Solutions to Homework Assignment 4

 $ext{CS 430 Introduction to Algorithms} \\ ext{Spring 2018}$

Solution:

- 1. No you cannot maintain the red-depth without affecting the asymptotic performance. When a node's red-depth is changed after insertion or deletion, we have to adjust the entire sub-tree rooted at that node. In the worst case n nodes in the sub-tree need to be modified and it cannot be done in $\log(n)$ time.
- 2. (a) Let S_{i,j} represents the state that India still need i more victories to win, whereas Pakistan needs j more victories for the championship. There are only two possible states S_{i-1,j} and S_{i,j-1} after one game from S_{i,j}. Given that S_{i-1,j} happens, there are two possible previous states. The possibility that the previous state is S_{i,j} is p which indicates India win the game. Given that S_{i,j-1} happens, the possibility that the previous state is S_{i,j} is q, which indicates Pakistan win the game. Together, the possibility that we have state S_{i,j} is P_{i,j} = pP_{i-1,j} + qP_{i,j-1}.
 - (b) P_{00} is the possibility that Indian will win the series given that they still need 0 more victories, whereas Pakistan needs 0 more victories. This indicates that both India and Pakistan have won the game, which is impossible. Thus $P_{0,0} = 0$.
 - (c) The recursive formation of the dynamic programming algorithm is given by

$$P_{i,j} = \begin{cases} 1, i = 0 \land 1 \le j \le n \\ 0, j = 0 \land 1 \le i \le n \\ pP_{i-1,j} + qP_{i,j-1}, otherwise \end{cases}$$

(d) The memoized dynamic programming algorithm is given by algorithm 1. The running time of this algorithm is $O(n^2)$ as it takes constant time to calculate each entry of Matrix P.

Algorithm 1 India Win: Dynamic Programming

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Let P be matrix of size (\overline{n+1})*(\overline{n+1}) P_{0,0}=0 for j\leftarrow 1 to n do P_{0,j}=1 end for for i\leftarrow 1 to n do P_{i,0}=0 end for for i\leftarrow 1 to n do for j\leftarrow 1 to n do P_{i,j}=pP_{i-1,j}+qP_{i,j-1} end for end for return P_{n,n}
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3. (a) The greedy algorithm: each time, we pick the coin with the largest denomination that is smaller or equal to the change. The remaining amount of change is reduced by the value of the coin. We do the previous two steps repeatedly until the remaining amount of change is zero.

For a coin system consisting of quarters, dimes, nickels and pennies, this algorithm indicates that the number of quarters $a_q = \frac{n}{25}$, the number of dimes $a_d = \frac{n\%25}{10}$, the number of nickels $a_n = \frac{n\%10}{5}$, the number of pennies $a_p = n\%5$.

Proof of Correctness: To prove the correctness of the greedy algorithm for the coin system with consisting of quarters, dimes, nickels and pennies. We need the support of the following lemma:

Lemma 1 In the optimal solution, we can have at most two dimes, one nickel and four cents; we also cannot have two dimes and one nickel at the same time.

The correctness of this lemma is easy to prove. We can always replace three dimes with a quarter and a nickel, replace two nickels with one dime, replace five cents with one nickel, and replace two dimes and one nickel with a quarter. All these replacements will result in smaller number of coins. This lemma indicates that in the optimal solution, the total value dimes, nickels and pennies is no greater than 24 cents; the total value of nickels and cents is no greater than 9 cents; the total value of pennies is no greater than 4 cents.

Then we can prove the correctness of our algorithm for this coin system. Assume that there is an optimal solution OPT that use smaller number of coins to make the change. Suppose OPT uses a_q' quarters, a_d' dimes, a_n' nickels and a_p' pennies. Since our greedy algorithm use as many quarters as possible, $a_q' \leq a_q$. If $a_q' < a_q$, the total value of dimes, nickels and cents in OPT will be greater than 24 cents since both our greedy algorithm and OPT make change for the same amount. This goes against our lemma. Then $a_q' = a_q$. Following the same procedure, we can prove $a_d' = a_d$, $a_n' = a_n$ and $a_p' = a_p$. This means OPT will use the same number of coins as our algorithm, which goes against the assumption that OPT uses smaller number of coins.

- (b) Assume that there is an optimal solution OPT that use smaller number of coins to make the change. Suppose the greedy algorithm uses a_0 coins with denomination c^0 , a_1 coins with denomination c^1 , ..., a_k coins with denomination c^k . Suppose the corresponding number in OPT are a'_0 , a'_1 , ..., a'_k respectively. Since our greedy algorithm use as many coin with largest denomination as possible, $a'_k \leq a_k$. If $a'_k < a_k$, the total value of the rest of the coins in OPT will be larger than c^k . In this coin system, this means that there are some coins with total value equal to c^k in the remaining coins. Then we can always replace these coins with one coin with value c^k . Thus $a'_k = a_k$. Following the same procedure, we can prove $a_i = a'_i$ for i = k 1, k 2, ..., 0. This means OPT will use the same number of coins as our greedy algorithm, which goes against the assumption.
- (c) Suppose the coin denominations are $\{1,7,10\}$. If we want to make a change of 15 cents. The solution given by the greedy algorithm is one coin of 10 and five coins of 1. The optimal solution uses two coins of 7 and one coin of 1.
- (d) Recursive form: let f(n) denote the minimum number of coins we use to make change for n coins.Let d_i denote the i-th denomination. Then it will have the following form of recursion:

$$f(n) = \begin{cases} \infty, n < 0 \\ 0, n = 0 \\ \min_{1 \le i \le k} f(n - d_i) + 1, n > 0 \end{cases}$$

The iterative form will be represented in algorithm 2. The array M stores the minimum number of coins to make change for n cents. D stores the coins used. This algorithm runs in O(nk) time. There are n steps in the outer loop and each inner loops contains k steps.

(e) Let A be the set of denominations. Let g(n, A) be the function that returns 1 if we can make change for n using denominations in A, and returns 0 otherwise. The recursive formation is

$$g(n,A) = \begin{cases} 1, n = 0 \\ 0, n < 0 \lor (n \neq 0 \land A = \Phi) \\ \bigvee_{1 \le i \le k} g(n - d_i, A - d_i), otherwise \end{cases}$$

Algorithm 2 Make Change: Dynamic Programming

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Let M be an array of size n+1

Let D be an array of size n+1

M[0] \leftarrow 0

for i \leftarrow 1 to n do

M[i] \leftarrow \infty

for j \leftarrow 1 to k do

if 1 + M[i - d_j] < M[i] then

M[i] \leftarrow 1 + M[i - d_j]

D[i] = d_j

end if

end for
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