

# GLOBAL FINANCIAL SERVICES LTD

## CLIENT ONBOARDING APPLICATION FORM

Know Your Customer (KYC) Documentation

**INSTRUCTIONS:** Please complete all sections in BLOCK CAPITALS. All fields marked with (\*) are mandatory. Incomplete forms will delay the onboarding process. Please attach required supporting documents as listed in Section 8.

### 1. PERSONAL INFORMATION

<b>TITLE *</b>	<b>FIRST NAME *</b>	<b>MIDDLE NAME</b>	<b>LAST NAME *</b>
Mr.	Jonathan	Michael	Richardson
<b>DATE OF BIRTH *</b>	<b>PLACE OF BIRTH *</b>	<b>NATIONALITY *</b>	
15/03/1985	Chicago, Illinois, USA	United States	
<b>GENDER *</b>	<b>MARITAL STATUS *</b>		
<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other	<input type="checkbox"/> Single <input checked="" type="checkbox"/> Married <input type="checkbox"/> Other		

### 2. IDENTIFICATION DOCUMENTS

<b>ID TYPE *</b>	<input checked="" type="checkbox"/> Passport <input type="checkbox"/> Driver's License <input type="checkbox"/> National ID		
<b>ID NUMBER *</b>	<b>ISSUING COUNTRY *</b>	<b>ISSUE DATE *</b>	<b>EXPIRY DATE *</b>
P4782936	United States	12/01/2020	11/01/2030
<b>TAX IDENTIFICATION NUMBER (TIN) *</b>	<b>SOCIAL SECURITY NUMBER (IF APPLICABLE)</b>		
123-45-6789	123-45-6789		

### 3. CONTACT INFORMATION

<b>RESIDENTIAL ADDRESS *</b>
4582 Maple Grove Avenue

CITY \*

STATE/PROVINCE \*

POSTAL CODE \*

COUNTRY \*

Boston

Massachusetts

02108

United States

MOBILE NUMBER \*

ALTERNATIVE PHONE

EMAIL ADDRESS \*

+1 (617) 555-0142

+1 (617) 555-0189

j.richardson@emailprovider.com

#### 4. EMPLOYMENT & FINANCIAL INFORMATION

EMPLOYMENT STATUS \*



Employed



Self-Employed



Retired



Unemployed

EMPLOYER NAME \*

OCCUPATION/POSITION \*

TechCorp Solutions Inc.

Senior Software Engineer

EMPLOYER ADDRESS

800 Technology Drive, Suite 200, Boston, MA 02110

ANNUAL INCOME RANGE (USD) \*



&lt; \$50,000



\$50,000 - \$100,000



\$100,000 - \$250,000



&gt; \$250,000

SOURCE OF FUNDS \*



Employment Income



Business Income



Investments



Inheritance



Other

## 5. ACCOUNT INFORMATION

### ACCOUNT TYPE \*

☒ Individual ☐ Joint ☐ Corporate ☐ Trust

### SERVICES REQUIRED \*

☒ Investment Management ☒ Wealth Advisory ☐ Private Banking ☐ Custody Services

### EXPECTED INITIAL DEPOSIT (USD)

\$150,000

### EXPECTED ANNUAL TRANSACTIONS

☐ 1-10 ☒ 11-50 ☐ > 50

## 6. POLITICALLY EXPOSED PERSON (PEP) & SANCTIONS SCREENING

### ARE YOU, OR ANY FAMILY MEMBER, A POLITICALLY EXPOSED PERSON (PEP)? \*

(PEP: Individual entrusted with prominent public function, including heads of state, senior politicians, government officials, judicial officers, military officers, senior executives of state-owned corporations, or important political party officials)

☐ Yes ☒ No

### IF YES, PLEASE PROVIDE DETAILS:

N/A

### ARE YOU SUBJECT TO ANY ECONOMIC SANCTIONS OR EMBARGOES? \*

☐ Yes ☒ No

## 7. BENEFICIAL OWNERSHIP (For Corporate/Trust Accounts)

Beneficial Owner Name	% Ownership	Nationality	ID Number
N/A - Individual Account			

## 8. REQUIRED SUPPORTING DOCUMENTS CHECKLIST

- ☒ Copy of valid government-issued photo identification (Passport/Driver's License/National ID)
- ☒ Proof of residential address (utility bill, bank statement, dated within last 3 months)
- ☒ Proof of income/employment (recent pay slip, employment letter, tax return)
- ☐ Bank reference letter (dated within last 3 months)
- ☐ Professional reference letter (if applicable)
- ☐ Corporate documents (for corporate accounts: Certificate of Incorporation, Memorandum & Articles, Board Resolution)

## 9. DECLARATIONS & CONSENT

- ☒ I hereby declare that all information provided in this application is true, accurate, and complete to the best of my knowledge.
- ☒ I understand that Global Financial Services Ltd will use this information for KYC compliance, account opening, and ongoing monitoring purposes.
- ☒ I consent to Global Financial Services Ltd conducting background checks, credit checks, and sanctions screening as required by law.
- ☒ I agree to notify Global Financial Services Ltd immediately of any material changes to the information provided in this form.
- ☒ I acknowledge that I have read and understood the Terms & Conditions and Privacy Policy of Global Financial Services Ltd.
- ☒ I understand that providing false or misleading information may result in account closure and may constitute a criminal offense.

## 10. APPLICANT SIGNATURE

APPLICANT NAME (PRINT) \*

JONATHAN MICHAEL RICHARDSON

DATE \*

05/10/2025

Applicant Signature

*J. Richardson*

## FOR OFFICE USE ONLY

APPLICATION REFERENCE NUMBER

KYC-2025-10-00847

DATE RECEIVED

05/10/2025

REVIEWED BY

Sarah Chen - Compliance Officer

RISK RATING

☐

Low

☒

Medium

☐

High

APPROVAL STATUS

☒

Approved

☐

Pending Additional Documents

☐

Rejected

Authorized Officer Signature

*S. Chen*

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