

## Solutions to Homework Assignment 6

CS 430 Introduction to Algorithms  
Spring Semester, 2018

### Solution:

1. As defined, for integers  $k \geq 0$  and  $j \geq 1$ ,

$$A_k(j) = \begin{cases} j + 1, & \text{if } k = 0, \\ A_{k-1}^{(j+1)}(j), & \text{if } k \geq 1, \end{cases}$$

we only consider cases when  $j \geq 1$  here.

- When  $j = 1$ ,  $A_3(1) = A_2^{(2)}(1) = A_2(A_2(1)) = 2047$ ,  $tower(1) = 2^{tower(0)} = 2$ . Obviously we have  $A_3(1) > tower(1)$ .
- Assume when  $j = i - 1$ , we have  $A_3(i - 1) \geq tower(i - 1)$ ; now we prove for  $j = i$ , we still have  $A_3(i) \geq tower(i)$ . According to **Lemma 21.3**, we have  $A_2(j) = 2^{j+1}(j + 1) - 1$ . Also we know the function  $A_k(j)$  strictly increases with both  $j$  and  $k$ . So:

$$\begin{aligned} A_3(i) &= A_2^{(i+1)}(i) = A_2(A_2^{(i)}(i)) > A_2(A_2^{(i)}(i - 1)) = A_2(A_3(i - 1)). \\ &\geq A_2(tower(i - 1)) = 2^{tower(i-1)+1}(tower(i - 1) + 1) - 1 > 2^{tower(i-1)} = tower(i) \end{aligned}$$

By induction hypothesis, we have proved that  $A_3(j) \geq tower(j), \forall j \geq 1$ .

2. BINOMIAL-HEAP-EXTRACT-MIN( $H$ )
  - 1:  $x = \text{BINOMIAL-HEAP-MINIMUM}(H)$
  - 2: Remove  $x$  from the root list of  $H$
  - 3:  $H' = \text{MAKE-BINOMIAL-HEAP}()$
  - 4: Reverse the order of the linked list of  $x$ 's children, and set  $H'.head$  to point to the head of the resulting list.
  - 5:  $H = \text{BINOMIAL-HEAP-UNION}(H, H')$
  - 6: **return**  $x$

BINOMIAL-HEAP-MINIMUM( $H$ )

- 1:  $y = NIL$
- 2:  $x = H.head$
- 3:  $min = \infty$
- 4: **while**  $x \neq NIL$  **do**
- 5:     **if**  $x.key < min$  **then**
- 6:          $min = x.key$
- 7:          $y = x$
- 8:      $x = x.sibling$
- 9: **return**  $y$

BINOMIAL-HEAP-UNION( $H_1, H_2$ )

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1:  $H = \text{MAKE-BINOMIAL-HEAP}()$ 
2:  $H.\text{head}$  = merges the root lists of  $H_1$  and  $H_2$  into a single linked list that is sorted by degree into
   monotonically increasing order.
3: Free the objects  $H_1$  and  $H_2$  but not the lists they point to
4: if  $H.\text{head} = \text{NIL}$  then
5:   return  $H$ 
6:  $\text{prevx} = \text{NIL}$ 
7:  $x = H.\text{head}$ 
8:  $\text{nextx} = x.\text{sibling}$ 
9: while  $\text{nextx} \neq \text{NIL}$  do
10:  if  $(x.\text{degree} \neq \text{nextx}.\text{degree})$  or  $(\text{nextx}.\text{sibling} \neq \text{NIL}$  and  $\text{nextx}.\text{sibling}.\text{degree} = x.\text{degree})$ 
    then
11:     $\text{prevx} = x$ 
12:     $x = \text{nextx}$ 
13:  else
14:    if  $x.\text{key} \leq \text{nextx}.\text{key}$  then
15:       $x.\text{sibling} = \text{nextx}.\text{sibling}$ 
16:      BINOMIAL-LINK( $\text{nextx}, x$ )
17:    else
18:      if  $\text{prevx} = \text{NIL}$  then
19:         $H.\text{head} = \text{nextx}$ 
20:      else
21:         $\text{prevx}.\text{sibling} = \text{nextx}$ 
22:        BINOMIAL-LINK( $x, \text{nextx}$ )
23:       $x = \text{nextx}$ 
24:     $\text{nextx} = x.\text{sibling}$ 
25: return  $H$ 

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BINOMIAL-LINK( $y, z$ )

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1:  $y.p = z$ 
2:  $y.\text{sibling} = z.\text{child}$ 
3:  $z.\text{child} = y$ 
4:  $z.\text{degree} = z.\text{degree} + 1$ 

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BINOMIAL-HEAP-DECREASE-KEY( $H, x, k$ )

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1: if  $k > x.\text{key}$  then
2:   error “new key is greater than current key”
3:  $x.\text{key} = k$ 
4:  $y = x$ 
5:  $z = y.p$ 
6: while  $z \neq \text{NIL}$  and  $z.\text{key} > y.\text{key}$  do
7:   exchange  $z$  with  $y$ 
8:    $y = z$ 
9:    $z = y.p$ 

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BINOMIAL-HEAP-DELETE( $H, x$ )

- 1: BINOMIAL-HEAP-DECREASE-KEY( $H, x, -\infty$ )
- 2: BINOMIAL-HEAP-EXTRACT-MIN( $H$ )

### 3. Problem 19-3 on page 529

a. The algorithm is given below. According to the analysis in CLRS, page 519, the amortized running time of the implementation of FIB-HEAP-CHANGE-KEY is still  $O(\lg(n))$

FIB-HEAP-CHANGE-KEY( $H, x, k$ )

- 1: **if**  $k < x.key$  **then**
- 2:     FIB-HEAP-DECREASE-KEY( $H, x, k$ )
- 3: **else**  $k > x.key$
- 4:     FIB-HEAP-DELETE( $H, x$ )
- 5:     FIB-HEAP-INSERT( $H, x, k$ )

b. In order to implement the FIB-HEAP-PRUNE( $H, r$ ), we modify the structure by adding double linked list among all the leaf nodes, which helps us easily extract any leaf node. To do the prune operation, we randomly choose a leaf node, and remove it from both the leaves list and its parent's list, as shown below:

FIB-HEAP-PRUNE( $H, r$ )

- 1: **for**  $i \leftarrow 1$  to  $\min(r, H.n)$  **do**
- 2:      $x \leftarrow$  random leaf node
- 3:     remove  $x$  from parent's children list
- 4:     remove  $x$  from leaves list

Due to such structural modification, we add one more cost  $s(H)$  to the original potential function, which is related to the size of heap. Thus, the new potential function is

$$\Phi'(H) = \Phi(H) + s(H) = t(H) + 2m(H) + s(H)$$

Assume we remove  $q = \min(r, H.n)$  nodes in this operation, and removing such  $q$  nodes brings  $c$  times cascading cuts. Similar to decrease key analysis in CLRS, page 521, the actual cost in this operation should be  $c + q$ :  $c$  times cascading cut and adding new leaf nodes,  $q$  times removing nodes.

Thus, the potential change of original part  $\Phi(H)$  is still  $4 - c$ , while the additional potential change is  $-q$ .

Thus, the amortized cost should be  $c + q + 4 - c - q = 4 = O(1)$ .