

# Concurrency, Parallelism and Distribution (CPD)

Concurrency: Models Problems and Solutions

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# Study material

Jeff Magee and Jeff Cramer

Concurrency, State Models & Java Programs

John Wiley & Sons, 2006.

<http://www.doc.ic.ac.uk/~jnm/book/>

1. Problem: Interference
2. Solution: Mutual Exclusion
3. Problem: Lack of a Coordination Mechanism
4. Solution: Monitors
5. Problem: Deadlock
6. (Partial) Solution: Timeout

# 1. Problem: Interference

# Problem: Interference

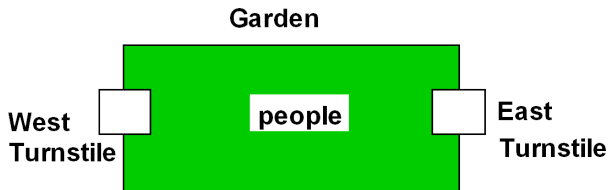
Destructive update, caused by the arbitrary interleaving of read and write actions, is termed **interference**.

How to **model** and **detect**.

We model and analyze the **Ornamental Garden Problem**.

# Ornamental Garden Problem

People enter an ornamental garden through either of two turnstiles. Management wish to know how many are in the garden at any time.



The concurrent program consists of two concurrent threads and a shared counter object.

# Interaction in Java

The simplest way for two or more threads in a Java Program to interact is via an object whose methods can be invoked by a set of threads.

The object **people** can be invoked by threads **east** and **west**.

```
public class Garden {  
    public static void main(String[] args){  
        Counter people = new Counter("Counter");  
        Turnstile west= new Turnstile("West", people);  
        Turnstile east= new Turnstile("East",people);  
        west.start(); east.start();  
    }  
}
```

# Class Turnstile

```
class Turnstile extends Thread {
    String name;
    Counter count;
    public final static int MAX = 20;

    Turnstile(String threadName, Counter c)
        { name = threadName; count = c; }

    public void run() {
        try{
            System.out.println(name+ " value is: "+ 0);
            for (int i=1;i<=MAX;i++){
                Thread.sleep(500); //0.5 second
                System.out.println(name+ " value is: "+ i);
                count.increment();
            }
        } catch (InterruptedException e) {}
    }
}
```



# Class Counter

```
public class Counter {  
    int value=0;  
    String name;  
  
    Counter(String name) {this.name=name;}  
  
    void increment() {  
        int temp = value;    //read[v]  
        Simulate.HWinterrupt();  
        value=temp+1;        //write[v+1]  
        System.out.println(name+ " value is: "+ value);  
    }  
}
```

# Context switch

Context switch is the action of switching from executing one process to another.

```
class Simulate {  
    public static void HWinterrupt() {  
        if (Math.random()<0.5)  
            try{Thread.sleep(200);}  
            catch(InterruptedException e){};  
        //used instead of Thread.yield()  
        //to ensure portability  
    }  
}
```

# Problem

A possible execution of Garden give us:

```
West value is: 0
East value is: 0
West value is: 1
East value is: 1
Counter value is: 1
Counter value is: 1
East value is: 2
Counter value is: 2
West value is: 2
Counter value is: 3
East value is: 3
...
West value is: 19
Counter value is: 34
West value is: 20
Counter value is: 35
```

In this case 5 entries are lost!

# Model

We need to model a variables (counters), turnstiles and interaction between them.

VAR = ...

TURNSTILE = ...

||GARDEN =

(east:TURNSTILE || west:TURNSTILE

||{east,west, people}::value:VAR)

/ {go / { east, west}.go, end / {east,west}.end} .

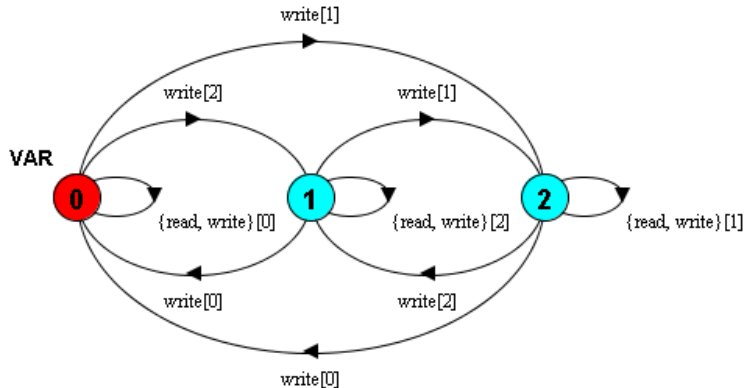
# Variable

const N = 2

range T = 0..N

VAR = VAR[0],

VAR[u:T] = (read[u] -> VAR[u] | write[v:T] -> VAR[v]).



# Turnstile

const N = 2

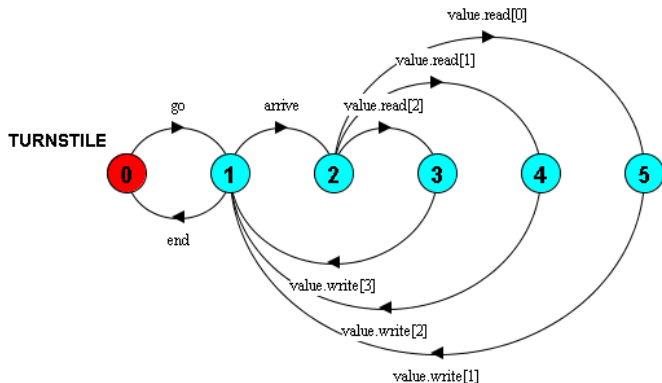
range T = 0..N

set VarAlpha = {value.{read[T],write[T]}}

TURNSTILE = (go -> RUN),

RUN = (arrive-> INCREMENT | end -> TURNSTILE),

INCREMENT = (value.read[x:T] -> value.write[x+1]->RUN) + VarAlpha.



# Complete Model

```
const N = 4
range T = 0..N
set VarAlpha = {value.{read[T],write[T]}}

VAR = VAR[0],
VAR[u:T] = (read[u] -> VAR[u] | write[v:T]->VAR[v]).

TURNSTILE = (go -> RUN),
RUN = (arrive-> INCREMENT | end -> TURNSTILE),
INCREMENT =
  (value.read[x:T] ->value.write[x+1]->RUN) +VarAlpha.

||GARDEN =
  (east:TURNSTILE || west:TURNSTILE
  ||{east,west, people}::value:VAR)
  /{go/{ east, west}.go, end/{east,west}.end} .
```

# Inconsistent state

Trace to a inconsistent state:

```
go
east.arrive
east.value.read.0
west.arrive
west.value.read.0
east.value.write.1
west.value.write.1
end
people.value.read.1
```



# Interference

**Interference**: Destructive update, caused by the **arbitrary interleaving of read and write actions**, is termed **interference**.

Interference bugs are extremely difficult to locate. The general solution is to give methods **mutually exclusive** access to shared objects. Mutual exclusion can be modeled as atomic actions

## 2. Solution: Mutual Exclusion

# Solution: Mutual exclusion

**Interference**: Destructive update, caused by the **arbitrary interleaving of read and write actions**, is termed **interference**.

Interference bugs are extremely difficult to locate. The general solution is to give methods **mutually exclusive** access to shared objects. Mutual exclusion can be modeled as atomic actions

Concurrent activations of a **method in Java can be made mutually exclusive** by prefixing the method with the keyword **synchronized**, which uses a **lock** on the object.

To ensure mutually exclusive access to an object, all object methods should be synchronized.

# Class SynchronizedCounter

```
public class SynchronizedCounter{
    int value=0;
    String name;

    SynchronizedCounter(String name){
        this.name=name;
    }

    public synchronized void increment() {
        int temp = value;    //read[v]
        Simulate.HWinterrupt();
        value=temp+1;        //write[v+1]
        System.out.println(name+ " value is: "+ value);
    }
}
```

## Another Turnstile

```
public class GoodTurnstile extends Thread{
    String name;
    SynchronizedCounter count;
    public final static int MAX = 20;

    GoodTurnstile(String threadName,
                   SynchronizedCounter c){
        name=threadName; count = c;
    }

    public void run() {
        //as before
        ...
    }
}
```

# Another Garden

```
public class GoodGarden {  
    public static void main(String[] args){  
        SynchronizedCounter people  
            = new SynchronizedCounter("Counter");  
        GoodTurnstile west  
            = new GoodTurnstile("West", people);  
        GoodTurnstile east  
            = new GoodTurnstile("East", people);  
        west.start();  
        east.start();  
    }  
}
```

# Good counting

**Let us execute** GoodGarden

```
East value is: 0
West value is: 0
East value is: 1
West value is: 1
Counter value is: 1
Counter value is: 2
...
Counter value is: 38
West value is: 20
Counter value is: 39
East value is: 20
Counter value is: 40
```

# Java synchronized statement

Access to an object may also be made mutually exclusive by using the synchronized statement:

```
synchronized (object) { statements }
```

A less elegant way to correct the example would be to modify the Turnstile.run() method:

```
synchronized(people) {people.increment();}
```



# Model

LOCK = (acquire->release->LOCK).

||LOCKVAR = (LOCK || VAR).

set VarAlpha =

{value.{read[T],write[T], acquire, release}}

TURNSTILE = ...

RUN = ...

INCREMENT = (value.acquire

-> value.read[x:T]->value.write[x+1]

-> value.release->RUN

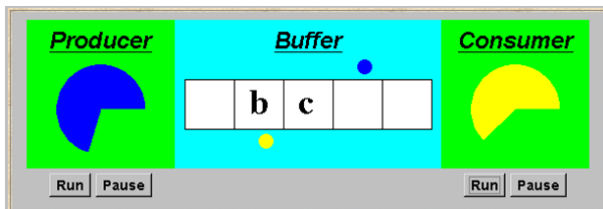
)+VarAlpha.

### 3. Problem: Lack of a Coordination Mechanism

# Coordination mechanism

Allow one process to **wait** for another or **notify** a **waiting process** that it can proceed.

# Problem: Bounded Buffer



- ▶ A **bounded buffer** consists of a fixed number of slots (size).
- ▶ Items are **put** into the buffer by a producer and a consumer **get** them.
- ▶ It can be used to **smooth out transfer rates** between the producer and consumer.

# LST for Buffer

```
BUFFER(Size=5) = COUNT[0],  
COUNT[count:0..N]=  
    (when (count<Size) put->COUNT[count+1]  
    |when (count>0) get->COUNT[count-1]  
    ).
```

```
PRODUCER = (put->PRODUCER).  
CONSUMER = (get->CONSUMER).
```

```
||BOUNDEDBUFFER =  
    (PRODUCER||BUFFER(5)||CONSUMER).
```

## Interface Buffer<E>

The buffer will be implemented as a general-structure class that can buffer any type of Java object.

```
public interface Buffer<E> {  
  
    //put object into buffer  
    public void put(E o)  
        throws InterruptedException;  
  
    //get an object from buffer  
    public E get()  
        throws InterruptedException;  
}
```

# Need of Coordination

- ▶ To put an item in the buffer it should be an empty place.
- ▶ To get an element from the buffer it should be at least an element on it.

## 4. Solution: Monitors



# Condition synchronization in Java

Java provides a thread wait set per object with the following methods:

- ▶ `public final void notify()`  
Wakes up a single thread that is waiting on this object.
- ▶ `public final void notifyAll()`  
Wakes up all threads that are waiting on this object.
- ▶ `public final void wait() throws InterruptedException`
  - ▶ Waits to be notified by another thread.
  - ▶ The waiting thread releases the synchronization lock associated with the monitor.
  - ▶ When notified, the thread must wait to reacquire the monitor before resuming execution.

# Synchronization: from FSP to Java

FSP:

when cond act -> NEWSTAT

Standard **coding idiom** for expressing guarded methods is simple a **while loop invoking a wait**.

Java:

```
public synchronized void act()  
    throws InterruptedException {  
    while (!cond) wait();  
    // modify monitor data  
    notifyAll()  
}
```

## Let's us follow with the implementation

```
public synchronized void put(E o)
    throws InterruptedException {
    while (count==size) wait();
    buf[in] = o; ++count; in=(in+1)%size;
    notifyAll();
}
```

```
public synchronized E get()
    throws InterruptedException {
    while (count==0) wait();
    E o = buf[out];
    buf[out]=null;
    --count; out=(out+1)%size;
    notifyAll();
    return (o);
}
```

# Monitors

**Monitors** : Encapsulated data + access procedures  
+mutual exclusion + condition synchronization  
+single access procedure active in the monitor

- ▶ Active entities (that initiate actions) are threads.
- ▶ Passive entities (that respond to actions) are monitors.

Each **guarded action** in the model of a monitor is implemented as a **synchronized method** which uses a **while loop** and **wait()** to implement the **guard**.

- ▶ The while loop condition is the negation of the model guard condition.
- ▶ Changes in the state of the monitor are signaled to waiting threads using **notify()** or **notifyAll()**.

# Class Exercise: MICRO\_ACCOUNT

Consider a bank dealing with micro accounts.

- ▶ You can just **deposit or withdraw one** euro in each operation.
- ▶ There is a bound  $M$  to the maximum quantity of money .

```
const M=3
MICRO_ACCOUNT = MONEY[0],
MONEY[i:0..M] = (when i>0 withdrawn->MONEY[i-1]
                  |when i<M deposit ->MONEY[i+1]).
CLIENT=(withdrawn->CLIENT|deposit ->CLIENT).

||BANK = (alice:CLIENT||bob:CLIENT
         ||{alice, bob}::MICRO_ACCOUNT).
```

**(1) Write a monitor for MICRO\_ACCOUNT, complete:**

```
public class MicroAccount {  
    private int i=0;  
    private int M;  
  
    public MicroAccount(int bound) {  
        M=bound;  
    }  
    ...  
}
```

## (2) Taking into account the implementation of `MicroAccount` reason about possible deadlocks.

```
public class Client extends Thread{
    MicroAccount account; String name;
    public Client(String name, MicroAccount account){
        this.name=name; this.account=account;
    }
    public void run(){
        while(true){
            try{
                if(Math.random()<0.5) {
                    account.deposit();
                    System.out.println(name + " deposit one euro");
                } else {
                    account.withdraw();
                    System.out.println(name + " withdraw one euro");
                }
                Thread.sleep(3000);
            }catch (InterruptedException e){}
        }
    }
}
```

and

```
public class Bank {  
  
    public static void main(String[] args){  
        MicroAccount account= new MicroAccount(3);  
        Client alice = new Client("Alice", account);  
        Client bob = new Client("Bob", account);  
        alice.start();  
        bob.start();  
    }  
}
```



## 5. Problem: Deadlock

# Problem: Deadlock

Deadlock occurs in a system when all its constituents are blocked. There are no eligible actions to be performed.

Conditions (necessary and sufficient):

- ▶ **Serially reusable resources**: the processes share resources used under mutual exclusion.
- ▶ **Incremental acquisition**: processes hold on to resources allocated to them while waiting to acquire others.
- ▶ **No pre-emption**: once acquired by a process, resources cannot be pre-empted (forcibly withdrawn).
- ▶ **Wait-for cycle**: a circular chain of processes exists such that each process holds a resource which its successor in the cycle is waiting to acquire.

# Printer-Scanner System

Processes  $P$  and  $Q$  perform the same task, that scanning a document and printing it, by using a printer and scanner.

$P$  acquires the printer first and  $Q$  acquires the scanner first.

RESOURCE = (get -> put -> RESOURCE).

$P = (\text{printer.get} \rightarrow \text{scanner.get} \rightarrow \text{copy}$   
 $\rightarrow \text{printer.put} \rightarrow \text{scanner.put} \rightarrow P).$

$Q = (\text{scanner.get} \rightarrow \text{printer.get} \rightarrow \text{copy}$   
 $\rightarrow \text{printer.put} \rightarrow \text{scanner.put} \rightarrow Q).$

$\parallel \text{SYS} = (p:P \parallel q:Q$   
 $\parallel \{p,q\}::\text{printer:RESOURCE}$   
 $\parallel \{p,q\}::\text{scanner:RESOURCE}).$

# LTS Analyser

## Analyser -> Check -> Progress

Progress Check...

-- States: 8 Transitions: 11 Memory used: 8359K

Finding trace to cycle...

Finding trace in cycle...

Progress violation for actions:

{p, q}.{copy, {printer, scanner}.{get, put}}

Trace to terminal set of states:

p.printer.get

q.scanner.get

Cycle in terminal set:

Actions in terminal set:

{}

Progress Check in: 16ms

## 6. (Partial) Solution: Timeout

# Tentative Solution

**Set a timeout.** This denies the second deadlock condition of incremental acquisition

RESOURCE = (get->put->RESOURCE).

P = (printer.get-> GETSCANNER),

GETSCANNER = (scanner.get->copy->printer.put ->scanner.put->P  
| **timeout** -> printer.put->P).

Q = (scanner.get-> GETPRINTER),

GETPRINTER = (printer.get->copy->printer.put ->scanner.put->Q  
| **timeout** -> scanner.put->Q).

||SYS = ...

**Problem:**

p.printer.get->p.timeout -> p.printer.put->p.printer.get-> ...

# Recommended reading

- ▶ In order to get more information you can read Chapters 3,4,5 and 6 of:

Jeff Magee and Jeff Cramer

Concurrency, State Models & Java Programs

John Wiley & Sons, 2006.

<http://www.doc.ic.ac.uk/~jnm/book/>

- ▶ You can also read the corresponding slides:

[http:](http://www.doc.ic.ac.uk/~jnm/book/slides.html)

[//www.doc.ic.ac.uk/~jnm/book/slides.html](http://www.doc.ic.ac.uk/~jnm/book/slides.html)