Time Complexity of Algorithms

(Asymptotic Notations)

# What is Complexity?

- The level in difficulty in solving mathematically posed problems as measured by
  - The time(time complexity)
  - number of steps or arithmetic operations (computational complexity)
  - memory space required
  - (space complexity)

# Major Factors in Algorithms Design

#### 1. Correctness

An algorithm is said to be correct if

- For every input, it halts with correct output.
- An incorrect algorithm might not halt at all OR
- It might halt with an answer other than desired one.
- Correct algorithm solves a computational problem

### 2. Algorithm Efficiency

Measuring efficiency of an algorithm,

- do its analysis i.e. growth rate.
- Compare efficiencies of different algorithms for the same problem.

## Algorithms Growth Rate

### Algorithm Growth Rates

It measures algorithm efficiency

### What means by efficient?

- If running time is bounded by polynomial in the input Notations for Asymptotic performance
- How running time increases with input size
- O, Omega, Theta, etc. for asymptotic running time
- These notations defined in terms of functions whose domains are natural numbers
- convenient for worst case running time
- Algorithms, asymptotically efficient best choice

# **Complexity Analysis**

- Algorithm analysis means predicting resources such as
  - computational time
  - memory
  - computer hardware etc
- Worst case analysis
  - Provides an upper bound on running time
  - An absolute guarantee
- Average case analysis
  - Provides the expected running time
  - Very useful, but treat with care: what is "average"?
    - Random (equally likely) inputs
    - Real-life inputs

## Worst-case Analysis

### Let us suppose that

- D<sub>n</sub> = set of inputs of size n for the problem
- $I = an element of D_n$ .
- t(I) = number of basic operations performed on I
- Define a function W by

$$W(n) = \max\{t(I) \mid I \in D_n\}$$

called the worst-case complexity of the algorithm

- W(n) is the maximum number of basic operations performed by the algorithm on any input of size n.
- Please note that the input, I, for which an algorithm behaves worst depends on the particular algorithm.

## **Average Complexity**

- Let Pr(I) be the probability that input I occurs.
- Then the average behavior of the algorithm is defined as  $A(n) = \Sigma Pr(I) \ t(I), \qquad \text{summation over all } I \in D_n$
- We determine t(I) by analyzing the algorithm, but Pr(I) cannot be computed analytically.
- Average cost =
   A(n) = Pr(succ)Asucc(n) + Pr(fail)Afail(n)
- An element I in D<sub>n</sub> may be thought as a set or equivalence class that affect the behavior of the algorithm

### Worst Analysis computing average cost

• Take all possible inputs, compute their cost, take average

# **Asymptotic Notations Properties**

- Categorize algorithms based on asymptotic growth rate e.g. linear, quadratic, polynomial, exponential
- Ignore small constant and small inputs
- Estimate upper bound and lower bound on growth rate of time complexity function
- Describe running time of algorithm as n grows to ∞.
- Describes behavior of function within the limit.

#### Limitations

- not always useful for analysis on fixed-size inputs.
- All results are for sufficiently large inputs.

## **Asymptotic Notations**

### Asymptotic Notations $\Theta$ , O, $\Omega$ , o, $\omega$

- O to mean "order at most",
- Ω to mean "order at least",
- o to mean "tight upper bound",
- ω to mean "tight lower bound",

Define a *set* of functions: which is in practice used to compare two function sizes.

# Big-Oh Notation (O)

If f, g:  $N \rightarrow R^+$ , then we can define Big-Oh as

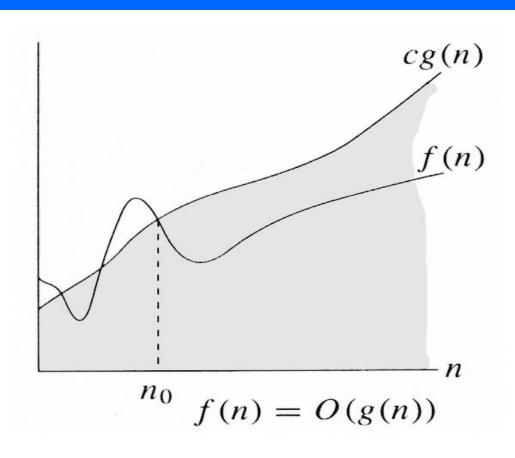
For a given function  $g(n) \ge 0$ , denoted by O(g(n)) the set of functions,  $O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_o \text{ such that } 0 \le f(n) \le cg(n), \text{ for all } n \ge n_o \}$  f(n) = O(g(n)) means function g(n) is an asymptotically upper bound for f(n).

We may write 
$$f(n) = O(g(n)) OR f(n) \in O(g(n))$$

#### Intuitively:

Set of all functions whose *rate of growth* is the same as or lower than that of g(n).

# **Big-Oh Notation**



$$f(n) \in O(g(n))$$

$$\exists c > 0, \exists n_0 \ge 0 \text{ and } \forall n \ge n_0, 0 \le f(n) \le c.g(n)$$

g(n) is an asymptotic upper bound for f(n).

Example 1: Prove that  $2n^2 \in O(n^3)$ 

#### Proof:

```
Assume that f(n) = 2n^2, and g(n) = n^3
 f(n) \in O(g(n))?
```

Now we have to find the existence of c and n<sub>0</sub>

```
f(n) \le c.g(n) \rightarrow 2n^2 \le c.n^3 \rightarrow 2 \le c.n

if we take, c = 1 and n_0 = 2 OR

c = 2 and n_0 = 1 then

2n^2 \le c.n^3

Hence f(n) \in O(g(n)), c = 1 and n_0 = 2
```

Example 2: Prove that  $n^2 \in O(n^2)$ 

#### Proof:

```
Assume that f(n) = n^2, and g(n) = n^2
```

Now we have to show that  $f(n) \in O(g(n))$ 

#### Since

$$f(n) \le c.g(n) \to n^2 \le c.n^2 \to 1 \le c$$
, take,  $c = 1$ ,  $n_0 = 1$ 

#### Then

$$n^2 \le c.n^2$$
 for  $c = 1$  and  $n \ge 1$ 

Hence, 
$$2n^2 \in O(n^2)$$
, where c = 1 and  $n_0$ = 1

```
Example 3: Prove that 1000.n^2 + 1000.n \in O(n^2)
Proof:
```

```
Assume that f(n) = 1000.n^2 + 1000.n, and g(n) = n^2
We have to find existence of c and no such that
0 \le f(n) \le c.g(n) n \ge n_0
1000.n^2 + 1000.n \le c.n^2 = 1001.n^2, for c = 1001
1000.n^2 + 1000.n \le 1001.n^2
1000.n \le n^2 ? n^2 \ge 1000.n ? n^2 - 1000.n \ge 0
n (n-1000) \ge 0, this true for n \ge 1000
f(n) \le c.g(n)   n \ge n_0 and c = 1001
```

Hence  $f(n) \in O(g(n))$  for c = 1001 and  $n_0 = 1000$ 

Example 4: Prove that n<sup>3</sup> O(n<sup>2</sup>)

#### Proof:

On contrary we assume that there exist some positive constants c and n<sub>0</sub> such that

$$0 \le n^3 \le c.n^2 \qquad \square \ n \ge n_0$$

$$0 \le n^3 \le c.n^2 ? n \le c$$

Since c is any fixed number and n is any arbitrary constant, therefore  $n \le c$  is not possible in general.

Hence our supposition is wrong and  $n^3 \le c.n^2$ ,

And hence,  $n^3 \mathbb{O}(n^2)$ 

## Some More Examples

- 1.  $n^2 + n^3 = O(n^4)$
- 2.  $n^2 / log(n) = O(n \cdot log n)$
- 3. 5n + log(n) = O(n)
- 4.  $n^{\log n} = O(n^{100})$
- 5.  $3^n = O(2^n \cdot n^{100})$
- 6.  $n! = O(3^n)$
- 7. n + 1 = O(n)
- 8. 2n+1 = O(2n)
- 9. (n+1)! = O(n!)
- 10.  $1 + c + c^2 + ... + c^n = O(c^n)$  for c > 1
- 11.  $1 + c + c^2 + ... + c^n = O(1)$  for c < 1

# Big-Omega Notation $(\Omega)$

If f, g:  $N \rightarrow R^+$ , then we can define Big-Omega as

For a given function g(n) denote by  $\Omega(g(n))$  the set of functions,  $\Omega(g(n)) = \{f(n): \text{ there exist positive constants } c \text{ and } n_o \text{ such that } 0 \le cg(n) \le f(n) \text{ for all } n \ge n_o \}$ 

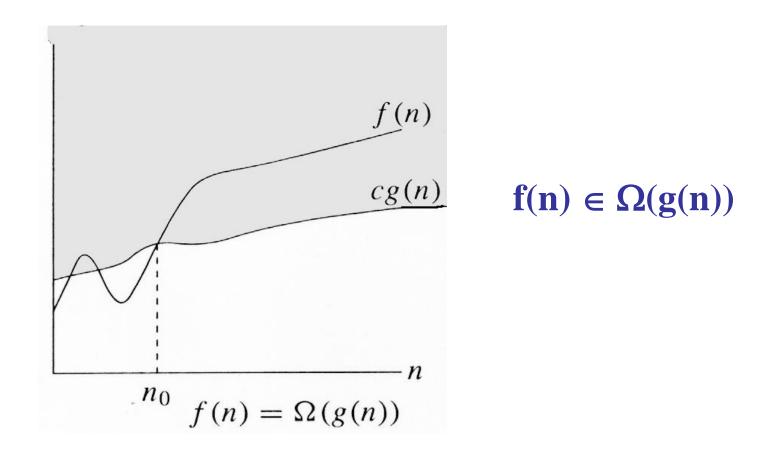
 $f(n) = \Omega(g(n))$ , means that function g(n) is an asymptotically lower bound for f(n).

We may write  $f(n) = \Omega(g(n))$  OR  $f(n) \in \Omega(g(n))$ 

#### Intuitively:

Set of all functions whose *rate of growth* is the same as or higher than that of g(n).

## **Big-Omega Notation**



 $\exists c > 0, \exists n_0 \ge 0, \forall n \ge n_0, f(n) \ge c.g(n)$ g(n) is an asymptotically lower bound for f(n).

```
Example 1: Prove that 5.n^2 \in \Omega(n)
```

#### Proof:

```
Assume that f(n)=5.n^2, and g(n)=n f(n)\in\Omega(g(n))? We have to find the existence of c and n_0 s.t. c.g(n)\leq f(n) for all n\geq n_0 c.n\leq 5.n^2\Rightarrow c\leq 5.n if we take, c=5 and n_0=1 then c.n\leq 5.n^2 for all n\geq n_0 And hence f(n)\in\Omega(g(n)), for c=5 and n_0=1
```

Example 2: Prove that  $5.n + 10 \in \Omega(n)$ 

### Proof:

```
Assume that f(n) = 5.n + 10, and g(n) = n
  f(n) \in \Omega(g(n))?
We have to find the existence of c and n_0 s.t.
  c.g(n) \le f(n) for all n \ge n_0
  c.n \le 5.n + 10 \Rightarrow c.n \le 5.n + 10.n \Rightarrow c \le 15.n
if we take, c = 15 and n_0 = 1 then
   c.n \le 5.n + 10 for all n \ge n_0
And hence f(n) \in \Omega(g(n)), for c = 15 and n_0 = 1
```

```
Example 3: Prove that 100.n + 5 \notin \Omega(n^2)
Proof:
```

```
Let f(n) = 100.n + 5, and g(n) = n^2
Assume that f(n) \in \Omega(g(n))?
Now if f(n) \in \Omega(g(n)) then there exist c and n_0 s.t. c.g(n) \le f(n) for all n \ge n_0 \Rightarrow c.n^2 \le 100.n + 5 \Rightarrow c.n \le 100 + 5/n \Rightarrow n \le 100/c, for a very large n, which is not possible
```

And hence  $f(n) \notin \Omega(g(n))$ 

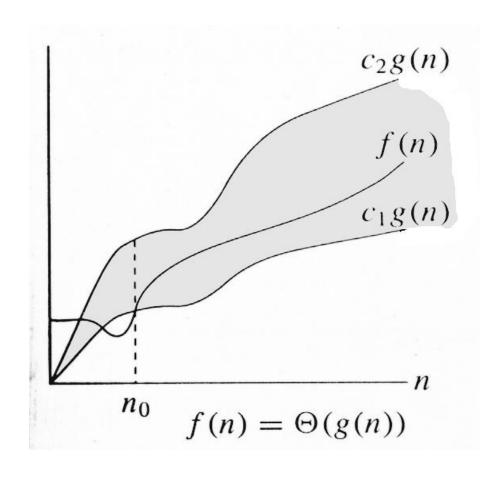
## Theta Notation (⊕)

If f, g:  $N \rightarrow R^+$ , then we can define Big-Theta as

For a given function g(n) denoted by  $\Theta(g(n))$  the set of functions,  $\Theta(g(n)) = \{f(n) : \text{ there exist positive constants } c_1, c_2 \text{ and } n_o \text{ such that } 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n \ge n_o \}$   $f(n) = \Theta(g(n))$  means function f(n) is equal to g(n) to within a constant factor, and g(n) is an asymptotically tight bound for f(n).

We may write  $f(n) = \Theta(g(n))$  OR  $f(n) \in \Theta(g(n))$ 

*Intuitively*: Set of all functions that have same *rate of growth* as g(n).



$$f(n) \in \Theta(g(n))$$

 $\exists c_1 > 0, c_2 > 0, \exists n_0 \ge 0, \forall n \ge n_0, c_2 \le n \le f(n) \le c_1 \le n \le f(n)$ 

We say that g(n) is an asymptotically tight bound for f(n).

Example 1: Prove that  $\frac{1}{2} \cdot n^2 - \frac{1}{2} \cdot n = \Theta(n^2)$ 

**Proof** 

*Prove that* 
$$\frac{1}{2}.n^2 - \frac{1}{2}.n = \Theta(n^2)$$

Assume that  $f(n) = \frac{1}{2} \cdot n^2 - \frac{1}{2} \cdot n$ , and  $g(n) = n^2$  $f(n) \in \Theta(g(n)) = \frac{1}{2} \cdot n^2 - \frac{1}{2} \cdot n$ 

We have to find f(n) = f(n) + f(n) = f(n)

$$c_{1}.g(n) \le f(n) \le c_{2}^{1/2,n^{2}-1/2}(n^{2})^{n^{2}-1/2,n.1/2,n} for all^{1/2} = c_{1}^{1/2}n^{2}$$

Since, 
$$\frac{1}{2} n^2 - \frac{1}{2} n \le \frac{1}{2} n^2$$
  $\forall n \ge 0$  if  $c_2 = \frac{1}{2}$  and

$$\frac{1}{2} n^2 - \frac{1}{2} n \ge \frac{1}{2} n^2 - \frac{1}{2} n$$
 .  $\frac{1}{2} n$  (  $\forall n \ge 2$  ) =  $\frac{1}{4} n^2$ ,  $c_1 = \frac{1}{4}$ 

Hence 
$$\frac{1}{2}$$
  $n^2 - \frac{1}{2}$   $n \le \frac{1}{2}$   $n^2 \le \frac{1}{2}$   $n^2 - \frac{1}{2}$   $n$ 

$$c_1.g(n) \le f(n) \le c_2.g(n)$$
  $\forall n \ge 2, c_1 = \frac{1}{4}, c_2 = \frac{1}{2}$ 

Hence 
$$f(n) \in \Theta(g(n)) \Rightarrow \frac{1}{2} \cdot n^2 - \frac{1}{2} \cdot n = \Theta(n^2)$$

Example 2: Prove that  $a.n^2 + b.n + c = \Theta(n^2)$  where a, b, c are constants and a > 0

#### **Proof**

If we take  $c_1 = \frac{1}{4}$ .a,  $c_2 = \frac{7}{4}$ . a and

$$n_0 = 2.\max((|b|/a), \sqrt{(|c|/a))}$$

Then it can be easily verified that

$$0 \le c_1.g(n) \le f(n) \le c_2.g(n), \forall n \ge n_0, c_1 = \frac{1}{4}.a, c_2 = \frac{7}{4}.a$$

Hence 
$$f(n) \in \Theta(g(n)) \Rightarrow a.n^2 + b.n + c = \Theta(n^2)$$

Hence any polynomial of degree 2 is of order  $\Theta(n^2)$ 

```
Example 1: Prove that 2.n^2 + 3.n + 6 \notin \Theta(n^3)
Proof: Let f(n) = 2 \cdot n^2 + 3 \cdot n + 6, and g(n) = n^3
   we have to show that f(n) \notin \Theta(g(n))
   On contrary assume that f(n) \in \Theta(g(n)) i.e.
   there exist some positive constants c<sub>1</sub>, c<sub>2</sub> and n<sub>0</sub>
                         c_1.g(n) \le f(n) \le c_2.g(n)
   such that:
   c_1.g(n) \le f(n) \le c_2.g(n) \Rightarrow c_1.n^3 \le 2.n^2 + 3.n + 6 \le c_2. n^3 \Rightarrow
        c_1.n \le 2 + 3/n + 6/n^2 \le c_2.n \implies
   c_1.n \le 2 \le c_2.n, for large n \Rightarrow
   n \le 2/c_1 \le c_2/c_1.n which is not possible
   Hence f(n) \notin \Theta(g(n)) \Rightarrow 2.n^2 + 3.n + 6 \notin \Theta(n^3)
```

### Little-Oh Notation

o-notation is used to denote a upper bound that is not asymptotically tight.

For a given function  $g(n) \ge 0$ , denoted by o(g(n)) the set of functions,  $o(g(n)) = \begin{cases} f(n) \text{: for any positive constants } c, \text{ there exists a constant } n_o \\ \text{such that } 0 \le f(n) < cg(n) \text{ for all } n \ge n_o \end{cases}$ 

f(n) becomes insignificant relative to g(n) as n approaches infinity

e.g., 
$$2n = o(n^2)$$
 but  $2n^2 \neq o(n^2)$ .  $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$ 

g(n) is an upper bound for f(n), not asymptotically tight

```
Example 1: Prove that 2n^2 \in o(n^3)
Proof:
Assume that f(n) = 2n^2, and g(n) = n^3
f(n) \in o(g(n))?
```

Now we have to find the existence  $n_0$  for any c f(n) < c.g(n) this is true  $\Rightarrow 2n^2 < c.n^3 \Rightarrow 2 < c.n$ 

This is true for any c, because for any arbitrary c we can choose n<sub>0</sub> such that the above inequality holds.

Hence  $f(n) \in o(g(n))$ 

Example 2: Prove that  $n^2 \notin o(n^2)$ 

#### Proof:

Assume that  $f(n) = n^2$ , and  $g(n) = n^2$ 

Now we have to show that  $f(n) \notin O(g(n))$ 

#### Since

$$f(n) < c.g(n) ? n^2 < c.n^2 ? 1 \le c,$$

In our definition of small o, it was required to prove for any c but here there is a constraint over c .

Hence,  $n^2 \notin O(n^2)$ , where c = 1 and  $n_0 = 1$ 

Example 3: Prove that  $1000.n^2 + 1000.n \notin o(n^2)$ Proof:

Assume that  $f(n) = 1000 \cdot n^2 + 1000 \cdot n$ , and  $g(n) = n^2$  we have to show that  $f(n) \notin o(g(n))$  i.e.

We assume that for any c there exist n<sub>0</sub> such that

$$0 \le f(n) < c.g(n) \quad ? n \ge n_0$$

$$1000.n^2 + 1000.n < c.n^2$$

If we take c = 2001, then,  $1000 \cdot n^2 + 1000 \cdot n < 2001 \cdot n^2$ 

 $2 \ 1000.n < 1001.n^2$  which is not true

Hence  $f(n) \notin o(g(n))$  for c = 2001

# Little-Omega Notation

Little-ω notation is used to denote a lower bound that is not asymptotically tight.

For a given function g(n), denote by  $\omega(g(n))$  the set of all functions.  $\omega(g(n)) = \{f(n): \text{ for any positive constants } c$ , there exists a constant  $n_o$  such that  $0 \le cg(n) < f(n)$  for all  $n \ge n_o$ 

f(n) becomes arbitrarily large relative to g(n) as n approaches infinity  $\lim_{n\to\infty}\frac{f(n)}{g(n)}=\infty$ 

e.g., 
$$\frac{n^2}{2} = \omega(n)$$
 but  $\frac{n^2}{2} \neq \omega(n^2)$ .

Example 1: Prove that  $5.n^2 \in \omega(n)$ 

#### Proof:

```
Assume that f(n) = 5 \cdot n^2, and g(n) = n
f(n) \in \Omega(g(n))?
```

We have to prove that for any c there exists  $n_0$  s.t., c.g(n) < f(n) for all  $n \ge n_0$  c.n < 5.n<sup>2</sup>  $\Rightarrow$  c < 5.n

This is true for any c, because for any arbitrary c e.g. c = 10000000, we can choose  $n_0 = 10000000/5$  = 200000 and the above inequality does hold.

And hence  $f(n) \in \omega(g(n))$ ,

Example 2: Prove that  $5.n + 10 \notin \omega(n)$ 

#### Proof:

```
Assume that f(n) = 5.n + 10, and g(n) = n
 f(n) \notin \Omega(g(n))?
```

We have to find the existence n<sub>0</sub> for any c, s.t.

```
c.g(n) < f(n) ? n \ge n<sub>0</sub>
c.n < 5.n + 10, if we take c = 16 then
16.n < 5.n + 10 \Leftrightarrow 11.n < 10 is not true for any
positive integer.
```

Hence  $f(n) \notin \omega(g(n))$ 

```
Example 3: Prove that 100.n \notin \omega(n^2)
Proof:
  Let f(n) = 100.n, and g(n) = n^2
  Assume that f(n) \in \omega(g(n))
  Now if f(n) \in \omega(g(n)) then there n_0 for any c s.t.
  c.g(n) < f(n) ? n \ge n_0 this is true
  ? c.n^2 < 100.n ? c.n < 100
  If we take c = 100, n < 1, not possible
```

Hence  $f(n) \otimes \omega(g(n))$  i.e.  $100.n \notin \omega(n^2)$ 

### **Usefulness of Notations**

- It is not always possible to determine behaviour of an algorithm using Θ-notation.
- For example, given a problem with n inputs, we may have an algorithm to solve it in a.n<sup>2</sup> time when n is even and c.n time when n is odd. OR
- We may prove that an algorithm never uses more than e.n<sup>2</sup> time and never less than f.n time.
- In either case we can neither claim  $\Theta(n)$  nor  $\Theta(n^2)$  to be the order of the time usage of the algorithm.
- Big O and Ω notation will allow us to give at least partial information