# Math 104 HW5

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# 10/06/2023

# Exercise 11.3

Consider the sequences

$$s_n = \cos\left(\frac{n\pi}{3}\right), \qquad t_n = \frac{3}{4n+1}, \qquad u_n = \left(-\frac{1}{2}\right)^n, \qquad v_n = (-1)^n + \frac{1}{n}.$$

(a) For each sequence, give an example of a monotone subsequence.

Solution.

 $(s_n)$ : Consider  $n_k = 6k$  for  $k \in \mathbb{N}$ , then  $s_{n_k} = \cos\left(\frac{6k\pi}{3}\right) = \cos\left(2k\pi\right) = 1$  for all  $n_k$ , which is indeed monotone [a constant sequence is monotone].

 $(t_n)$ : Consider  $n_k = 2k$  for  $k \in \mathbb{N}$ , then  $t_{n_k} = \frac{3}{8k+1}$  for all  $n_k$ , which is apparently monotonically decreasing.

 $(u_n)$ : Consider  $n_k = 2k$  for  $k \in \mathbb{N}$ , then  $u_{n_k} = \left(-\frac{1}{2}\right)^{2k} = \frac{1}{4^k}$  for all  $n_k$ , which is apparently monotonically decreasing.

 $(v_n)$ : Consider  $n_k = 2k$  for  $k \in \mathbb{N}$ , then  $v_{n_k} = (-1)^{2k} + \frac{1}{2k} = 1 + \frac{1}{2k}$  for all  $n_k$ , which is apparently monotonically decreasing.

(b) For each sequence, give its set of subsequential limits.

Solution.

 $(s_n)$ :  $\{1,0.5,-0.5,-1\}$ . The values of  $s_n$  oscillates among constant values  $\{1,0.5,-0.5,-1\}$ . We can construct the constant subsequences, which have the limits 1,0.5,-0.5,-1 respectively. Then consider any  $x \notin \{1,0.5,-0.5,-1\}$ , any subsequence will have a minimum non-zero distance from x, hence unable to converge to x. Therefore, the set of subsequential limits is  $\{1,0.5,-0.5,-1\}$ .

 $(t_n)$ :  $\{0\}$ .  $\lim t_n = 0$  by Theorem 9.3 - 9.6, hence the set of subsequential limits only contains  $\lim t_n = 0$ 

 $(u_n)$ : {0}.  $\lim v_n = 0$  by Theorem 9.7, hence the set of subsequential limits only contains  $\lim v_n = 0$ 

 $(v_n)$ :  $\{1,-1\}$ . Take only even n, then the subsequence converges to 1. Take only odd n, then the subsequence converges to -1. Then consider any subsequence with finite odd n, it will converge to 1, just like the only even n subsequence because we can take N larger than the finite odd n. Similarly, any subsequence with finite even n will converge to -1. Finally, any subsequence with infinite odd and even n do not converge because it will always have elements within the neighborhood of 1 and -1.

(c) For each sequence, give its lim sup and lim inf.

Solution. Notice  $\limsup x_n = \sup S$  and  $\liminf x_n = \inf S$ , where  $x_n$  is any arbitrary sequence.

- $(s_n)$ :  $\limsup s_n = 1$ ,  $\liminf s_n = -1$ .
- $(t_n)$ :  $\limsup t_n = \liminf t_n = 0$ .
- $(u_n)$ :  $\limsup u_n = \liminf u_n = 0$ .
- $(v_n)$ :  $\limsup v_n = 1$ ,  $\liminf v_n = -1$ .

(d) Which of the sequences converges? diverges to  $+\infty$ ? diverges to  $-\infty$ ?

Solution.

 $(s_n)$ : Diverges.  $\limsup s_n = 1, \liminf s_n = -1, \text{ hence } s_n \text{ diverges.}$ 

 $(t_n)$ : Converges.  $\lim t_n = 0$ , hence  $t_n$  converges.

 $(u_n)$ : Converges.  $\lim u_n = 0$ , hence  $u_n$  converges.

 $(v_n)$ : Diverges.  $\limsup v_n = 1, \liminf v_n = -1, \text{ hence } v_n \text{ diverges.}$ 

(e) Which of the sequences is bounded?

Solution.

 $(s_n)$ : Bounded.  $|s_n| \leq 1$  for all n, hence  $s_n$  is bounded.

 $(t_n)$ : Bounded.  $|t_n| \leq \frac{3}{4}$  for all n, hence  $t_n$  is bounded.

 $(u_n)$ : Bounded.  $|u_n| \leq \frac{1}{2}$  for all n, hence  $u_n$  is bounded.

 $(v_n)$ : Bounded.  $|v_n| \leq 2$  for all n, hence  $v_n$  is bounded.

#### Exercise 11.6

**Proposition 1.** Every subsequence of a subsequence of a given sequence is itself a subsequence of the given sequence.

*Proof.* Let  $(s_n)$  be the original sequence,  $(t_k)$  be a subsequence of  $(s_n)$ , and  $(u_m)$  be a subsequence of  $(t_k)$ . We define  $\sigma(m)$  as a function that maps m to some k, the index of  $t_k$  in order, and  $\tau(k)$  as a function that maps k to some k, the index of  $s_n$  in order. Then we have

$$u_m = t \circ \sigma(m) = s \circ \tau \circ \sigma(m) = s \circ \rho(m),$$

where  $\rho$  is the composite of  $\sigma$  and  $\tau$  that maps from m to n, which preserves the order of  $s_n$ . Hence  $(u_m)$  is a subsequence of  $(s_n)$ .

## Exercise 11.10

Let  $(s_n)$  be the sequence of numbers in Fig. 11.2 listed in the indicated order.

(a) Find the set S of the subsequential limits of  $s_n$ .

Solution. Take each column of the matrix as a subsequence, they are all constant subsequences with values  $\frac{1}{n}$  for some  $n \in \mathbb{N}$ . Also, take the first row as a subsequence, which converges to 0. Therefore,  $\{\frac{1}{n}:n\in\mathbb{N}\}\cup\{0\}\subseteq S$ . On the other hand, consider any x not in  $\{\frac{1}{n}:n\in\mathbb{N}\}\cup\{0\}$ , notice any subsequence will have a minimum non-zero distance from x, hence unable to converge to x. Therefore,  $S\subseteq\{\frac{1}{n}:n\in\mathbb{N}\}\cup\{0\}$ , and  $S=\{\frac{1}{n}:n\in\mathbb{N}\}\cup\{0\}$ .

(b) Determine  $\limsup s_n$  and  $\liminf s_n$ .

Solution.  $\limsup s_n = \max S = 1$  and  $\liminf s_n = \min S = 0$ .

## Exercise 12.4

**Proposition 2.**  $\limsup (s_n + t_n) \leq \limsup s_n + \limsup t_n$  for bounded sequences  $(s_n)$  and  $(t_n)$ .

*Proof.* We first show that

$$\sup\{s_n + t_n : n > N\} \le \sup\{s_n : n > N\} + \sup\{t_n : n > N\}. \tag{1}$$

Let N be an arbitrary natural number, then for any n > N, we have

$$s_n \le \sup\{s_n : n > N\}$$
 and  $t_n \le \sup\{t_n : n > N\}$ ,

hence

$$s_n + t_n \le \sup\{s_n : n > N\} + \sup\{t_n : n > N\},\$$

so  $\sup\{s_n:n>N\}+\sup\{t_n:n>N\}$  is an upper bound for  $\{s_n+t_n:n>N\}$ . Hence, the least upper bound of  $\{s_n+t_n:n>N\}$ , aka  $\sup\{s_n+t_n:n>N\}$ , is less than or equal to  $\sup\{s_n:n>N\}+\sup\{t_n:n>N\}$ .

Next we show that if  $a_n \leq b_n$ , then  $\lim a_n \leq \lim b_n$ . Assume for the sake of contradiction that  $\lim a_n > \lim b_n$ . Let  $\lim a_n = a$  and  $\lim b_n = b$ , then we can write  $a = b + 2\epsilon$  for some  $\epsilon > 0$ . By the definition of limit, we know there exists  $N_1$  such that for all  $n > N_1$ ,  $|a_n - a| < \epsilon$  and also exists  $N_2$  such that for  $n > N_2$ ,  $|b_n - b| < \epsilon$ . Then for all  $n > \max\{N_1, N_2\}$ ,  $a_n$  is within  $\epsilon$  of a and  $b_n$  is within  $\epsilon$  of b, hence  $a_n > a - \epsilon = b + \epsilon > b_n$ , which contradicts the assumption that  $a_n \leq b_n$ .

Combining the two results, (1) implies

$$\limsup (s_n + t_n) \le \lim (\sup s_n + \sup t_n) = \lim \sup s_n + \lim \sup t_n$$

since  $\limsup s_n$  and  $\limsup t_n$  are finite [bounded and monotone].