

GLOBAL FINANCIAL SERVICES LTD

CLIENT ONBOARDING APPLICATION FORM

Know Your Customer (KYC) Documentation

INSTRUCTIONS: Please complete all sections in BLOCK CAPITALS. All fields marked with (*) are mandatory. Incomplete forms will delay the onboarding process. Please attach required supporting documents as listed in Section 8.

1. PERSONAL INFORMATION

TITLE *	FIRST NAME *	MIDDLE NAME	LAST NAME *
Mr.	Jonathan	Michael	Richardson
DATE OF BIRTH *	PLACE OF BIRTH *	NATIONALITY *	
15/03/1985	Chicago, Illinois, USA	United States	
GENDER *	MARITAL STATUS *		
<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other	<input type="checkbox"/> Single <input checked="" type="checkbox"/> Married <input type="checkbox"/> Other		

2. IDENTIFICATION DOCUMENTS

ID TYPE *			
<input checked="" type="checkbox"/> Passport <input type="checkbox"/> Driver's License <input type="checkbox"/> National ID			
ID NUMBER *	ISSUING COUNTRY *	ISSUE DATE *	EXPIRY DATE *
P4782936	United States	12/01/2020	11/01/2030
TAX IDENTIFICATION NUMBER (TIN) *		SOCIAL SECURITY NUMBER (IF APPLICABLE)	
123-45-6789		123-45-6789	

3. CONTACT INFORMATION

RESIDENTIAL ADDRESS *
4582 Maple Grove Avenue

CITY *

Boston

STATE/PROVINCE *

Massachusetts

POSTAL CODE *

02108

COUNTRY *

United States

MOBILE NUMBER *

+1 (617) 555-0142

ALTERNATIVE PHONE

+1 (617) 555-0189

EMAIL ADDRESS *

j.richardson@emailprovider.com

4. EMPLOYMENT & FINANCIAL INFORMATION

EMPLOYMENT STATUS *

- Employed Self-Employed Retired Unemployed

EMPLOYER NAME *

TechCorp Solutions Inc.

OCCUPATION/POSITION *

Senior Software Engineer

EMPLOYER ADDRESS

800 Technology Drive, Suite 200, Boston, MA 02110

ANNUAL INCOME RANGE (USD) *

- < \$50,000 \$50,000 - \$100,000 \$100,000 - \$250,000 > \$250,000

SOURCE OF FUNDS *

- Employment Income Business Income Investments Inheritance Other

5. ACCOUNT INFORMATION

ACCOUNT TYPE *

Individual Joint Corporate Trust

SERVICES REQUIRED *

Investment Management Wealth Advisory Private Banking Custody Services

EXPECTED INITIAL DEPOSIT (USD)

\$150,000

EXPECTED ANNUAL TRANSACTIONS

1-10 11-50 > 50

6. POLITICALLY EXPOSED PERSON (PEP) & SANCTIONS SCREENING

ARE YOU, OR ANY FAMILY MEMBER, A POLITICALLY EXPOSED PERSON (PEP)? *

(PEP: Individual entrusted with prominent public function, including heads of state, senior politicians, government officials, judicial officers, military officers, senior executives of state-owned corporations, or important political party officials)

Yes No

IF YES, PLEASE PROVIDE DETAILS:

N/A

ARE YOU SUBJECT TO ANY ECONOMIC SANCTIONS OR EMBARGOES? *

Yes No

7. BENEFICIAL OWNERSHIP (For Corporate/Trust Accounts)

Beneficial Owner Name	% Ownership	Nationality	ID Number
N/A - Individual Account			

8. REQUIRED SUPPORTING DOCUMENTS CHECKLIST

- Copy of valid government-issued photo identification (Passport/Driver's License/National ID)
- Proof of residential address (utility bill, bank statement, dated within last 3 months)
- Proof of income/employment (recent pay slip, employment letter, tax return)
- Bank reference letter (dated within last 3 months)
- Professional reference letter (if applicable)
- Corporate documents (for corporate accounts: Certificate of Incorporation, Memorandum & Articles, Board Resolution)

9. DECLARATIONS & CONSENT

- I hereby declare that all information provided in this application is true, accurate, and complete to the best of my knowledge.
- I understand that Global Financial Services Ltd will use this information for KYC compliance, account opening, and ongoing monitoring purposes.
- I consent to Global Financial Services Ltd conducting background checks, credit checks, and sanctions screening as required by law.
- I agree to notify Global Financial Services Ltd immediately of any material changes to the information provided in this form.
- I acknowledge that I have read and understood the Terms & Conditions and Privacy Policy of Global Financial Services Ltd.
- I understand that providing false or misleading information may result in account closure and may constitute a criminal offense.

10. APPLICANT SIGNATURE

APPLICANT NAME (PRINT) *

JONATHAN MICHAEL RICHARDSON

DATE *

05/10/2025

Applicant Signature

J. Richardson

FOR OFFICE USE ONLY

APPLICATION REFERENCE NUMBER

KYC-2025-10-00847

DATE RECEIVED

05/10/2025

REVIEWED BY

Sarah Chen - Compliance Officer

RISK RATING

 Low Medium High

APPROVAL STATUS

 Approved Pending Additional Documents Rejected

Authorized Officer Signature

S. Chen

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