutations of non-structural protein 3 (NSP3) and nucleocapsid 137 protein (N) affect the P2 anchor, with Ser favored for HLA-C binding. Mutations involving the C-terminal 138 anchor of peptides derived from NSP13 and the NS3 accessory protein highlight preference for Val at 139 this position. In addition, the Spike Asp⁶¹⁴Gly mutation that has been associated with enhanced viral 140 fitness is predicted to result in less HLA-C binding in this patient cohort (Figure 2G). These results 141 142 implicate structural, nonstructural, and accessory protein sequences in differential HLA-C peptide binding and prognostic impact. Furthermore, missense mutations within single SARS-CoV-2 lineages can alter 143 recognition by HLA-C molecules due to amino acid changes at the P2 and C-terminal anchor positions.

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145 Infection severity correlates positively with overlap between HLA-A and -C peptide 146 binding repertoires.

147 Unbalanced viral peptide binding by HLA-C relative to HLA-A and -B during infection may be exacerba-148 ted by competition for shared ligands. Indeed, distributions of peptide binding across SARS-CoV-2 proteins are similar for each of the three HLA class I loci (Figure 2A). To test this hypothesis, the intersection 149 between sets of SARS-CoV-2 peptides recognized by patient HLA-A, -B, and -C alleles was determined 150 151 over a range of prediction scoring thresholds (Figure 3A-B). For all patient alleles combined, percentages of HLA-A and -B peptide repertoires that are also predicted to be bound by HLA-C alleles range from 10 152 -29% and 16-36%, respectively. Generally, the highest degree of overlap is seen for interactions with 153 lower predicted affinity (Figure 1D-E, 3A-B). The intersection between HLA-A and -C peptide repertoires 154 significantly correlates with SOFA score (Figure 3C, p < 0.004). However, no similar relationship between 155 HLA-B and -C peptide repertoires is identified (Figure 3D). These results suggest that competition between 156 157 HLA-A and -C for shared SARS-CoV-2 epitopes contributes to inefficient HLA class I responses that 158 predispose patients toward severe infection.

Prognosis is not related to KIR ligand group among patient alleles examined.

HLA-C molecules are the primary ligands of Killer cell immunoglobulin-like receptors (KIRs), thus 160 161 playing an important role in natural killer (NK) cell-mediated responses to infection [50, 51]. A balanced dimorphism at amino acids 77 and 80 divides HLA-C molecules into C1 and C2 KIR ligand groups 162 [52] (Figure 4A-B). C1 allotypes have previously been predicted to bind larger quantities of SARS-163 CoV-2 peptides [53], and several have been individually identified as risk factors for poor prognosis 164 [54, 55, 55, 28, 22, 56, 57, 58, 25, 54]. In the present study, C1 allotypes are predicted to bind larger 165 166 numbers of SARS-CoV-2 peptides than C2 allotypes (p < 0.002, Figure 4C). However, the KIR ligand group does not predict differential prognosis following infection (Figure 4D, p > 0.98). These results argue 167 against KIR ligand specificity as a primary mechanism of prognostic variation in this patient cohort. 168

The amino acid sequence of the HLA-C peptide binding groove predicts patient prognosis following SARS-CoV-2 infection.

171 The balanced dimorphism defining KIR ligand groups has only a limited impact on the HLA-C peptide binding surface (Figure 4A). Alleles were clustered based on their complete peptide binding site amino 172 173 acid sequences to examine the relationship between HLA-C molecular structure and differences in patient prognosis (Figure 5A). Distinct electrostatic patterns between the resulting groups delineate Cluster 1 174 175 and 2 peptide binding grooves as predominantly negatively and positively charged, respectively, while 176 Cluster 3 binding sites are relatively hydrophobic (Figure 5B-D). Comparing patient SOFA scores with each of their two HLA-C alleles reveals differential prognosis across sequence-based clusters (Figure 5E, 177 178 ANOVA p = 0.05). This analysis, too, demonstrates severe SARS-CoV-2 infection is associated with larger 179 HLA-C peptide binding repertoires (Figure 5F, ANOVA p < 1e-11). Cluster 3 alleles are predicted to have 180 the largest peptide binding repertoire and are associated with the greatest prognostic risk. Lower peptide 181 binding selectivity in Cluster 3 may be due to the ability of relatively hydrophobic binding pockets to 182 accommodate peptides with uncharged residues at P2 (Figure 2C and 5G). In addition, Clusters 1 and 3, 183 the lowest and highest risk sequence-based groups, respectively, show allele dosage effect approaching 184 statistical significance (p = 0.15 and 0.12, respectively; Figure S3). These results support a mechanism by 185 which HLA-C molecule amino-acid composition and differential peptide binding specificity impact patient 186 response to SARS-CoV-2 infection.

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DISCUSSION

The HLA system is a crucial determinant of infection susceptibility making it an attractive target for 187 investigations aimed at understanding genetic causes of differential responses to pathogens across human 188 populations. HLA class I molecules are crucial for the early elimination of virus-infected cells by CD8+ 189 190 T-cells and NK cells while maintaining self-tolerance to avoid excessive tissue damage. As a result, HLA class I genotype has received significant attention with respect to aberrant immune responses during early 191 192 SARS-CoV-2 infection. Here, we compared HLA class I genotypes and strain-specific SARS-CoV-2 peptidomes for 60 patients seen at Michigan Medicine early in the COVID-19 pandemic to investigate 193 relationships between predicted peptide recognition and infection severity. This analysis shows patients 194 195 with larger quantities of HLA-C:viral peptide interactions were significantly more likely to experience 196 severe symptoms (Figure 1F).

197 HLA-C molecules are expressed in lower quantities at the cell surface [59, 60, 59, 61, 62], compared with HLA-A and -B and, therefore, often receive less attention in studies of responses to viral infection. 198 Nevertheless, HLA-C-restricted viral peptides have been implicated during disease progression in HIV 199 [63, 64, 65, 66, 67, 68], and hepatitis C infections [69]. While the role of HLA-C in viral respiratory 200 illness remains poorly understood, specific HLA-C alleles have been associated with differential risk of 201 infection and/or prognosis in influenza [70, 71, 72], Chikungunya [73], Dengue [74], Lassa [75], and 202 Ebola [76, 77, 78] viruses. Increasing evidence also links genetic and epigenetic polymorphism at the 203 204 HLA-C locus with differential clinical outcomes in SARS-CoV-2 infection. Epigenome-wide association studies implicate differential CpG methylation of the HLA-C locus in conferring risk for developing severe 205 infection with respiratory failure [79]. In addition, multiple studies have identified associations between 206 specific HLA-C alleles and prognosis following SARS-CoV-2 infection [80, 81, 82, 80, 81, 82, 29, 30, 31], 207 albeit with at times conflicting results [32, 33]. Potential causes for this variability include differences in 208 the populations and/or SARS-CoV-2 strains examined and difficulty obtaining large sample sizes. Our 209 study addresses statistical limitations associated with these issues by assessing predicted viral peptide 210 binding as a continuous variable rather than discrete HLA alleles. In addition, predicting peptide binding 211 212 with respect to each patient's sequenced SARS-CoV-2 strain controls for differences in viral peptidomes and would allow for unbiased comparison with other cohorts. 213

with poor capacity for viral peptide binding [23, 25]. Furthermore, low levels of viral peptide recognition 215 216 by HLA class I molecules may be particularly important for increasing susceptibility to severe SARS-217 CoV-2 infection in younger patients [83]. This association is relevant to our cohort as younger patients unexpectedly tended to have higher SOFA scores (Figure 1A). Poor prognosis in our patient cohort also 218 tended to be associated with smaller predicted HLA-A, and -B peptide binding repertoires (Figure 1F). We 219 220 hypothesized that HLA-C molecules may exacerbate this problem by competing with other HLA class I 221 molecules for peptide binding, potentially causing lower antigenicity due to lower cell surface presentation by HLA-C versus -A and -B molecules. Indeed, we show higher degrees of overlap between HLA-A and -C 222 molecule peptide binding specificity for a given patient, which positively correlates with infection severity 223 224 (Figure 3C).

Previous studies also suggest an association between poor clinical outcome and HLA-A and -B allotypes

Prior studies also investigated the role of HLA-C/KIR relationships in differential response to SARS-CoV-2 infection [80, 81, 82]. In agreement with previous analyses, we found that C1 allotypes are predicted to bind larger quantities of SARS-CoV-2 peptides than C2 [53] (Figure 4C). However, we do not identify significant correlation between C1/C2 allotype and infection severity (Figure 4D), perhaps consistent with contradicting prognostic findings reported for C1 versus C2 alleles [54, 55, 55, 28, 22, 56, 57, 58, 25, 54,

- 230 28, 84, 85]. Instead, we identified prognostically significant HLA-C allele groupings by assessing the overall electrostatic compositions of peptide binding site surfaces (Figure 5), which may inform on peptide
- 232 binding specificity and downstream T-cell recognition.
- Inherent limitations of this study include a relatively small sample size and the uncertain functional 233 significance of peptide-binding prediction tools. MHC:peptide binding does not guarantee efficient antigen 234 presentation or subsequent T-cell response. Nevertheless, computational tools for predicting antigen 235 recognition show increasing utility in guiding vaccine design. For instance, predictive analyses broadened 236 searches for SARS-CoV-2 vaccine targets beyond the Spike protein, which was perhaps disproportionately 237 emphasized in the early stages of the COVID-19 pandemic. Our results further support the potential for 238 targeting antigens encoded by structural and non-structural viral proteins for continued vaccine development 239 (Figure 2). 240

241 The conclusions drawn from this research offer valuable perspectives for the fields of personalized 242 medicine, vaccine development, and immunology. By demonstrating the predictive capacity of HLA-C 243 peptide binding for assessing the severity of SARS-CoV-2 infections, this study suggests pathways for creating clinical tools to identify individuals at higher risk. Such tools can potentially facilitate tailored 244 245 treatment strategies and more focused patient monitoring. Additionally, the findings advocate for including 246 a wider array of viral epitopes in vaccine formulations, which may lead to effective vaccines across the diverse spectrum of HLA-C genotypes. The exploration of interactions among HLA class I molecules and 247 248 their influence on the immune response opens avenues for further investigation. This could include the 249 development of advanced computational models to refine our predictions of HLA-peptide binding and its role in antigen presentation. Progress in these areas promises to deepen our grasp of how pathogens evade 250 immune detection and could inform the development of more sophisticated immunotherapies and vaccines, 251 252 potentially benefiting the management of various infectious diseases.

MATERIALS AND METHODS

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High-resolution human leukocyte antigen-typing.

- Blood collection and subsequent DNA isolation were performed by the University of Michigan COVID-
- 255 19 Biorepository. After extraction, DNA concentration and quality were measured with the Qiagen Qiexpert
- 256 spectrophotometer. Next-generation sequencing (NGS) human leukocyte antigen (HLA)-typing for HLA-A,
- 257 -B, -C was done using NGSgo®-Amp v2 (GenDx, the Netherlands), according to the manufacturer's
- 258 instructions. After long-range PCR amplification of each HLA gene, DNA fragments were selected,
- amplified, cleaned, and sequenced on a MiSeq using MiSeq Reagent Kits v2 (300 cycles) (Illumina, San
- 260 Diego, CA). Samples were analyzed using NGSengine v2.25.0 software. This study was approved by the
- 261 University of Michigan Institutional Review Board (HUM00183348), and samples were obtained from the
- 262 University of Michigan Medical School Central Biorepository.

Peptide binding predictions.

Translated SARS-CoV-2 protein sequences corresponding to viral strains sequenced in this study were obtained from the GISAID database (*gisaid.org/EPI_SET_220330me*) [86] and are listed in Table S1. FASTA formatted sequences were parsed using the Bio.Seq module implemented in biopython (v. 1.75). For each viral strain, protein sequences were partitioned into libraries of all possible overlapping 8-11 amino acid peptides, respectively, using a sliding window method. Binding predictions were then carried out for each patient MHC protein toward the corresponding peptide library using local installations of NetMHCpan

- 270 (v. 4.1) and NetMHCIIpan (v. 4.0) artificial neural networks [39] with default input parameters. Binding
- 271 affinity (BA) and mass-spectrometry eluted ligand (EL) data were used to select predicted interactions for
- 272 further analysis. Peptide binding data was processed using the pandas (v. 1.4.3) and NumPy (v. 1.21.3)
- 273 Python libraries. Predicted BA and EL results for 2,431 experimentally examined MHCI:peptide pairs
- 274 available in the IEDB were used to generate a logistic regression model, and the resulting probabilities were
- evaluated by ROC analysis using the LogisticRegression and roc_curve functions available in scikit-learn
- 276 (v. 1.3.2) Python library.

277 Statistical modeling of infection severity.

Bayesian generalized linear multivariate regression was performed using the brms implementation of

279 Stan in R [45, 46] (v. 2.18.0) using the following equation:

$$y_{i} = \beta_{0} + \beta_{1} A_{i}^{*} + \beta_{2} B_{i}^{*} + \beta_{3} C_{i}^{*} + \beta_{4} Sex_{i} + \beta_{5} Age_{i} + \beta_{6} BMI_{i} + \epsilon_{i}$$
(1)

280 where y_i refers to the SOFA score corresponding to the i^{th} patient, β_0 , β_1 ,..., β_6 are the estimated

- 281 coefficients, and ϵ_i is the error term. A_i^* , B_i^* , and C_i^* are the latent true values of the HLA-A, -B, and -C
- 282 peptide repertoire sizes calculated across binding probability thresholds 0.5 to 0.9, accounting for error
- 283 using the me(mean, standard deviation) brm function call. Sex, age, and BMI are additional predictors
- 284 without associated measurement errors. All predictors were z-score normalized prior to multivariate
- 285 regression. The relationship between SOFA score and the fractional intersection of patient HLA-A (or -B)
- and HLA-C peptide binding repertoires was similarly modeled using the equation:

$$y_i = \beta_0 + \beta_1 \mathbf{X}_i^* + \epsilon_i \tag{2}$$

287 with y_i , β_0 , β_1 , and ϵ_i defined as in equation (1) and X_i^* referring to the intersection of the i^{th} patient's

- 288 HLA-A (or -B) and HLA-C peptide binding repertoires divided by HLA-A (or B) repertoire size. These
- analyses were implemented using R version 4.2.2 and model visualizations were prepared using the
- 290 Matplotlib (v. 3.4.2) and seaborn (v. 0.11.2) Python libraries.

291 Protein sequence analysis, modeling, and visualization.

- 292 Aligned HLA-C amino acid sequences were obtained from the IPD-IMGT/HLA Database [87] in FASTA
- 293 format. Three-dimensional structures were generated for each patient HLA-C protein using the ColabFold
- 294 [88] implementation of AlphaFold2 [89]. Electrostatic maps were calculated and visualized using the
- 295 Adaptive Poisson-Boltzman Solver (APBS) plugin for PyMOL (v. 2.5). The model of HLA-C03:04
- bound to a SARS-CoV-2 NSP3 peptide (residues 1885-1894, UniProt ID: P0DTC1) was generated using
- 297 AlphaFold-Multimer [https://doi.org/10.1101/2021.10.04.463034] followed by refinement using Rosetta
- 298 FlexPepDock low- and high-resolution protocols [?]. All protein visualizations were prepared using
- 299 PyMOL (v. 2.5), and peptide sequence logos were generated using the Logomaker library implemented in
- 300 Python (v. 3.8). For sequence-based clustering analysis, residues at positions forming the HLA-C peptide
- 301 binding surface were embedded using sgt (v. 2.0.3), a Python implementation of sequence graph transform.
- 302 PCA and K-means clustering analysis was then performed on embedded sequences using the scikit-learn
- 303 library (v. 0.24.2) in Python (v. 3.8). PCA scatterplots were prepared using the Matplotlib library (v. 3.4.2)
- 304 implemented in Python (v. 3.8).

305 Statistics.

- Pearson correlations were calculated using the pearsonr method implemented in the stats module imple-
- 307 mented in the SciPy Python library (v. 1.6.2). Analysis of variance (ANOVA) and T-test calculations were
- 308 performed using the SciPy f_oneway, and ttest_ind methods, respectively. A p-value cutoff of 0.05 was
- 309 used to identify statistically significant results in all analyses described above. Data visualizations were
- 310 prepared using the matplotlib (v. 3.4.2) and seaborn (v. 0.11.2) Python libraries.

CONFLICT OF INTEREST STATEMENT

- 311 The authors declare that the research was conducted in the absence of any commercial or financial
- 312 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

- 313 MDO: Writing original draft, Writing review & editing, Conceptualization, Data curation, Formal
- analysis, Methodology, Visualization; VAL: Conceptualization, Writing review & editing; ALV: Investi-
- 315 gation, Writing review & editing; AZ: Investigation, Writing review & editing; KJW: Conceptualization,
- 316 Writing review & editing; YL: Conceptualization, Writing review & editing; ASL: Conceptualization,
- 317 Resources, Writing review & editing; MFC: Writing review & editing, Conceptualization, Project
- 318 administration, Resources, Supervision.

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- 320 responsible for obtaining the specimens, and their Submitting laboratories for generating the genetic
- 321 sequence and metadata and sharing via the GISAID Initiative, on which this research is based.

SUPPLEMENTAL DATA

- 322 TableS1.xlsx
- 323 TablesS2-4.xlsx
- 324 Supplementary_Material.pdf

DATA AVAILABILITY STATEMENT

- 325 The findings of this study are based on 60 sequences available on GISAID between March 27, 2020, and
- 326 May 9, 2020, and accessible at GISAID EPI SET 220330me. All code used for downstream analysis is
- publicly available at the corresponding author's GitHub profile at GitHub: molp11/SARS_HLA.

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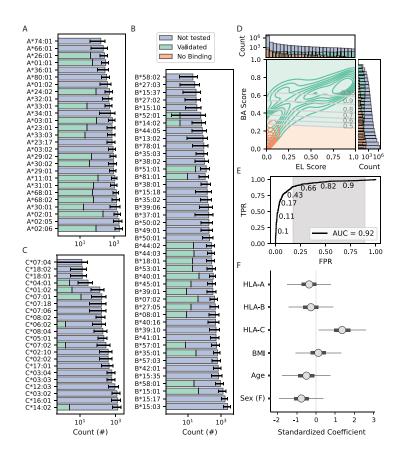


Figure 1. Infection severity correlates with total numbers of predicted HLA-C/SARS-CoV-2 peptide interactions. (A-C) Total numbers of SARS-CoV-2 peptides predicted to bind each MHC molecule (purple bars). Error bars represent standard deviation across probability thresholds. Subsets of interactions documented in the IEDB are shown as green bars. (D) Contour plot and bar plots showing distributions of netMHCpan BA and EL scores for predicted interactions. Green and orange lines/bars correspond to interactions showing positive and negative binding in the IEDB, respectively. Purple bars show the total number of predicted binding interactions. Gray lines indicate probability thresholds for positive binding. (E) ROC analysis of logistic regression probability model for identifying positive binding predictions. (F) Forest plot showing standardized multiple linear regression coefficients (circles) for predictors of patient SOFA scores, including 50% (thick lines) and 95% (thin lines) confidence intervals.

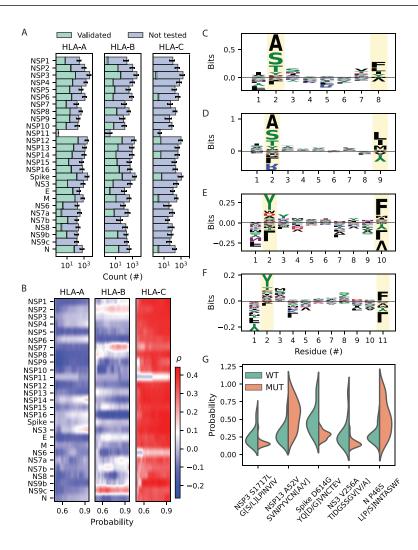


Figure 2. Severity of illness correlates with predicted HLA-C-mediated recognition of structural and non-structural SARS-CoV-2 protein sequences. (A) Distribution of predicted MHC class I binding across the SARS-CoV-2 proteome. (B) Heatmaps showing a correlation between predicted numbers of binding interactions and SOFA score across SARS-CoV-2 proteins. (C-F) Sequence enrichment plots for 8 to 11 aa peptides exhibiting the greatest magnitude correlation (top 1%) between HLA-C binding probability and SOFA score. (G) Split violin plots showing effects of missense mutations on predicted binding probability toward patient HLA-C molecules. Binding probability distributions for wild-type (Wuhan strain) and mutant strains are shown in green on the left and orange on the right, respectively. All distributions shown significantly differ between wild-type and mutant peptides (p<0.05).

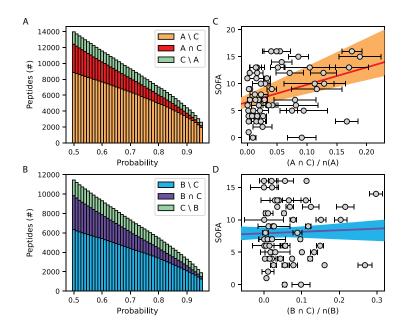


Figure 3. Infection severity correlates with the extent of overlap between SARS-CoV-2 peptide repertoires for patient HLA-A and -C molecules. (A-B) Stacked bar chart showing the intersection and differences between sets of SARS-CoV-2 peptides predicted to bind patient HLA-A, -B and -C molecules. (C-D) Results of linear regression using fractional intersection of peptide sets predicted to bind patient HLA-A/B and -C molecules to predict SOFA score. Error bars represent standard deviation across probability thresholds

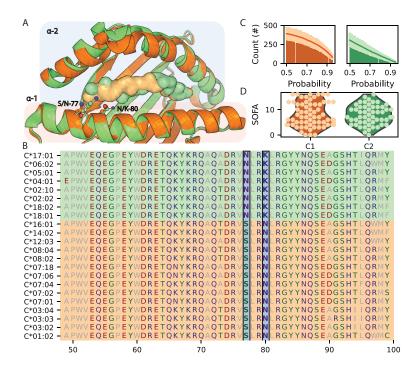


Figure 4. Relationship between KIR cluster, predicted SARS-CoV-2 peptide binding, and illness severity. (A) Structural overlay of C1 (HLA-C*06:02; PDB ID 5W6A) and C2 (HLA-C*07:02; 5VGE) molecules. (B) Protein Sequence alignment of HLA-C α -1 helices. (C) Mean numbers of predicted SARS-CoV-2 peptide-binding interactions for C1 and C2 molecules as a function of probability threshold. (D) Distributions of patient SOFA scores corresponding to C1 and C2 alleles.

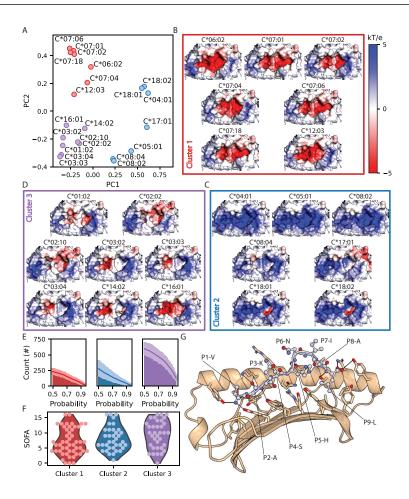


Figure 5. Effect of HLA-C peptide binding surface amino acid composition on predicted peptide repertoire and infection severity. (A) PCA and K-means clustering of patient HLA-C amino acid sequences. (B-D) Electrostatic surface maps of HLA-C peptide binding pockets grouped by sequence-based cluster. (E) Mean numbers of predicted SARS-CoV-2 peptide-binding interactions for each cluster across probability threshold. (F) Distribution of patient SOFA scores for alleles in each cluster. (G) Docked structure of HLA-C*03:04 bound to a 9 amino-acid SARS-CoV-2 NSP3 peptide (residues 1885-1894, UniProt ID: P0DTC1).