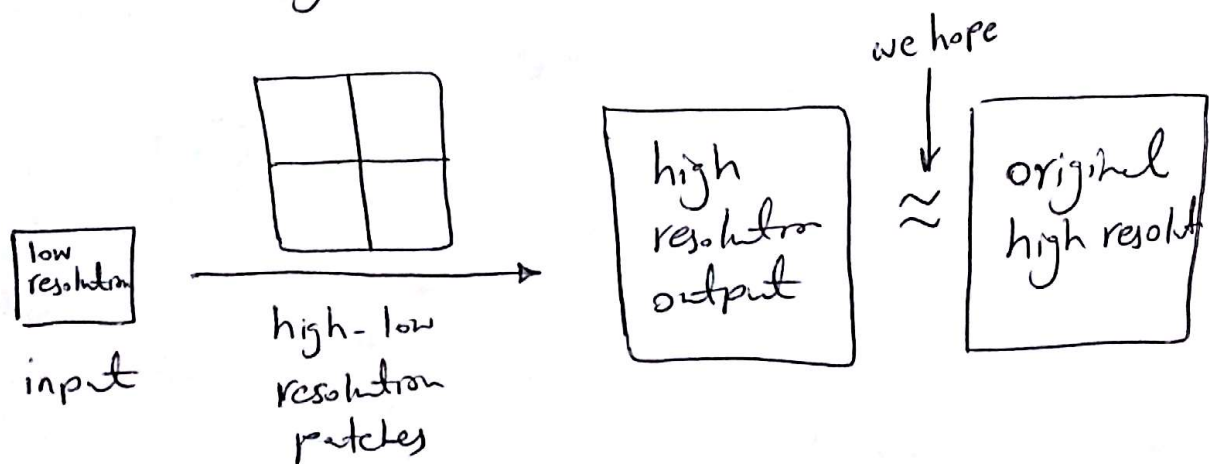


# Image Super-resolution via Sparse representation

report by Mohsen Nabian

This paper presents a novel approach toward single image super-resolution based on sparse representation in terms of coupled dictionaries jointly trained from high and low resolution image patch pairs.

Problem: given a single low-resolution input, and a set of pairs (high-and-low-resolution) of training patches sampled from similar images, reconstruct a high resolution ~~at~~ version of the input.



Previous works

- Merkov Random Field
- Primal sketch prior
- Neighbor embedding
- Soft edge prior

Y

approach of the paper:

high resolution patches have a sparse linear representation with respect to an overcomplete dictionary of patches randomly sampled from similar images.

$$x \in \mathbb{R}^D \quad D_h \in \mathbb{R}^{D \times n}$$

$\hookrightarrow$  output high res patch      high-res dictionary

$$x \approx D_h \alpha_0 \quad \text{for some } \alpha_0 \in \mathbb{R}^n \quad \text{with } \|\alpha_0\|_0 \ll n$$

We do not directly observe the high resolution patch but rather features of its low resolution version:

$$D_l = L D_h \in \mathbb{R}^{d \times n}$$

$\hookrightarrow$  dictionary of low resolution patches      downsampling or blurring operator

The input low resolution patches  $y \in \mathbb{R}^d$  satisfies:

$$y = Lx \approx L D_h \alpha_0 = D_l \alpha_0$$

$\nearrow$  a linear measurement of sparse coefficient vector  $\alpha_0$ !

if we recover the sparse solution  $\alpha_0$  to the under determined system of linear equations  $y = D_\ell \alpha$ ,

we can construct  $x$  as  $D_h \alpha_0$

Therefore, the problem is formulated as follows:

$$\left\{ \begin{array}{l} \hat{\alpha}_0 = \operatorname{argmin} \|\alpha\|_0 \\ \text{s.t. } y = D_\ell \alpha \end{array} \right. \xRightarrow{\text{Convex relaxation}} \left\{ \begin{array}{l} \hat{\alpha}_1 = \operatorname{argmin} \|\alpha\|_1 \\ \text{s.t. } y = D_\ell \alpha \end{array} \right.$$

Can be solved by  
Linear programming.

### Algorithm Detail:

Combine local estimates:

- Sample  $3 \times 3$  low resolution patches  $y$  on a regular grid.
- Allow 1 pixel overlap between adjacent patches.
- Enforce agreement between overlapping high resolution reconstruction.

So: Simultaneous solution for  $\{\alpha\}$  for all patches.

↳ large but sparse Convex program ↗ still too slow :( 3/



Fast approximation:

Compute  $\alpha$  for each patch in raster scan order,  
enforce consistency with previously computed patch  
solutions:

$$\left\{ \begin{array}{l} \hat{\alpha} = \arg \min \|\alpha\|_1 \\ \text{s.t. } \|Fy - FD_L \alpha\|_2^2 \leq \epsilon_1 \\ \text{s.t. } \sum_{\alpha'} \|T'(FD_h \alpha') - T(FD_h \alpha)\|_2^2 \leq \epsilon_2 \end{array} \right.$$

reconstruction  
constraint

T, T' select overlap between  
patches

F linear feature  
extraction  
operator

overlapping agreement or  $\|PD_h \alpha - W\|_2^2 \leq \epsilon_2$

- Here, F concatenate first and second ~~image~~ image partial derivatives, computed from a low bicubic interpolation of the low-resolution input.  
Complete feature vector for each low-resolution patch is 384 dimensional.

# Super resolution via sparsity Algorithm

Input : training dictionaries  $D_h$  and  $D_l$   
low resolution image  $Y$ .

for each  $3 \times 3$  patch  $y$  of  $Y$ , taken in raster scan order with 1 pixel overlap,

$$\hat{\alpha} = \arg \min \lambda \|\alpha\|_1 + \frac{1}{2} \|\tilde{D}\alpha - \tilde{y}\|_2^2$$

$$\text{where } \tilde{D} = \begin{bmatrix} F D_l \\ B P D_h \end{bmatrix} \text{ and } \tilde{y} = \begin{bmatrix} F y \\ B w \end{bmatrix}$$

reconstruction  
overlapping pixels

• place high resolution patch  $x = D_h \hat{\alpha}$  in  $X_0$ .

end

Using back-projection, find the closest image to  $X_0$   
satisfying the reconstruction constraint :

$$\begin{cases} X^* = \arg \min_X \|X - X_0\| \\ \text{s.t. } LX = Y \end{cases}$$

output : super resolution image  $X^*$

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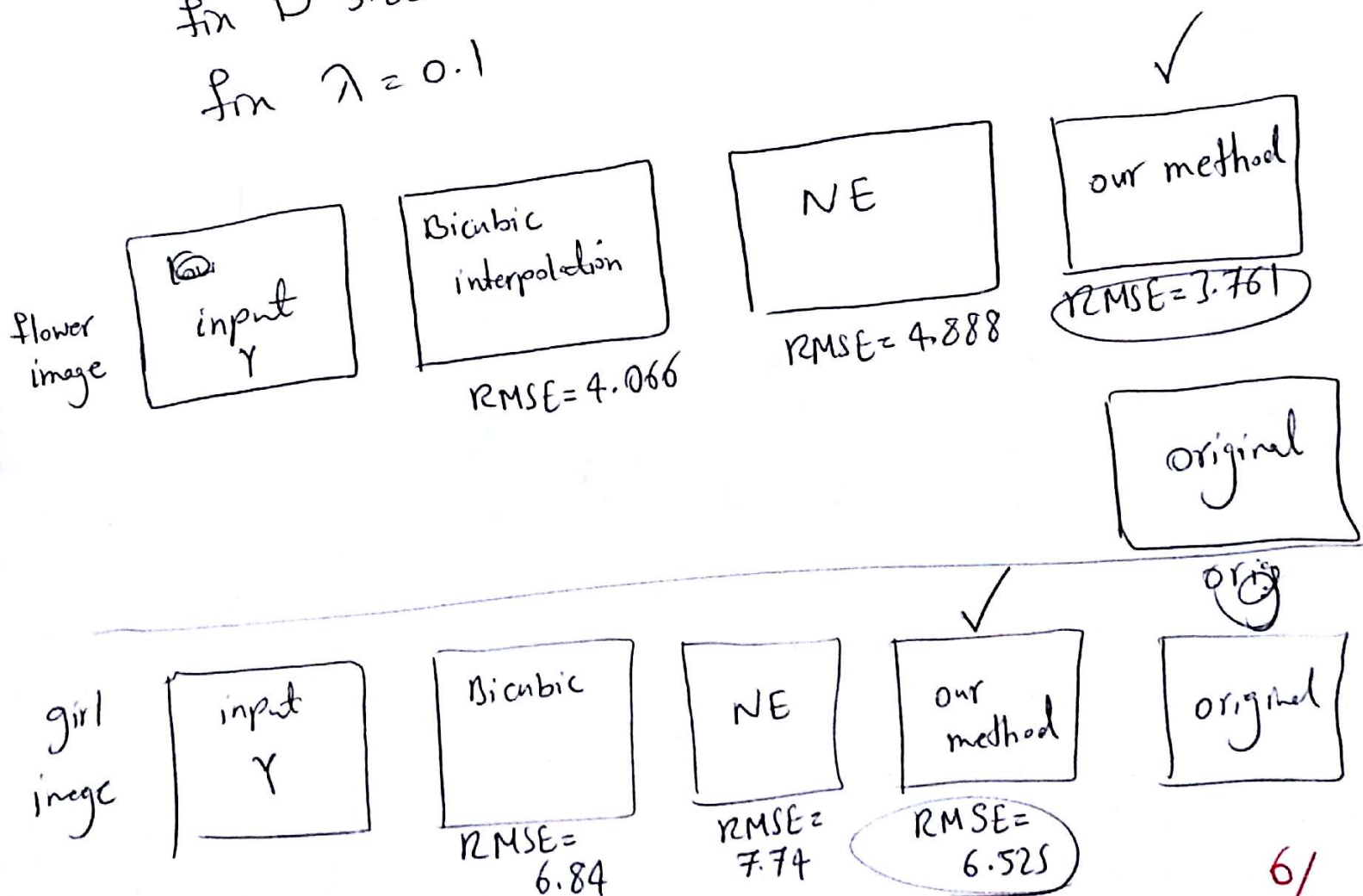
# Experimental results:

3x3 resolution patches over  $h_p = 1$  pixel ← generic images

5x5  $h_p = 1$  pixel ← face images

## A) Single image super-resolution:

$D_h$  and  $D_L$  patches are trained from 100,000 patches pairs randomly chosen from natural images from internet.  
fix D size to 1024  
fix  $\lambda = 0.1$



## 2) Face super-resolution :

Face d-b : FRGC Ver 1.0

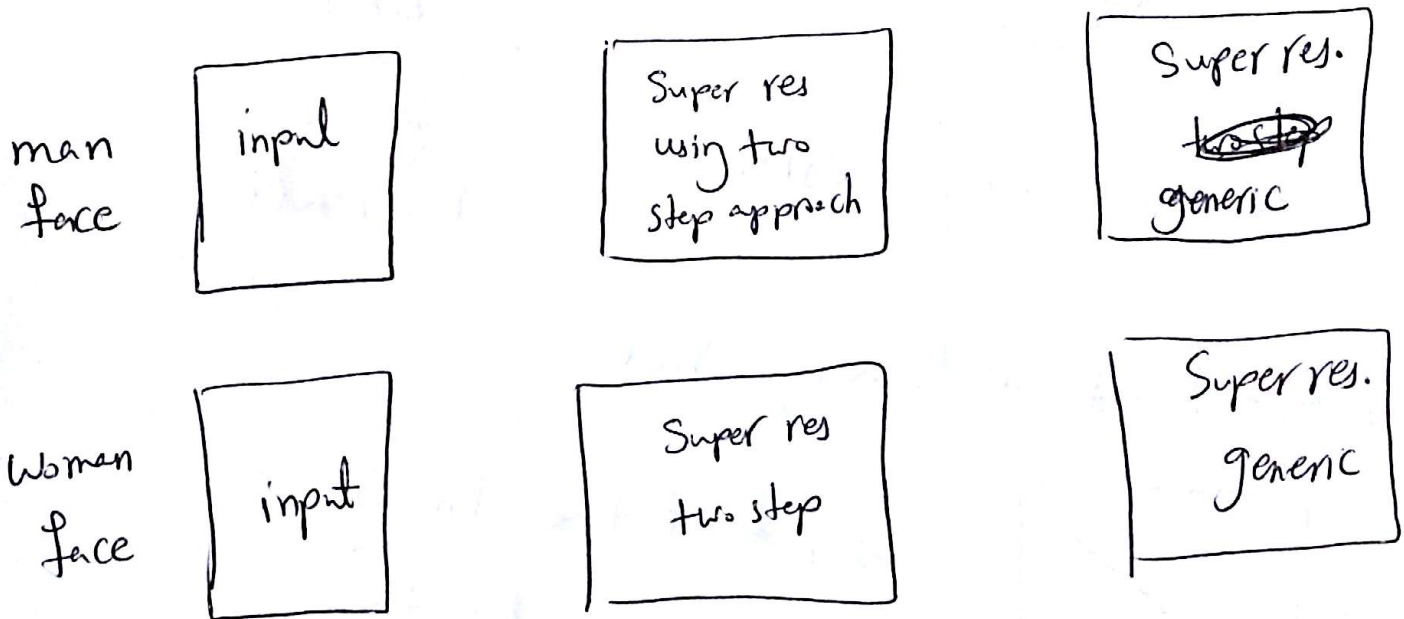
Faces are aligned  $\rightarrow$  Cropped to  $700 \times 100$  px

540 images for  $D_h$  &  $D_l$

$\rightarrow$  blurred  $D_h$   
 $\rightarrow$  100,000 patches pairs

30 new people (no in training) used for test:

result:



$\Downarrow$   
better result  
with two-step approach

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in Fig 8: we compare other methods:

low res input	Bicubic interpolation	back projection	global NMF BLF	global NMF SR	original
	RSME = 8.024	RSME = 7.47	RSME = 10.7	RSME = 6.891	

we use average RSME.

↓  
best result

## 1) Effect of dictionary size



Computation time linearly increases by the dictionary size  
→ almost equally well

Lena image

D = 256

D = 512

D = 1024

D = 2048

D = whole patch

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C) Robustness to noise

different noise levels ( $\sigma$ ) =  $\{0, 4, 6, 8\}$

Compare  $\{ \text{Bicubic, Neighbor embedding, our method} \}$

our method resulted in lowest RMSE

D) Effect of  $\lambda$

