## **HW2** Solutions

1. #1 Solution

Let  $M_w$  be the maximum number of nodes of weight w.cost(T) = m(3) + n(1), where m and n will be non negative integers. To manually discover a series, we construct a tree and find:  $M_0 = 1, M_1 = 1, M_2 = 1, M_3 = 2, M_4 = 3, M_5 = 4, M_6 = 6...$ 

:. we get  $M_w = M_{w-1} + M_{w-3}$ 

2. #2(i) Solution

The problem forms the recursion T(n) = 2T(n-1) + T(n-2) + 1. The characteristic equation of the homogeneous part is

$$r^2 = 2r + 1 \Rightarrow r^2 - 2r - 1 = 0 \Rightarrow r_1 = 1 + \sqrt{2}, r_2 = 1 - \sqrt{2}$$

Further, the non-homogeneous part has the root r=1, therefore the general solution is

$$T(n) = A(1 + \sqrt{2})^n + B(1 - \sqrt{2})^n + C$$

#2(ii) Solution

Similarly, the characteristic root of homogeneous part is  $r_1 = 4$ , and the root of the non-homogeneous part is  $r_2 = 2$ . Therefore, the formula is

$$T(n) = A4^n + B2^n$$

Since T(0) = 1,  $T(1) = 4T(0) + 2^1 = 6$ . Solving a simple linear equation yields A = 2, B = -1. Therefore,  $T(n) = 24^n - 2^n$ 

#2(iii) Solution

The characteristic roots are  $r_1 = r_2 = 4$ , which are two repeated roots. Therefore, we have the formula

$$T(n) = An4^n + B4^n$$

Similarly, solving the linear equation yields  $A = \frac{1}{2}, B = 2$ .

## 3. #3 Solution

$$T(n) = [\sqrt{n} + c] + [\sqrt{n - c} + c] + [\sqrt{n - 2c} + c] + \dots + [\sqrt{2c} + c] + T(c)$$

It is easy to see the height of the recursion tree is  $\frac{n}{c}$ , and we have  $\frac{n}{c}$  terms in the above sum. Then, it follows

$$\frac{1}{2}\frac{n}{c}\sqrt{\frac{n}{2}} \le \sqrt{\frac{n}{2}} + \sqrt{\frac{n}{2} + c} + \dots + \sqrt{n} \le \sum_{i=0}^{n/c} \sqrt{n - ic} \le \frac{n}{c}\sqrt{n}$$

Both  $\frac{n}{2c}\sqrt{\frac{n}{2}}$  and  $\frac{n}{c}\sqrt{n}$  grow at  $\Theta(n\sqrt{n})$ , therefore  $T(n) = \Theta(n\sqrt{n} + \frac{n/c}{c}) = \Theta(n\sqrt{n})$ .

## 4. #4 Solution

$$T(n) = f(n) + T(8n/9) + T(n/18)$$

$$= f(n) + f(8/9n) + f(1/18n) + T(8^2/9^2n) + 2T(8/9 \cdot 1/18n) + T(1/18^2n)$$

$$= f(n) + f(8/9n) + f(1/18n) + f(8^2/9^2n) + 2f(8/9 \cdot 1/18n) + f(1/18^2n) + \cdots$$

$$\cdots$$

$$= f(n) + f(8/9n) + f(1/18n) + f(8^2/9^2n) + 2f(8/9 \cdot 1/18n) + f(1/18^2n)$$

$$+ f(8^3/9^3n) + 3f(8^2/9^2 \cdot 1/18n) + 3f(8/9 \cdot 1/18^2n) + f(1/18^3n) + \cdots$$

The recursion tree of this function is not perfectly balanced. The shortest height is  $H_1 = \log_{18} n$ , and the longest height is  $H_2 \log_{9/8} n$ .

$$\#4(i)$$
 When  $f(n) = n$ :

In this case, we have

$$\sum_{i=0}^{H_1} (8/9 + 1/18)^i n \le T(n) \le \sum_{i=0}^{H_2} (8/9 + 1/18)^i n$$

Both sides are the sum of geometric sequence with common ratio 17/18 < 1, therefore both of the sums are  $\Theta(n)$ . Therefore,  $T(n) = \Theta(n)$ .

#4(ii) When 
$$f(n) = n^2$$
:

In this case, we have

$$\sum_{i=0}^{H_1} (8^2/9^2 + 1^2/18^2)^i n^2 \le T(n) \le \sum_{i=0}^{H_2} (8^2/9^2 + 1^2/18^2)^i n^2$$

With the same reason as 4(i), both of the sums are  $\Theta(n^2)$ , and therefore  $T(n) = \Theta(n^2)$ .

## 5. #5 Solution

$$T(n) = f(n) + T(8n/9) + T(n/6)$$

$$= f(n) + f(8/9n) + f(1/6n) + T(8^2/9^2n) + 2T(8/9 \cdot 1/6n) + T(1/6^2n)$$

$$= f(n) + f(8/9n) + f(1/6n) + f(8^2/9^2n) + 2f(8/9 \cdot 1/6n) + f(1/6^2n) + \cdots$$

$$\cdots$$

$$= f(n) + f(8/9n) + f(1/6n) + f(8^2/9^2n) + 2f(8/9 \cdot 1/6n) + f(1/6^2n)$$

$$+ f(8^3/9^3n) + 3f(8^2/9^2 \cdot 1/6n) + 3f(8/9 \cdot 1/6^2n) + f(1/6^3n) + \cdots$$

The recursion tree of this function is not perfectly balanced. The shortest height is  $H_1 = \log_6 n$ , and the longest height is  $H_2 \log_{9/8} n$ .

#5(i) When 
$$f(n) = \log n$$
:

In this case, for the i-th level of the recursion tree (suppose the root is level-0), the work (or the time) we need is

$$T_i(n) = \sum_{j=0}^{i} \left( \binom{i}{j} \log \left( \left( \frac{8}{9} \right)^{i-j} \left( \frac{1}{6} \right)^j n \right) \right) = (i-1)2^{i-2} \log \left( \frac{4}{27} \right) + 2^{i-1} \log n$$

if i is less than the shortest height  $H_1 = \log_6 n$ .

Subsequently, the upper bound of the function can be derived by calculating the sum for  $i \leq H_2$ :

$$T(n) \le \sum_{i=0}^{H_2} T_i(n) = \sum_{i=0}^{H_2} \left( i 2^{i-1} \log \frac{4}{27} + 2^i \log n \right)$$
$$= \Theta(n^{\log_{9/8} 2} \log n)$$
$$\Rightarrow T(n) = O(n^{\log_{9/8} 2} \log n)$$

Thus  $T(n) = O(n^{\log_{9/8} 2} \log n)$ .

#5(ii) When 
$$f(n) = n^2$$
:

In this case, the problem is exactly same as 4(ii) except that the coefficients are different.

$$\sum_{i=0}^{H_1} (8^2/9^2 + 1^2/6^2)^i n^2 \le T(n) \le \sum_{i=0}^{H_2} (8^2/9^2 + 1^2/6^2)^i n^2$$

Both sides are sum of geometric sequence of common ratio  $(8^2/9^2 + 1/6^2) < 1$ . Therefore,  $T(n) = \Theta(n^2)$ .

6. #6 Solution #6(a)

$$T(n) = T(\log n) + 3$$
  
=  $T(\log \log n) + 3 + 3$   
=  $T(\log \log \log n) + 3 + 3 + 3$   
...  
=  $T(1) + 3H$ 

where H is the height of the recursion tree. Then,  $H = \log^* n$ , the iterated log of n, which is the number of times the logarithm function must be iteratively applied before the result is less than or equal to 1. Therefore,  $T(n) = \Theta(\log^* n)$ .

#6(b)

$$T(n) = T(\log n) + 3n$$

$$= T(\log \log n) + 3n + 3\log n$$

$$= T(\log \log \log n) + 3n + 3\log n + 3\log \log n$$
...
$$= T(1) + 3n + 3\log n + 3\log \log n + \dots + 3\log^* n$$

$$< T(1) + 3n + 3\log n + 3\log n + \dots + 3\log n = \Theta(3n + \log^* n \log n) = \Theta(n)$$

Therefore, T(n) = O(n). Also, we have

$$T(n) > T(1) + 3n + 3\log^* n + 3\log^* n + \dots + 3\log^* n = \Theta(3n + \log^* n \log^* n) = \Theta(n)$$

which implies  $T(n) = \Omega(n)$ . Therefore, we have  $T(n) = \Theta(n)$ .